

# COMP3141

## Software System Design and Implementation

### Functors, Applicatives, and Monads

Liam O'Connor  
CSE, UNSW (and Data61)  
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# Motivation

We'll be looking at three **very common** abstractions:

- used in functional programming and,
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We'll be looking at three **very common** abstractions:

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- increasingly, in imperative programming as well.

Unlike many other languages, these abstractions are reified into bona fide type classes in Haskell, where they are often left as mere "design patterns" in other programming languages.

# Types and Values

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# Types and Values

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This type level language itself has a type system!

# Kinds

Just as terms in the value level language are given types, terms in the type level language are given *kinds*.

The most basic kind is written as `*`.

- Types such as `Int` and `Bool` have kind `*`.
- Seeing as `Maybe` is parameterised by one argument, `Maybe` has kind `* -> *`: given a type (e.g. `Int`), it will return a type (`Maybe Int`).

# Lists

Suppose we have a function:

```
toString :: Int -> String
```

And we also have a function to give us some numbers:

```
getNumbers :: Seed -> [Int]
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How can I compose `toString` with `getNumbers` to get a function `f` of type `Seed -> [String]`?



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How can I compose toString with getNumbers to get a function f of type Seed -> [String]?

**Answer:** we use map:

```
f = map toString . getNumbers
```

# Maybe

Suppose we have a function:

```
toString :: Int -> String
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And we also have a function that may give us a number:

```
tryNumber :: Seed -> Maybe Int
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How can I compose `toString` with `tryNumber` to get a function `f` of type `Seed -> Maybe String`?

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And we also have a function that may give us a number:

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How can I compose toString with tryNumber to get a function f of type Seed -> Maybe String?

We want a map function **but for the Maybe type**:

```
f = maybeMap toString . tryNumber
```

Let's implement it.

## QuickCheck Generators

Recall the Arbitrary class has a function:

```
arbitrary :: Gen a
```

The type Gen is an **abstract type** for QuickCheck generators.

Suppose we have a function:

```
toString :: Int -> String
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And we want a generator for String (i.e. Gen String) that is the result of applying toString to arbitrary Ints.

## QuickCheck Generators

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The type Gen is an **abstract type** for QuickCheck generators.

Suppose we have a function:

```
toString :: Int -> String
```

And we want a generator for String (i.e. Gen String) that is the result of applying toString to arbitrary Ints.

It is reasonable to expect that perhaps there is a genMap function:

```
genMap :: (a -> b) -> Gen a -> Gen b
```

## Functor

All of these functions are in the interface of a single type class, called **Functor**.

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class Functor f where  
  fmap :: (a -> b) -> f a -> f b
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Instances for:

- Lists
- Maybe
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Instances for:

- Lists
- Maybe
- Gen
- Tuples (how?)
- Functions (how?)

Demonstrate in live-coding

# Functor Laws

The functor type class must obey two laws:

## Functor Laws

- 1  $\text{fmap id} == \text{id}$
- 2  $\text{fmap } f . \text{fmap } g == \text{fmap } (f . g)$

# Functor Laws

The functor type class must obey two laws:

## Functor Laws

- 1 `fmap id == id`
- 2 `fmap f . fmap g == fmap (f . g)`

In Haskell's type system it's impossible to make a total `fmap` function that satisfies the first law but violates the second.

This is due to *parametricity*, a property we will return to in Week 8 or 9

## Binary Functions

Suppose we want to look up a student's zID and program code using these functions:

```
lookupID :: Name -> Maybe ZID
```

```
lookupProgram :: Name -> Maybe Program
```

And we had a function:

```
makeRecord :: ZID -> Program -> StudentRecord
```

How can we combine these functions to get a function of type  
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```
lookupRecord :: Name -> Maybe StudentRecord
```

```
lookupRecord n = let zid      = lookupID n  
                  program = lookupProgram n  
                  in ?
```

## Binary Map?

We could imagine a binary version of the `maybeMap` function:

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```

But then, we might need a trinary version.

```
maybeMap3 :: (a -> b -> c -> d)
            -> Maybe a -> Maybe b -> Maybe c -> Maybe d
```

Or even a 4-ary version, 5-ary, 6-ary...

this would quickly become impractical!

## Using Functor

Using fmap gets us part of the way there:

```
lookupRecord' :: Name -> Maybe (Program -> StudentRecord)
lookupRecord' n = let zid      = lookupID n
                    program = lookupProgram n
                    in fmap makeRecord zid
                    -- what about program?
```

But, now we have a function inside a Maybe.



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                    -- what about program?
```

But, now we have a function inside a Maybe.

We need a function to take:

- A Maybe-wrapped fn Maybe (Program -> StudentRecord)
- A Maybe-wrapped argument Maybe Program

And apply the function to the argument, giving us a result of type Maybe StudentRecord?

# Applicative

This is encapsulated by a subclass of Functor called Applicative:

```
class Functor f => Applicative f where
  pure :: a -> f a
  (<*>) :: f (a -> b) -> f a -> f b
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```

Maybe is an instance, so we can use this for lookupRecord:

```
lookupRecord :: Name -> Maybe StudentRecord
lookupRecord n = let zid      = lookupID n
                  program = lookupProgram n
                  in fmap makeRecord zid <*> program
-- or pure makeRecord <*> zid <*> program
```

## Using Applicative

In general, we can take a regular function application:

```
f a b c d
```

And apply that function to Maybe (or other Applicative) arguments using this pattern (where `<*>` is left-associative):

```
pure f <*> ma <*> mb <*> mc <*> md
```

## Relationship to Functor

All law-abiding instances of `Applicative` are also instances of `Functor`, by defining:

```
fmap f x = pure f <*> x
```

Sometimes this is written as an infix operator, `<$>`, which allows us to write:

```
pure f <*> ma <*> mb <*> mc <*> md
```

as:

```
f <$> ma <*> mb <*> mc <*> md
```

**Proof exercise:** From the applicative laws (next slide), prove that this implementation of `fmap` obeys the functor laws.

## Applicative laws

*-- Identity*

```
pure id <*> v = v
```

*-- Homomorphism*

```
pure f <*> pure x = pure (f x)
```

*-- Interchange*

```
u <*> pure y = pure ($ y) <*> u
```

*-- Composition*

```
pure (.) <*> u <*> v <*> w = u <*> (v <*> w)
```

These laws are a bit complex, and we certainly don't expect you to memorise them, but pay attention to them when defining instances!

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**Question:** How do we implement pure?

The second one is put behind a newtype (`ZipList`) in the Haskell standard library.

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Recall from Wednesday Week 4:

```
data Concrete = C [Char] [Char]
    deriving (Show, Eq)
```

```
instance Arbitrary Concrete where
    arbitrary = C <$> arbitrary <*> arbitrary
```

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- QuickCheck generators: Gen  
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```

```
instance Arbitrary Concrete where
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```

- Functions:  $((\rightarrow) \ x)$
- Tuples:  $((,) \ x)$  We can't implement pure!

## On to Monads

- Functors are types for containers where we can map pure functions on their contents.



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- Applicative Functors are types where we can combine  $n$  containers with a  $n$ -ary function.

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- Applicative Functors are types where we can combine  $n$  containers with a  $n$ -ary function.

The last and most commonly-used higher-kinded abstraction in Haskell programming is the Monad.

### Monads

Monads are types  $m$  where we can *sequentially compose* functions of the form  $a \rightarrow m\ b$

# Monads

```
class Applicative m => Monad m where  
  (>>=) :: m a -> (a -> m b) -> m b
```

Sometimes in old documentation the function `return` is included here, but it is just an alias for `pure`. It has nothing to do with `return` as in C/Java/Python etc.

Consider for:

- Maybe
- Lists

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Consider for:

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- $(x \rightarrow)$
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# Monad Laws

We can define a composition operator with ( $>>=$ ):

$$\begin{aligned} (<=<) &:: (b \rightarrow m\ c) \rightarrow (a \rightarrow m\ b) \rightarrow (a \rightarrow m\ c) \\ (f\ <=<\ g)\ x &= g\ x\ >>= f \end{aligned}$$

## Monad Laws

We can define a composition operator with ( $>>=$ ):

```
(<=<) :: (b -> m c) -> (a -> m b) -> (a -> m c)
(f <=< g) x = g x >>= f
```

### Monad Laws

```
f <=< (g <=< x) == (f <=< g) <=< x -- associativity
pure <=< f      == f                -- left identity
f <=< pure      == f                -- right identity
```

These are similar to the monoid laws, generalised for multiple types inside the monad. This sort of structure is called a *category* in mathematics.

## Relationship to Applicative

All Monad instances give rise to an Applicative instance, because we can define `<*>` in terms of `>>=`.

```
mf <*> mx = mf >>= \f -> mx >>= \x -> pure (f x)
```

This implementation is already provided for Monads as the `ap` function in `Control.Monad`.



## Examples

### Example (Dice Rolls)

Roll two 6-sided dice, if the difference is  $< 2$ , reroll the second die. Final score is the difference of the two die. What score is most common?

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### Example (Partial Functions)

We have a list of student names in a database of type `[(ZID, Name)]`. Given a list of `zID`'s, return a `Maybe [Name]`, where `Nothing` indicates that a `zID` could not be found.

## Examples

### Example (Dice Rolls)

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### Example (Arbitrary Instances)

Define a `Tree` type and a generator for search trees:

```
searchTrees :: Int -> Int -> Generator Tree
```

## Do notation

We've seen how working with monads can be a bit unpleasant. Haskell has some notation to increase niceness:

`do x <- y`  
    `z`                      **becomes**                      `y >>= \x -> do z`

`do x`  
    `y`                      **becomes**                      `x >>= \_ -> do y`

Let's translate our examples!

# Homework

- 1 Finish off Assignment 1 **due tomorrow morning**.
- 2 Next programming exercise is due in 7 days, including **peer review**.
- 3 This week's quiz is also up, due in Friday of Week 7.