

# Using Neural Networks for Pattern Classification Problems

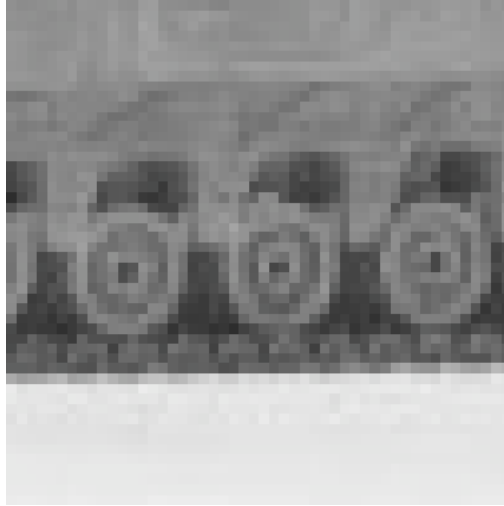
## Converting an Image

- Camera captures an image
- Image needs to be converted to a form that can be processed by the Neural Network



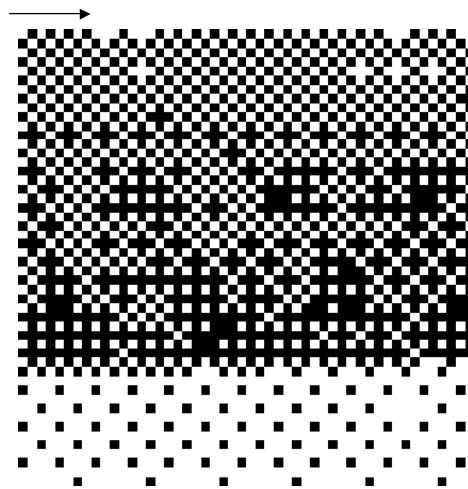
## Converting an Image

- Image consists of pixels
- Values can be assigned to color of each pixel
- A vector can represent the pixel values in an image

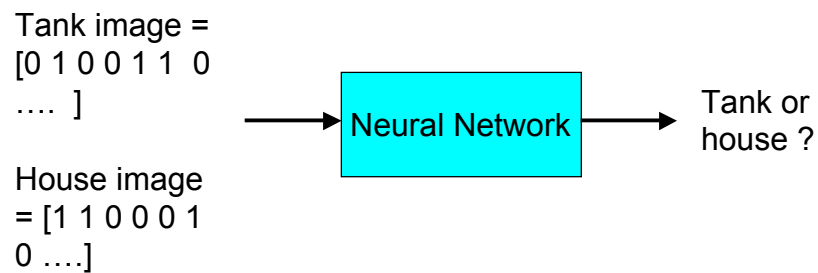


## Converting an Image

- If we let +1 represent black and 0 represent white
- $p = \begin{bmatrix} 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & \dots \end{bmatrix}$



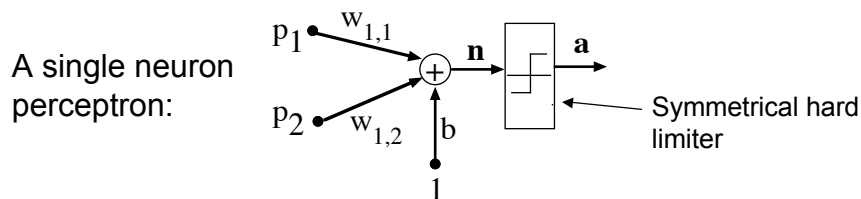
## Neural Network Pattern Classification Problem



## Types of Neural Networks

- Perceptron
- Hebbian
- Adeline
- Multilayer with Backpropagation
- Radial Basis Function Network

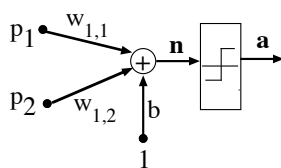
## 2-Input Single Neuron Perceptron: Architecture



Output: 
$$a = \text{hardlims}(\mathbf{Wp} + \mathbf{b}) = \text{hardlims} \left( \begin{bmatrix} w_{1,1} & w_{1,2} \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \end{bmatrix} + b \right)$$

$$= \text{hardlims}(w_{1,1}p_1 + w_{1,2}p_2 + b) = \begin{cases} -1, & \text{if } w_{1,1}p_1 + w_{1,2}p_2 + b < 0 \\ +1, & \text{if } w_{1,1}p_1 + w_{1,2}p_2 + b \geq 0 \end{cases}$$

## 2-Input Single Neuron Perceptron: Example



$$a = \text{hardlims}(w_{1,1}p_1 + w_{1,2}p_2 + b)$$

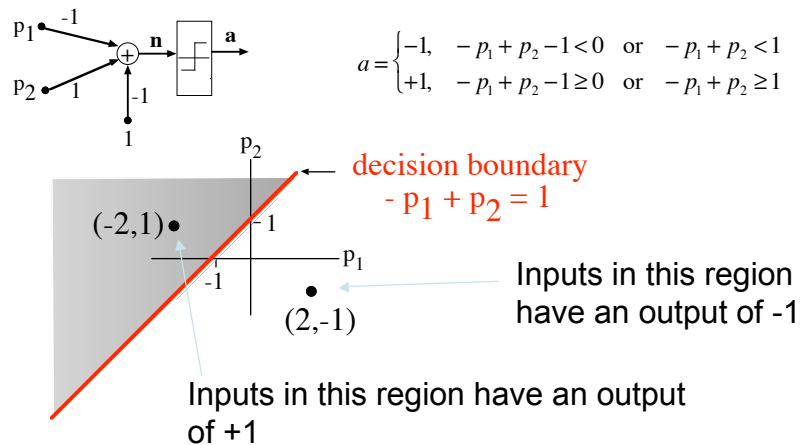
$$= \begin{cases} -1, & w_{1,1}p_1 + w_{1,2}p_2 + b < 0 \\ +1, & w_{1,1}p_1 + w_{1,2}p_2 + b \geq 0 \end{cases}$$

Example:  $w_{1,1} = -1 \quad w_{1,2} = 1 \quad b = -1$

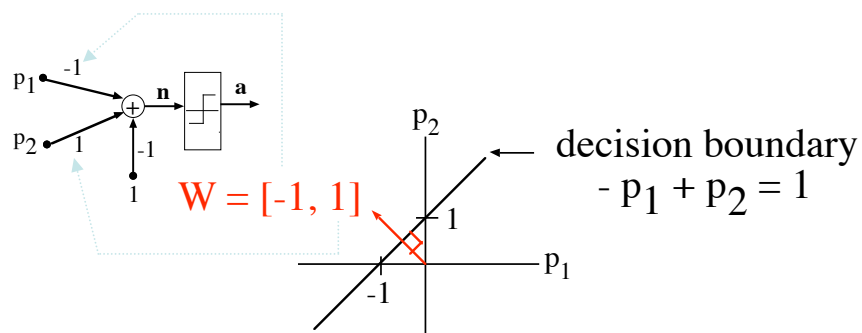
$$a = \begin{cases} -1, & -p_1 + p_2 - 1 < 0 \quad \text{or} \quad -p_1 + p_2 < 1 \\ +1, & -p_1 + p_2 - 1 \geq 0 \quad \text{or} \quad -p_1 + p_2 \geq 1 \end{cases}$$

This separates the inputs  $\mathbf{p} = [p_1, p_2]^T$  into two categories separated by the boundary:  $-p_1 + p_2 = 1$

## 2-Input Single Neuron Perceptron: Decision Boundary

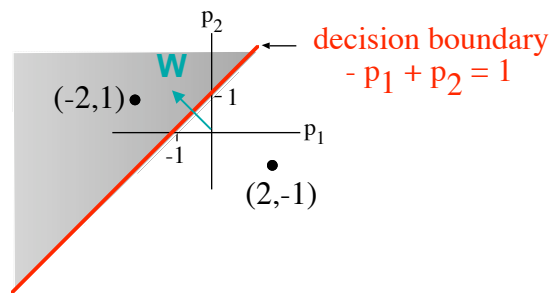


## 2-Input Single Neuron Perceptron: Weight Vector



- The weight vector,  $\mathbf{W}$ , is **orthogonal** to the decision boundary

## 2-Input Single Neuron Perceptron: Weight Vector



- **W** points towards the class with an output of +1

## Simple Perceptron Design

- The design of a simple perceptron is based upon:
  - A single neuron divides inputs into two classifications or categories
  - The weight vector,  $W$ , is orthogonal to the decision boundary
  - The weight vector,  $W$ , points towards the classification corresponding to the “1” output

## Orthogonal Vectors

- For any hyperplane of the form:

$$a_1p_1 + a_2p_2 + a_3p_3 + \dots + a_np_n = b$$

the vector  $c[a_1, a_2, \dots, a_n]$  is orthogonal to the hyperplane (where  $c$  is a constant).

$$-p_1 + p_2 = -1 * p_1 + 1 * p_2 = 1$$

$$\mathbf{W} = [-1, 1]$$

## AND Gate: Description

- A perceptron can be used to implement **most** logic functions
- Example: Logical AND Truth table:

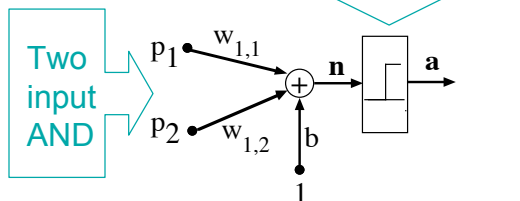
Inputs		Output
0	0	0
0	1	0
1	0	0
1	1	1

## AND Gate: Architecture

**Input/Target pairs:**

$$\left\{ \mathbf{p}_1 = \begin{bmatrix} 0 \\ 0 \end{bmatrix}, t_1 = 0 \right\} \left\{ \mathbf{p}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}, t_2 = 0 \right\}$$

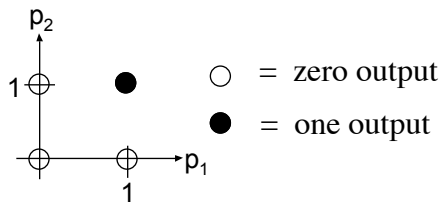
$$\left\{ \mathbf{p}_3 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, t_3 = 0 \right\} \left\{ \mathbf{p}_4 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, t_4 = 1 \right\}$$



## AND Gate: Graphical Description

- Graphically:

Inputs		Output
0	0	0
0	1	0
1	0	0
1	1	1

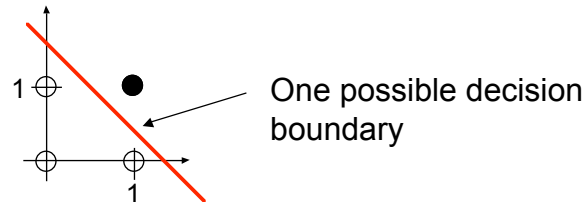


- Where do we place the decision boundary?



## AND Gate: Decision Boundary

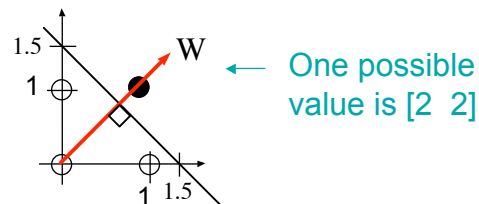
- There are an infinite number of solutions



- What is the corresponding value of  $\mathbf{W}$ ?

## AND Gate: Weight Vector

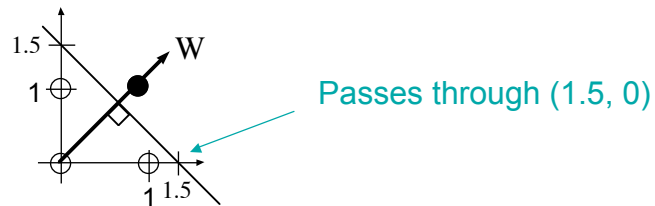
- $\mathbf{W}$  must be orthogonal to the decision boundary
- $\mathbf{W}$  must point towards the class with an output of 1



- Output: 
$$\mathbf{a} = \text{hardlim} \left\{ \begin{bmatrix} 2 & 2 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \end{bmatrix} + b \right\} = \text{hardlim} \{ \underbrace{2p_1 + 2p_2 + b}_{\text{Decision boundary}} \}$$

## AND Gate: Bias

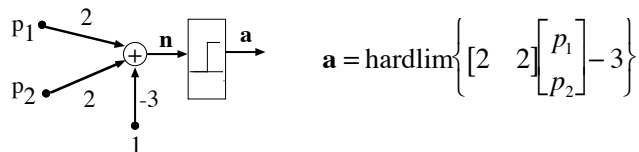
- Decision Boundary:  $2p_1 + 2p_2 + b = 0$



- At (1.5, 0):  $2(1.5) + 2(0) + b = 0$        $b = -3$

## AND Gate: Final Design

- Final Design:



- Test:  $\mathbf{a} = \text{hardlim}\left\{ \begin{bmatrix} 2 & 2 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} - 3 \right\} = 0$

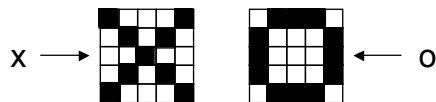
$$\mathbf{a} = \text{hardlim}\left\{ \begin{bmatrix} 2 & 2 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} - 3 \right\} = 0 \quad \mathbf{a} = \text{hardlim}\left\{ \begin{bmatrix} 2 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} - 3 \right\} = 0 \quad \mathbf{a} = \text{hardlim}\left\{ \begin{bmatrix} 2 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} - 3 \right\} = 1$$

## Perceptron Learning Rule

- Most real problems involve input vectors,  $p$ , that have length greater than three
- Images are described by vectors with 1000s of elements
- Graphical approach is not feasible in dimensions higher than three
- An iterative approach known as the Perceptron Learning Rule is used

## Character Recognition Problem

- Given: A network has two possible inputs, “x” and “o”. These two characters are described by the 25 pixel (5 x 5) patterns shown below.

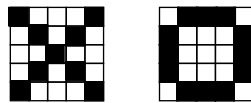


- Problem: Design a neural network using the perceptron learning rule to correctly identify these input characters.

## Character Recognition

### Problem: Input Description

- The inputs must be described as column vectors
- Pixel representation: 0 = white  
1 = black



The “x” is represented as:  $[1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 0\ 0\ 1]^T$

The “o” is represented as:  $[0\ 1\ 1\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 1\ 0\ 0\ 0\ 1\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 1\ 1\ 0]^T$

## Character Recognition

### Problem: Output Description

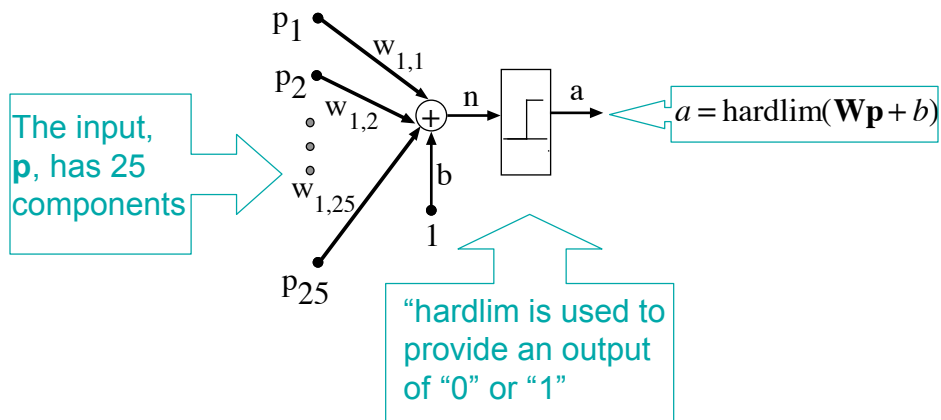
- The output will indicate that either an “x” or “o” was received
- Let: 0 = “o” received  
1 = “x” received
- The inputs are divided into two classes requiring a single neuron
- Training set:

A hard limiter will be used

$$p_1 = [1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 0\ 0\ 1]^T, \quad t_1 = 1$$

$$p_2 = [0\ 1\ 1\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 1\ 0\ 0\ 0\ 1\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 1\ 1\ 0]^T, \quad t_2 = 0$$

## Character Recognition Problem: Network Architecture



## Perceptron Learning Rule: Summary

- Step 1: Initialize  $\mathbf{W}$  and  $\mathbf{b}$  (if non zero) to small random numbers.
- Step 2: Apply the first input vector to the network and find the output,  $a$ .
- Step 3: Update  $\mathbf{W}$  and  $\mathbf{b}$  based on:

$$\mathbf{W}_{\text{new}} = \mathbf{W}_{\text{old}} + (t-a)\mathbf{p}^T$$

$$\mathbf{b}_{\text{new}} = \mathbf{b}_{\text{old}} + (t-a)$$

- Repeat steps 2 and 3 for all input vectors repeatedly until the targets are achieved for all inputs

## Character Recognition Problem: Perceptron Learning Rule

- Step 1: Initialize  $\mathbf{W}$  and  $\mathbf{b}$  (if non zero) to small random numbers.
  - Assume  $\mathbf{W} = [0 \ 0 \ \dots \ 0]$  (length 25) and  $b = 0$
- Step 2: Apply the first input vector to the network
  - $\mathbf{p}_1 = [1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1]^T$ ,  $t_1 = 1$
  - $a = \text{hardlim}(\mathbf{W}(0)\mathbf{p}_1 + b(0)) = \text{hardlim}(0) = 1$
- Step 3: Update  $\mathbf{W}$  and  $\mathbf{b}$  based on:

$$\begin{aligned}\mathbf{W}_{\text{new}} &= \mathbf{W}_{\text{old}} + (t-a)\mathbf{p}_1^T = \mathbf{W}_{\text{old}} + (1-1)\mathbf{p}_1^T \\ &= [0 \ 0] \\ b_{\text{new}} &= b_{\text{old}} + (t-a) = b_{\text{old}} + (1-1) = 0\end{aligned}$$

## Character Recognition Problem: Perceptron Learning Rule

- Step 2 (repeated): Apply the second input vector to the network
  - $\mathbf{p}_2 = [0 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1 \ 0]^T$ ,  $t_2 = 0$
  - $a = \text{hardlim}(\mathbf{W}(1)\mathbf{p}_2 + b(1)) = 1$
- Step 3 (repeated): Update  $\mathbf{W}$  and  $\mathbf{b}$  based on

$$\begin{aligned}\mathbf{W}_{\text{new}} &= \mathbf{W}_{\text{old}} + (t-a)\mathbf{p}_1^T = \mathbf{W}_{\text{old}} + (0-1)\mathbf{p}_2^T \\ &= [0 \ -1 \ -1 \ -1 \ 0 \ -1 \ 0 \ 0 \ 0 \ -1 \ -1 \ 0 \ 0 \ 0 \ -1 \ -1 \ 0 \ 0 \ 0 \ -1 \ 0 \ -1 \ -1 \ -1 \ 0] \\ b_{\text{new}} &= b_{\text{old}} + (t-a) = b_{\text{old}} + (0-1) = -1\end{aligned}$$

## Character Recognition Problem: Perceptron Learning Rule

$\mathbf{W}$	$\mathbf{b}$	$\mathbf{p}$	$\mathbf{t}$	$\mathbf{a}$	$\mathbf{e}$
[0 0]	0	$p_1$	1	1	0
[0 0]	0	$p_2$	0	1	-1
[0 -1 -1 -1 0 -1 0 0 0 -1 -1 0 0 0 -1 -1 0 0 0 -1 0 -1 -1 -1 0]	-1	$p_1$	1	0	1
[1 -1 -1 -1 1 -1 1 0 1 -1 -1 0 1 0 -1 -1 1 0 1 -1 1 -1 -1 -1 1]	0	$p_2$	0	0	0
[1 -1 -1 -1 1 -1 1 0 1 -1 -1 0 1 0 -1 -1 1 0 1 -1 1 -1 -1 -1 1]	0	$p_1$	1	1	0

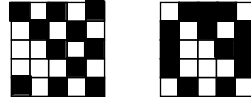
## Character Recognition Problem: Results

- After three epochs,  $\mathbf{W}$  and  $b$  converge to:
  - $\mathbf{W} = [1 \ -1 \ -1 \ -1 \ 1 \ 1 \ 1 \ 0 \ 1 \ -1 \ -1 \ 0 \ 1 \ 0 \ -1 \ -1 \ 1 \ 0 \ 1 \ -1 \ 1 \ -1 \ -1 \ 1]$
  - $b = 0$
- One possible solution based on the initial condition selected. Other solutions are obtained when the initial values of  $W$  and  $b$  are changed.
- Check the solution:  $a = \text{hardlim}(W \cdot p + b)$  both both inputs

## Character Recognition Problem: Results

- How does this network perform in the presence of noise?

x and o with three  
pixel errors in each



- For the “x” with noise:  
$$a = \text{hardlim}\{W*[1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 1]\} = 1$$
- For the “o” with noise:  
$$a = \text{hardlim}\{W*[0\ 1\ 1\ 1\ 0\ 1\ 0\ 0\ 0\ 1\ 1\ 1\ 0\ 0\ 0\ 1\ 0\ 1\ 0\ 1\ 0\ 1\ 1\ 1\ 0]\} = 0$$
- The network recognizes both the noisy x and o.

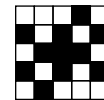
## Character Recognition Problem: Simulation

- Use MATLAB to perform the following simulation:
  - Apply noisy inputs to the network with pixel errors ranging from 1 to 25 per character and find the network output
  - Each type of error (number of pixels) was repeated 1000 times for each character with the incorrect pixels being selected at random
  - The network output was compared to the target in each case.
  - The number of detection errors was tabulated.



## Character Recognition Problem: Performance Results

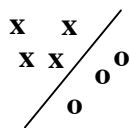
No. of Pixel Errors	No. of Character Errors		Probability of Error	
	x	o	x	o
1 - 9	0	0	0	0
10	96	0	.10	0
11	399	0	.40	0
12	759	58	.76	.06
13	948	276	.95	.28
14	1000	616	1	.62
15	1000	885	1	.89
16 - 25	1000	1000	1	1



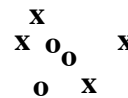
An "o" with  
11 pixel  
errors

## Perceptrons: Limitations

- Perceptrons only work for inputs that are linearly separable



Linearly separable



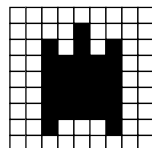
Not Linearly separable

## Other Neural Networks

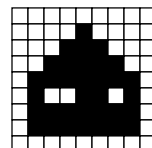
- How do the other types of neural networks differ from the perceptron?
  - Topology
  - Function
  - Learning Rule

## Perceptron Problem: Part 1

- Design a neural network that can identify a tank and a house.
  - Find  $W$  and  $b$  by hand as illustrated with the x-o example.
  - Use the Neural Network Toolbox to find  $W$  and  $b$



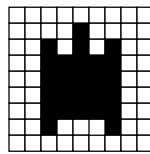
Tank  
( $t = 1$ )



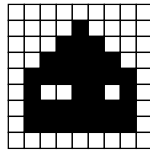
House  
( $t = 0$ )

## Perceptron Problem: Part 2

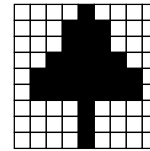
- Design a neural network that can find a tank among houses and trees.
  - Repeat the previous problem but now with a tree included.
  - Both the house and tree have targets of zero.



Tank  
( $t = 1$ )



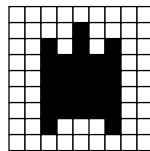
House  
( $t = 0$ )



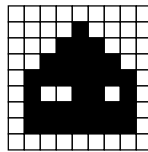
Tree  
( $t = 0$ )

## Perceptron Problem: Part 3

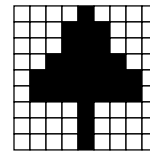
- Design a neural network that can find a tank among houses, trees and other items.
  - Create other images on the 9 x 9 grid.
  - Everything other than a tank will have a target of zero.
  - How many items can you introduce before the perceptron learning rule no longer converges?



Tank  
( $t = 1$ )



House  
( $t = 0$ )

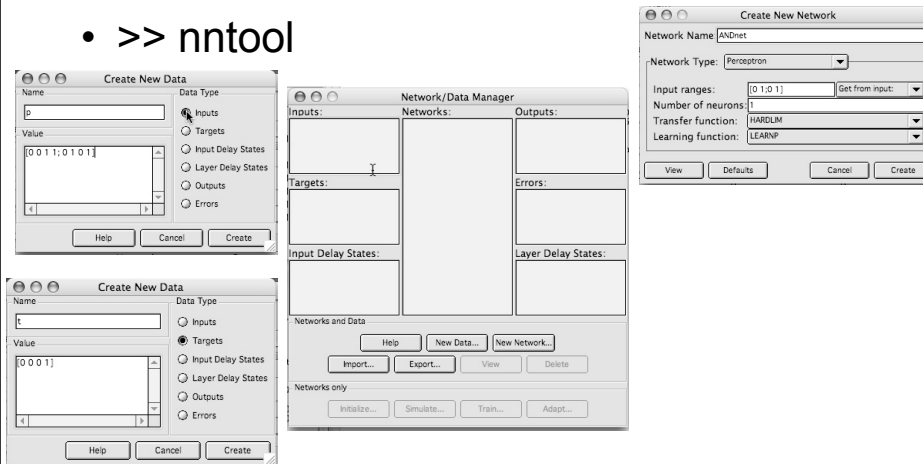


Tree  
( $t = 0$ )

+ ????

# MATLAB: Neural Network Toolbox

- `>> nntool`



# MATLAB: Neural Networks Toolbox

- Go to MATLAB Help and review the documentation on the Neural Networks Toolbox
- Use the GUI interface (`>> nntool`) to reproduce the results you obtained for the perceptron (tank vs. house, tree, etc.)
- Data can be imported/exported from the workspace to the NN Tool.