

1    **A direct comparison between field-measured**  
2    **and sensor-based estimates of soil carbon**  
3    **dioxide flux across six National Ecological**  
4    **Observatory Network sites enabled by the**  
5    **neonSoilFlux R package**

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## 18 **Conflict of Interest Statements**

19 None of the authors have a financial, personal, or professional conflict of interest related to  
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## 21 **Author Contributions**

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23 A., D. M., R. A., L. X., L. L.; Validation: J.Z., N.Z.; Formal Analysis: J.Z., N.Z., D. M., R.  
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## 28 **Data Availability**

29 Data available from the Zenodo LINK <http://dx.doi.org/10.5061/dryad.41qh7> (Kiere & Drum-  
30 mond 2016).” .

# 1 Abstract

Current estimates of the global terrestrial carbon fluxes between the atmosphere indicate a net sink into soil carbon (not accounting for land use change emissions, Friedlingstein et al. (2023)). A key factor to the uncertainty of the terrestrial carbon sink is quantification of terrestrial soil carbon fluxes, which vary across time and ecosystem type. Robust estimation of soil carbon fluxes on a sub-daily level requires measurements of soil CO<sub>2</sub> concentration, water content, temperature, and other environmental measurements and soil properties. These data are publicly available from the National Ecological Observatory Network at 47 different sites spanning a range of 20 different ecoclimatic domains. We present an R software package (**neonSoilFlux**) that acquires soil environmental data and to computes soil carbon flux at a half-hourly time step at a user-specified NEON site and month in a tidy user format. By design, users with a range of proficiency in the R statistical language can access the **neonSoilFlux** R package. Soil carbon fluxes and associated uncertainties are computed using the flux gradient method via a variety of existing approaches. To validate the computed fluxes, we separately measured soil carbon fluxes with automated sensors at six focal NEON sites. The validation confirmed that a primary challenge in reducing soil carbon flux uncertainty is correctly characterizing diffusivity and soil water content across the soil profile. Outputs from the **neonSoilFlux** package contribute to existing databases of continuous soil carbon measurements, providing near real-time estimates of a critical component to the terrestrial carbon cycle.

## 1.1 Keywords

## 2 Data for peer review

Anonymous data and code for peer review is available here: [LINK](#)

### 3 Introduction

Soils contain the largest reservoir of terrestrial carbon (Jobbágy & Jackson, 2000). A critical component of this reservoir is soil organic matter, the accumulation of which is influenced by biotic factors such as above-ground plant inputs (Jackson et al., 2017). These inputs in turn are influenced by environmental factors such as growing season length, temperature, and moisture (Desai et al., 2022), which also affect the breakdown of soil organic matter and its return to the atmosphere. Across heterogeneous terrestrial landscapes, the interplay between these biotic and abiotic factors influence the size of the soil contribution to the terrestrial carbon sink (Friedlingstein et al., 2023). However, the heterogeneity of these processes across diverse ecosystems in the context of rapid environmental change leads to large uncertainty in the magnitude of this sink in the future, and thus a pressing need to quantify changes in soil carbon pools and fluxes across scales.

Ecological observation networks such as the United States’ National Ecological Observatory Network (NEON) and others (e.g. FLUXNET or the Integrated Carbon Observation System) present a significant advancement in the nearly continuous observation of biogeochemical processes at the continental scale. Notably, at 47 terrestrial sites across the continental United States, NEON provides half-hourly measurements of soil CO<sub>2</sub> concentration, temperature, and moisture at different vertical depths. Each of these NEON sites also encompasses measurements of the cumulative sum of all ecosystem carbon fluxes in an airshed using the eddy covariance technique (Baldocchi, 2014). Soil observations provided by NEON are on the same timescale and standardized with eddy covariance measurements from FLUXNET. These types of nearly continuous observational data (NEON and FLUXNET) can be used to reconcile differences between model-derived or data-estimated components of ecosystem carbon flux (Jian et al., 2022; Luo et al., 2011; Phillips et al., 2017; J. Shao et al., 2015; P. Shao et al., 2013; Sihi et al., 2016).

Beyond direct observations of soil CO<sub>2</sub> concentrations and other environmental variables such as moisture or temperature, estimated or observed soil carbon fluxes are a key metric for understanding change in soil carbon pools over time (Bond-Lamberty et al., 2024). A soil carbon flux to the atmosphere ( $F_S$ , units  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), represents the aggregate process of transfer of soil CO<sub>2</sub> to the atmosphere from physical and biological processes (e.g. diffusion and respiration). Measurements of soil carbon fluxes can be coupled with empirical or process models of soil carbon. Soil carbon fluxes can be assumed to encompass soil carbon respiration from autotrophic or heterotrophic sources (Davidson et al., 2006), typically assumed to be static across the soil biome and modeled with a exponential  $Q_{10}$  paradigm (Bond-Lamberty et al., 2004; Chen & Tian, 2005; Hamdi et al., 2013).

One method by which  $F_S$  is measured in the field is through the use of soil chambers in a closed, well-mixed system (Norman et al., 1997) with headspace trace gas concentrations measured with an infrared gas analyzer (IRGA).  $F_S$  can also be estimated from soil CO<sub>2</sub> measurements at different depths in the soil using the flux-gradient method (Maier & Schack-Kirchner, 2014). This method is an approach that uses conservation of mass to calculate flux at a vertical soil depth  $z$  at steady state by applying Fick’s law of diffusion. A simplifying assumption for the flux-gradient method is that there is no mass transfer in the other spatial dimensions  $x$  and  $y$  (Maier & Schack-Kirchner, 2014). The diffusivity profile, a key component of this calculation, varies across the soil depth as a function of soil temperature, soil volumetric water content, atmospheric air pressure, and soil bulk density (Millington & Shearer, 1971; Moldrup et al., 1999; Sallam et al., 1984).

Databases such as the Soil Respiration Database (SRDB) or the Continuous Soil Respiration Database (COSORE) add to the growing network of resources for making collected observations of soil fluxes available to other workers (Bond-Lamberty, 2018; Bond-Lamberty et al., 2020; Bond-Lamberty & Thomson, 2010; Jian et al., 2021; Jiang et al., 2024). However, these

103 databases currently encompass primarily direct soil measurements of fluxes (i.e. those using  
104 methods like the closed-chamber method described above). Currently, NEON provides all  
105 measurements to calculate  $F_S$  from Fick's law, but soil flux as a derived data product was  
106 descoped from the initial network launch due to budget constraints (Berenbaum et al., 2015).  
107 Deriving estimates of  $F_S$  using continuous sensor data across NEON sites thus represents a  
108 high priority.

109 This study describes an R software package, `neonSoilFlux`, that can be used to derive a  
110 standardized estimate of  $F_S$  at all terrestrial NEON sites. After calculating these flux estimates,  
111 we then validated them against direct chamber-based field observations of soil carbon dioxide  
112 flux from a subset of terrestrial NEON sites spanning six states.

113 Key objectives of this study are to:

- 114 1. Apply the flux-gradient method to estimate soil CO<sub>2</sub> flux from continuous sensor mea-  
115 surements across NEON sites.
- 116 2. Benchmark estimated soil carbon fluxes against field measurements (e.g. direct measure-  
117 ments of soil flux).
- 118 3. Identify sources of error in the flux-gradient approach across diverse sites in order to  
119 guide future work.

## 120 **4 Materials and Methods**

### 121 **4.1 Field methods**

#### 122 **4.1.1 Focal NEON Sites**

123 In order to acquire field data to validate model predictions of flux, we selected six terrestrial  
124 NEON sites for analysis. We conducted field measurement campaigns at these sites, which  
125 span a range of environmental gradients and terrestrial domains (Table 1). SJER, SRER, and  
126 WREF were visited during May and June of 2022, and WOOD, KONZ, and UNDE during  
127 May and June of 2024.

128 Over the course of two field campaigns in 2022 and 2024, we conducted week-long visits at each  
129 site. In consultation with NEON field staff, we first selected a specific plot in the soil sampling  
130 array to maximize the concurrent availability of sensor data. We then made measurements of  
131 flux on an hourly or half-hourly interval for 8 hours each day after letting temporarily-installed  
132 soil collar(s) equilibrate for approximately 24 hours.

Table 1: Listing of NEON sites studied for field work and analysis.  $\overline{T_S}$ : average soil temperature during field measurements.  $\overline{SWC}$ : average soil water content during field measurements. Soil plot refers to the particular location in the soil sensor array (denoted as HOR by NEON) where field measurements were made.

Site (NEON site ID)	Location	Ecosystem type	Mean annual tempera- ture	$\overline{T_S}$ (°)	Mean annual precipita- tion	$\overline{SWC}$ (%)	Field measure- ment dates	Soil plot
Santa Rita Experi- mental Range (SRER)	31.91068, - 110.83549	Shrubland	19.3°C	47.6°	346 mm	4.0%	29 May 2024 - 01 June 2024	004
San Joaquin Experi- mental Range (SJER)	37.10878, - 119.73228	Oak woodland	16.4°C	41.7°	540 mm	1.2%	01 June 2022 - 04 June 2022	005
Wind River Experi- mental Forest (WREF)	45.82049, - 121.95191	Evergreen forest	9.2°C	15.3°	2225 mm	27.2%	07 June 2022 - 09 June 2022	001
Chase Lake National Wildlife Refuge (WOOD)	47.1282, - 99.241334	Restored prairie grassland	4.9°C	14.9°	495 mm	14.9%	03 June 2024 - 09 June 2024	001
Konza Prairie Biological Station (KONZ)	39.100774, - 96.563075	Tallgrass Prairie	12.4°C	23.4°	870 mm	23.4%	29 May 2024 - 01 June 2024	001



Table 1: Listing of NEON sites studied for field work and analysis.  $\overline{T_S}$ : average soil temperature during field measurements.  $\overline{SWC}$ : average soil water content during field measurements. Soil plot refers to the particular location in the soil sensor array (denoted as HOR by NEON) where field measurements were made.

Site (NEON site ID)	Location	Ecosystem type	Mean annual tempera- ture $\overline{T_S}$ (°)	Mean annual precipita- tion	$\overline{SWC}$ (%)	Field measure- ment dates	Soil plot
University of Notre Dame Environ- mental Research Center (UNDE)	46.23391, - 89.537254	Deciduous forest	4.3° 13.0°	802 mm	13.0%	22 May 2024 - 25 May 2024	004

#### 4.1.2 Soil collar placement

Either one (2022 sampling campaign) or two (2024 sampling campaign) PVC soil collars (FIXME: diameter) were installed in close proximity to the permanent NEON soil sensors at each site. The soil plot where measurements were taken was chosen at each site in consultation with NEON staff to maximize likelihood of quality soil sensor measurements during the duration of the IRGA measurements at each site.

IN PROGRESS: Add graphic of soil plot layout and placement of soil collar(s) – could make diagram in OmniGraffle?

### 141 4.1.3 Infrared gas analyzer measurements of soil CO<sub>2</sub> flux

142 During the summer 2022 field campaign, a LI-COR 6800 with soil flux chamber attachment  
143 was used to measure soil fluxes for 8 hours each day on an hourly interval. During the  
144 summer 2024 field campaign, the LI-6800 measurements were taken on a half-hourly interval  
145 and were paired with an automated soil flux chamber setup (FIXME multiplexer, IRGA,  
146 chamber model numbers) that made automated measurements on a half-hourly interval 24  
147 hours a day while we were on site. Each instrument was paired with a soil temperature  
148 and moisture probe (FIXME: Stevens model #) that was used to make soil temperature and  
149 moisture measurements concurrent with the CO<sub>2</sub> flux measurements.

150 IN PROGRESS: Dead bands, measurement duration, instrument self-testing.

### 151 4.1.4 Post-collection processing of data

152 IN PROGRESS: LI-COR SoilFluxPro software to assess dead band and measurement dura-  
153 tion.

## 154 4.2 neonSoilFlux R package

155 We developed an R package (`neonSoilFlux`; <https://CRAN.R-project.org/package=neonSoilFlux>)  
156 to compute half-hourly soil carbon fluxes and uncertainties from NEON data. The objective  
157 of the `neonSoilFlux` package is a unified workflow soil data acquisition and analysis that  
158 supplements existing data acquisition software through the `neonUtilities` R package  
159 (<https://CRAN.R-project.org/package=neonUtilities>). Figure 1 outlines the basic workflow  
160 of the package.

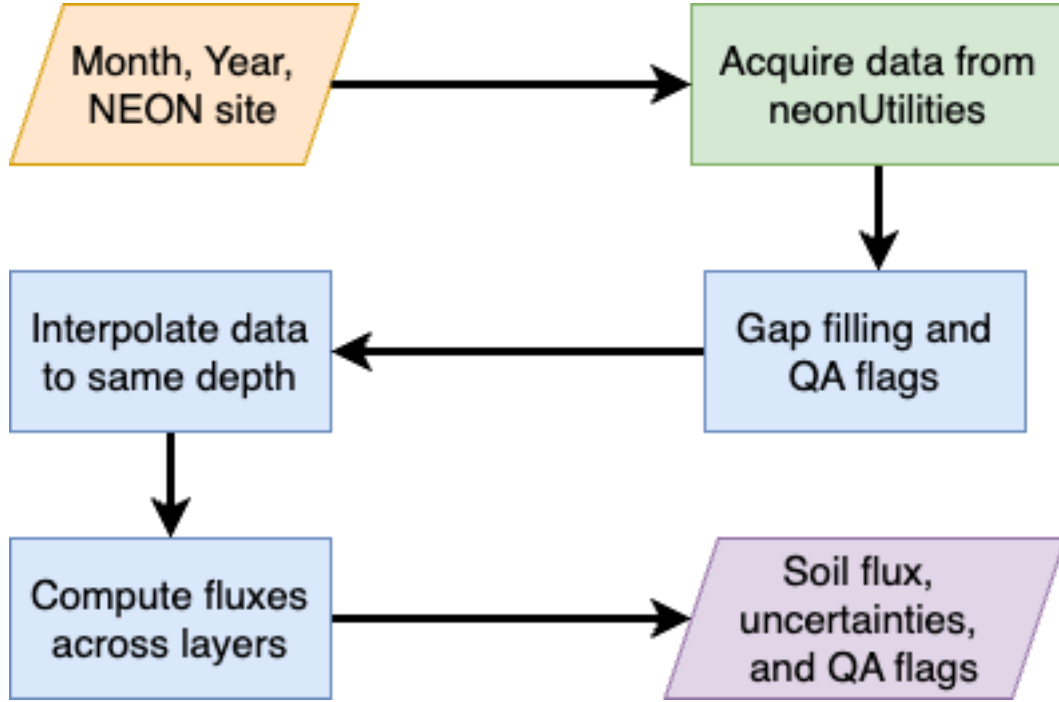


Figure 1: Diagram of `neonSoilFlux` R package. For a given month, year and NEON site (orange parallelogram), the package acquires all relevant data to compute  $F_S$  using the `neonUtilities` R package (green rectangle). Data are gap-filled according to reported QA flags and interpolated to the same measurement depth before computing the soil flux, uncertainties, and final QA flags (blue rectangles). The package reports the associated soil flux, uncertainties, and quality assurance (QA) flags for the user (purple parallelogram).

At a given NEON observation there are five different replicate soil sensor plots, each with measurements of soil CO<sub>2</sub> concentration, soil temperature, and soil moisture at different depths. The **neonSoilFlux** package acquires measured soil water content (National Ecological Observatory Network (NEON), 2024e), soil CO<sub>2</sub> concentration (National Ecological Observatory Network (NEON), 2024b), barometric pressure from the nearby tower (National Ecological Observatory Network (NEON), 2024a), soil temperature (National Ecological Observatory Network (NEON), 2024d), and soil properties (e.g. bulk density) (National Ecological Observatory Network (NEON), 2024c). The static soil properties were collected from a nearby soil pit during site characterization and are assumed to be constant at each site.

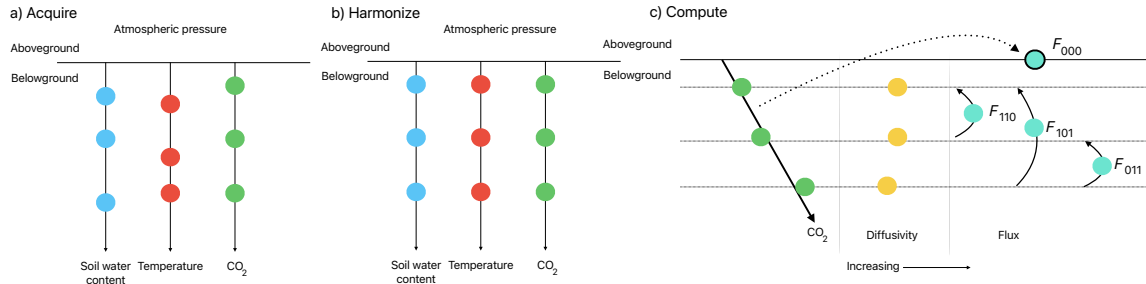


Figure 2: Model diagram for data workflow for the **neonSoilFlux** R package. a) Acquire: Data are obtained from given NEON location and horizontal sensor location, which includes soil water content, soil temperature, CO<sub>2</sub> concentration, and atmospheric pressure. All data are screened for quality assurance, with gap-filling of missing data reported. b) Any belowground data are then harmonized to the same depth as CO<sub>2</sub> concentrations using linear regression. c) The flux across a given depth is computed via Fick's law, denoted with  $F_{ijk}$ , where  $i$ ,  $j$ , or  $k$  are either 0 or 1 denoting the layers the flux is computed across ( $i$  = closest to surface,  $k$  = deepest).  $F_{000}$  represents a flux estimate where the gradient  $dC/dz$  is the slope of a linear regression of CO<sub>2</sub> with depth.

The workflow to computing a value of  $F_S$  with the **neonSoilFlux** consists of three primary steps, illustrate in Figure 2. First, NEON data are acquired for a given site and month via the **neonUtilities** R package (yellow parallelogram and green rectangle in Figure 1 and Panel a in Figure 2). Acquired environmental data can be exported to a comma separated value file

174 for additional analysis. Quality assurance (QA) flags with an observation are reported as an  
 175 indicator variable.

176 The next step is harmonizing the data to compute soil fluxes across soil layers. This step  
 177 consists of three different actions (blue rectangles in Figure 1 and Panel b in Figure 2). If a  
 178 given observation by NEON is reported as not passing a quality assurance check, we applied  
 179 a gap filling method to replace that measurement with its monthly mean at that same depth  
 180 (Section 4.2.1). Belowground measurements of soil water and soil temperature are then inter-  
 181 polated to the same depth as soil CO<sub>2</sub> measurements. The diffusivity (Section 4.2.2) and soil  
 182 flux across different soil layers (Section 4.2.3) are then computed.

183 The final step is computing a surface soil flux through extrapolation to the surface (purple  
 184 parallelogram in Figure 1 and Panel c in Figure 2). Uncertainty on a soil flux measurement is  
 185 computed through quadrature. An aggregate quality assurance (QA) flag for each environmen-  
 186 tal measurement is also reported, representing if any gap-filled measurements were used in the  
 187 computation of a soil flux. Within the soil flux-gradient method, several different approaches  
 188 can be used to derive a surface flux (Maier & Schack-Kirchner, 2014); the `neonSoilFlux`  
 189 package reports four different possible values of soil surface flux (Section 4.2.3).

#### 190 4.2.1 Gap-filling routine

191 NEON reports QA flags as a binary value for a given measurement and half-hourly time. We  
 192 replaced any flagged measurements at a location’s spatial depth  $z$  with a bootstrapped sample  
 193 of the monthly mean for all un-flagged measurements for that month. These measurements are  
 194 represented by the vector  $\mathbf{m}$ , standard errors  $\sigma$ , and the 95% confidence interval (the so-called  
 195 expanded uncertainty, Farrance & Frenkel (2012))  $\epsilon$ . All of these vectors have length  $M$ . We  
 196 have that  $\vec{\sigma}_i \leq \vec{\epsilon}_i$ . We define the bias as  $\mathbf{b} = \sqrt{\epsilon^2 - \sigma^2}$ .

197 We generate a vector of bootstrap samples of the distribution of the monthly mean  $\overline{m}$  and  
 198 monthly standard error  $\overline{\sigma}$  the following ways:

- 199 1. Randomly sample from the uncertainty and bias independently:  $\sigma_j$  and the bias  $\mathbf{b}_k$  (not  
 200 necessarily the same sample).
- 201 2. Generate a vector  $\mathbf{n}$  of length  $N$ , where  $\mathbf{n}_i$  is a random sample from a normal distribution  
 202 with mean  $m_i$  and standard deviation  $\sigma_j$ . Since  $M < N$ , values from  $\mathbf{m}$  will be reused.
- 203 3. With these  $N$  random samples,  $\overline{y}_i = \overline{x} + \vec{b}_k$  and  $s_i$  is the sample standard deviation of  $\vec{x}$ .  
 204 We expect that  $s_i \approx \vec{\sigma}_j$ .
- 205 4. The reported monthly mean and standard deviation are then computed  $\overline{\overline{y}}$  and  $\overline{s}$ . Mea-  
 206 surements and uncertainties that did not pass the QA check are then substituted with  
 207  $\overline{\overline{y}}$  and  $\overline{s}$ .

208 This gap-filling method described here provides a consistent approach for each data stream,  
 209 however we recognize that other gap-filling alternatives may be warranted for longer-term gaps  
 210 (e.g. such as correlations with other NEON measurement levels and soil plots), or measure-  
 211 ment specific gap-filling routines. We discuss the effect of gap-filling on our measurements in  
 212 Section 6.

#### 213 4.2.2 Soil diffusivity

214 Soil diffusivity  $D_a$  at a given measurement depth is the product of the diffusivity in free air  
 215  $D_{a,0}$  ( $\text{m}^2 \text{s}^{-1}$ ) and the tortuosity  $\xi$  (no units) (Millington & Shearer, 1971).

216 We compute  $D_{a,0}$  with Equation 1:

$$D_{a,0} = 0.0000147 \cdot \left( \frac{T_i + 273.15}{293.15} \right)^{1.75} \cdot \left( \frac{P}{101.3} \right) \quad (1)$$

217 where  $T_i$  is soil temperature ( $^{\circ}\text{C}$ ) at depth  $i$  (National Ecological Observatory Network  
218 (NEON), 2024d) and  $P$  surface barometric pressure (kPa) (National Ecological Observatory  
219 Network (NEON), 2024a).

220 Previous studies by Sallam et al. (1984) and Tang et al. (2003) demonstrated the sensitivity  
221 of modeled  $F_S$  depending on the tortuosity model used to compute diffusivity. At low soil  
222 water content, the choice of tortuosity model may lead to order of magnitude differences in  
223  $D_a$ , which in turn affect modeled  $F_S$ . The **neonSoilFlux** package uses two different models  
224 for  $\xi$ , representing the extremes reported in Sallam et al. (1984). The first approach uses the  
225 Millington-Quirk model for diffusivity, Equation 2 (Millington & Shearer, 1971):

$$\xi = \frac{(\phi - SWC_i)^{10/3}}{\phi^2} \quad (2)$$

226 In Equation 2,  $SWC$  is the soil water content at depth  $i$  (National Ecological Observatory  
227 Network (NEON), 2024e) and  $\phi$  is the porosity (Equation 3), which in turn is a function of  
228 soil physical properties (National Ecological Observatory Network (NEON), 2024c):

$$\phi = \left(1 - \frac{\rho_s}{\rho_m}\right) (1 - f_V) \quad (3)$$

229 In Equation 3,  $\rho_m$  is the particle density of mineral soil ( $2.65 \text{ g cm}^{-3}$ ),  $\rho_s$  the soil bulk density  
230 ( $\text{g cm}^{-3}$ ) excluding coarse fragments greater than 2 mm (National Ecological Observatory  
231 Network (NEON), 2024c). The term  $f_V$  is a site-specific value that accounts for the proportion  
232 of soil fragments between 2-20 mm. Soil fragments greater than 20 mm were not estimated  
233 due to limitations in the amount of soil that can be analyzed (National Ecological Observatory  
234 Network (NEON), 2024c). We assume there are no pores within rocks.

235 The second approach to calculate  $\xi$  is the Marshall model (Marshall, 1959), where  $\xi = \phi^{1.5}$ ,  
 236 with  $\phi$  defined from Equation 3.

#### 237 4.2.3 Soil flux computation

238 We applied Fick's law (Equation 4) to compute the soil flux  $F_{ij}$  ( $\mu\text{mol m}^{-2} \text{ s}^{-1}$ ) across two  
 239 soil depths  $i$  and  $j$ :

$$F_{ij} = -D_a \frac{dC}{dz} \quad (4)$$

240 where  $D_a$  is the diffusivity ( $\text{m}^2 \text{ s}^{-1}$ ) and  $\frac{dC}{dz}$  is the gradient of  $\text{CO}_2$  molar concentration  
 241 ( $\mu\text{mol m}^{-3}$ , so the gradient has units of  $\mu\text{mol m}^{-3} \text{ m}^{-1}$ ). The soil surface flux is theoretically  
 242 defined by applying Equation 4 to measurements collected at the soil surface and directly  
 243 below the surface. Measurements of soil temperature, soil water content, and soil  $\text{CO}_2$  molar  
 244 concentration across the soil profile allow for application of Equation 4 across different soil  
 245 depths. Each site had three measurement layers, so we denote the flux between which two  
 246 layers as a three-digit subscript  $F_{ijk}$  with indicator variables  $i$ ,  $j$ , and  $k$  indicate if a given  
 247 layer was used (written in order of increasing depth), according to the following:

- 248 •  $F_{000}$  is a surface flux estimate using the intercept of the linear regression of  $D_a$  with  
 249 depth and the slope from the linear regression of  $\text{CO}_2$  with depth (which represents  $\frac{dC}{dz}$   
 250 in Fick's Law). Tang et al. (2003) used this approach to compute fluxes in an oak-grass  
 251 savannah.
- 252 •  $F_{110}$ ,  $F_{011}$  are fluxes across the two most shallow layers and two deepest layers respec-  
 253 tively. The diffusivity used in Fick's Law is always at the deeper measurement layer.



When used as a surface flux estimate we assume  $\text{CO}_2$  remains constant above this flux depth.

- $F_{101}$  is a surface flux estimate using linear extrapolation using concentration measurements between the shallowest and deepest measurement layer. Hirano et al. (2003) and Tang et al. (2005) used an approach similar to  $F_{101}$  in a temperate deciduous broadleaf forest and ponderosa pine forest respectively.

Uncertainty in all  $F_{ijk}$  is computed through quadrature (Taylor, 2022).

### 4.3 Post processing evaluation

Following collection of field measurements from the LICOR and calculation of the soil fluxes from `neonSoilFlux` package, we compared measured  $F_S$  (from the LICOR instruments) to a given soil flux calculation `neonSoilFlux` for each site and flux computation method. Statistics included the associated  $R^2$  value, root mean squared error (RMSE), and signal to noise ratio (SNR), defined as the ratio of a modeled soil flux ( $F_{ijk}$ ) from `neonSoilFlux` to its quadrature uncertainty ( $\sigma_{ijk}$ ).

We observed that the range of values (e.g.  $F_{ijk} \pm \sigma_{ijk}$ ) was much larger than the measured field flux. We evaluated  $|F_S - F_{ijk}| < (1 - \epsilon)\sigma_{ijk}$ , where  $F_S$  is a measured field soil flux from the LICOR 6800 (the LICOR 8250 was used at only three sites). The parameter  $\epsilon$  was an uncertainty reduction factor to evaluate how much the quadrature uncertainty could be reduced while maintaining precision between modeled  $F_{ijk}$  and measured  $F_S$ .

Finally, for a half-hourly interval we also computed a *post hoc*  $D_a$  using the LICOR flux along with the  $\text{CO}_2$  surface gradient reported by NEON using the measurement levels closest to the surface.

## 5 Results

Figure 3 reports the timeseries of out the measured fluxes from the LICOR 6800 and 8250 compared to modeled soil fluxes from the `neonSoilFlux` R package. Figure 4 and and computed fluxes and uncertainty at each measurement site. Results are reported in local time. Positive values of the flux indicate that there is a flux moving towards the surface. For ease of clarity the fluxes at  $F_{111}$  and  $F_{000}$  are only shown in the top row (surface), followed by the fluxes at individual separate layer ( $F_{100}$ ,  $F_{010}$ ,  $F_{001}$ ). Overall, with the exception of WREF and SRER (discussed later) the computed fluxes were on the same order of magnitude and timing as the measured field fluxes.

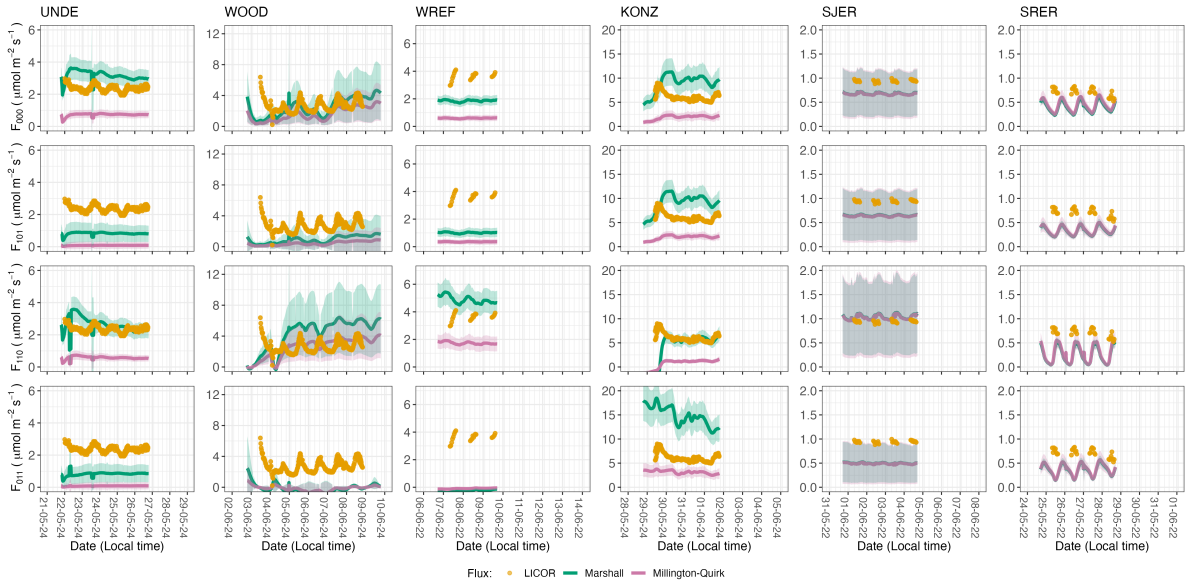


Figure 3: Timeseries of both measured  $F_S$  (yellow circles) and modeled soil fluxes (green or purple lines) by the `neonSoilFlux` R package. Fluxes from the `neonSoilFlux` R package are separated by the diffusivity model used (Millington-Quirk or Marshall, Section 4.2.2). Vertical axis labels in the first column represent the measurement levels where the flux-gradient approach is applied (Section 4.2.3). Ribbons for modeled soil fluxes represent  $\pm 1$  standard deviation. Results are reported in local time.

For a given half-hourly time period, the `neonSoilFlux` packages assigns a QA flag for a mea-

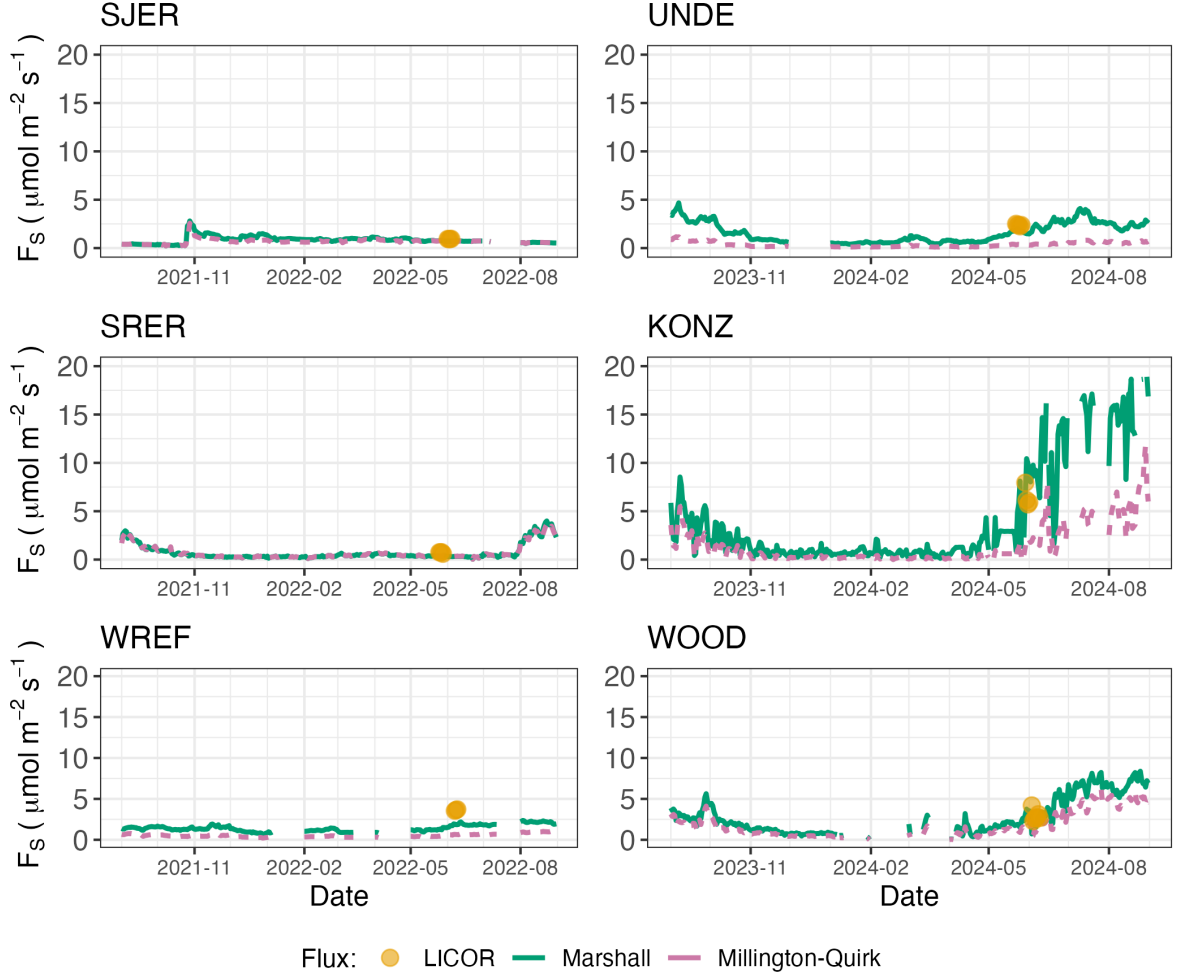


Figure 4: Timeseries of both daily-averaged field  $F_s$  (yellow circles) and daily ensemble averaged soil fluxes (green or purple lines) by the `neonSoilFlux` R package, separated by the diffusivity model used (Millington-Quirk or Marshall, Section 4.2.2). The time-series of modeled fluxes are a daily ensemble average of all flux-gradient approaches ( $F_{000}$ ,  $F_{101}$ ,  $F_{011}$ ,  $F_{110}$ , Section 4.2.3).

	Millington-Quirk		Marshall	
	NRMSE	R2	NRMSE	R2
KONZ				
$F_{110}$	0.87	0.41	0.63	0.41
$F_{101}$	0.69	0.22	0.60	0.15
$F_{011}$	0.52	0.20	1.35	0.25
$F_{000}$	0.70	0.23	0.58	0.14
SJER				
$F_{110}$	0.13	0.17	0.14	0.19
$F_{101}$	0.32	0.21	0.31	0.24
$F_{011}$	0.49	0.02	0.48	0.03
$F_{000}$	0.29	0.18	0.28	0.19
SRER				
$F_{110}$	0.56	0.00	0.59	0.00
$F_{101}$	0.66	0.53	0.67	0.52
$F_{011}$	0.69	0.49	0.70	0.49
$F_{000}$	0.58	0.51	0.61	0.51
UNDE				
$F_{110}$	0.76	0.10	0.25	0.02
$F_{101}$	0.97	0.28	0.66	0.21
$F_{011}$	0.97	0.15	0.66	0.06
$F_{000}$	0.70	0.30	0.38	0.05
WOOD				
$F_{110}$	0.44	0.03	0.93	0.02
$F_{101}$	0.89	0.07	0.74	0.05
$F_{011}$	1.12	0.02	1.22	0.01
$F_{000}$	0.56	0.06	0.46	0.05
WREF				
$F_{110}$	0.53	0.78	0.35	0.75
$F_{101}$	0.91	0.24	0.73	0.35
$F_{011}$	1.03	0.37	1.07	0.37
$F_{000}$	0.84	0.00	0.49	0.05

Figure 5

surement if more one values across all measurement depths uses gap-filled data (Section 4.2.1). Panel a of Figure 6 reports the distribution for all input environmental measurements at each site when field measurements were made. Soil fluxes are computed from 4 different types of input measurements ( $T_S$ ,  $SWC$ ,  $P$ , and  $CO_2$ ), any of which could have a QA flag in a half-hourly interval. Panel b of Figure 6 displays at each site the distribution of the number of different gap-filled measurements used to compute a half-hourly flux. The largest contribution to gap-filled measurements was soil water. SJER and WOOD utilized the largest number of gap-filled measurements, which were primarily  $SWC$  and  $T_S$ .

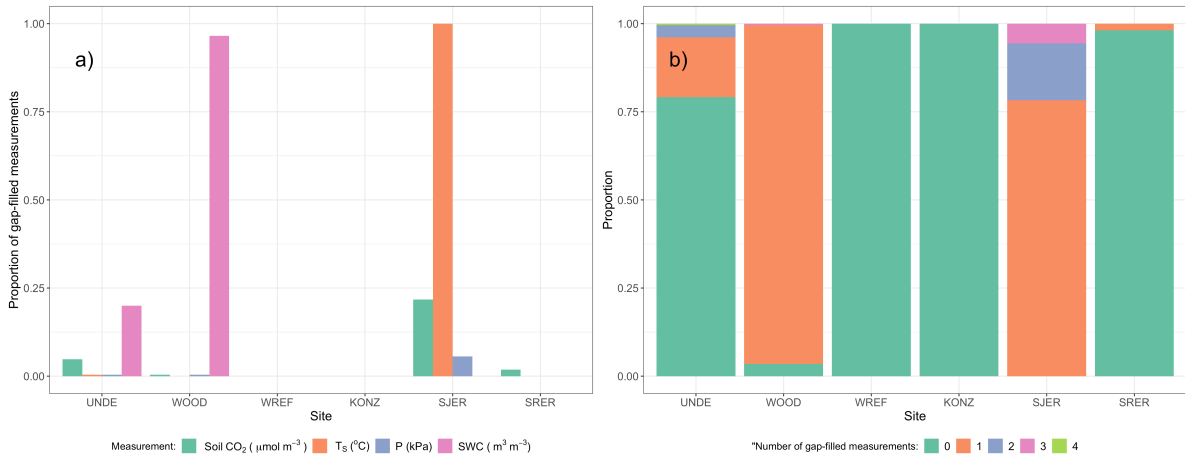


Figure 6: Panel a) Proportion of input gap-filled environmental measurements used to generate  $F_S$  from the `neonSoilFlux` package, by study site. Panel b) distribution of the usage of gap-filled measurements at each site.

Figure 7 reports both the computed SNR and the proportion of measured field fluxes within the modeled uncertainty for a given flux computation method  $F_{ijk}$  (Section 4.3). Here, values of SNR greater than unity indicates a reported uncertainty is smaller, propagated by quadrature from a relatively higher precision from measured input variables ( $CO_2$ ,  $T_S$ ,  $SWC$ , or  $P$ ). The sensitivity to the uncertainty reduction factor ( $\epsilon$ , bottom panels in Figure 7) demonstrates how accuracy could be improved if modeled uncertainty  $\sigma_{ijk}$  decreases.

Figure 8 reports the distribution of  $D_a$  (from both the Marshall and Millington-Quirk methods,

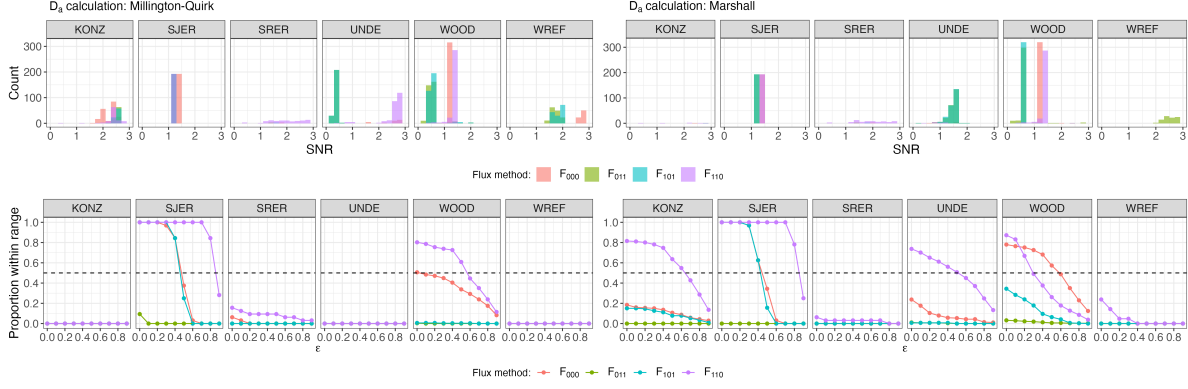


Figure 7: Top panels: distribution of SNR values across each of the different sites for modeled effluxes from the `neonSoilFlux` package, depending on the diffusivity calculation used (Millington-Quirk or Marshall, Section 4.2.2). Bottom panels: Proportion of measured  $F_S$  within the modeled range of a flux computation method  $F_{ijk}$  given an uncertainty reduction factor  $\epsilon$ , or  $|F_S - F_{ijk}| < (1 - \epsilon)\sigma_{ijk}$ .

Section 4.2.2) at each study site, and the *post hoc* computation of  $D_a$  (Section 4.2.2).

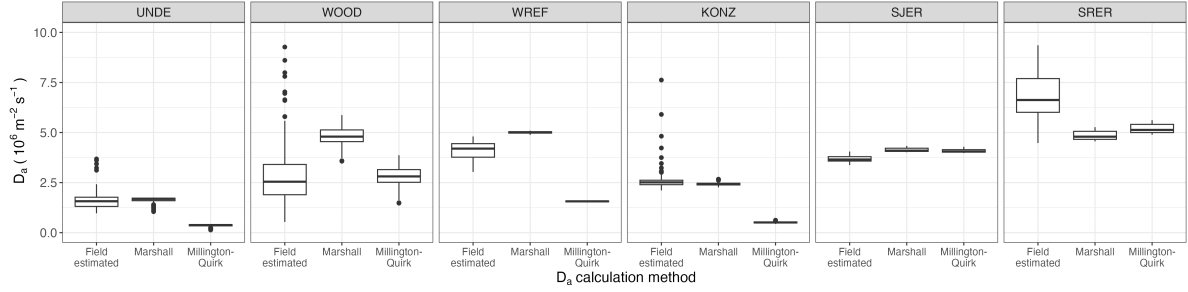


Figure 8

## 6 Discussion

This study presents a unified data science workflow to efficiently process automated measurements of belowground soil  $\text{CO}_2$  concentrations, water, and temperature to infer estimates of soil surface  $\text{CO}_2$  effluxes through application of Fick's Law (Equation 4). Our core goals in this study were: (1) to generate estimates of soil flux from continuous soil sensor data at terrestrial

NEON sites using the flux-gradient method and then (2) to compare those estimates to field-measured fluxes based on the closed chamber approach at six NEON focal sites. We discuss our progress toward these core goals through (1) an overall evaluation of the flux-gradient approach (and uncertainty calculation) and (2) site-specific evaluation of differences in estimated vs measured fluxes.

## 6.1 General evaluation of flux-gradient approach

Key assumptions of the flux-gradient approach are that  $\text{CO}_2$  concentrations increase throughout the soil profile. We found that this condition was met at XXX% across the study period. Periods where this gradient condition are not met generally are connected to biophysical processes such soil wetting events (e.g. KONZ), which have the effect of temporarily reducing the soil respiration or efflux. When modeling soil respiration, typically a non-linear response function is considered, that also considers soil type as well (Bouma & Bryla, 2000; Yan et al., 2016, 2018). For the `neonSoilFlux` package soil type is connected to the bulk density, which was separately determined at a site.

The largest source of uncertainty to improve reliability of the flux estimate is to prevent the usage of gap-filled data. Three sites (KONZ, SRER, and KONZ) had more than 75% of half-hourly periods with no-gap filled measurements. Two sites (SJER and WOOD) had more than 75% of half-hourly intervals with just one gap-filled measurement. While WREF reported no gap-filled measurements, field data collection occurred following a once-in-a century rainstorm with soils observed at their water holding capacity. We recommend that whenever available, local field knowledge is supplementary to any QA filtering protocol of fluxes from the `neonSoilFlux` package.

We recognize that this gap-filling approach may lead to gap-filled values that are quite different

from the actual values, such as an underestimate of soil moisture following rain events. Further extensions of the gap filling method could use more sophisticated gap-filling routines, similar to what is used for net ecosystem carbon exchange (Falge et al., 2001; Liu et al., 2023; Mariethoz et al., 2015; Moffat et al., 2007; Zhang et al., 2023). The current gap-filling routine provides a consistent approach that can be applied to each data stream, but further work may explore alternative gap-filling approaches.

Based on this approach, we would *a priori* expect  $F_{011} \leq F_{101} \leq F_{110} \leq F_{000}$  because the previous flux estimates ones correspond to deeper depths which will could miss  $\text{CO}_2$  produced in shallower layers. Additionally, field flux measurements should correlate with  $F_{000}$  because they represent surface fluxes.

## 6.2 Evaluation of flux-gradient approach at each site

Derived results from the `neonSoilFlux` package have patterns that are consistent, and comparable, to those directly measured to the field (Figure XXX). The advantage to the `neonSoilFlux` package is the calculation of fluxes across different measurement depths, allowing for additional site-specific customization. Here application of the flux-gradient method provides a baseline estimate of soil fluxes that could be complemented through additional field measurements (e.g. LICOR).

The six sites studied provide separate case studies for considerations when applying the flux-gradient method to evaluate resulting uncertainties and fluxes For example, SRER is characterized by sandy soil, which also led to the highest observed field soil temperatures. At SRER the flux across the top two layers ( $F_{110}$ ) produced a pattern of soil flux consistent with the observed field data. The remaining methods  $F_{101}$ ,  $F_{011}$ , or  $F_{000}$  are derived from information at the deeper layer, which is decoupled both in terms of temperature and  $\text{CO}_2$  concentration.



353 In addition, KONZ is a site that experienced a significant rain event prior to sampling with  
354 eventual drying out over the course of the experiment. In this case we observed storage of soil  
355 water which increased the soil  $\text{CO}_2$  at the top layer, leading to negative values of flux at the  
356 start of the experiment, with the fluxes drying out afterwards. In this case only when the soil  
357 dried out (or returned to a baseline level), that the fluxes at the provided layer would work  
358 out in this case.

359 When considering systematic deployment of this method across a measurement network, we  
360 faced a number of independent challenges for consideration.

361 Figure 7 illustrates the tradeoff between accuracy for modeled fluxes (defined here as closeness  
362 to field-measured  $F_S$ ) and precision defined by the SNR, and how this is confounded by the  
363 choice of diffusivity model used. MORE HERE

364 Diffusivity discussion

365 In developing and validating our approach, we faced a number of challenges related to data  
366 availability, including... gap filling, sensor calibration, depth interpolation, rainstorms, etc  
367 These errors are all

### 368 **6.3 Recommendations for future method development**

369 The `neonSoilFlux` package provides three different approaches of values for a soil flux. We  
370 believe these approaches reflect a variety of site-specific determination and assumptions used  
371 to generate a soil flux measurement (Maier & Schack-Kirchner, 2014), with the choice of  
372 method having a determinative approach on reported values. Reported results could further  
373 be distilled down using ensemble averaging averaging approaches (Elshall et al., 2018; Raftery  
374 et al., 2005).

Figures XXX suggests that the provided uncertainty from `neonSoilFlux` is an overestimate compared to what is actually computed. When  $\epsilon = 0$  in Figure Figure 7, that means we are just using the reported uncertainty from `neonSoilFlux`. Looking at that ( $\epsilon = 0$ ) shows field measurements UNDE, KONZ, SJER are 100% within the reported intervals from `neonSoilFlux`. But those sites tend to have a  $\text{SNR} < 1$ , so the uncertainty is pretty noisy. For UNDE, we could even reduce the uncertainty by a factor of 75% ( $\epsilon = 0.75$ ), more than half of the field measurements will still be within the reported intervals. For KONZ, we are still within 70% of the reported intervals when uncertainty is reduced by 90%. That suggests that while the reported accuracy (as compared to field measurements), we do have higher precision.

These challenges notwithstanding, the method used here and made available in the `neonSoilFlux` R package has the potential to produce nearly continuous estimates of flux across all terrestrial NEON sites. These estimates are a significant improvement on available approaches to constrain the portion of ecosystem respiration attributable to the soil. This, in turn, aids in our ability to understand the components of net ecosystem flux assessed at these sites using the co-located eddy flux towers.

- Refine estimates to provide a realistic constraint on surface concentration measurements, thereby increasing the gradient.
- Apply machine learning algorithms (e.g. random trees) or model averaging techniques to generate a single flux estimate across each sites spatial location
- Benchmarking flux results to estimates provided by Net ecosystem carbon exchange.

## 7 Conclusions

We have here presented an R package `neonSoilFlux` for the estimation of soil CO<sub>2</sub> fluxes from continuous buried soil sensor measurements across terrestrial National Ecological Observatory Network sites. We compared the predicted fluxes to those measured directly using a field-based closed chamber approach. We find that...

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