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# Shift-Invariant Dictionary Learning using TCN-WTA Autoencoders for **Discovering Musical Relations**

### **Anonymous ACL submission**

### **Abstract**

Music hierarchical temporal structure is full of shift invariant patters. The standard methods to encode a generic sequence is usually achieved by recurrent architectures or more recently with transformer that adopts the mechanism of attention. However, RNNs and transformers models do not take advantage of this prior information, or attempt to find repetitive building blocks. Temporal Convolutional Nets can be used to extract shift invariant features of a specific length defined by the kernel size. Using a fully convolutional temporal autoencoder we can find a shift invariant dictionary that can recreate multivariate musical signals. This architecture can strided with no overlap, and be combined to K-WTA activation function to obtain a sparse dictionary promote a sparse representation. In addition to gaining insight into this shift invariant patters, some results indicate that CNN architectures can outperform recurrent networks on specific task and provide server other advantages across a diverse range of tasks and datasets, while demonstrating longer effective memory. We show a few applications of this sparse representation on task to find key signatures, time signatures, artist detection, and music generation. To assist related work, we have made code available.

### Introduction

What are the benefits of having sparse models? First, as we will show, they can be used to encode prior knowledge in the sparsity patterns. Second, they are lightweight— requiring less memory to store and allowing faster inference and easier interpretability. Nowadays, we often start with models with hundreds of millions to billions of parameters. Sparsity provides a way to completely discard some of these parameters in an informed and principled manner, resulting in smaller model size.

For example, mobile applications (e.g., Google Now, Siri, etc.) stand to benefit from smaller models since mo- bile phones typically have less storage and computing power than standard computers. Sparse models come with their own challenges. New varieties of sparse models often require a specialized optimization method, as we will see throughout this thesis. Last, some of the state-of-the-art methods for benchmarks tasks in various application areas such as computational biology (Kim and Xing, 2008) and computer vision are sparse models (Ranzato et al., 2006; Lin and Kung, 2014), empirically demonstrating that they can also lead to statistical improvements if the prior knowledge is correct (Stojnic et al., 2009).

### **Related Work**

### **Dictionary learning**

Given the data:  $X = [x_1, \dots, x_K], x_i \in \mathbb{R}^d$  We want a dictionary  $\mathbf{D} \in \mathbb{R}^{d \times n} : D = [d_1, \dots, d_n]$ And a representation  $R = [r_1, \dots, r_K], r_i \in \mathbb{R}^n$ such that the reconstruction  $||X - \mathbf{D}R||_F^2$  is minimized and  $r_i$  are sparsed. The optimization prob-

lem can be formulated as: 
$$\operatorname*{argmin}_{\mathbf{D} \in \mathcal{C}, r_i \in \mathbb{R}^n, \lambda > 0} \sum_{i=1}^K \|x_i - \mathbf{D} r_i\|_2^2 + \lambda \|r_i\|_0$$
 
$$\mathcal{C} \equiv \left\{ \mathbf{D} \in \mathbb{R}^{d \times n} : \|d_i\|_2 \le 1 \forall i = 1, \dots, n \right\}$$

However this formulation does not look for shift invariant features.

### 2.2 **Shift-invariant dictionary learning** (SIDL)

In previous works, various shift-invariant dictionary learning (SIDL) methods have been employed to discover local patterns that are embedded across a longer time series in sequential data such as audio signals. While [Shift-Invariant Sparse Coding for Audio Classification] employs shift- invariant sparse coding with a convolutional

optimization and gradient descent method for an audio classification task, [Efficient Shift-Invariant Dictionary Learning demonstrates an efficient algorithm with the ability to combine shift-invariant patterns in a sparse coding of the original data for audio reconstruction and classification tasks. Such unsupervised learning methods have shown to be powerful in discovering shift-invariant patterns and a handful of studies have implemented SIDL for the purpose of music. Although music transcription and classification tasks have seen a strong usage of sparse dictionary learning in the past [Shift-Invariant Sparse Coding for Audio Classification, NMF based Dictionary Learning for Automatic Transcription of Polyphonic Piano Music, Sparse and Shift-Invariant Representations of Music, Music Genre Classification using On-line Dictionary Learning, Learning Sparse Dictionaries for Music and Speech Classification, Context-Dependent Piano Music Transcription With Convolutional Sparse Coding], we have yet to see a study that harnesses the advantages of sparse representation for the purpose of music creation. Instead, the popular methods for discovering music relations and achieving music generation have been a transformer with some sort of attention mechanism or the recurrent architectures. [Discovering Music Relations with Sequential Attention], for instance, uses an attention module that is tailored to the discovery of sequence level relations in music, while studies like [A Hierarchical Latent Vector Model for Learning Long-Term Structure in Music] uses the recurrent variational autoencoder and a hierarchical decoder in order to model long-term musical structures.

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### 2.3 Temporal Convolutional Networks (TCN)

Some of the most notable benefits include longer effective memory and low memory requirement when training. We explore these benefits for the purpose of music, which inherently requires a longer history due to musical temporal structure. Moreover, the low memory requirement of the convolutional architecture combined with a sparse representation in dictionary learning presents a strong potential for lighter and faster modeling with a high prospect of being applied to a real-time and on-line dictionary learning in the future. In this paper, we propose SIDL using TCN WTA-Autoencoders for discovering music relations—salient features of a specific performer or

music, and illustrate potential applications in music analysis and creation.

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### Benifits of TCN over RNNs (?)

- Parallelism.
- Flexible receptive field size
- Low memory requirement for training

### 2.4 SIDL by TCN K-WTA Autoencoders

In our study, we use a fully convolutional temporal autoencoder to find shift-invariant dictionaries while ensuring sparsity via the K-WTA activation function [Winner-Take-All Autoencoders]. The use of K-WTA in conjunction with dictionary learning was inspired by [Towards Contrastive Learning for Time-Series] where the K-WTA's ability to achieve sparse representations is explored in the context of constructive learning for time-series data. The use of Temporal Convolutional Nets was encouraged by various advantages that convolutional architectures bring for sequence modeling over recurrent networks as illustrated in [An Empirical Evaluation of Generic Convolutional and Recurrent Networks for Sequence Modeling].

### 3 Experiments

We show a few applications of our TCN k-WTA model

- Cleaning musical sections
- Unsupervised feature extraction
- Generating new music

### 3.1 Datasets

We use two distinct datasets: MAESTRO (?), and grove Groove (?) . See table 2 for more details on the datasets used. We also use distinct MIDI representations for each dataset.

### 3.2 Model Implementation

Our model implementation differs slightly for the different datasets used.

### **MAESTRO**

Our TCN-KWTA Autoencoder is designed with [1, 8, 16, 32, 1000, 1] layers. The sparse representation is the layer before the last. Our WTA activation function is in the layer before the last. We also use a decaying WTA activation functions

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| Dataset     | Size       | Instrument | Encoding  |
|-------------|------------|------------|---|
| MAESTRO (?) | 1020 (Hrs) | Piano      | One-hot encoding over 388 different MIDI  |
|             |            |            | events. Every datapoint here has an arbitrary   |
|             |            |            | length  |
| Groove ?    | 3.6 (Hrs)  | Drum       | T timesteps (one per 16th note) and 27 MIDI events. We use fixed length 64 time step sec- |
|             |            |            | tions   |

Table 1: Datasets used to experiment with fully convolutional temporal autoencoder model. All datasets used are MIDI format

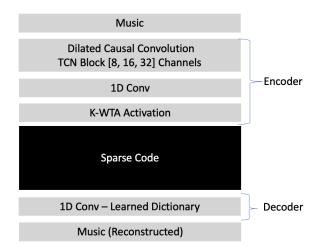


Figure 1: After training the model we can use it to encode datapoints of arbitrary length unsupervised stylistic segmentation. We use PCA on the average sparse code for each piece. We project into 2 dimensional sparse to visualize

### **GROOVE**

Our TCN-KWTA Autoencoder is designed with [1, 8, 16, 32, 1000, 1] layers. The sparse representation is the layer before the last. Our WTA activation function is in the layer before the last. We also use a decaying WTA activation functions

### 3.3 Music Reconstructions

Center the title, author's name(s) and affiliation(s) across both columns. Do not use footnotes for affiliations. Do not include the paper ID number assigned during the submission process. Use the two-column format only when you begin the abstract

The title, author names and addresses should be completely identical to those entered to the electronical paper submission website in order to maintain the consistency of author information among all publications of the conference. If they are different, the publication chairs may resolve the difference without consulting with you; so it is in your own interest to double-check that the information is consistent.

### 3.4 Keep Top N Features

Type the abstract at the beginning of the first column. The width of the abstract text should be smaller than the width of the columns for the text in the body of the paper by about 0.6 cm on each side.

### **Example applications**

- Low dimensionality feature extraction
- Music Simplification (?).
- Music Decomposition (?).
- Compressing music (?).

### 3.5 Genrating Structured Drums

If we have a specific musical structure we would like to follow. For example, 4/4 120bpm. We can train inject prior information into our 1D convolutions. For example, we can make each kernel the length of 1/4 beat. This allows for better dictionary learning since repetition is expected to happen at this time steps. We can stitch together musical sections, to create bars of music. and desing a specific structure

### 3.6 Genrating Piano Music

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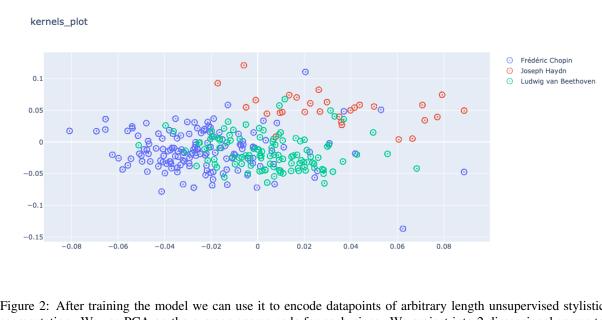


Figure 2: After training the model we can use it to encode datapoints of arbitrary length unsupervised stylistic segmentation. We use PCA on the average sparse code for each piece. We project into 2 dimensional sparse to visualize

### 3.7 Unsupervised Stylistic Segmentation

If we train with a dataset that includes multiple composers we should expect to find that different composers utilize different shift invariant patters. To visualize kernel usage we average out the rows of our sparse code. This should provide us with the average dictionary word value. If we do PCA on the average dictionary vector and reduce dimensionality to 2D. We obtain the plot in Figure 2

It is also possible to use this average kernel usage for each composer and make comparisons for composers, such as most similar or disimilar between styles or comparisons.

### 4 Discussion

There are multiple benefits to this sequence learning methodology. The first is such as simplicity and felxibilty, the TCN autoencocoder is a simple to implement arquitecture and requires any abitrary size combinations of multivariate musical signals. Also the size of the model for both Magenta and Groove are 877 KB and 750 KB respectively. In comparison, googles Performance RNN–LSTM-based recurrent neural network–is 25MB, and other transformer based models can be GBs in size.

In addition, our method allows for incorporating known structural information into a model prior to training, and finally we have the most imporant benifit is the sparse representation and learned dictionaries, as we have shown this can be used for mutiple applications and analysis. The performance on the recustruction and generation on for the drum Grove dataset was significantly better than the piano (MAESTRO dataset). This is in part because the dataset was preprocessed to match with kernel size, and the drum sections were the same length and have lower dimensionality.

### 5 Conclusion

We have shown that TCN-kWTA autoencoder can learn a sparse representation of abitrary length musical signal. This shift invariant, sparse representation can be used to analyze, preprocess, or generate musical content in a structured, or unsctuctured way

It is also advised to supplement non-English characters and terms with appropriate transliterations and/or translations since not all readers understand all such characters and terms. Inline transliteration or translation can be represented in the order of: original-form transliteration "translation".

### Acknowledgments

The acknowledgments should go immediately before the references. Do not number the acknowledgments section. Do not include this section when submitting your paper for review.

### A Appendices

Appendices are material that can be read, and include lemmas, formulas, proofs, and tables that are not critical to the reading and understanding of the paper. Appendices should be **uploaded** as supplementary material when submitting the paper for review. Upon acceptance, the appendices come after the references, as shown here. Use \appendix before any appendix section to switch the section numbering over to letters.

### **B** Supplemental Material

Submissions may include non-readable supplementary material used in the work and described in the paper. Any accompanying software and/or data should include licenses and documentation of research review as appropriate. Supplementary material may report preprocessing decisions, model parameters, and other details necessary for the replication of the experiments reported in the paper. Seemingly small preprocessing decisions can sometimes make a large difference in performance, so it is crucial to record such decisions to precisely characterize state-of-the-art methods.