

# 67-300 SEARCH ENGINES

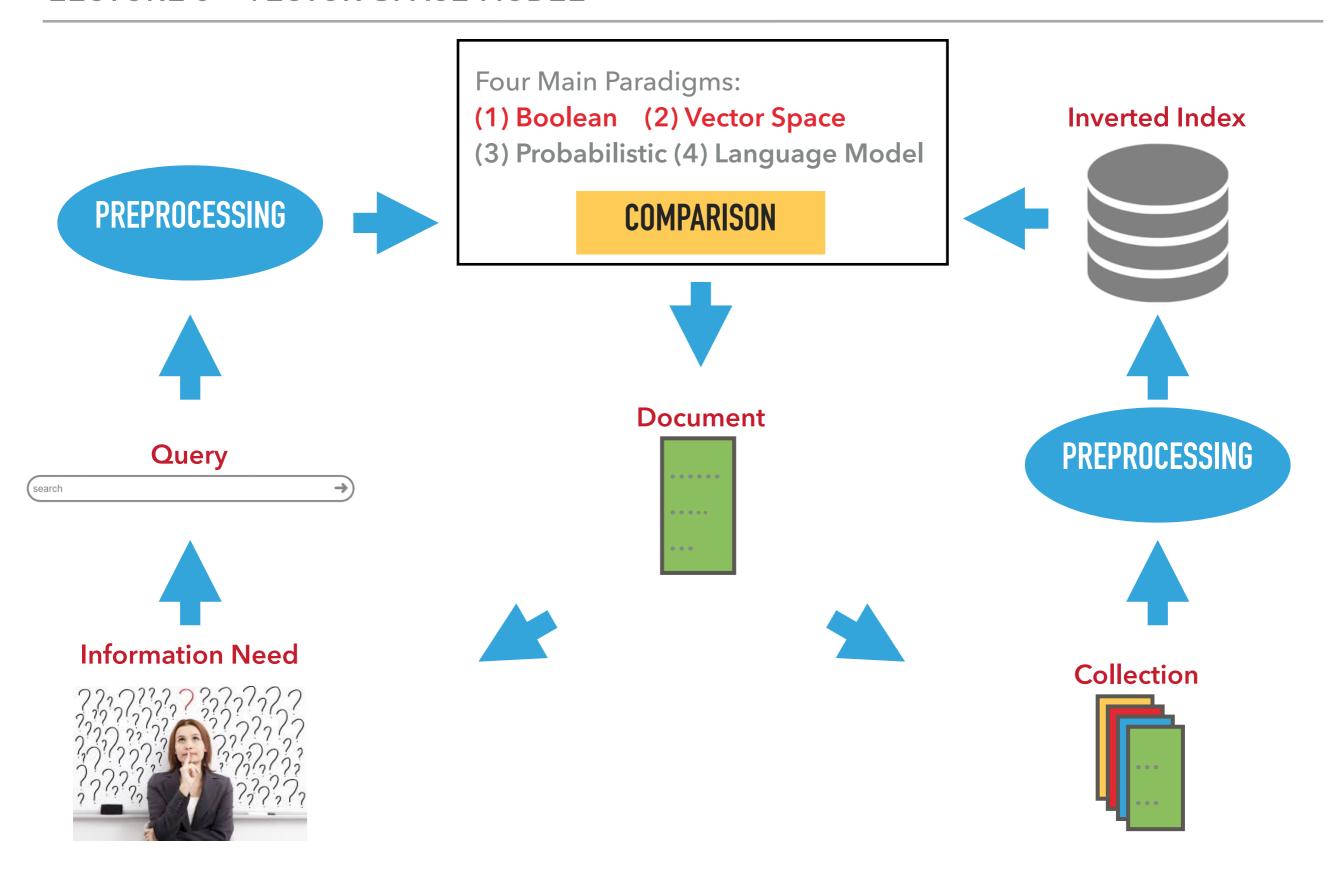
# VECTOR SPACE MODEL

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20TH MARCH 2017

# **LECTURE GOALS**

- Drawbacks of Boolean Search Model
- Vector Space Model
- TD-IDF weighting

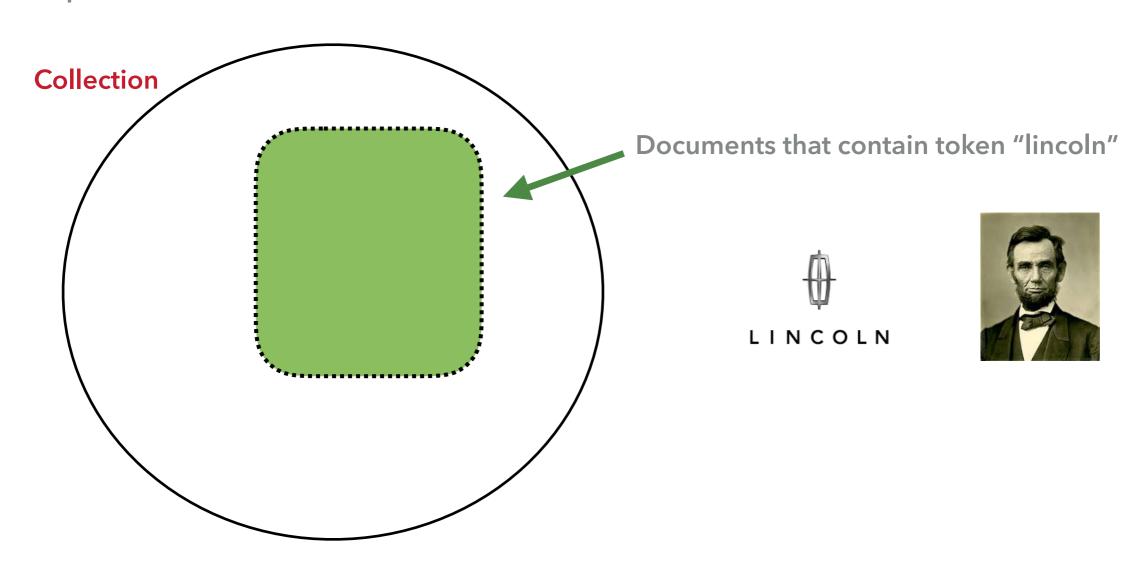
#### LECTURE 3 - VECTOR SPACE MODEL



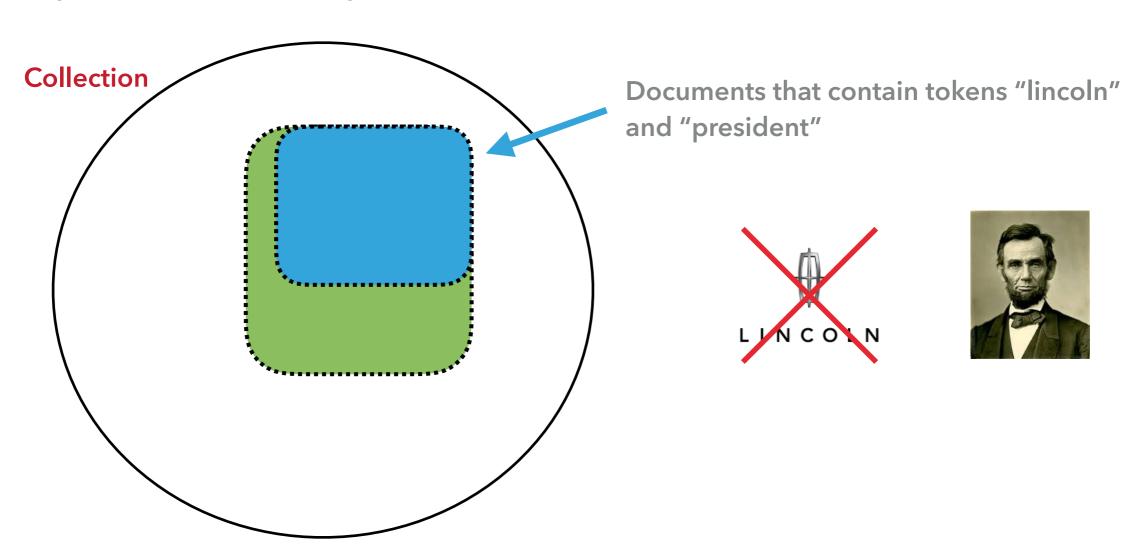
IR Book: Chapters 1 and 2

# **RECAP: BOOLEAN SEARCH MODEL**

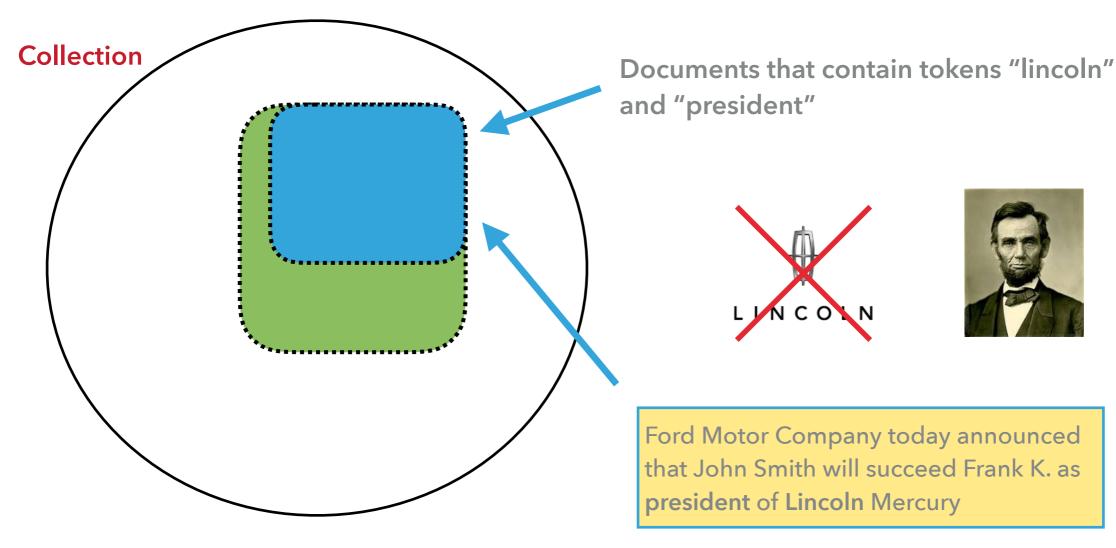
- Researching on US Republican Party
- Example: "lincoln"



- Researching on US past presidents
- Example: "lincoln AND president"

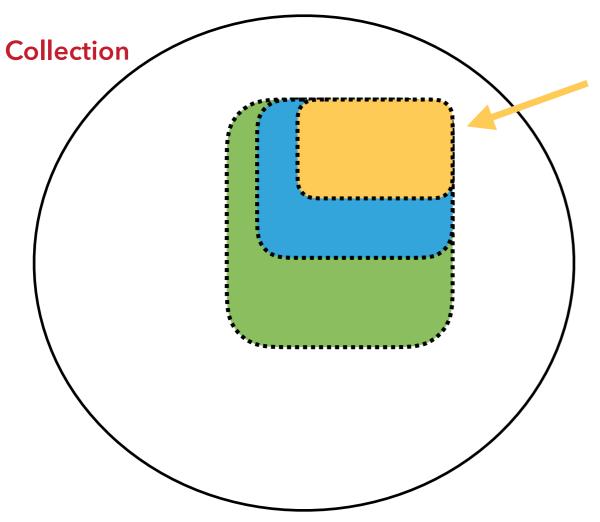


New Query: "lincoln AND president"



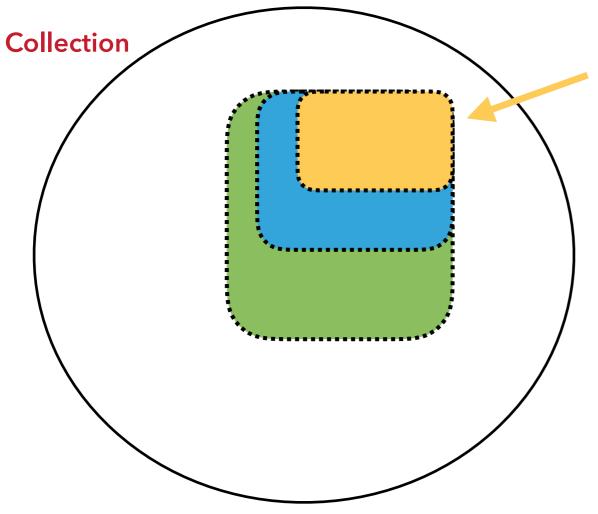
Still needs to refine query...

"lincoln AND president AND NOT(automobile OR car)"



Documents that contain tokens "lincoln" and "president" and do not contain "automobile" nor "car"

"lincoln AND president AND NOT(automobile OR car)"



Documents that contain tokens "lincoln" and "president" and do not contain "automobile" nor "car"

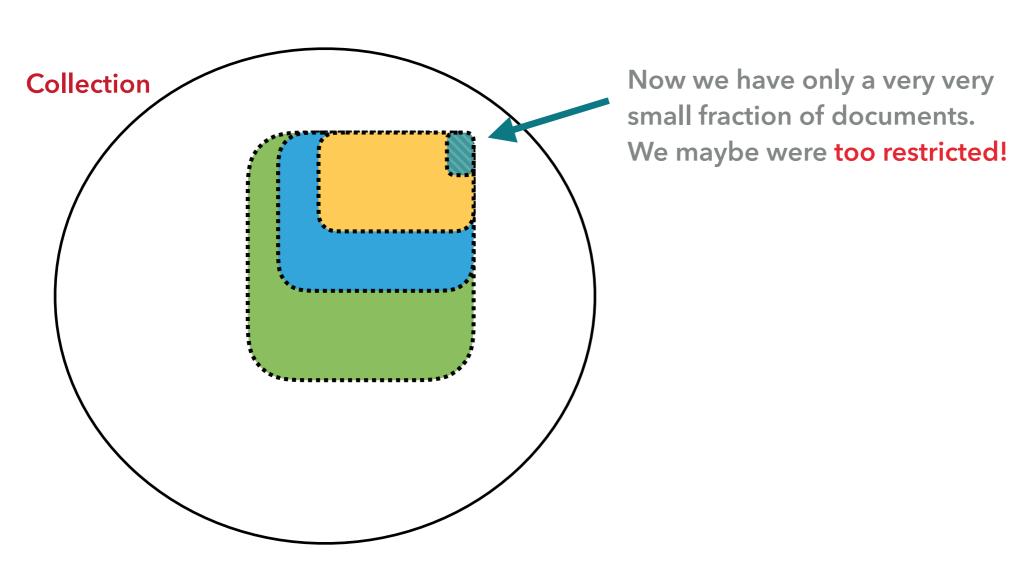
**Lincoln**'s body departs Washington in a nine-car funeral train

Some important documents are going to be missed.

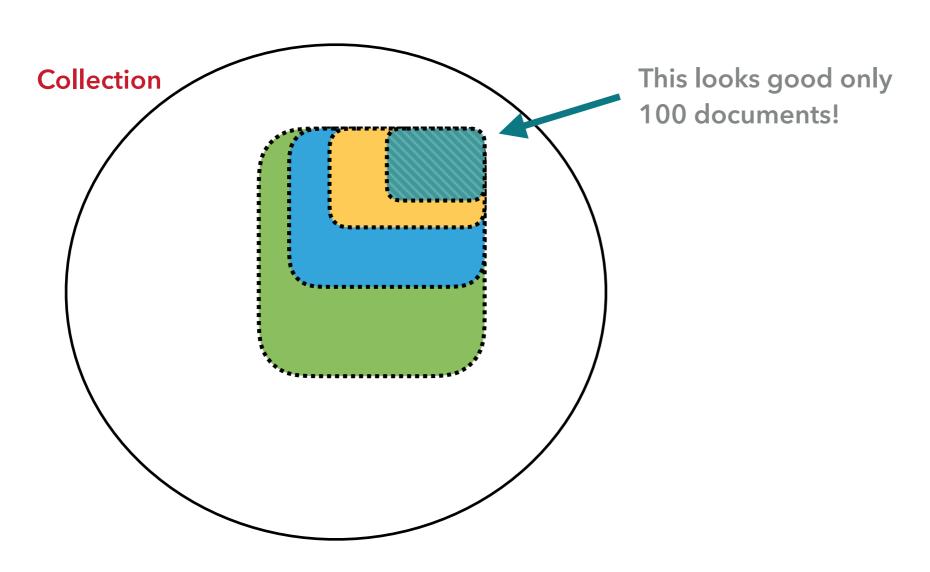


We still have a large number of documents to look at

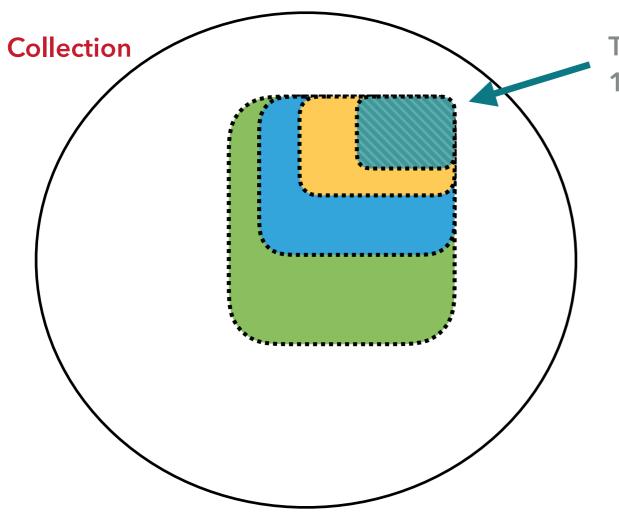
"lincoln AND president AND biography AND life AND birthplace AND gettysburg AND NOT(automobile OR car)"



"lincoln AND president AND (biography OR life OR birthplace OR gettysburg) AND NOT(automobile OR car)"



"lincoln AND president AND (biography OR life OR birthplace OR gettysburg) AND NOT(automobile OR car)"



This looks good only 100 documents!



But...

<u>President</u>'s Day - Holiday activities - craft, mazes, word searches,... "The <u>life</u> of Washington". Abraham <u>Lincoln</u> research institute open its doors this Tuesday.

could have been the first or the last document in the result set.

# DRAWBACKS OF BOOLEAN SEARCH MODEL

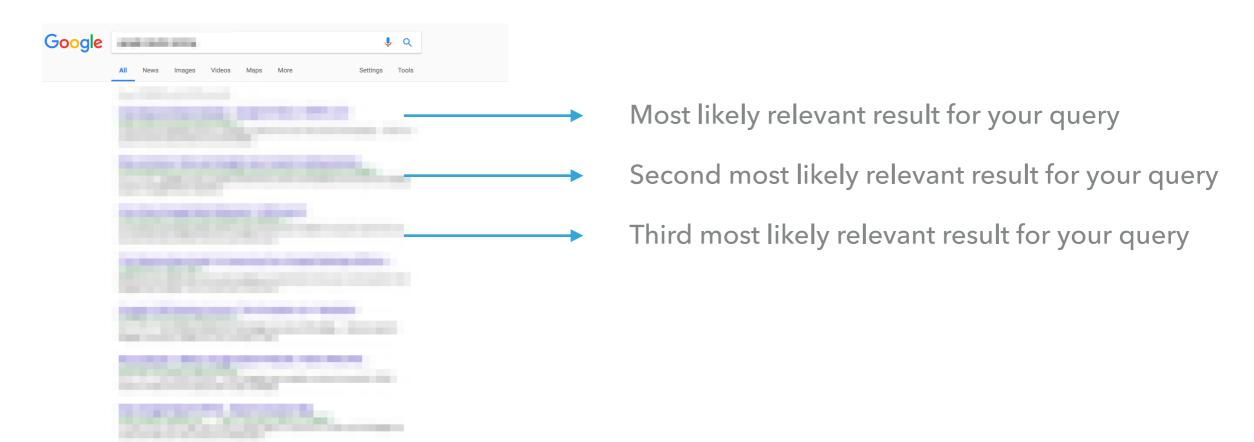
- Query is unlikely precise:
  - Over-constrained too specific
  - Under-constrained too broad
  - Very hard to balance these two extremes
- Even when balance is found not all documents are equally relevant!

# GOAL OF A SEARCH ENGINE IS TO FIND ALL AND ONLY THE RELEVANT DOCUMENTS IN A COLLECTION GIVEN A PARTICULAR USER WITH A PARTICULAR INFORMATION NEED EXPRESSED IN A QUERY

**IR Theorists** 

# PROBABILITY RANKING PRINCIPLE

A retrieval system's response to each request is a ranking of the documents in the collection in order of decreasing probability of relevance to the user who submitted the request.



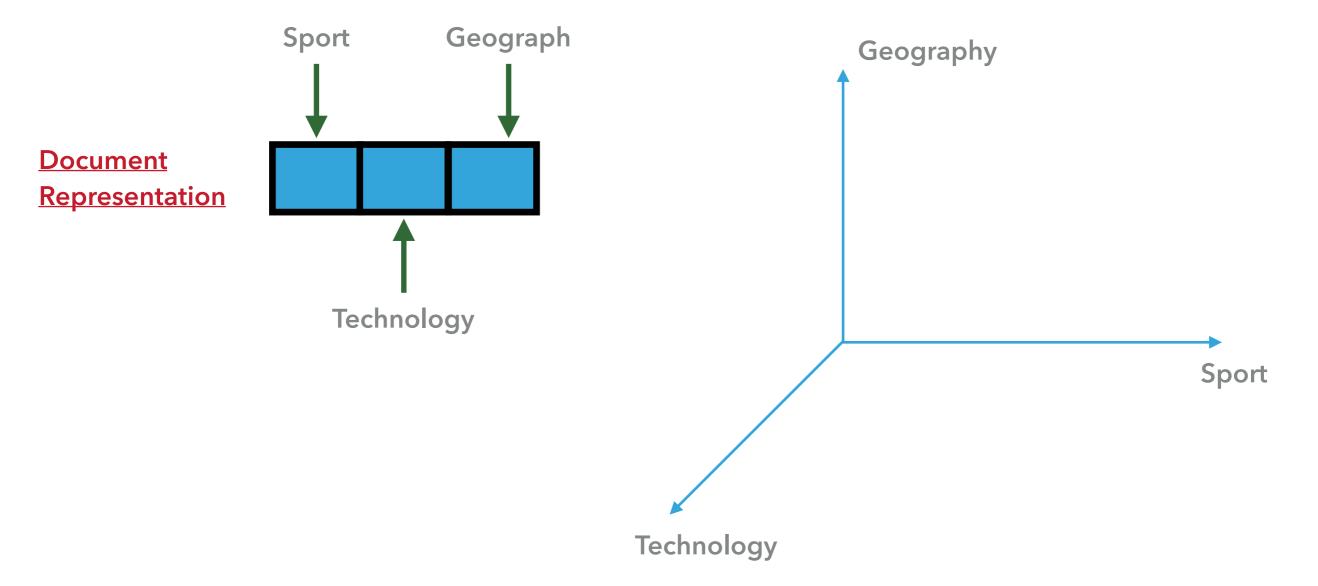
# VECTOR SPACE MODEL

# **ASSUMPTIONS**

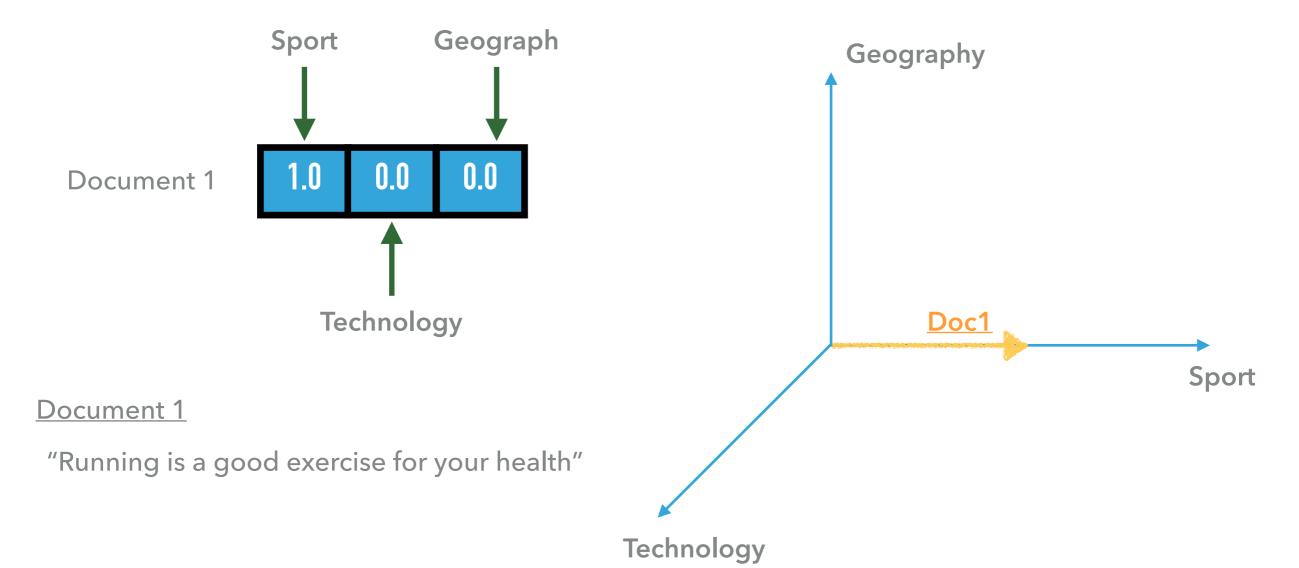
- Assume relevant documents are similar documents:
  - Relevance(d,q) == Similarity(d,q)

- Definition requires two components:
  - 1. Representation
  - 2. Similarity measure

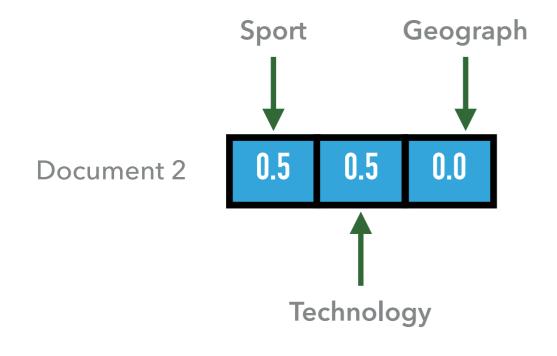
Documents and queries are represented as concept vectors. Each concept is a cell in a vector:



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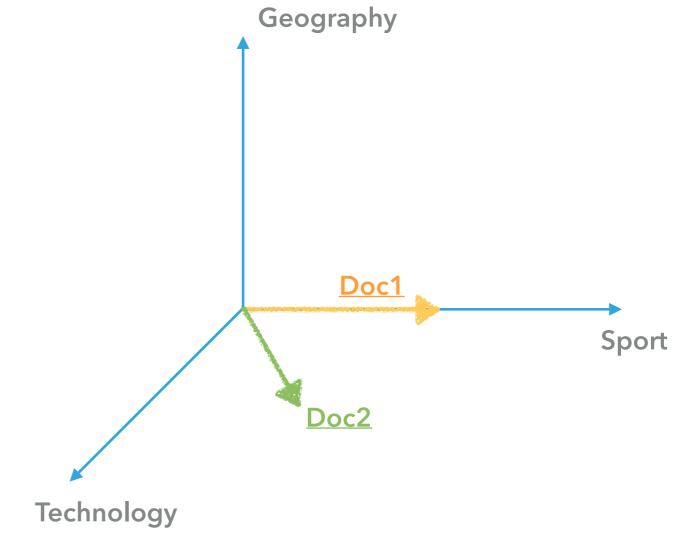


Documents and queries are represented as concept vectors. Each concept is a cell in a vector:

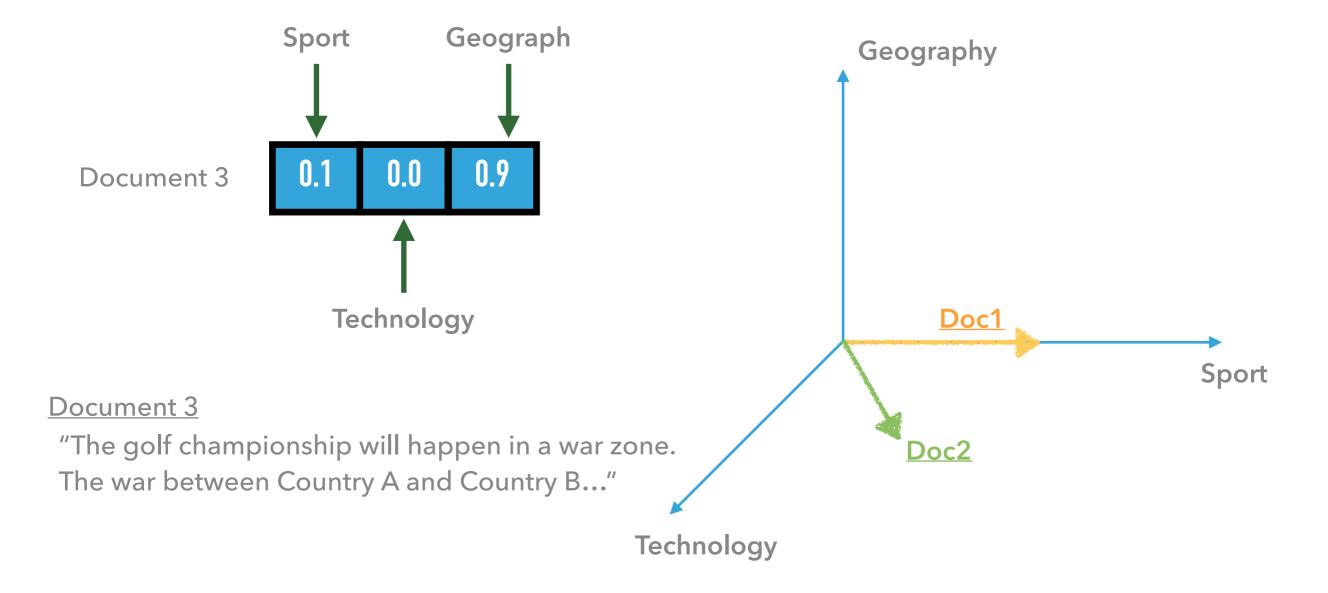


#### Document 2

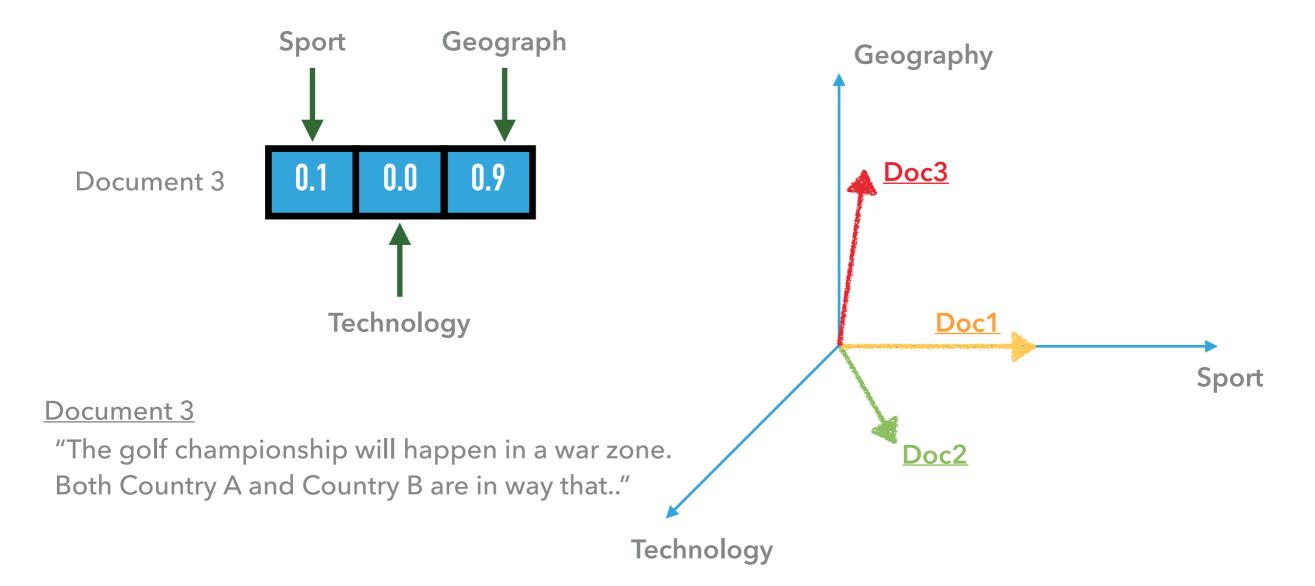
"The use of sensors and big data will change how football is played."



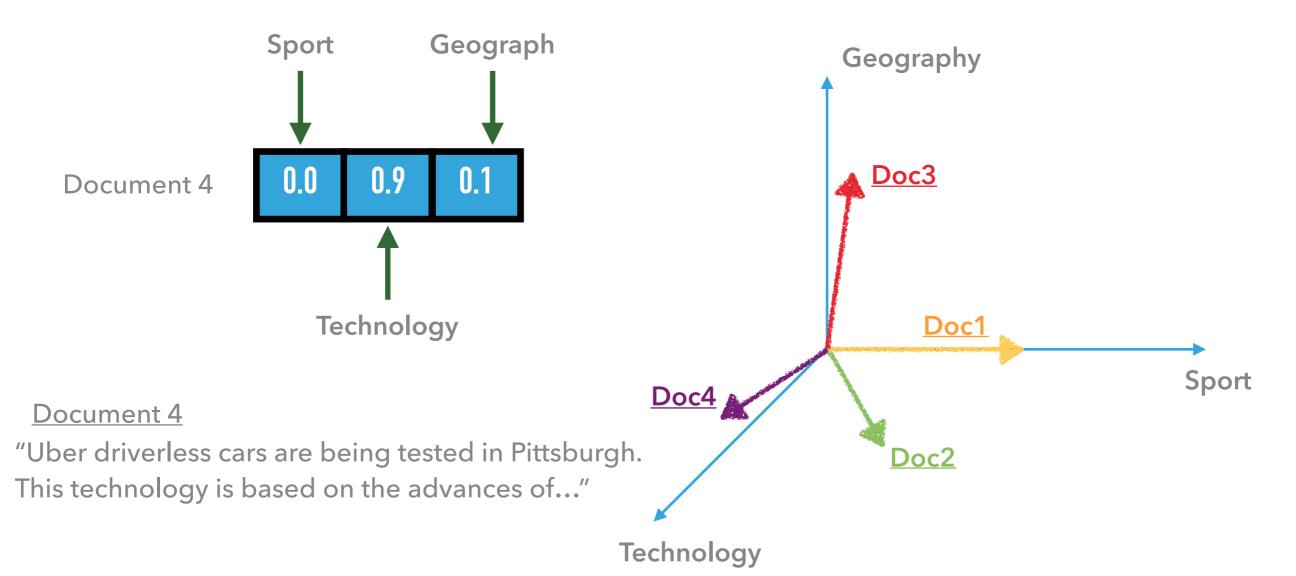
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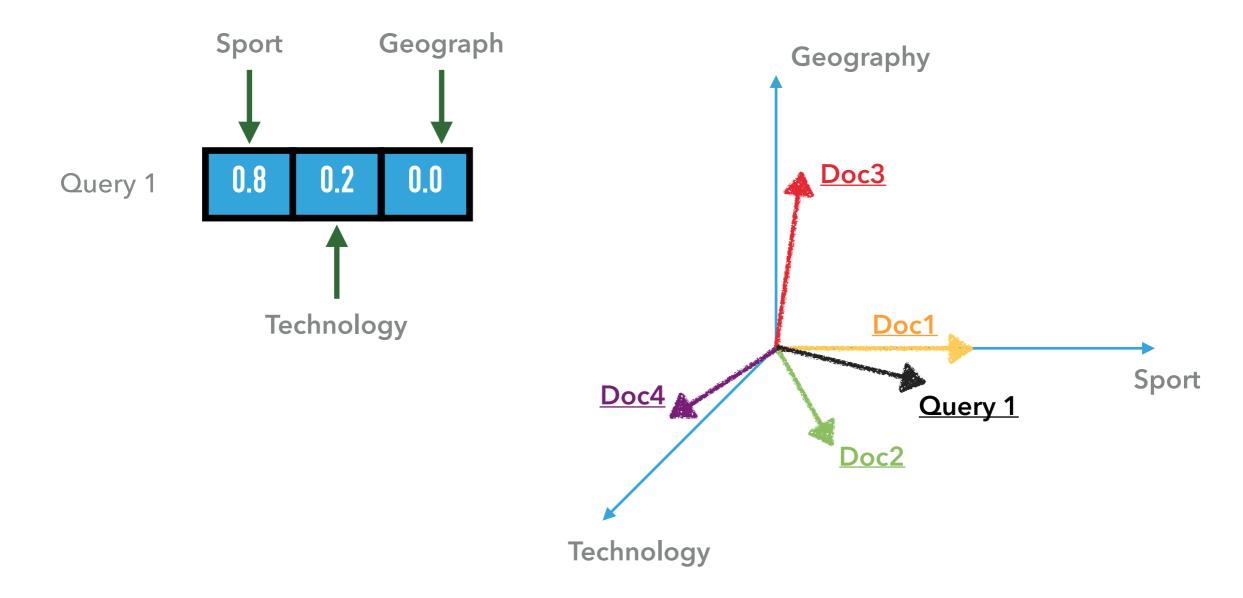
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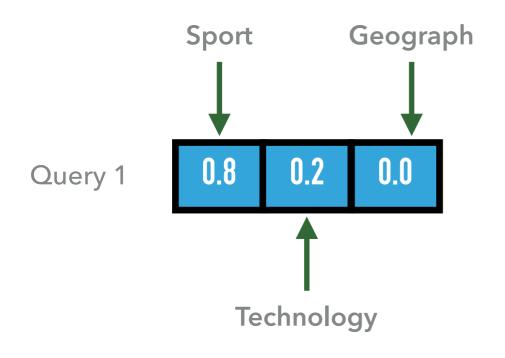
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How far are the documents from Query 1?

Which is the closest documents from Query 1?

Doc3

Doc4

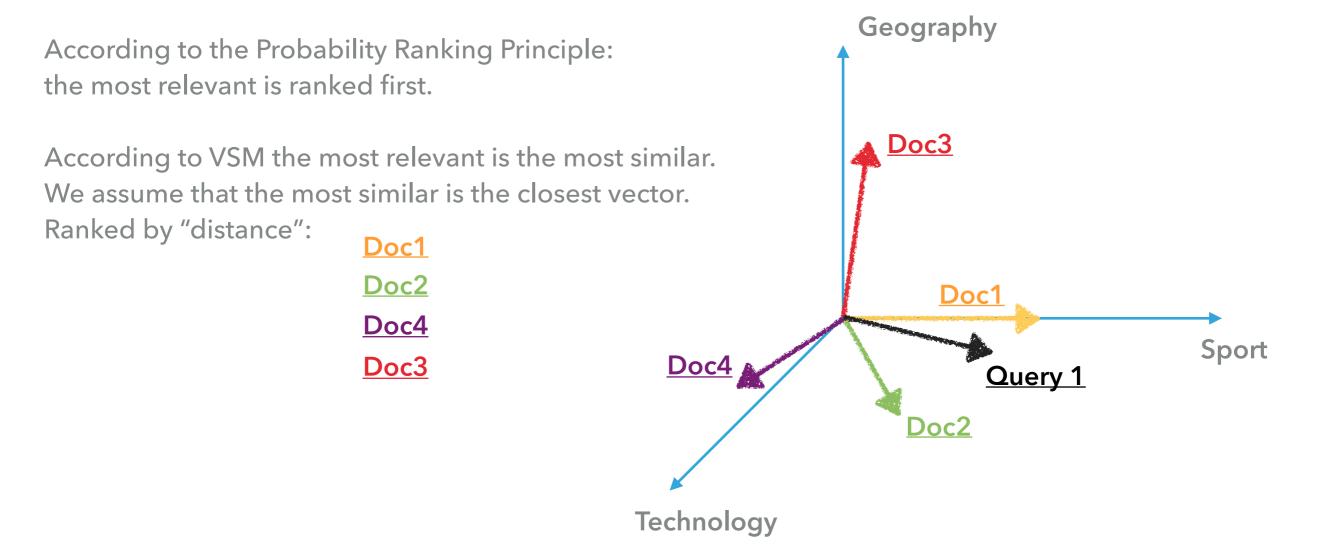
Query 1

Doc2

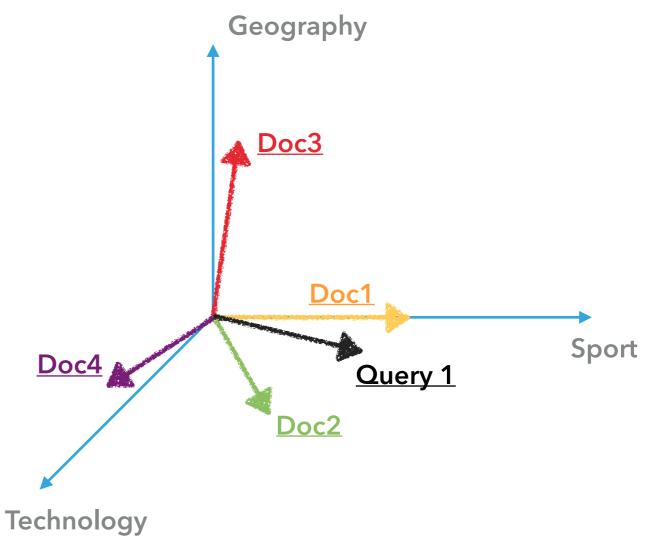
Geography

Which is the furthest documents from Query 1? Technology

Documents and queries are represented as concept vectors. Each concept is a cell in a vector:



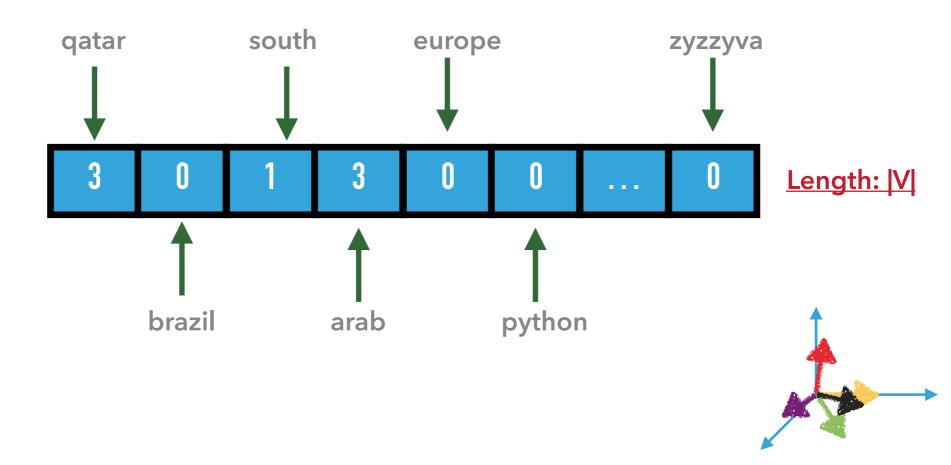
- Getting a semantic representation such as the concepts of "Geography", "Sport", "Technology" is hard (but not impossible).
- ▶ There is an easier way to obtain these vectors a representation that we have seen

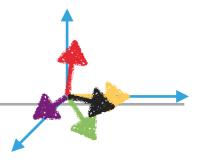


- Getting a semantic representation such as the concepts of "Geography", "Sport", "Technology" is hard (but not impossible).
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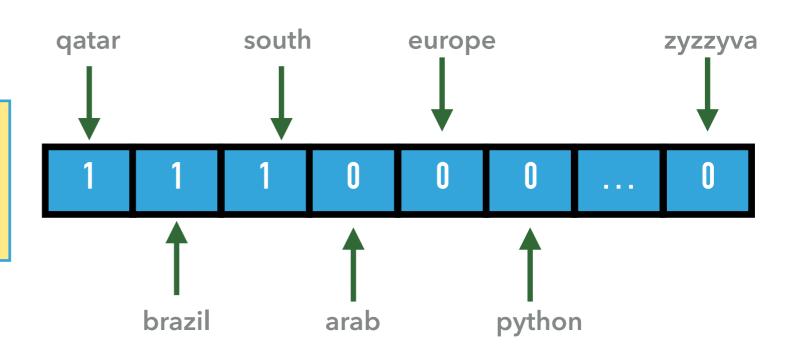
Bag of words with vocabulary size V can be represented as vectors in an V-dimensional space



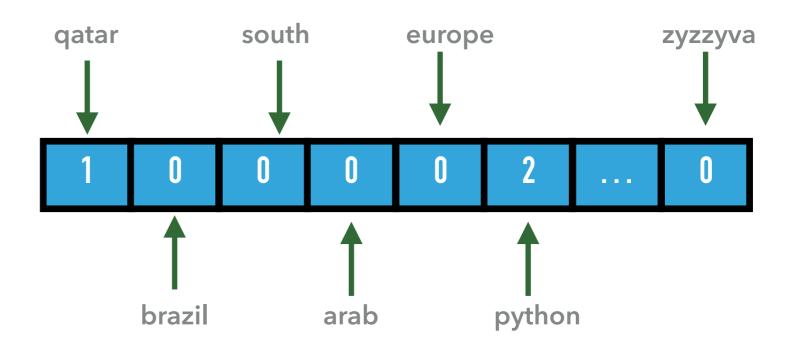


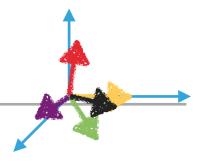


qatar is in the north hemisphere and brazil is in the south

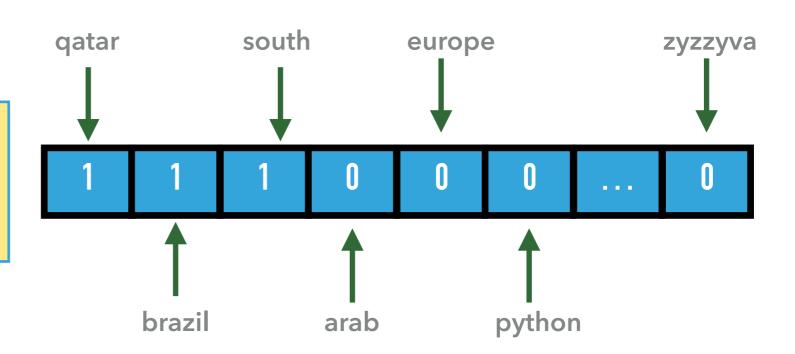


i love the python language but i am afraid i will find a real python in the desert in qatar

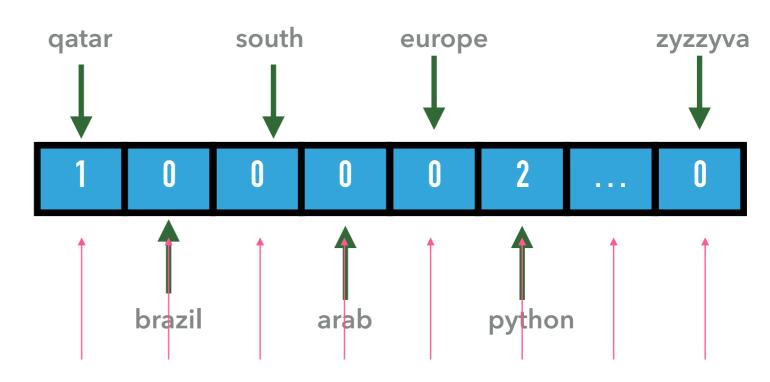




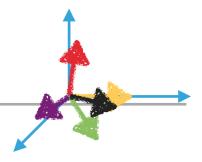
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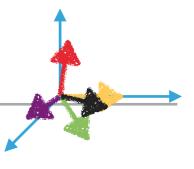
Every value is a weight for a word/token in the vector space model



# **HOW TO CALCULATE THESE WEIGHTS?**

- Empirical research found two components to be highly relevant:
  - TF: Term frequency (in a document)
  - ▶ IDF: Inverse Document frequency (in the collection)

# 



- A document is more likely to be more important if a query term occurs many times in it.
- Query: "beirut"

The american band Beirut plays indie-rock music. Beirut started in 2006 playing in Santa Fe, New Mexico. The first concert of Beirut happened in New York...

Beirut is the capital of Lebanon. Beirut has an estimated population of 300,000 inhabitants. Beirut...

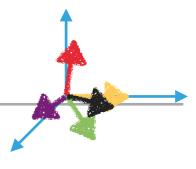
<u>D3</u>

**D1** 

A Brazilian delegation is going to the Middle East to find business partners. The trip will first go to Cairo, then Beirut and finally Doha. Politicians expected that...

**D4** 

Beirut is a drinking game that often appears in Hollywood movies. It is also known as beer pong. Beirut is played...



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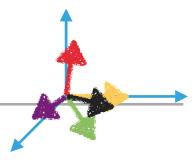
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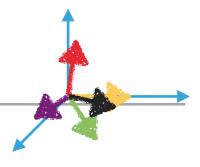
Not very important document, although it happens to have the term beirut in it

<u>D1</u>

D3



- A document is more likely to be more important if a query term occurs many times in it.
- Is it a linear relation?
- $\blacktriangleright$  Doc1 has term T 15 times. Doc2 has term T only 5 times.
- Is Doc1 three times more important than Doc2?

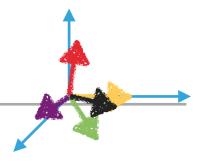


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Doha is the capital of Qatar.

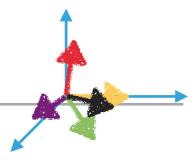
Doha is the capital of Qatar. Doha is the capital of Qatar. Doha is the capital of Qatar.

3 times more important?



# TERM FREQUENCY NORMALIZATION

- Repeated occurrences are less informative than first occurrence
- Relevance does not increase proportionally with number of term occurrence
- Again, empirical research found that using <u>logarithm of TF</u> is a very effective way to reduce the impact of these frequent terms.
- ▶ But it is not the only normalization that exists...



#### TERM FREQUENCY NORMALIZATION

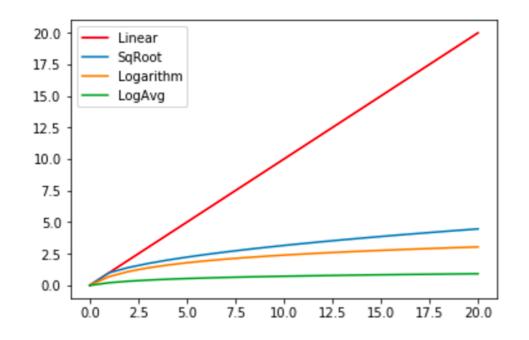
```
import matplotlib.pyplot as plt
import numpy as np

x = np.arange(0, 21) # [0,1,2,3,4....20]
linear = x
logarithm = np.log(x + 1.)
sqrt = np.sqrt(x)
logavg = np.log(1 + x) / (1 + np.log(np.average(x)))

plt.plot(x, linear, c="r")
plt.plot(x, sqrt)
plt.plot(x, logarithm)
plt.plot(x, logavg)

plt.legend(["Linear", "SqRoot", "Logarithm", "LogAvg",])
```

<matplotlib.legend.Legend at 0x10b686d90>



```
import matplotlib.pyplot as plt
import numpy as np

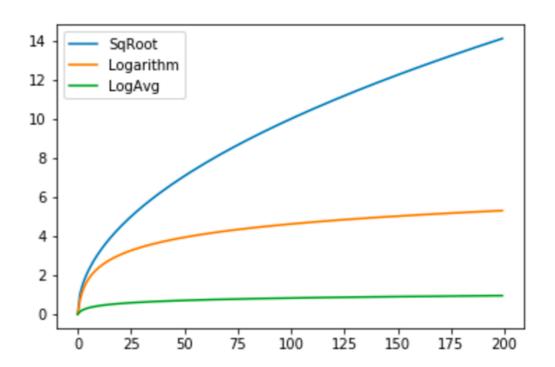
x = np.arange(0, 200) # [0,1,2,3,4....20]

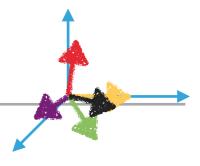
logarithm = np.log(x + 1.)
sqrt = np.sqrt(x)
logavg = np.log(1 + x) / (1 + np.log(np.average(x)))

plt.plot(x, sqrt)
plt.plot(x, logarithm)
plt.plot(x, logavg)

plt.legend(["SqRoot", "Logarithm", "LogAvg"])
```

<matplotlib.legend.Legend at 0x10ad15990>



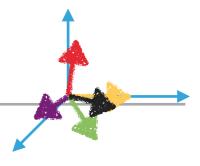


#### TERM FREQUENCY NORMALIZATION

Not a linear relationship anymore:

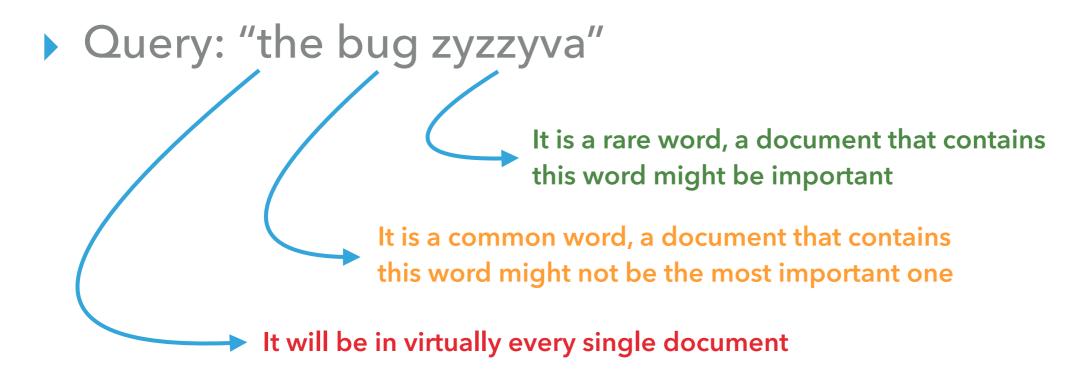
```
Relationship between v1 (15) and v2 (5): 3.000. Logs: 1.683
Relationship between v1 (150) and v2 (50): 3.000. Logs: 1.281
Relationship between v1 (1500) and v2 (500): 3.000. Logs: 1.177
Relationship between v1 (15000) and v2 (5000): 3.000. Logs: 1.129
```

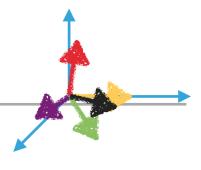
# 



## **DOCUMENT FREQUENCY**

- Theory: a term is more discriminative if it occurs only in fewer documents
- We count the number of documents in which a term occurred.

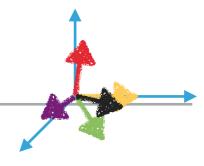




#### **DOCUMENT FREQUENCY**

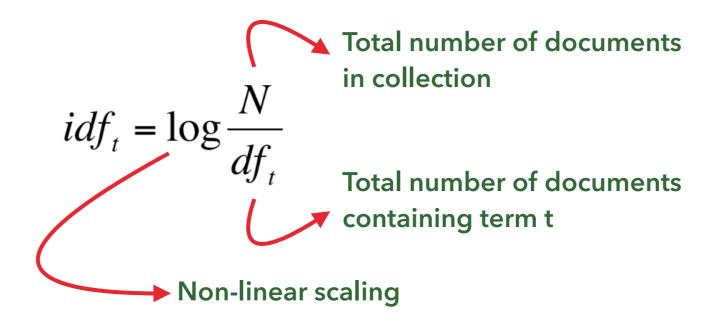
- Suppose the document frequency of "the", "bug" and "zyzzyva" in our simple wikipedia collection is:
  - the -> 174.925
  - bug -> 414
  - zyzzyva -> 1
- Intuitively we want for query "the bug zyzzyva"
  - ▶ the receives a small score
  - bug receives a medium score
  - zyzzyva receives a high score

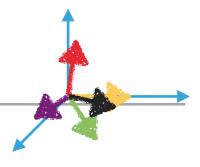
inverse of document frequency



#### INVERSE DOCUMENT FREQUENCY

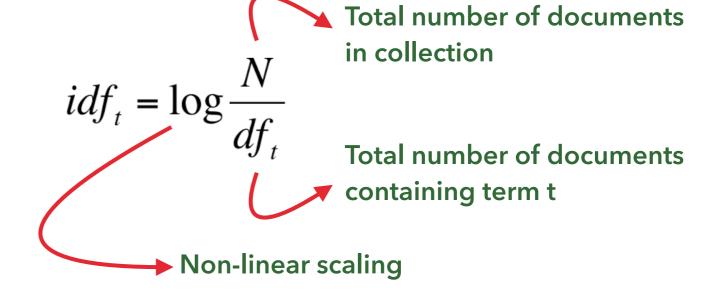
Most used version of IDF:



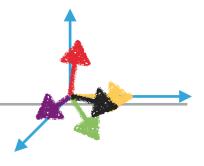


## **INVERSE DOCUMENT FREQUENCY**

Most used version of IDF:

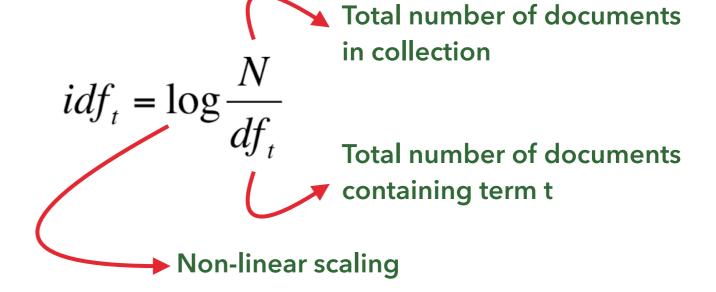


- Given N = 174.925, calculate IDF for:
  - the -> 174.925
  - bug -> 414
  - zyzzyva -> 1



#### INVERSE DOCUMENT FREQUENCY

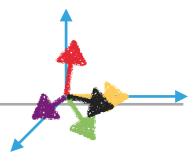
Most used version of IDF:



- ► Given N = 174.925, calculate IDF for:
  - the -> 174.925
  - bug -> 414
  - zyzzyva -> 1

```
print "IDF for 'the' %.3f" % math.log(174925 / 174925)
print "IDF for 'bug' %.3f" %math.log(174925 / 414)
print "IDF for 'zyzzyva' %.3f" %math.log(174925 / 1)

IDF for 'the' 0.000
IDF for 'bug' 6.045
IDF for 'zyzzyva' 12.072
```



#### **IMPORTANT NOTE**

#### There are plenty of formulations for TF and IDF:

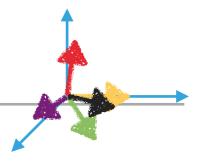
#### Variants of TF weight

weighting scheme	TF weight
binary	0, 1
raw frequency	$oxed{f_{t,d}}$
log normalization	$1 + \log(f_{t,d})$
double normalization 0.5	$0.5 + 0.5 \cdot rac{f_{t,d}}{\max_{\{t' \in d\}} f_{t',d}}$
double normalization K	$K + (1-K) rac{f_{t,d}}{\max_{\{t' \in d\}} f_{t',d}}$

#### Variants of IDF weight

weighting scheme	IDF weight ( $n_t =  \{d \in D: t \in d\} $ )
unary	1
inverse document frequency	$\log rac{N}{n_t}$
inverse document frequency smooth	$\log\!\left(rac{N}{1+n_t} ight)$
inverse document frequency max	$\log\!\left(rac{\max_{\{t'\in d\}}n_{t'}}{1+n_t} ight)$
probabilistic inverse document frequency	$\log rac{N-n_t}{n_t}$

- What to keep in mind:
  - > TF: term frequency in a document is important
  - ▶ IDF: rare terms in a collection are more important than common ones



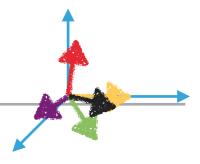
#### **KEEP IN MIND**



- > TF/IDF
- TF\*IDF
- TFIDF
- TF\_IDF

$$w(t,d) = TF(t,d) \times IDF(t)$$

## TFIDF AND VSM



#### TF-IDF WEIGHTS IN VSM

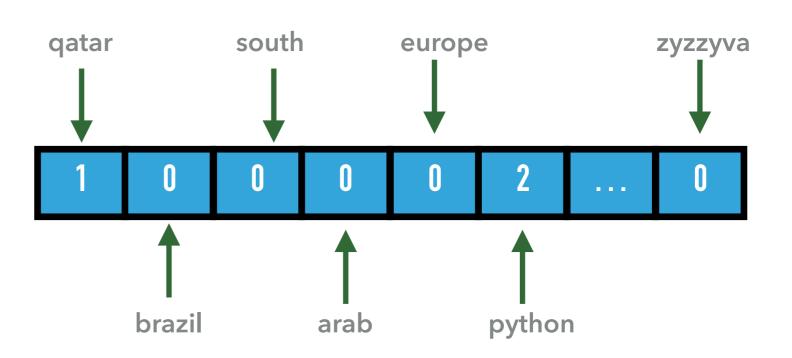
i love the python language but i am afraid i will find a real python in the desert in qatar

N = 100.000

#### **QATAR:**

 $df_qatar = 280$ 

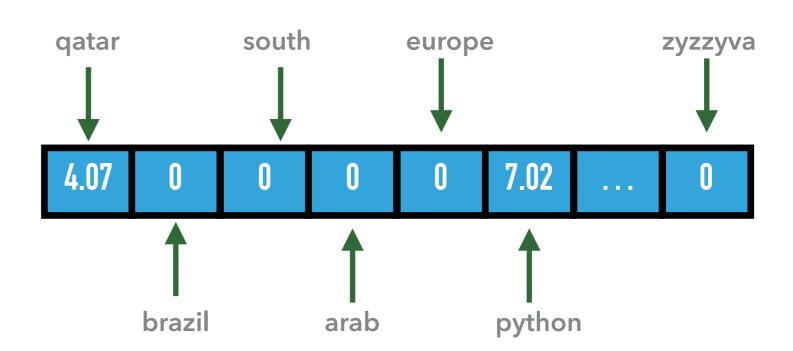
 $idf_qatar = log(100K/280) = 5.87$ 

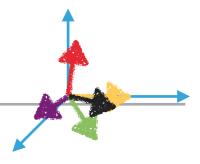


#### **PYTHON**

 $df_python = 160$ 

 $idf_{python} = log(100K/160) = 6.44$ 





## TF-IDF WEIGHTS IN VSM

i love the python language but i am afraid i will find a real python in the desert in qatar

N = 100.000

#### **QATAR:**

 $df_qatar = 280$ 

 $idf_qatar = log(100K/280) = 5.87$ 

 $tf_qatar = log(1+1) = 0.69$ 

 $tf_idf_qatar = 5.8 * 0.69 = 4.07$ 

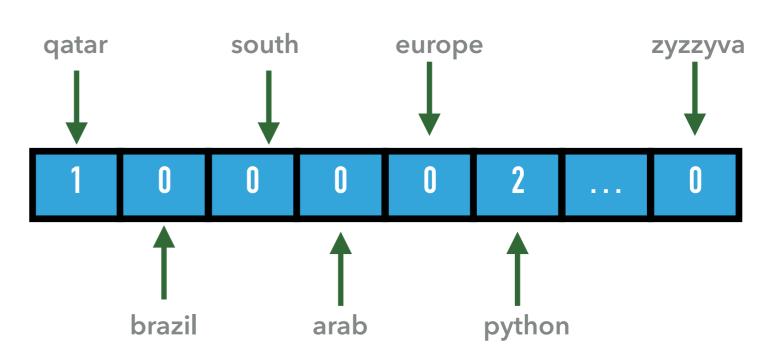
#### **PYTHON**

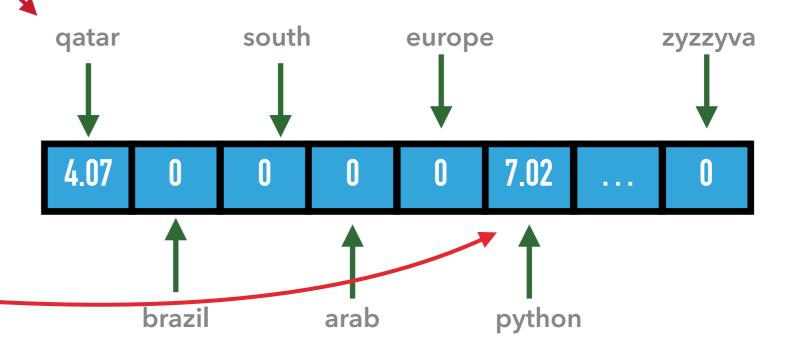
 $df_python = 160$ 

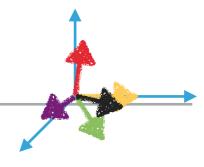
 $idf_{python} = log(100K/160) = 6.44$ 

 $tf_{python} = log(1 + 2) = 1.09$ 

 $tf_idf_python = 6.44 * 1.09 = 7.02 -$ 

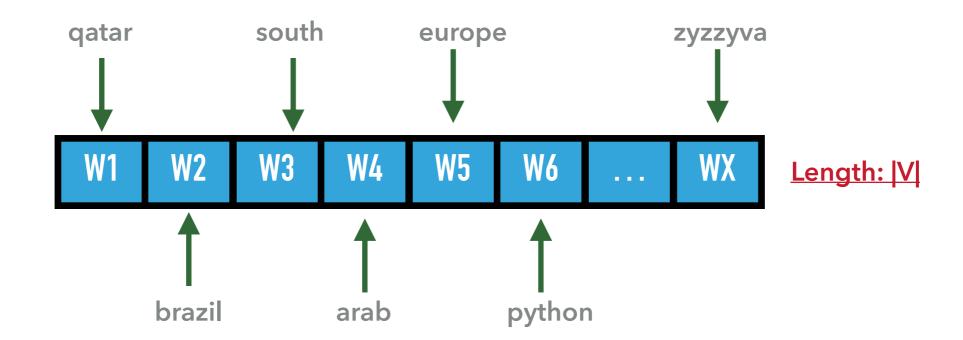




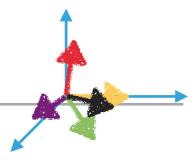


## WHAT DO WE HAVE?

We have a way to represent documents and queries



We still need a similarity/distance measure!

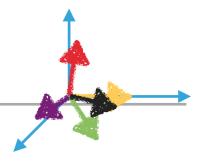


#### **SIMILARITY MEASURES**

- There are many options for similarity measure:
  - Inner product
  - Dice / Jaccard similarity
  - Euclidian distance:

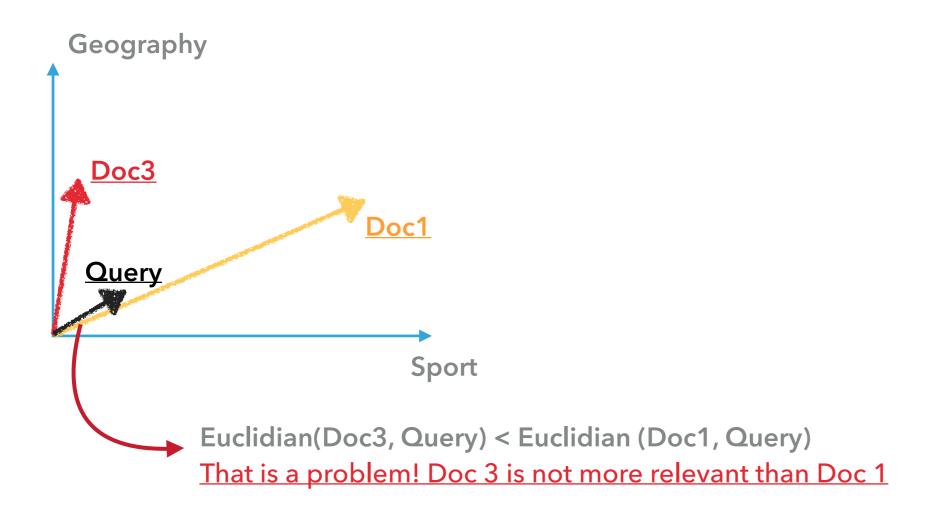
$$dist(q,d) = \sqrt{\sum_{t \in V} [tf(t,q)idf(t) - tf(t,d)idf(t)]^2}$$
query terms
document terms

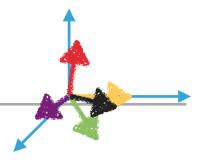
 Problem: longer documents will be strongly penalized by extra terms



## FROM DISTANCE TO ANGLE

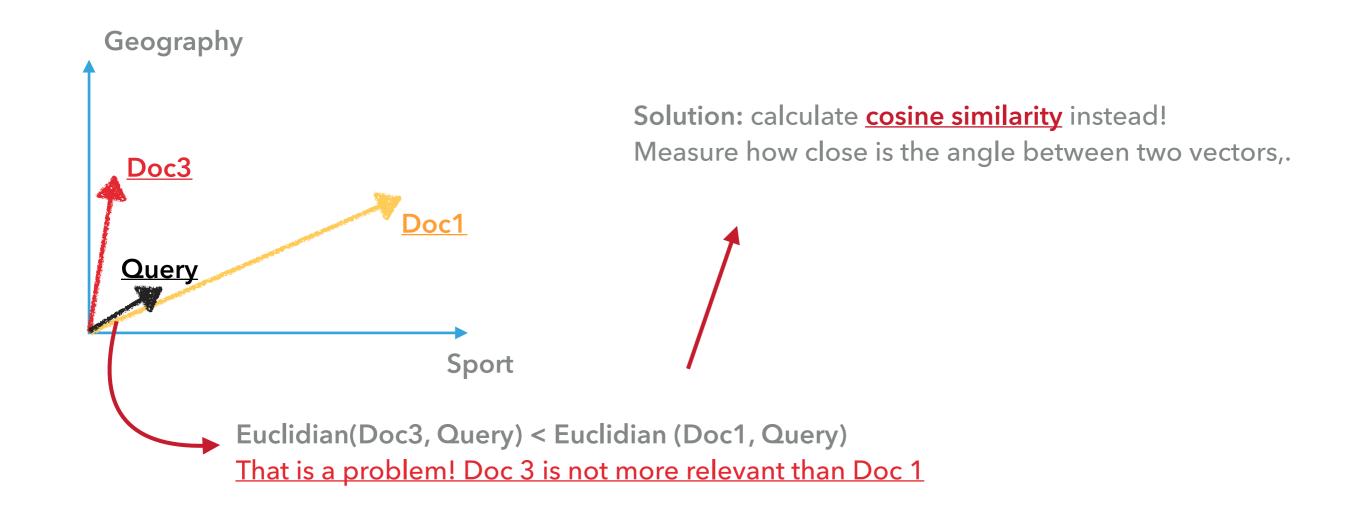
It is more important to calculate how two vectors overlap

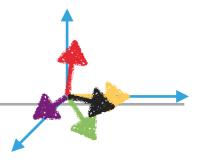




#### FROM DISTANCE TO ANGLE

It is more important to calculate how two vectors overlap





#### **COSINE SIMILARITY**

 $\rightarrow$  sim(d,q) = cosine(V<sub>d</sub>, V<sub>q</sub>) = cosine(A, B)

$$\cos(\mathsf{A},\mathsf{B}) = \ \frac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = \frac{\sum\limits_{i=1}^{\sum} A_i B_i}{\sqrt{\sum\limits_{i=1}^{n} A_i^2} \sqrt{\sum\limits_{i=1}^{n} B_i^2}}$$

 $rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^{\sum} A_i B_i}{\sqrt{\sum\limits_{i=1}^{n} A_i^2} \sqrt{\sum\limits_{i=1}^{n} B_i^2}}$ 

- Vector: [coffee, tea, milk, sugar, cup]
- ▶ Doc 1: "Would you like a cup of coffee?
  - **1** [1, 0, 0, 0, 1]
- Doc 2: "I take milk and sugar with coffee or tea"
  - **1** [1, 1, 1, 1, 0]
- Doc 3: "The recipe uses a cup of milk and a cup of sugar"
  - **(**0, 0, 1, 1, 2]
- Query: "The coffee barista doesn't drink coffee, but will drink milk"
  - **)** [2, 0, 1, 0, 0]

$$rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}}$$

$$||Q|| = \operatorname{sqrt}(2*2 + 0*0 + 1*1 + 0*0 + 0*0) = \operatorname{sqrt}(5) = 2.24$$

$$D1$$
 = sqrt(1\*1 + 0\*0 + 0\*0 + 0\*0 + 1\*1) = sqrt(2) = 1.41

$$||D2|| = \operatorname{sqrt}(1*1 + 1*1 + 1*1 + 1*1 + 0*0) = \operatorname{sqrt}(4) = 2.00$$

$$||D3|| = \operatorname{sqrt}(0*0 + 0*0 + 1*1 + 1*1 + 2*2) = \operatorname{sqrt}(6) = 2.45$$

$$rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}}$$

• Q: 
$$[2, 0, 1, 0, 0]$$
 •  $||Q|| = sqrt(2*2 + 0*0 + 1*1 + 0*0 + 0*0) = sqrt(5) = 2.24$ 

$$\bigcirc$$
 Q.D1 = 2\*1 + 0\*0 + 1\*0 + 0\*0 + 0\*1 = 2

$$Q \cdot D2 = 2*1 + 0*1 + 1*1 + 0*1 + 0*0 = 3$$

$$\bigcirc$$
 Q. D3 = 2\*0 + 0\*0 + 1\*1 + 0\*1 + 0\*2 = 1

$$rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}}$$

- Q: [2, 0, 1, 0, 0] ||Q|| = sqrt(2\*2 + 0\*0 + 1\*1 + 0\*0 + 0\*0) = sqrt(5) = 2.24

- Q. D2 = 2\*1 + 0\*1 + 1\*1 + 0\*1 + 0\*0 = 3 Cos(Q, D2) = 3/(2.24 \* 2.00) = 0.67
- Q. D3 = 2\*0 + 0\*0 + 1\*1 + 0\*1 + 0\*2 = 1 Cos(Q, D3) = 1/(2.24 \* 2.45) = 0.18

$$rac{{f A} \cdot {f B}}{\|{f A}\| \|{f B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}}$$

- Q: [2, 0, 1, 0, 0] ||Q|| = sqrt(2\*2 + 0\*0 + 1\*1 + 0\*0 + 0\*0) = sqrt(5) = 2.24
  - $D1: [1, 0, 0, 0, 1] \qquad |D1| = sqrt(1*1 + 0*0 + 0*0 + 0*0 + 1*1) = sqrt(2) = 1.41$
- Q.D1 = 2\*1 + 0\*0 + 1\*0 + 0\*0 + 0\*1 = 2 Cos(Q, D1) = 2/(2.24\*1.41) = 0.63 2nd

#### **CONCLUSION - VSM**

- Empirically effective
- Intuitive
- Easy to implement



- Assume term independence
- Assume query and document to be the same
- Many arbitrary term weighting and similarity measures

#### TODAY'S LECTURE IN THE STANFORD IR BOOK

- Chapter 6.2 Term frequency and weighting
- Chapter 6.3 Vector space model
- Chapter 6.4 TF-IDF variant functions