

## Lecture 23 Linear fractional transformations (LFTs)

HWK 6 due  
Thurs, 3/10

$$L(z) = \frac{az + b}{cz + d} = w \quad D = \det \begin{bmatrix} a & b \\ c & d \end{bmatrix} \neq 0$$

Solve for  $z$ :

$$z = \frac{dw - b}{-cw + a} = L^{-1}(w)$$

( $D=0$  means rows lin dependent  $\Rightarrow$   
 num  $L(z) = (\text{const})$  denom  $L(z)$   
 or worse: denom  $\equiv 0$ .)

$$\det \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \det \begin{bmatrix} a & b \\ c & d \end{bmatrix} = D \neq 0$$

$$A \quad A^{-1} = \frac{1}{D} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$

Cool thing: LFT's form a group under composition.

$$L \sim IA \quad id(z) = z = \frac{1 \cdot z + 0}{0 \cdot z + 1} \sim II$$

$$L^{-1} \sim IA^{-1}$$

$$\left. \begin{array}{l} L_1 \sim IA_1 \\ L_2 \sim IA_2 \end{array} \right\} L_1 \circ L_2 \sim IA_1 A_2 \leftarrow \begin{array}{l} \text{matrix} \\ \text{mult} \end{array}$$

Fact LFTs isomorphic to grp of  $2 \times 2$  complex matrices with  $\det \neq 0$ .

Fact LFTs:  $\{\begin{smallmatrix} \text{lines,} \\ \text{circles} \end{smallmatrix}\} \mathbb{R}$

Lemma: LFTs are generated by 4 basic maps

1)  $z \mapsto rz$ ,  $r \in \mathbb{R}^+$  (stretch)

2)  $z \mapsto e^{i\theta} z$  (rotation)

$$3) z \mapsto z+b \quad (\text{translation})$$

$$4) z \mapsto \frac{1}{z} \quad (\text{inversion})$$

Pf.: Case  $c=0$ :  $L(z) = Az + B$  1, 2, 3 all we need.

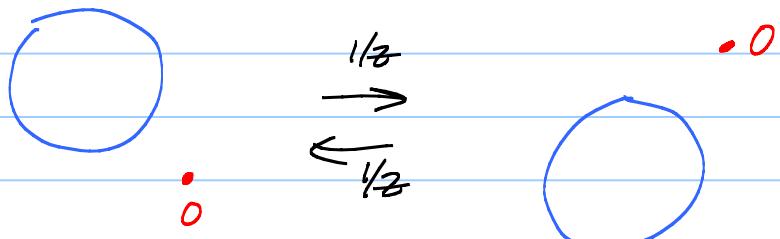
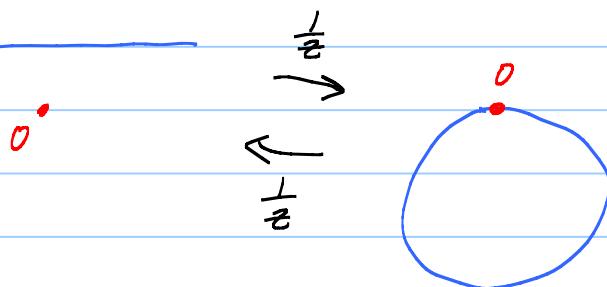
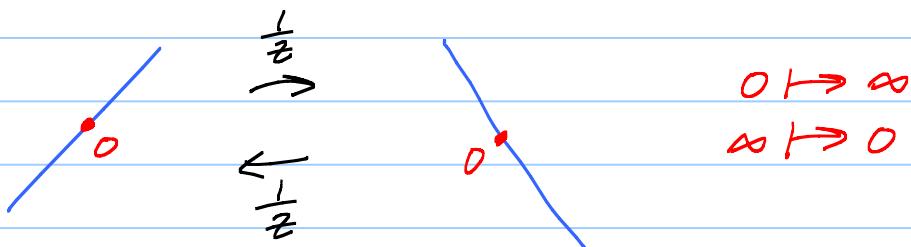
$$\text{Case } c \neq 0: \frac{az+b}{cz+d} = \frac{a}{c} + \frac{\left(b - \frac{ad}{c}\right)}{cz+d} \xleftarrow[c=re^{i\theta}]{} Re^{i\psi}$$

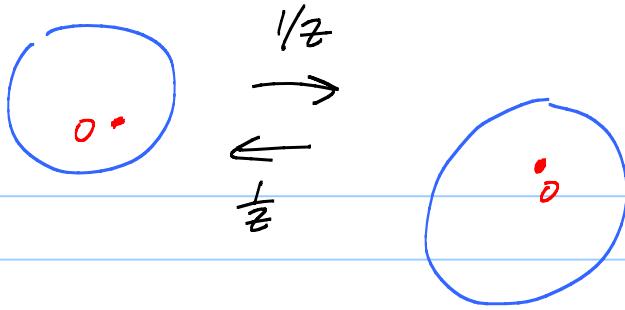
Need 7 basic maps to get  $L$ !

Cor: LFTs :  $\{\text{lines}, \text{circles}\} \rightleftharpoons \mathbb{R}$

Pf: 1, 2, 3 :  $\{\text{lines}\} \rightleftharpoons \mathbb{R}$ ,  $\{\text{circles}\} \rightleftharpoons \mathbb{R}$

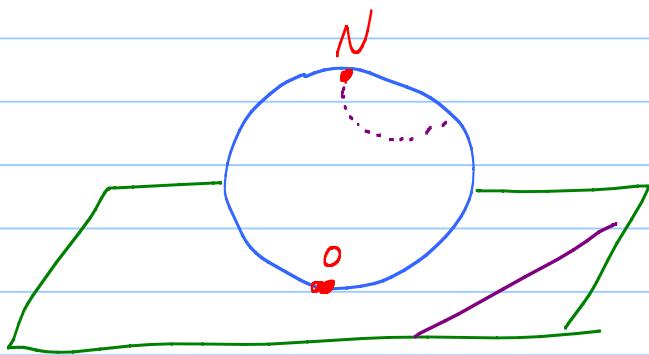
4 mixes them up:





Pf: HS analytic geom.

Fun fact: On Riemann sphere  $\{ \text{lines, circles} \} \sim \text{slices}$



LFTs:  $\hat{\mathbb{C}} \xrightarrow{\text{onto}}$

$$\lim_{z \rightarrow \infty} \frac{az+b}{cz+d} = \frac{a}{c} \quad (c \neq 0)$$

$$\begin{cases} L(\infty) = \frac{a}{c} \\ L(-\frac{d}{c}) = \infty \end{cases}$$

Lemma: An LFT that fixes 3 distinct pts must be the identity.

Pf:  $L(z_j) = z_j \quad j=1,2,3$

$$\frac{az_j+b}{cz_j+d} = z_j$$

$$cz_j^2 + (d-a)z_j - b = 0 \quad \leftarrow \begin{array}{l} \text{Quad eqn} \\ \text{with 3 roots!} \end{array}$$

$$\text{So } c=0, \underset{a=d}{d-a}=0, b=0$$

All coeff must  
= 0.

$$D = ad \neq 0, \quad \text{So } a \neq 0, d \neq 0.$$

$$L(z) = \frac{az+0}{0 \cdot z+d} = \left(\frac{a}{d}\right)z$$

Cor: If two LFTs agree at 3 pts, they are the same.

3 pts determine circle

2 pts (plus the pt at  $\infty$ ) determine a line.

Useful LFTs

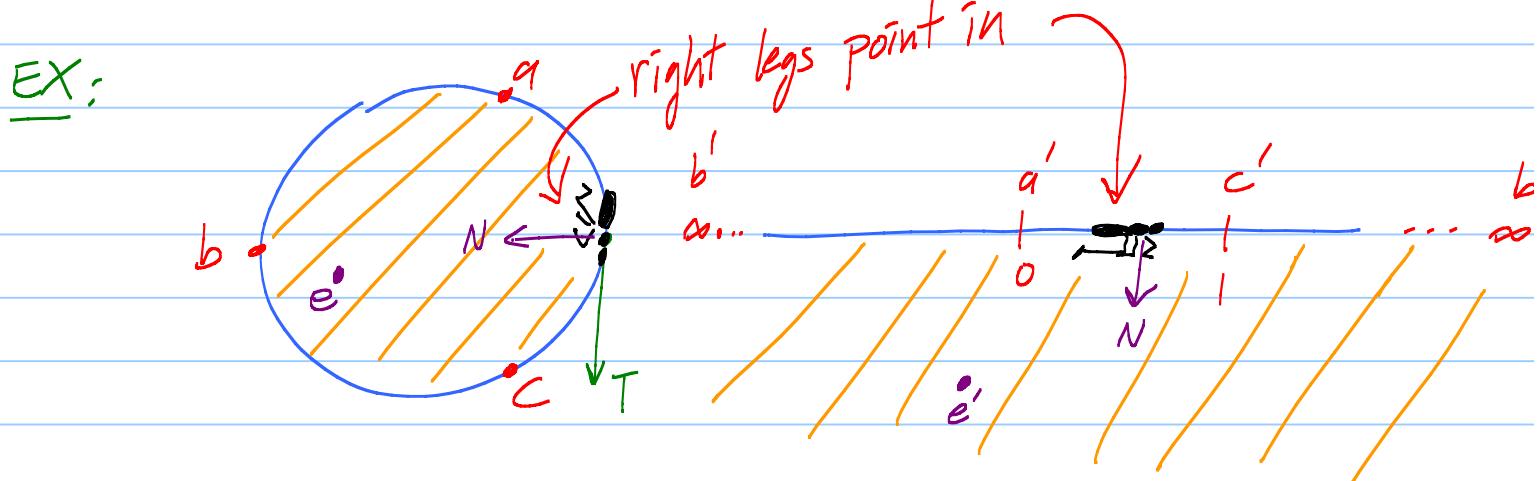
$$\frac{z-a}{z-b} \quad \begin{array}{l} a \mapsto 0 \\ b \mapsto \infty \end{array}$$

$$\left(\frac{c-b}{c-a}\right) \cdot \frac{z-a}{z-b} \quad \begin{array}{l} a \mapsto 0 \\ b \mapsto \infty \\ c \mapsto 1 \end{array}$$

Prob: Find an LFT:  $\begin{array}{l} z_1 \mapsto w_1 \\ z_2 \mapsto w_2 \\ z_3 \mapsto w_3 \end{array}$  in finite  $\mathbb{C}$

$$\begin{array}{ll} z_1 \mapsto 0 & \leftarrow w_1 \\ z_2 \mapsto \infty & \leftarrow w_2 \\ z_3 \mapsto 1 & \leftarrow w_3 \end{array}$$

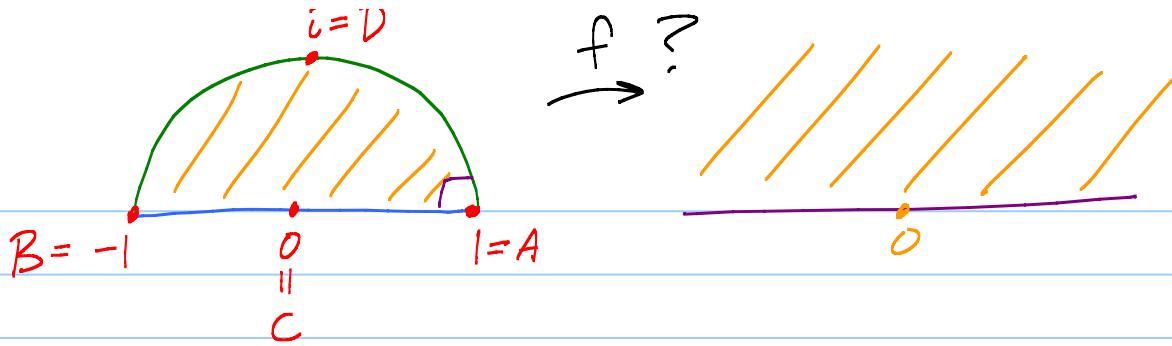
$L_2^{-1} \circ L_1$  solves prob.



Bug test: Use conformality to see which side goes to what side.

Check one pt test:

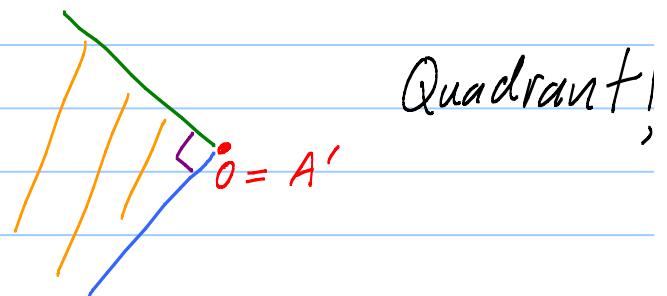
EX:



Trick: blow a corner to  $\infty$ .

$$L(z) = \frac{z-1}{z-i}$$

Humm.



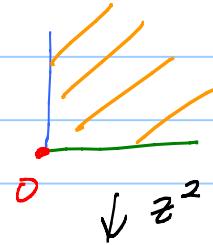
$$L(1) = 0$$

$$L(-1) = \infty$$

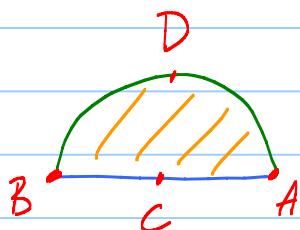
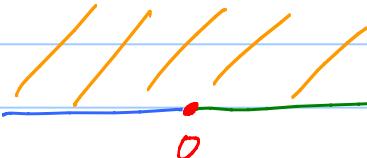
$$L(i) = \frac{i-1}{i+1} = i$$

$$L(0) = \frac{0-1}{0+1} = -1$$

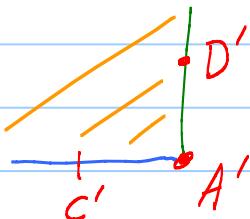
rotate



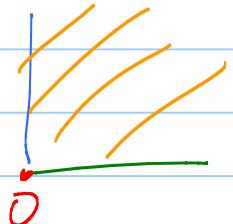
Square



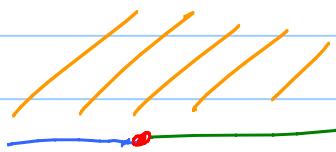
$L$



$$\begin{matrix} e^{-i\frac{\pi}{2}} \\ -iz \end{matrix} z$$



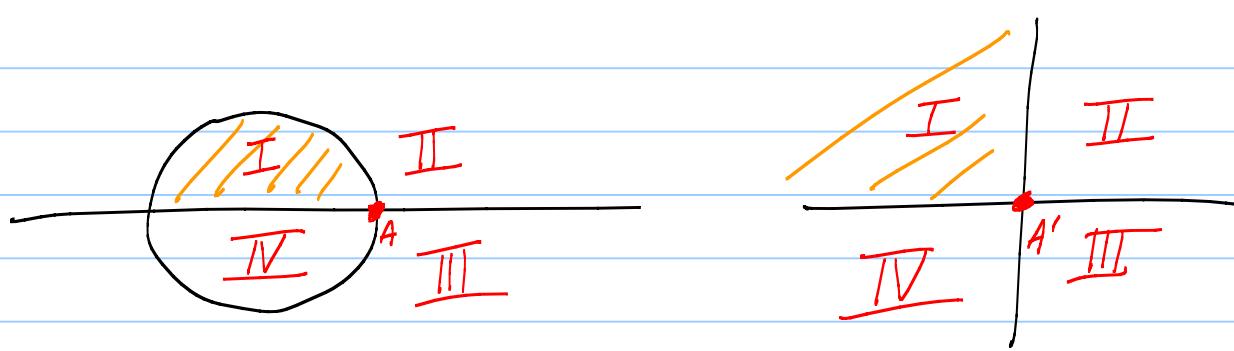
$$z^2$$



O

$$f(z) = \left[ -i \left( \frac{z-1}{z+1} \right) \right]^2 = - \left( \frac{z-1}{z+1} \right)^2$$

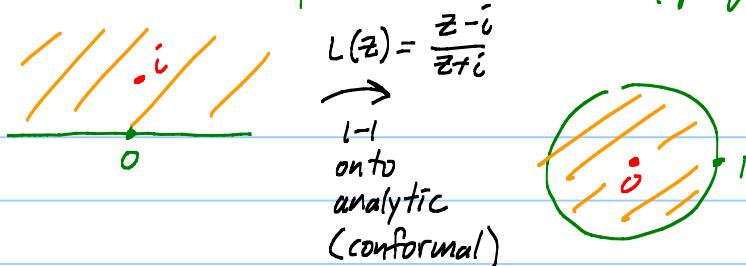
Big picture



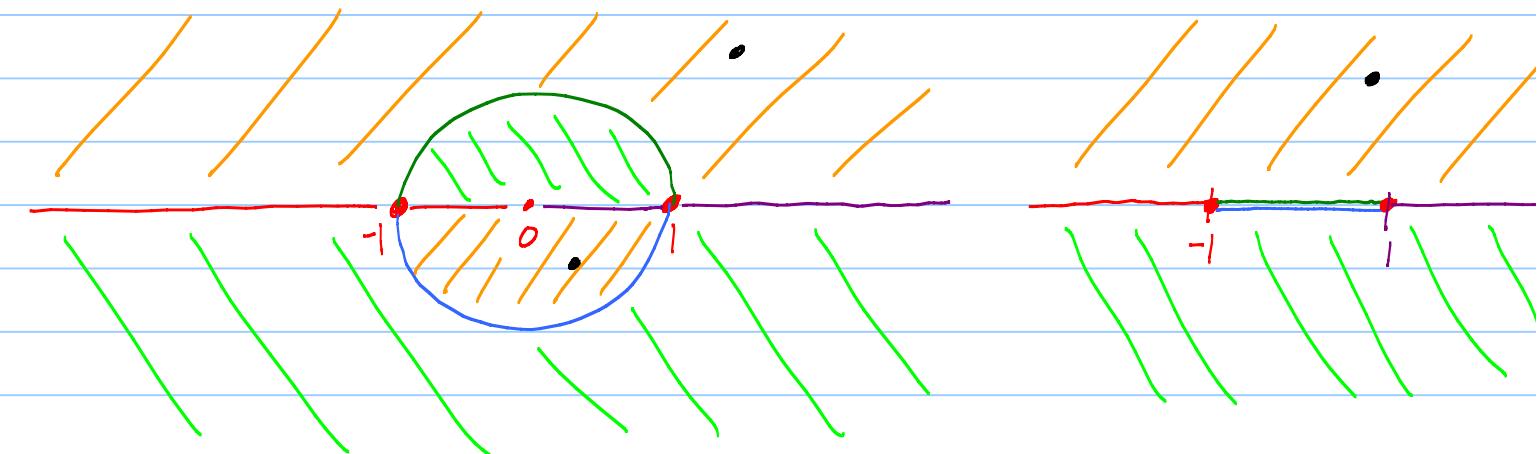
# Lecture 24 LFTs, Jukovsky map, conformal mapping

HWK 6 due Thurs

Favorite LFT :



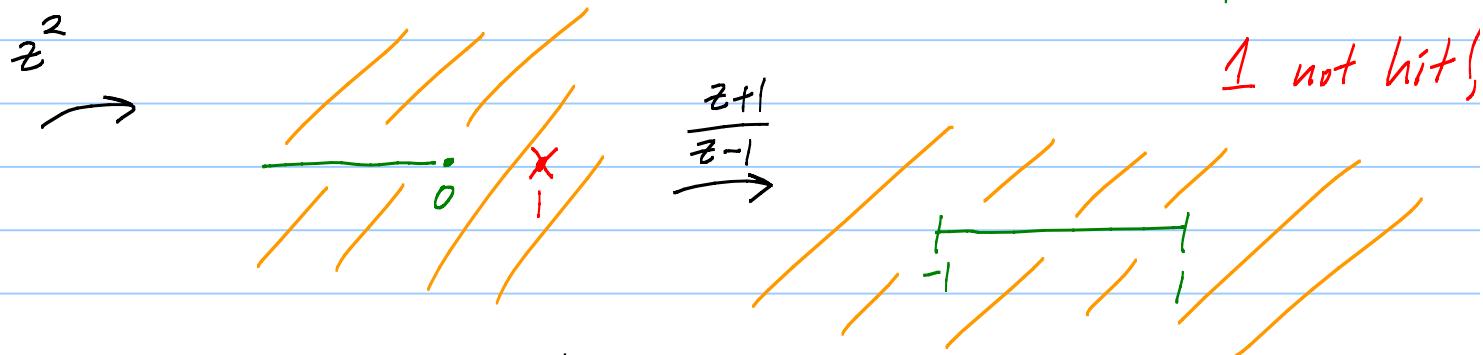
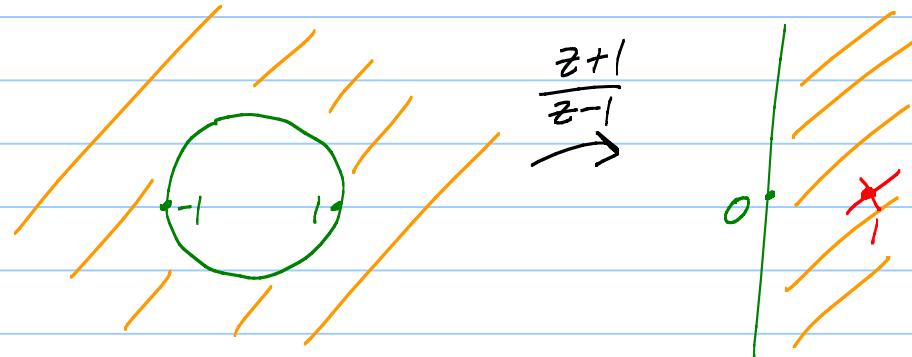
Jukovsky map  $J(z) = \frac{1}{2}(z + \frac{1}{z})$



$$J'(\pm 1) = 0 \quad J: -1 \mapsto -1 \text{ with mult two}$$

$$1 \mapsto 1 \quad " \quad " \quad "$$

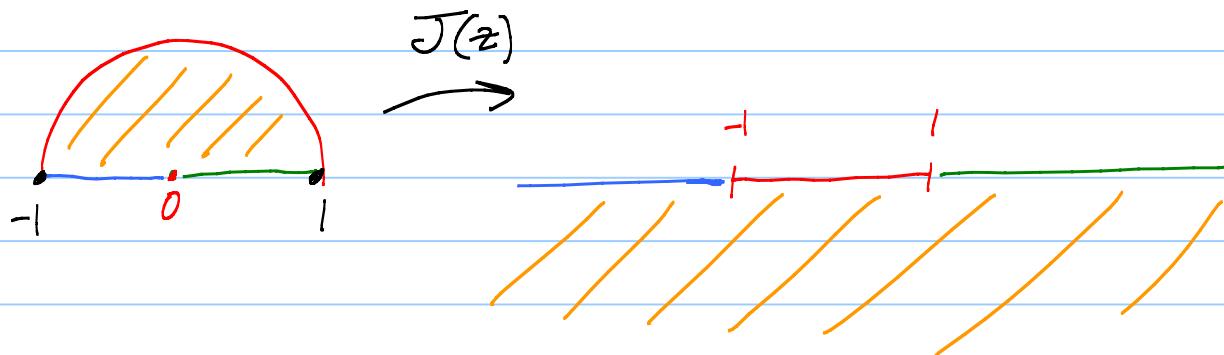
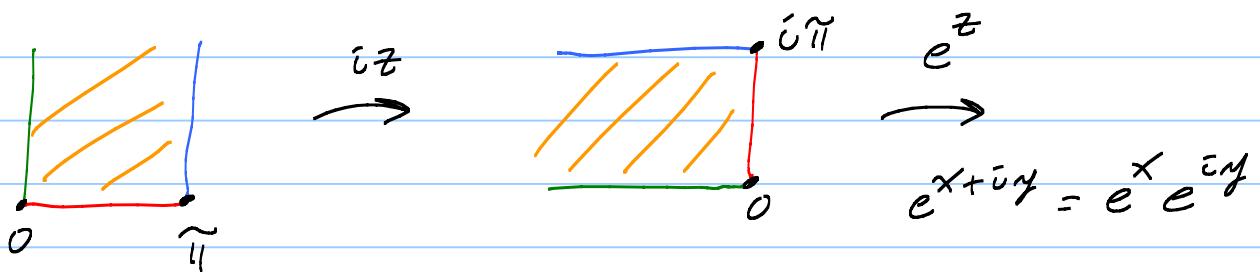
Cook up  $\bar{J}(z)$ :



Composition =  $\bar{J}(z)$ !

## Complex cosine

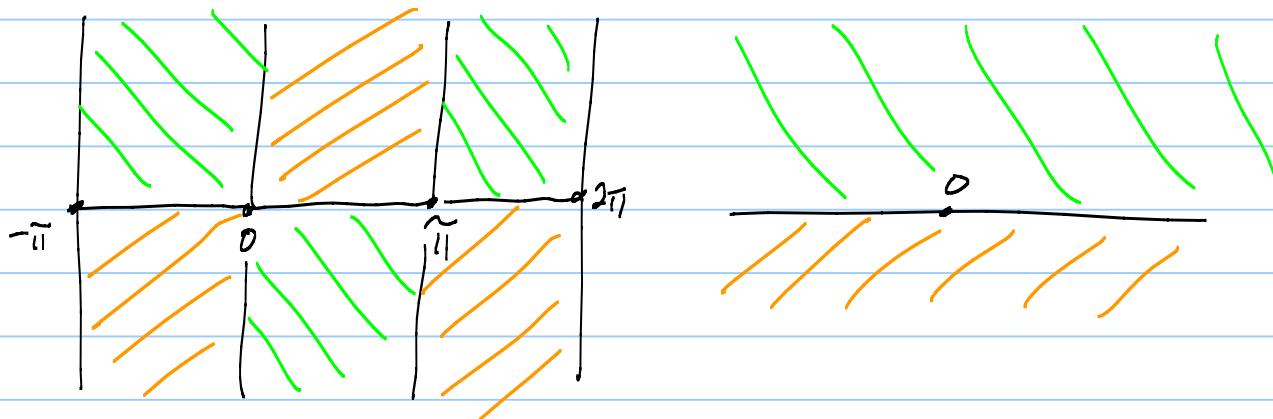
$$\cos z = \frac{e^{iz} + e^{-iz}}{2} = \operatorname{Re}(e^{iz})$$



Composition =  $\cos z$

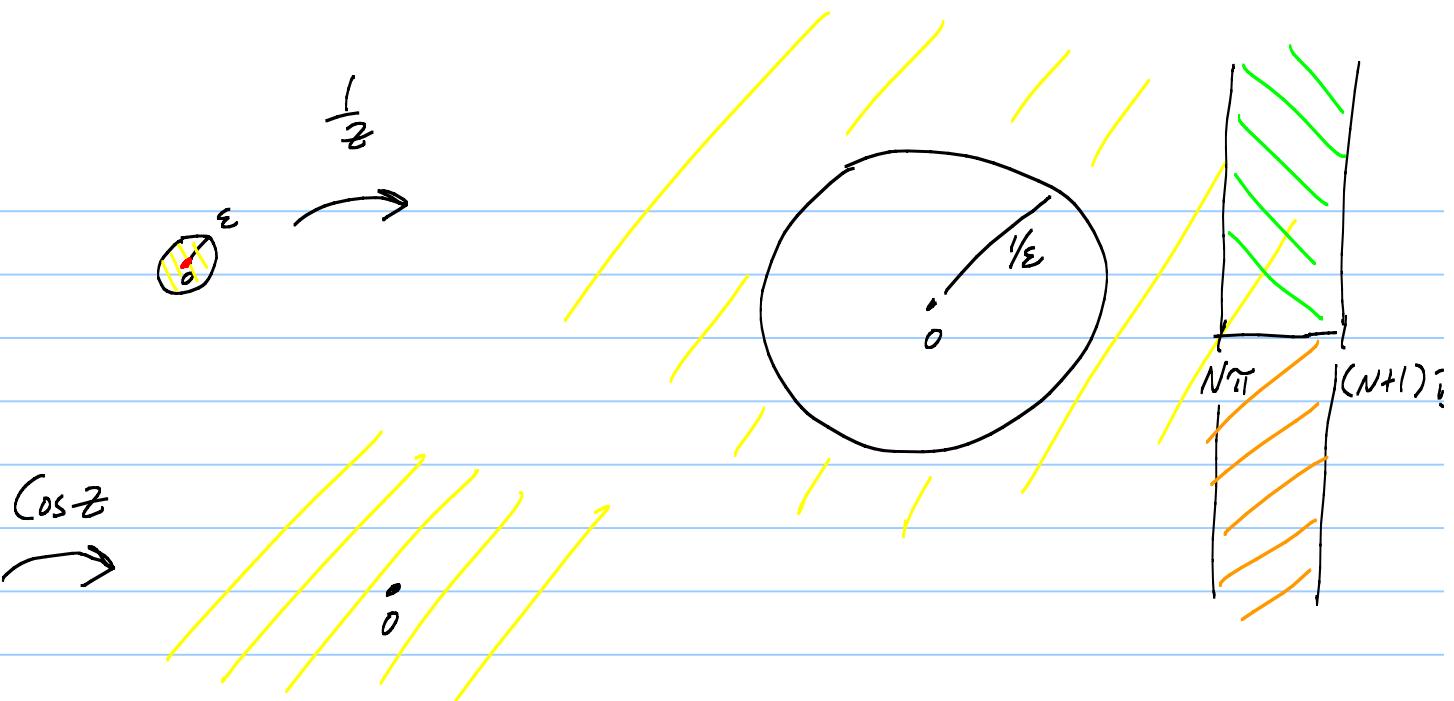
$$\cos(-z) = \cos z$$

$$\cos(z+i\pi) = -\cos z$$



See  $\cos \frac{1}{z}$  maps  $\mathbb{C} \rightarrow \mathbb{C}$  infinite to one, missing nothing.

$\cos z$  has an "essential singularity at  $\infty$ ," meaning that  $\cos \frac{1}{z}$  has an essential sing at 0.



$f(z) = \cos \frac{1}{z}$   $f(D_\epsilon(0) - \xi_0 \beta)$  is dense in  $\mathbb{C}$ , no matter how small  $\epsilon > 0$

Branches of  $\cos^{-1} w$

$$w = \cos z = \frac{1}{2}(e^{iz} + e^{-iz})$$

Solve for  $z$ : Mult by  $2e^{iz}$ :  $2e^{iz}w = (e^{iz})^2 + 1$

$$0 = (e^{iz})^2 - 2w(e^{iz}) + 1$$

$$e^{iz} = \frac{2w + \sqrt{4w^2 - 4}}{2} = w + \sqrt{w^2 - 1}$$

← pick correct  $\sqrt{\phantom{x}}$

$$iz = \log(w + \sqrt{w^2 - 1})$$

$$z = \frac{1}{i} \log(w + \sqrt{w^2 - 1}) \quad \text{← } \cos^{-1} w$$

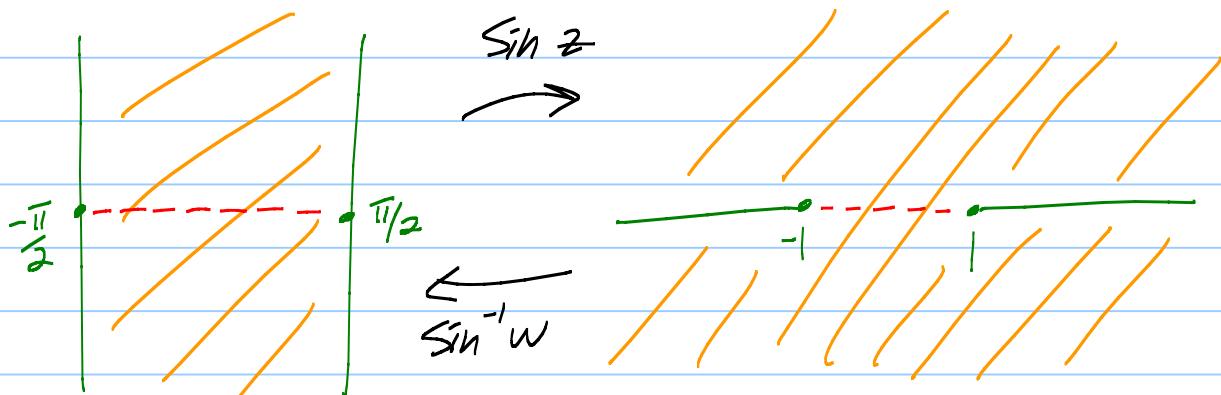
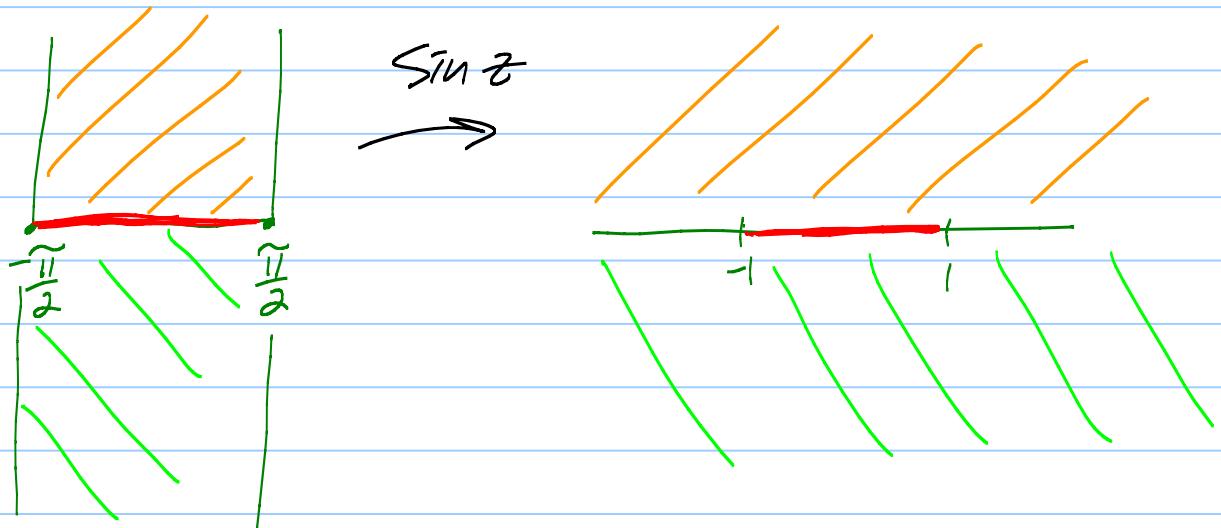
Liouville:  $e^z$  and its inverse plus algebraic functions generate the "elementary fns".

Thm:  $e^z$  does not have an antiderivative  
that is an elem fcn!

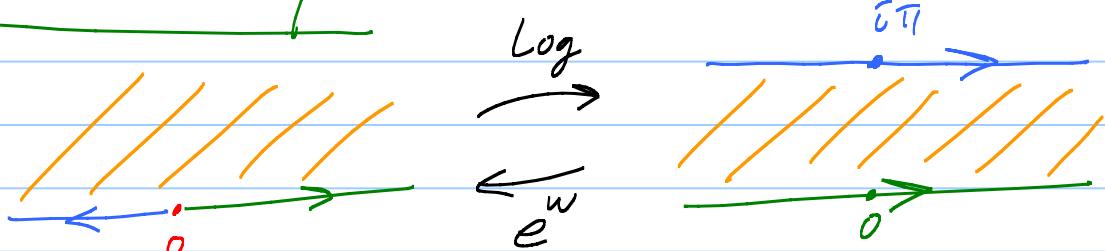
Alg fcn's :  $P(z, w) = \sum_{n=0}^N \sum_{m=0}^M c_{nm} z^n w^m \equiv 0$

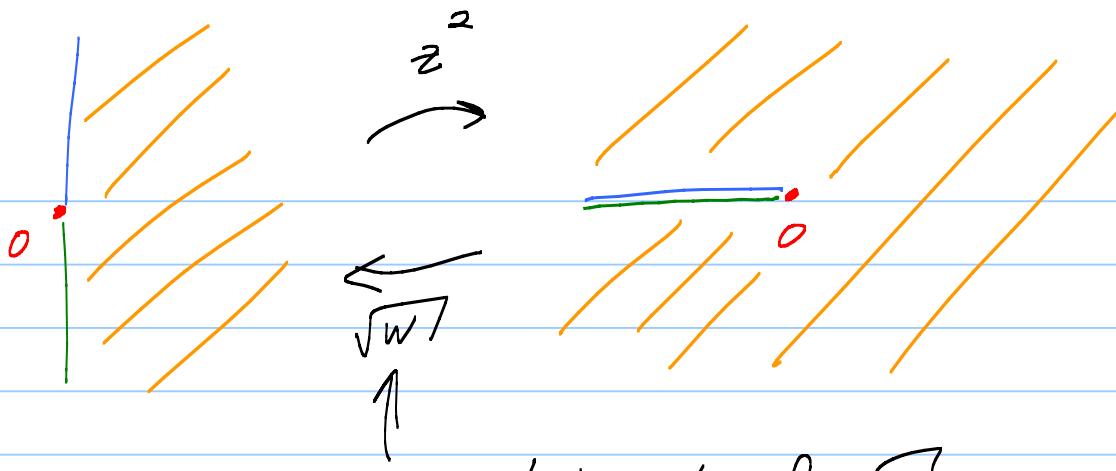
defines  $w = \text{fcn of } z$  locally away from singular points.

Remark  $\sin z = \cos(z - \frac{\pi}{2})$

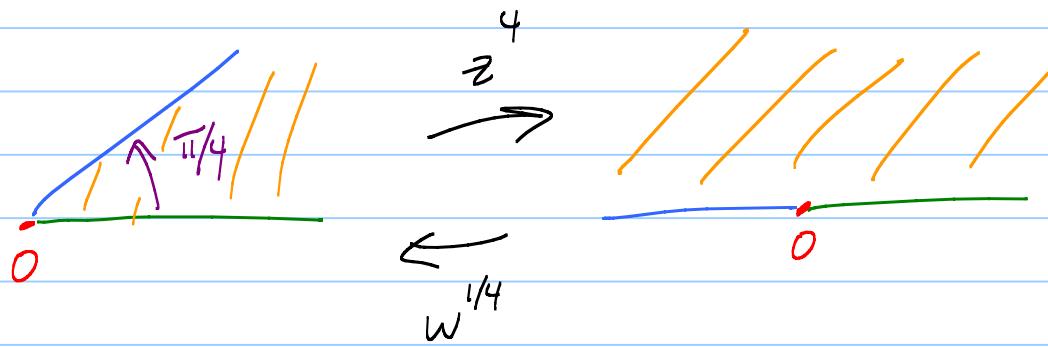
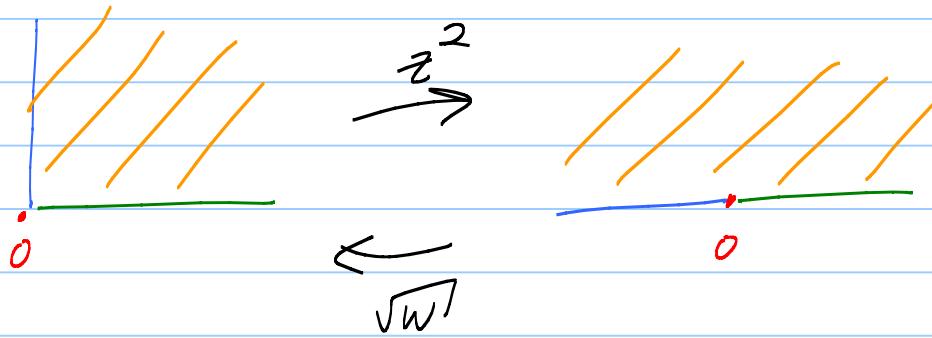
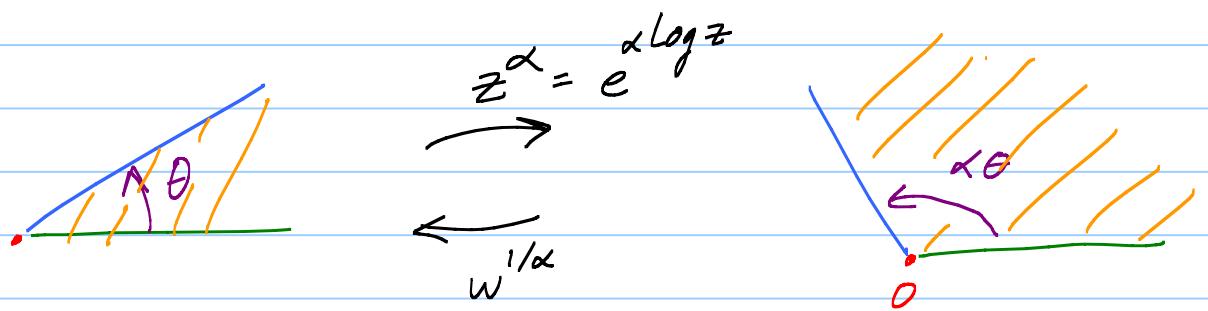


Other useful conformal maps

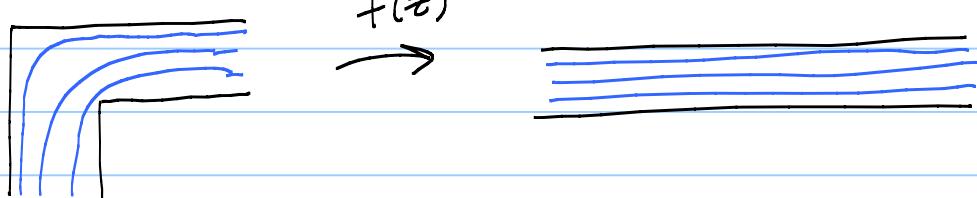




$$\sqrt{w^1} = e^{\frac{1}{2}\operatorname{Log} w^1} \leftarrow \text{principal branch of } \log$$



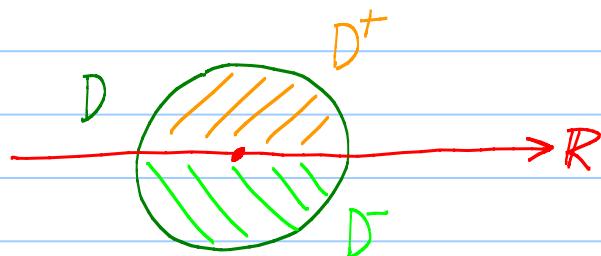
Engineers :



## Lecture 25 Schwarz reflection

HWK 6 due Thurs in GS

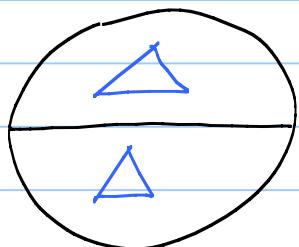
Lemma



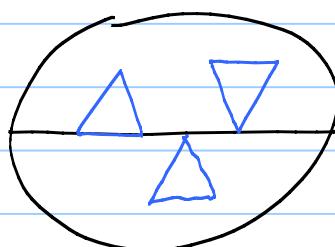
$f$  continuous on  $D$ ,  
analytic in  $D^+$  and  $D^-$ .  
open

Then  $f$  analytic on  $D$ .

Pf:



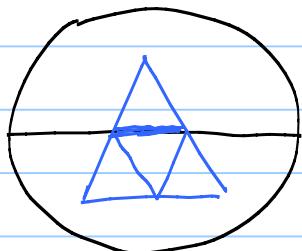
$$\int_D f dz = 0 \text{ when } \Delta \subset D^+ \text{ or } D^-.$$



$$\Delta_\varepsilon : z_\varepsilon(t) = z(t) + i\varepsilon \quad \Delta : z(t)$$

$$\int_D f dz = \lim_{\varepsilon \rightarrow 0^+} \int_{\Delta_\varepsilon} f dz = 0$$

Goursat trick!  $\rightarrow$



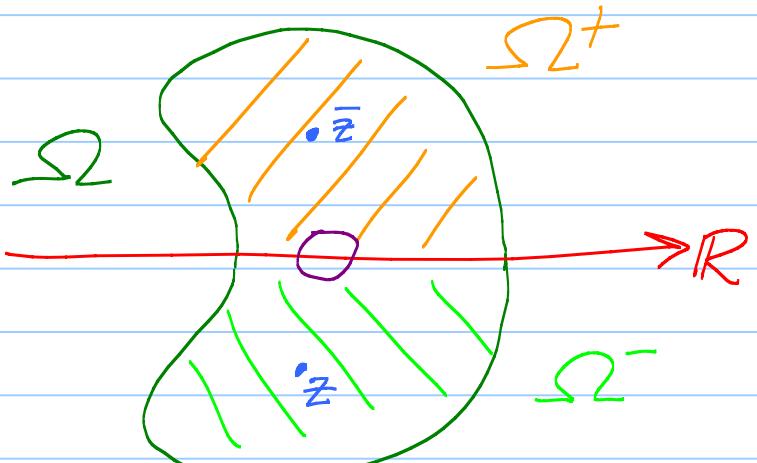
Aha  $\int_D f dz$  for any  $\Delta \subset D$ .

Morera's  $\Rightarrow f$  analytic.

Schwarz reflection principle

$\Omega$  symmetric domain  
about real line

$f$  continuous on  $\Omega^+$  up to  $R$ .



- )  $f(x) \in \mathbb{R}$  for  $x \in \Omega \cap \mathbb{R}$ , and  
 )  $f$  is analytic in  $\Omega^+$ .

Then

$$F(z) = \begin{cases} f(z) & \text{when } z \in \Omega^+ \\ f(x) & \text{when } x \in \Omega \cap \mathbb{R} \\ \overline{f(\bar{z})} & \text{when } z \in \Omega^- \end{cases}$$

is analytic on  $\Omega$ .

Pf.: HYP  $\Rightarrow F$  continuous on  $\Omega$ . ✓  
 $F$  analytic on  $\Omega^+$ . ✓

HWK 5 prob:  $\overline{f(\bar{z})}$  is analytic on  $\Omega^-$ . ✓

Lemma  $\Rightarrow F$  analytic on  $\Omega$ .

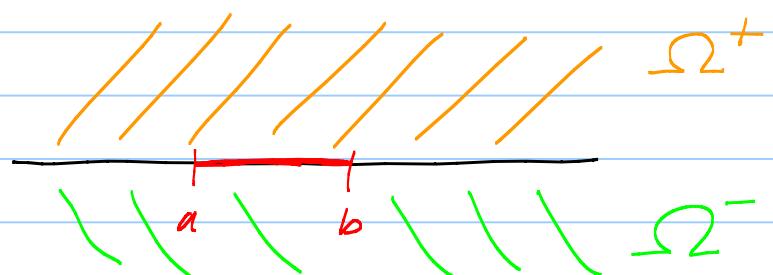
$$\begin{aligned} \text{HWK 5 prob: } f(x+iy) &= u(x,y) + i v(x,y) = \sum_{n=0}^{\infty} a_n (z-z_0)^n \\ \overline{f(x-iy)} &= \overline{u(x,-y) - i v(x,-y)} = \sum_{n=0}^{\infty} \overline{a_n} (\bar{z}-\bar{z}_0)^n \end{aligned}$$

CR eqns + Chain rule. ✓      Same R of C

Application of Schwarz Suppose  $f$  is analytic on UHP

and continuous up to  $(a, b) \subset \mathbb{R}$  and zero there.

Then  $f \equiv 0$  on UHP.

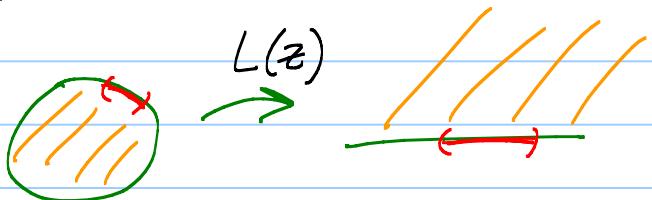


Schwarz  $\Rightarrow$   $f$  extends analytic to  $\mathbb{C} - [(-\infty, a] \cup [b, \infty)]$

Every pt in  $(a, b)$  is a limit pt of  $(a, b) \subset \mathbb{Z}$ .

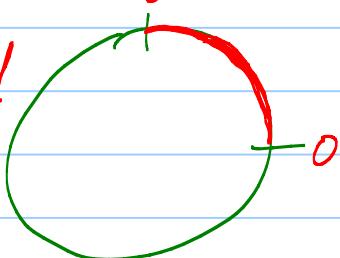
Identity thm  $\Rightarrow f \equiv 0$ .

Example true for discs too.



Riemann mapping thm can be used to generalize.

Fun exercise  $f$  continuous on  $\overline{D_1(0)}$ , analytic in  $D_1(0)$  and zero on red



Then  $f \equiv 0$ .

Pf:  $F(z) = f(z)f(iz)f(-z)f(-iz)$  is cont on  $\overline{D_1(0)}$ ,

analytic in  $D_1(0)$ ,  $\equiv 0$  on  $C_1(0)$ .

Max princ  $\Rightarrow F \equiv 0$  on  $D_1(0)$

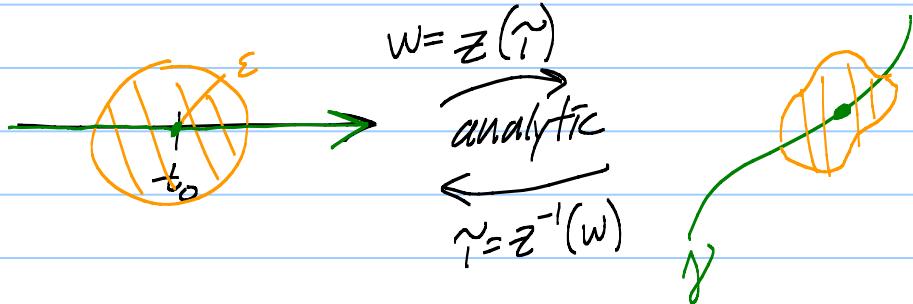
$\Rightarrow$  one of factors  $\equiv 0 \Rightarrow f \equiv 0$ .

Why Schwarz reflection is important:

"Real analytic" curve  $\gamma: z(t) = \sum_{n=0}^{\infty} c_n (t-t_0)^n$

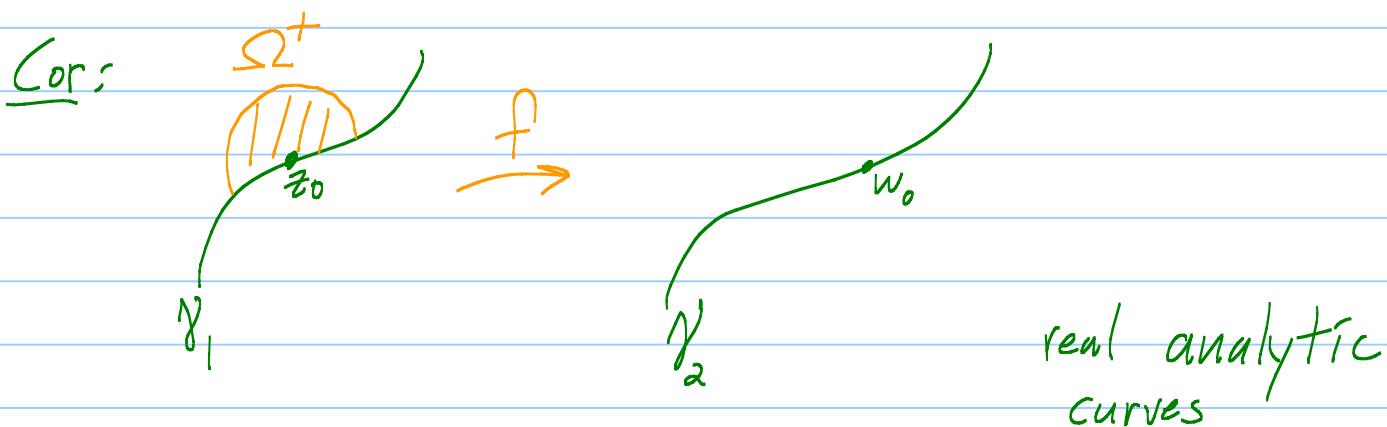


real conv power series  $\Rightarrow$  complex conv power series  
 on  $D_\varepsilon(t_0)$ . Let  $\gamma$  be  
 complex near  $t_0$ .



Assume  $z'(t_0) \neq 0$ . Then  $z'(\gamma)$  nonvanishing near  $t_0$ .

So can shrink  $\varepsilon$  so  $z(\gamma)$  is 1-1 onto.



$f$  analytic on  $\Omega^+$   
 and continuous up to  $\gamma_1$ .

If  $f(\gamma_1) \subset \gamma_2$ , then  $f$  extends to be analytic  
 on a nbhd of  $z_0$ .

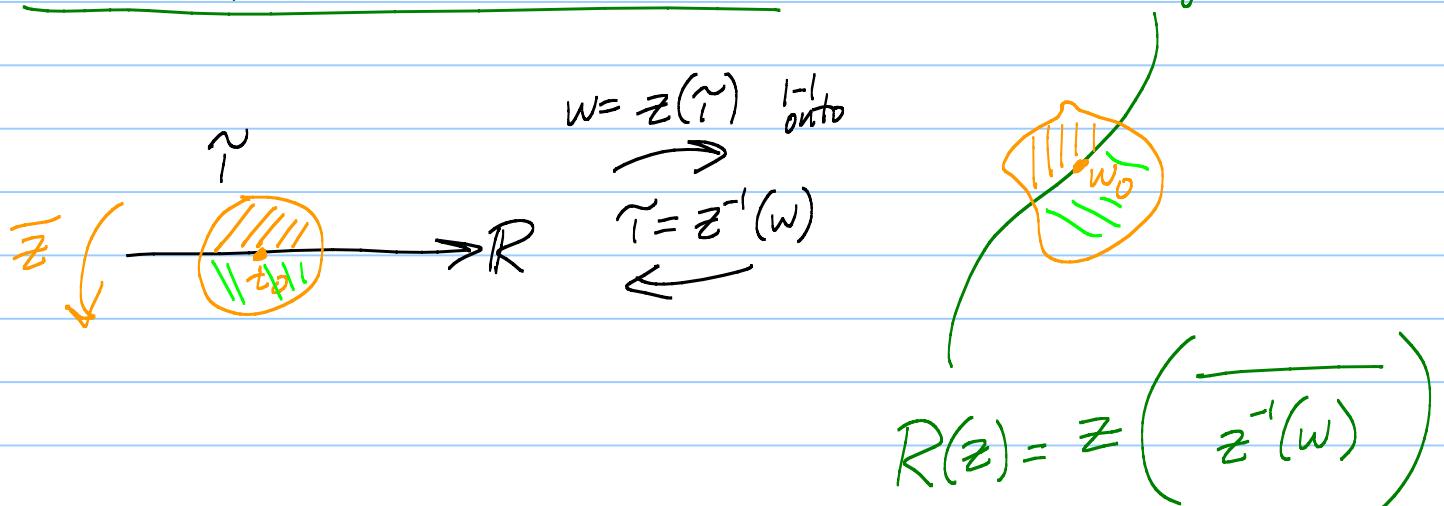
Schwarz reflection fcns:

Ex: For  $\mathbb{R}$ :  $R(z) = \bar{z}$  ← antiholomorphic  
 (conjugate is holomorphic)

$R$  maps one side of  $\mathbb{R}$  to other side  
 and fixes  $\mathbb{R}$ .

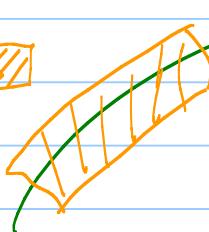
For  $C_1(0)$ :  $R(z) = \frac{1}{\bar{z}}$

For any real analytic  $\gamma$ :



Famous function:  $S(z) = \overline{R(z)}$  is the Schwarz fcn.

It is analytic on  $\gamma$



$$S(z) = \overline{z} \text{ on } \gamma,$$

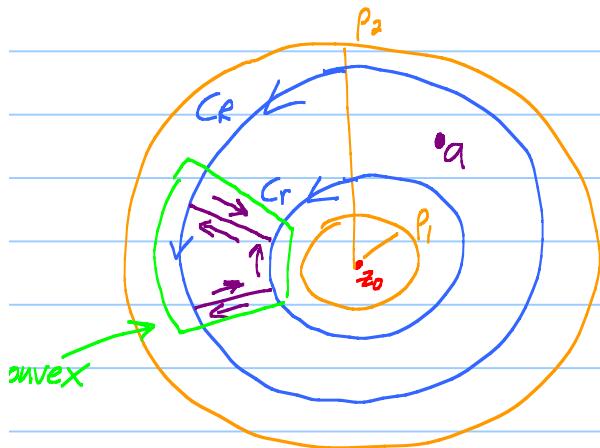
For  $R$ :  $S(z) = z$

For  $C_1(0)$ :  $S(z) = \frac{1}{\bar{z}}$

## Lecture 26 Laurent expansions

HWK 6 due tonight  
at 11:59 in GSO  
Midterm exam Fri, March 25

Find Practice problems for the midterm on the home page (not to be turned in). Feel free to discuss them on Piazza.

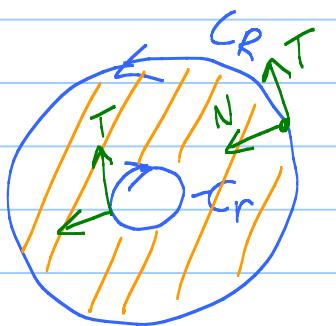


Suppose  $f$  is analytic on  $A(p_1, p_2) = \{z : p_1 < |z - z_0| < p_2\}$

$$p_1 < r < R < p_2$$

Cauchy's theorem for an annulus:  $\left( \int_{C_R} - \int_{C_r} \right) f \, dz = 0$

Pf: Add up "pieces of pie". Use Cauchy on convex. Cancel cuts.



$C_R \cup (-C_r)$  "standard orientation of the boundary"

(Inward pointing normal vector is to the left of the  $T$  vector.)

Cauchy integral formula:

$$f(a) = \frac{1}{2\pi i} \left( \int_{C_R} - \int_{C_r} \right) \frac{f(z)}{z-a} \, dz$$

Pf: Apply the C. Thm to  $F(z) = \frac{f(z) - f(a)}{z-a}$ ,

which has a removable sing at  $z=a$ .

$$0 = \left( \int_{C_R} - \int_{C_r} \right) \frac{f(z)}{z-a} - \frac{f(a)}{z-a} dz$$

Aha!  $\left( \int_{C_R} - \int_{C_r} \right) \frac{f(a)}{z-a} dz = f(a) \left[ \int_{C_R} \frac{1}{z-a} dz - \int_{C_r} \frac{1}{z-a} dz \right]$

$\cancel{\int_{C_R}} \quad \cancel{\int_{C_r}}$   
 $= 0$

a inside  $C_R$ , outside  $C_r$

Laurent series:

$$f(z) = \sum_{n=0}^{\infty} a_n (z-z_0)^n + \sum_{n=1}^{\infty} a_{-n} (z-z_0)^{-n}$$

converging in  $D_{p_2}(z_0)$ ,  
absolutely on  $D_R(z_0)$ .

converging in  
 $\{z : |z-z_0| > p_1\}$ ,  
 uniform in  $\{z : |z-z_0| >$

$$a_n = \frac{1}{2\pi i} \int_{C_{\tilde{r}}} \frac{f(z)}{(z-z_0)^{n+1}} dz \quad \leftarrow n \in \mathbb{Z}$$

$\tilde{r} \in p_1 < \tilde{r} < p_2$

Cauchy thm  $\Rightarrow$  indep of  
choice of  $\tilde{r}$

Theorem:  $f$  has an isolated singularity at  $z_0$ .

1)  $a_{-n} = 0$  for  $n=1, 2, 3, \dots \Leftrightarrow z_0$  is removable

2) Only finitely many  $a_{-n} \neq 0$  ( $n \in \mathbb{N}$ )

$\Leftrightarrow z_0$  is a pole

3) Infinitely many  $a_{-n} \neq 0$  ( $n \in \mathbb{N}$ )  $\Leftrightarrow z_0$  essential

Pf of expansion : Let  $z_0 = 0$ .

$$f(z) = \frac{1}{2\pi i} \int_{C_R} \frac{f(w)}{w-z} dw - \frac{1}{2\pi i} \int_{C_r} \frac{f(w)}{w-z} dw$$

$\underbrace{w(1-\frac{z}{w})}_{\uparrow}$

$| \frac{z}{w} | < 1$

$\underbrace{-z(1-\frac{w}{z})}_{\uparrow}$

$| \frac{w}{z} | < 1$

$$= \frac{1}{2\pi i} \int_{C_r} \frac{f(w)}{w} \left( 1 + \frac{z}{w} + \left(\frac{z}{w}\right)^2 + \dots + \left(\frac{z}{w}\right)^N \right) dw$$

+ remainder term  
 $\rightarrow 0$  unit  
 on a shrunk disc.

$$= \sum_{n=0}^{\infty} \left( \frac{1}{2\pi i} \int_{C_R} \frac{f(w)}{w^{n+1}} dw \right) z^n$$

$\underbrace{a_n}_{\uparrow}$

$$- \frac{1}{2\pi i} \int_{C_r} \frac{f(w)}{w-z} dw = \frac{1}{2\pi i} \frac{1}{z} \int_{C_r} \frac{f(w)}{1 - \frac{w}{z}} dw$$

$\underbrace{-z(1-\frac{w}{z})}_{\uparrow}$

$| \frac{w}{z} | < 1$

$$= \frac{1}{2\pi i} \cdot \frac{1}{z} \int_{C_r} f(w) \left[ 1 + \left(\frac{w}{z}\right) + \dots + \left(\frac{w}{z}\right)^N + \underbrace{\frac{\left(\frac{w}{z}\right)^{N+1}}{1 - \frac{w}{z}}}_{E_N(\frac{w}{z})} \right] dw$$

$$= \sum_{n=1}^{N+1} \left( \frac{1}{2\pi i} \int_{C_r} \frac{f(w)}{w^{-n+1}} dw \right) \frac{1}{z^n} + E_N(z)$$

$\underbrace{a_n}_{\uparrow}$

$$\text{Finally } |\varepsilon_N(z)| < \frac{1}{2\pi} \frac{1}{|z|} \cdot \max_{C_r} |f| \cdot \frac{\left(\frac{r}{|z|}\right)^{N+1}}{1 - \frac{r}{|z|}}$$

$\rightarrow 0$  as  $N \rightarrow \infty$ .

Restrict  $z$  to smaller annulus to get unif estimate.

Notation:  $\sum_{n=-\infty}^{\infty} a_n z^n$  converges means that

both  $\sum_{n=0}^{\infty} a_n$  and  $\sum_{n=1}^{\infty} a_{-n} z^{-n}$  converge.

Remark: At an isolated sing, Laurent exp is valid on punctured disc.

Pf of Isolated sing thm:

(1) : ( $\Rightarrow$ ) If  $a_{-n}=0 \forall n \in \mathbb{N}$ , then

$f$  = power series on  $D_R(z_0) - \{z_0\}$ .

So  $z_0$  removable.

( $\Leftarrow$ )  $z_0$  removable.  $(n \in \mathbb{N})$

$$a_{-n} = \frac{1}{2\pi i} \int_{\tilde{C}_r} \frac{f(w)}{(w-z_0)^{-n+1}} dw$$

$$= \frac{1}{2\pi i} \int_{\tilde{C}_r} f(w) \underbrace{(w-z_0)^{n-1}}_{\substack{\text{analytic} \\ \text{inside}}} dw = 0$$

Cauchy



(2) Lemma : Laurent expansions are unique.

Why:

$$a_N = \frac{1}{2\pi i} \int_{C_r} \frac{f(z)}{(z-z_0)^{N+1}} dz$$

$$= \frac{1}{2\pi i} \int_{C_r} \left[ \sum_{n=-\infty}^{\infty} b_n (z-z_0)^n \right] \frac{1}{(z-z_0)^{N+1}} dz$$

$$= \sum_{n=-\infty}^{\infty} b_n \left( \frac{1}{2\pi i} \int_{C_r} (z-z_0)^{n-N-1} dz \right)$$

$$\begin{cases} = 0 & n-N-1 \neq -1 \\ & n \neq N \\ = 1 & n = N \end{cases}$$

$$= b_N \quad \checkmark$$

(2): ( $\Rightarrow$ )  $f(z) = (\text{Princ Part}) + \text{power series}.$

So  $z_0$  is a pole.

( $\Leftarrow$ ) Princ part + power series  
is a Laurent exp. It is the unique  
Laurent exp.

(3): Left over case!

Def<sup>n</sup>: At an isolated sing  $z_0$

$$\text{Res}_{z_0} f = a_{-1} = \frac{1}{2\pi i} \int_{C_r} f(w) dw$$

Meaning of residue:  $f$  has an analytic

antiderivative on  $D_R(z_0) - \{z_0\} \iff \text{Res}_{z_0} f = 0.$

Think: Residue term leads to log terms.

## Lecture 27

### Rouché's theorem, Hurwitz thm

Midterm exam a take-home exam  
out Thurs 2:00pm. Due Tues, 11:59pm  
in Gradescope.

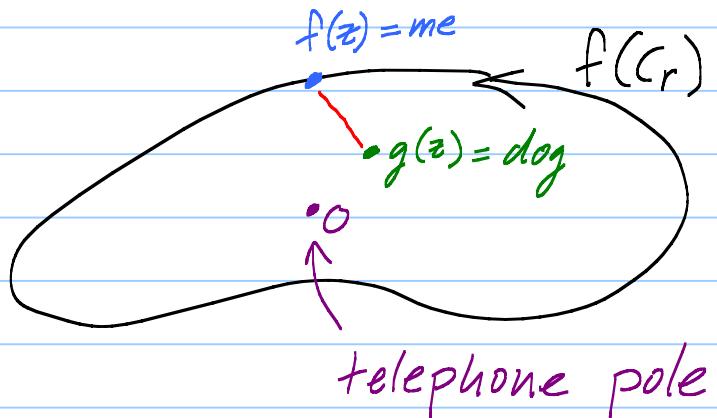
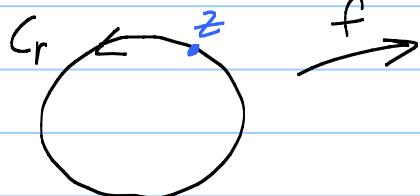
Rouché's thm for a disc (or "toy region"): Suppose  $f(z)$  and  $g(z)$  are analytic on  $D_R(a)$  and  $0 < r < R$ . If

$|f(z) - g(z)| < |f(z)|$  on  $C_r(a)$ , then  $f$  and

$g$  have the same number of zeroes inside  $C_r(a)$

(counted with multiplicity).

Meaning:



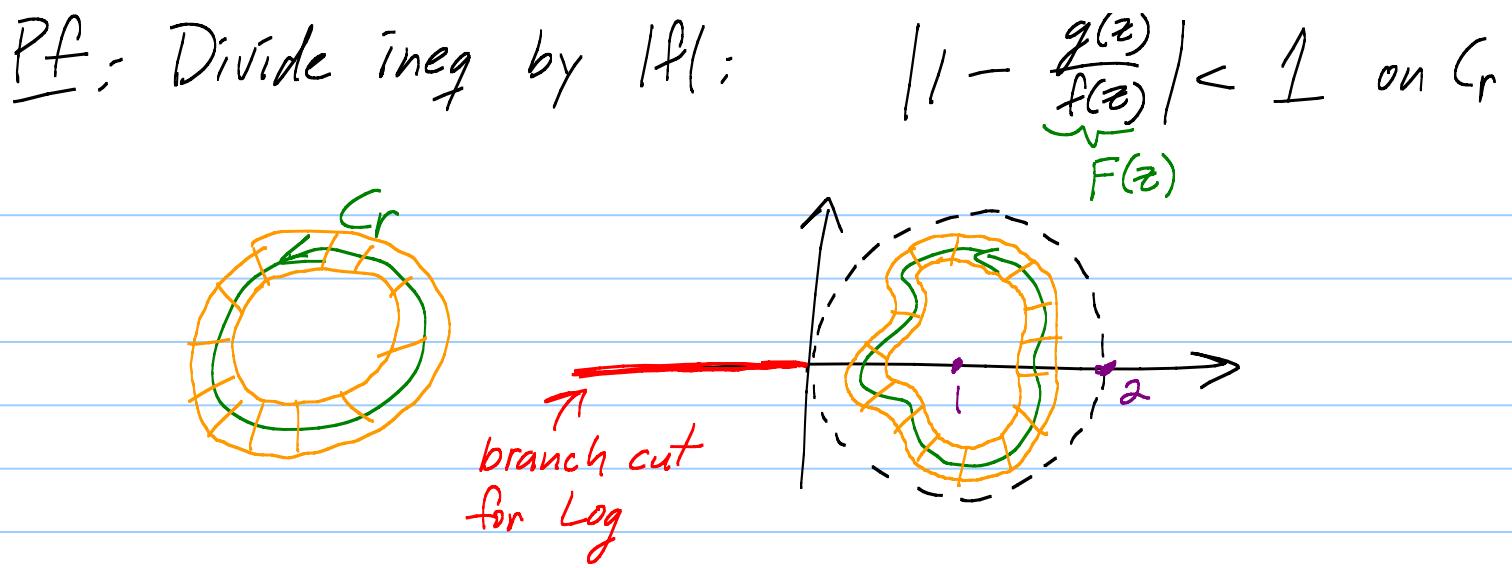
$$|f(z) - g(z)| < |f(z)|$$

leash length      dist me to pole

Arg Princ: # zeroes  $f$  inside  $C_r$  = # times  $f(z)$  revolves around origin

= same # times dog goes around pole.

Note: Ineq  $\Rightarrow f$  nonvanishing on  $C_r$   
 $\Rightarrow g$  same



$$\int_{C_r} \frac{F'}{F} dz = \int_{C_r} \frac{d}{dz} \text{Log}(F(z)) dz = 0$$

$$\frac{F'}{F} = \frac{g'}{g} - \frac{f'}{f} \quad \text{"logarithm diff' tion"}$$

$$\text{So } 0 = \frac{1}{2\pi i} \int_{C_r} \frac{F'}{F} dz = \underbrace{\frac{1}{2\pi i} \int_{C_r} \frac{g'}{g} dz}_{\# \text{ zeroes of } g} - \underbrace{\frac{1}{2\pi i} \int_{C_r} \frac{f'}{f} dz}_{\# \text{ zeroes of } f}$$

Remark: Same proof extends to "toy regions" and allows zeroes and poles inside:

$$[\# \text{ zeroes} - \# \text{ poles}] \text{ of } f = \text{same } \# \text{ for } g.$$

Hurwicz thm: Suppose  $f_n$  is a seq of analytic func on a domain  $\Omega$   $f_n \rightarrow f$ . ( $\rightarrow$ : uniform on compacts)

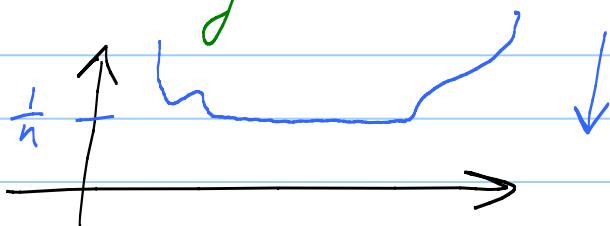
[Know  $f$  is analytic too.]

If all  $f_n$ 's are nonvanishing on  $\Omega$ , then

1)  $f \equiv 0$  on  $\Omega$ , or

2)  $f$  nonvanishing too.

Way false  $R \rightarrow R$



EX:  $f_n(z) = z^n \rightarrow \equiv 0$  on  $D(0)$ .

Pf of Hurwicz: Suppose  $f(z_0) = 0$ . If  $f \not\equiv 0$ , then

$z_0$  is an isolated zero. So  $\exists \overline{D_\varepsilon(z_0)} \subset \Omega$

such that  $z_0$  is the only zero of  $f$  in  $\overline{D_\varepsilon(z_0)}$ .

Let  $m = \min \{ |f(z)| : z \in C_\varepsilon(z_0) \} > 0$   $|f(z(t))|$  on a compact  $[0, 2\pi]$

$$|f_n(z) - f(z)| \underset{\text{brace}}{\leq} m < |f(z)| \quad \text{on } C_\varepsilon(z_0)$$

Get this for  $n > N$  on compact  $C_\varepsilon(z_0)$  by  $\Rightarrow$ .

Aha!  $f_n$  and  $f$  have same # zeroes in  $C_\varepsilon(z_0)$ ,  $n > N$ .

none!

↑

at least one.

↙

Conclude that  $f$  must have been  $\equiv 0$ .

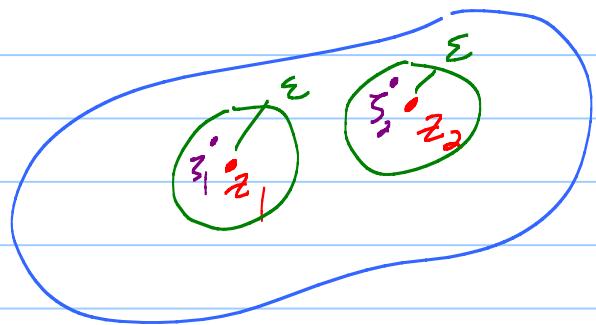
Corollary: Hurwicz #2.  $f_n \rightarrow f$

If each  $f_n$  is one-to-one, then

1)  $f \equiv \text{const}$ , or

2)  $f$  is one-to-one too.

Pf: Redo pf of Hurwicz #1. Suppose  $f(z_1) = f(z_2) = w_0$



If  $f$  not const, zeroes of  $f(z) - w_0$  would be isolated.

Rouché argument  $\Rightarrow$  for  $n > \text{Max}(N_1, N_2)$ ,

$$\left( \begin{array}{l} \# \text{zeroes of } f_n(z) - w_0 \\ \text{inside } C_\varepsilon(z_j) \end{array} \right) = \underbrace{\left( \begin{array}{l} \# \text{zeroes of } f(z) - w_0 \\ \text{inside } C_\varepsilon(z_j) \end{array} \right)}_{\geq 1}$$

so  $\exists z_j \in C_\varepsilon(z_j)$

such that  $f_n(z_j) = w_0, j=1,2,$

violating  $f_n$  1-1. ↴ ✓

Fun thing: Rouché's  $\Rightarrow$  F. Thm. Alg

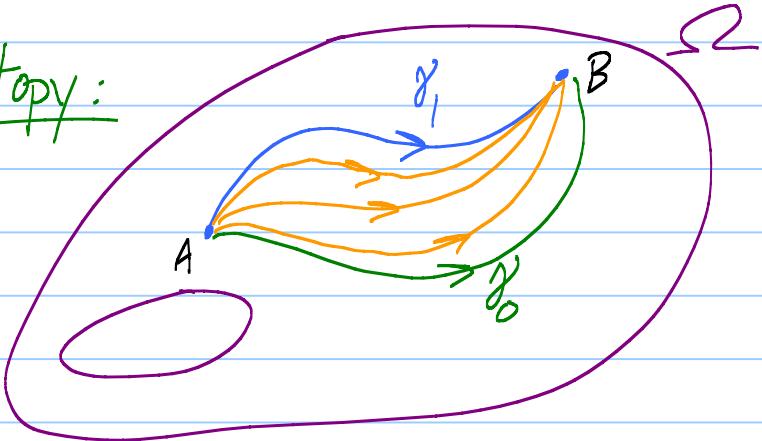
$$f(z) = a_N z^N \quad g(z) = a_N z^N + \cdots + a_0$$

Ex: How many zeroes does  $z^5 + 3z^4 + \underbrace{8z^3}_{f(z) \text{ big one}} + z^2 + z + 1$   
in unit disc?

$$|f(z) - g(z)| = |z^5 + 3z^4 + z^2 + z + 1| \leq \underbrace{|+3+1+1+1|_7}_{\text{on } |z|=1} \leq |8z^3|$$

$g(z)$  has 3 zeroes in  $D(0)$ .

Homotopy:



$$\gamma_j : z_j(t), 0 \leq t \leq 1$$

$\gamma_0 \sim \gamma_1$  : " $\gamma_0$  homotopic to  $\gamma_1$  in  $\Omega$ " means that

there is a continuous  $H: [0, 1] \times [0, 1] \rightarrow \Omega$

such that

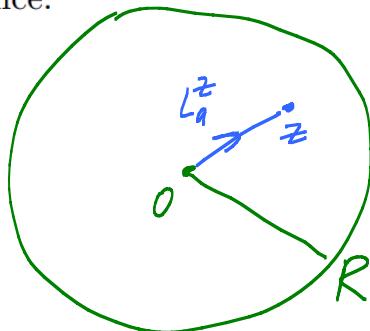
$$\begin{cases} H(0, t) = z_0(t) & 0 \leq t \leq 1 \\ H(1, t) = z_1(t) & 0 \leq t \leq 1 \end{cases}$$

$$\begin{cases} H(s, 0) = A \\ H(s, 1) = B \end{cases}$$

Think:  $\gamma_s : z_s(t) = H(s, t)$  ← orange curves.

## Practice problems, review

2. Prove that power series can be integrated term by term. To be precise, suppose that a power series  $\sum_{n=0}^{\infty} a_n z^n$  with radius of convergence  $R > 0$  converges on the disc  $D_R(0)$  to an analytic function  $f(z)$ . Prove that the power series  $\sum_{n=0}^{\infty} \frac{a_n}{n+1} z^{n+1}$  also has radius of convergence  $R$  and that this series converges to an analytic anti-derivative of  $f(z)$  inside the circle of convergence.



$$\begin{aligned} F(z) &= \int_{L_a^z} f(w) dw \\ &= \sum_{n=0}^{\infty} a_n \int_{L_a^z} w^n dw \\ &\quad \text{---} \\ &\quad \frac{1}{n+1} z^{n+1} \end{aligned}$$

$$R_F \geq R_f$$

$R_F > R_f \Rightarrow$  power series for  $f$  converges on disc bigger than  $R_f$ .

Fact: Can diff' and integrate Laurent expansions in same way, but the residue term gets in the way when you try to integrate.

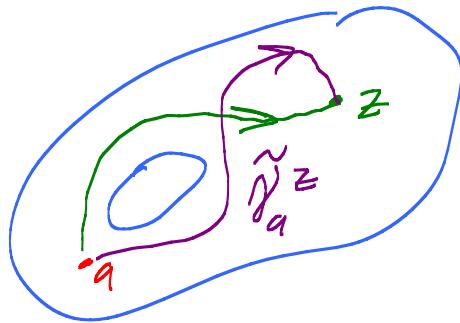
Lemma:  $f$  has an analytic antiderivative on a domain  $\Omega \iff \int_{\gamma} f dz = 0$  for every closed contour  $\gamma$  in  $\Omega$ .

Pf:  $f = F'$ . Then  $\int_{\gamma} f dz = \int_{\gamma} F' dz = 0$ ,  $\gamma$  closed.  
 $\Rightarrow \int_{\gamma} f dz = 0$

$\Leftarrow$  Suppose  $\int_{\gamma} f dz = 0$ ,  $\gamma$  closed.

Try to define

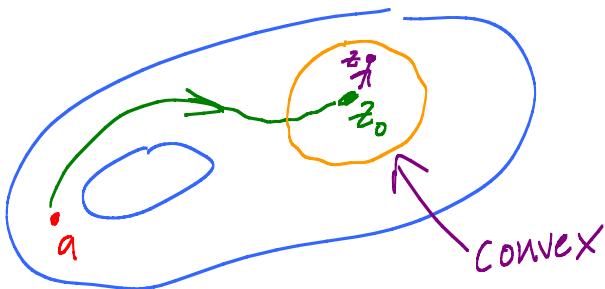
$$F(z) = \int_{\gamma_a^z} f(w) dw.$$



Claim: F well defined. ✓

$\gamma = \gamma_a^z \cup (-\tilde{\gamma}_a^z)$  is closed.

Trick:



$$\gamma_a^z = \gamma_a^{z_0} \cup L_{z_0}^z$$

$$\frac{d}{dz} F(z) = \frac{d}{dz} \left( \int_{\gamma_a^{z_0}} f dw + \int_{L_{z_0}^z} f dw \right) = f(z)$$

const

Fact: Suppose f analytic on  $D_r(a) - \{a\}$ .  $\oint \gamma_a^z f dw = 0$

f has an analytic antiderivative on  $\Omega$

$$\Leftrightarrow \operatorname{Res}_a f = 0.$$

Pf:  $\int_{\gamma_a^z} f dw = \sum S$

$$a_1 = \frac{1}{2\pi i} \int_{\gamma_r} f(w) dw$$

3. Suppose that  $f$  and  $g$  are analytic in a neighborhood of  $a$ . If  $f$  has a simple zero at  $a$ , then

$$\text{Res}_a \frac{g}{f} = \frac{g(a)}{f'(a)}.$$

Prove a similar formula in case  $f$  has a double zero at  $a$ , i.e., in case  $f$  is such that  $f(a) = 0, f'(a) = 0$ , but  $f''(a) \neq 0$ .

$$f(z) = \underbrace{a_0}_{\substack{|| \\ 0}} + \underbrace{a_1}_{\substack{|| \\ 0}}(z-a) + \underbrace{a_2}_{\substack{|| \\ f''(a)}}(z-a)^2 + \underbrace{a_3}_{\substack{|| \\ 3!}}(z-a)^3 + \dots$$

$$= (z-a)^2 \left[ \underbrace{a_2 + a_3(z-a) + \dots}_{F(z)} \right]$$

Note :  $a_2 = F(a)$ ,  $a_3 = \frac{F'(a)}{1!} = \frac{f'''(a)}{3!}$

$$= \frac{f''(a)}{2!}$$

$$\frac{g(z)}{f(z)} = \frac{1}{(z-a)^2} \left[ \underbrace{\frac{g(z)}{F(z)}}_{A_0 + A_1(z-a) + \dots} \right] \text{analytic near } a.$$

$$= \frac{A_0}{(z-a)^2} + \frac{A_1}{z-a} + \text{power series}$$

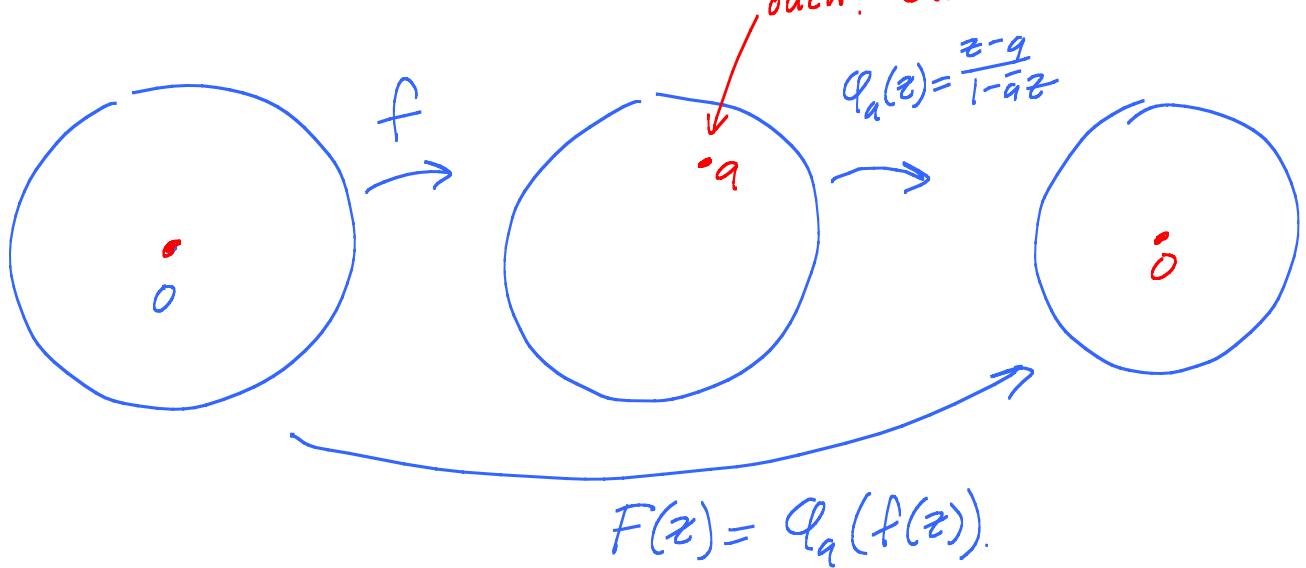
Wanted formula :

$$\text{Res}_a \frac{g}{f} = A_1 = \frac{\frac{d}{dz} \left[ \frac{g}{F} \right] \Big|_{z=a}}{1!} = \frac{g'(a)F(a) - g(a)F'(a)}{F(a)^2}$$

$$= \frac{g'(a) \frac{f''(a)}{2!} - g(a) \frac{f'''(a)}{3!}}{\left[ \frac{f''(a)}{2!} \right]^2}$$

$$\text{Res}_a \frac{g}{f} = \lim_{z \rightarrow a} \frac{\frac{d}{dz} \left[ (z-a)^2 \frac{g(z)}{f(z)} \right]}{1!}$$

5. Show that if  $f$  is an analytic mapping of the unit disk into itself, then  $|f'(0)| \leq 1$ .



Schwarz :  $|F'(0)| \leq 1$

$$F'(z) = Q'_a(f(z)) f'(z)$$

$$\begin{aligned} |F'(0)| &= |\underbrace{Q'_a(0)}_{\leq 1} \underbrace{f'(0)}_{\frac{1}{1-|a|^2}}| \leq 1 \\ &\leq 1 \end{aligned}$$

Conclude  $|f'(0)| \leq |1 - |a||^2$

$$< 1 \text{ if } a \neq 0.$$

Schwarz  $\rightarrow (\leq 1 \text{ if } a=0.)$

What if  $|f'(0)| = 1$ ? Then  $a=0$ . Schwarz part 2

$$\Rightarrow f(z) = \lambda z, |\lambda|=1.$$

6. Suppose that  $f$  is an analytic function on the unit disc such that  $|f(z)| < 1$  for  $|z| < 1$ . Prove that if  $f$  has a zero of order  $n$  at the origin, then

$$|f(z)| \leq |z|^n$$

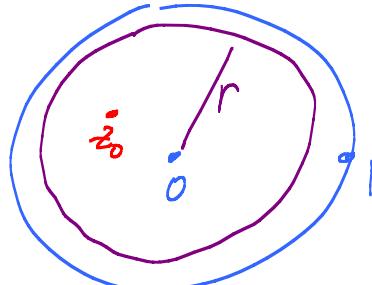
for  $|z| < 1$ . How big can  $|f^{(n)}(0)|$  be?

Know  $f(z) = z^n \underbrace{F(z)}_{F(0) \neq 0} , F(0)$  and analytic

$$\left[ a_n + a_{n+1} z + \dots \right]$$

$F(0) = a_n = \frac{f^{(n)}(0)}{n!}$

$$F(z) = \frac{f(z)}{z^n}$$



$$|z_0| < r < 1$$

$$|F(z_0)| \leq \max_{C_r} |F| = \max_{C_r} \frac{|f(z)|}{r^n} \leq \frac{1}{r^n} \rightarrow 1 \text{ as } r \nearrow 1$$

Get  $|F(z_0)| \leq 1 : |f(z_0)| \leq |z_0|^n \checkmark$

Also get  $|\underbrace{F(0)}_{\frac{f^{(n)}(0)}{n!}}| \leq 1 . \quad \text{So} \quad |f^{(n)}(0)| \leq n!$

$$\frac{f^{(n)}(0)}{n!}$$

equality?  $f(z) = \lambda z^n$

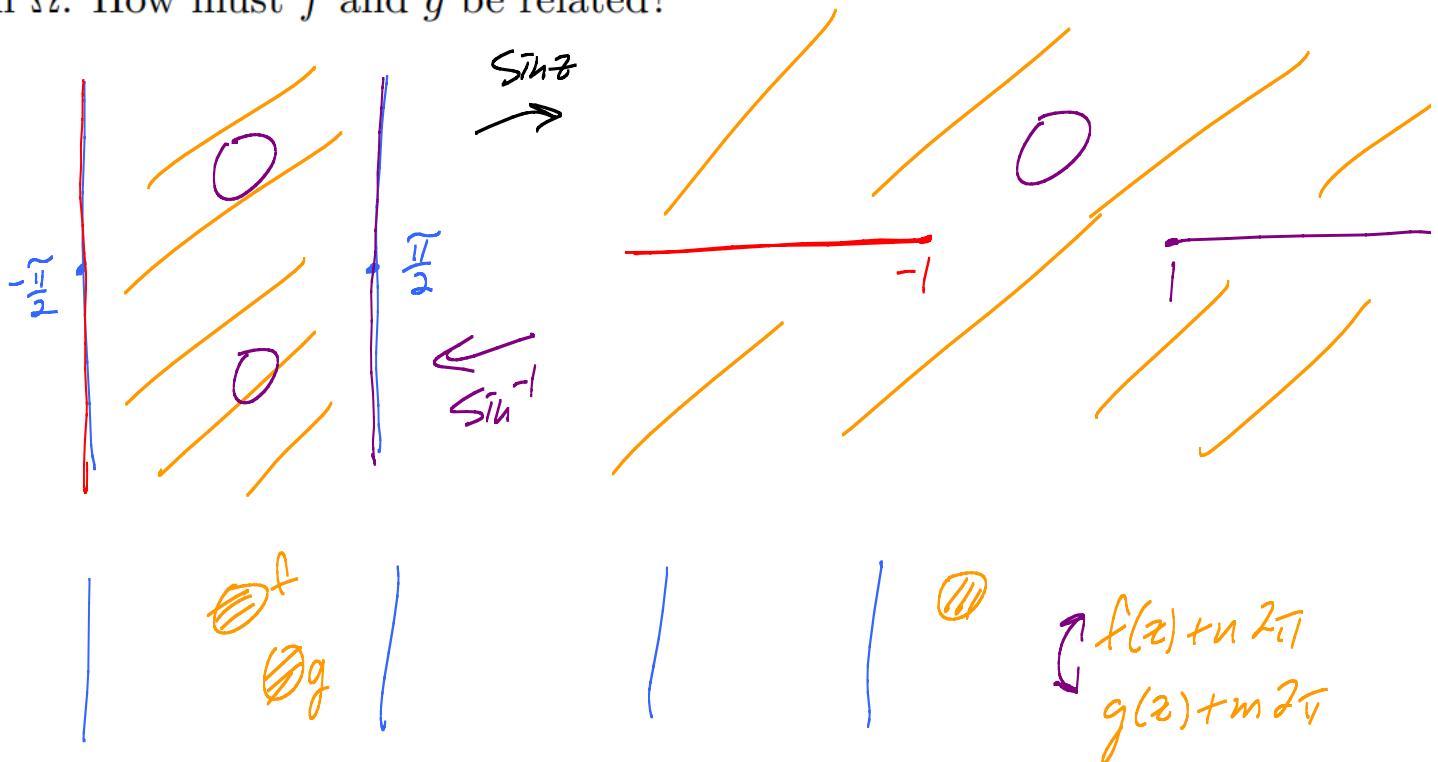
8. Suppose  $f$  and  $g$  are analytic functions on a domain  $\Omega$  and that

$$f(z) + 2n\pi i$$

$$\sin(f(z)) \equiv \sin(g(z))$$

open mapping  
thm

on  $\Omega$ . How must  $f$  and  $g$  be related?



7.  $|f(z)| \leq C(1+|z|^N)$  for  $z \in \mathbb{C}$ .  $f$  entire.

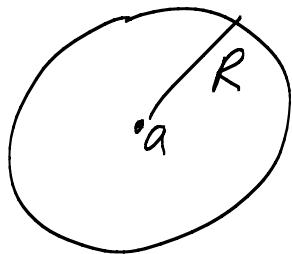
Cauchy est.:  $|f^n(0)| \leq \frac{n! \max_{C_R(0)} |f|}{R^n} \leq \frac{n! (1+R^N)}{R^n}$

Aha! If  $n > R$ , see  $|f^{(n)}(0)| \rightarrow 0$

as we let  $R \rightarrow \infty$  in Cauchy est.

Power series:  $f(z) = a_0 + a_1 z + \dots + a_N z^N + O + \dots$   
 $\nwarrow$  might be zero.

Or:

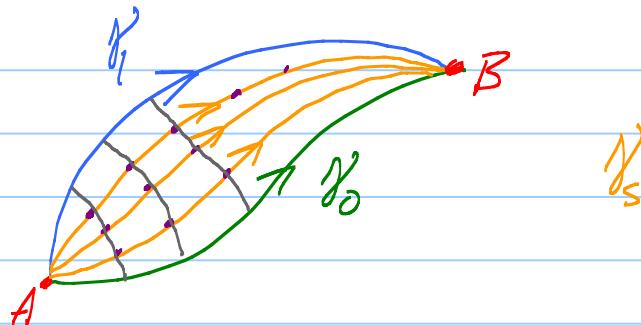
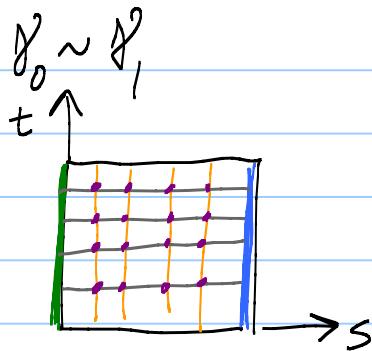


$$f^{(n)}(a) \equiv 0$$

when  $n = N+1$

$f^{(N+1)}(z) \equiv 0 \Rightarrow f$  poly deg  $N$  or less

## Lecture 28 Homotopy, simply connected domains, the Cauchy theorem



Homotopy :  $H: [0,1] \times [0,1] \rightarrow \Omega$  continuous

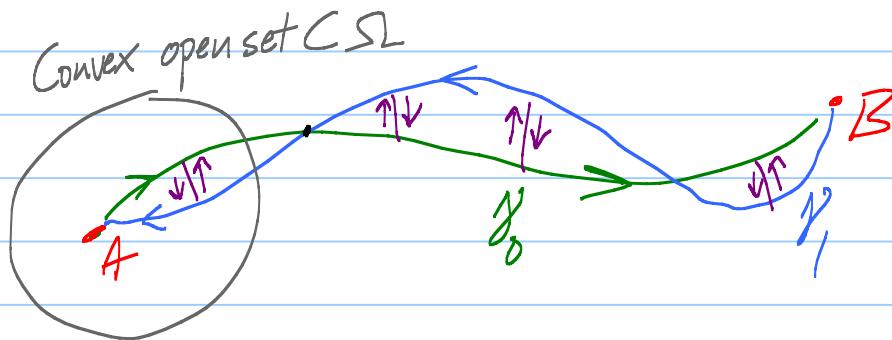
$$\begin{cases} H(0,t) = z_0(t) = \gamma_0 \\ H(1,t) = z_1(t) = \gamma_1 \end{cases}$$

$$\begin{cases} H(s,0) = A \\ H(s,1) = B \end{cases}$$

Think  $\gamma_s: z_s(t) = H(s,t)$

Feeling: If  $\gamma_0$  is "close" to  $\gamma_1$ , in  $\Omega$ , then

$$\int_{\gamma_0} f dz = \int_{\gamma_1} f dz \quad (f \text{ analytic on } \Omega)$$

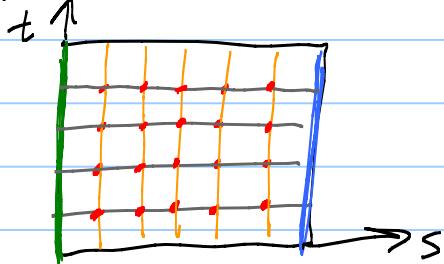


Step: Piecewise linearize a curve :

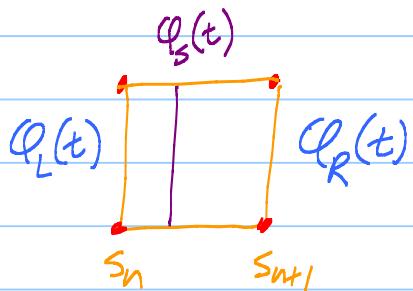


$$\tilde{z}(t) = z(t_n) + \frac{t-t_n}{t_{n+1}-t_n} [z(t_{n+1}) - z(t_n)]$$

Step 2: Do this in the vertical dir for homotopy.



Step 3: On little square



$$Q_s(t) = Q_L(t) + \frac{s-s_n}{s_{n+1}-s_n} [Q_R(t) - Q_L(t)]$$

Check Get piecewise linear cont fcn on  $[0,1] \times [0,1]$ .

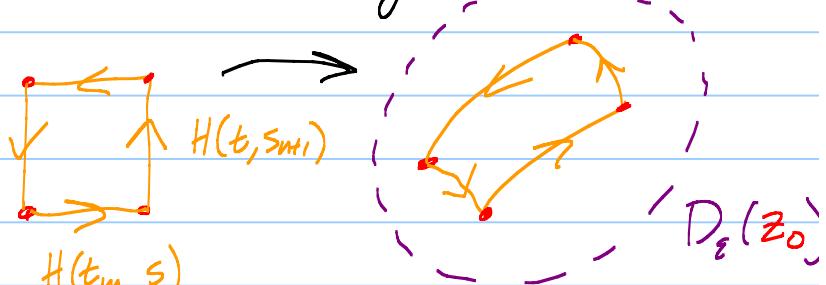
New homotopy,  $H(t, s)$ . Note:  $\begin{cases} H(0, s) = A \\ H(1, s) = B \end{cases}$

Thm:  $f$  analytic on  $\Omega$ ,  $\gamma_0 \sim \gamma_1$  in  $\Omega$ .

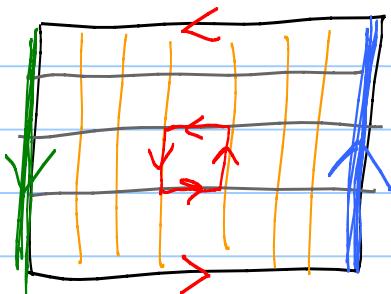
Then  $\int_{\gamma_0} f dz = \int_{\gamma_1} f dz$ . (Cauchy's Thm)

Pf: Add up  $\int$  around little squares thinking of  $H(t, s)$

as parametrizing curves:



Add up squares:



Use Cauchy on convex.  $\varepsilon$  comes from unit cont of cont fcn  $H$  on compact  $[0,1] \times [0,1]$ .

Cancel all the inner sides.

$$\int_{\text{Bottom}} = \int f(A) \underbrace{\left[ \frac{d}{ds} A \right]}_{\equiv 0} ds = 0. \quad \text{Same for top}$$

$$\text{Right side} : \int_{\gamma_1} f dz.$$

$$\text{Left side} : - \int_{\gamma_0} f dz.$$

$$\text{Get } \int_{\gamma_0} = \int_{\gamma_1} \checkmark$$

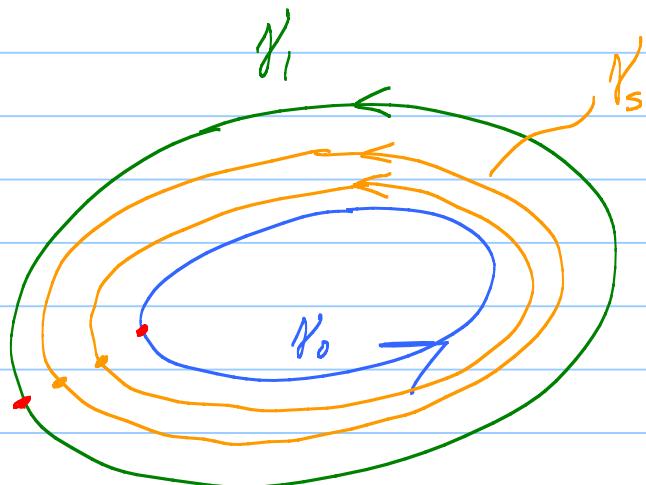
Def<sup>n</sup>: Two closed curves  $\gamma_0$  and  $\gamma_1$  in  $\mathcal{L}$

are homotopic in  $\mathcal{L}$  if there is a homotopy

$H(t, s)$  such that

$$\begin{cases} H(t, 0) = z_0(t) = \gamma_0 \\ H(t, 1) = z_1(t) = \gamma_1 \end{cases}$$

$$H(0, s) = H(1, s)$$

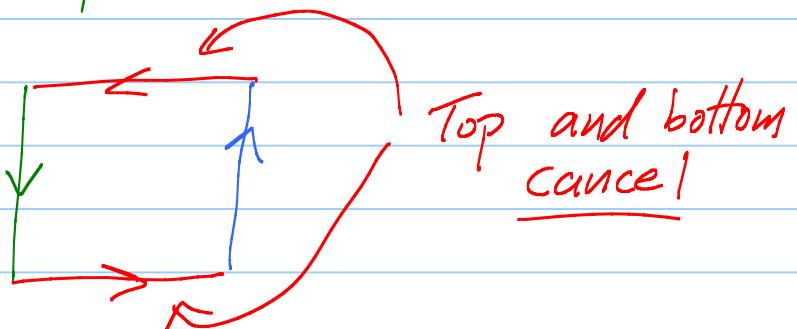


Think:  $\gamma_s : z_s(t) = H(t, s)$ .

Thm:  $f$  anal on  $\Omega$ ,  $\gamma_0 \sim \gamma_1$  in  $\Omega$ ,

then  $\int_{\gamma_0} f dz = \int_{\gamma_1} f dz$

Pf: Same pf, but



Def<sup>n</sup>: A domain in  $\mathbb{C}$  is simply connected

if every closed curve in  $\Omega$  is "homotopic to  
a point" in  $\Omega$ .  $[z(t) \equiv z_0 \in \Omega, 0 \leq t \leq 1]$

The Cauchy Thm:  $f$  analytic on a simply connected

domain  $\Omega$ , then  $\int_{\gamma} f dz = 0$  for every closed

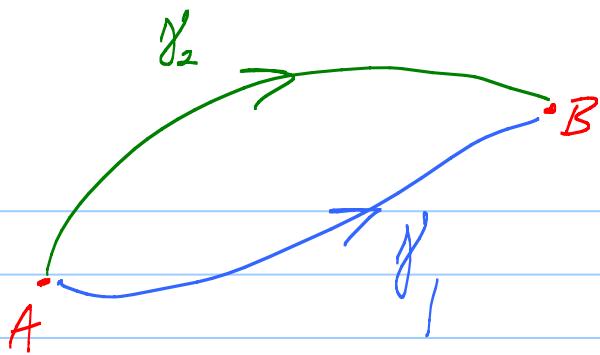
curve in  $\Omega$ . Also, for any two curves  $\gamma_1$

and  $\gamma_2$  that both start at  $A$  and stop at  $B$ ,

$$\int_{\gamma_1} f dz = \int_{\gamma_2} f dz.$$

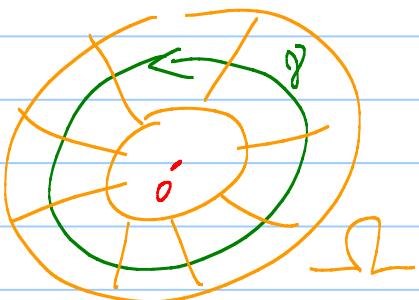
Pf:  $\gamma \sim z_0$ .  $\int_{\gamma} f dz = \int_0^1 f(z_0) \frac{dz}{dt} z_0 dt = 0$

Part 2:



Closed curve  $\gamma = \gamma_1 \cup -\gamma_2$ . Use part 1.

EX:



$$\int_{\gamma} \frac{1}{z} dz = 2\pi i \quad \text{Not zero}$$

Holes mess up Cauchy's thm.

# Lecture 29 Consequences of the Cauchy Theorem

Midterm exam  
due in GS  
11:59 pm Tues

Cauchy theorem Suppose  $f$  is analytic on a simply connected

domain  $\Omega$ . Then

$$1) \int_{\gamma} f \, dz = 0, \quad \gamma \text{ in } \Omega \text{ closed}$$

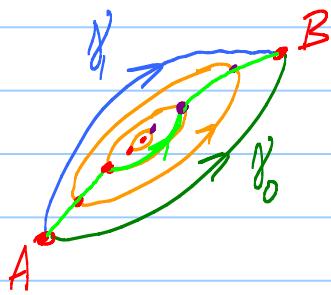
$$2) \int_{\gamma_A^B} f \, dz = \int_{\tilde{\gamma}_A^B} f \, dz \leftarrow \int_A^B f \, dz$$

indep of path

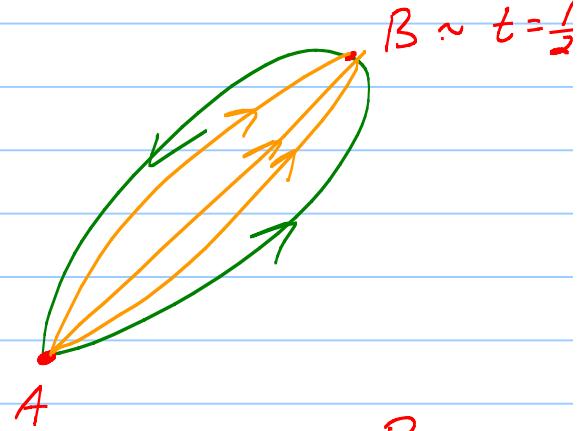
Remark  $\Omega$  simply connected  $\Leftrightarrow$  for any  $A, B \in \Omega$

$$\gamma_A^B \sim \tilde{\gamma}_A^B.$$

$(\Rightarrow)$



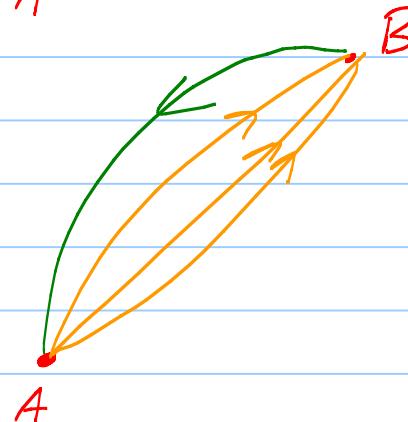
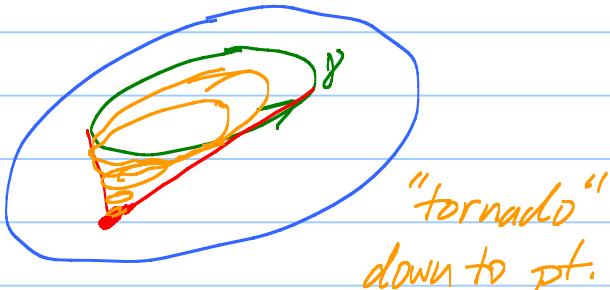
$(\Leftarrow)$

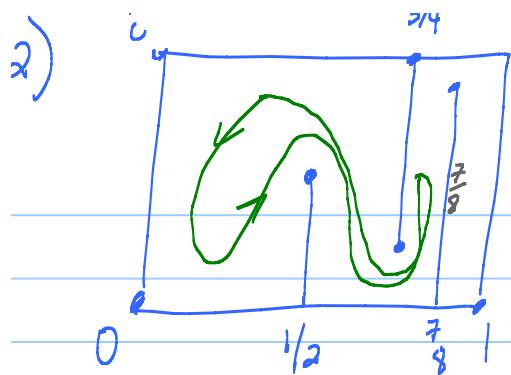


$$\gamma = \gamma_0 \cup (-\gamma_1)$$

Examples of s.c. domains:

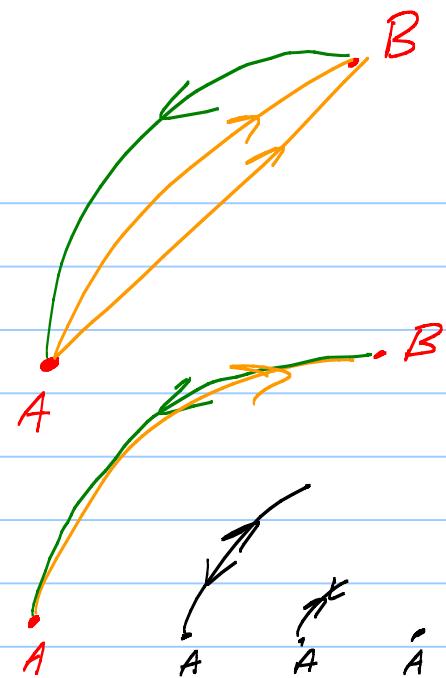
1) Convex domains are s.c.



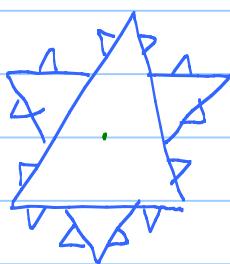


etc.

Spaghetti again.



3) Sierpinski snowflake



etc.

Suck it in like spaghetti.

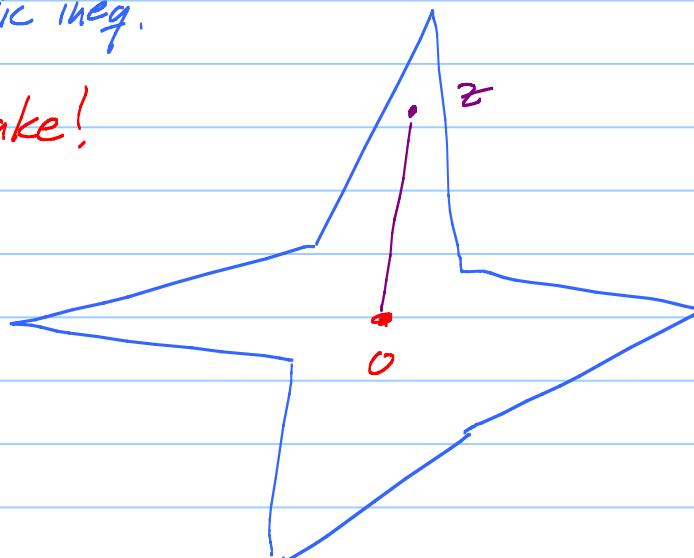
$$A \leq \frac{L^2}{4\pi} \quad \text{Isoperimetric inequality}$$

↑      ↗ in snowflake!

bounded

3) Starshaped domains

tornado method



Thm: Suppose  $f$  is analytic on a s.c. domain  $\Omega$ .

A)  $\exists$  analytic antiderivative  $F$  on  $\Omega$  with  $F' = f$ .

B) If  $f$  is nonvanishing on  $\Omega$ , then  $\exists$  analytic

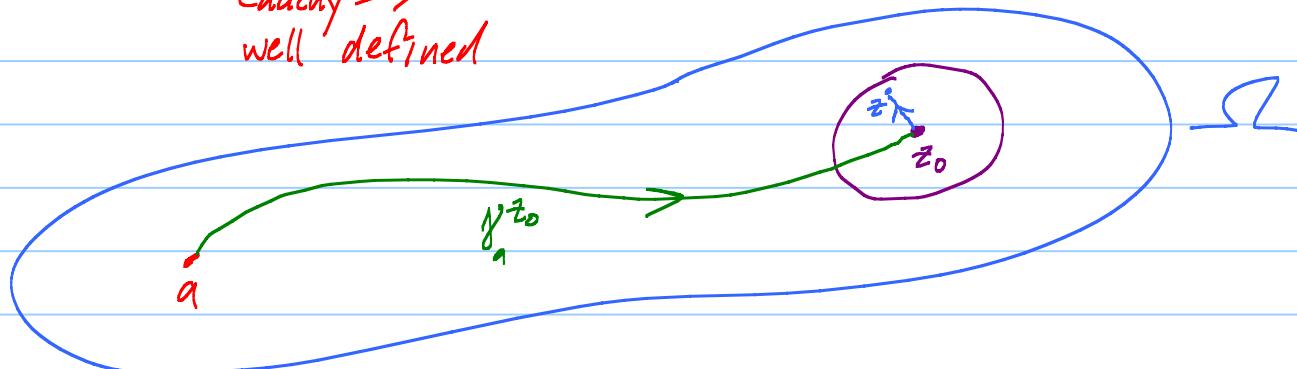
$G$  on  $\Omega$  with  $f = e^G$ .  $\left[ \frac{d}{dz} G = \frac{f'}{f} \right]$

And  $\exists$  analytic  $H$  with  $f = H^N \quad \boxed{H = e^{G/N}}$

c) If  $u$  is harmonic on  $\Omega$ , then  $u$  has a harmonic conjugate on  $\Omega$ .

$$\text{Pf: A) "Define"} \quad F(z) = \int_a^z f(w) dw$$

Cauchy  $\Rightarrow$   
well defined



$$F(z) = \int_{\gamma_{z_0}} f \, dw + \int_{L_{z_0}^z} f \, dw$$


  
*const.*

*anti- $\sigma$*

anti-deriv  
for  $f$  on convex disc

$$\text{So } F'(z) = f(z) \text{ on disc, } \leftarrow \text{arb } z_0 \quad \checkmark$$

$$B) \quad g(z) = \int_{y^z}^{\infty} \frac{f'(w)}{f(w)} dw$$

↙ nonvo

$$\text{Get } g'(z) = \frac{f'}{f}$$

$$\text{Trick: } \frac{d}{dx} \left( \frac{e^x}{x} \right) \equiv 0$$

$$\text{So } \frac{e^g}{f} = \text{const.}$$

G ✓

$$f = K e^g = e^{\log K} e^g = e^{(\log K + g)}$$

c)  $u$  harmonic.  $\leftarrow$  CR Eqs  $\Rightarrow F = u_x - i u_y$  analytic

Think:  $f = u + iv \leftarrow$  analytic

$$f' = u_x + i v_x = u_x - i u_y$$

↑  
red box  
#1

CR eqns.

Get  $\tilde{f}$  antideriv  
of  $F = u_x - i u_y$

$$\text{Now } F = \tilde{f}'$$

$$u_x - i u_y = \tilde{u}_x - i \tilde{u}_y \quad \leftarrow \quad \tilde{P}u \equiv \tilde{D}\tilde{u}$$

So  $u = \tilde{u} + c$  on  $\mathcal{L}$ .

Aha!  $\tilde{f} = \tilde{u} + i \tilde{v} = (u - c) + i \tilde{v}$  is analytic.

So is  $u + i \tilde{v}$

harm conj ✓

Thm:  $f$  analytic on a domain has a complex

antiderivative  $\Leftrightarrow \int_{\gamma} f dz = 0$  for all closed  $\gamma$ .

Pf: In review lecture.

Cor:  $f$  analytic on  $D_r(z_0) - \{\bar{z}_0\}$ . [Isolated sing.]

$f$  has an analytic antideriv on  $D_r(z_0) - \{\bar{z}_0\}$

$\Leftrightarrow \text{Res}_{z_0} f = 0.$

Pf: ( $\Rightarrow$ )  $a_{-1} = \frac{1}{2\pi i} \int_{C_\rho(z_0)}^{\text{F}'(w)} f(w) dw = 0$

( $\Leftarrow$ ) Laurent exp converge unif on  $\underbrace{\{z < |z - z_0| < \rho < r\}}_{A_\varepsilon^p}$

Given closed  $\gamma$  in  $D_r(z_0) - \{z_0\}$ ,  $\exists A_\varepsilon^p$

containing  $\gamma$ .

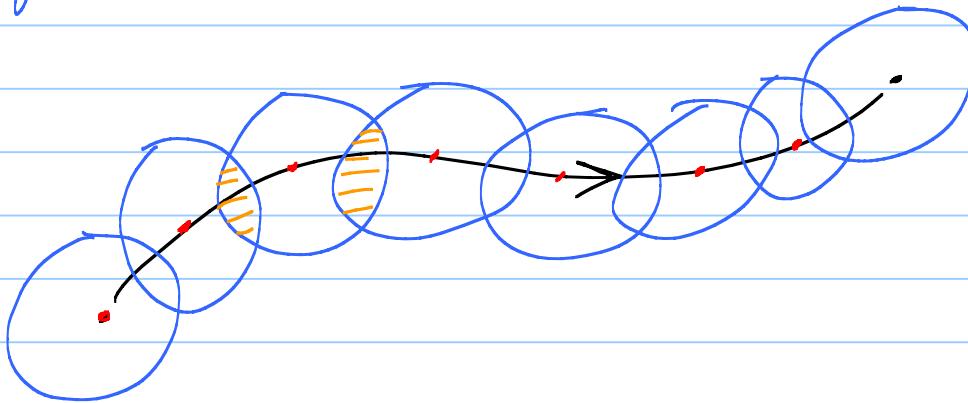
$$\int_{\gamma} f dw = \int_{\gamma} \sum_{n=-\infty}^{\infty} a_n (w - z_0)^n dw$$

$$= \sum_{n=-\infty}^{\infty} a_n \int_{\gamma} \underbrace{(w - z_0)^n dw}_{\substack{= \text{deriv} \\ \text{except } n = -1}} = a_{-1} \int_{\gamma} \frac{1}{w - z_0} dw$$

$= 0$

Case

Hungry caterpillar idea  $\gamma$  simple curve.



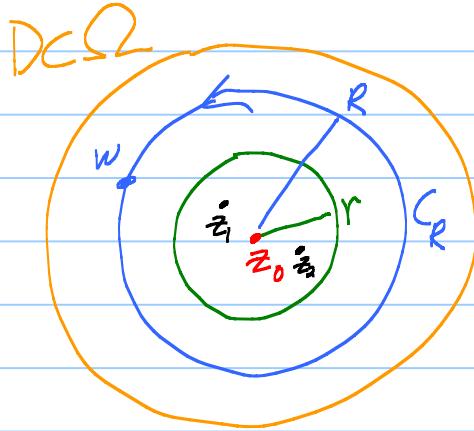
Simply connected caterpillar

Easy to see  $\int_N f dz$  well defined in worm. Use when  $N_A^B$  is just cont

# Lecture 30 Montel's theorem

HWK 7 due Tues, 4/12

Arzela-Ascoli Thm : Baby Rudin p. 158  
 Ahlfors p. 219-227  
 Stein p. 225



Lemma Uniformly bounded family  $\mathcal{F}$  of analytic funcs on a domain are uniformly equicontinuous on compact subsets.

$f \in \mathcal{F}$

$$|f(z_1) - f(z_2)| = \left| \frac{1}{2\pi i} \int_{C_R} f(w) \left[ \frac{1}{w-z_1} - \frac{1}{w-z_2} \right] dw \right|$$

$$\frac{z_1 - z_2}{(w-z_1)(w-z_2)}$$

$$\leq |z_1 - z_2| \frac{1}{2\pi} \max_{C_R} |f| \left( \frac{1}{(R-r)^2} \right) (2\pi R)$$

indep of f

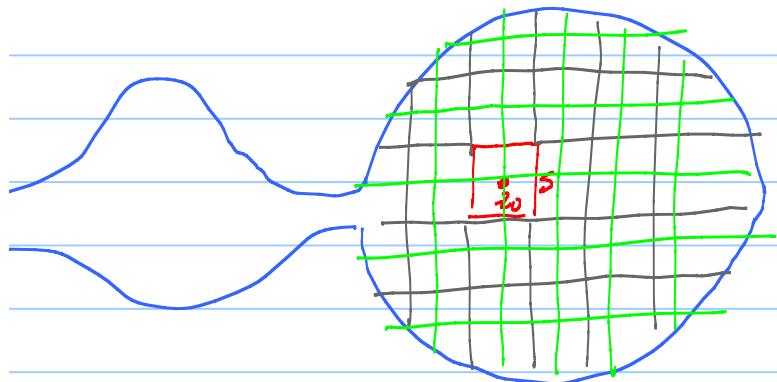
Finally, cover compact  $K$  by discs  $D_r(z_0)$ ,  $z_0 \in K$ .  
 Take finite subcover.

Montel's thm Suppose  $f_n$  are analytic on a domain  $\Omega$  and are uniformly bounded on compact subsets

of  $\Omega$ . Then there is a subseq  $f_{n_k}$  that converges uniformly on compact subsets (to analytic  $f$ ).

Pf: Step 1: "Exhaust"  $\Omega$  by compact sets :

$$K_1 \subset K_2 \subset K_3 \subset \dots \subset \Omega, \quad \bigcup_j K_j = \Omega$$



$K_1 = \text{Square 1}$

$K_2 = \text{Union of squares}$   
side  $s$  inside  
 $\Omega \cap D_{10s}(z_0)$

$K_3 = \text{Union of squares of}$   
side  $\frac{s}{2}$  inside  $\Omega$   
 $\cap D_{100s}(z_0)$

$$\frac{s}{4}, \frac{s}{8}, \frac{s}{16}, \dots \quad 10s, 100s, 1000s, \dots$$

Fancier version: Domains  $\Omega_1 \subset \Omega_2 \subset \dots \subset \Omega$

$$K_1 = \overline{\Omega_1}, \quad K_2 = \overline{\Omega_2}, \quad \dots$$

$$\overset{\circ}{K_j} \subset K_{j+1}$$

Step 2:  $z \in \mathbb{C}; \operatorname{Re} z, \operatorname{Im} z \in \mathbb{Q}$  is a countable dense subset of  $\mathbb{C}$ .

Fix  $K_j$ . Let  $\{z_n\}_{n=1}^{\infty}$  be a countable dense subset of  $K_j$  from above.

Step 3:  $f_1(z_1), f_2(z_1), f_3(z_1), \dots$  is a bdd seq.

So  $\exists$  conv subseq  $f_{1,1}, f_{1,2}, f_{1,3}, \dots$

Now  $f_{1,1}(z_2), f_{1,2}(z_2), f_{1,3}(z_2)$  is a bdd seq.

So  $\exists$  conv subseq  $f_{2,1}, f_{2,2}, f_{2,3}, \dots$

$f_{2,1}(z_3), f_{2,2}(z_3), \dots$  bdd seq

etc.

Aha!  $\underbrace{f_{1,1}}_{F_1}, \underbrace{f_{2,2}}_{F_2}, \underbrace{f_{3,3}}_{F_3}, \dots$

converges at all pts in dense subset of  $K_j$ .

Step 4:  $F_n$  are uniformly Cauchy on  $K_j$ .  $\varepsilon > 0$

$$|F_n(z) - F_m(z)| = |F_n(z) - F_n(z_j) + F_n(z_j) - F_m(z_j) + F_m(z_j) - F_m(z)|$$

$| | < \frac{\varepsilon}{3}$        $| | < \frac{\varepsilon}{3}$

↑  
pick  $z_j$  close to  $z$ . equicont  
makes small

↑  
 $F_n(z_j)$  conv  
 $\Rightarrow$  unit Cauchy

Pick  $z_0 \in K_j$ . Get  $D_\delta(z_0)$  from equicont. fact.  $\frac{\varepsilon}{3}$ , shrink:  $\frac{\delta}{2}$ .

Pick  $z_j$  in  $D_{\delta/2}(z_0)$ . Get estimate on  $D_{\delta/2}(z_0)$ .

Cover  $K_j$  by discs. Take finite subcover. ✓

Step 5:  $F_n$  unif Cauchy on  $K_j$ . So it converges unif.

$\underbrace{(F_{1,1})}_{F_1}, \underbrace{F_{1,2}}_{F_2}, \underbrace{F_{1,3}}_{F_3}, \dots$  conv unif on  $K_1$

Subseq  $F_{2,1}, \underbrace{F_{2,2}}_{F_2}, F_{2,3}, \dots$  conv unif on  $K_2$

Subseq  $F_{3,1}, F_{3,2}, \underbrace{F_{3,3}}_{F_3}, \dots$  conv unif on  $K_3$

etc.

$F_{n,n}$  is a subseq of  $f_n$  converges uniformly on each  $K_j$ .

Step 6 Finally, given compact  $K \subset \Omega$ , there is a  $K_j$  with  $K \subset K_j$ .

Technical point.  $\Omega = \mathbb{C}$ . No  $f: \mathbb{C} \xrightarrow[\text{onto}]{} D_r(0)$  analytic, Liouville's.

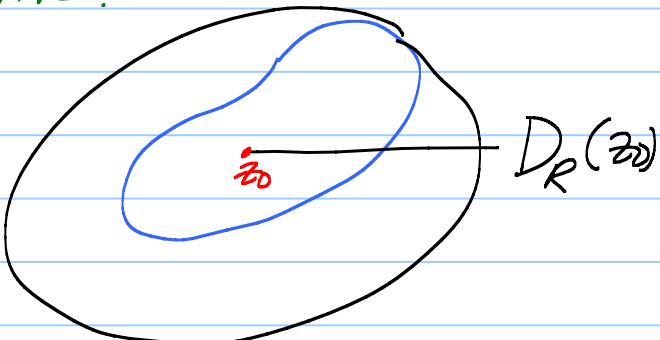
If  $\Omega$  is simply connected domain and  $\Omega \neq \mathbb{C}$ ,

Riemann mapping thm  $\Rightarrow \exists f: \Omega \xrightarrow[\text{onto}]{} D_r(0)$  analytic.

Lemma Given  $\Omega$  s.c.  $\neq \mathbb{C}$ ,  $\exists f: \Omega \rightarrow D_r(0)$

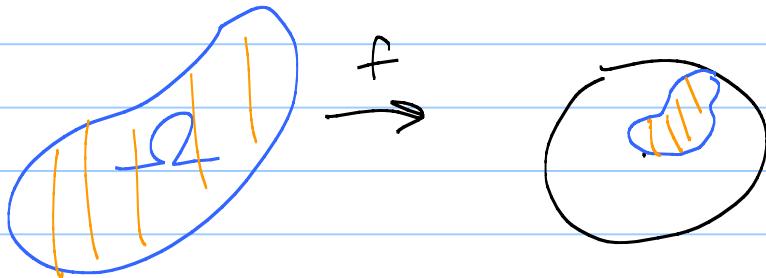
that is  $1-1$  and analytic.

Easy if  $\Omega$  is bounded:



$$R = \max_{z \in \Omega} |z - z_0|$$

$f(z) = \frac{z-z_0}{R}$  maps  $\Omega$  1-1 into  $D_1(0)$ , analytic.



What to do if  $\Omega$  unbounded?

$\Omega \neq \mathbb{C}$ .  $\exists z_0 \in \mathbb{C} - \Omega$ .

Hmm.  $z-z_0$  is nonvanishing on  $\Omega$ .

$\exists$  analytic  $g(z)$  on  $\Omega$  with  $g(z)^2 = z-z_0$

Show  $g$  is 1-1, and misses a disc.

Lecture 31 Proof of the Riemann mapping theorem HWK 7 due Thurs, 4/7

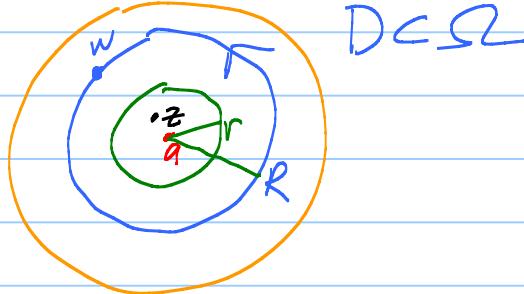
Thm:  $\Omega$  simply connected domain  $\Omega \neq \mathbb{C}$ . There is an analytic (conformal) map  $f: \Omega \rightarrow D_1(0)$  that is one-to-one and onto.

Lemma: If  $f_n$  analytic on  $\Omega$  and  $f_n \rightarrow f$ , then  $f_n^{(k)} \rightarrow f^{(k)}$  for each  $k$ .

Way false  $\mathbb{R} \rightarrow \mathbb{R}$ :  $h_N(t) = \sum_{n=1}^N \frac{1}{2^n} \sin(2^n t) \leftarrow h_N \rightarrow$   
nowhere diff for

Montel's false  $\mathbb{R} \rightarrow \mathbb{R}$ :  $\sin(2^n t)$

Pf of lemma



$$\left| f_n^{(k)}(z) - f^{(k)}(z) \right| = \left| \frac{k!}{2\pi i} \int_{C_R} \frac{f_n(w) - f(w)}{(w-z)^{k+1}} dw \right|$$

$$\leq \frac{k!}{2\pi} \cdot \frac{\max_{C_R} |f_n - f|}{|R-r|^{k+1}} (2\pi R) \rightarrow 0$$

See unif conv on  $D_r(a)$ . Given  $K \subset \subset \Omega$ , cover by  $D_r(z_0)$ .

Lemma:  $\exists f: \Omega \neq \mathbb{C}, s.c. \rightarrow D_1(0)$  that is 1-1.

Pf: Easy if  $\Omega$  bounded:  $f(z) = \frac{z}{R}$   $R = \max_{z \in \bar{\Omega}} |z|$ .

Unbounded:  $\Omega \neq \mathbb{C}$ . So  $\exists w_0 \in \mathbb{C} - \Omega$ .

Now  $z - w_0$  is nonvanishing on  $\Omega$  s.c.

So  $\exists$  analytic  $g(z)$  such that  $g(z)^2 = z - w_0$ .

Claim:  $g$  is 1-1:

$$g(z_1) = g(z_2)$$

$$g(z_1)^2 = g(z_2)^2$$

$$z_1 - w_0 = z_2 - w_0$$



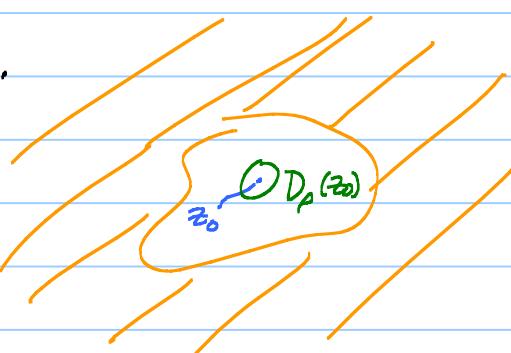
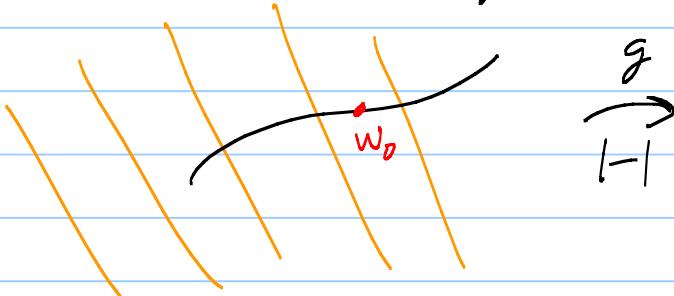
Claim:  $g(\Omega)$  misses a disc:

$g$  not constant. Open mapping thm  $\Rightarrow \exists$  disc

$$D_p(z_0) \subset g(\Omega).$$

Aha!  $-D_p(z_0) = \{z : z \in D_p(z_0)\} \cap g(\Omega) = \emptyset$

because  $g(z)^2$  is 1-1.



$$\xrightarrow{1-1} \frac{p}{z - z_0}$$



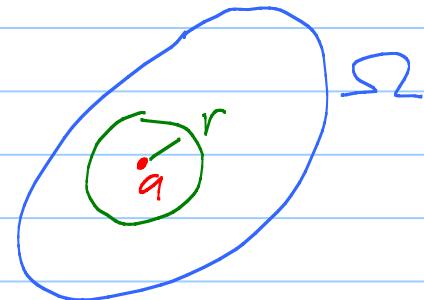
Pf of RMT: Let  $\tilde{\mathcal{F}} =$  family of analytic  
fun  $f: \Omega \rightarrow D(0)$  that are H.

Lemma  $\Rightarrow \tilde{\mathcal{F}}$  not empty.

Pick  $a \in \Omega$ . Let  $M = \sup \{|f'(a)| : f \in \tilde{\mathcal{F}}\}$

Step 1: Claim:  $0 < M < \infty$

$$\uparrow f \text{ H} \Rightarrow f'(a) \neq 0.$$



$$\text{Cauchy estimate: } |f'(a)| \leq \frac{\max_{C(a)} |f|}{r^1}$$

$$\leq \frac{1}{r}. \quad \text{So } M \leq \frac{1}{r}.$$

[Let  $r$  max out to see  $|f'(a)| \leq \frac{1}{\text{dist}(a, b\Omega)}$ ]

Step 2: Take seq  $f_n \in \tilde{\mathcal{F}}$  such that  $|f'_n(a)| \rightarrow M$

as  $n \rightarrow \infty$ .  $[|f_n(z)| < 1 \quad \forall n, z \in \Omega.]$

Montel's  $\Rightarrow \exists$  subseq  $f_{n_k} \xrightarrow{\text{F}}$  on  $\Omega$ .

[F is the Riemann map!]  $\uparrow$  analytic ✓

Step 3 Lemma  $\Rightarrow f'_{n_k}(a) \xrightarrow{\text{F}} F'(a)$

so  $|F'(a)| = M$ .  $\leftarrow$  not zero

$F'(a)$  not zero  $\Rightarrow F$  not constant.

Hurwicz  $\Rightarrow F$  is 1-1 on  $\Omega$ .

Step 4 Claim:  $F \in \mathcal{F}$ . Note:  $f_n : \Omega \rightarrow D_r(0)$

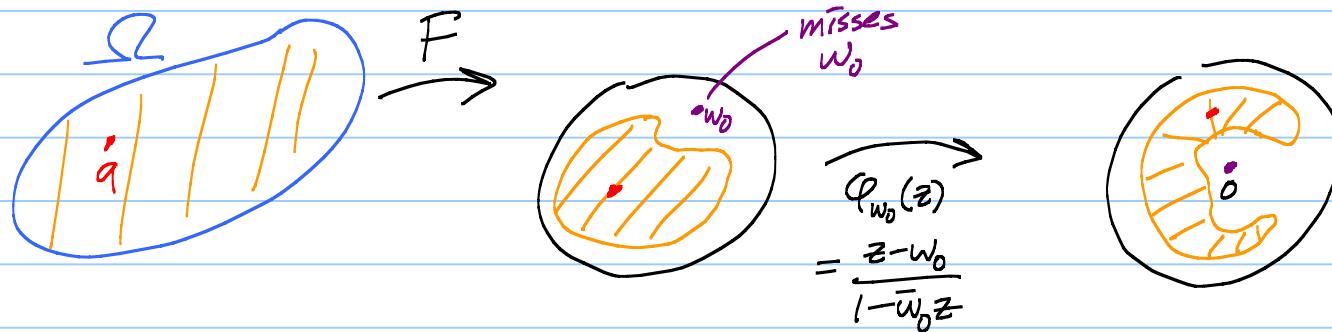
$$|f_n(z)| < 1$$

$$\text{So } |F(z)| \leq 1.$$

Aha! If  $|F(z_0)| = 1$  for some  $z_0 \in \Omega$ , Max Princ  $\Rightarrow$

$F \equiv \text{const.}$   $\cancel{\downarrow}$  So  $F : \Omega \rightarrow D_r(0)$ . Get  $F \in \mathcal{F}$  ✓

Step 5: Claim:  $F$  is onto  $D_r(0)$ . Suppose not.



Note:  $\varphi_{w_0} \circ F$  is non-vanishing, 1-1, analytic.

So  $\exists$  analytic  $g$  on  $\Omega$  with  $g^2 = \varphi_{w_0} \circ F$ .

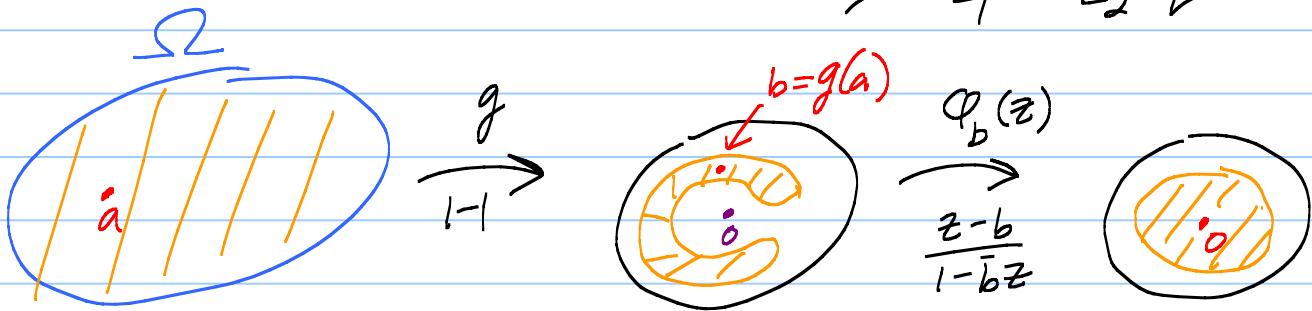
$[|g^2(z)| < 1 \Rightarrow |g(z)| < 1.] \leftarrow g : \Omega \rightarrow D_r(0)$ . ✓

Claim:  $g \in \mathcal{F}$ . Need  $g$  is 1-1.

Why:  $g(z_1) = g(z_2) \Rightarrow g(z_1)^2 = g(z_2)^2$

$$\varphi_{w_0}(F(z_1)) = \varphi_{w_0}(F(z_2)) \leftarrow \text{I-1}$$

$$\Rightarrow z_1 = z_2 \checkmark$$



Claim:  $\varphi_b \circ g \in \mathcal{F}^\sim$  ✓ and derivative at  $a$

is strictly bigger in modulus than  $M$ . ↴ So no such  $w_0$ .

Write  $\tilde{F} = \varphi_b \circ g$ .

Check that  $F = G \circ \tilde{F}$

where  $G = \varphi_{w_0}^{-1} \circ s \circ \varphi_b^{-1}$ ,  $s(z) = z^2$

Note:  $G : D_1(0) \rightarrow D_1(0)$

Cor to Schwarz:  $|G'(0)| \leq 1$  ↵ if  $= 1$ , then  $G(z) = \underbrace{zz}_{\text{I-1}}, |z|=1$ . ↵ I-1

Aha! Since  $G$  is not I-1, we must have  $|G'(0)| < 1$ .  
 $s(z)$  is not I-1

Finally,

$$F'(a) = G'(\tilde{F}(a)) \tilde{F}'(a)$$

$$|F'(a)| = |G'(0)| |\tilde{F}'(a)|$$

$M < 1$  it must be  $> M!$  ↴

No such  $w_0$ .  $F$  is onto. Done.

Fact from proof:  $f: \Omega \rightarrow D(0)$ ,  $f(a) = b \neq 0$ .

Then  $|(\varphi_b \circ f)'(a)| > |f'(a)|$ .

[because  $|\varphi'_b(b)| = \frac{1}{1-|b|^2} > 1$ ]

The Riemann map associated to  $a \in \Omega$ :  $f_a: \Omega \rightarrow D(0)$

$$f_a : \text{zf}$$

$$f'_a(a) = z F'(a) \quad \text{Take } z = \frac{\overline{F'(a)}}{|F'(a)|}$$

$1-1$ , onto  
analytic

Makes unique.