Principles of Programming Languages CS496

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Basic Types

- Next we will begin experimenting with
 - basic types and
 - expressions of basic types
- ▶ These types include
 - int
 - bool
 - ▶ float
 - string
 - char
 - ▶ unit
- ► There are other types, we'll see them later

int - integers

```
# 1;;
_{2} - : int = 1
   # 12345 + 1;;
_{4} - : int = 12346
   # 12345 - 1;;
6 - : int = 12344
   # 3+4;;
8 - : int = 7
   # 8/3;;
10 - : int = 2
   # 30_000_000 / 300_000;;
12
  -: int = 100
```

float - floating point numbers

Note the last expression:

▶ The + function operates on integers, but 2.0 is not an integer

float – floating point numbers

- It is possible to convert from integers to floats and back
- float_of_int is called a function; we will study functions later

```
# float_of_int 1;;
2 - : float = 1.
# int_of_float 1.2;;
4 - : int = 1
# 1 + int_of_float 2.0;;
6 - : int = 3
```

char - characters

```
# 'a';;
2 - : char = 'a'
# 'x';;
4 - : char = 'x'
# "hello".[1];;
6 - : char = 'e'
```

char - characters

- OCaml provides a set of built-in modules
- Modules define useful operations on numerous types
- An example is the Char module which provides useful operations on chars

```
# Char.uppercase 'z';;
2 - : char = 'Z'
# Char.uppercase '[';;
4 - : char = '['
# Char.chr 97;;
6 - : char = 'a'
# Char.code 'a';;
8 - : int = 97
```

string - strings

```
# "Hello";;
2 - : string = "Hello\n"
# "Hello " ^ " world\n";;
4 - : string = "Hello world\n"
# "The character '\000' is not a terminator";;
6 - : string = "The character '\000' is not a terminator"
# "\072\105";;
8 - : string = "Hi"
# "Hello".[1];;
10 - : char = 'e'
```

```
_{\mathtt{string}} - \mathtt{strings}
```

The String module provides many useful functions on strings

```
# String.length "Ab\000cd";;
2 - : int = 5
# String.sub "Abcd" 1 2;;
4 - : string = "bc"
```

bool - booleans

```
# 2 < 4;;
2 - : bool = true
   # "A good job" > "All the tea in China";;
4 - : bool = false
  #2+6=8;;
6 - : bool = true
  # 1.0 = 1.0;;
8 - : bool = true
  # 2!=4;;
10 - : bool = true
  # true && false;;
12 - : bool = false
  # true || false;;
14 - : bool = true
```

use = for equality checking

bool - booleans

```
# if 1 < 2
then 3+7
else 4;;
4 - : int = 10
# if 3!=4 then 1 else 2;;
6 - : int = 1
# if 2 then 3 else 4;;
8
Error: This expression has type int but an expression was else type bool</pre>
```

unit - unit type

- Special type typically assigned to expressions that cause effects
- Example: print_string for printing a string

```
# ();;
2 - : unit = ()
# print_string "hello";;
4 hello- : unit = ()
# print_char 'a';;
6 a- : unit = ()
# print_int 3;;
8 3- : unit = ()
```

```
unit - unit type
```

Expressions of unit type can be composed using ";"

```
# print_string "hello"; print_string "bye";;
hellobye- : unit = ()
```

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

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Summary

Variables

- Variables are names given to values
 - ► These names always start with lowercase
- Variables allow these values to be reused
- ► Variables are declared using: let identifier = expression

```
# let x = 1;;
val x : int = 1
# let y = 2;;
val y : int = 2
# let z = x + y;;
val z : int = 3
```

Nesting Declarations

Declarations can be nested using the form

let variable=expression in expression

```
# let x = 1
in let y = 2
   in x + y;;
_{4} - : int = 3
  # let z =
 let x = 1
   in let y = 2
   in x + y;;
  val z : int = 3
  \# let x =
10
    let y = 2 in y
in x+1;;
  -: int = 3
```

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

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Function Schemes

Summary

Basic Types vs Agregate Types

- Basic types seen so far
 - int
 - bool
 - float
 - string
 - char
 - unit
- Agregate types we shall see now
 - ▶ They are built out of simple types by composing them
 - We will see two composite type constructors (more, eg. lists, later)
 - Functions
 - Tuples

Functions

```
# let succ i = i + 1;;
2 val succ : int -> int = <fun>
# succ 1;;
4 - : int = 2
# succ (succ 1);;
6 - : int = 3
# succ;;
8 - : int -> int = <fun>
```

Functions

An alternative definition using anonymous functions

```
# let succ i = i + 1;;

val succ : int -> int = <fun>
# let succ2 = fun i -> i + 1;;

val succ2 : int -> int = <fun>
# succ2 1;;

- : int = 2
```

fun, used above, allows anonymous functions to be defined

```
# fun x -> x+1;;
2 - : int -> int = <fun>
```

Function Types

Lets take a closer look at the type of succ

```
# let succ i = i + 1;;
val succ : int -> int = <fun>
```

The type of succ is int -> int

What does this function do and what is its type?

```
# let f i = i>0;;
```

What happens if you evaluate f 3.5?

Exercise

- ▶ Define the function sign which given an integer returns 1 if it is positive, -1 if it is negative and 0 if it is zero.
- ▶ What is the type of sign?

Exercise

- Suppose we use a function of type int -> bool to denote a subset of integers, namely those for which the function returns true
- Such functions are called characteristic function of a set
- For example, let f x = x mod 2 denotes the set of even numbers
- Define union and intersection of sets represented through their characteristic functions
- union and intersection should have type
 (int->bool) -> (int->bool)-> int -> bool

Functions with Multiple Arguments

val add : int -> int -> int = <fun>

let add i j = i + j;;

Functions with Multiple Arguments

An alternative definition using anonymous functions

```
1 # let add2 = fun i j -> i + j;;
val add2 : int -> int -> int = <fun>
3 # add2 2 3;;
- : int = 5
```

Function Types

Lets take a closer look at the type of add

```
# let add i j = i + j;;
val add : int -> int -> int = <fun>
```

- ► The type of succ is int -> int -> int
- ► How do we read this type?
 - succ is a function that
 given an integer i, returns a function that
 given an integer j, returns i+j

Partial Application

succ is a function that

```
given an integer i, returns a function that given an integer j, returns i+j
```

This means we can apply add to just ONE argument and get back a function

```
# add 1;;
2 - : int -> int = <fun>
```

A new way to define succesor!

```
# let succ3 = add 1;;

val succ3 : int -> int = <fun>
# succ3 4;;

- : int = 5
```

Exercise

- ▶ Define the function min3 that given three integers returns the smallest one.
 - Use if-then-else and conjunction
- ▶ What is the type of min3?

Exercise

- ▶ Define the functions and', or', not' and xor' which implement the standard boolean operations.
- What is the type of each of these functions?

Tuples

Just like ordered tuples in math

```
# (2,3);;
2 -: int * int = (2, 3)
# (true,3);;
4 -: bool * int = (true, 3)
# (true,2,4);;
6 -: bool * int * int = (true, 2, 4)
#
8 # (2,(true,23));;
-: int * (bool * int) = (2, (true, 23))
```

- Tuples that have just two components are called pairs
- ► The type of a tuple is t1 * t2 * ... * tn where each ti is the type of the respective component

Tuples

How do we access the components of a tuple?

```
# let fst (x,y) = x;;

val fst : 'a * 'b -> 'a = <fun>
    # fst (2,3);;

- : int = 2
    # fst (2,3,4);;

Error: This expression has type 'a * 'b * 'c
    but an expression was expected of type 'd * 'e
```

Note that fst uses pattern matching:

- (x,y) is a pattern that can only match a pair
- binds variables x and y to the first and second component of the pair, resp.

Tuples

```
# let f2 (x,_)=x;;
val f2 : 'a * 'b -> 'a = <fun>
# f2 (2,3);;
- : int = 2
```

Exercise on Types

Provide expressions of the following types:

- 1. bool
- 2. int * int
- 3. bool -> int
- 4. (int * int) -> bool
- 5. int -> (int -> int)
- 6. (bool -> bool) * int

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Polymorphism

What is the type of this function?

```
let id x = x
```

Here are examples of its use:

```
# id 2;;
2 - : int = 2
# id true;;
4 - : bool = true
# id "hello";;
6 - : string = "hello"
```

- Its type should be t -> t, for any type t
- ► How do we express such a type? We use type variables

```
'a -> 'a
```

Polymorphism

```
let id x = x;;
val id : 'a -> 'a = <fun>
```

- This type is read as follows: id is a function that given a value of type 'a, returns another value of the same type 'a
- ► We say id is polymorphic
- Notice that
 - ▶ id 2 has type int and
 - id true has type bool

Polymorphism

- It is a feature of type systems
- It allows an expression to have infinite types
- ► The type system then adjusts these types to more concrete ones depending on the use of these expressions

```
id: 'a -> 'a (*general type *)
id: int -> int (*more concrete type *)
```

► This style of polymorphism is called parametric polymorphism (the parameter is the type variable)

```
# let f x = 7;;
```

▶ What does f do and what is its type?

```
# let f x = 7;;
val fst : 'a -> 'int = <fun>
```

```
# let fst (x,y) = x;;

val fst : 'a * 'b -> 'a = <fun>
    fst (2,3);;

- : int = 2
```

▶ fst takes a pair of type 'a * 'b and returns a result of type 'a

```
# let f x y = x;;
```

- What does this function do?
- ► What is its type?

- Write a function swap that takes in a pair and returns the same pair but where the components have been swapped
- ► For example, swap (2,true) should return (true,2)
- ▶ What is the type of this function?

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Motivating Example

What is the type of the following function?

```
# let twice f x = f (f x);;
```

Consider the following example

```
1 # let twice f x = f (f x);;
val t : ('a -> 'a) -> 'a -> 'a = <fun>
3 # let sqr x = x*x;;
val sqr: int -> int = <fun>
5 # twice sqr 2
- : int = 16
```

Higher-Order Functions

A function that takes another function as argument or that returns a function as result¹

¹The precise notion is more technical; this suffices for us.

Higher-Order Functions

Are these functions higher-order?

```
# let add x y = x + y;;
val add : int -> int -> int = <fun>
# let myAnd x y = x && y;;
val myAnd : bool -> bool = <fun>
```

- According to our definition, they are
 - add, given an integer, returns a function
- Note: int -> int -> int is the same as writing int -> (int -> int)
 - -> associates to the right

Higher-Order Functions

A function that takes another function as argument or that returns a function as result²

Some more examples

```
# let apply f x = f x;;
val apply : ('a -> 'b) -> 'a -> 'b = <fun>
# apply (fun x -> (x,x)) 3;;

- : int * int = (3, 3)
# let apply' = fun f -> (fun x -> f x);;
val apply' : ('a -> 'b) -> 'a -> 'b = <fun>
# apply' (fun x -> (x,x)) 3;;
- : int * int = (3, 3)
```

²The precise notion is more technical; this suffices for us.

```
# let compose f g x = f (g x);;
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b = <fun>
# let sqr x = x*x;;
val sqr : int -> int = <fun>
# compose sqr sqr 2;;
- : int = 16
```

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Lists

A list is an ordered sequence of values of the same type

```
# [1;2;3];;
2 - : int list = [1; 2; 3]
   # [1;1;3];;
4 - : int list = [1; 1; 3]
   # ["hello"; "bye"];;
6 - : string list = ["hello"; "bye"]
   # [1; "hello"];;
8 Error: This expression has type string but an expression wa
   of type int
10 # 1::[2;3];;
   -: int list = [1; 2; 3]
12 # [];;
   - : 'a list = []
14 # 1::(2::(3::[]));;
   -: int list = [1; 2; 3]
```

:: is called cons, it adds an element to the beginning of a list

Lists — cons Operator

:: is called cons

- it adds an element to the beginning of a list
- its type is 'a -> 'a list -> 'a list

```
# 1::[2;3];;
2 - : int list = [1; 2; 3]
# [];;
4 - : 'a list = []
# 1::(2::(3::[]));;
6 - : int list = [1; 2; 3]
```

Lists – Append

```
# [1;2;3] @ [4;5];;
2 -: int list = [1; 2; 3; 4; 5]
# [1;2;3] @ [];;
4 -: int list = [1; 2; 3]
# [1;2] @ ["hello";"bye"];;
6 Error: This expression has type string but an expression wa of type int
```

Recall: use = for equality checking

Concatenating Lists

- Which of these are true and which are false?
- Under what assumptions?

```
[[]] @ xs = xs

2 [[]] @ [xs] = [[],xs]

[[]] @ xs = [xs]

4 []::xs = xs

[[]] @ [xs] = [xs]

6 [[]] @ xs = []::xs

[xs] @ [xs] = [xs,xs]

8 [] @ xs = []::xs

[[]] @ xs = [[],xs]

10 [xs] @ [] = [xs]
```

List Module

- Contains many useful operations on lists
- ► One example is length

```
# List.length [1;1;2];;
2 - : int = 3
# length [1;2;3];;
4 Error: Unbound value length
# open List;;
6 # length [1;2;3];;
- : int = 3
```

Note: Browsable sources of OCaml libraries

```
http://caml.inria.fr/cgi-bin/viewvc.cgi/ocaml/trunk/stdlib/
```

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

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Function Schemes

Summary

- Problem: Write a program that, given an integer n, adds the first n integers
- ightharpoonup Example: if n = 10 then we want to add

$$0+1+2+3+4+5+6+7+8+9+10$$

```
# let rec sum n =
   match n with
     0 -> 0

4   | n -> n + sum (n-1);;
   val sum : int -> int = <fun>
6   # sum 0;;
   - : int = 0
8   # sum 10;;
   - : int = 55
```

```
1 # let rec sum n =
    match n with
3     0 -> 0
     | n -> n + sum (n-1);;
```

- rec says that we are defining a recursive function
 - ▶ A recursive function is a function that can call itself
- match is used for pattern matching on n
 - It is typically used in combination with rec but doesn't have to
- Lets follow the execution of couple of uses of sum

On the board:

- ▶ sum 0
- ▶ sum 1
- ▶ sum 2
- ▶ sum 3

```
\# let rec sum n =
     match n with
2
       0 -> 0
     | n -> n + sum (n-1);;
   # sum (-3);;
   Stack overflow during evaluation (looping recursion?).
   # let rec sum n =
     match n with
       0 -> 0
     \mid n when n>0 -> n + sum (n-1)
10
     | _ -> failwith "sum:: argument must be non-negative";;
   val sum : int \rightarrow int = \langle fun \rangle
   # sum (-3);;
   Exception: Failure "sum:: argument must be non-negative".
   # sum 10;;
16 - : int = 55
```

Another Example of Recursion

- ▶ Problem: Write a program that, given an integer *n*, multiplies the first *n* integers
- Note: if n = 0 it should return 1
- \triangleright Example: if n = 10 then we want to return

```
1 * 2 * 3 * 4 * 5 * 6 * 7 * 8 * 9 * 10
```

```
# let rec fact n =

match n with
    0 -> 1

| n -> n * fact (n-1);;

val fact : int -> int = <fun>
# fact 0;;
    - : int = 0
# fact 10;;
    - : int = 3628800
```

- Write a function list_enum that given a positive number n returns the list [n;n-1;...;1;0]
- ► For example, list_enum 5 should return [5;4;3;2;1;0]
- ▶ What is the type of list_enum?

Write a function repeat that given an argument x and a positive number n returns the list

where x is repeated n times

- For example, repeat "hello" 4 should return ["hello"; "hello"; "hello"; "hello"]
- What is the type of repeat?

Write a function stutter that given two positive numbers n and m returns a new list of the form

```
[[n;n;...;n];[n-1;n-1;...;n-1];...;[0;0;...;0]] where each nested list has m items
```

► For example, stutter 3 2 should return

```
[[3;3];[2;2];[1;1];[0;0]]
```

What is the type of stutter?

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

The Length of a List

```
let rec length 1 =
2  match 1 with
    [] -> 0
4  | x::xs -> 1 + length xs
```

- ▶ Note the two cases in the definition:
 - ▶ the empty list [] called the base case
 - the non-empty list x::xs called the inductive case
- Run this function on a sample list
- ▶ What is the type of length?

Sum of a List of Numbers

```
let rec sum 1 =
2  match 1 with
    [] -> 0
4  | x::xs -> x + sum xs
```

Alternatively,

▶ Write a function that multiplies all the numbers in a list

Functions that Construct Lists

```
1 let rec incr l =
    match l with
3 [] -> []
    | x::xs -> (x+1)::incr xs
```

Note: Function application has precedence over ::

Stutter

What does this function do?

```
let rec stutter 1 =
2  match 1 with
    [] -> []
4  | (x::xs) -> x::x::(stutter xs)
```

- Define a function is_zero_list that given a list of numbers returns a list of booleans indicating whether each number is 0 or not.
- For example,

```
> is_zero_list [3;0;7;0;0];;
- : bool list = [false; true; false; true; true]
```

▶ What is the type of this function?

Functions that Filter Elements from a List

What does this function do?

```
let rec even 1 =

match 1 with
    [] -> []

(x::xs) ->
    if (x mod 2=0)

then x :: (g xs)
    else even xs
```

► Try it out on an example

Functions that Filter Elements from a List

What does this function do?

```
1 let rec even l =
    match l with
3    [] -> []
    | (x::xs) ->
5     if (x!=[])
        then x :: (g xs)
7     else even xs
```

► Try it out on an example

Functions that Filter Elements from a List

- Define a function that given a list of strings and a number n, filters (i.e. keeps) those strings whose length is smaller or equal to n
- What is the type of this function?

Functions on Lists that Deviate from Standard Patterns

- The standard patterns when defining a recursive function f over lists are:
 - Define f over the empty list [] (called base case)
 - ▶ Define f over the non-empty list x::xs (called inductive case)
- Some functions however don't fall in that scheme
- Here are some examples that we will develop on the board:
 - head
 - tail
 - maximum
 - ▶ last
 - remove_adjacents

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists - Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Motivating Examples

- ► Let us implement the following functions
 - succl : int list -> int list
 - to_upperl : char list -> char list
 - all_zero : int list -> bool list
- What do you notice in common among all these implementations?

Map

```
let rec map f l =
    match l with
    | [] -> []
4    | (x::xs) -> (f x)::(map f xs)
```

- ► What does map do?
- What is its type?
- How can we use it to define succl, to_upperl and all_zero?

```
let succl' = map (fun x -> x+1)

let to_upperl' = map Char.uppercase_ascii
let all_zero = map (fun x -> x=0)
```

Filter

- Lets implement the following functions:
 - greater_than_zero : int list -> int list
 - ▶ uppercase : char list -> char list
 - non_empty : 'a list list -> 'a list list
- ▶ What do you notice that they have in common?

Filter

- What does filter do and what is its type?
- ► How can we use filter to implement greater_than_zero, uppercase and non_empty?

```
let greater_than_zero = filter (fun x -> x>0)
let uppercase = filter (fun x -> x=Char.uppercase_ascii x)
let non_empty = filter (fun x -> x!=[])
```

Iterate

- Suppose we want to print out all the strings in a list of strings
- Here is one possible implementation of print_list_of_strings

Iterate

► OCaml provides List.Iter

List.iter print_string

Fold

Consider the implementation of the following functions

- sum_list : int list -> int, that adds all the elements in a list
 of integers
- and_list : bool list -> bool, that indicates whether all the booleans in the list are true
- concat : 'a list list -> 'a list, that concatenates all the
 lists in a list

What do you notice in common among their implementations?

Fold

```
let rec fold_right f l a =

2 match l with
  | [] -> a
4 | (x::xs) -> f x (fold_right f xs a)
```

- ► Here is a description of the result of fold_right f [x1; ...; xn] a: f x1 (f x2 (... (f xn a) ...))
- ► What is its type?
- How can we define all_fives, all and concat in terms of fold_right?

Function Schemes

- map, filter, iter and fold are known as function schemes
- They abstract common patterns of behaviour
- Also, they allow for code reuse
- Finally, they help better understand the problem

Higher-Order Function Schemes

take

2

append

► Function schemes over function types

Expressions of Basic Types

Variables

Simple Agregate Types: Functions and Tuples

Polymorphism

Higher-Order Functions

Lists – Basics

Recursion and Pattern Matching on Numbers

Recursion and Pattern Matching on Lists

Function Schemes

Summary

Summary

- OCaml expressions
 - Every expression has a unique type
- Types:
 - Basic types such as int and bool
 - Agregate types such as int -> int and int * int
- We've learned to evaluate programs in utop
- A word on style: https://www.seas.upenn.edu/~cis341/current/ programming_style.shtml
- Polymorphism
- ► Higher-order functions
- Recursion on numbers and lists
- Functions on lists
- ► Function schemes (map, filter, fold)