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- A simplified encoding scheme from polynomials with complex coefficients to vectors with complex coefficients
- The actual encoding scheme from polynomials with integer coefficients to vectors of complex coefficients.

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$$= (x - (\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}))(x - e^{2i\pi\frac{3}{8}})(x - e^{2i\pi\frac{5}{8}})(x - (\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}))$$

$$= (x - (\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}))(x - (-\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}))(x - (-\frac{\sqrt{2}}{2} - i\frac{\sqrt{2}}{2}))(x - (\frac{\sqrt{2}}{2} + i\frac{\sqrt{2}}{2}))$$

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■ This fact will become important when we are discussing the mapping of polynomials with integer coefficients to complex vectors

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- So the first big thing to understand in order to fully understand CKKS is this encoding scheme. How do we get from our complex vectors to our plaintext polynomials
- The ultimate is goal to be able to fully understand the map that defines CKKS encoding algorithm

$$\sigma^{-1}:\mathbb{C}^N\to\frac{\mathbb{Z}[X]}{X^N+1}$$

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■ The forward map may be easier to consider

$$\sigma: \frac{\mathbb{C}[X]}{X^N+1} \to \mathbb{C}^N$$

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- In CKKS they usually consider N to be a power of 2,  $N = 2^k$ . So we need cyclotomic polynomial of degree  $N = 2^k$ .
- Since as we noted earlier the degree of the n-th cyclotomic is equal to  $\rho(n)$  it is easy to find a cyclotomic of the appropriate degree as  $\rho(2^{k+1}) = 2^k$ , since the only numbers that have a common factor with  $2^k$  will be only the even numbers less than  $2^k$

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$$P(\omega) = a_N \omega^N + a_{N-1} \omega^{N-1} + \dots + a_0 \omega^0 = b_i$$

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$$\begin{pmatrix} 1 & (e^{i}\pi/4)^{1} & (e^{i}\pi/4)^{2} & (e^{i}\pi/4)^{3} & (e^{i}\pi/4)^{4} \\ 1 & (e^{i}\pi3/4)^{1} & (e^{i}\pi3/4)^{2} & (e^{i}\pi3/4)^{3} & (e^{i}\pi3/4)^{4} \\ 1 & (e^{i}\pi5/4)^{1} & (e^{i}\pi5/4)^{2} & (e^{i}\pi5/4)^{3} & (e^{i}\pi5/4)^{4} \\ 1 & (e^{i}\pi7/4)^{1} & (e^{i}\pi7/4)^{2} & (e^{i}\pi7/4)^{3} & (e^{i}\pi7/4)^{4} \end{pmatrix} \cdot \begin{pmatrix} a_{0} \\ a_{1} \\ a_{2} \\ a_{3} \\ a_{4} \end{pmatrix} = \begin{pmatrix} b_{0} \\ b_{1} \\ b_{2} \\ b_{3} \\ b_{4} \end{pmatrix}$$

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Here we can see we have the coefficient vector a that uniquely determines the polynomial and the output vector b.

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- Its clear from this equation that given one we can compute the other and since this is a square matrix there is one and only one solution

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- Here we can see we have the coefficient vector **a** that uniquely determines the polynomial and the output vector **b**.
- Its clear from this equation that given one we can compute the other and since this is a square matrix there is one and only one solution
- so it should be intuitive that this transformation defines an isomorphism between  $\mathbb{C}^4$  and  $\frac{\mathbb{C}[X]}{X^4+1}$

At this point we have defined our simplified map and its inverse as essentially the equation we showed in the previous slide

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■ The CKKS map/algorithm  $\sigma^{-1}: \mathbb{C}^N \to \frac{\mathbb{Z}[X]}{X^{N}+1}$  adds further structure in order to place restrictions on this map to ensure that we encode our complex vectors as polynomials with integer coefficients only