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 **Measurement of thermal fields in transparent fluids by optical techniques**

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Summary

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- **Physical basis**
 - Electromagnetic wave's theory
 - Optics
- **Principles of operation**
 - Refraction → Schlieren visualization technique
 - Holography and interferometry → Holographic interferometry
 - Speckle effect → Speckle photography
- **Relevant applications**
 - Forced convection heat transfer
 - Free convection heat transfer

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Electromagnetic waves - 1

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Monochromatic electromagnetic wave

$$\begin{cases} \vec{E}(\vec{r}, t) = \vec{E}_0(\vec{r}) e^{-i\omega t} \\ \vec{H}(\vec{r}, t) = \vec{H}_0(\vec{r}) e^{-i\omega t} \end{cases}$$

where

$$\omega = 2\pi f$$

Angular frequency

$$\vec{\nabla} \wedge \vec{H}_0 + ik_0 \varepsilon \vec{E}_0 = 0$$

$$\vec{\nabla} \wedge \vec{E}_0 - ik_0 \mu \vec{H}_0 = 0$$

$$\vec{\nabla} \cdot \varepsilon \vec{E}_0 = 0$$

$$\vec{\nabla} \cdot \mu \vec{H}_0 = 0$$

Maxwell equations

$$k_0 = \frac{\omega}{c_0} = \frac{2\pi}{\lambda_0}$$

Wave number

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Electromagnetic waves - 2

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Simplifications

Far field $r \gg \lambda_0$

$$\begin{cases} \vec{E}_0(\vec{r}) = \vec{e}(\vec{r}) e^{ik_0 S(r)} \\ \vec{H}_0(\vec{r}) = \vec{h}(\vec{r}) e^{ik_0 S(r)} \end{cases} \quad k_0 S(r) \quad \text{phase} \quad S(r) \quad \text{Eikonal}$$

$S(r) = \text{constant}$ Iso-phase surface or **wavefront**

Very short wavelengths (as for visible light) $\lambda_0 \rightarrow 0 \quad k_0 \rightarrow \infty$

$$(\vec{\nabla} S)^2 = n^2 \quad \text{Eikonal equation}$$

$$n = \sqrt{\varepsilon \mu} = c_0 / c \quad \text{Refractive index}$$

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Electromagnetic waves - 3

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Wave propagation

$$\frac{\vec{\nabla} S}{n} = \frac{\vec{\nabla} S}{|\vec{\nabla} S|} = \vec{s}$$

Wavefront normal vector



Direction of propagation (geometric ray)

Poynting vector (power density)

$$\vec{P} = \frac{c}{4\pi} \vec{E} \wedge \vec{H}$$

w Sum of the electric and magnetic energy density

$$\langle \vec{P} \rangle = \frac{c_0 \langle w \rangle}{n^2} \vec{\nabla} S = c \langle w \rangle \vec{s} \quad \langle \bullet \rangle \text{ Time average}$$

$$I = \left| \langle \vec{P} \rangle \right| \quad \text{Radiation intensity (detected quantity)}$$

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Wave propagation - 1

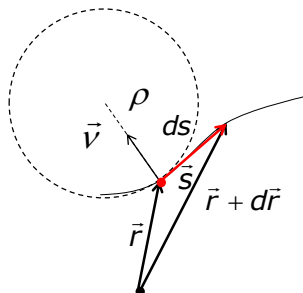
6

$$\vec{\nabla} \wedge \vec{\nabla} S = \vec{\nabla} \wedge n \vec{s} = 0$$

$$\int_F (\vec{\nabla} \wedge \vec{\nabla} S) dF = \int_F (\vec{\nabla} \wedge n \vec{s}) dF = \int_C n \vec{s} d\vec{r} = \int_C \vec{\nabla} S d\vec{r} = 0$$

$$\int_{P_1}^{P_2} n \vec{s} d\vec{r} = \int_{P_1}^{P_2} n ds = S(P_2) - S(P_1)$$

optical path length = phase shift



$$\vec{s} = \frac{d\vec{r}}{ds}$$

$$\frac{\vec{\nabla} S}{n} = \frac{\vec{\nabla} S}{|\vec{\nabla} S|} = \vec{s}$$

$$n \frac{d\vec{r}}{ds} = \vec{\nabla} S$$

$$\frac{d}{ds} \left(n \frac{d\vec{r}}{ds} \right) = \vec{\nabla} n$$

Ray equation

$$\vec{K} = \frac{d\vec{s}}{ds} = \frac{\vec{v}}{\rho}$$

$$n \vec{K} = \vec{\nabla} n - \frac{dn}{ds} \vec{s}$$

$$|\vec{K}| = \frac{1}{\rho} = \frac{|\vec{\nabla} n|}{n} \sin \alpha$$

α angle between $\vec{\nabla} n$ and \vec{s}

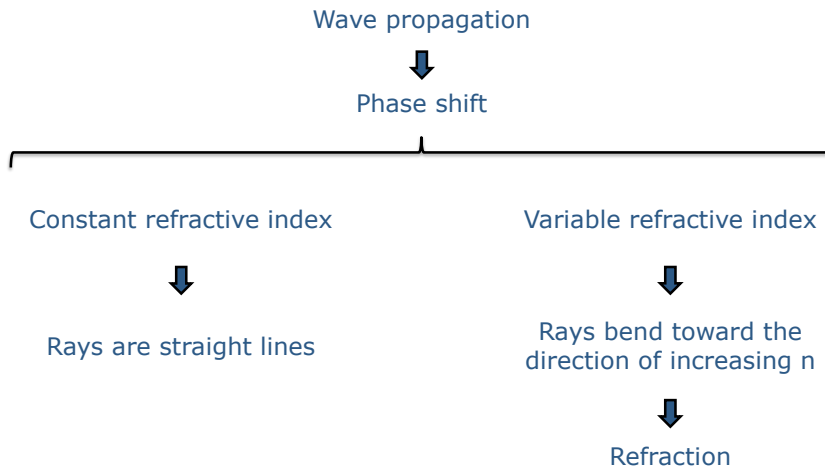
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Wave propagation - 2

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Summary of the main concepts



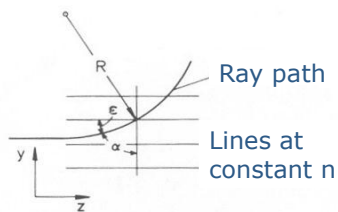
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Wave propagation - 3

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One-dimensional distribution of the refractive index

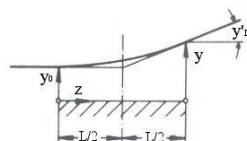


$$n = n(y)$$

Ray equation (Snell's law)

$$\frac{1 + y'^2}{1 + y_0'^2} = \left(\frac{n}{n_0} \right)^2 = \frac{\sin^2 \alpha_0}{\sin^2 \alpha}$$

If y' is small (~ 0.01 , in typical applications) $\frac{1}{R} = \frac{y''}{(1 - y'^2)^{3/2}} \approx y''$



$y'' = \text{const}$ Parabolic approximation

$$y'(L) = \frac{y(L) - y(0)}{\frac{L}{2}}$$

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Effect of thermal fields -1

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Lorentz-Lorenz equation
(transparent media)

$$\frac{n^2 - 1}{n^2 + 2} \frac{1}{\rho} = \frac{\bar{r}}{M_m}$$

\bar{r} Molar refractivity [m^3/mol]

M_m Molar mass [kg/mol]

ρ Density [kg/m^3]

Gladstone-Dale equation
(gases)

$$\frac{n - 1}{3} \frac{2}{\rho} = \frac{\bar{r}}{M_m}$$

Ideal gas

$$n(T, \rho) = 1 + \frac{3}{2} \frac{p \bar{r}}{RT}$$

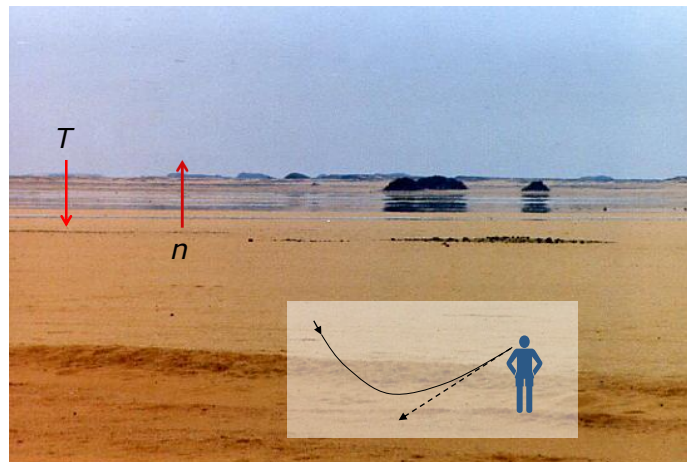
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Effect of thermal fields -2

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The mirage

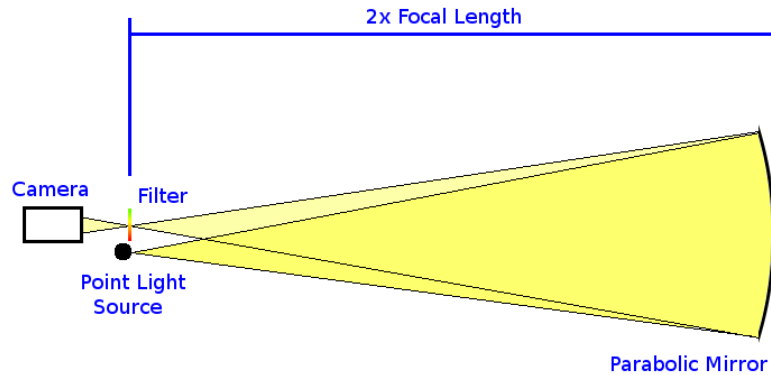


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The Schlieren method - 1

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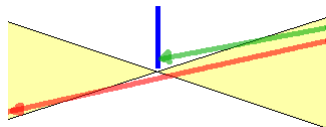
A Schlieren Object, i.e. a variable refractive index field is placed between the source and the mirror

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The Schlieren method - 2

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Reflected rays after being refracted by the Schlieren Object do not converge to the focus

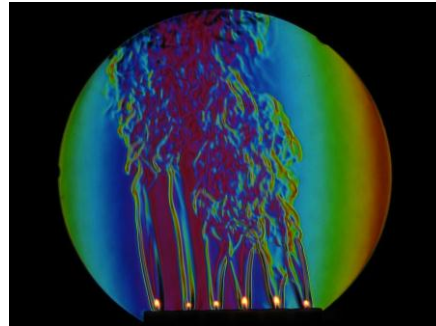
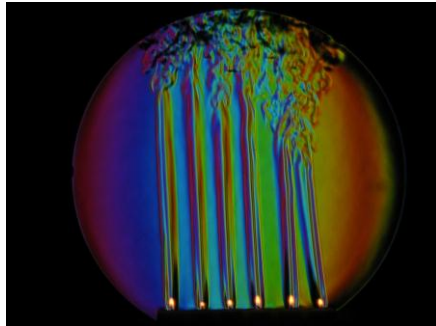
- By using a “knife edge” part of the refracted light can be cut off.
- The resulting image is characterized by an alternating bright and dark pattern.
- A colored filter works as well, coloring the intercepted light.

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The Schlieren method - 3

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Interference - 1

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Superposition of monochromatic electromagnetic waves

$$I = I_1 + I_2 + J_{12}$$

$$J_{12} = 2\sqrt{I_1 I_2} \cos \delta$$

Interference term

$$\delta = k_0(S_2 - S_1)$$

(Initial) phase difference

Iso- δ lines = **interference fringes**

Constructive interference

$$\begin{cases} I_{\max} = I_1 + I_2 + 2\sqrt{I_1 I_2} \\ |\delta| = 0, 2\pi, 4\pi, \dots \end{cases}$$

Destructive interference

$$\begin{cases} I_{\min} = I_1 + I_2 - 2\sqrt{I_1 I_2} \\ |\delta| = \pi, 3\pi, \dots \end{cases}$$

Fringe visibility (contrast)

$$V = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$$

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Interference - 2

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$\Delta\nu \ll \bar{\nu}$ **Almost** monochromatic electromagnetic waves

Interference pattern $I = I_1 + I_2 + |\gamma_{12}| \cdot 2\sqrt{I_1 I_2} \cos \delta$

(Initial) phase difference $\delta = \bar{k}_{02} S_2 - \bar{k}_{01} S_1$

Complex degree of coherence γ_{12}

It depends on the degree of correlation between the arrival times of the wave packets and on the polarization state

Fringe visibility (contrast) $V = \frac{2\sqrt{I_1 I_2}}{I_1 + I_2} \cdot |\gamma_{12}|$

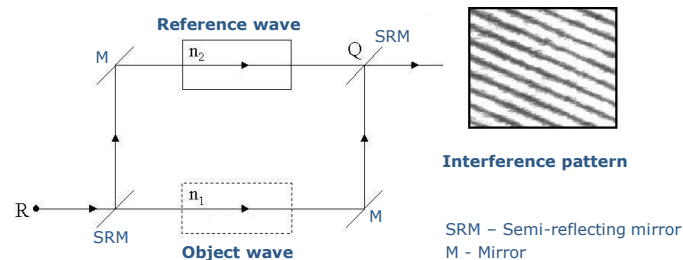
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Interferometry - 1

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Mach-Zender interferometer



$$\delta = \bar{k}_0 [S_2(Q) - S_2(R) - S_1(Q) + S_1(R)] = \bar{k}_0 [S_2(Q) - S_1(Q)] = \bar{k}_0 \Delta(\overline{RQ})$$

$$\Delta(\overline{RQ}) = \int_R^Q n_2 ds - \int_R^Q n_1 ds$$

The fringe modulation is related to the variation of the optical path length due to refraction

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Interferometry - 2

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Two-dimensional distribution of the refractive index

z direction of propagation

$$n = n(x, y)$$

$$\Delta(\overline{RQ}) = \int_{z_1}^{z_2} [n(x, y) - n_0] dz = \frac{\delta(x, y)}{k_0} = [n(x, y) - n_0] L \quad L = z_2 - z_1$$

$$n(x, y) = \frac{\delta(x, y)}{k_0 L} + n_0$$

$$T(x, y) = \left[\frac{R \bar{\lambda}_0}{3\pi M_m \rho \bar{r} L} \delta(x, y) + \frac{1}{T_0} \right]^{-1}$$

Corrections for the ray deflection are needed

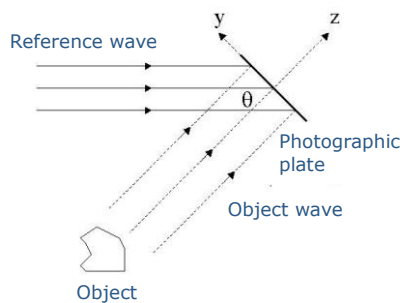
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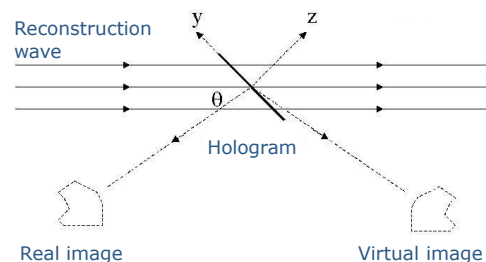
Holography - 1

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Holography is a **complete recording** of a wave, that is of both its **amplitude** and **phase**



Hologram recording



Object Reconstruction

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Holography - 2

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Object wave

$$\underline{a}(x, y) = a(x, y) \exp[-j\phi(x, y)]$$

Reference wave

$$\underline{A}(x, y) = A(x, y) \exp[-j\psi(x, y)]$$

Radiation intensity on the photographic plate

$$I(x, y) \propto |\underline{A}(x, y)|^2 + |\underline{a}(x, y)|^2 + 2\gamma_{aA}(x, y)A(x, y)a(x, y)\cos[\psi(x, y) - \phi(x, y)]$$

Exposure of the photographic plate

$$H(x, y, \bar{t}, \Delta t_e) = \int_{\bar{t}}^{\bar{t} + \Delta t_e} I(x, y, \tau) d\tau \quad \Delta t_e \quad \text{Exposure time}$$

Amplitude transmittance of the plate is a function of exposure

$$T(H) = T_b + k(H_b - H) \quad k \quad \text{plate sensitivity} \quad H_b = |\underline{A}|^2 \Delta t_b$$

$$T = T_b + k\Delta t_b \left(|\underline{a}|^2 + \gamma_{aA} \underline{A}^* \underline{a} + \gamma_{aA} \underline{A} \underline{a}^* \right) \quad \text{Diffraction grating}$$

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Holography - 3

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Reconstruction

Reconstruction wave = Reference wave

$$\underline{A}(x, y)T(x, y) = \left(T_b + k\Delta t_b |\underline{a}|^2 \right) \underline{A} + k\Delta t_b \gamma_{aA} |\underline{A}|^2 \underline{a} + k\Delta t_b \gamma_{aA} \underline{A} \underline{a}^*$$



Transmitted wave



Copy of the
object wave
(virtual image)



Distorted object wave

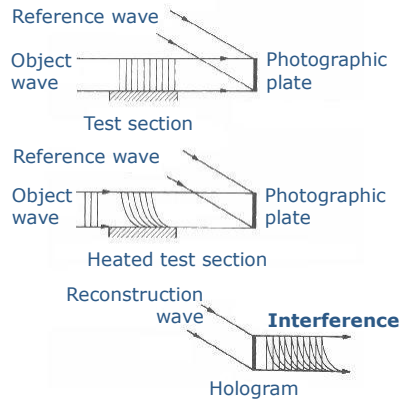
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Holographic interferometry

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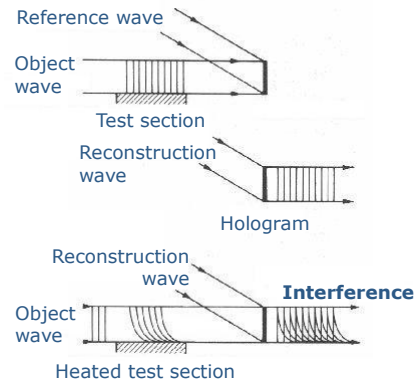
Double exposure



Interference pattern

$$I(x, y) = a(x, y) + b(x, y) \cos [\delta(x, y)]$$

Real time



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Interference pattern analysis - 1

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Maxima and minima recognition

$$\delta(x, y) = \begin{cases} 2k\pi & \text{maxima} \\ 2(k + 1/2)\pi & \text{minima} \end{cases} \quad (k = 0, \pm 1, \pm 2, \dots)$$

The phase difference is calculated only for maxima and minima

Fourier Transform method

$$I(x, y) = a(x, y) + c(x, y) + c^*(x, y) \quad c(x, y) = \frac{1}{2} b(x, y) \exp [j \delta(x, y)]$$



Fourier Transform

$$I(u, v) = A(u, v) + C(u, v) + C^*(u, v) \quad \text{If spectral contents do not overlap}$$

$$\delta(x, y) = \arctan \frac{\text{Im}[c(x, y)]}{\text{Re}[c(x, y)]}$$

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Interference pattern analysis - 2

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Phase shifting

$$I(x, y, t) = a(x, y) + b(x, y) \cos[\delta(x, y) + \delta_R(x, y, t)]$$

δ_R is introduced by passing the reconstruction wave through a moving wedge between the source and the hologram

Carré method

Four shifted interferograms are required

$$\delta_R(x, y) = \arccos \frac{I_1(x, y) - I_2(x, y) + I_3(x, y) - I_4(x, y)}{2[I_2(x, y) - I_3(x, y)]}$$

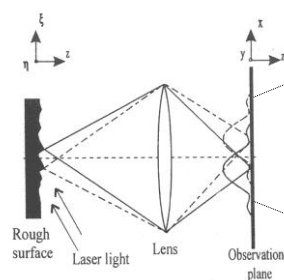
$$\delta(x, y) = \arctan \frac{(I_3 - I_2) + (I_1 - I_3) \cos \delta_R + (I_2 - I_1) \cos 2\delta_R}{(I_1 - I_3) \sin \delta_R + (I_2 - I_1) \sin 2\delta_R}$$

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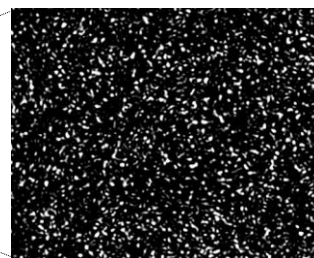


Speckle effect - 1

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Speckle pattern



Objective speckle

$$\sigma_{SP} = 1.22\lambda \frac{z}{D}$$

Subjective speckle

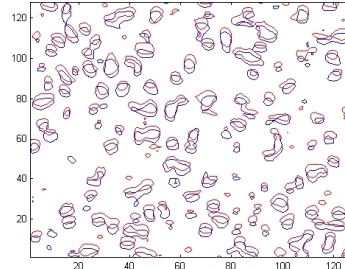
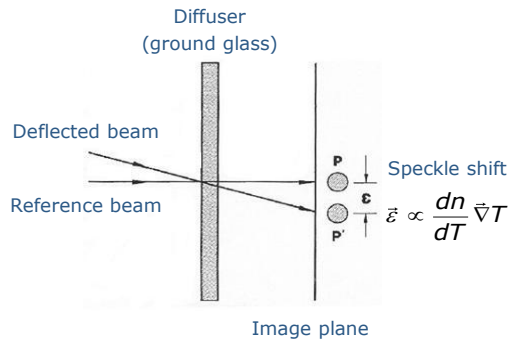
$$\sigma_{SP} = 1.22\lambda(1 + M) \frac{f}{D}$$

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Speckle effect - 2

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Conditions for a "rigid" shift

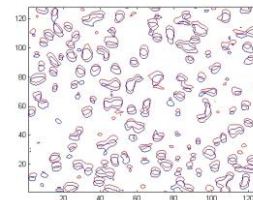
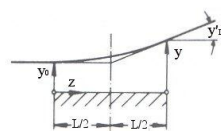
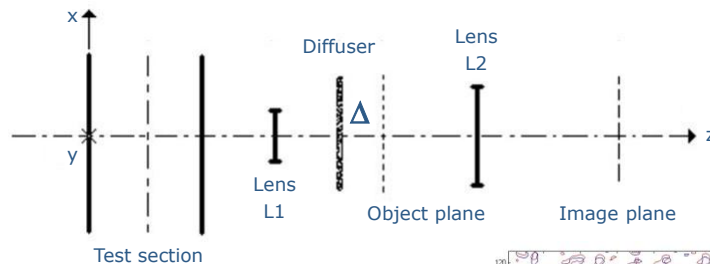
- perfectly coherent source and plane wave incident on the diffuser
- the phase shift arising from diffusion is randomly distributed with uniform density of probability
- unchanged wave polarization
- a large number of point sources on the diffuser contributes to the luminous intensity in one point on the image plane
- little deflection angle (~ 1 mrad)

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Speckle metrology - 1

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Δ : defocusing

$$\varepsilon_i = \frac{L}{n_0} \frac{M_2}{M_1} \Delta \frac{dn}{dT} \nabla T$$

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Speckle metrology - 2

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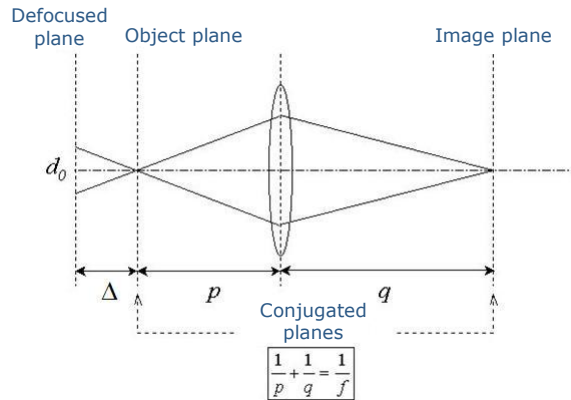
Operating parameters

Sensitivity

$$K = \frac{M_2}{M_1} \Delta$$

Spatial resolution
(geometrical optics)

$$d_o = \frac{D\Delta}{f} \frac{M_2}{M_2 + 1}$$



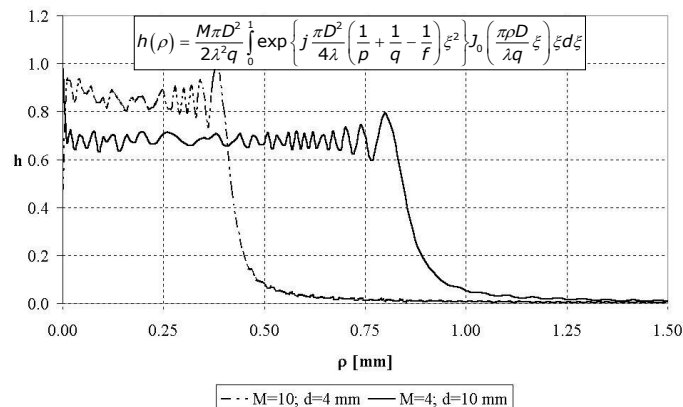
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Speckle metrology - 3

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Spatial resolution (impulse response)



A [mm]	M_2	f [mm]	D [mm]	$\tilde{d}_{o,geom}$ [mm]	\tilde{d}_o [mm]
4	10	50,8	12,7	0,91	0,98
10	4	50,8	12,7	2,00	1,88
4	1	50,8	12,7	0,50	0,60

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Speckle photography - 1

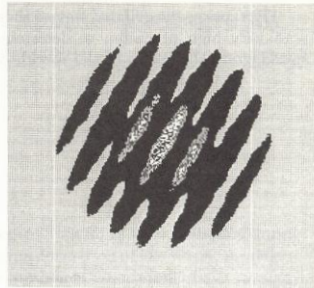
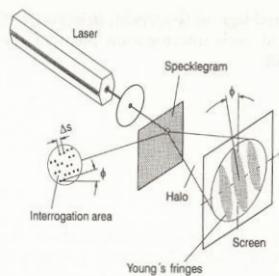
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Double exposure technique

Recording of the "specklegram" (photographic plate)

1. First exposition without interposing the object
2. Second exposition by interposing the object

Interrogation of the specklegram



$$|\vec{\varepsilon}| = \frac{\lambda L}{d_f}$$

d_f : fringe distance
L: heated length

Fringe inclination is normal to the direction of the speckle shift

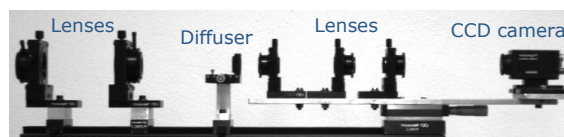
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Speckle photography - 2

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Digital speckle photography



$I_1(\underline{x}; t_1)$ Speckle pattern relative to a reference condition

$I_2(\underline{x}; t_2)$ Speckle pattern relative to the measurement condition

Comparison by **cross-correlation**

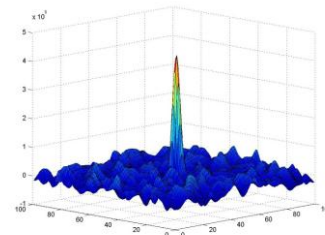
$$\hat{I}_1(f_x, f_y) = FFT_2[I_1(\underline{x}; t_1)]$$

$$\hat{I}_2(f_x, f_y) = FFT_2[I_2(\underline{x}; t_2)]$$

$$\hat{C}(f_x, f_y) = \hat{I}_1 \cdot \hat{I}_2^*$$

$$C(\xi, \eta) = IFFT_2[\hat{C}(f_x, f_y)]$$

Parabolic interpolation for sub-pixel resolution



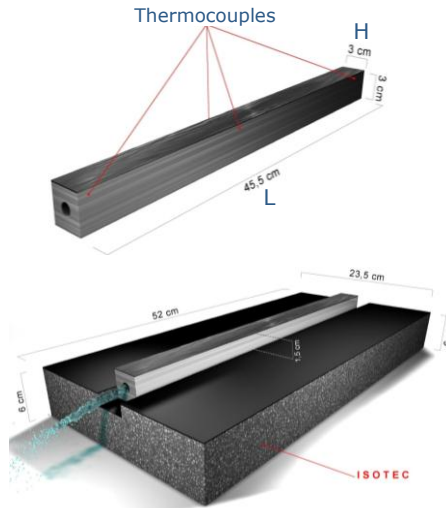
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Applications: Interferometry – 1.1

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Free convection past a protruding object at constant temperature



Aspect ratio $AR = \frac{L}{H} > 15$

2D boundary layer

$$L_c = \frac{H \cdot L}{2(L + H)}$$

$$Ra = Gr \cdot Pr = \frac{g \cdot \beta \cdot \Delta T \cdot L_c^3}{\nu \cdot \alpha}$$

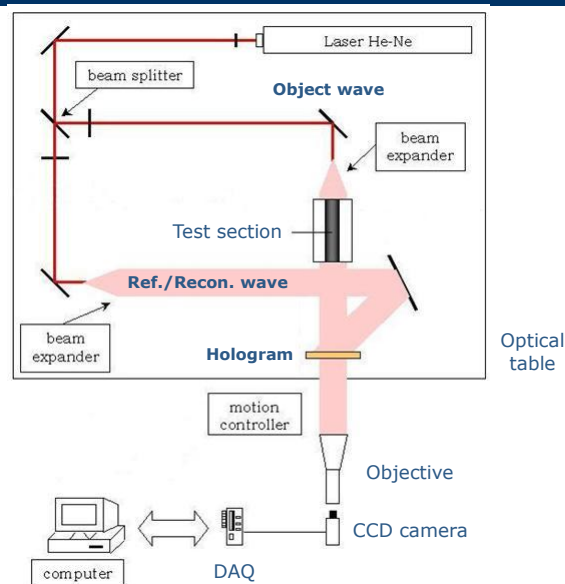
T_p [°C]	T_{amb} [°C]	Ra
35.1	26.1	2268
40.0	24.7	3789
49.8	25.2	5735
59.6	25.6	7466
69.7	26.2	8969

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Applications: Interferometry – 1.2

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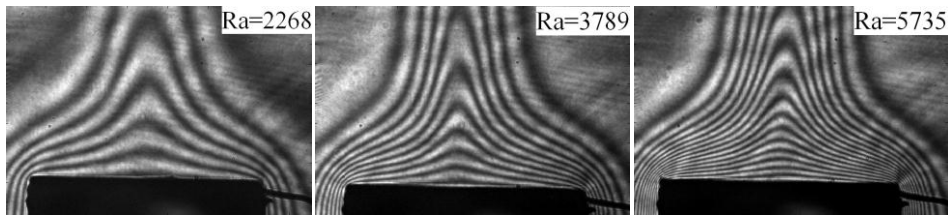


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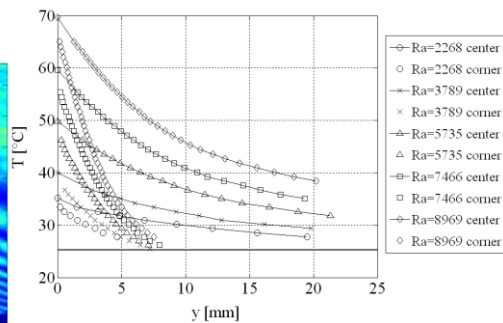
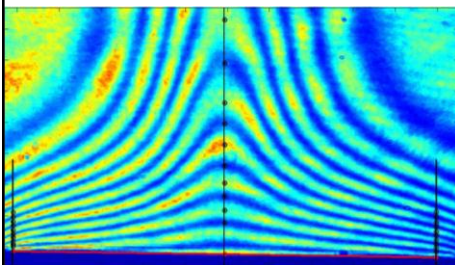
Applications: Interferometry – 1.3

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Temperature distribution within the boundary layer (maxima and minima algorithm)

$$T(x, y) = \left[\frac{R\bar{\lambda}_0}{3\pi M_m D \bar{r} L} \delta(x, y) + \frac{1}{T_0} \right]^{-1}$$

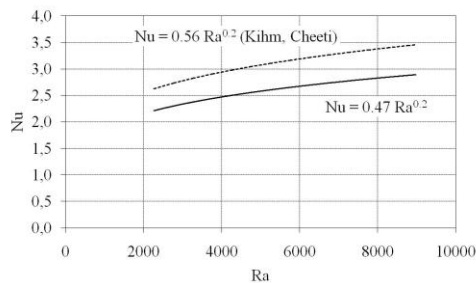
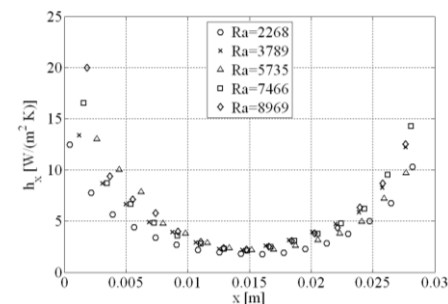


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Applications: Interferometry – 1.4

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Local heat transfer coefficient

$$h(x) = \frac{-k_f \frac{\partial T}{\partial y} \Big|_{y=y_w}}{T_w(x) - T_{amb}}$$

$$h(x) \cong \frac{k_f \frac{T_w - T_{1st\ fringe}}{y_{1st\ fringe}(x) - y_w(x)}}{T_w(x) - T_{amb}}$$

Average heat transfer coefficient

$$\bar{h} = \frac{1}{L} \int_0^L h_x dx$$

Average Nusselt number

$$\overline{Nu} = \frac{\bar{h} L_c}{k}$$

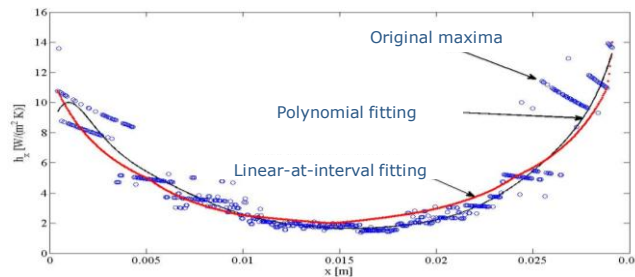
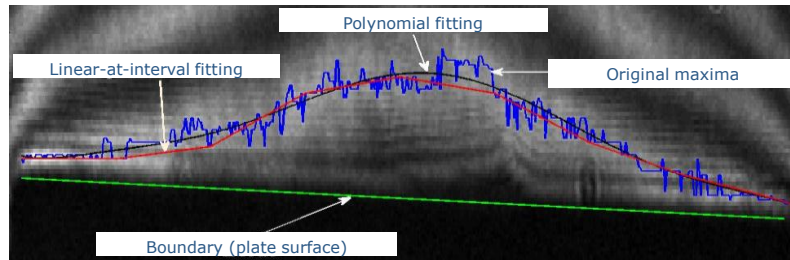
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Applications: Interferometry – 1.5

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Errors due to diffraction



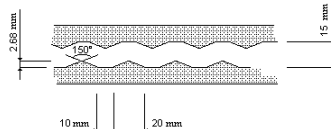
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Applications: Interferometry – 2.1

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Convective heat transfer of an air-flow through a wavy channel



Objective:

study of the heat transfer enhancement provided by corrugations

Flow visualization:

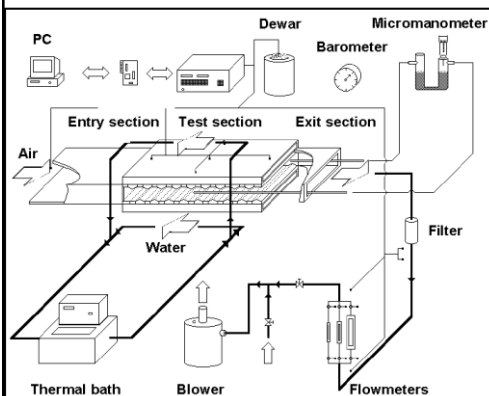
fast cinematography

Velocity measurement:

laser doppler velocimetry (LDV)

Temperature measurement:

holographic interferometry

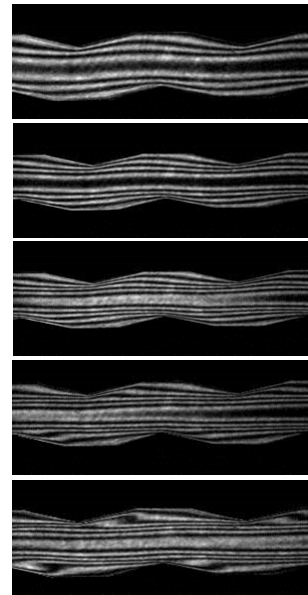
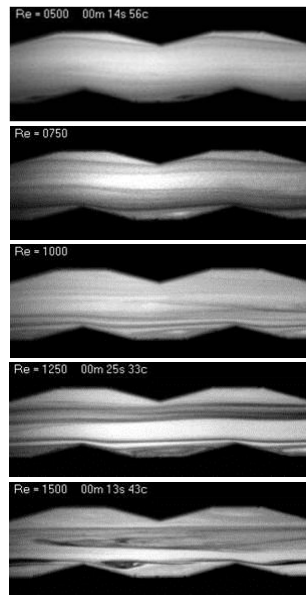


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Applications: Interferometry – 2.2

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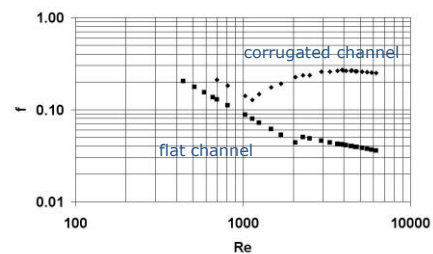
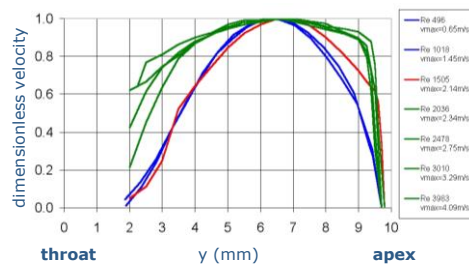
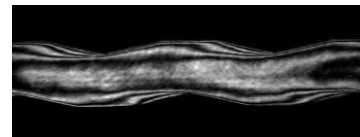
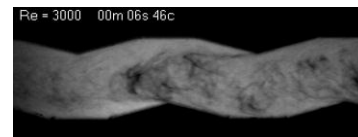
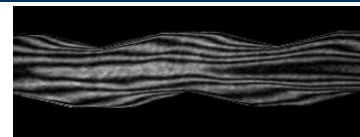
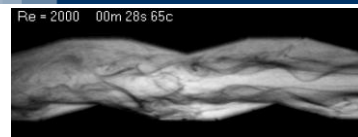


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Applications: Interferometry – 2.3

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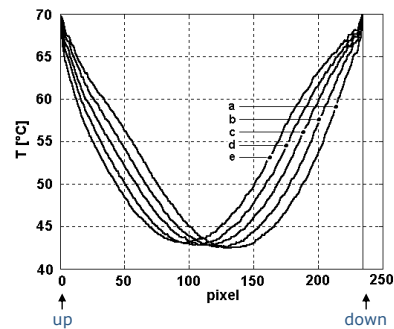
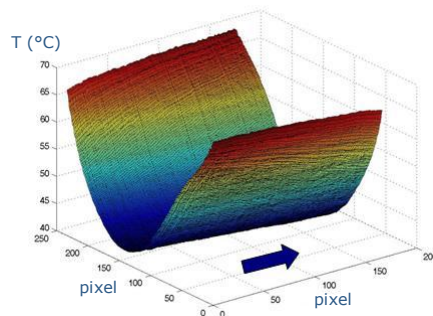
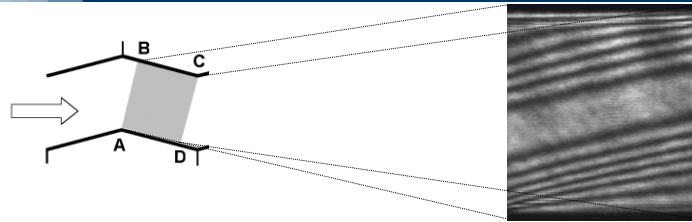


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Applications: Interferometry – 2.4

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Applications: Interferometry – 2.5

40

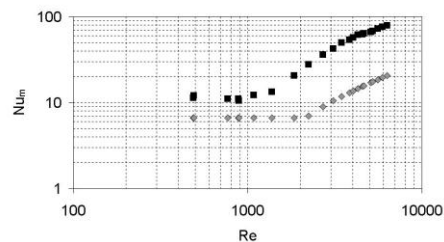
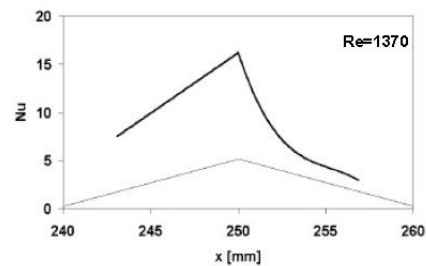
Local Nusselt number

$$h_x = - \frac{k \frac{\partial T}{\partial n} \Big|_{w,x}}{T_p - T_m}$$

$$Nu_x = \frac{h_x D_H}{k}$$

$$Nu_m = \frac{1}{L} \int_0^L Nu_x dx$$

Average Nusselt number



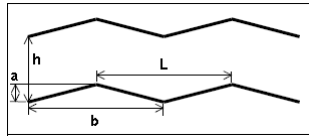
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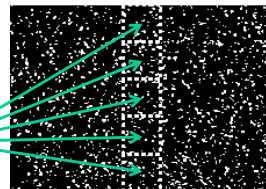
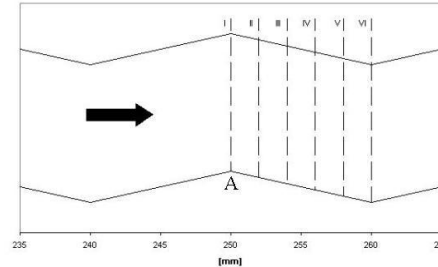
Applications: Speckle Photography – 1.1

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Convective heat transfer of an air-flow through a wavy channel



h	[mm]	10.00
a	[mm]	2.68
b	[mm]	20.00
L	[mm]	20.00
Vertex angle		150°
Channel width	[mm]	200.00
Hydraulic diameter	[mm]	18.18
Height to width ratio		0.05
Ribs number		30



Subdomains for cross-correlation:
90 × 90 μm²

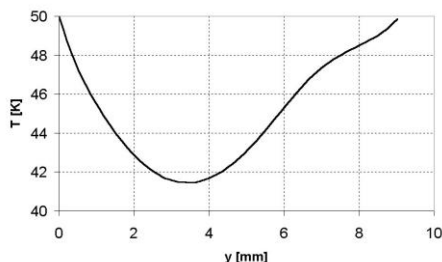
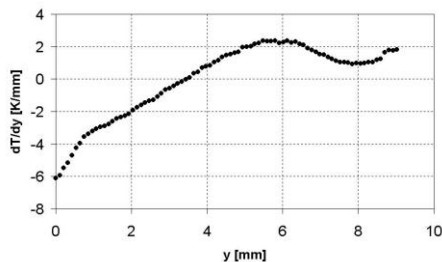
Field of view: 640 × 295 μm²

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Applications: Speckle Photography – 1.2

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Temperature gradient



Numerical
integration

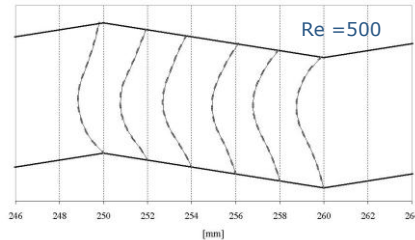
Temperature

$$T(x, y) - T_p = \int_0^L \frac{\partial T(x, y)}{\partial y} dy$$

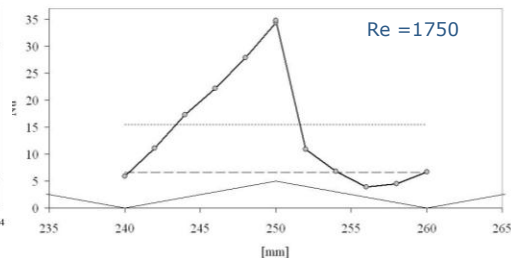
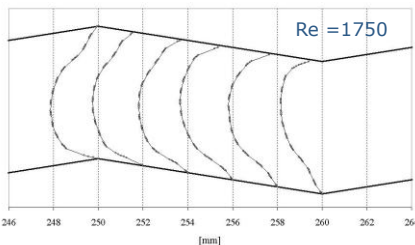
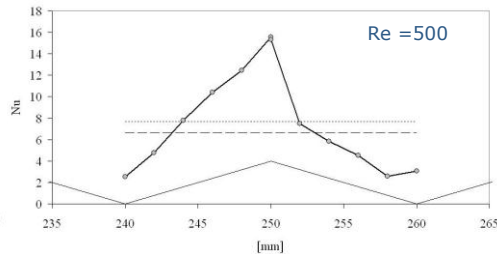
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Temperature profiles



Local Nusselt number



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