# Efficient indexing and querying of annotations in a pangenome graph

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#### **Abstract**

The current reference genome is the backbone of diverse and rich annotations. Simple text formats, like VCF or BED, have been widely adopted and helped the critical exchange of genomic information. There is a dire need for tools and formats enabling pangenomic annotation to facilitate such enrichment of pangenomic references. The Graph Alignment Format (GAF) is a text format, tab-delimitted like BED/VCF files, which was proposed to represent alignments. GAF could also be used to store paths representing annotations in a pangenome graph, but there are no tools to index and query them efficiently.

Here, we present extensions to vg and HTSlib that provide efficient sorting, indexing, and querying for GAF files. With this approach, annotations overlapping a subgraph can be extracted quickly. Paths are sorted based on the IDs of traversed nodes, compressed with BGZIP, and indexed with HTSlib/tabix via our extensions for the GAF format. Compared to the binary GAM format, GAF files are easier to edit or inspect because they are plain text, and we show that they are twice as fast to sort and half as large on disk. In addition, we updated vg annotate, which takes BED or GFF3 annotation files relative to linear sequences and projects them into the pangenome. It can now produce GAF files representing these annotations' paths through the pangenome.

We showcase these new tools on several applications. We projected annotations for all Human Pangenome Reference Consortium Year 1 haplotypes, including genes, segmental duplications, tandem repeats and repeats annotations, into the Minigraph-Cactus pangenome (GRCh38-based v1.1). We also projected known variants from the GWAS Catalog and expression QTLs from the GTEx project into the pangenome. Finally, we reanalyzed ATAC-seq data from ENCODE to demonstrate what a coverage track could look like in a pangenome graph. These rich annotations can be quickly queried with vg and visualized using existing tools like the Sequence Tube Map or Bandage.

# Introduction

The current reference genome is the foundation enabling rich and diverse annotations. It is also used as a reference to map sequencing reads. The coordinate system it provides is used by large annotation databases of functional elements, known variant information, and genomic elements. The organization and visualization of these annotations has been central to understanding and sharing results from genomic studies. In practice, annotations about a genome are typically saved separately from the genome itself, in formatted text files that can be compressed and indexed for fast query (e.g. VCF, BED, GFF). Under the hood, the HTSlib library supports the indexing of the most used file formats[1]. These annotation files are easy to write and to load in software like IGV[2] or websites like the UCSC Genome Browser[3].

With improved genome sequencing technologies, more high-quality genomes can be produced and combined into pangenomes. A pangenome represents multiple genomes, often as a graph describing adjacencies (edges) between the sequences (nodes) in the genomes. Tools to work with such pangenomes are still in their infancy, although there are now several applications where they show improved performance over traditional approaches. In particular, sequencing data analysis benefits from using pangenomes as a reference for read mapping[4,5], variant calling[6], peak calling[7,8], or transcript expression quantification[9]. Hence, we are now manipulating genomic objects, like sequencing reads, epigenetic regions, or genomic variants, in the pangenome graph space. Currently, those results are typically flattened or "surjected" onto the linear reference genome. As with the linear genome reference, organizing and visualizing genomic annotation in the pangenome will be essential. User-friendly querying and visualization options are required for the adoption of the pangenomic paradigm.

Several interactive visualization tools for pangenomes exist, but mostly focus on representing the graph topology, with tool-specific approaches to the integration of additional information layers. We provide a short review of several of them here:

- **Bandage-NG**[10], a derivative of Bandage[11], can interactively visualize assembly graphs, and scales to pangenomes up to hundreds of thousands of nodes on modern computers.
- **GfaViz** is another interactive sequence graph visualization tool that supports version 2 of the Graphical Fragment Assembly (GFA) format and its new features[12]. In particular, it can represent the different paths annotated in the GFA file. GfaViz cannot load additional annotation files: the annotations, written for example as GFA *paths*, must be included in the main pangenome file to be accessible during exploration.
- **The Sequence Tube Map** visualizes the pangenome and sequencing reads aligned to it, allowing the user to query specific regions[13]. Prior to the present work, the application supported only the binary GAM format[4] for displaying additional information on the graph. It has some support for BED files, but only for inputting regions to display: BED-format annotations cannot be displayed.
- **MoMI-G**, for Modular Multi-scale Integrated Genome Graph Browser, focuses on visualizing structural variants[14]. Variants are interactively selected and represented with a pangenome view as a custom Sequence Tube Map representation. Supporting reads, and genome annotations from files in BED/GFF/WIG format, can also be included in that representation. Only the reference genome path can be annotated.
- **Panache** is another pangenomic tool specializing in gene-centric visualization of a linearized view of the pangenome, where blocks of homologous sequences are represented side by side[15]. The blocks are interactively explored in a web-based application to analyze their gene content and their absence/presence in the different genomes. Panache is notably used in the Banana Genome Hub[16].

In summary, while some visualization tools exist, there is no consensus on the best way to provide additional annotation layers to their graph representations.

A couple of other options exist to display static representations of a pangenome graph (or subgraph). The vg toolkit can output a pangenome and alignments in the DOT format. Paths can be displayed too, but the image can become hard to read when many paths are included or in large graphs. The odgi toolkit offers visualizations that scale better to larger graphs and more annotations[17]. The pangenome is linearized using a 1D layout[18], and annotations are displayed on top of the graph as an array/heatmap. Notably, odgi implements two options to add annotations from external BED files: one to convert annotations to a CSV file to help color nodes in Bandage, and another that injects BED records into the graph as new embedded paths that can appear in odgi 's own visualizations. One limitation to this approach is that, in the pangenome data model used by odgi, vg, and the GFA format, embedded paths are forced to cover entire nodes. There is no way to provide an offset or length value to specify the base at which a path must start or end.

There is a dire need for a format supporting annotations in the pangenome that is easy to create, index, and query. Noting the success of the BED, GFF, or VCF formats, we see a critical need to exchange (pan)genomic information and allow tools to access additional information in the pangenomic space. The Graph Alignment Format (GAF) text format, which was proposed to represent alignments, could also be used to describe annotations in a pangenome graph. However, the lack of techniques to compress, index, and query it limits its adoption for larger-scale annotation sets. Here, we present new features of the vg and HTSlib ecosystem that provide efficient indexing and querying for pangenomic annotations represented as paths in the GAF format. We illustrate its values in several applications: projecting gene and repeat annotations into the pangenome and visualizing them, summarizing open chromatin from epigenomic sequencing datasets, and positioning known variants in the pangenome.

## **Methods**

# Indexing paths in GAF files

GAF records are sorted by node ID intervals, so the sorting and indexing algorithm is most efficient and makes sense when node IDs are integers, sorted based on the topology of the pangenome graph. This is the case for pangenomes constructed by minigraph-cactus[19], PGGB[20], or vg construct [4]. Otherwise, the pangenome graph can be *sorted*, by changing the node IDs, using vg or odgi [17]. If the node IDs are sorted integers, a short path through the graph should only traverse nodes with IDs contained in a small interval (see Figure 1A). The main approach of the GAF sorting and indexing approach is to work with those intervals. Hence, to sort a GAF file, each path is first parsed to extract the minimum and maximum node IDs (see Figure 1B). The paths are then sorted first by their minimum ID, and then by their maximum ID. (Nodes are assumed to be short enough that withinnode indexing is not required.) This is similar to the approach used to sort BED or VCF files on linear genomes: they are sorted by sequence name, then start position, then end position.

Figure 1: Path sorting and indexing using vg and HTSlib/tabix. A. A region of pangenome is represented with nodes (containing sequences) in yellow and edges in black. The node IDs are topologically sorted integers, ranging here from 23 to 36. Three paths are highlighted in red, blue and green. B. The three paths are written with the GAF syntax, by specifying the orientations (</>
/>) and IDs of the traversed nodes. For each path, the node range n-N, between the minimum and maximum node IDs, is used for sorting the path. C. Overview of the workflow to sort a GAF file using vg gamsort, compress it with bgzip and index using tabix. D. The small .tbi index file helps query slices of the GAF file quickly, and is usable with tabix, or vg subcommands like find or chunk.

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We added a GAF sorting feature to the vg toolkit's gamsort subcommand, available in vg version 1.56 and later (see Figure 1C). It first sorts chunks of GAF records (e.g. reads), to avoid having to hold the entire set in memory. The sorted chunks are then merged into the final sorted GAF file. A sorted GAF file can be compressed in the BGZF format (or *bgzipped*) using bgzip. The BGZF format is a backwards-compatible extension of the standard gzip format that compresses a file by block to facilitate random access. It is used to compress a number of file types popular in bioinformatics, including VCF, BED, and BAM files, and is implemented in HTSlib[1].

We extended HTSlib[1] to allow indexing bgzipped GAF files. Similar to other tab-separated file like VCF or BED, a gaf preset was added to tabix. For BED or VCF, tabix extract the interval information from the columns with the sequence name and genomic position[21]. In the new gaf preset, it instead parses the path information in the GAF file to extract the minimum and maximum node IDs. The indexing is then based on this interval, the same as used for the sorting described above.

We tested the GAF sorting and indexing performance on 30X coverage Illumina short reads from HG002. The reads were downloaded from https://s3-us-west-2.amazonaws.com/human-pangenomics/NHGRI\_UCSC\_panel/HG002/hpp\_HG002\_NA24385\_son\_v1/ILMN/downsampled/. We mapped them using vg giraffe [5] on a personalized pangenome[22] derived from the HPRC v1.1 Minigraph-Cactus pangenome. The aligned reads were first stored in GAM, to allow comparing file size and sorting runtimes against GAF. This unsorted GAM file was sorted with vg gamsort. In parallel, the unsorted GAM was also converted to a GAF file using vg convert, compressed with

plain gzip, and sorted using vg gamsort with the new GAF sorting mode described above, with BGZF compression from bgzip. We compared the file sizes and sorting runtimes between both approach. The query time was also measured on ten thousand regions of the pangenome, specified as node ID ranges. These ranges were created by picking a random starting node position on the reference path and walking 50 steps along that path. The commands and details for this benchmarking is available in the analysis/readsorting folder of this paper's repository (see <a href="Code and data availability">Code and data availability</a>).

# **Querying GAF files**

Instead of indexing on a sequence name and genomic position, we can query on a node interval (see Figure 1D). In HTSlib, tabix was modified to disregard any provided sequence name when querying intervals for a GAF file, and to interpret the values typically used for the *start* and *end* position of genomic coordinates as a node ID interval.

Commands to query slices of the pangenome in vg were also updated. The find and chunk subcommands now use the updated HTSlib library to extract the appropriate GAF records. Internally, these commands identify an initial subgraph, which might correspond to, for example, a genomic interval on the reference path provided by the user. This subgraph is then extended with additional context, allowing a linear-reference-based query to include non-reference regions of the graph. The amount of context is controlled by the user. Finally, the paths (historically reads) overlapping the subgraph nodes are extracted. This last step was updated to be able to extract paths in an indexed GAF file using HTSlib. It is now possible to extract a slice of an indexed GAF file based on node intervals, coordinates on a reference path, a list of coordinates from a BED file, or a user-provided subgraph, as described in the User Guide in the analysis folder of this paper's repository (see Code and data availability).

# Projecting annotations into a pangenome

A pangenome represents multiple genomes for which annotations might be available. We describe an approach to project annotations relative to a genome into a pangenome. We updated the annotate subcommand from the vg toolkit to project regions represented in BED or GFF files into pangenomes and tested it on GBZ-format pangenomes from the HPRC. Currently, the annotation source genome must be marked as a *reference* in the pangenome, but any genome can be quickly (about a minute in our environment) set to be a reference using the vg gbwt subcommand. Then, vg annotate traces out the path in the pangenome graph taken by each input annotation. The path, represented as an *alignment* record, is then written either in GAM or GAF format. Sometimes, an annotation's source path might be broken into multiple disjoint parts, because some regions of the individual assemblies are clipped out of the pangenome. Newly assembled centromeres that challenge current alignment tools, and regions whose alignments would introduce suspiciously large structural variants, are often excluded when pangenomes are constructed from assembled sequences. Projected annotations are thus also broken up by vg annotate as needed when they overlap with breakpoints in the pangenome's representation of their source path. The name of the annotation record in the output file is taken from the BED file's *name* column, or the *Name* and *ID* GFF fields.

We tested this approach by projecting the gene annotations, repeat annotations, and segmental duplication annotations from each of the 88 assembled haplotypes from the HPRC Year 1 data release into version 1.1 of the GRCh38-based HPRC Minigraph-Cactus pangenome. The annotations were downloaded from the HPRC public repository at <a href="https://github.com/human-pangenomics/HPP\_Year1\_Assemblies/tree/main/annotation\_index">https://github.com/human-pangenomics/HPP\_Year1\_Assemblies/tree/main/annotation\_index</a>. The gene annotations from CAT[23] (GFF files) were downloaded using the URLs in the Year1\_assemblies\_v2\_genbank\_CAT\_genes.index file, the repeat annotations from

RepeatMasker (BED files) from Year1\_assemblies\_v2\_genbank\_Repeat\_Masker.index, segmental duplications (BED files) from Year1\_assemblies\_v2\_genbank\_Seg\_Dups.index, and simple repeats from Year1\_assemblies\_v2\_genbank\_TRF.index. A helper script was implemented to prepare the BED files with informative record names. For example, for repeats from the RepeatMasker annotation, we name each element by its repeat class and repeat family. The projection of those annotations for each haplotype into the pangenome was automated with a Snakemake workflow available in the analysis/annotate folder of this paper's repository (see Code and data availability).

# **Coverage track from mapped reads**

Functional genomics datasets are often visualized as a coverage track. High coverage in a region might suggest a strong transcription factor binding site or regulatory region.

We implemented an approach to summarize the coverage of reads across the pangenome into paths along which coverage is consistent (see Figure 2). The coverage in every node is first binned into a few coverage classes, for example representing low, medium and high coverage. By default we use 1, 5, and 30 reads as coverage breakpoints to save three bins: 1-5, 5-30, 30+. Regions with no coverage are not saved. Once the coverage is binned, we extend greedily to connected nodes and bins if in the same coverage class. This extension step produces path through the pangenome with consistent coverage. The paths are written in the GAF format, recording the coverage class and the average coverage across the path.

Figure 2: Read coverage bin-and-extend approach to produce coarse-grained coverage tracks. In each node (grey rectangles), the read coverage at each base is binned using user-defined coverage bins, creating node regions of similar coverage also called bins (colored rectangles). Starting bins (large green flags) are selected one at a time, and those bins are extended in both directions until reaching a different-coverage bin (small red flag).

**Figure 2:** Read coverage bin-and-extend approach to produce coarse-grained coverage tracks. In each node (*grey rectangles*), the read coverage at each base is binned using user-defined coverage bins, creating node regions of similar coverage also called bins (*colored rectangles*). Starting bins (*large green flags*) are selected one at a time, and those bins are extended in both directions until reaching a different-coverage bin (*small red flag*).

This algorithm was implemented in Python and uses the *libbdsg* module[24] to query the pangenome. It is made available in the public repository of this study in the analysis/encode folder of this paper's repository (see <u>Code and data availability</u>).

We tested this approach on ATAC-seq datasets from ENCODE[25] on seven tissues: breast epithelium (*ENCFF210QPG*), gastrocnemius medialis (*ENCFF968KAQ*), gastroesophageal sphincter (*ENCFF53SEZ*), Peyer's patch (*ENCFF272PIN*), sigmoid colon (*ENCFF775DFR*), spleen (*ENCFF391IHY*), thyroid gland (*ENCFF579WLS*). Paired-end short Illumina HiSeq 4000 reads were downloaded from ENCODE and mapped using giraffe[5] on the HPRC v1.1 Minigraph-Cactus pangenome. The output to vg pack (with -Q 1 to keep reads with mapping quality of at least 1) was piped to the script implementing the coverage track computation described above.

# **Annotating known variants**

We implemented an approach to find variants from public databases in the pangenome, producing a GAF file with a pangenome path for each variant. To accomplish this, we match input variants againt the VCF representation of the pangenome, produced by running vg deconstruct on the pangenome file. This VCF file contains all variants and their paths through the pangenome in the *AT INFO* field. We look for variants in the pangenome VCF that overlap each input variant. When an overlapping variant is found, we extract one of two paths from its *AT* field. If the input variant is found to be present in the pangenome VCF, we extract the path for the alternate allele. If the alternate allele

of the input variant is not present, but there is still a variant at this position in the pangenome, we extract the path for its reference allele instead. Variants that are not matched in the pangenome are skipped. This algorithm was implemented in Python and uses the *libbdsg* module[24] internally to get the node size information necessary to write GAF records.

We tested this approach on the GWAS Catalog[26] and GTEX expression QTLs[27], and matched them against the HPRC Minigraph-Cactus v1.1 pangenome. The GWAS Catalog was downloaded from the UCSC Genome Browser's <code>gwasCatalog</code> table[3]. The eQTLs from GTEX v8 were downloaded from <code>https://storage.googleapis.com/adult-gtex/bulk-qtl/v8/single-tissue-cis-qtl/GTEx\_Analysis\_v8\_eQTL.tar</code>. The files defining associations between variant/gene pairs were parsed for each tissue. As before, the output annotations in GAF were bgzipped, sorted and indexed. We collected statistics about the resulting annotations, and visualized database representation of variants using the Sequence Tube Map.

We implemented another, more straightforward approach, to annotate variants that were genotyped using the pangenome. Here we simply convert a VCF that contains allele traversal information (*AT* field) to a GAF file representing the alternate allele. We test this approach by genotyping HG002 from the short-reads Illumina Novaseq reads aligned to the pangenome for the GAF sorting experiment (see <a href="Indexing.paths">Indexing.paths</a> in GAF files). Variants were genotyped from the aligned reads using <code>vg call</code>. Of note, <code>vg call</code> can also output genotypes directly in GAF format with the <code>-G</code> parameter.

The scripts and pipeline to annotate variants is available in the public repository of this study in the analysis/variants folder of this paper's repository (see <a href="Code and data availability">Code and data availability</a>).

# **Visualization in the Sequence Tube Map**

The Sequence Tube Map was developed to interactively explore a pangenome graph, haplotypes traversing it, and reads mapping to it[13]. It internally calls vg to extract the relevant slice of the pangenome graph and reads, which previously could only be in the GAM format. We updated the Sequence Tube Map to accept GAF files that have been sorted, compressed and indexed as in Indexing paths in GAF files.

The Sequence Tube Map has also recently added support for displaying multiple layers or "tracks" of haplotypes or reads[lancet?]. Together with the GAF support presented here, this allows the user to add several tracks of annotations from indexed GAF files to the Sequence Tube Map as if they were read files, or alongside actual read files. The Sequence Tube Map supports assigning a different color or color palette to each track, to facilitate the visualization of different datasets in the same local pangenome region. We have extended this functionality with a new option to use an element's opacity to represent an integer score, like a mapping quality or read coverage. For example, one can now visualize the coding regions of genes and coverage tracks for different cell types from the ENCODE project, as described in Coverage of seven functional datasets from ENCODE and highlighted in Figure 4.

# **Visualization in Bandage-NG**

Bandage-NG[10], a tool derived from Bandage[11], can read GAF files and visualize its paths through the input graph by coloring the nodes. Of note is that the offset positions are not taken into account, which means that nodes are colored entirely even if the GAF record only overlaps part of it. We implemented a wrapper script to facilitate the preprocessing of a subgraph to visualize with Bandage-NG. It starts by extracting the subgraph for a region of interest from a full pangenome using vg chunk. This graph is converted to GFA, making sure that the paths are written last for compatibility with Bandage-NG. The script also extracts annotations relevant to the region of interest from indexed

GAF files. The extracted GAF files are merged and modified if necessary: path names are deduplicated and paths are trimmed when traversing nodes absent from the extracted subgraph. These modifications ensure that the final GAF file can be loaded in Bandage-NG. The outputs of this script can be opened with Bandage-NG for interactive exploration. In particular, the *Find path* feature can find nodes corresponding to specific paths, which are either haplotypes or annotations in this preprocessed GFA file. The user can select a *path* and color its nodes. The helper script and a tutorial are available at the analysis/visualization folder of this paper's repository (see <u>Code and data availability</u>).

# **Results**

# Sorting and indexing short sequencing reads

Read sorting (see <a href="Indexing paths">Indexing paths</a> in GAF files) was tested on about 30X coverage of Illumina HiSeq paired-end 150bp reads for the HG002 sample. The plain gzipped GAF file with about 682 million reads was sorted and compressed in 6h32 using a single sort thread and about 2GiB of memory (Table 1). Indexing the sorted GAF with <a href="tabix">tabix</a> took 18 minutes. We compared that approach with the existing read sorting implementation in <a href="vg">vg</a>, which operates on files in the Protobuf-based GAM format[4]. Sorting a GAM with the same reads took 11h47 using a single thread and about 6 GB of memory.

In addition to being about twice as fast to sort, reads written in the GAF format (and bgzipped) also take about half the disk space (52GiB vs 108GiB). The main reason for this reduced space is that GAF doesn't save the full read sequence, only the path through the pangenome and edits to reconstruct it. GAM files produced by vg giraffe can also save additional information as annotations, like the mapping time of each read, that are currently not kept when converting to the GAF format. Overall, the bgzipped GAF files are half as small and twice as fast to sort for short sequencing reads.

Once a GAF is indexed, extracting a slice of reads works like extracting a slice of an indexed BAM/VCF/BED file for a genomic region, and is more efficient than extracting a slice from an indexed GAM file. For example, extracting reads for ten thousand random regions in the pangenome took about 0.066 second per region to retrieve an average of 1707 reads. For comparison, the same extraction took on average 0.816 second per region using the GAM format.

<b>Table 1:</b> Resources used to sort short sequencing reads for a 30	x coverage Illumina human dataset.
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Format	Time (H:M:S)	Max. memory used (MB)	File size (GiB)
GAM	11:46:58	6,236.60	108
GAF	6:50:28	1,904.83	52

# Annotation of a human pangenome

To showcase our annotation projection implementation, we projected annotations for all HPRC haplotypes into the HPRC pangenome (see <a href="Projecting annotations">Projecting annotations</a> into a pangenome). This included genes, segmental duplications, tandem repeats, and repeat annotations. <a href="Vg">Vg</a> annotate</a> was able to project ~4M gene annotations into the pangenome in in ~16 minutes, and ~5.5M repeats from RepeatMasker in ~9 minutes, on a single-threaded machine. We were able to quickly query these rich annotations with <a href="Vg">Vg</a>, and visualize them using tools like the Sequence Tube Map or Bandage-NG. Using Bandage-NG, we were able to prepare a visualization illustrating a mobile element insertion (Fig. 3). We also examined a gene annotation using the Sequence Tube Map (Fig. 4A).

Figure 3: Visualization examples with Bandage-NG Example of a AluYa5 transposon insertion (red) within the coding sequence of the PRAMEF4 gene (blue). Both annotations were originally produced at the haplotype level by the Human Pangenome Reference Consoritium. We projected them into the pangenome, indexed them, and queried a small region to visualize with Bandage-NG. The nodes were colored based on those annotations, loaded as paths by Bandage-NG.

**Figure 3: Visualization examples with Bandage-NG** Example of a AluYa5 transposon insertion (*red*) within the coding sequence of the *PRAMEF4* gene (*blue*). Both annotations were originally produced at the haplotype level by the Human Pangenome Reference Consoritium. We projected them into the pangenome, indexed them, and queried a small region to visualize with Bandage-NG. The nodes were colored based on those annotations, loaded as paths by Bandage-NG.

We also matched and annotated variants from the GWAS Catalog[26] and expression QTLs from the GTEx catalog[27] across 49 tissues (on average 1.45 million variants per tissue), using the methods described in <a href="mailto:Annotating\_known\_variants">Annotating\_known\_variants</a>. On average, 94% of variants were found in the HPRC pangenome. The variants files in GAF take only 907MiB of space and can be queried rapidly for visualization in the Sequence Tube Map or Bandage-NG. This annotation is showcased in Figure 4B.

It is also straightforward to convert genotypes called on variants from the pangenome back to annotation paths. This is applicable when the pangenome is used as a source of variants for genotyping in new samples, as when using Pangenie or vg call. To illustrate this use case, we genotyped HG002 using short Illumina reads aligned to the HPRC pangenome with vg giraffe and vg call (see <u>Annotating known variants</u>). The predicted genotypes were converted to GAF and indexed. They could be visualized using the Sequence Tube Map, along with the aligned reads. This type of annotation can help a developer explore variant calls and aligned reads. A example is shown in Figure <u>4</u>C. On the traditional reference genome, the equivalent would be to load both reads and variants in IGV[2].

Figure 4: Visualization examples with the Sequence Tube Map A Projection of the HPRC gene annotation into the pangenome, here visualizing a coding sequence (CDS) of the CFD gene. There is an insertion (see insertion node in the middle), that the reference path (violet on top) skips. Most CDS annotations are similar (blue paths), but the CSD from the haplotype with the insertion seems to be split in two parts, highlighted in green. B. Projecting known variants into the pangenome. black: Reference path (GRCh38), pale colors: other human haplotypes, reds: GWAS Catalog, blues: eQTLs across tissues (GTEx). C. Aligned reads and genotype calls. yellow/green: annotation paths from vg call genotypes. reds/blues: short sequencing reads. D. ATAC-seq coverage track for 7 tissues from the ENCODE project. The reference path (violet) and other HPRC haplotypes (greys) are shown on top and traverse two variation sites. ATAC-seq coverage annotations for the different tissues are shown in different colors with different opacities representing coverage level. The thyroid annotation (blue) is more opaque than others, suggesting that this region is more open in that tissue. The red annotations at the bottom show the position of exons from the TPO gene, a thyroid-specific gene.

**Figure 4: Visualization examples with the Sequence Tube Map A** Projection of the HPRC gene annotation into the pangenome, here visualizing a coding sequence (CDS) of the *CFD* gene. There is an insertion (see *insertion node* in the middle), that the reference path (*violet* on top) skips. Most CDS annotations are similar (*blue* paths), but the CSD from the haplotype with the insertion seems to be split in two parts, highlighted in *green*. **B.** Projecting known variants into the pangenome. *black*: Reference path (GRCh38), *pale colors*: other human haplotypes, *reds*: GWAS Catalog, *blues*: eQTLs across tissues (GTEx). **C.** Aligned reads and genotype calls. *yellowl green*: annotation paths from vg call genotypes. *reds! blues*: short sequencing reads. **D.** ATAC-seq coverage track for 7 tissues from the ENCODE project. The reference path (*violet*) and other HPRC haplotypes (*greys*) are shown on top and traverse two variation sites. ATAC-seq coverage annotations for the different tissues are shown in different *colors* with different opacities representing coverage level. The thyroid annotation (*blue*) is more opaque than others, suggesting that this region is more open in that tissue. The *red* annotations at the bottom show the position of exons from the *TPO* gene, a thyroid-specific gene.

Using the Sequence Tube Map, haplotypes, read alignments and paths can be visualized interactively.

# **Coverage of seven functional datasets from ENCODE**

We aligned ENCODE ATAC-seq datasets from 7 cell types[25] to the draft human pangenome to produce coverage tracks as indexed GAF files (see <u>Coverage track from mapped reads</u>). On average across cell types, there were about 475 thousand paths representing high read coverage, which were on average 2.6 nodes and 104.8 bases long. An average of 63 thousand paths with high ATAC-seq read

coverage traversed three or more nodes (see Table 2). Because the graph node length used in non-variable regions is longer than the path length, these paths visiting three or more nodes are likely in regions of the pangenome with variation.

**Table 2:** High coverage tracks from seven functional datasets on the HPRC pangenome. For each sample, the table shows how many paths had a mean coverage of at least 10 reads, and how long they were.

Dataset	Paths	Average bases	Average nodes	Traversing >2 nodes
Breast epithelium	570,155	109.80	2.68	65,095 (11.4%)
Gastrocnemius medialis	342,830	94.47	2.43	53,595 (15.6%)
Gastroesophageal sphincter	555,618	115.69	2.73	72,407 (13%)
Peyer's patch	270,094	97.88	2.62	43,591 (16.1%)
Sigmoid colon	678,380	115.10	2.69	82,906 (12.2%)
Spleen	531,910	104.97	2.66	67,153 (12.6%)
Thyroid gland	377,157	95.63	2.41	56,441 (15%)

It took on average 7 CPU-hours to map the reads to the pangenome using VG Giraffe, and 2.8 CPU-hours to produce the coverage tracks. Sorting, compressing and indexing them took only 0.23 CPU-hours, on average. Table 3 compiles the runtime and memory usage for each step across all samples.

Figure 4D shows an example of a region, visualized with our extended version of the Sequence Tube Map (see <u>Visualization in the Sequence Tube Map</u>), near an exon of the *TPO* gene. The exon annotations came from the projection of the HPRC gene annotations described above into the pangenome. The coverage track for the ATAC-seq dataset shows that this region is only opened in the thyroid, consistent with the thyroid-specific role of *TPO*. By integrating these two external sources of annotations (gene annotation and ATAC-seq coverage), we can visualize them in the context of the genomic variation in the pangenome.

# **Discussion**

The tools and applications described here streamline the production of annotations in the GAF format, their indexing, and efficient querying.

First, these techniques will help integrate additional information into existing or future pangenomic tools. They will simplify the integration of information like gene or repeat annotations, known variants, or more generally other functional annotations, in pangenomic analyses. These analyses will be able to work with those annotations in light of underlying genomic variation described in the pangenome. Visualization is a specific example where the users typically want to include several layers of annotations, including some of their own design. Tools to visualize pangenomes will greatly benefit from a simple pipeline to create or load pangenomic annotations.

Second, this work is critical to allow the community to make, share and reuse annotations in the pangenome space. The GAF format is already used by multiple independent tools, although mostly for read mapping. Thanks to our work, GAF files can now be indexed and queried efficiently, like BED, VCF, or GFF on a linear reference genome. This will prove useful for those working with GAF-format read mappings, and also enables the foirmat to be used for large annotation sets. We showcase how annotations on a linear genome can be projected into the pangenome and stored in GAF format. We believe it could become the *de facto* format to represent annotations on the pangenome, thus accelerating adoption of the new pangenomic paradigm by the broader genomics field.

The indexing scheme relies on integer node IDs, compacted relative to their position in the pangenome. If node IDs are not integers, it adds a layer of complexity for the user as they will need to convert them to integers and keep track of the translation between original pangenome and new pangenome. Both vg and odgi offer ways to support this, but tool support for the resulting translation information is uneven and no standard format exists to store it.

Our implementation of GAF-based annotation is designed with short paths in mind, where the user wants to extract the full annotation paths for all annotations in a region. This is convenient when working with short reads, gene annotations, and most genomic repeats, for example. Larger genomic regions, like chromosome bands, large assembled contigs, or large segmental duplications, can still be represented and manipulated, but might be less practical to work with. With the current implementation, the full annotation will be extracted when querying any overlapping region. The extracted annotations are not clipped to the specified range, and no tooling support is provided for finding the part or parts of each annotation record that are actually relevant to the query. To address this use case, we plan to implement an extraction mode where output annotations are trimmed to keep only parts overlapping the queried subgraph.

The naïve metadata integration is another limitation. Indeed, the input annotation information from BED and GFF3 files is currently reduced to a single string label. In our applications, those labels contain, for example, a gene name and haplotype names, or a read coverage bin and average coverage value, all in one label. This was easy to implement and sufficient for now because there are no tools that handle more advanced metadata organization. For many annotations, it would be useful to keep the metadata better organized, so that the user can access/use it within visualization tools. The different metadata fields could be saved using GAF tags that can be added at the end of each GAF record.

Our approach to converting annotations from a linear genome to the pangenome assumes that we have annotations of the different haplotypes in the pangenome. There is still no clear solution to lift annotations from one reference/haplotype to other haplotypes in the pangenome; reanalysis/reannotation of each haplotype is generally required. The homology information embedded in the pangenome can sometimes be used to propagate annotations from one haplotype to others more easily. This strategy is used by annotation tools like CAT[23], and improves over reanalysis of each haplotype from scratch. In the future, these techniques might help propagate other types of annotations across the pangenome more efficiently than by reanalyzing the raw data from scratch on each haplotype. something about odgi paths/untangle maybe

Our GAF-based approach also highlights the limitations of the existing tool support for GAF files. Some tools, like Bandage-NG or GfaViz, require manual pre-processing, such as extracting a subgraph and integrating the annotations as embedded paths. The Sequence Tube Map can now handle indexed bgzipped GAF files, but the query time for large pangenomes remains long in practice. Integrating annotation metadata into its interface as a first-class data type will also require a significant amount of development. Overall, we stress the need for visualization tools that can efficiently lay out and organize many paths through a pangenome.

We have shown that the GAF format, thanks to new tools for its efficient manipulation, could offer a path for the future of annotations in pangenome graphs. While it provides an important building block, it is clear that more needs to be done to make it a useful solution for the community.

# Code and data availability

#### https://github.com/vgteam/sequenceTubemap

The analysis presented in this manuscript is documented in the repository at <a href="https://github.com/jmonlong/manu-vggafannot">https://github.com/jmonlong/manu-vggafannot</a>[28]. It contains scripts used to prepare the annotation files, commands and automated pipelines used to annotate them in the pangenome, and helper scripts to summarize the output files.

The annotations of the HPRC v1.1 pangenome were deposited on Zenodo at ??ZENODO\_LINK??. This includes gene annotations, repeats from RepeatMasker, simple repeats and segmental duplications. A coverage track for the seven ENCODE ATAC-seq samples is also available in this repository.

# **Acknowledgments**

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# **Author contribution statement**

AMN, GH, JM, TTY, and EG contributed code to the vg toolkit (annotate, pack, call subcommands) with support from BP. AMN integrated new code to HTSlib. AMN and DC implemented new features in the Sequence Tube Map. SD selected and analyzed the ATAC-seq datasets from ENCODE. JM conceived the study, analyzed data, and drafted the manuscript. All authors contributed in reviewing the text and content of the manuscript.

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# **Supplementary material**

**Table 3:** Compute resources used for the analysis of the functional datasets and production of the indexed coverage tracks.

Dataset	reads (M)	read mapping	coverage track	sorting + compressing + indexing
Breast epithelium	193.6	8.9 CPU-H (54 GB)	3 CPU-H (109 GB)	0.3 CPU-H (1 GB)
Gastrocnemius medialis	98.8	4.8 CPU-H (54 GB)	2.6 CPU-H (99 GB)	0.3 CPU-H (1 GB)
Gastroesophageal sphincter	168.5	7.3 CPU-H (54 GB)	3 CPU-H (108 GB)	0.2 CPU-H (1 GB)
Peyer's patch	145.3	8 CPU-H (54 GB)	3 CPU-H (104 GB)	0.2 CPU-H (1 GB)
Sigmoid colon	173.5	8.2 CPU-H (54 GB)	3 CPU-H (106 GB)	0.3 CPU-H (1 GB)
Spleen	157.2	7.6 CPU-H (54 GB)	3 CPU-H (104 GB)	0.2 CPU-H (1 GB)
Thyroid gland	91.4	4.6 CPU-H (54 GB)	2.5 CPU-H (94 GB)	0.1 CPU-H (1 GB)