

Effect of increasing CO₂ on the terrestrial carbon cycle

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Feedbacks from the terrestrial carbon cycle significantly affect future climate change. The CO₂ concentration dependence of global terrestrial carbon storage is one of the largest and most uncertain feedbacks. Theory predicts the CO₂ effect should have a tropical maximum, but a large terrestrial sink has been contradicted by analyses of atmospheric CO₂ that do not show large tropical uptake. Our results, however, show significant tropical uptake and, combining tropical and extratropical fluxes, suggest that up to 60% of the present-day terrestrial sink is caused by increasing atmospheric CO₂. This conclusion is consistent with a validated subset of atmospheric analyses, but uncertainty remains. Improved model diagnostics and new space-based observations can reduce the uncertainty of tropical and temperate zone carbon flux estimates. This analysis supports a significant feedback to future atmospheric CO₂ concentrations from carbon uptake in terrestrial ecosystems caused by rising atmospheric CO₂ concentrations. This feedback will have substantial tropical contributions, but the magnitude of future carbon uptake by tropical forests also depends on how they respond to climate change and requires their protection from deforestation.

climate feedback | carbon budget | tropics | atmospheric transport

In projections of future climate, the carbon cycle is second only to physical climate sensitivity itself in contributing uncertainty (1). Earth system model uncertainty has increased as more mechanisms have been incorporated into a growing number of increasingly sophisticated models. Terrestrial ecosystem feedbacks to atmospheric CO₂ concentration result from two mechanisms, direct effects of CO₂ on photosynthesis and effects of climate change on photosynthesis, respiration, and disturbance (2). The CO₂ effect, used here to describe the effect of increasing atmospheric CO₂ on terrestrial carbon storage by increasing photosynthetic rates, is also known as the β effect (3, 4). The effects of CO₂ on carbon uptake occur at the enzymatic and stomatal scales but impact the global carbon cycle.

The CO₂ effect on terrestrial carbon storage is a key potential negative feedback to future climate, and in models of the present, it is the largest carbon cycle feedback (5, 6). In simulations of the next century, the CO₂ effect is four times larger than the climate effect on terrestrial carbon storage and twice as uncertain (4). Land use also creates large fluxes, but these are not driven by CO₂ or climate directly and so are not feedbacks. In models of the future, the biosphere operates as a net sink, reducing the climate impact of fossil fuel and deforestation emissions, until positive feedbacks from climate change [reduced productivity, increased respiration, or dieback (7)] and land use emissions exceed the CO₂ effect. The magnitude of this negative feedback is crucial to simulating future climate, but because observational constraints on the CO₂ effect are limited, the effects of CO₂ remain controversial. The effects of CO₂ are known mainly from small-scale experimental studies, ranging from single-leaf experiments through to ecosystem-scale experiments with a spatial scale of hundreds of meters (8), but predictions from theory of a large tropical effect of CO₂ have appeared to be inconsistent with global patterns of atmospheric CO₂ (6).

Photosynthesis increases with increasing CO₂ following a Michaelis–Menton curve, and this effect grows stronger at

higher temperatures, implying, all else being equal, larger effects in warmer climates (9–11), especially in the tropics. Many factors control the relationship between increased photosynthetic rate and carbon storage, including how fixed carbon is allocated to plant tissues and soils with different residence times, the development of progressive nitrogen limitation, interactions with water or light limitation, and many other biological responses (12). Theory and experiments agree in suggesting a CO₂-driven net sink that should be roughly proportional to overall productivity (13) leading to a large sink in the tropics, a prediction that should be testable with global observations (11).

Materials and Methods

We develop a new framework for evaluating diverse estimates of terrestrial carbon feedbacks within the context of the global mass balance, and specifically focus on the impact of increasing CO₂ on terrestrial carbon uptake. The best-known terms in the global carbon cycle are the atmospheric concentration change, measured directly, the fossil plus cement emission rate, known from national statistical data, and ocean uptake, constrained by models and observations (6). Contrasting atmospheric and ecological evidence suggest very different carbon–climate futures, each supported by some evidence. If the land sink is dominated by northern midlatitudes, then it is likely due to recovery from land use and should saturate, or slow significantly, in the near future as forests mature. If the land sink is dominated by the tropics, it is likely due to a significant CO₂ effect leading to a continuing carbon uptake as CO₂ concentrations increase up to an unknown threshold.

Comparing bottom-up analyses and net fluxes from atmospheric approaches is surprisingly difficult. Many bottom-up analyses do not include all of the gross terms—for example, many forest-based estimates do not include growth in undisturbed forests or changes to soil storage. Top-down analyses have high uncertainty in regional fluxes, and are strongest when global patterns can be compared. We employ a new framework to test between the alternatives above, dividing carbon fluxes up between northern hemisphere extratropical and tropical plus southern hemisphere extratropical terms. We make use of a recent global carbon budget, results from

Significance

Feedbacks from terrestrial ecosystems to atmospheric CO₂ concentrations contribute the second-largest uncertainty to projections of future climate. These feedbacks, acting over huge regions and long periods of time, are extraordinarily difficult to observe and quantify directly. We evaluated in situ, atmospheric, and simulation estimates of the effect of CO₂ on carbon storage, subject to mass balance constraints. Multiple lines of evidence suggest significant tropical uptake for CO₂, approximately balancing net deforestation and confirming a substantial negative global feedback to atmospheric CO₂ and climate. This reconciles two approaches that have previously produced contradictory results. We provide a consistent explanation of the impacts of CO₂ on terrestrial carbon across the 12 orders of magnitude between plant stomata and the global carbon cycle.

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a comprehensive process model intercomparison (called TRENDY; *SI Text*) and results from two atmospheric studies [called TransCom and RECCAP (Regional Carbon Cycle Assessment and Processes); *SI Text*]. While these studies clearly contain information about the magnitude of the CO₂ effect, this has not been the focus of the literature on these studies. We also analyze related evidence from recent studies using in situ data constraining localized ecosystem sensitivity against our global conclusions. Finally, we show that the estimated CO₂ effect can be incorporated into the global carbon budget with consistency with other, better-known fluxes.

Results

Fig. 1 shows the modeled CO₂ effect on carbon uptake meridionally for the 2000s from a recent terrestrial model ensemble (Table S1). Global terrestrial simulations of the CO₂ effect show a strong tropical CO₂ effect and a strong global correlation between the CO₂ effect and gross photosynthetic rates (Fig. 1). The CO₂ effect is dominated by the tropics, consistent with theory, with additional uptake in forested northern midlatitudes and boreal latitudes. These ecosystem models still lack many processes that could ultimately affect the magnitude of the modeled CO₂ effect. The effects of residence time also influence this pattern, leading to a somewhat lower CO₂ effect uptake relative to GPP in the subtropics compared with forested regions.

Hickler et al. (9) showed that global modeled CO₂ effects agree with experimental data available in the midlatitudes and show greater relative enhancement of uptake in the tropics than the midlatitudes, and lower in the boreal, as a result of the modeled CO₂ effect's temperature dependence. Despite the theoretical (11, 13) and empirical (14) support for a CO₂ effect, the magnitude and even existence of this effect is uncertain because of apparent conflict between the prediction of models and empirical findings from atmospheric analyses. Analyses of atmospheric CO₂ patterns can be used to estimate carbon exchange between terrestrial ecosystems and the atmosphere, using inverse modeling techniques. Atmospheric inverse model ensemble results conflict with predictions of a tropical CO₂-driven sink and on average find strong northern and weak tropical uptake (15–18).

Inverse models estimate net carbon exchange, or the balance resulting from land use emissions and uptake in regrowing and

established forests. This last term, uptake by established forests, may be the strongest signal of CO₂ effects, as it isolates the CO₂ effect in carbon uptake from that in regrowth. In 1990, as the likely physiological correlation between storage and photosynthesis, or gross primary productivity (GPP), was being established, Tans et al. (15) argued that atmospheric CO₂ signals could not be explained by a flux proportional to productivity (the CO₂ effect) and were best explained by a strong sink in the northern hemisphere midlatitudes, in effect falsifying the theoretical predictions of the CO₂ effect. They did note that the uncertainty (at that time) in tropical land use fluxes was so high that their assessment of a tropical sink was inconclusive (15). The combination of sparse observations and rapid vertical mixing make the tropical land “unseen” by the CO₂ network, such that tropical fluxes are estimated by the inversion systems as the residual from other better-constrained regions. Many inverse analyses and inversion ensemble means (16, 18, 19) show tropical net fluxes roughly equal to net deforestation (emission minus regrowth) leaving no room in the budget for an additional CO₂-driven storage increase.

The complete set of inverse model results, however, diverge on the tropical net flux (Tables S2 and S3). Stephens et al. (20) showed that filtering inverse models against an additional observational constraint, the vertical gradient of CO₂ in the northern hemisphere atmosphere, favored models with a more nearly neutral net flux, leaving room for a CO₂-driven storage increase. Despite this realization, and subsequent advances in the observing network (21) and inversion methodology, models continue to disagree about the relative partitioning of terrestrial uptake between the midlatitudes and low latitudes (18). The more recent models showed terrestrial fluxes spanning a 4.9 Pg C y⁻¹ range in northern minus tropical+southern partitioning with 6 of the 11 models giving near-zero net tropical exchange consistent with a strong CO₂ effect (ref. 18, Table S3). Few attempts have been made to reconcile the full range of evidence surrounding the CO₂ effect (22, 23), partly because such reconciliation requires integrating diverse methods and assumptions from disciplines such as plant physiology (11), forestry (24), dynamic global vegetation models (9), and atmospheric inverse and transport models (20). This reconciliation is crucial to constraining the magnitude of the feedback (22).

An additional, but circumstantial, line of evidence for a significant negative feedback in the carbon system comes from the increase over time of net terrestrial uptake (25–27). The land flux is estimated by the Global Carbon Project (GCP) (27) as the residual from atmospheric concentration data (25, 28), ocean models adjusted to match three observational constraints (29–31) and fossil fuel inventories (32). Before 1959, the ocean fluxes come from data-based estimates (33) and the atmospheric growth rate from ice and firn gas (34). The resulting net terrestrial flux has shifted from a small source to a sink >2 Pg C y⁻¹ from 1850 to 2012 (ref. 27, Fig. 2). The latter part of the trend (Fig. 2) shows increasing uptake, paralleling the acceleration of atmospheric CO₂ concentrations.

Process models suggest that CO₂ effects could explain a large part of this uptake: Models run with CO₂ effects independently mirror the observed trend, offset by about 1.2 Pg C y⁻¹, possibly as a result of excluded climate and land use effects (Fig. 2). If this pattern of increase in the observed net sink were not occurring, it would falsify the CO₂ effect. This decadal pattern is highly suggestive of a negative feedback, but cannot be used quantitatively to constrain the process because the global signal also includes the effects of CO₂, climate, nitrogen and ozone deposition, nutrient cycle changes, and historical disturbance.

In Fig. 3, we synthesize a number of different lines of evidence within the constraints of the global carbon budget. Fig. 3 shows results from several community atmospheric inverse ensembles, plotted as northern extratropical versus tropical+southern land fluxes. Summed, these two terms comprise the total global

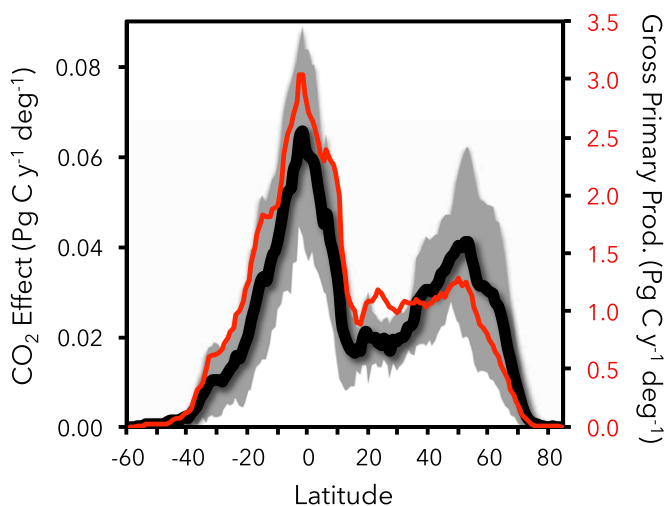
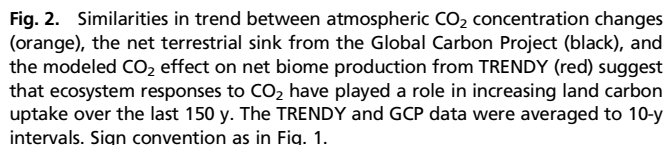


Fig. 1. Zonal sums of the CO₂ effect and gross primary productivity averaged over 2000–2010 from nine terrestrial biosphere models (see *SI Text*). The gray shaded area shows the multimodel SD around the multimodel mean CO₂ effect (thick black line). The red line shows the multimodel mean GPP, illustrating the strong correlation between GPP and enhanced storage (net biome production) due to CO₂ (the CO₂ effect); the sign convention is reversed here for clarity (normally, uptake is negative).



terrestrial sink and so can be compared with the better-constrained global carbon budget estimate of the net land flux. Results in the upper left-hand quadrant correspond to dominance by land use effects, while the lower right-hand quadrant suggests a larger role for the CO₂ effect. Data that constrain tropical fluxes provide an indirect constraint on the global CO₂ effect.

Both the T3L2 and the RECCAP results show negative correlations between northern extratropical and tropical+southern land fluxes (Fig. 3), as expected owing to the constraints on the total terrestrial uptake imposed by the lower uncertainties of fossil fuel and oceanic fluxes and the atmospheric growth rate in the inversion estimates. The light gray error band in Fig. 3 shows the constraint imposed by fixing the fossil fuel and ocean fluxes to those from the GCP (27) for the corresponding T3L2 and RECCAP periods. For any set of fossil fuel, ocean, and atmosphere constraints, a $-1:1$ correlation and uncertainty band in the residual land fluxes is imposed (see Fig. S1 for additional details). Estimates of net terrestrial uptake that lie outside of this uncertainty band are unlikely because they violate one or more of the better-known constraints. Models and observations spanning the period 1990–2010 suggest terrestrial fluxes of *ca.* -1 Pg C y^{-1} in the northern extratropics and tropical+southern net fluxes of 0.0 Pg C y^{-1} to -0.5 Pg C y^{-1} . This requires sinks to balance the gross deforestation source of *ca.* 3 Pg C y^{-1} . CO_2 fertilization in models and uptake in intact forests in inventories are in greater agreement with the mass balance results.

Discussion

Results for the CO_2 effect from a recent terrestrial ecosystem model ensemble are also shown in Fig. 3 (TRENDY) (39) and can add an additional constraint on the possible causes and magnitude of the CO_2 effect. The TRENDY results in Fig. 3 are shown broken down into fluxes responding to only climate and land use drivers (open blue triangles) and fluxes responding to climate, land use, and CO_2 effect drivers (closed blue triangles), with ellipses representing medians and SDs. Without the CO_2 effect, the median of the TRENDY models is well outside of the uncertainty bands implied by the GCP. Including the median CO_2 effect simulated by the TRENDY models produces a budget in agreement with independent global constraints. This effect is predicted to occur both in northern and tropical forests, with the combination bringing the TRENDY estimates into close agreement with both the Pan et al. (35) and Stephens et al. (20) estimates. Several models with extremely high sensitivity to CO_2 fall outside the GCP constraint.

Increases in plant growth in intact tropical forests, rather than just regrowth from deforestation, are required for consistency with the Stephens et al. (20) and GCP constraints. These increases may not be only due to CO₂, although it is considered the most likely hypothesis (35). One study at the La Selva field station in Costa Rica attempted to estimate the climate and CO₂-driven components of carbon uptake and estimated an increase of plant growth rate [net primary productivity (NPP)] (38) of 5.24 g C m⁻² y⁻¹. This increase was used to suggest that models and forest inventory studies were overestimating the tropical CO₂ sink. We found the ecosystem models in Fig. 3 that suggested a carbon sink of 1–2 Pg C y⁻¹ showed changes in NPP that bracketed the 5 g C m⁻² y⁻¹ value, indicating that this acceleration of productivity is consistent with pantropical significant

Table 1. The global carbon budget for 1990–2007, updated and inclusive with all terrestrial fluxes attributed to processes or regions

| Carbon budget component | Flux (1990–2007) | | |
|--|---|-----------------------------------|----------|
| | Average annual flux, Pg C.y ⁻¹ | Uncertainty, Pg C.y ⁻¹ | Source |
| Atmospheric increase (AI) | 3.6 | 0.4 | GCP |
| Fossil plus cement (FpC) | 6.9 | 0.1 | GCP |
| Tropical gross deforestation (TGD) | 2.9 | 0.5 | (35) |
| Ocean uptake (OU) | –2.3 | 0.5 | GCP |
| Tropical regrowth after deforestation (TRD) | –1.6 | 0.5 | (35) |
| Northern extratropical uptake (all processes) (NEU) | –1.2 | 0.1 | combined |
| Tropical plus southern CO ₂ effect uptake (TpS) | –1.4 | 0.4 | combined |

This table combines the GCP 2013 carbon budget with the additional flux estimates derived in this paper. This budget has a residual error of 0.3 Pg C y⁻¹, within the uncertainty of the total budget (1 Pg C y⁻¹). The values in the NEU and TpS rows are weighted means of Pan et al. (35) and TRENDY estimates (see [SI Text](#)). Note that most carbon budgets (e.g., GCP) include a terrestrial term estimated by difference and so sum to zero. Budget summary: AI = FpC + TGF + OU + TRD + NEU + TpS + *residual (uncertainty)*; 3.6 = 6.9 + 2.9–2.3–1.6–1.2–1.4 + 0.3 (1.0).

We partition this net sink into the CO₂ effect and all other processes, and into northern extratropical or tropical+southern regions. Our resulting best estimate of the tropical+southern CO₂ effect is -1.4 ± 0.4 Pg C.y⁻¹. This is an upper-bound estimate on the absolute magnitude of the tropical+southern CO₂ effect, as the observed estimate (35) no doubt adds effects of other changes to light, water, and nutrients to the CO₂ effect.

For the northern extratropics, where nitrogen deposition and air pollution, climate, and historical land use also all affect carbon uptake, and Pan et al. (35) did not partition the inventory estimates, we must rely on the prognostic models. The TRENDY models estimate a northern extratropical CO_2 effect of $-1.1 \pm 0.4 \text{ Pg C y}^{-1}$ (median of nine models, S1 experiment). Combined, we estimate a global effect of $-2.5 \pm 0.6 \text{ Pg C y}^{-1}$. The median global CO_2 effect simulated by the TRENDY models over the 1990–2007 time period is -2.6 ± 1.0 . Fig. 3 shows models with very high sensitivity to CO_2 lie well outside the mass balance constraint.

As a check, if we assume that the Stephens et al. (20) estimate of the net tropical+southern atmospheric flux is correct and representative of the full decade at roughly -0.5 Pg C y^{-1} , and further assume that Pan et al.'s (35) 1990–1999 deforestation and regrowth numbers are correct, this yields an uptake in intact forest of -0.8 Pg C y^{-1} , within the uncertainty of the other Pan et al. (35) and TRENDY estimates; this analysis cannot be done more formally, as the inverse model results and atmospheric constraint (vertical profiles) do not span the entire Pan et al. (35) period.

Conclusions

The CO₂ effect likely acts as a significant negative feedback in today's global carbon cycle, absorbing up to 30% of fossil fuel CO₂ emissions. Uncertainty in the strength of this effect contributes significant variability to projections of future atmospheric CO₂ concentrations. Process models, forest inventories, forest NPP time series (38) and a data-constrained subset of atmospheric inverse models lead to a carbon budget consistent with a significant CO₂ effect. Further, assuming uptake due to the global CO₂ effect of -2.5 Pg C y^{-1} (text above) and total land sinks of -4.2 Pg C y^{-1} (Table 1), this implies that up to 60% of current terrestrial sinks are due to this single feedback. For reasons stated above, this is likely an upper bound, as the atmospheric and forest inventory data include fluxes not due to the CO₂ effect. Including estimates for these new process-specific gross fluxes in the GCP (27) global carbon budget results in a bottom-up budget including the CO₂ effect estimated as described above (Table 1 and *SI Text*). This budget is not forced to balance by the traditional “residual terrestrial flux” and so does not sum to zero but rather (encouragingly) balances within the uncertainty of the other fluxes.

All of the evidence suggests that the CO₂ effect is a significant feedback in the climate system, except for that from a group of inverse models that do not agree between themselves. To refine the quantification of the CO₂ effect (Fig. 3), it is critical to reduce the remaining uncertainty in inverse model partitioning of tropical versus northern flux estimates. Inverse studies should be designed so they span long enough for robust comparison of atmospheric to biomass results. Atmospheric analyses need to produce and archive posterior atmospheric CO₂ concentrations for comparison with observations (20). Models need improved numerical representation of northern extratropical atmospheric convection to reduce uncertainty in inverse estimates (20).

Recent studies show significant but highly variable carbon uptake in the tropics (40), and this year-to-year variability may be increasing (41). Long-term trends in climate will also produce carbon storage trends that must be estimated. The high variability (40–42) implies that observations and inverse analyses must span a period of years sufficient to average over short-term climate-driven variability. Standardizing time periods, or producing all estimates on an annual basis, is essential to allow integrative analysis.

Further reductions in uncertainty require reducing the persistent disagreement between inverse estimates of tropical versus midlatitude uptake. Comparing posterior concentration fields from atmospheric inversions to observations has not become routine, and posterior concentrations were not archived in the RECCAP study (18). Given the importance of assessing modeled meridional partitioning, saving posterior concentration fields and comparing them to observations should be a high priority. New atmospheric measurements of tropospheric vertical profiles, in particular in the tropics (where data are sparse), are needed. Isotopic measurements may also help: An early study using ^{13}C had results consistent with ours, but could not distinguish between an overestimate of deforestation or the existence of a large sink (43).

The apparent CO₂-driven sink also increases the priority of experimental manipulations in tropical forests to confirm the sensitivity of tropical forests to increasing CO₂. Estimates of gross deforestation are beginning to converge (44–46); reconciling inventory and atmospheric estimates requires robust estimates of all fluxes. Satellite CO₂ measurements provide vastly higher density of observations but, because of cloud cover, remain sparser in the tropics than elsewhere. The Orbiting Carbon Observatory 2 (OCO-2), just launched, with its vastly higher sampling density, may aid in partitioning fluxes meridionally, while even denser and more frequent sampling of concentrations using data from

a geostationary sensor is likely required to separate fluxes in intact versus deforested regions (47, 48).

The balance of evidence from in situ, inventory, simulation, and atmospheric studies provides support for a long-hypothesized negative feedback to CO₂ from the terrestrial biosphere that is distributed spatially as theory predicts. Terrestrial sinks dominate over land use and climate sources in the present, but the effects of climate are likely to grow over the next decades. This implies a potential transition, influenced by climate and land use trends, when climate effects exceed CO₂ effects and other sinks, leading to an enhanced growth rate of CO₂. Accurately forecasting when this transition might occur requires improved quantification of all of the feedback sensitivities. The CO₂ effect cannot absorb the majority of fossil fuel emissions, but carbon uptake in response to increasing CO₂ is a crucial global ecosystem service. The future tropical balance of deforestation and climate sources and regrowth and CO₂ sinks will only remain a robust feature of the

global carbon cycle if the vast tropical forests are protected from destruction.

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