

The Dicke Company, LLC

NEW ACCOUNT APPLICATION

FOR CUSTODIAL USE ONLY

AGENT

REP

ACC

PREP

A. PARTICIPANT IDENTIFICATION

NAME/ LAST	FIRST	MIDDLE	BIRTH DATE
STREET ADDRESS			SOCIAL SECURITY NUMBER
CITY	STATE	ZIP	HOME TELEPHONE
E-MAIL ADDRESS			DAYTIME TELEPHONE
JOINT OWNER NAME/ LAST	FIRST	MIDDLE	BIRTH DATE
STREET ADDRESS (if different from above)			SOCIAL SECURITY NUMBER
CITY	STATE	ZIP	HOME TELEPHONE
E-MAIL ADDRESS			DAYTIME TELEPHONE
TRUST NAME	TRUSTEE NAME		DATE OF TRUST
STREET ADDRESS (if different from above)			TAX ID NUMBER
CITY	STATE	ZIP	HOME TELEPHONE
E-MAIL ADDRESS			DAYTIME TELEPHONE

B. PHOTO IDENTIFICATION

TYPE OF ID (i.e. Driver's License, Passport, etc.)	ID NUMBER	ISSUING JURISDICTION (Federal, State, etc.)	EXPIRATION DATE	ISSUE DATE (optional)
----------------------------------------------------	-----------	---------------------------------------------	-----------------	-----------------------

C. ESTABLISHING YOUR ACCOUNT

Designate Account Type: Qualified ☐ OR Non-Qualified (check only one) ☐ Individual ☐ Joint Owners ☐ Trust (Provide copy of the trust affidavit)

Fund Your Account:

☐ Cash Amount with application: \$ _____ (make all checks payable to **TVPX ARS, Inc. Escrow FBO: Client Name**)

☐ Expected Amount: \$ _____ I will wire funds on: _____
All Funds will receive a 10-day Right of Rescission **Investor Initials** _____

D. ACKNOWLEDGMENT & SIGNATURE

I declare under penalty of perjury that the foregoing is true and correct, including my social security number. Agent/Rep.: _____

Participant Signature: _____ Date: _____

Spouse Signature*: _____ Date: _____

*Only required in community or marital property states, if anyone other than the spouse is named as primary beneficiary. I acknowledge that I am the spouse of the above-named account holder and do hereby give them any interest that I have in the funds or property in this account and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by the Custodian, or its agents or representatives.

F 04022013