# Transferability Reduced Smooth (TRS) Ensemble Training for Adversarial Transferability Attacks

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#### Introduction

- Trained our model adversarially on FGSM, PGD  $\ell_2$  norm and  $\ell_\infty$  norm attacks, with fine-tuning
- Employed Transferability Reduced Smooth (TRS) ensemble training to combine these trainings to build a more robust model



Figure 1: Illustration of standard vs. adversarial decision boundaries. [1]

### Hyper-parameters for Adversarial Training

- Best adversarially trained PGD  $\ell_{\infty}$  model:
  - Dynamic  $\epsilon$  from 0.03 to 0.08
  - Dynamic  $\alpha$  from 0.01 to 0.03
  - Learning rate scheduler for 50% decrease every epoch starting at lr = 0.001
- Testing results:

Defensive Method	Natural Acc	PGD $\ell_\infty$ Acc	PGD $\ell_2$ Acc
PGD $\ell_\infty$ Adversarial Training	41.25	37.33	41.71
TRS Ensemble Training	57.81	7.03	53.13

Table 1: Results of our defensive methods.

### Ensemble Robustness via Transferability Minimization

 Goal: Enforce the smoothness of models to improve robustness AND reduce the loss gradient similarity between models to introduce global model orthogonality.

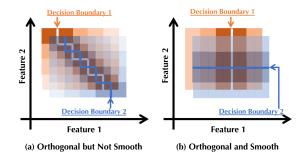


Figure 2: An illustration of the relationship between adversarial transferability, gradient orthogonality, and model smoothness [2]

## TRS Ensemble Training

Reduce adversarial transferability among base models  $\mathcal F$  and  $\mathcal G$  by enforcing model smoothness and low loss gradient similarity at the same time.

Use regularization for model smoothness and thus robustness :

$$\mathcal{L}_{\text{smooth}}(\mathcal{F}, \mathcal{G}, x, \delta) = \max_{\|\hat{x} - x\|_{\infty} \le \delta} (\|\nabla_{\hat{x}} \ell_{\mathcal{F}}\|_{2} + \|\nabla_{\hat{x}} \ell_{\mathcal{G}}\|_{2})$$

■ Decrease the model loss gradient similarity by minimizing cosine similarity between loss gradient vectors  $\nabla_x \ell_{\mathcal{F}}$  and  $\nabla_x \ell_{\mathcal{G}}$ :

$$\mathcal{L}_{\mathsf{sim}} = \left| \frac{(\nabla_x \ell_{\mathcal{F}})^\top (\nabla_x \ell_{\mathcal{G}})}{\|\nabla_x \ell_{\mathcal{F}}\|_2 \cdot \|\nabla_x \ell_{\mathcal{G}}\|_2} \right|$$

The optimal case implies orthogonal loss gradient vectors, which ensures models learn different patterns from the data.

### TRS Regularizer & Training

◆ TRS Regularizer: Increase model smoothness and diversity in learning.

$$\mathcal{L}_{TRS}(\mathcal{F}, \mathcal{G}, x, \delta) = \lambda_a \cdot \mathcal{L}_{sim} + \lambda_b \cdot \mathcal{L}_{smooth}$$

 TRS Trainer: Combination of the Ensemble Cross-Entropy (ECE) loss and the TRS regularizer.

$$\mathcal{L}_{\mathsf{train}} = \frac{1}{N} \sum_{i=1}^{N} \mathcal{L}_{\mathsf{CE}}(\mathcal{F}_i(x), y) + \frac{2}{N(N-1)} \sum_{i=1}^{N} \sum_{j=i+1}^{N} \mathcal{L}_{\mathsf{TRS}}(\mathcal{F}_i, \mathcal{F}_j, x, \delta)$$

The training process focuses on making each model accurate (via ECE loss) and also on ensuring that the ensemble as a whole is robust and diverse in its learning approach (via TRS regularizer).

#### Conclusion & Next Steps

TRS ensures that each model is both effective on its own and contributes uniquely to the overall performance of the ensemble.

TRS Ensemble

TRS ensemble training is a lightweight yet effective way to reduce adversarial transferability, and leads to more robust models.

#### Next steps:

- Finalize ensemble code with our adversarially trained models
- Doing adversarial training with several attack types
- Maybe: Test with different model architectures to improve accuracy

#### Publication and References

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- Z. Yang, L. Li, X. Xu, S. Zuo, Q. Chen, B. Rubinstein, P. Zhou, C. Zhang, B. Li. (2021). TRS: Transferability Reduced Ensemble via Promoting Gradient Diversity and Model Smoothness. Proceedings of the 35th Conference on Neural Information Processing Systems (NeurIPS 2021). arXiv:2104.00671 [cs.LG]