

# Shift-Invariant Dictionary Learning using a Temporal CONV-WTA Autoencoder for Discovering Music Relations

Anonymous ACL submission

## Abstract

The temporal structure of music is full of shift-invariant patterns (e.g. motifs, ostinatos, loops, etc.). We propose using a Temporal Convolutional Winner-Take-All (CONV-WTA) Autoencoder to find a shift-invariant dictionary to represent symbolic, multivariate, musical signals. We train our model to represent fixed drum tracks and variable length piano music. Applications of this sparse representation such as de-noising musical ideas, unsupervised learning composer styles, and music generation are discussed. To assist related work, we have made interactive code available along with the trained models.

## 1 Introduction

The dictionary learning framework aims at finding a sparse representation of the input data (sparse coding) in the form of a linear combination of basic elements called atoms. In doing so, sparse coding enables (1) faster inference and easier interpretability thanks to its lightweight stored memory, (2) encoding of prior knowledge in the sparsity patterns, and (3) discerning patterns in an informed and principled manner.

Sparse dictionary learning has led to state-of-art results in various tasks including image and video processing, texture synthesis (Peyré, 2009), and unsupervised clustering (Ramírez et al., 2010). In evaluations with the Bag-of-Words model (Koniusz et al., 2017), sparse coding was found empirically to outperform other coding approaches on the object category recognition tasks.

When applied to music, the ability to distil complex data structures down to sets of dictionaries—salient features of a specific performer or music, has a multitude of applications. Music transcription and classification tasks have seen a strong usage of sparse dictionary learning in the past (Grosse et al., 2007) (Costantini et al., 2013),

(Blumensath and Davies, 2006), (Srinivas M et al., 2014), (Srinivas et al., 2014), (Cogliati et al., 2016). Nonetheless, we have yet to see a study that harnesses the advantages of sparse representation for the purpose of music creation. Instead, the popular methods for discovering music relations and achieving music generation have been a transformer with some sort of attention mechanism or other recurrent architectures. For instance, (Jiang Junyan et al., 2020) uses an attention module that is tailored to the discovery of sequence level relations in music, while studies like (Roberts et al., 2018) uses the recurrent variational autoencoder and a hierarchical decoder in order to model long-term musical structures. In our study, we explore applications of sparse representation such as de-noising musical ideas, unsupervised learning composer styles, and music generation.

## 2 Preliminaries

### 2.1 Dictionary learning

Given the data:  $X = [x_1, \dots, x_K], x_i \in \mathbb{R}^d$ . We want a dictionary  $\mathbf{D} \in \mathbb{R}^{d \times n} : D = [d_1, \dots, d_n]$ , and a representation  $R = [r_1, \dots, r_K], r_i \in \mathbb{R}^n$  such that the reconstruction  $\|X - \mathbf{D}R\|_F^2$  is minimized and  $r_i$  are sparsed. The optimization problem can be formulated as:

$$\begin{aligned} & \underset{\mathbf{D} \in \mathcal{C}, r_i \in \mathbb{R}^n, \lambda > 0}{\operatorname{argmin}} \sum_{i=1}^K \|x_i - \mathbf{D}r_i\|_2^2 + \lambda \|r_i\|_0 \\ \mathcal{C} \equiv & \{ \mathbf{D} \in \mathbb{R}^{d \times n} : \|d_i\|_2 \leq 1 \forall i = 1, \dots, n \} \end{aligned}$$

There are various methods to solve this problem, however this formulation does not look for shift-invariant features. The dictionary components are the same size as the original signal we are seeking to reconstruct.

## 2.2 Shift-invariant dictionary learning (SIDL)

Shift-invariant dictionary learning (SIDL) refers to the problem of discovering a latent basis that captures local patterns at different locations of input signal, and a sparse coding for each sequence as a linear combination of these elements (Zheng et al., 2016)

This has a similar formulation as eq.1 except that in order to reconstruct the signal, we need to stride along the input signal:

$$\mathbf{D}r_i \rightarrow \sum_{k=1}^K \mathbf{r}_{ik} T(\mathbf{d}_k, t_{ik})$$

where

$$T_p(\mathbf{d}, t) = \begin{cases} \mathbf{d}_{i-t} & \text{if } 1 \leq i - t \leq q \\ 0 & \text{otherwise} \end{cases}$$

here  $t_{ik}$  corresponds to the first location where  $\mathbf{d}_k$  matches our signal. Therefore,  $t_{ik} = 0$  indicating that  $\mathbf{d}_k$  is aligned to the beginning of  $\mathbf{x}_i$  and that  $t_{ik} = p - q$  indicating the largest shift  $\mathbf{d}_k$  can be aligned to  $\mathbf{x}_i$  without running beyond.

In previous works, various shift-invariant dictionary learning (SIDL) methods have been employed to discover local patterns that are embedded across a longer time series in sequential data such as audio signals. While (Grosse et al., 2007) employs shift-invariant sparse coding with a convolutional optimization and gradient descent method for an audio classification task, (Zheng et al., 2016) demonstrates an efficient algorithm with the ability to combine shift-invariant patterns in a sparse coding of the original data for audio reconstruction and classification tasks.

## 2.3 Temporal Convolutional Networks (TCN)

Recent results suggest that TCN convincingly outperform baseline recurrent architectures across a broad range of sequence modeling tasks. The characteristics of TCN are: the convolutions in the architecture have no information “leakage” from future to past; and the architecture can take a sequence of any length and map it to an output sequence of the same length, similar to an RNN. In summary: TCN = 1D FCN + causal convolutions.

This architecture is designed according to recent convolutional architectures for sequential data (van den Oord et al., 2016), (Kalchbrenner et al., 2017); (Dauphin et al., 2016); (Zheng et al., 2016). TCN have several advantages: they have no skip connections across layers, conditioning, context stacking, or gated activations.

## 2.4 SIDL by CONV-WTA Autoencoders

To learn the shift invariant dictionaries, we use a Temporal CONV-WTA Autoencoder (Makhzani and Frey, 2014). This is a standard convolutional autoencoder except after training the encoder, the single largest hidden activity of each feature map is kept and the rest (as well as their derivatives) are set to zero. Next, the decoder reconstructs the output from the sparse feature maps. This results in a sparse representation where the sparsity level is the number of non-zero feature maps. *If a shallow decoder (1 layer) is used, the kernel weights of the decoder are the atoms of the dictionary used to reconstruct the signal.*

In theory, all we need to find a dictionary is a 1D-Conv Encoder-Decoder layer. However, if the input signal is dense, learning can improve by adding a TCN Layer to extract features. This TCN can have variable depth depending on the task.

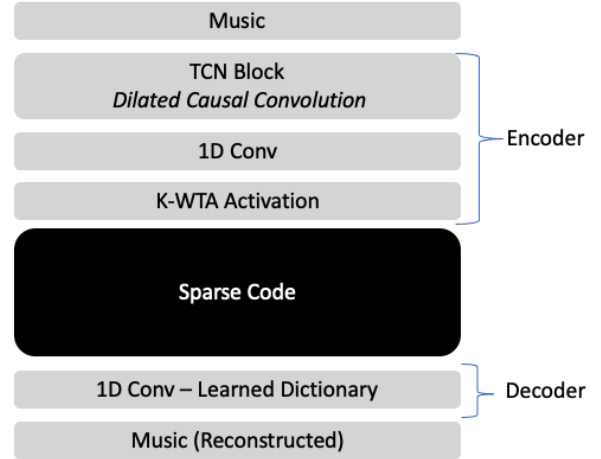


Figure 1: Diagram depicting the Temporal CONV-WTA Autoencoder. The TCN Block can have arbitrary depth, and is not required to construct a dictionary but useful to extract features

## 3 Experiments

We show a few applications of our CONV-WTA model, de-noising musical ideas, unsupervised learning composer styles, and music generation. We do this for two distinct datasets with different MIDI encodings.

### 3.1 Datasets

We use two distinct datasets: MAESTRO (Hawthorne et al., 2019), and grove Groove (Gillick et al., 2019). See Table 1 for more details

Average Dictionary Activity per composer projected into 2D space via PCA



Figure 2: After training the model we can use it to encode data points of arbitrary length unsupervised learning composer styles. We use PCA on the average sparse code for each piece. We project into 2 dimensional space to visualize

on the datasets used. We also use distinct MIDI representations for each dataset.

### 3.2 Model Implementation

Both models were implemented in Pytorch, with MSE loss on reconstruction, and AdamW optimizer. Our model implementation differs slightly for the two datasets.

**Maestro Model:** The architecture is illustrated in Figure 1. The TCN layer uses a [1,8,16,24] feature map, and we use a 1000 dictionary size along with a decaying k-WTA<sup>1</sup>. We begin with  $k = 95$  and decay to  $k = 75$  over 60 epochs. The convolutions are non-overlapping strides, meaning every kernel-length time step is only made up of one column in our sparse code. This helps to reduce memory requirements and find repeating sections over a fixed kernel length. We use a batch size of 1 which allows us to train on variable length music since our autoencoder is fully convolutional. However our sparse representation will also be variable length.

**Groove Model:** The architecture is illustrated in Figure 1, however since the Groove drum representation is not very dense, we do not use a TCN layer. We use a 100 dictionary size along with a decaying k-WTA with  $k = 10$  and decay to  $k = 1$  over 1000 epochs. The Convolutions are also non-overlapping strides. We train on the full

<sup>1</sup>In the original paper k-WTA is applied after training the encoder. We use the k-WTA activation during training for lower GPU memory requirements, and the decaying k showed to achieve a higher accuracy

dataset with no batching. The dataset is processed to match 120 bpm, 4/4 time signature and only train on 1 bar of music. For this dataset we have good understanding of repeating time intervals and structure, unlike Maestro the dataset.

### 3.3 Music Reconstruction

**De-noising musical ideas:** Dictionary learning has successfully been shown to de-noise images (Beckouche et al., 2013). By simply reconstructing our musical signal with a limited dictionary our signal should dismiss features that are not shift-invariant such as noise.

**Keep N Active Atoms:** The advantage of having a sparse code is the ability to recognize the most used atoms in the dictionary. Some applications of this ability include low dimensionality feature extraction for machine learning tasks; music reduction wherein the complexity of the arrangement is reduced to a simpler transcription and parts.

### 3.4 Unsupervised Learning Composer Styles

If we train with a dataset that includes multiple composers we should expect to find that different composers utilize different shift-invariant patterns. To visualize kernel usage we average the value of every atom in the sparse code for a musical section. This will result in an a fixed length vector with the size of our dictionary. We can visualize the encoding by performing Principal Component Analysis (PCA) on this average atom vector. We obtain the plot in Figure 2.

The sparse representation can also be used to

Dataset	Size	Instrument	MIDI Representation
Maestro	1020 (Hrs)	Piano	One-hot encoding over 388 different MIDI events. Music has an arbitrary length (Oore et al., 2018)
Groove	3.6 (Hrs)	Drum	T timesteps (one per 16th note) and 27 MIDI events. We use fixed length 64 time step sections (Gillick et al., 2019)

Table 1: Details for the datasets used to train the Fully Convolutional Temporal Autoencoder (FCTA)

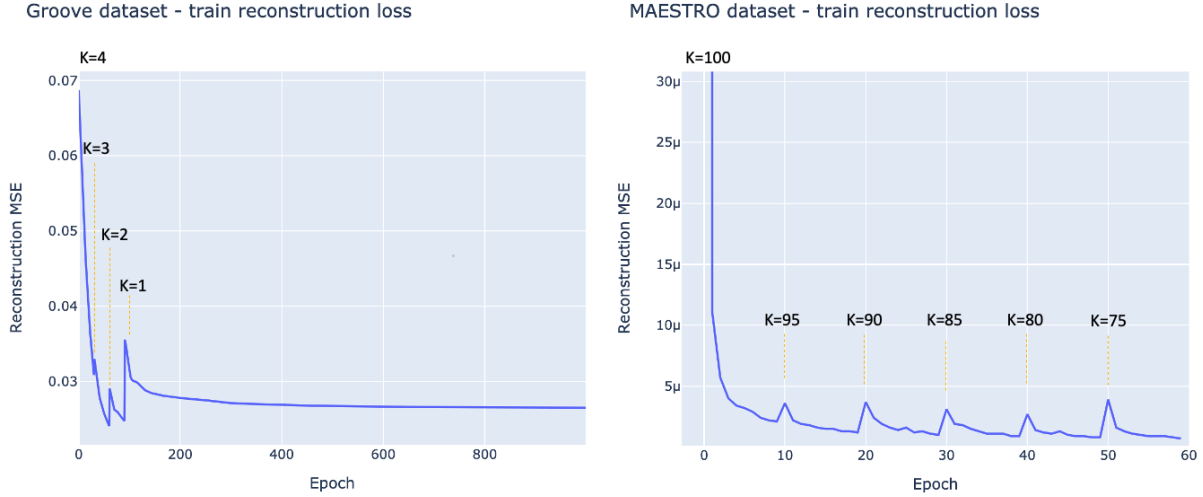


Figure 3: After training the model we can use it to encode data points of arbitrary length music. We average the value of every atom in the sparse code for a musical section and perform PCA. We project into 2 dimensional sparse for the visualization.

measure similarity between composers by comparing distance of centroids between clusters.

### 3.5 Generating New Music

**Interpolating Sparse Code:** We encode existing music and interpolate between sparse codes to generate new music<sup>2</sup>. For drum generation, all of the sections are fixed length whereas for the piano generation a variable length sparse code is used. To interpolate variable length code we can fix the input size, or interpolate specific time intervals of the sparse code.

**Swapping atoms:** Another method to generate new music, is to measure the most active atoms in the dictionary of a musical section (row in the sparse code), and replace this with the most active atom in the sparse code of a different musical section. This also can be used as a tool for artists to mix and match features of ordered importance.

<sup>2</sup>The interpolate output is better if the two sections have similar musical ideas. We can use Cos similarity between encoding to find similar musical sections to interpolate

## 4 Conclusion

We have shown that Temporal CONV-WTA Autoencoder can learn a sparse representation of arbitrary length symbolic musical signal. This shift invariant, sparse representation can be used to analyze style, de-noise, extract features, and to generate musical content in a structured or unstructured way.

The reconstruction and generation for the drum (Grove) dataset was significantly better than the piano (Maestro) dataset. This is in part because the dataset was preprocessed to match with kernel size, the drum sections are the same length, and have lower dimensionality. In the future, we hope to use a larger dataset and apply similar pre-processing to the piano data as done for the drum data. We also would like to further develop applications of this technology and build tools for artists and composers. In addition, we will experiment with deep dictionary learning and coding networks (Tang et al., 2020) to avoid the learning limitations of shallow (single layer) network architectures.



## Acknowledgments

The acknowledgments should go immediately before the references. Do not number the acknowledgments section. Do not include this section when submitting your paper for review.

## Preparing References:

Include your own bib file like this:  
`\bibliographystyle{nlp4MusA_natbib}`  
`\bibliography{nlp4MusA}`  
 where nlp4MusA corresponds to a nlp4MusA.bib file.

## References

- S. Beckouche, J. L. Starck, and J. Fadili. 2013. [Astro-nomical image denoising using dictionary learning](#). *Astronomy and Astrophysics*, 556:A132.
- Thomas Blumensath and Mike Davies. 2006. [Sparse and shift-invariant representations of music](#). In *IEEE Transactions on Audio, Speech and Language Processing*, volume 14.
- Andrea Cogliati, Zhiyao Duan, and Brendt Wohlberg. 2016. [Context-Dependent Piano Music Transcription with Convolutional Sparse Coding](#). *IEEE/ACM Transactions on Audio Speech and Language Processing*, 24(12).
- Giovanni Costantini, Massimiliano Todisco, and Renzo Perfetti. 2013. NMF based dictionary learning for automatic transcription of polyphonic piano music. *WSEAS Transactions on Signal Processing*, 9(3).
- Yann N. Dauphin, Angela Fan, Michael Auli, and David Grangier. 2016. [Language modeling with gated convolutional networks](#). *CoRR*, abs/1612.08083.
- Jon Gillick, Adam Roberts, Jesse Engel, Douglas Eck, and David Bamman. 2019. Learning to groove with inverse sequence transformations. In *International Conference on Machine Learning (ICML)*.
- Roger Grosse, Rajat Raina, Helen Kwong, and Andrew Y. Ng. 2007. Shift-invariant sparse coding for audio classification. In *Proceedings of the 23rd Conference on Uncertainty in Artificial Intelligence, UAI 2007*.
- Curtis Hawthorne, Andriy Stasyuk, Adam Roberts, Ian Simon, Cheng-Zhi Anna Huang, Sander Dieleman, Erich Elsen, Jesse Engel, and Douglas Eck. 2019. [Enabling factorized piano music modeling and generation with the MAESTRO dataset](#). In *International Conference on Learning Representations*.
- Jiang Junyan, Gus Xia, and Taylor Berg-Kirkpatrick. 2020. Discovering Music Relations with Sequential Attention. In *Proceedings of the 1st workshop on nlp for music and audio (nlp4musA)*, pages 1–5.
- Nal Kalchbrenner, Lasse Espeholt, Karen Simonyan, Aaron van den Oord, Alex Graves, and Koray Kavukcuoglu. 2017. [Neural machine translation in linear time](#).
- Piotr Koniusz, Fei Yan, Philippe-Henri Gosselin, and Krystian Mikolajczyk. 2017. [Higher-order occurrence pooling for bags-of-words: Visual concept detection](#). *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 39(2):313–326.
- Alireza Makhzani and Brendan J. Frey. 2014. [A winner-take-all method for training sparse convolutional autoencoders](#). *CoRR*, abs/1409.2752.
- Aaron van den Oord, Sander Dieleman, Heiga Zen, Karen Simonyan, Oriol Vinyals, Alex Graves, Nal Kalchbrenner, Andrew Senior, and Koray Kavukcuoglu. 2016. [Wavenet: A generative model for raw audio](#).
- Sageev Oore, Ian Simon, Sander Dieleman, Douglas Eck, and Karen Simonyan. 2018. [This time with feeling: Learning expressive musical performance](#). *CoRR*, abs/1808.03715.
- Gabriel Peyré. 2009. Sparse modeling of textures. 34(1):17–31.
- I. Ramírez, P. Sprechmann, and G. Sapiro. 2010. Classification and clustering via dictionary learning with structured incoherence and shared features. *2010 IEEE Computer Society Conference on Computer Vision and Pattern Recognition*, pages 3501–3508.
- Adam Roberts, Jesse Engel, Colin Raffel, Curtis Hawthorne, and Douglas Eck. 2018. A hierarchical latent vector model for learning long-term structure in music. In *35th International Conference on Machine Learning, ICML 2018*, volume 10.
- M. Srinivas, Debaditya Roy, and C. Krishna Mohan. 2014. [Learning sparse dictionaries for music and speech classification](#). In *International Conference on Digital Signal Processing, DSP*, volume 2014-January.
- Srinivas M, Roy D, and Mohan CK. 2014. Music genre classification using on-line dictionary learning. In *International Joint Conference on Neural Networks (IJCNN)*, pages 1937–1941.
- Hao Tang, Hong Liu, Wei Xiao, and Nicu Sebe. 2020. [When dictionary learning meets deep learning: Deep dictionary learning and coding network for image recognition with limited data](#).
- Guoqing Zheng, Yiming Yang, and Jaime Carbonell. 2016. [Efficient shift-invariant dictionary learning](#). In *Proceedings of the ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*, volume 13-17-August-2016.

## A Appendices

Appendices are material that can be read, and include lemmas, formulas, proofs, and tables that are not critical to the reading and understanding of the paper. Appendices should be **uploaded as supplementary material** when submitting the paper for review. Upon acceptance, the appendices come after the references, as shown here. Use `\appendix` before any appendix section to switch the section numbering over to letters.

## B Supplemental Material

Submissions may include non-readable supplementary material used in the work and described in the paper. Any accompanying software and/or data should include licenses and documentation of research review as appropriate. Supplementary material may report preprocessing decisions, model parameters, and other details necessary for the replication of the experiments reported in the paper. Seemingly small preprocessing decisions can sometimes make a large difference in performance, so it is crucial to record such decisions to precisely characterize state-of-the-art methods.