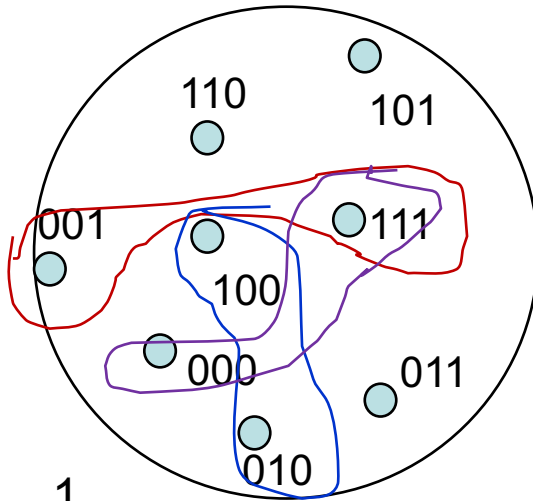


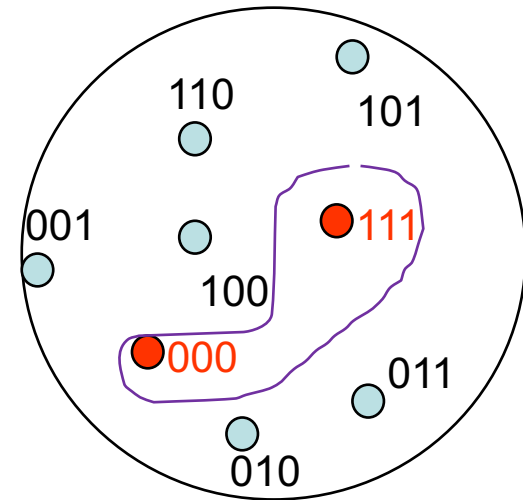
A-code/E-code

Message source $M = \{0,1\}$



	0	1
K1	01	11
K2	10	00
K3	00	11
K4	01	10
K5	00	01
K6	11	01
K7	11	10

Authentication code is
a subset of the whole
space *for each key*.



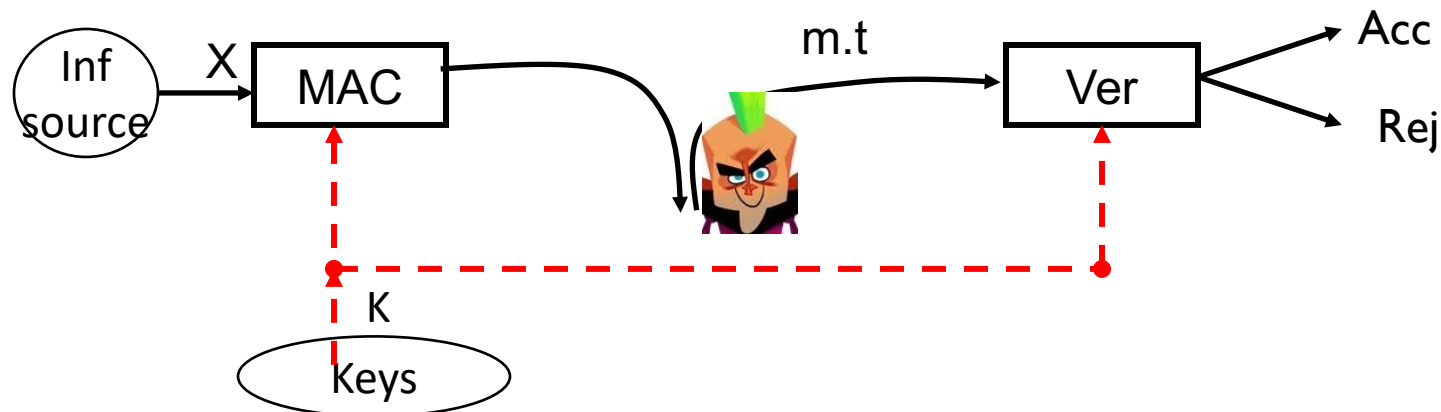
Error correcting code
is a subset of the whole
space.

Security

- Success probability of the adversary in forgery
- Modeled as a game between
(Alice & Bob) \leftrightarrow adversary

Model

- **MAC system is public.**
 - Set of messages, tags, keys and algorithms are public.
- Goal: constructing a **forged message**
 - (m,t) such that $\text{Ver}((m,t), k)=1$
 - k is not known



Authentication games

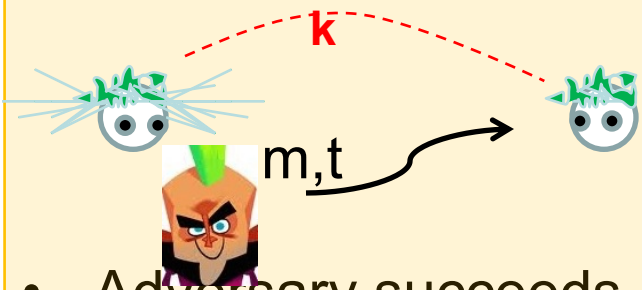
- 0-message game
 - Impersonation game
 1. Adversary constructs a forgery
 - without seeing any communication.
- 1-message game
 - Substitution game
 1. Adversary sees an authenticated message
 2. Adversary constructs a forgery.

q-message game can be modelled based on above.

Authentication games

MAC system is Public

- Alice and Bob:
- Choose a **secret** random key k
- Adversary:
- Constructs m, t



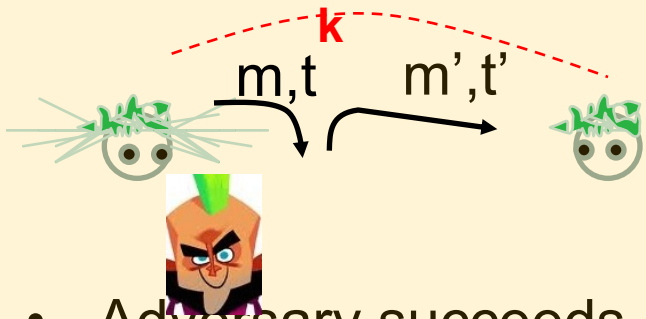
- Adversary succeeds if:
- $\text{Ver}((m, t), k) = 1$

- 0-message game
 - Impersonation game
 - 1. Adversary constructs a forgery
 - without seeing any communication.
- P_0 : Success probability in impersonation

Authentication games

MAC system is Public

- Alice and Bob:
- Choose a **secret** random key k
- Adversary:
(i) sees m, t ; (ii) constructs m', t'



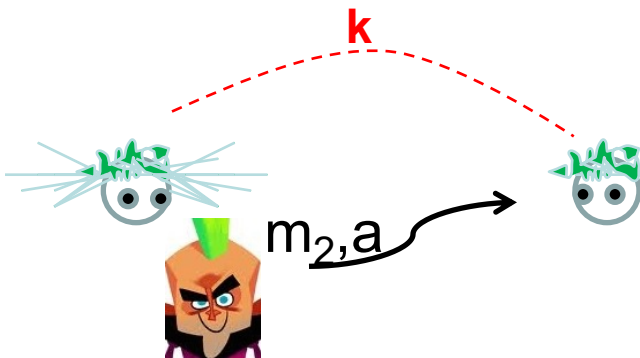
- Adversary succeeds if:
- $\text{Ver}((m', t'), k) = 1$

- 1-message game
 - substitution game
 - 1. Adversary sees an authenticated message
 - 2. Adversary constructs a forgery.
- P_1 : Success probability in substitution

Example

- $M=\{m_1, m_2, m_3\}$, $K=\{k_1, k_2, k_3, k_4\}$, $T=\{a, b\}$
- Assume K is uniformly distributed.
- $P_0(m_2, a)$ = probability of success with (m_2, a)
 = probability (m_2, a) be valid for the communicants' key
 = $1/2$

	m_1	m_2	m_3
k_1	a	b	a
k_2	b	b	a
k_3	a	a	b
k_4	b	a	a



Two ways of writing
Encoding matrix

	M					
	m_1, b	m_1, a	m_2, b	m_2, a	m_3, b	m_3, a
k_1	0	1	1	0	0	1
k_2	1	0	1	0	0	1
k_3	0	1	0	1	1	0
k_4	1	0	0	1	0	1

0-message game

- $P_0(m,t)$ = Success probability with (m,t)
= probability that (m,t) is valid for k

- Best success probability of attacker:

$$P_0 = \max_{m \in M, t \in T} P_0(m,t)$$

P_0 is success probability of impersonation game.

Example

- $M=\{m_1, m_2, m_3\}$, $K=\{k_1, k_2, k_3, k_4\}$, $T=\{a, b\}$

- Assume K is uniformly distributed.

- $P_0(m_2, a) = \text{prob}(m_2, a) \text{ is valid} = 1/2$

- $P_0(m_1, a) = 1/2$

- $P_0(m_3, a) = 3/4$

-

- $P_0 = \max_{\{(m_i, j) \in M \times T\}} P_0(m_i, j) = 3/4$

	m_1	m_2	m_3
k_1	a	b	a
k_2	b	b	a
k_3	a	a	b
k_4	b	a	a

Two ways of writing
Encoding matrix

	M					
	m_1, b	m_1, a	m_2, b	m_2, a	m_3, b	m_3, a
k_1	0	1	1	0	0	1
k_2	1	0	1	0	0	1
k_3	0	1	0	1	1	0
k_4	1	0	0	1	0	1

0-message game

- If the key distribution is not uniform:
 - Communicants' will choose a key according to probability distribution $p(k)$
- Probability (m,t) is valid:

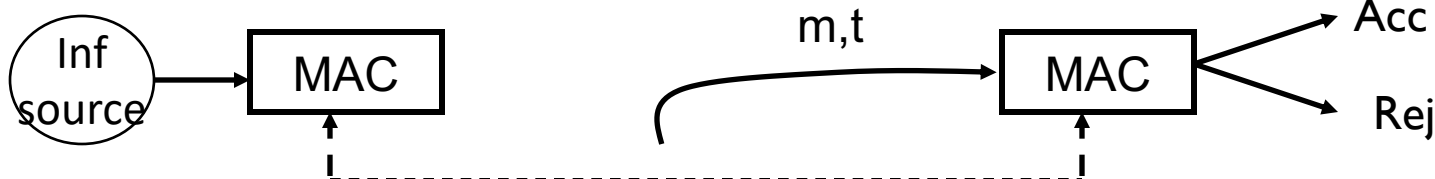
$$P_0(m,t) = p(\text{Ver}((m,t), k)=1) = \sum_{\{k \in K, \text{Ver}((m,t), k)=1\}} p(k)$$

		Possible adversary's choices					
		m_1,b	m_1,a	m_2,b	m_2,a	m_3,b	m_3,a
Communicant's choices	k_1	0	1	1	0	0	1
	k_2	1	0	1	0	0	1
	k_3	0	1	0	1	1	0
	k_4	1	0	0	1	0	1

Success chance in 0-message

- $M=T=\mathbb{Z}_3$, $K=\mathbb{Z}_3 \times \mathbb{Z}_3$,
- $\text{MAC}(m; (i,j)) = m \cdot i + j \pmod 3$
- Assume $p(k)$ is uniform: $p(k) = 1/9$
- Adversary wants to choose (m,t) with highest $P_0(m,t)$
- Possible (m,t) pairs:
 $\{(0,0), (0,1), (0,2), (1,0), (1,1), (1,2), (2,0), (2,1), (2,2)\}$

message K	0	1	2
k1=(0,0)	0	0	0
k2=(0,1)	1	1	1
k3=(0,2)	2	2	2
k4=(1,0)	0	1	2
k5=(1,1)	1	2	0
k6=(1,2)	2	0	1
k7=(2,0)	0	2	1
k8=(2,1)	1	0	2
k9=(2,2)	2	1	0



Success chance

$P_0(0,0)$ = success prob with (0,0)

$$= \sum_{\{k \in K, \text{Ver}((0,0),k)=1\}} p(k)$$

$$= 3 \times 1/9 = 1/3$$

- $P_0(0,1) = 3/9 = 1/3$
- $P_0 = \max_{\{m \in M, t \in T\}} P_0(m,t)$
- $P_0 = 1/3$

message \ K	0	1	2
k1	0	0	0
k2	1	1	1
k3	2	2	2
k4	0	1	2
k5	1	2	0
k6	2	0	1
k7	0	2	1
k8	1	0	2
k9	2	1	0



1-message game (substitution)

MAC system is public.

Alice and Bob:

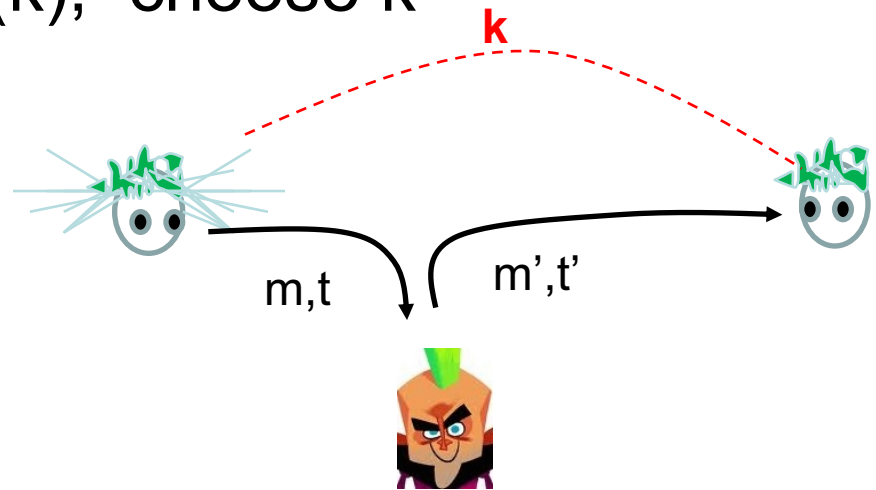
- Choose distribution $p(k)$, choose k

Alice:

- $m \leftarrow p(m)$;
- $t = \text{MAC}(m, k)$

Adversary:

- Sees (m, t)
- forges m', t'



Adversary succeeds:

- $\text{Ver}((m', t'), k) = 1$, given $\text{Ver}((m, t), k) = 1$

Success chance: substitution game

- For each observed (m,t) pair:
- Adversary finds her best success choice (m',t') that has the highest success chance
 - (m',t') valid, given (m,t) is valid

	m_1	m_2	m_3
k_1	a	b	a
k_2	b	b	a
k_3	a	a	b
k_4	b	a	a

$P((m',t'); (m,t))$ is the success probability of forgery using (m',t') when (m,t) is seen.

$$P((m_2,a); (m_1,a)) = \frac{p(k_3)}{p(k_3) + p(k_1)}$$


Adversary's actions

	m_1,b	m_1,a	m_2,b	m_2,a	m_3,b	m_3,a
k_1	0	1	1	0	0	1
k_2	1	0	1	0	0	1
k_3	0	1	0	1	1	0
k_4	1	0	0	1	0	1

Success chance: substitution game

- $P((m_3, b) ; (m_1, a)) = \frac{p(k_3)}{p(k_3) + p(k_1)} = \frac{1}{2}$
- $P((m_1, b) ; (m_3, a)) = \frac{p(k_2) + p(k_4)}{p(k_1) + p(k_2) + p(k_4)} = \frac{2}{3}$

Adversary's actions



	m_1, b	m_1, a	m_2, b	m_2, a	m_3, b	m_3, a
k_1	0	1	1	0	0	1
k_2	1	0	1	0	0	1
k_3	0	1	0	1	1	0
k_4	1	0	0	1	0	1

Success chance of substitution

- Success chance of **using (m',t') as forgery, when (m,t) is seen:**

- $$\begin{aligned} P((m',t'); (m,t)) &= p((m',t') \text{ valid} \mid (m,t) \text{ valid}) \\ &= \frac{\sum_{k \in K \text{ s.t. } [(m',t') \text{ valid for } k] \text{ AND } [(m,t) \text{ valid for } k]} p(k)}{\sum_{[(m,t) \text{ valid for } k]} p(k)} \\ &= \frac{\sum_{k \in K \text{ s.t. } [Ver((m',t'),k)=1] \text{ AND } [Ver((m,t),k)=1]} p(k)}{\sum_{[Ver((m,t),k)=1]} p(k)} \end{aligned}$$

- Success chance of substitution when (m,t) is seen:

$$P_1(m,t) = \max_{\{m' \in M, t' \in T\}} P((m',t'); (m,t))$$

- Success chance of substitution

$$P_1 = \max_{\{m \in M, t \in T\}} P_1(m,t)$$

Success chance P_1

- Adversary sees $(0,0) = (m,t)$
- What (m',t') maximizes their success chance?
- Possible forgeries:
 $\{(1,0), (1,1), (1,2), (2,0), (2,1), (2,2)\}$
- Which one?

message key	0	1	2
k1	0	0	0
k2	1	1	1
k3	2	2	2
k4	0	1	2
k5	1	2	0
k6	2	0	1
k7	0	2	1
k8	1	0	2
k9	2	1	0

Success chance P_1

- $(0,0)$ is seen:
- $P((1,0); (0,0)) =$ success prob with $(1,0)$, when $(0,0)$ is seen

- $P((1,0); (0,0)) =$

$$\frac{\sum_{\{k \in K, \text{Ver}((1,0),k)=1 \ \& \ \text{Ver}((0,0),k)=1\}} p(k)}{\sum_{\{k \in K, \text{Ver}((0,0),k)=1\}} p(k)}$$

$$= p(k) / [\sum_{\{k \in K, \text{Ver}((0,0),k)=1\}} p(k)]$$

$$= (1/9)/(1/3) = \mathbf{1/3}$$

- $P((1,1); (0,0)) =$

•

- $P((2,2); (0,0)) =$

- $P_1(0,0) = \max_{\{m \in M, t \in T\}} P((m,t); (0,0))$

message K	0	1	2
k1	0	0	0
k2	1	1	1
k3	2	2	2
k4	0	1	2
k5	1	2	0
k6	2	0	1
k7	0	2	1
k8	1	0	2
k9	2	1	0

Impersonation or substitution?

- Adversary can play one of the two games: which game should they choose?
 - P_0 must be compared with **expected value of P_1** .
 - For each message, expected success chance
 - $(0,a), (0,b), (1,a), (1,b), (2,a), (2,b)$
 - Averaged over all messages
- expected P_1 can be smaller than P_0

M K	0	1	2
k_1	b	b	a
k_2	b	a	a
k_3	a	b	b
k_4	b	a	b



Gustavus Simmons
1930-

Bounds

- **Brute force (generic) attacks** give bounds on min success probability.

- Bounds

$$P \geq \frac{1}{|K|}$$

$$P_0 \geq \frac{1}{|T|},$$

$$P_1 \geq \frac{1}{|T|}$$

- An **A-code is optimal** if it satisfies one of the bounds.

		S		
		0	1	2
message	Key			
	k1	0	0	0
	k2	1	1	1
	k3	2	2	2
	k4	0	1	2
	k5	1	2	0
	k6	2	0	1
	k7	0	2	1
	k8	1	0	2
	k9	2	1	0

Example

- $M=T=Z_3$,
 $MAC(m,k) = MAC(m, (a,b)) = am+b$

- $P_0 = P_1 = 1/3$

- Alice sends $(m,t)=(1,2)$

- $1a + b = 2 \rightarrow b = 2 - a$

- Suppose Alice sends a second message $(0,0)$

$$0 \cdot a + b = 0 \rightarrow b = 0$$

$$\rightarrow a = 2$$

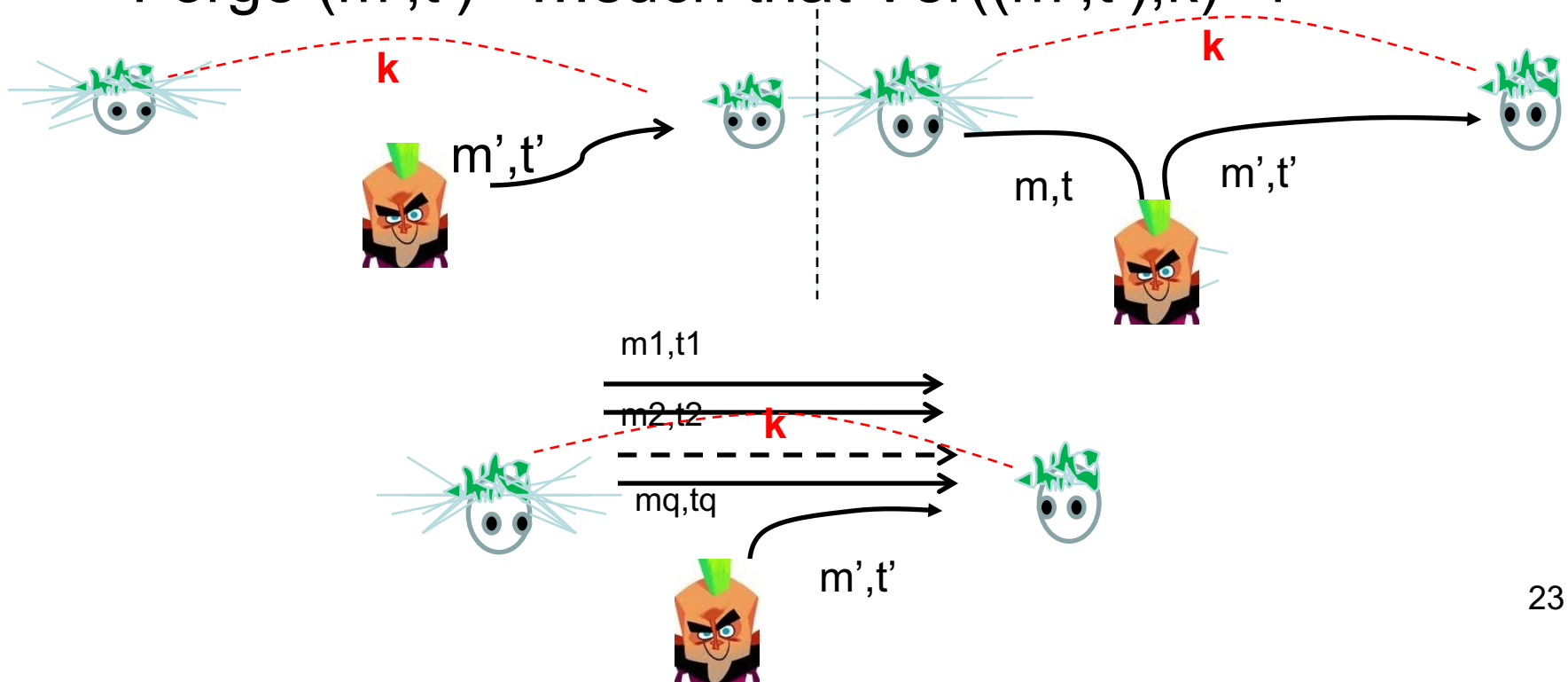
→ Each key can be used once.

→ Using the same key for two messages completely breaks the security.

message Key (a, b)	0	1	2
0,0	0	0	0
0,1	1	1	1
0,2	2	2	2
1,0	0	1	2
1,1	1	2	0
1,2	2	0	1
2,0	0	2	1
2,1	1	0	2
2,2	2	1	0

Security for q messages

- Adversary can see (choose) q message-tag pairs: $(m_1, t_1), (m_2, t_2), \dots, (m_q, t_q)$ - **under same key**
- Forge (m', t') ...such that $\text{Ver}((m', t'), k) = 1$



MAC applications

- The most widely used cryptographic primitive
- File integrity checking
 - Tampering with stored files
- Communication security
 - TLS data integrity
 - Secure file transfer



Summary

- Message integrity
 - Noise
 - Adversarial

- Protection goals:
 - Detection
 - Correction

Adversarial corruption

- A-codes
 - MAC, Ver, success prob
- 0-message security
 - Impersonation
- 1-message security
 - Substitution
- q-message security
 - Wegman-Carter
- Bounds