

# *Ab initio* Nuclear Physics on the Lattice

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# Introduction: Modern nuclear theories

## Road map - Towards a comprehensive description of the nucleus

- ***Ab initio* methods:**

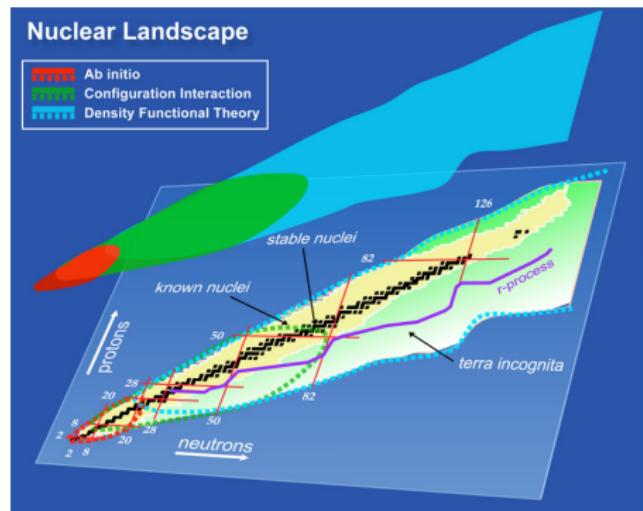
Microscopic interactions  
full many-body correlations

- **Configuration-interaction theories:**

Phenomenological interactions  
full many-body correlations

- **Density functional theories:**

Phenomenological interactions  
mean field approximation



A calculation is said to be "*ab initio*"  
if it relies on **basic and established laws of nature**  
without additional **assumptions or special models**

# Introduction: Why *ab initio* nuclear physics?

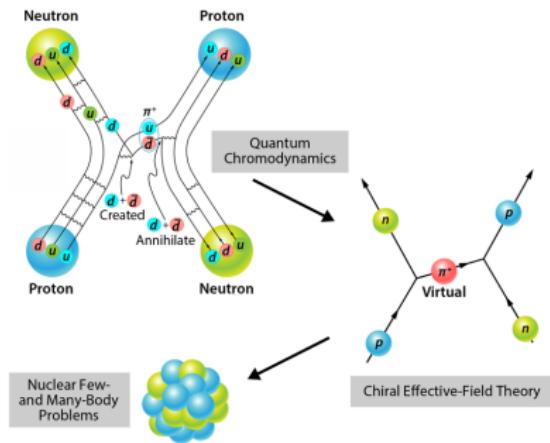
- Simulate **nucleus** from **bare nucleus-nucleus force**
- **Not** brute force! Also requires **deep physical insights** and clever algorithms
  - Memory for  $N$  **classical** particles  $\sim \mathcal{O}(N)$
  - Memory for  $N$  **quantum** particles  $\sim \mathcal{O}(\exp(N))$
- **Solutions:** Renormalization group, **Monte Carlo**, Quantum computing...



# Introduction: Chiral effective field theory

**Chiral EFT:** The low-energy equivalence of the QCD  
Weinberg (1979,1990,1991), Gasser, Leutwyler (1984,1985)

- Proton ( $uud$ ), neutron ( $udd$ ), pion ( $u\bar{d}$ )
- Spontaneously broken chiral symmetry:  
 $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$
- Goldstone theorem implies a light pion:  
Long-range part of the nuclear force
- Contact terms:  
Short-range part of the nuclear force
- Hard scale:  $\Lambda_\chi \sim 1 \text{ GeV}$ : Chiral EFT works for momentum  $Q \ll \Lambda_\chi$



Quarks confined  
in nucleons and pions

# Introduction: Chiral effective field theory

A systematic expansion of the nuclear force

Available up to the Next-to-Next-to-Next-to-Leading Order ( $N^3LO$ )

	Two-nucleon force	Three-nucleon force	Four-nucleon force
LO ( $Q^0$ )		—	—
NLO ( $Q^2$ )		—	—
$N^2LO (Q^3)$			—
$N^3LO (Q^4)$			
$N^4LO (Q^5)$			

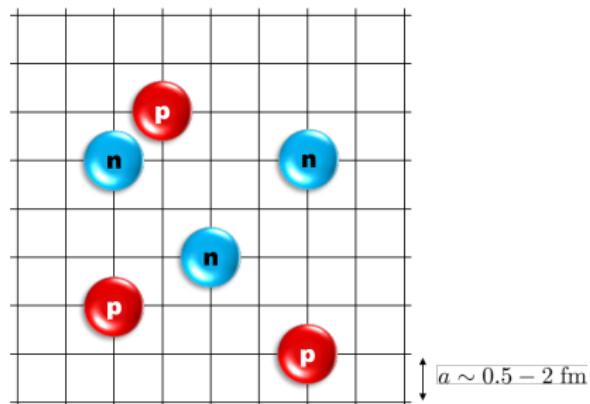
# Lattice effective field theory

Quantum many-body problem can be solved on a lattice

Lattice QCD, Hubbard model, Cold atoms...

**Lattice EFT** = Chiral EFT + Lattice + Monte Carlo

- Discretized chiral EFT
- Lattice spacing  $a \sim 1$  fm
- Lattice imposes a momentum cutoff  
 $\Lambda = \pi \hbar / a \sim 600$  MeV
- Exact method, polynomial scaling ( $\sim A^2$ )



Lattice adapted for nucleus

# Lattice EFT: Euclidean time projection

- g. s. from **imaginary time projection**:

$$|\Psi_{g.s.}\rangle \propto \lim_{\tau \rightarrow \infty} \exp(-\tau H) |\Psi_A\rangle$$

with  $|\Psi_A\rangle$  representing  $A$  **free nucleons**.

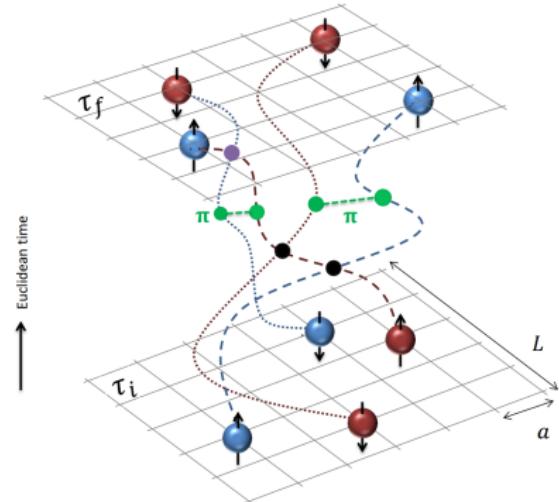
- Expectation value of any operator  $\mathcal{O}$ :

$$\langle \mathcal{O} \rangle = \lim_{\tau \rightarrow \infty} \frac{\langle \Psi_A | e^{-\tau H/2} \mathcal{O} e^{-\tau H/2} | \Psi_A \rangle}{\langle \Psi_A | e^{-\tau H} | \Psi_A \rangle}$$

- $\tau$  is discretized into time slices:

$$\exp(-\tau H) \simeq \left[ : \exp\left(-\frac{\tau}{L_t} H\right) : \right]^{L_t}$$

Complex structures like nucleon clustering emerges naturally.



# Lattice EFT: Auxiliary field transformation

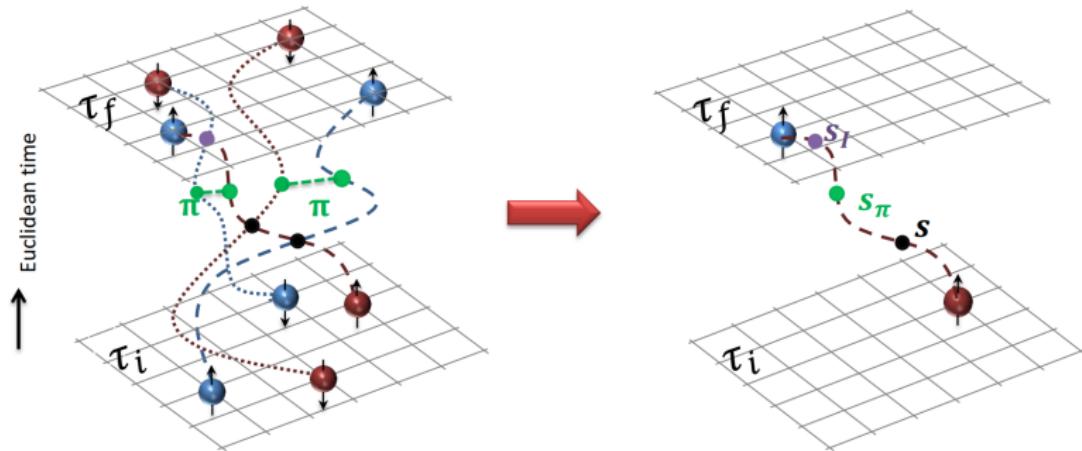
For a two-body  $\delta-$  function interaction on the lattice

$$H = \sum_{nn'} -\psi_n^\dagger \frac{\nabla^2}{2M} \psi_{n'} + C \sum_n :(\psi_n^\dagger \psi_n)^2:$$

$\psi_n^\dagger (\psi_n)$  create (annihilate) a particle at mesh point  $n$

**Hubbard–Stratonovich transformation:**

$$:\exp(-a_t H): = \int \prod_n ds_n :\exp \left[ \sum_n \left( -\frac{s_n^2}{2} + a_t \psi_n^\dagger \sum_{n'} \frac{\nabla^2}{2M} \psi_{n'} + \sqrt{-a_t C} s_n \psi_n^\dagger \psi_n \right) \right] :$$

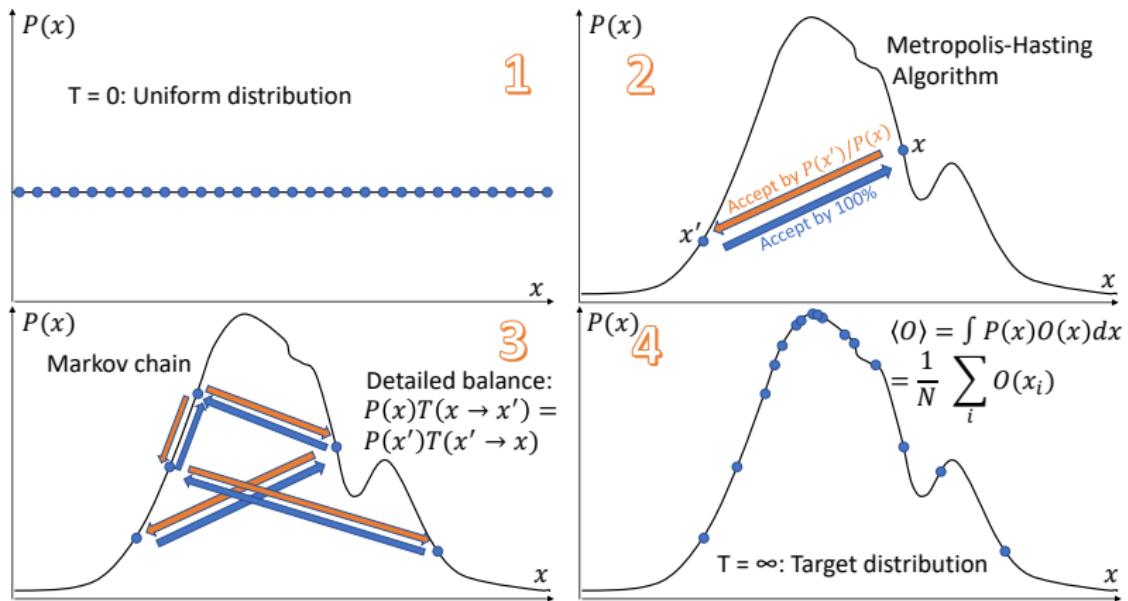


# Lattice EFT: Markov Chain Monte Carlo

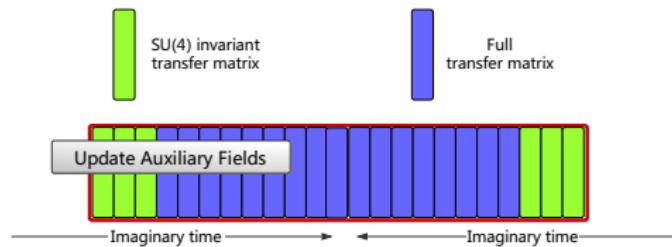
Integrating over a continuous domain

⇒ Arithmetic averaging over an ensemble

Central limit theorem: Statistical error  $\propto 1/\sqrt{N}$



# Lattice EFT: Imaginary time extrapolation



Samples are generated by **Markov Chain Monte Carlo**

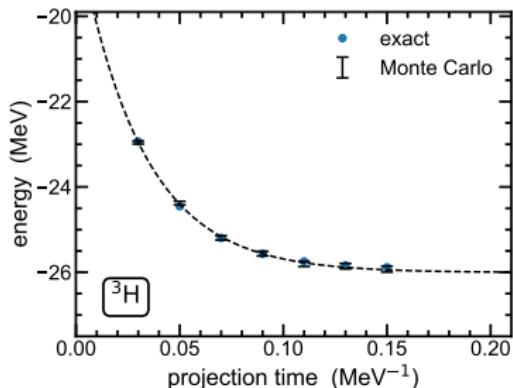
Total energies at large  $t$  follow

$$E_A(t) = E_A(\infty) + c \exp[-\Delta E t].$$

For any inserted operator  $\mathcal{O}$ ,

$$\mathcal{O}_A(\tau) = \mathcal{O}_A(\infty) + c' \exp[-\Delta E \tau/2],$$

$c$ ,  $c'$ ,  $\Delta E$  are **fitting parameters**.



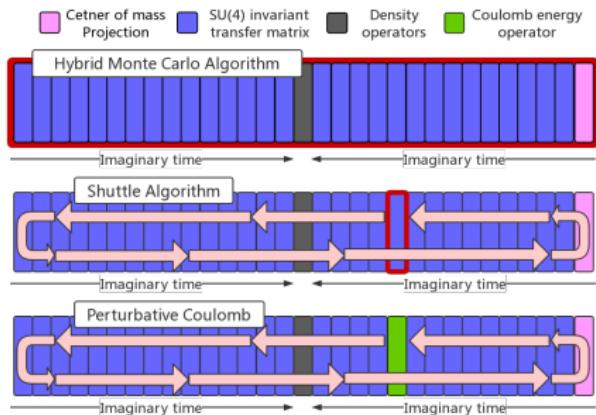
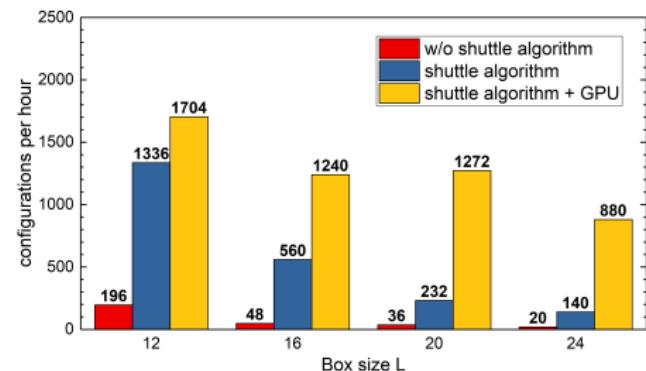
# Advanced algorithm and programming paradigm

All  $L_t \times L^3$  auxiliary fields  $s_{n,n_t}$  need to be updated. Two algorithms:

- Update all fields once every iteration: **Hybrid Monte Carlo**
- Update a single time slice every iteration: **Shuttle Algorithm**

B.L., et. al., [PLB 797, 134863 \(2019\)](#)

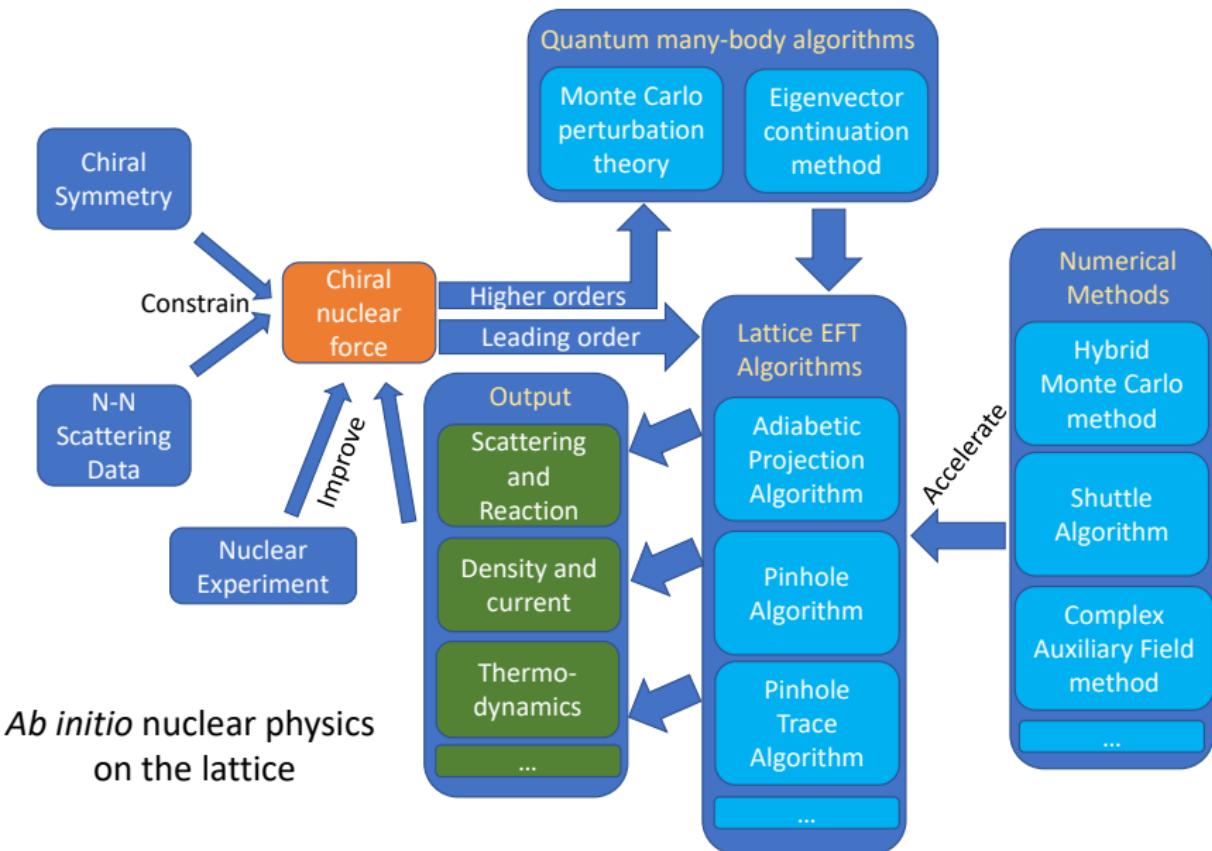
SA 5~10 times faster than HMC



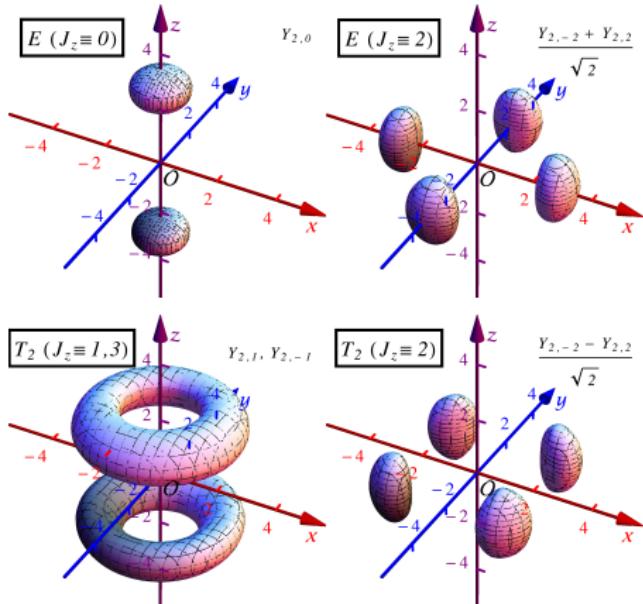
- Can be implemented for GPU
- **Algorithm & Hardware** combined give a **40~50 times** speed-up

Large lattices are accessible

# Lattice EFT: A unified framework for *ab initio* calculations



# Eliminating the lattice artifacts

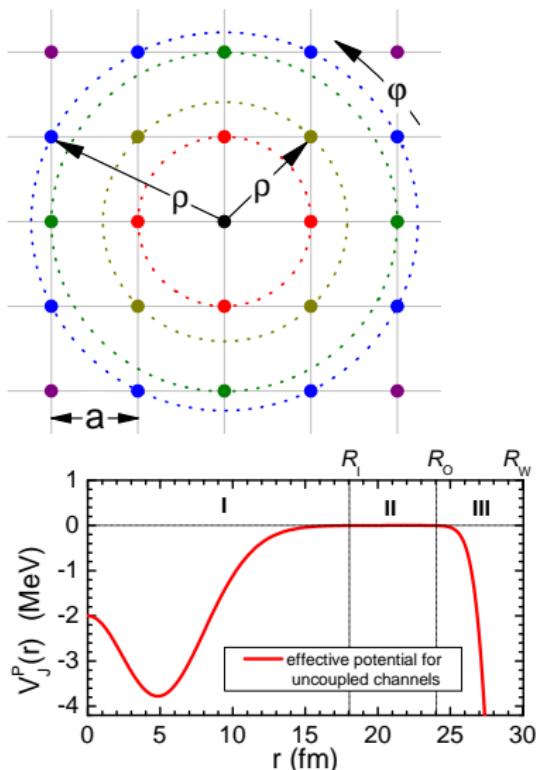


- In **real world**, rotational  $SO(3)$  symmetry is a strict symmetry.
- In a **lattice world**, due to **lattice artifacts**, some directions are **more preferred** than others.
- To solve this issue, we propose:
  - Improved kinetic energy  
B.L. et al., [Phys. Rev. D 90, 034507 \(2014\)](#)
  - Weighted average for energy  
B.L. et al., [Phys. Rev. D 90, 034507 \(2014\)](#)
  - W/ ave. for tensor operators  
B.L. et al., [Phys. Rev. D 92, 014506 \(2015\)](#)

Most lattice artifacts cancel out  
when averaging over lattice orientations

# Complex auxiliary field method

## Techniques for solving the lattice scattering problem



- Angular momentum projection:  
Expand wave functions on states with definite angular momentum,

$$|\rho\rangle_{L,L_z} = \sum_r Y_{L,L_z}(\hat{r}) \delta_{\rho,|r|} |r\rangle$$

- Complex auxiliary potential:  
Twist radial wave functions with a potential at very large  $R$ .

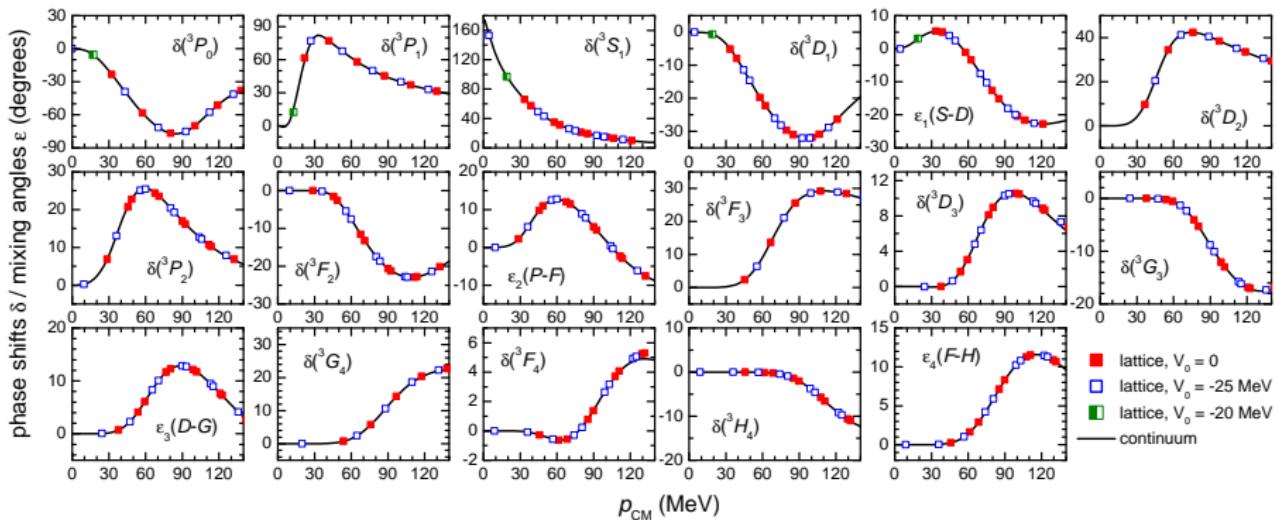
In asymptotic region ( $r \rightarrow \infty$ ) :

$$\psi_k \approx Ah_{J,k}^+ - Bh_{J,k}^-,$$



B.L. et al., [Phys. Lett. B 760 \(2016\) 309](#)

# Complex auxiliary field method: benchmark



- Phase shifts and mixing angles for a tensor potential (toy model).
- Continuum results by solving the Lippmann-Schwinger equation.

B.L. et al., [Phys. Lett. B 760 \(2016\) 309](#)

Precision comparable with exact solutions

# Chiral nuclear force up to N<sup>3</sup>LO: lattice interactions

- We use a separable form  $V \cong O^\dagger O$  for short-range interactions:

$$O_{S,L,J,J_z,I,I_z}^{2M,s_{NL}}(n) = \sum_{S_z,L_z} \langle SS_z, LL_z | JJ_z \rangle \left[ \psi(n) \nabla_{1/2}^{2M} R_{L,L_z}^*(\nabla) \psi(n) \right]_{S,S_z,I,I_z}^{s_{NL}}$$

$$R_{L,L_z}(r) = \sqrt{\frac{4\pi}{2L+1}} r^L Y_{L,L_z}(\theta, \phi)$$

The indices in  $O$  and  $O^\dagger$  are all contracted to form scalars.

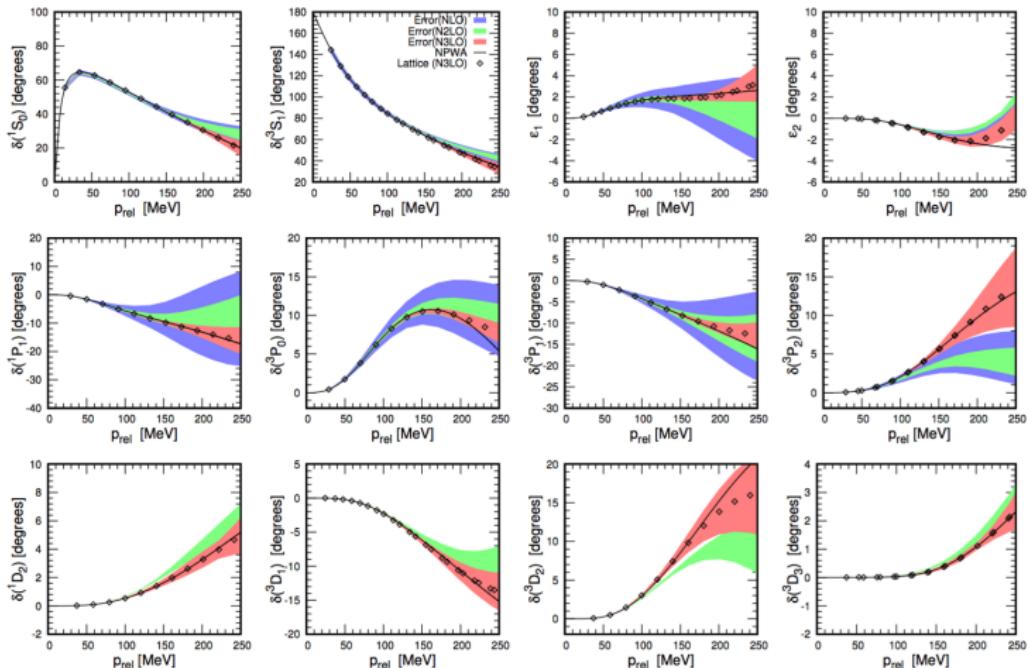
- Long-range interactions (1-pion, 2-pion) implemented using FFT:

$$V_{\text{OPE}} = -\frac{g_A^2}{8F_\pi} \sum_{n',n,S',S,I} : \rho_{S',I}(n') f_{S'S}(n' - n) \rho_{S,I}(n) :$$

$$f_{S'S}(n' - n) = \frac{1}{L^3} \sum_q \frac{q_{S'} q_S \exp[-iq \cdot (n' - n) - b_\pi(q^2 + M_\pi^2)]}{q^2 + M_\pi^2}$$

Ning Li, Elhatisari, Epelbaum, Lee, B.L., Meissner, [PRC 98, 044002 \(2018\)](#)

# Chiral nuclear force up to N<sup>3</sup>LO: fit on the lattice

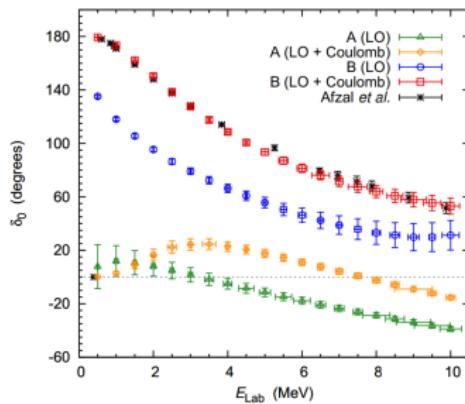
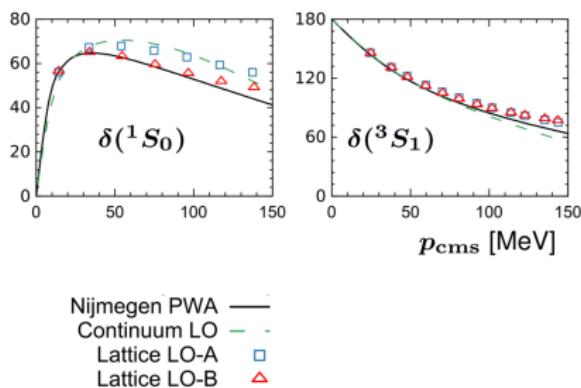


**fit to N<sup>2</sup>LO:** Alarcon, Du, Klein, Lahde, Lee, Ning Li, B.L., Luu, Meissner, EPJA 53, 83 (2017)

**fit to N<sup>3</sup>LO:** Ning Li, Elhatisari, Epelbaum, Lee, B.L., Meissner, PRC 98, 044002 (2018)

# Effects of locality: NN and $\alpha$ - $\alpha$ scattering

- Both interaction A and B give the same N-N phase shift.
- A: Non-local      B: Local + non-local



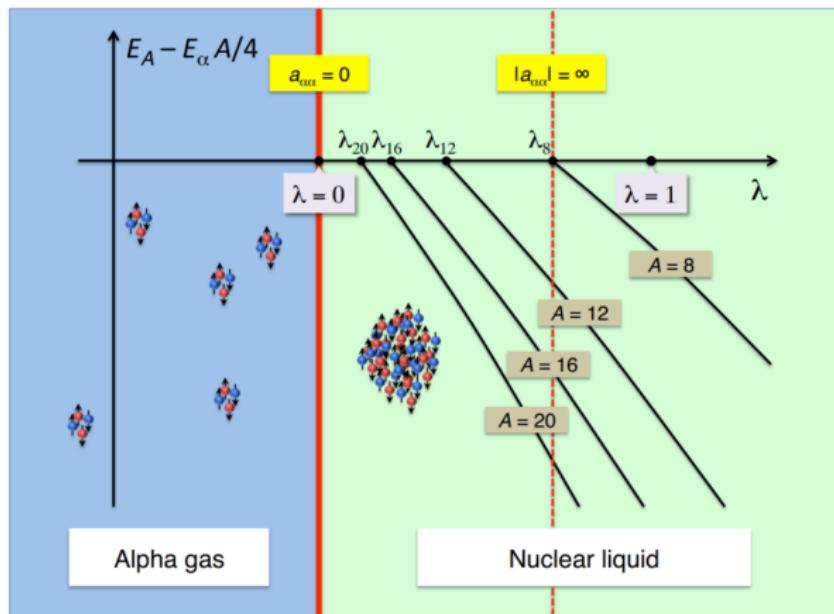
- Locality can only be probed by many-body calculations.
- What is the consequence for finite nuclei?

Elhatisari, Ning Li, Rokash, Alarcon, Du, Klein, B.L., Meißner, Epelbaum,

Krebs, Lähde, Lee, Rupak, [PRL 117 \(2016\) 132501](#)

# Effects of locality: Zero-temperature phase diagram

$a_{\alpha\alpha}$ :  $\alpha$ - $\alpha$  scattering length.     $E_A - E_\alpha A/4$ :  $\alpha$ -binding energy.  
 $\lambda = 0$ : purely non-local     $\lambda = 1$ : reality



$$\begin{aligned}\lambda_8 &= 0.7(1) \\ \lambda_{12} &= 0.3(1) \\ \lambda_{16} &= 0.2(1) \\ \lambda_{20} &= 0.2(1) \\ \lambda_\infty &= 0.0(1)\end{aligned}$$

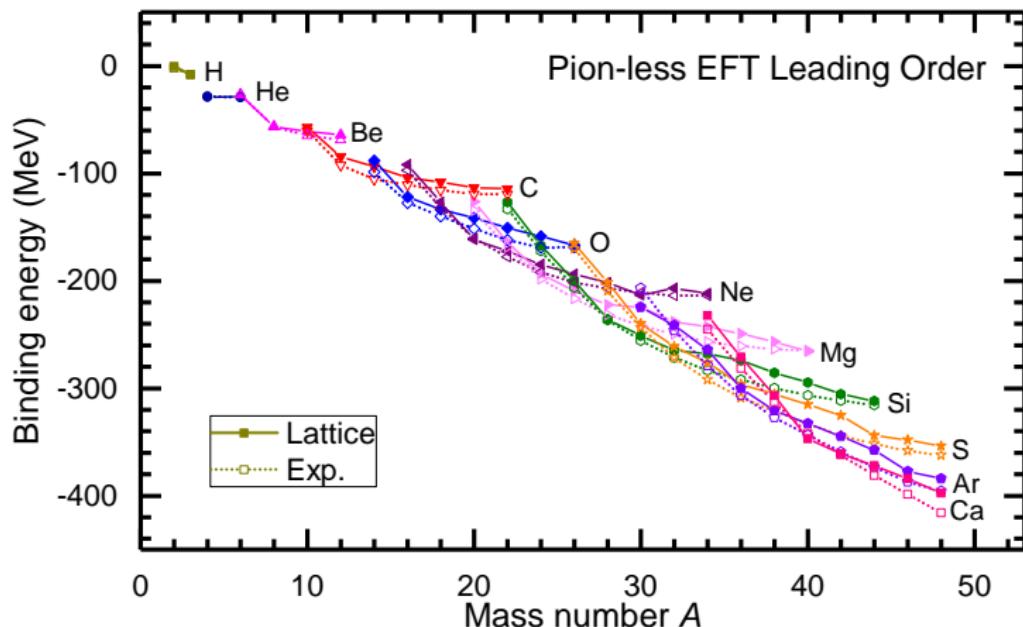
Elhatisari, Ning Li, Rokash, Alarcon, Du, Klein, B.L., Meißner, Epelbaum,

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# Essential elements for nuclear binding

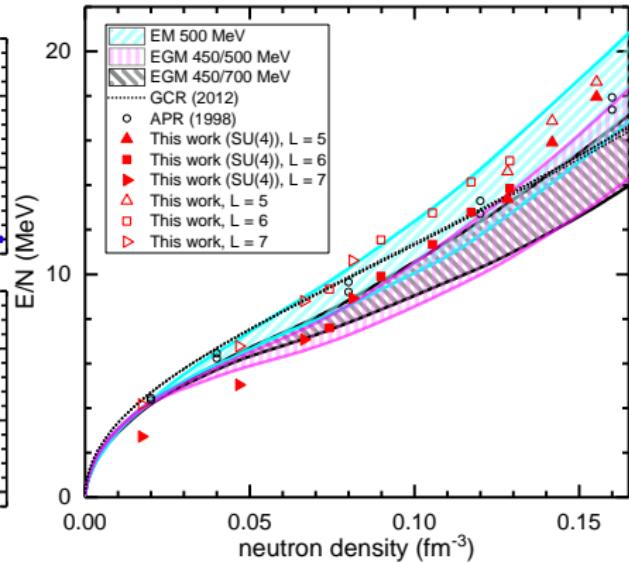
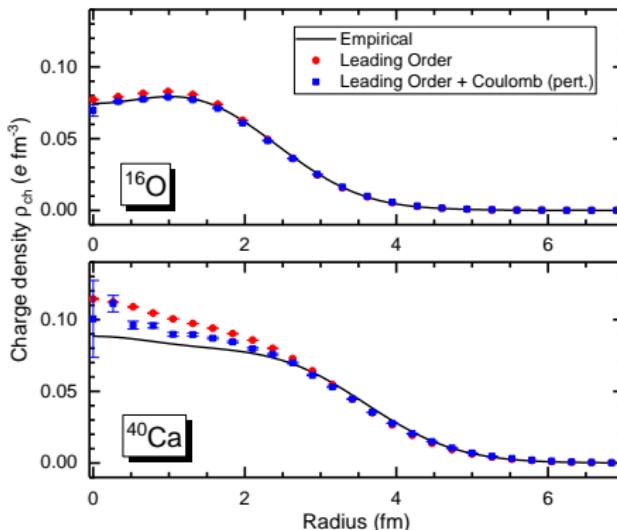
How many free parameters are essential for a proper nuclear force?

Answer: 4, Strength, Range, Three-body, Locality



# Essential elements for nuclear binding

Charge density and neutron matter equation of state  
are important in element creation, neutron star merger, etc.



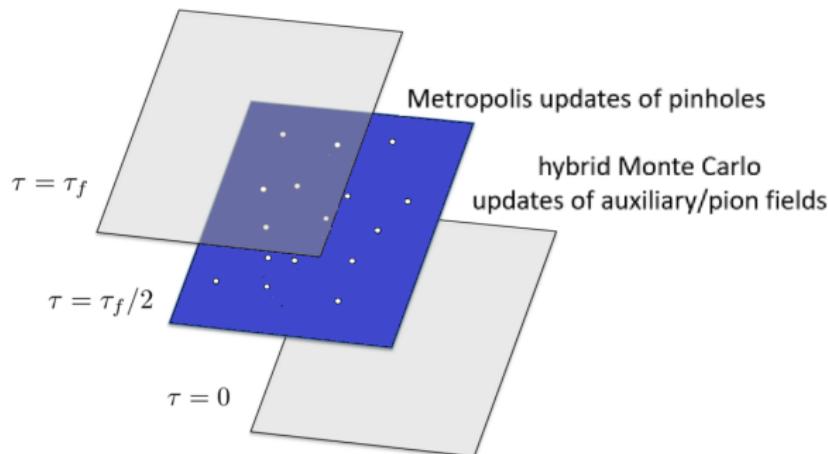
B.L., Ning Li, Elhatisari, Lee, Epelbaum, Mei $\beta$ nner, [PLB 797, 134863 \(2019\)](#)

# Pinhole algorithm: Sampling nucleon densities

The expectation of operator  $O$  can be expressed as a **path integral**:

$$\langle O \rangle = \lim_{\tau \rightarrow \infty} \frac{\sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle \Psi_A | e^{-\frac{\tau}{2} H(s, \pi)} \rho_A(n_1, \dots, n_A) e^{-\frac{\tau}{2} H(s, \pi)} | \Psi_A \rangle O(n_1, \dots, n_A)}{\sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle \Psi_A | e^{-\frac{\tau}{2} H(s, \pi)} \rho_A(n_1, \dots, n_A) e^{-\frac{\tau}{2} H(s, \pi)} | \Psi_A \rangle},$$

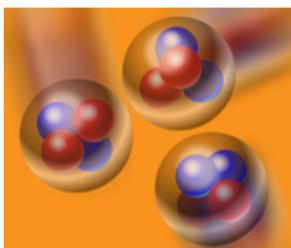
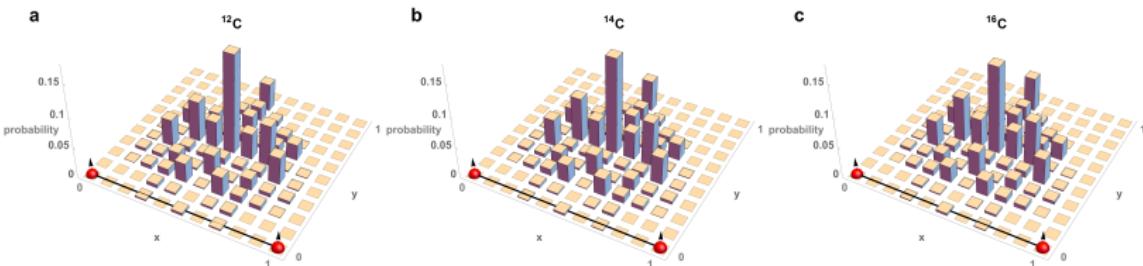
Density operator  $\rho_A$  can be sampled with **Metropolis algorithm**



Elhatisari, Epelbaum, Krebs, Lähde, Lee, Ning Li, B.L., Meißner, Rupak, [PRL 119, 222505 \(2017\)](#)

# Pinhole algorithm: $\alpha$ -cluster geometry in carbon isotopes

Positions of 3rd  $\alpha$ -cluster relative to the other two in  $^{12,14,16}\text{C}$

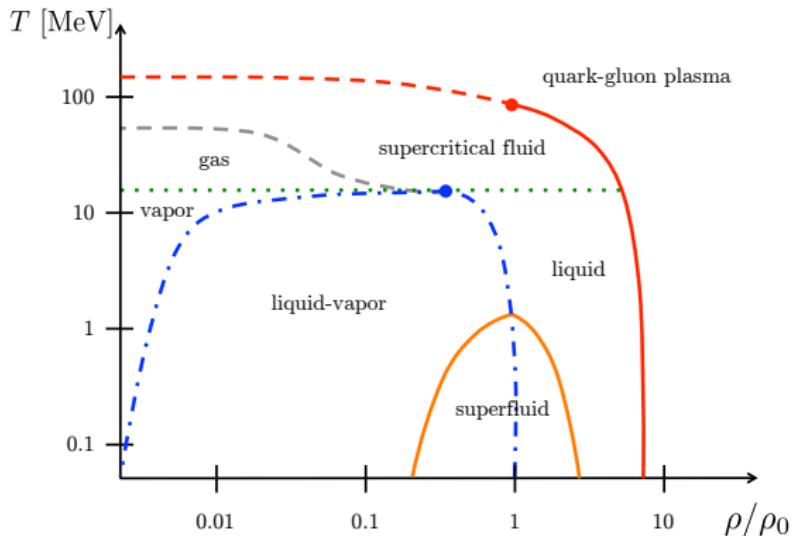


- **Hoyle state:** Triple- $\alpha$  resonance, essential for creating  $^{12}\text{C}$  in stars (Hoyle, 1954). *Fine-tuning for life?* [Epelbaum et al., PRL 106, 192501 \(2011\)](#)
- **Question:** Are there **Hoyle-like states** in  $^{14}\text{C}$  and  $^{16}\text{C}$ ? Consequence for element creation?

Visualize clustering in *ab initio* calculation

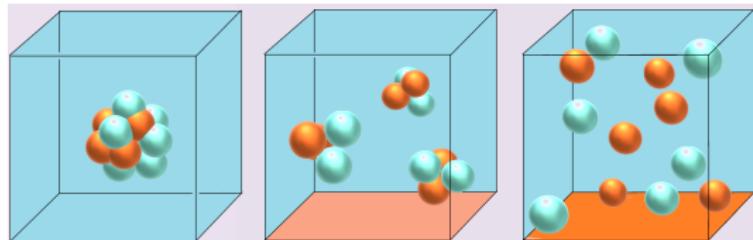
Elhatisari, Epelbaum, Krebs, Lähde, Lee, Ning Li, B.L., Meißner, Rupak, [PRL 119, 222505 \(2017\)](#)

# Pinhole trace algorithm: *Ab initio* nuclear thermodynamics



A novel algorithm  
for simulating  
**Finite-temperature  
nuclear matter** from  
first principles

“*Ab initio*” means  
**phase transition**  
and **clustering** can  
emerge without  
model assumptions



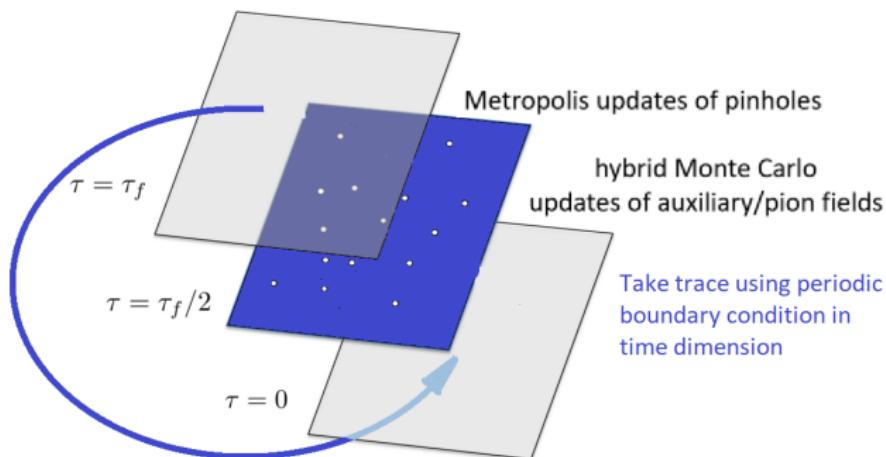
B.L., Ning Li, Elhatisari, Dean  
Lee, Drut, Lähde, Epelbaum,  
Meißner, [PRL 125, 192502](#)  
(2020)

# Pinhole trace algorithm

The pinhole states span the whole  $A$ -body Hilbert space.

Canonical partition function can be expressed using pinholes:

$$Z_A = \text{Tr}_A [\exp(-\beta H)] = \sum_{n_1, \dots, n_A} \int \mathcal{D}s \mathcal{D}\pi \langle n_1, \dots, n_A | \exp[-\beta H(s, \pi)] | n_1, \dots, n_A \rangle$$

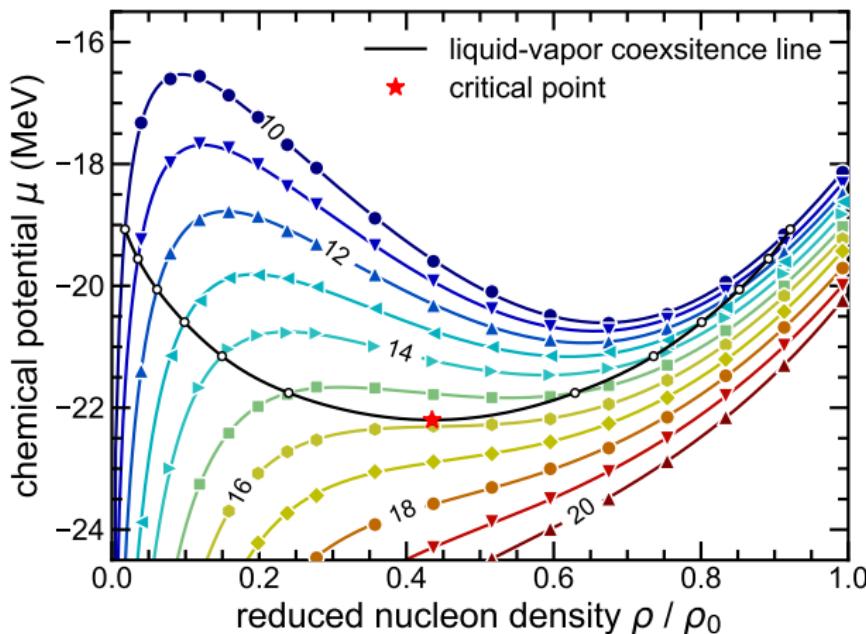


B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

# Finite nuclear systems: Liquid-vapor coexistence line

Widom insertion method: Measure  $\mu$  by inserting test particles

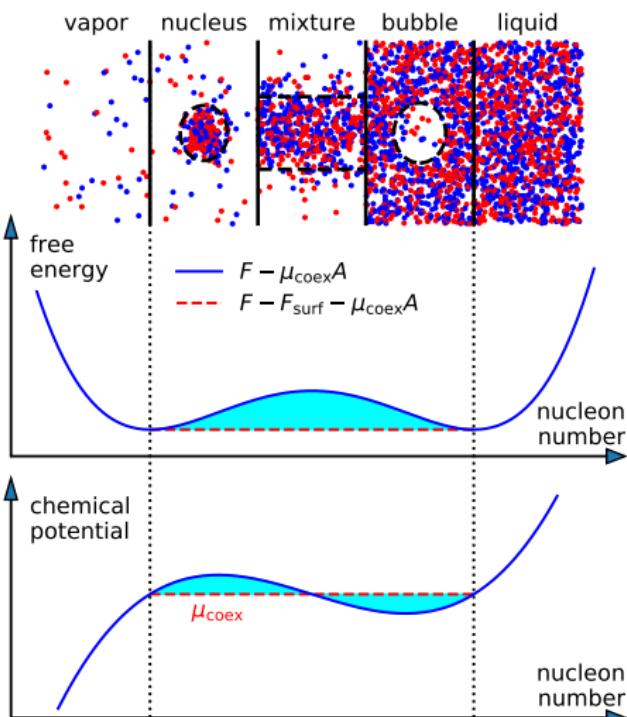
B. Widom, [J. Chem. Phys.](#) 39, 2808 (1963)



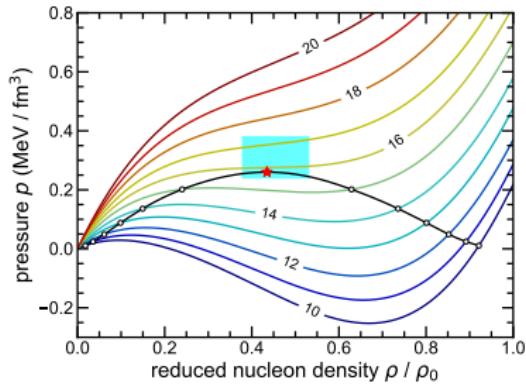
B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

# Finite nuclear systems: Surface effect

- The **backbending** in  $\mu$ - $\rho$  curves comes from the **surface effects**.
- Thermodynamic limit** ( $A \rightarrow \infty$ ,  $N \rightarrow \infty$ ),  $\mu_{\text{liquid}} = \mu_{\text{vapor}} = \text{const.}$  at coexistence;
- Finite systems:** extra contribution of the **surface** to free energy  $F$ ;
- Surface area** maximized at intermediate densities;
- $\mu = \partial F / \partial A$  exhibits a **backbending** at coexistence.



# Critical point: Compare with experiment



$T_c$ ,  $P_c$  and  $\rho_c$  of **neutral symmetric** nuclear matter

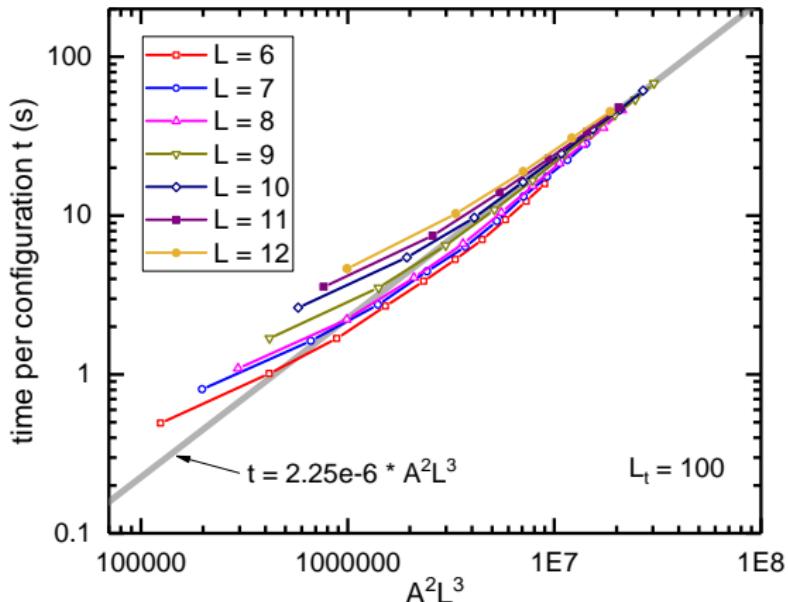
Experimental values and mean field results taken from

[Elliott, Lake, Moretto, Phair, PRC 87, 054622 \(2013\)](#)

	This work	Exp.	RMF(NLSH)	RMF(NL3)
$T_c$ (MeV)	15.80(3)	17.9(4)	15.96	14.64
$P_c$ (MeV/fm <sup>3</sup> )	0.260(3)	0.31(7)	0.26	0.2020
$\rho_c$ (fm <sup>-3</sup> )	0.089(1)	0.06(1)	0.0526	0.0463
$\rho_0$ (fm <sup>-3</sup> )	0.205(0)	0.132		
$\rho_c/\rho_0$	0.43	0.45		

# Performance of PT algorithm: Time complexity

Time complexity  $\sim \mathcal{O}(A^2 L^3)$ , Grand canonical ensemble  $\sim \mathcal{O}(L^6)$

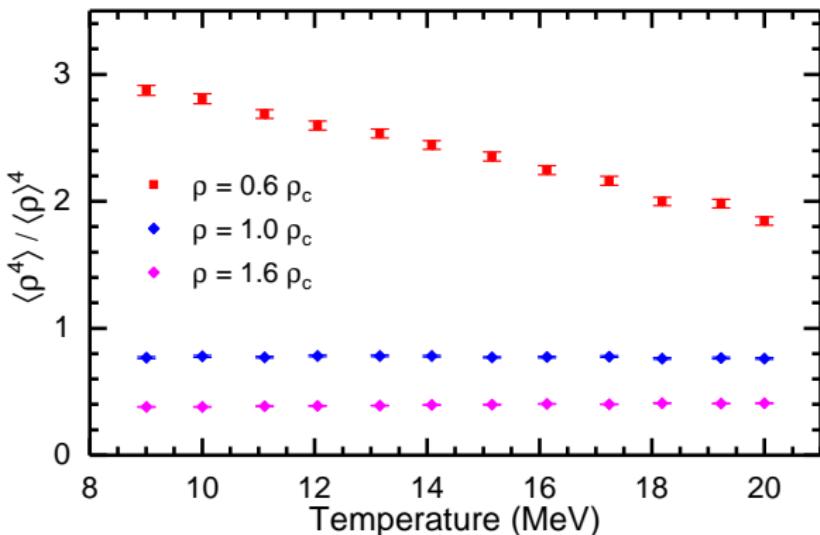


New algorithm can be thousands of times faster for  $A \ll L$

B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

# Clustering in hot nuclear matter

Ratio  $\langle \rho^4 \rangle / \langle \rho \rangle^4$  signifies the clustering correlation



B.L., Ning Li, Elhatisari, Dean Lee, Drut, Lähde, , Epelbaum, and Meißner, [PRL 125, 192502 \(2020\)](#)

## Summary and Perspective

- Lattice Effective Field Theory is a unified framework for nuclear *ab initio* calculations.
- Based on Markov Chain Monte Carlo method.
  - Challenges: reduce statistical errors.
- Unlimited configuration space.
  - Able to describe phase transition, nuclear fragmentation, clustering,...
- TODO list: refined N<sup>3</sup>LO chiral interaction, advanced lattice algorithms, numerical extrapolations, ...
- Future projects:  $0\nu\beta\beta$  calculations, independent of other *ab initio* methods, reduce systematic errors. Possible connection with Lattice QCD.

谢谢各位老师！