

## TASK 4.2

To derive the basic function  $\phi(x)$  from the kernel function  $K(x, y)$ , we express the kernel as an inner product in a higher-dimensional space. The kernel function provided is a second degree polynomial kernel given as

$$K(x, y) = (x_1 y_1 + x_2 y_2 + c)^2$$

using binomial expansion

$$K(x, y) = (x_1 y_1)^2 + (x_2 y_2)^2 + 2x_1 y_1 x_2 y_2 + 2c(x_1 y_1 + x_2 y_2) + c^2$$

We express the kernel as an inner product between 2 feature vectors  $\phi(x)$  and  $\phi(y)$  such that

$$K(x, y) = \phi(x)^T \phi(y)$$

By comparing the expanded form of the kernel with standard form of an inner product, we can deduce what the feature map  $\phi(x)$  should look like. The kernel contains terms involving the squares of  $x_1$  and  $x_2$ , product of  $x_1$  and  $x_2$  and the linear term of  $x_1$  and  $x_2$  with constant.

Thus, the feature map  $\phi(x)$  must include these terms to allow the kernel to be written as a dot product. The terms in the kernel suggest the following basic function  $\phi(x)$

$$\phi(x) = [x_1^2, x_2^2, \sqrt{2}x_1 x_2, \sqrt{2}c x_1, \sqrt{2}c x_2 + c^2]^T$$

Now we verify that this feature map gives the correct kernel function. the inner product

$$\phi(x)^T \phi(y) \text{ is}$$

$$\phi(x)^T \phi(y) = (x_1^2, x_2^2, \sqrt{2}x_1 x_2, \sqrt{2}c x_1, \sqrt{2}c x_2 + c^2) \cdot (y_1^2, y_2^2, \sqrt{2}y_1 y_2, \sqrt{2}c y_1, \sqrt{2}c y_2 + c^2)$$

expanding the dot product gives

$$\phi(x)^T \phi(y) = x_1^2 y_1^2 + x_2^2 y_2^2 + 2x_1 x_2 y_1 y_2 + 2c x_1 y_1 + 2c x_2 y_2 + c^2$$

This expression corresponds with the expanded form of the kernel function  $K(x, y)$  which confirms the map is correct

Hence, the basic function that maps 2-d sample  $x = [x_1, x_2]^T$  to a higher dimensional space is

$$\phi(x) = [x_1^2, x_2^2, \sqrt{2}x_1x_2, \sqrt{2}Cx_1, \sqrt{2}Cx_2, C^2]^T$$

#### TABLE 4.2 B

To determine the higher-dimensional space to which the 2-d samples should be mapped using the derived basis function, we start <sup>with the</sup> mapping defined by the ~~feature~~ feature map  $\phi(x)$ . The original samples will be represented in a higher-dimensional space formed by the combination of the original features, their squares and additional constant terms.

Recall that the basic function derived from the polynomial kernel  $K(x, y) = (x_1y_1 + x_2y_2 + C)^2$  is

$$\phi(x) = [x_1^2, x_2^2, \sqrt{2}x_1x_2, \sqrt{2}Cx_1, \sqrt{2}Cx_2, C^2]^T$$

Thus, the higher dimensional space is 6-dimensional.

Given the XOR gate below

$x_1$	$x_2$	$y$
-1	-1	-1
-1	1	1
1	-1	1
1	1	-1

We transform into the 6-dimensional space as follows.

Sample 1:  $(-1, -1)$

$$\begin{aligned} \phi(-1, -1) &= [(-1)^2, (-1)^2, \sqrt{2}(-1)(-1), \sqrt{2}C(-1), \sqrt{2}C(-1), C^2]^T \\ &= [1, 1, \sqrt{2}, -\sqrt{2}C, -\sqrt{2}C, C^2]^T \end{aligned}$$

Sample 2:  $(-1, 1)$

$$\phi(-1, 1) = [(-1)^2, 1^2, -\sqrt{2}, -\sqrt{2}c, \sqrt{2}c, c^2]^T$$

Sample 3  $(1, -1)$

$$\phi(1, -1) = [1^2, (-1)^2, -\sqrt{2}, \sqrt{2}c, -\sqrt{2}c, c^2]^T$$

Sample 4  $(1, 1)$

$$\phi(1, 1) = [1^2, 1^2, \sqrt{2}, \sqrt{2}c, \sqrt{2}c, c^2]^T$$