

# CS181 Assignment 1

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## 1. Decision Trees and ID3

(a) ID3 will chose to split on  $\boxed{A}$  because it has a higher information gain.

- Splitting on A will have an information gain of  $Gain(X_k, A) = H(A) - Remainder(X_k, A) = ??$ , where  $H(A) = \frac{3}{7} \log_2 \frac{7}{3} + \frac{4}{7} \log_2 \frac{7}{4} = ??$ , and  $Remainder(X_k, A) = \frac{4}{7}(\frac{2}{4} \log_2 2 + \frac{2}{4} \log_2 2 + \frac{3}{7}(\frac{2}{3} \log_2 \frac{3}{2} + \frac{1}{3} \log_2 3)) = 0.96$
- Splitting on B will have an information gain of  $Gain(X_k, B) = H(B) - Remainder(X_k, B) = ??$ , where  $H(B) = \frac{2}{7} \log_2 \frac{7}{2} + \frac{5}{7} \log_2 \frac{7}{5} = ??$ , and  $Remainder(X_k, B) = \frac{2}{7}(\frac{1}{2} \log_2 2 + \frac{1}{2} \log_2 2 + \frac{5}{7}(\frac{3}{5} \log_2 \frac{5}{3} + \frac{2}{5} \log_2 \frac{5}{2})) = 0.98$

This example shows that ID3 has an inductive bias of strongly preferring extreme partitions and larger subsets. In this case, looking at the results of the

(b) In this example, a tree that could be formed would split first on A, then B, then C, as shown below.

(c) By eyeballing the data

## 2. ID3 with Pruning

(a) The average cross-validated training performance was:

- Non-noisy: Training  $\boxed{1.0}$  and test  $\boxed{0.87}$ .
- Noisy: Training  $\boxed{0.98}$  and test  $\boxed{0.78}$ .

(b) After the pruning function:

- Graph
- The cross-validated performance of the validation set pruning improves at first, as the valdiation increases from 1, peaks at a point around size 40 to 60, and then worsens in performance as the validation set size becomes too large and overfitting becomes an issue.
- The validation set pruning improves the cross-validated performance of ID3 on these data for all the data points leading up to the peak when comparing against validation-size. After the peak, pruning gives us similar and sometimes slightly worse results than the cross-validated performance of ID3. On the nosy data, the average cross-validated test perfomance with pruning on the non-noisy dataset is 0.8599 and without pruning 0.855.
- Overfitting is an issue for these data, as evidenced by the dropoff after a peak when the validation set size gets too large. ELABORATE.

## 3. Boosting

(a) The weighted entropy of the set can be calculated:

$$W = 0.5 + \frac{0.5}{N-1}(N-1) = 1 \quad H = 0.5 \log_2 \frac{1}{0.5} + 0.5 \log_2 \frac{1}{0.5} = \boxed{1}$$

i. Analyze the effectiveness of boosting:

A. Effect of maximum depth on cross validated boosting in noisy and not noisy data

Noisy?	Max depth	$R = 10$	$R = 30$
N	1	0.82	0.84
NN	1	0.89	0.91
N	2	0.81	0.79
NN	2	0.87	0.87

B. Effect of number of boosting rounds on cross-validated performance of decision trees (graph)

This is expected based on our theoretical discussion of boosting in class because

#### 4. Tree Analysis