Abstracts 227

#### CARLOS SPOERHASE

## Hypothetical Intentionalism

The intentional fallacy debate marked the beginning of an extensive discussion about the role of intentions in the scholarly interpretation of literature. For a long time, treatments of intentionalism in the study of literature were centred on the question of whether the actual intentions of a text's author should or should not be taken into account when interpreting that text. This meant that the argument became reduced to a question of whether one adopted a positive or negative attitude to the relevance of actual intentions. More recently, however, the situation has increased in complexity. Alongside actual intentionalism, rival positions such as hypothetical intentionalism and fictionalist intentionalism are attracting increasing attention in present-day discussions of intentionalism.

At the beginning of this article, the rival positions in the discussion of intentionalism are described as follows, drawing on the terminology of current Anglo-American work: (I) anti-intentionalism can be divided into (1) conventionalist forms and (2) forms that stress the value of the work as a self-contained whole; (II) intentionalism can be divided into (1) forms of actual intentionalism, either (a) extreme or (b) moderate, and (2) forms of hypothetical intentionalism, either (a) conjectural or (b) fictional. In recent theoretical debate, it is above all

228 Abstracts

the position of hypothetical intentionalism that has been felt to offer the best chance of future progress. Taking this impression as my starting point, I begin by outlining the problems of literary theory that provide the context in which hypothetical intentionalism can be reconstructed as an approach to solving the problem of intentions (section 1). Hypothetical intentionalism owes its popularity to the fact that, even though previous intentionalistic theories have all been dogged by substantial problems, there is still an underlying desire for an intentionalistic concept of interpretation. Hypothetical intentionalism can be described as prompting literary theory to develop a new intentionalistic stance, one capable of overcoming the difficulties of actual intentionalism.

Next, I reconstruct the most important forms of hypothetical intentionalism, including those of Alexander Nehamas, William Tolhurst, Jerrold Levinson, and Gregory Currie, from a critical perspective (sections 2.1 to 2.4). The reconstructions show that hypothetical intentionalism is typically marked by a theoretical perspective centred on the receiving entity in the act of literary communication, whereas actual intentionalism retained a genetic perspective centred on the producing agent. As analysis of the various formulations of hypothetical intentionalism shows, though, the conceptual differences between its supporters are so marked that we can at best speak in the plural of hypothetical intentionalisms that promise to solve what are at times dissimilar problems of literary theory.

Taking the reconstruction of the various hypothetical intentionalisms as my starting point, I then point out serious problems in the definitions of hypothetical intentionalism that have been put forward to date (section 3). My criticisms include the fact that the relationship between hypothetical intentionalism and actual intentionalism is unspecified; that the concept of the hypothetical, central to all stances based on hypothetical intentionalism, is deployed in an ambiguous manner; and that the status of the receiving entity, the central participant in communication for hypothetical intentionalism, is unclear (it usually vacillates between factual and counterfactual status). Hypothetical intentionalism is faced here with problems of construction that were typical also of approaches to interpretation based on reception history or the aesthetics of reception.

The various forms of hypothetical intentionalism are faced with a number of problems. The primary factor behind them can be identified with reference to current systematic overviews of the most important theoretical options available in the discussion of intentionalism in literary theory: the concept of the hypothetical is contrasted with that of the actual (section 4). Progress in the discussion of intentionalism is hindered by the concept of the hypothetical because it leads to the systematic confusion of metaphysical and epistemological issues. Finally, I present a terminological apparatus for future consideration. Its conceptual clarity is superior to that of previous terminologies, and it allows us to separate clearly for the first time the ontological and epistemological aspects of the debate on hypothetical intentionalism as it has unfolded to date.

### Literatur

Henry David Aiken, The Aesthetic Relevance of Artists' Intentions, *Journal of Philosophy* 52 (1955), 742–753.

Gaston Bachelard, La formation de l'esprit scientifique. Contribution à une psychanalyse de la connaissance objective [1938], Paris 1999.

Monroe C. Beardsley, Textual Meaning and Authorial Meaning, *Genre* 1 (1968), 169–181. Dorothee Birke/Stella Butter, Intentional Fallacy, in: Ansgar Nünning (Hg.), *Metzler* 

Lexikon Literatur- und Kulturtheorie. Ansätze – Personen – Grundbegriffe, Stuttgart/Weimar <sup>3</sup>2004, 293.

Thomas C. Brickhouse/Nicholas D. Smith, The Philosophy of Socrates, Boulder 2000.

Noël Carroll, The Intentional Fallacy. Defending Myself, JAAC 55 (1997), 305–309.

-, Interpretation and Intention. The Debate Between Hypothetical and Actual Intentionalism, *Metaphilosophy* 31 (2000), 75–95.

Stanley Cavell, A Matter of Meaning it [1967], in: Must We Mean What We Say? A Book of Essays, New York 1969, 213–237.

Seymour Chatman, Coming to Terms. The Rhetoric of Narrative in Fiction and Film, Ithaca 1990.

Gregory Currie, Interpretation and Objectivity, Mind 102 (1993), 413-428.

-, Arts and Minds, Oxford 2004.

Lutz Danneberg/Hans-Harald Müller, Der »intentionale Fehlschluß« – ein Dogma? Systematischer Forschungsbericht zur Kontroverse um eine intentionalistische Konzeption in den Textwissenschaften, Zeitschrift für allgemeine Wissenschaftstheorie 14 (1983), 103–137, 376–411.

David Davies, Artistic Intentions and the Ontology of Art, BJA 39 (1999), 148–162.

-, Intentions et signification de l'énonciation, Philosophiques 32 (2005), 83-99.

Stephen Davies, Interpreting Contextualities, Philosophy and Literature 20 (1996), 20-38.

- -, Beardsley and the Autonomy of the Work of Art, JAAC 63 (2005), 179–183.
- -, The Philosophy of Art, Oxford 2006. (Davies 2006a).
- Authors' Intentions, Literary Interpretation, and Literary Value, BJA 46 (2006), 223–247. (Davies 2006b).

Daniel C. Dennett, The Intentional Stance, Cambridge, MA 1987.

-, The Interpretation of Texts, People, and Other Artifacts, *Philosophy and Phenomenological Research* 50 (1990), Supplement, 177–194.

George Dickie/Kent Wilson, The Intentional Fallacy. Defending Beardsley, JAAC 53 (1995), 233–250.

Andreas Dittrich, Einige Anmerkungen des Verfassers zur Celan-Lektüre in »Ich weiß und du weißt, wir wußten, / wir wußten nicht«, Zeitschrift für deutsche Philologie 124 (2005), 589–594.

Denis Dutton, Why Intentionalism Won't Go Away, in: Anthony J. Cascardi (Hg.), *Literature and the Question of Philosophy*, Baltimore/London 1987, 192–209.

Umberto Eco, Interpretation and Overinterpretation, Cambridge 1992.

-, Six Walks in Fictional Woods, Cambridge, MA 1994.

Stanley Fish, Biography and Intention, in: William H. Epstein (Hg.), Contesting the Subject. Essays in the Postmodern Theory and Practice of Biography and Biographical Criticism, West Lafayette 1991, 9–16.

Jerry Fodor, *Déjà vu* All Over Again. How Danto's Aesthetics Recapitulates the Philosophy of Mind, in: Mark Rollins (Hg.), *Danto and His Critics*, Oxford 1993, 41–54.

Dieter Freundlieb, Analytische Literaturwissenschaft, in: Klaus Weimar (Hg.), *Reallexikon der deutschen Literaturwissenschaft*, Bd. 1, Berlin/New York 1997, 79–81.

Harald Fricke/Rüdiger Zymner, Einübung in die Literaturwissenschaft, Paderborn <sup>2</sup>1993.

Raymond W. Gibbs, Intentions in the Experience of Meaning, Cambridge 1999.

- Alan H. Goldman, Interpreting Art and Literature, JAAC 48 (1990), 205-214.
- -, Aesthetic Value, Boulder 1995.
- H. Paul Grice, Logic and Conversation (1967), in: Studies in the Way of Words, Cambridge, MA 1989, 1–143.
- Gunter Grimm, Rezeptionsgeschichte. Grundlegung einer Theorie, München 1977.
- Klaus W. Hempfer, Überlegungen zu einem Gültigkeitskriterium für Interpretationen und ein komplexer Fall: Die italienische Ritterepik der Renaissance, in: Klaus W. Hempfer/Gerhard Regn (Hg.), *Interpretation. Das Paradigma der europäischen Renaissance-Literatur*, Wiesbaden 1983, 1–31.
- H. L. Hix, Morte d'Author. An Autopsy, Philadelphia 1990.
- John Huntley, A Practical Look at E. D. Hirsch Validity in Interpretation, in: Genre 1 (1968), 242–255.
- Sherri Irvin, Authors, Intentions and Literary Meaning, *Philosophy Compass* 1/2 (2006), 114–128.
- William Irwin, Intentionalist Interpretation. A Philosophical Explanation and Defense, Westport 1999.
- Gary Iseminger (Hg.), Intention and Interpretation, Philadelphia 1992.
- -, Actual Intentionalism vs. Hypothetical Intentionalism, IAAC 54 (1996), 319–326.
- -, Intentional Fallacy, in: Michael Kelly (Hg.), *Encyclopedia of Aesthetics*, Oxford 1998, Bd. 2, 515–517.
- Fotis Jannidis, Der nützliche Autor. Möglichkeiten eines Begriffs zwischen Text und historischem Kontext, in: Fotis Jannidis/Gerhard Lauer/Matías Martínez/Simone Winko (Hg.), Rückkehr des Autors. Zur Erneuerung eines umstrittenen Begriffs, Tübingen 1999, 353–389.
- -, Zwischen Autor und Erzähler, in: Heinrich Detering (Hg.), *Autorschaft. Positionen und Revisionen*, Stuttgart/Weimar 2002, 540–556.
- -, Figur und Person. Beitrag zu einer historischen Narratologie, Berlin/New York 2004.
- Fotis Jannidis/Gerhard Lauer/Matías Martínez/Simone Winko, Rede über den Autor an die Gebildeten unter seinen Verächtern. Historische Modelle und systematische Perspektiven, in: dies. (Hg.), Rückkehr des Autors. Zur Erneuerung eines umstrittenen Begriffs, Tübingen 1999, 1–35.
- Bozidar Kante, In Defence of Actual Intentionalism, *Acta Analytica* 16 (2001), 109–117.
- Alex Kiefer, The Intentional Model in Interpretation, JAAC 63 (2005), 271–281.
- Tom Kindt/Hans Harald Müller, Der implizite Autoro. Zur Explikation und Verwendung eines umstrittenen Begriffs, in: Fotis Jannidis/Gerhard Lauer/Matías Martínez/Simone Winko (Hg.), Rückkehr des Autors. Zur Erneuerung eines umstrittenen Begriffs, Tübingen 1999, 273–287.
- Steven Knapp/Walter Ben Michaels, Against Theory, Critical Inquiry 8 (1982), 723-742.
- -, A Reply to Our Critics, Critical Inquiry 9 (1983), 790-800.
- -, Against Theory 2: Hermeneutics and Deconstruction, *Critical Inquiry* 14 (1987), 49–68.
- Peter Lamarque, Criticism, Aesthetics, and Analytic Philosophy, in: Christa Knellwolf/ Christopher Norris (Hg.), *Cambridge History of Literary Criticism*, Bd. 9, Cambridge 2001, 323–334.

- Peter Lamarque/Stein Haugom Olsen, Truth, Fiction, and Literature. A Philosophical Perspective, Oxford 1994.
- Jean-Jacques Lecercle, Textual Responsibility, in: Ian Maclean/Alan Montefiore/Peter Winch (Hg.), *The Political Responsibility of Intellectuals*, Cambridge 1990, 101–121.
- Thomas Leddy, Iseminger's Literary Intentionalism and an Alternative, *BJA* 39 (1999), 148–162.
- Jerrold Levinson, Intention and Interpretation in Literature. in: ders., *The Pleasures of Aesthetics. Philosophical Essays*, Ithaca 1996, 175–213 (basiert über weite Strecken auf Jerrold Levinson, Intention and Interpretation. A Last Look, in: Gary Iseminger (Hg.), *Intention and Interpretation*, Philadelphia 1992, 221–256).
- -, Two Notions of Interpretation, in: Arto Haapala/Ossi Naukkarinen (Hg.), Interpretation and Its Boundaries, Helsinki 1999, 2–21.
- -, Hypothetical Intentionalism. Statement, Objections, and Replies, in: Michael Krausz (Hg.), *Is There a Single Right Interpretation?* University Park 2002, 309–318.

Sheila Lintott, When Artists Fail. A Reply to Trivedi, BJA 42 (2002), 64–72.

Peter Lipton, Inference to the Best Explanation [1991], London <sup>2</sup>2004.

Paisley Livingston, Intentionalism in Aesthetics, NLH 29 (1998), 831–846.

- Intention in Art, in: Jerrold Levinson (Hg.), The Oxford Handbook of Aesthetics, Oxford 2003, 275–290.
- -, Art and Intention. A Philosophical Study, Oxford 2005.
- Joseph Margolis/Tom Rockmore (Hg.), *The Philosophy of Interpretation*, Oxford 2000 (*Meta-philosophy* 31, 1/2).
- Marie D. Martel, L'interprétation pluraliste et la nature de l'œuvre littéraire, *Philosophiques* 32 (2005), 101–123.
- Martin Montminy, Interprétation et interprétationnismes, *Philosophiques* 32 (2005), 3–17.
- Michael L. Morgan, Authorship and the History of Philosophy, *Review of Metaphysics* 42 (1988), 327–355.
- Hans-Harald Müller, Eco zwischen Autor und Text. Eine Kritik von Umberto Ecos Interpretationstheorie, in: Tom Kindt/Hans-Harald Müller (Hg.), *Ecos Echos*, München 2000, 135–148.
- Daniel O. Nathan, Irony and the Artist's Intentions, BIA 22 (1982), 245–256.
- -, Irony, Metaphor, and the Problem of Intention, in: Gary Iseminger (Hg.), *Intention and Interpretation*, Philadelphia 1992, 183–202.
- -, A Paradox in Intentionalism, in: BJA 45 (2005), 32-48.
- -, Art, Meaning, and Artist's Meaning, in: Matthew Kieran (Hg.), Contemporary Debates in Aesthetics and the Philosophy of Art, Oxford 2006, 282–293.
- Alexander Nehamas, The Postulated Author: Critical Monism as a Regulative Ideal, *Critical Inquiry* 8 (1981), 133–149.
- -, What an Author Is, Journal of Philosophy 83 (1986), 685-691.
- -, Writer, Text, Work, Author, in: Anthony J. Cascardi (Hg.), *Literature and the Question of Philosophy*, Baltimore/London 1987, 267–291.
- William Nelles, Historical and Implied Authors and Readers, *Comparative Literature* 45 (1983), 22–46.
- Christopher New, *Philosophy of Literature*. An Introduction, London/New York 1999.

- Ansgar Nünning, Renaissance eines anthropomorphisierten Passepartouts oder Nachruf auf ein literaturkritisches Phantom? Überlegungen und Alternativen zum Konzept des simplied authors, *DVjs* 67 (1993), 1–25.
- Totgesagte leben länger: Anmerkungen zur Rückkehr des Autors und zu Wiederbelebungsversuchen des simpliziten Autors«, *Literaturwissenschaftliches Jahrbuch* 42 (2001), 353–385.

Jon-Arild Olsen, L'esprit du roman: Œuvre, fiction et récit, Bern 2004.

Stein Haugom Olsen, The End of Literary Theory, Cambridge 1987.

Jérôme Pelletier, Deux conceptions de l'interprétation des récits de fiction, *Philosophiques* 32 (2005), 39–54.

Carolyn Price, Artificial Functions and the Meaning of Literary Works, *BJA* 43 (2003), 1–17.

Peter J. Rabinowitz, Before Reading. Narrative Conventions and the Politics of Interpretation, Ithaca 1987.

Brian Rosebury, Irrecoverable Intentions and Literary Interpretation, BJA 37 (1997), 15–30.

Anthony Savile, Instrumentalism and the Interpretation of Narrative, *Mind* 105 (1996), 553–576.

Stephen R. Schiffer, Meaning, Oxford 1972.

Wolf Schmid, Elemente der Narratologie, Berlin/New York 2005.

Ronald Shusterman, Knowledge and the Author. The Intentional Fallacy Revisited and (Perhaps) Removed, *Cycnos* 14 (1997), 111–125.

Axel Spree, Kritik der Interpretation. Analytische Untersuchungen zu interpretationskritischen Literaturtheorien, Paderborn 1995.

Robert Stecker, Apparent, Implied, and Postulated Authors, *Philosophy and Literature* 11 (1987), 258–271.

- The Role of Intention and Convention in Interpreting Artworks, Southern Journal of Philosophy 31 (1993), 471–489.
- -, Art Interpretation, JAAC 52 (1994), 193–206.
- Interpretation, in: Berys Gaut/Dominic McIver Lopes (Hg.), The Rontledge Companion to Aesthetics, London 2001, 239–251.
- -, Interpretation and Construction. Art, Speech, and the Law, Oxford 2003.
- -, Interpretation and the Problem of Relevant Intention, in: Matthew Kieran (Hg.), Contemporary Debates in Aesthetics and the Philosophy of Art, Oxford 2006, 269–281.

George Steiner, Real Presences. Is There Anything in What We Say? Chicago 1989.

Werner Strube, Über Kriterien der Beurteilung von Textinterpretationen, in: Lutz Danneberg/Friedrich Vollhardt (Hg.), Vom Umgang mit Literatur und Literaturgeschichte, Stuttgart/Weimar 1992, 185–209.

Patrick Swinden, Literature and the Philosophy of Intention, Basingstoke 1999.

William E. Tolhurst, On What a Text Is and How It Means, BJA 19 (1979), 3-14.

Saam Trivedi, An Epistemic Dilemma for Actual Intentionalism, BJA 41 (2001), 192–206.

George M. Wilson, Again Theory. On Speaker's Meaning, Linguistic Meaning, and the Meaning of a Text, *Critical Inquiry* 19 (1992), 164–185.

William K. Wimsatt, Genesis. An Argument Resumed [1968], in: William K. Wimsatt, Day of the Leopards. Essays in Defense of Poems, New Haven 1976, 11–39.

- William K. Wimsatt/Monroe C. Beardsley, The Intentional Fallacy [1946], in: William K. Wimsatt, *The Verbal Icon. Studies in the Meaning of Poetry*, Lexington 1954, 3–18.
- -, The Affective Fallacy [1949], in: William K. Wimsatt, *The Verbal Icon. Studies in the Meaning of Poetry*, Lexington 1954, 21–39.
- Simone Winko, Einführung: Autor und Intention, in: Fotis Jannidis/Gerhard Lauer/ Matías Martínez/Simone Winko (Hg.), Rückkehr des Autors. Zur Erneuerung eines umstrittenen Begriffs, Tübingen 1999, 39–46.
- -, Analytische Literaturwissenschaft, in: Ansgar Nünning (Hg.), Metzler Lexikon Literatur- und Kulturtheorie: Ansätze Personen Grundbegriffe, Stuttgart/Weimar <sup>3</sup>2004, 16 f.

# Full-length article in: JLT 1/1 (2007), 81-110.

### How to cite this item:

Abstract of: Carlos Spoerhase, Hypothetischer Intentionalismus.

Rekonstruktion und Kritik. In: JLTonline (19.03.2009)

Persistent Identifier: urn:nbn:de:0222-000361

Link: http://nbn-resolving.de/urn:nbn:de:0222-000361