

# Ab initio calculation of deformed nuclei with in-medium generator coordinate method

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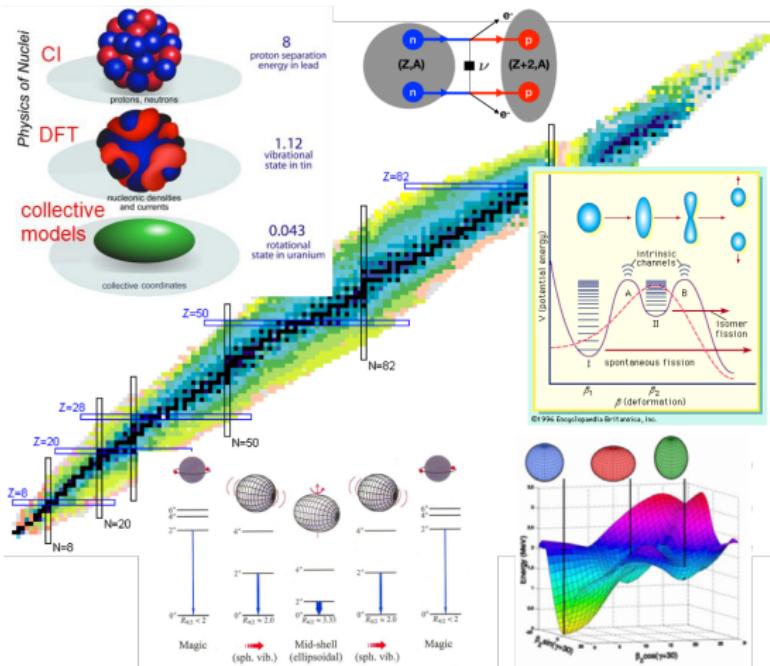


The 3rd Conference on "Microscopic Approaches to Nuclear  
Structure and Reactions",  
LLNL, November 12-15, 2019

# Nuclear shapes in modeling low-energy nuclear physics



- Deformation/collective correlations are relevant for understanding many phenomena of nuclear structure and reactions.
  - Evolution of shell structure and collectivity
  - Shape coexistence
  - Nuclear fission
  - (Double) beta decay
- Challenge to capture deformation effect for traditional shell models.
  - multi-particle-multi-hole excitation configurations



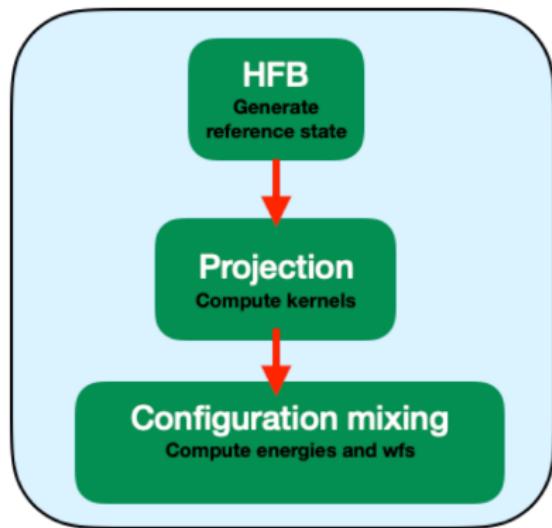
## Multi-reference energy density functionals

provides a successful microscopic tool for the low-energy spectroscopy of atomic nuclei with somewhat arbitrary shapes (with some unsolved issues).

- introduce collective correlations by breaking (rotation) symmetries in the fields/densities.
  - recover symmetries for spectroscopic analysis with projection techniques
  - consider additional correlations by mixing configurations of different shapes
- 
- applications to nuclear reactions (fission)
  - Implemented into shell-model calculations: MCSM/PSM
  - An alternative way to perform configuration-interaction calculation

Recent review: [Sheikh, Dobaczewski, Ring, Robledo, Yannouleas, arXiv:1901.06992 \[nucl-th\]](#)

# Generator coordinate method (GCM) in a nutshell



- The trial wave function of a GCM state

$$|\Phi^{JNZ\dots}\rangle = \sum_Q F_Q^{JNZ} \hat{P}^J \hat{P}^N \hat{P}^Z \dots |\Phi_Q\rangle$$

$|\Phi_Q\rangle$  are a set of HFB wave functions from constraint calculations,  $Q$  is the so-called generator coordinate.

- The mixing weight  $F_Q^{JNZ}$  is determined from the Hill-Wheeler-Griffin equation:

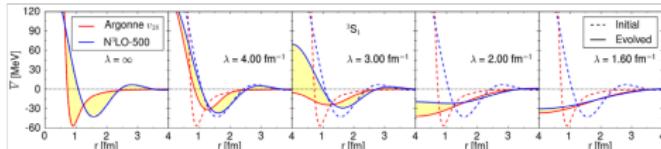
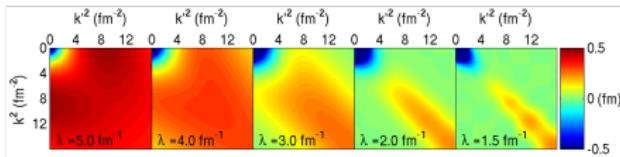
$$\sum_{Q'} \left[ H^{JNZ}(Q, Q') - E^J N^{JNZ}(Q, Q') \right] F_{Q'}^{JNZ} = 0$$

## Features (pros) of GCM

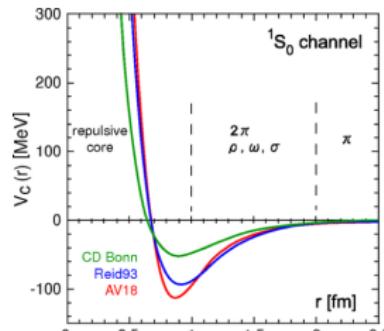
- The Hilbert space in which the  $H$  will be diagonalized is defined by the  $Q$ .  
**Many-body correlations are controlled by the  $Q$**
- The  $Q$  is chosen as (collective) degrees of freedom relevant to the physics.
- Dimension of the space in GCM is generally much smaller than full CI calculations.

# GCM calculations starting from a ...

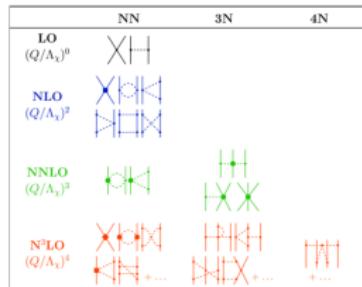
- potential determined from lattice  
QCD/phenomenological parametrization or chiral EFT with parameters determined by the data of NN scattering or 2B/3B systems.  
too "hard" to be used for mean-field-based approaches
- potential softened with SRG evolution  
(decoupling matrix elements between low- and high-momentum states)



S. K. Bogner et al. (2010)

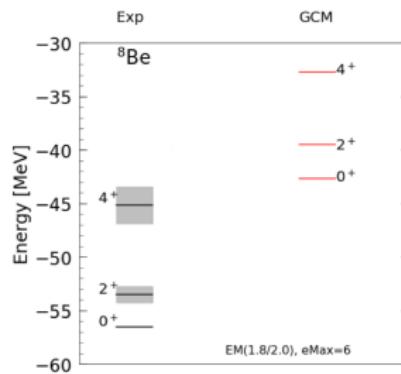
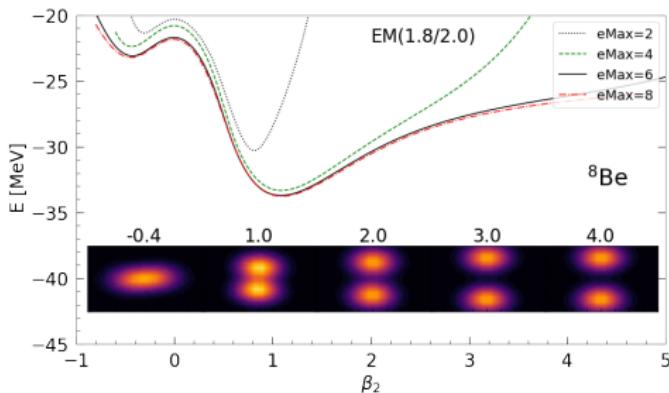


Phenomenological potentials



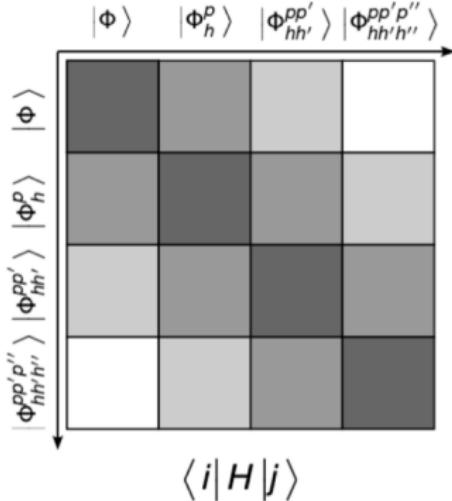
Potential from the chiral EFT

# GCM calculations starting from a softened chiral interaction



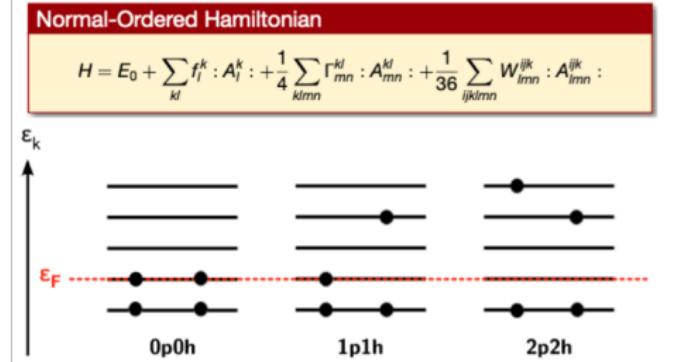
- The EM1.8/2.0 ( $\hbar\omega = 16$  MeV) chiral interaction  
Hebeler, Bogner, Furnstahl, Nogga, Schwenk (2011)
- The collective properties are reasonably described. However, the entire spectrum is systematically shifted up to high energy.
- Some correlations missing

# Missing correlations from ...



credit: H. Hergert

- coupling of the reference state  $|\Phi\rangle$  with other states by the  $H$ .



$$\langle \Phi_h^p | H | \Phi \rangle = \sum_{kl} f_l^k \langle \Phi | : A_p^h :: A_l^k : | \Phi \rangle$$

$$\langle \Phi_{hh'}^{pp'} | H | \Phi \rangle = \sum_{klmn} \Gamma_{mn}^{kl} \langle \Phi | : A_{pp'}^{hh'} :: A_{mn}^{kl} : | \Phi \rangle$$

- A unitary transformation can be introduced to decouple the reference state from other states.

## What's the unitary transformation?

For a given Hamiltonian  $H_0$  with the bare nuclear interaction, the exact ground-state wave function  $|\Psi_0\rangle$  is determined by

$$H_0|\Psi_0\rangle = E_{\text{g.s.}}|\Psi_0\rangle$$

Let's assume this wave function is connected to the reference (or GCM) state  $|\Phi\rangle$  with a unitary transformation

$$|\Psi_0\rangle = e^{-\Omega}|\Phi\rangle, \quad \Omega = -\Omega^\dagger = \Omega^{(1)} + \Omega^{(2)} + \dots$$

It indicates that the  $|\Phi\rangle$  is the ground-state of the effective Hamiltonian  $H_{\text{eff.}} = e^{\Omega} H_0 e^{-\Omega}$ ,

$$H_{\text{eff.}}|\Phi\rangle = E_{\text{g.s.}}|\Phi\rangle.$$

- The mean-field based approaches (GCM) can still arrive at the correct solutions, provided that the unitary transformation  $e^{\Omega}$  is known.
- The unitary transformation decouples the reference state from all other states.
- Many-body correlations are encoded into the effective Hamiltonian.
- The reference state  $|\Phi\rangle$  can in principle be chosen as any state (not orthogonal to the exact ground state).

- A set of continuous **unitary transformations** onto the Hamiltonian

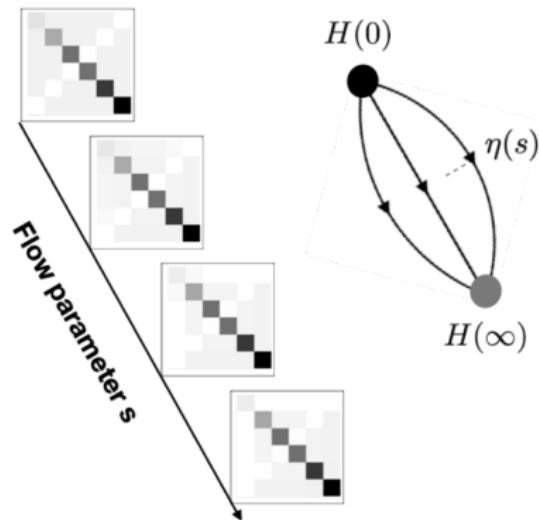
$$H(s) = U(s)H_0U^\dagger(s)$$

- Flow equation for the Hamiltonian

$$\frac{dH(s)}{ds} = [\eta(s), H(s)]$$

where the  $\eta(s)$  is the so-called generator chosen to decouple a given **reference state** from its excitations.

- Computation complexity scales **polynomially** with nuclear size



Tsukiyama, Bogner, and Schwenk (2011);

Hergert, Bogner, Morris, Schwenk, Tsukiyama (2016)

Not necessary to construct the  $H$  matrix elements in many-body basis !

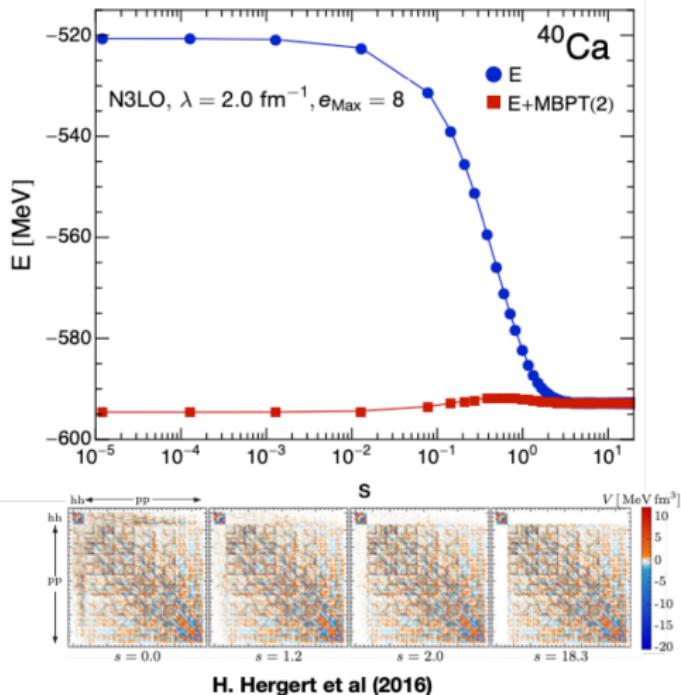
## IMSRG for closed-shell nuclei

- The ref. state  $|\Phi\rangle$  is chosen as a single-determinant (HF) state.
- Good agreement with other ab-initio calculations.

Tsukiyama, Bogner, Schwenk (2011)

## Caveats

- Higher-body operators are induced in the flow.
- NO2B: truncation up to normal-ordered two-body terms



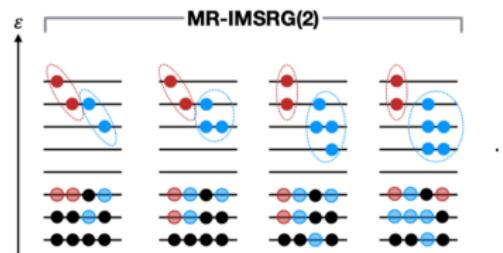
# IMSRG for open-shell nuclei



## MR-IMSRG

- Strong pairing correlations
- NO2B approximation on top of single-reference state is not sufficient
- Extension to multi-reference framework

Hergert, Binder, Calci, Langhammer, Roth (2013)



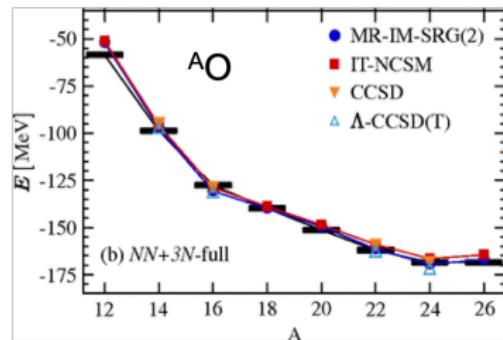
**MR-IMSRG:** build correlations on top of already correlated state (e.g., from a method that describes static correlation well)

## Valence-space IMSRG

- Decoupling the interaction into a small valence space
- Full CI in the valence space

Bogner, Hergert, Holt, Schwenk, Binder, Calci, Langhammer, Roth (2014);

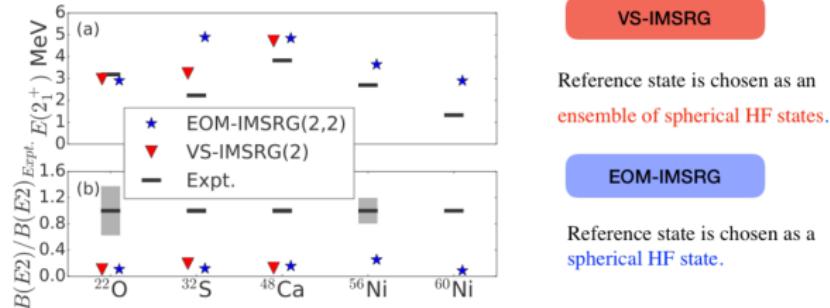
Stroberg, Calci, Hergert, Holt, Bogner, Roth, Schwenk (2016)



H. Hergert, S. Binder, A. Calci, J. Langhammer, and R. Roth (2013)

# Extensions to excited states of open-shell nuclei: VS-IMSRG

- The valence-space IMSRG and EOM-IMSRG calculations using the effective interaction derived from a chiral NN+3N interaction with the IMSRG(2).



N. M. Parzuchowski, S. R. Stroberg, P. Navrátil, H. Hergert, and S. K. Bogner (2017)

- The E2 transition strengths from ground state to the first 2+ state are systematically underestimated, indicating the truncation up to NO2B terms starting from a spherical HF/ensemble reference state is difficult to capture collective correlations.

## VS-IMSRG

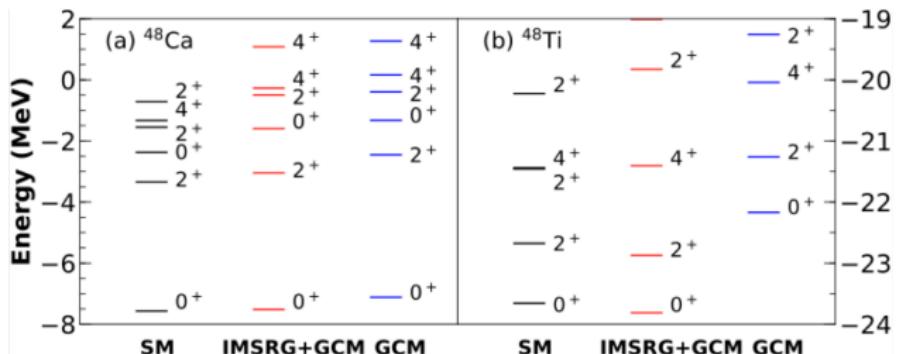
Reference state is chosen as an ensemble of spherical HF states.

## EOM-IMSRG

Reference state is chosen as a spherical HF state.

- The E2 transition operator might not be decoupled into the small model space in the same manner as that of the interaction.
- NO2B approximation starting from spherical HF state is not able to capture sufficient collective correlations.

# Building many-body correlations into interaction with IMSRG



JMY.J. Engel, L.J. Wang, C.F. Jiao, H. Hergert (2018)

- ✓ benchmarked against the shell-model calculations for the low-lying energy spectra of  $^{48}\text{Ca}$ ,  $^{48}\text{Ti}$ .
- ✓ The IMRG overall improves the agreement with the shell-model results.

It encourages us to extend this approach by using interactions from chiral EFT.

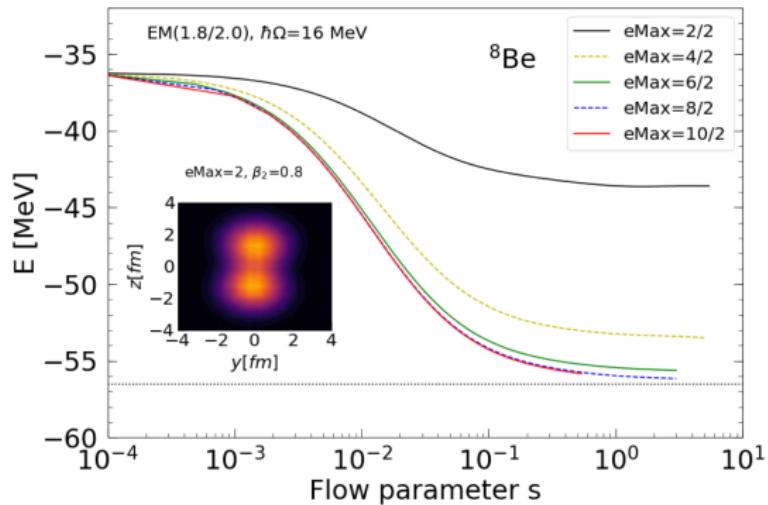
# IMSRG+GCM calculations starting from a softened chiral interaction

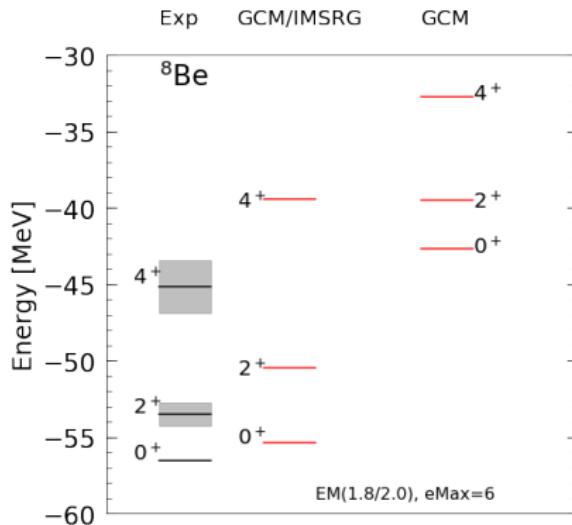


GCM  
define  
reference state

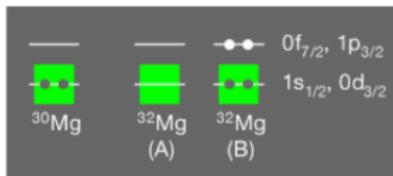
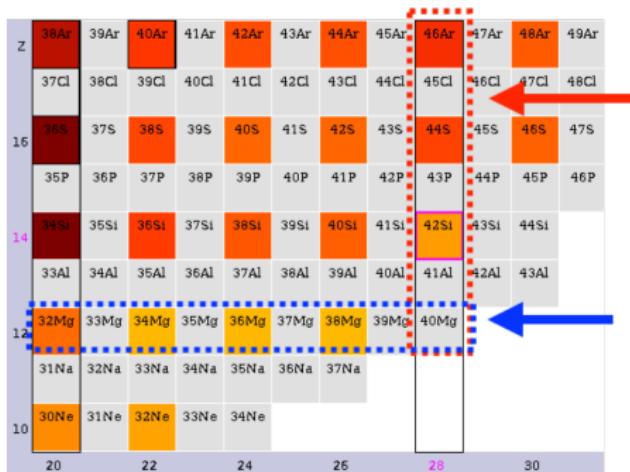


IMSRG  
evolve  
operators

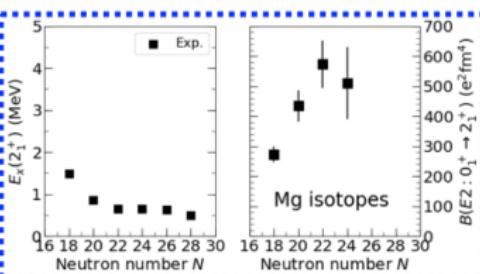
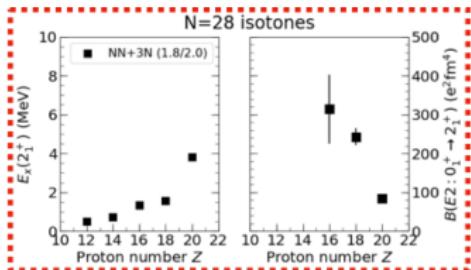




# Applications: onset of large deformation in “magic” nuclei



<https://physics.aps.org>



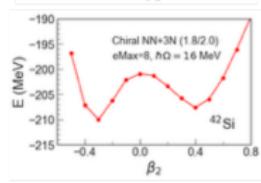
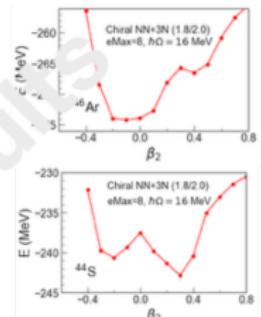
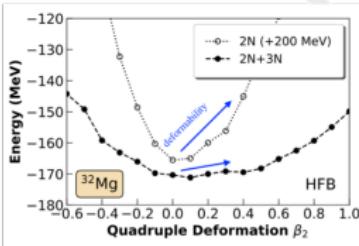
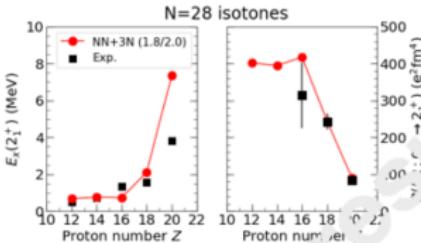
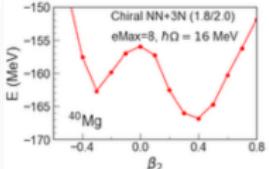
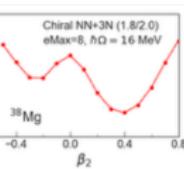
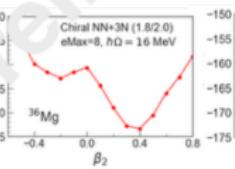
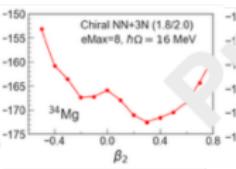
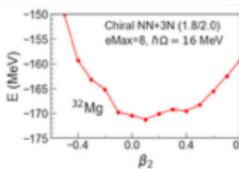
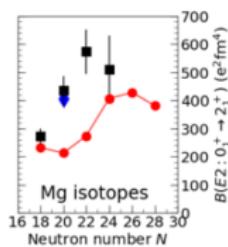
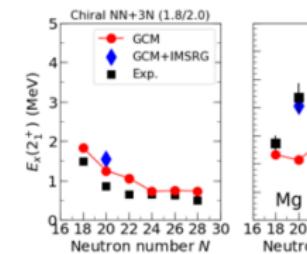
H. L. Crawford et al. (2019)

<https://www.nndc.bnl.gov>

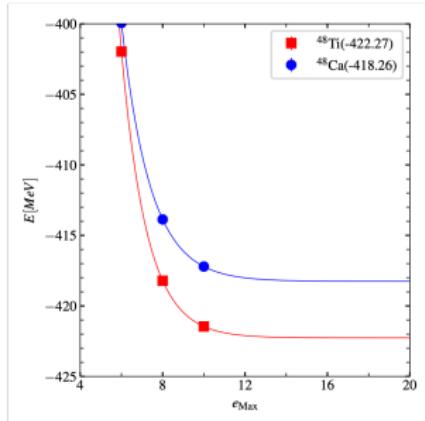
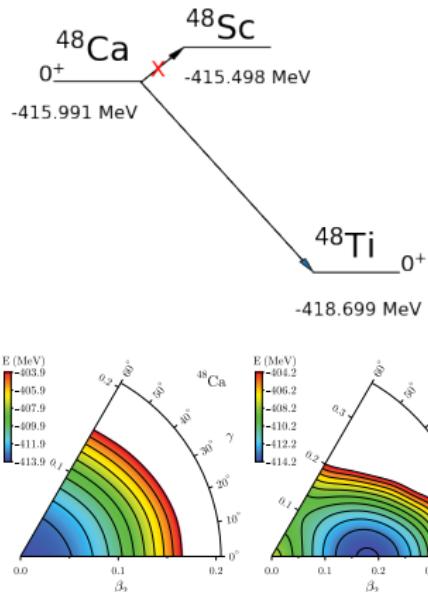
# Applications: onset of large deformation in “magic” nuclei



$e_{\text{Max}} = 8, \hbar\Omega = 16 \text{ MeV}$



# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$

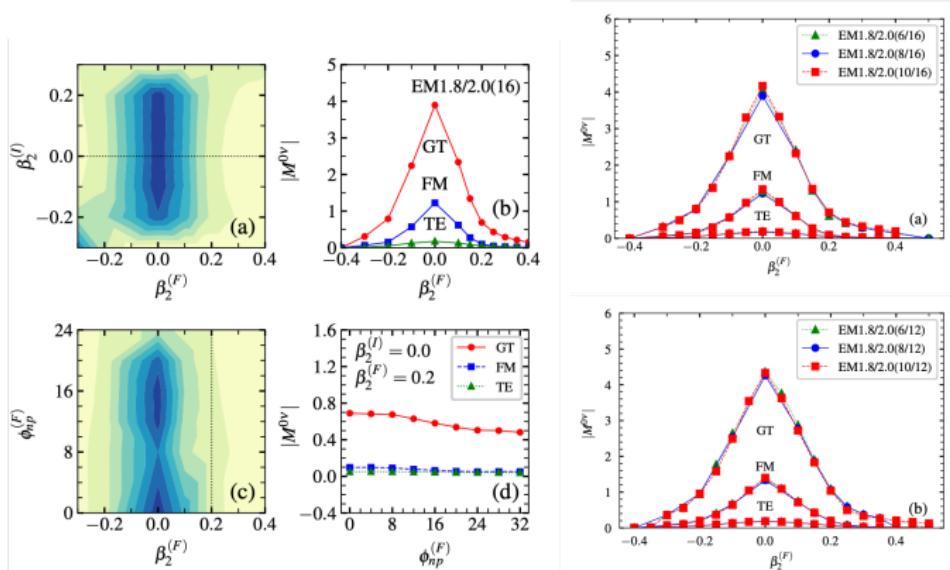


- PNVAP calculation with the IMSRG evolved chiral interaction.
- Extrapolation of the ground-state energy

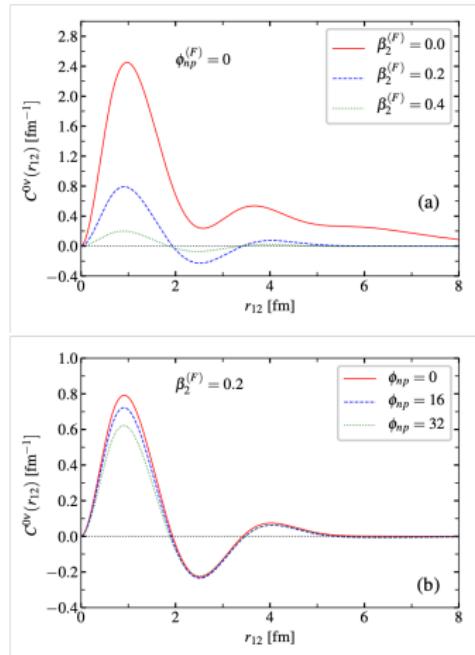
JMY, B. Bally, J. Engel, R. Wirth, T.R. Rodríguez, H. Hergert, arXiv:1908.05424

# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$

$$M^{0\nu} = \frac{4\pi R}{g_A^2} \int d^3\vec{r}_1 \int d^3\vec{r}_2 \int \frac{d^3\vec{q}}{(2\pi)^3} \frac{e^{i\vec{q}\cdot(\vec{r}_1 - \vec{r}_2)}}{q[q + \bar{E} - (E_i + E_f)/2]} \\ \times \langle 0_F^+ | e^\Omega \left[ \mathcal{J}_\mu^\dagger(\vec{r}_1) \mathcal{J}^{\mu\dagger}(\vec{r}_2) \right] e^{-\Omega} | 0_I^+ \rangle$$



# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$



$$M^{0\nu} = \int dr_{12} C^{0\nu}(r_{12})$$

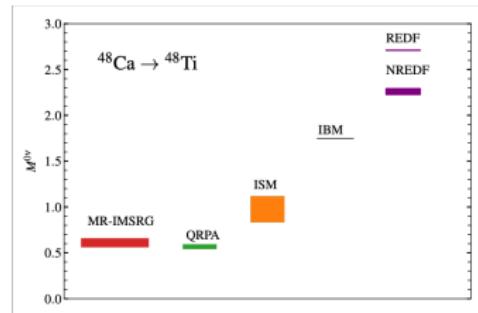
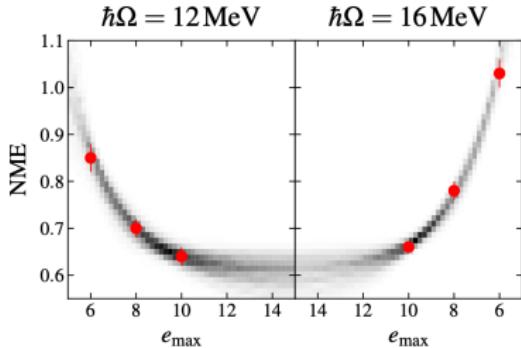
- The quadrupole deformation in  $^{48}\text{Ti}$  changes both the short and long-range behaviors
- The neutron-proton isoscalar pairing is mainly a short-range effect

$$\phi_{np} = \langle \Phi | P_0^\dagger | \Phi \rangle + \langle \Phi | P_0 | \Phi \rangle$$

with

$$P_\mu^\dagger = \frac{1}{\sqrt{2}} \sum_\ell \hat{\ell} [a_\ell^\dagger a_\ell^\dagger]_{0\mu 0}^{L=0, J=1, T=0}$$

# Application: $0\nu\beta\beta$ from $^{48}\text{Ca}$ to $^{48}\text{Ti}$



- The value from Markov-chain Monte-Carlo extrapolation is  $M^{0\nu} = 0.61^{+0.05}_{-0.04}$
- The neutron-proton isoscalar pairing fluctuation quenches  $\sim 17\%$  further, which might be canceled out partially by the isovector pairing fluctuation.

## Take-away messages:

- The IMSRG+GCM (IMGCM) opens a door to modeling deformed nuclei with realistic nuclear forces (from chiral EFT). Many interesting phenomena of low-energy physics (shape transition, coexistence, clustering structure) can be explored within this framework.
- The shape evolutions along  $Z = 12$  and  $N = 28$  chains are studied. The IMGCM shows promising results in the description of the systematics in the low-lying states.
- The NME for the neutrinoless double beta decay from spherical  $^{48}\text{Ca} \rightarrow$  deformed  $^{48}\text{Ti}$  is calculated with the IMGCM. Deformation shows a strong quenching effect on the NME.

## What's next:

- From IMSRG(2) to IMSRG(3)
- Extension to heavier nuclear systems:  
 $M^{0\nu}$ , single- $\beta$  decay of nuclei relevant for  $r$ -process nucleosynthesis, etc

# Recent development: GT transition to odd-odd nucleus

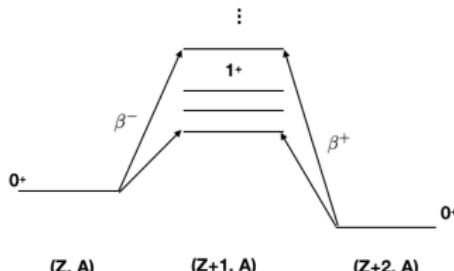


- a simple ansatz for the wave function for odd-odd nucleus

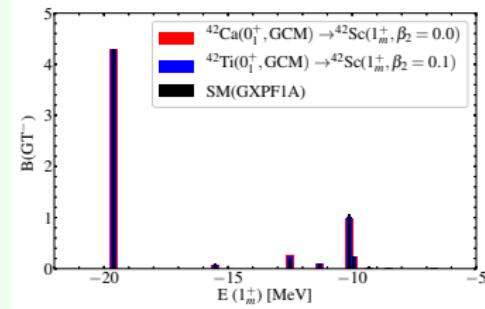
$$\left| {}^{42}\text{Sc}; JNZ(\beta_2, \phi_{np}) \right\rangle = \sum_{K,np} f_K^{JNZ}(\beta_2) \hat{P}^N \hat{P}^Z \hat{P}_{MK}^J [\beta_p^\dagger \beta_n^\dagger] \left| {}^{42}\text{Sc}; \text{HFB}(\beta_2, \phi_{np}) \right\rangle \quad (1)$$

The GT transition strength ( $g_A$  is taken as 1)

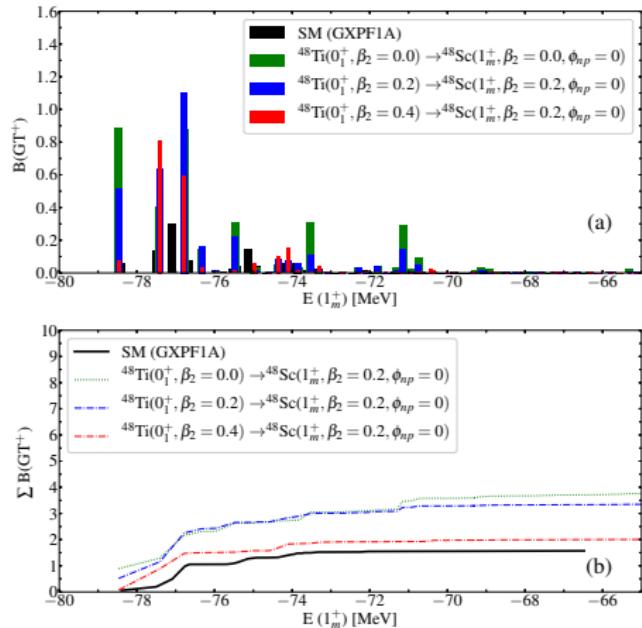
$$B(GT^- : 0_1^+ \rightarrow 1_m^+) = \left| \langle 1_m^+ | \hat{O}_{\text{GT}}^- | 0_1^+ \rangle \right|^2 \quad (2)$$



Benchmark calculation with a SM interaction

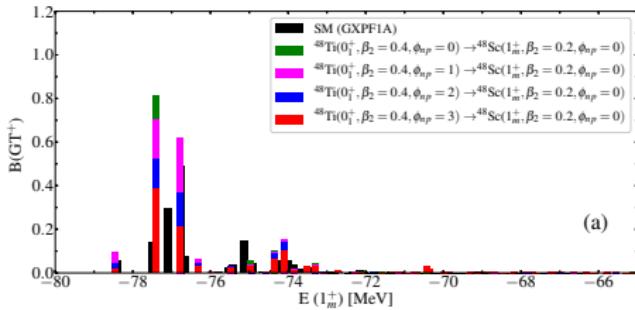


# Recent development: deformation effect in $^{48}\text{Ti}$ on $B(GT^+)$

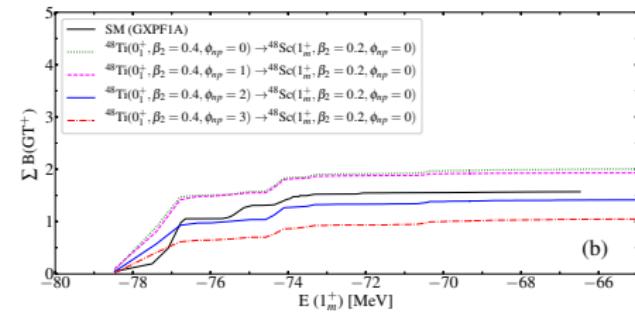


■ Quadrupole deformation in  $^{48}\text{Ti}$  reduces the  $B(GT^+)$

# Recent development: np pairing effect in $^{48}\text{Ti}$ on $B(GT^+)$



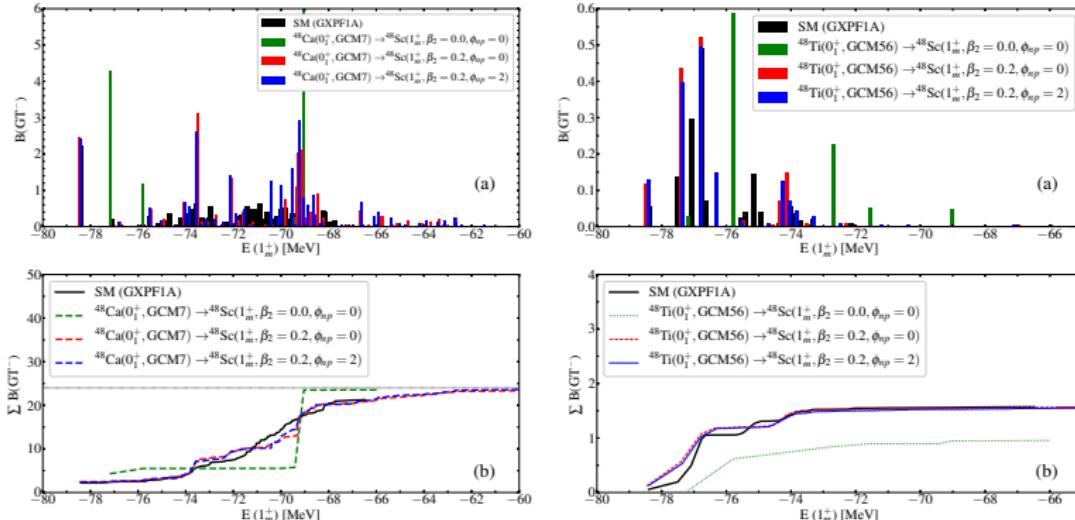
(a)



(b)

- neutron-proton isoscalar pairing in  $^{48}\text{Ti}$  reduces significantly the  $B(GT^+ : {}^{48}\text{Ti} \rightarrow {}^{48}\text{Sc})$ .

# Recent development: deformation/np pairing effects in $^{48}\text{Sc}$

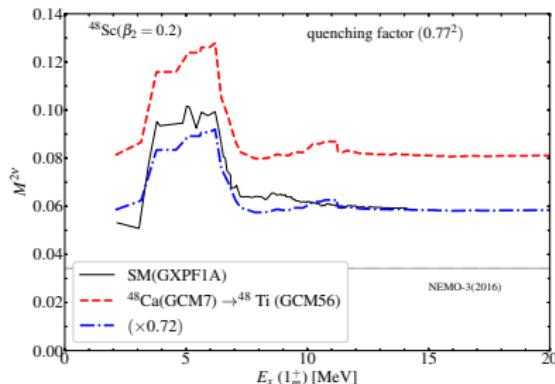
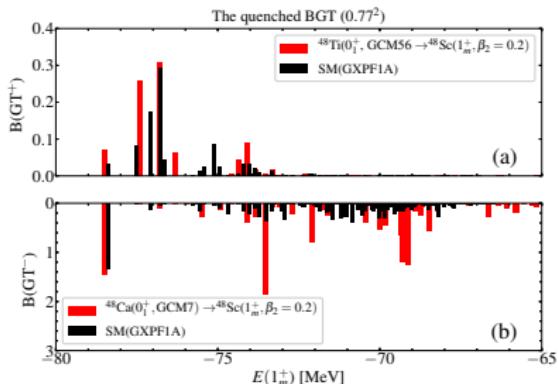


- Quadrupole deformation in  $^{48}\text{Sc}$  is essential to reproduce the  $B(GT^+)$
- $np$  pairing in  $^{48}\text{Sc}$  reduces slightly the  $B(GT^+)$

# Recent development: two-neutrino double-beta decay

- GCM calculation for the NME of two-neutrino double-beta decay transition

$$M^{2\nu} = \sum_m \frac{\langle 0_f^+ || \sigma\tau^- || 1_m^+ \rangle \langle 1_m^+ || \sigma\tau^- || 0_i^+ \rangle}{E(1_m^+) - [E(0_i^+) + E(0_f^+)]/2} \quad (3)$$



- The  $M^{2\nu}$  is dominated by the transition through the first  $1^+$  state in the intermediate nucleus (overestimated).
- The model space is still not sufficient (expected to decrease the NME).
- Interest to see the results with the IMSRG+GCM starting from a chiral interaction

# Collaborators and acknowledgement

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- Roland Wirth

## San Diego State University

- Changfeng Jiao

## Universidad Autónoma de Madrid

- Tomás R. Rodríguez

## University of North Carolina at Chapel Hill

- Benjamin Bally
- Jonathan Engel

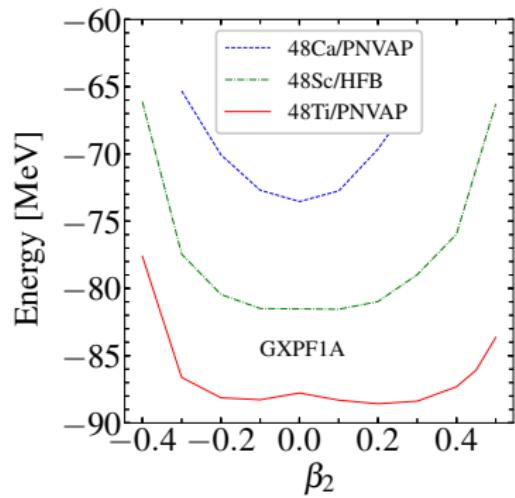
## Iowa State University

- Robert A. Basili
- James P. Vary

## Southwest University

- Longjun Wang

Thank you for your attention!

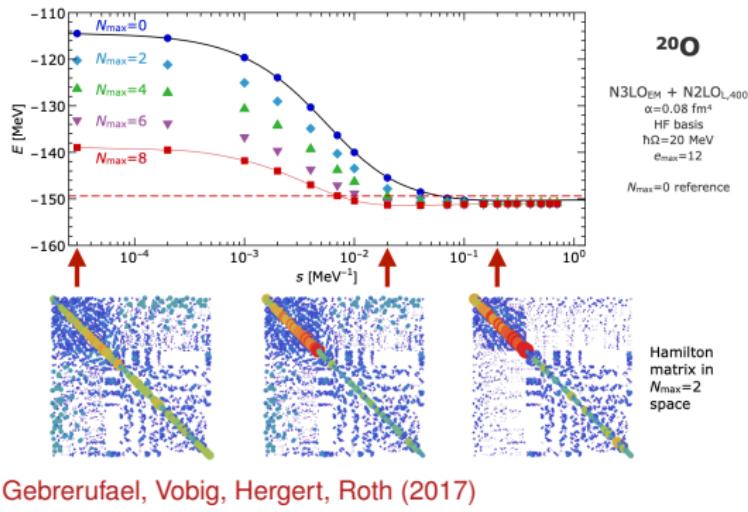


# Speeding up the convergence of the NCSM with IMSRG



## MR-IMSRG+NCSM

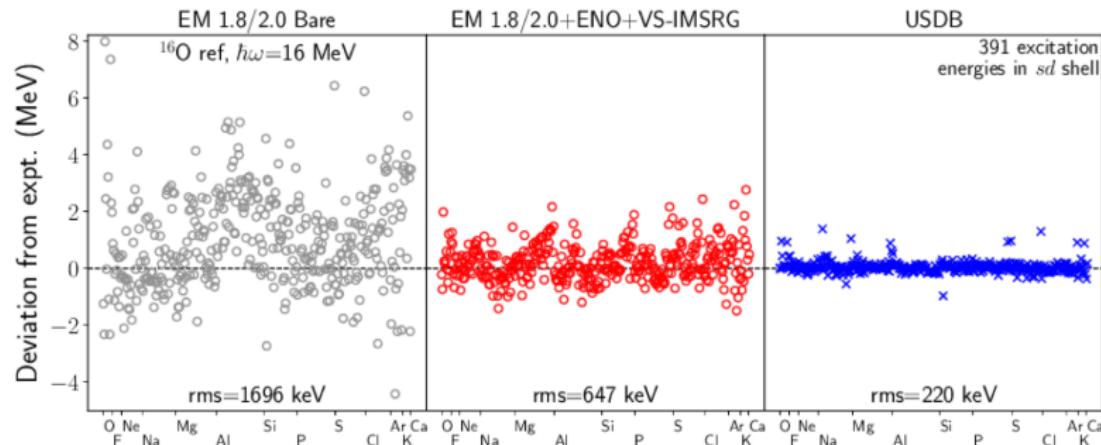
- NCSM with  $N_{\max} = 0$  for the reference state
- MR-IMSRG evolution in a large model space
- Convergence of the NCSM with the evolved interaction is speeded up.



# Extensions to excited states of open-shell nuclei: VS-IMSRG



## VS-IMSRG for excited states of *sd*-shell nuclei



Review: Stroberg, Bogner, Hergert, Holt (2019)

- One-body density in natural basis

$$\begin{aligned}\rho_{ji}(s) &= \langle 0_1^+ | e^{\Omega(s)} [c_i^\dagger \tilde{c}_j]^0 e^{-\Omega(s)} | 0_1^+ \rangle \\ &= \langle 0_1^+ | [c_i^\dagger \tilde{c}_j]^0 | 0_1^+ \rangle + \langle 0_1^+ | [\Omega(s), [c_i^\dagger \tilde{c}_j]^0] | 0_1^+ \rangle + \dots\end{aligned}$$

where the wave function  $|0_1^+\rangle$  is from the GCM calculation with the  $H(s) = e^\Omega H_0 e^{-\Omega}$ .

