

# Week 7: Loony Automata Tune

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## Problem 1 NFA Size

**Theorem 1** *The language represented by a regular expression that is  $n$  characters long can be computed by a non-deterministic finite automaton of  $O(n)$  states.*

*Proof.* A regular expression consisting only of the empty string can be represented by a NFA of 1 state. The language represented by a regular expression consisting of one literal character can be computed by a NFA of 2 states.

Note that the burden of proof of the following results was achieved in lecture.

Let  $R_1$  and  $R_2$  be regular expressions such that  $R_1$  is  $n_1$  characters long and computed by an NFA with  $n_1 + c_1$  states and  $R_2$  is  $n_2$  characters long and computed by an NFA with  $n_2 + c_2$  states.

The NFA which computes  $(R_1|R_2)$  requires one additional state with  $\varepsilon$  transitions to each of the start states for  $R_1$  and  $R_2$ . Thus  $(R_1|R_2)$  requires  $n_1 + n_2 + c_1 + c_2 + 1$  states, where  $(R_1|R_2)$  is  $n_1 + n_2 + 1$  characters long. This can be simplified by stating the Regex  $(R_1|R_2)$  which is  $n$  bits long is computed in  $n + c$  states where  $n = n_1 + n_2$  and  $c$  is some constant.

The concatenation of  $R_1$  and  $R_2$ , represented by  $R_1R_2$ , is formed by simply adding an epsilon transition from the final states of  $R_1$  to the start states of  $R_2$ , and thus does not require any additional states besides those used to compute  $R_1$  and  $R_2$ . Thus for the FSA which computes the expression  $R_1R_2$  of length  $n$  requires  $n$  states, where  $n = n_1 + n_2$ .

The Kleene star  $*$  adds one character to the regular expression,  $R_1^*$ , and adds a new start state to the NFA for the empty string that has an  $\varepsilon$  transition to the original start state. Thus  $R_1^*$  will be  $n_1 + 1$  characters long, and take  $n_1 + c + 1$  states to compute, where  $R_1$  took  $n_1 + c$  states.

Any regular expression is a combination of the empty string, literal characters, unions or Kleene stars, and as shown above any regular expression of length  $n$  composed of these elements will be computed by an FSA with  $n + c$  states, where  $c$  is some constant resulting from the operations of alternation and Kleene star.

Let  $R$  be a regular expression with  $n$  characters, and computed by an FSA with  $n + c$  states. To show that the number of states of a regular expression,  $R$ , is in  $O(n)$  where  $n$  is the number of characters in  $R$ , we need to show that for some constant  $C$ , that the number of states is at most  $C * n$ .

From our results above we have that the number of states is  $n + c$  for some constant  $c$ , and thus it suffices to show that  $n + c \leq C * n$ ,  $\forall n \geq n_0$  for some  $n_0 \in \mathbb{N}$ .

Let  $n_0 = c/(C - 1)$ , then for  $n \geq n_0$ ,  $n \geq c/(C - 1)$

$$\implies (C - 1) \cdot n \geq c$$

$$\implies C \cdot n - n \geq c$$

$$\implies C \cdot n \geq n + c \iff n + c \leq Cn$$

$$\implies n + c \in O(n)$$

$$\implies \text{The number of states required to compute a regular expression is in } O(n). \quad \square$$