Exercise 4: Exact diagonalization

In this exercise, we consider the transverse field Ising model in 1D, given by the Hamiltonian

$$H = -J \sum_{j=0}^{L} \sigma_j^x \sigma_{j+1}^x - g \sum_{j=0}^{L-1} \sigma_j^z, \tag{1}$$

where we used periodic boundary conditions, $\sigma_L^x \equiv \sigma_0^x$. As discussed in the lecture, this model shows (for $L \to \infty$) a quantum phase transition at $g = 1$. To see this transition, we need to find the groundstate while tuning g (keeping $J \equiv 1$ as the unit of energy). The goal of this exercise is to represent eq. (1) as a sparse matrix, `scipy.sparse.csr_matrix` and diagonalize it with (a variant of) the Lanczos algorithm provided in `scipy`.

a) Define the 2×2 matrices

$$\texttt{Id} = \mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \qquad \texttt{Sx} = \sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \texttt{Sz} = \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \tag{2}$$

as `scipy.sparse.csr_matrix`

b) When we write σ_j^z in eq. (1), what we mean is

$$\sigma_j^z \equiv \mathbb{1} \otimes \cdots \otimes \mathbb{1} \otimes \sigma^z \otimes \mathbb{1} \otimes \cdots \otimes \mathbb{1} \tag{3}$$

where the σ^z is at the j^{th} position, and similar for σ_j^x. The operator σ_j^z corresponds thus to a $2^L \times 2^L$ matrix. To implement the tensor product, you can use succesive calls to `scipy.sparse.kron()`, e.g. to generate $\mathbb{1} \otimes \mathbb{1} \otimes \sigma^z \otimes \mathbb{1}$:

```
full = scipy.sparse.kron(Id, Id, format='csr')    # 1 1
full = scipy.spares.kron(full, Sz, format='csr')  # 1 1 Sz
full = scipy.spares.kron(full, Id, format='csr')  # 1 1 Sz 1
```

Write a function which returns (for given L) a list, which contains a representation of σ_j^z (in the form of a `csr_matrix`) as j^{th} entry of the list .

c) Write a similar function returning the σ_j^x operators.

d) Write a function `gen_hamiltonian(sx_list, sz_list, g, J)` generating the Hamiltonian as a `csr_matrix` of shape $2^L \times 2^L$, where the arguments `sx_list, sz_list` should be the lists generated by the functions defined in b) and c).

Hint: Addition and scalar multiplication of sparse matrices work as expected with `+` and `*`. For two `csr_matrix`, the code `A*B` gives the **matrix** product of `A` and `B`. (This is different from `numpy` arrays where `A*B` gives the element-wise product!) Also note $A \otimes B = (A \otimes \mathbb{1})(\mathbb{1} \otimes B)$, hence $\sigma_j^x \sigma_{j+1}^x$ can be obtained by a matrix product of σ_j^x (in the sense of eq. (3)) with σ_{j+1}^x.

Check that the function works as expected, e.g., for $L = 2$ and $g = 0.1$, you should obtain

$$H(L = 2, g = 0.1, J = 1) = \begin{pmatrix} -0.2 & 0 & 0 & -2 \\ 0 & 0 & -2 & 0 \\ 0 & -2 & 0 & 0 \\ -2 & 0 & 0 & 0.2 \end{pmatrix}. \tag{4}$$

Hint: You can convert the sparse H to a non-sparse numpy array with `H.toarray()` for the comparison. Remember to use periodic boundary conditions.

e) When you have H as a `csr_matrix`, you can use the function `scipy.sparse.linalg.eigsh` to obtain the ground state. This function uses (an improved version of) the Lanczos method discussed in the lecture. Read the documentation to find out how to obtain the 3 eigenstates (and eigenvalues) with smallest (algebraic) eigenvalues.

Optionally: Compare the run time of `scipy.sparse.linalg.eigsh` with the full diagonalization performed by `np.linalg.eigh` (acting on a non-sparse numpy array) for $L = 12$.

f) For system sizes $L \in \{ 6, 8, 10, 12 \}$, calculate the ground state for ≈ 20 values of $g \in [0, 2]$. Calculate and plot the largest-distance spin-spin correlation $C = \langle \psi_0 | \sigma_0^x \sigma_{L/2}^x | \psi_0 \rangle$ against g for the various system sizes.

Hint: To calculate C, apply the operators (= sparse matrices) σ_0^x and $\sigma_{L/2}^x$ to the ground state using matrix-vector products (implemented as `op*v0`) and calculate the overlap of the result with the the ground state (e.g., using `np.inner`).

g) Plot the excitation energies for the first two excited states versus g. Does the result coincide with your expectations?