

67-300 SEARCH ENGINES

LANGUAGE MODEL

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LECTURE GOALS

- Missing notes on floating representation in a computer
- Language Model
- Discussion on Homework
- Implementation Part (IPython notebook) If we have time

FLOATING PRECISION AND LOGARITHM

LOG TRANSFORMATION

- Floating-point numbers are represented in base 2 fraction.
 - \triangleright 0.125 => 1/10 + 2/100 + 5/1000 (human representation)
 - 0.125 = > 0/2 + 0/4 + 1/8 (computer representation)
- Not precise, best approximation with 53 bits for precision
- ▶ How good is the human representation/precision for 1/3?
 - **0.3?**
 - **0.333?**
 - 0.3333333?

PRECISION LIMITED

```
[In [1]: 1./3
[In [2]: print "%.100f" % (1./3)
0.33333333333333314829616256247390992939472198486328125000000000000000
[In [3]: 1/3. > 0.3333333333333333
Out[3]: True
[In [4]: 1/3. > 0.33333333333333333
Out[4]: False
[In [5]: 1/3. == 0.3333333333333333
Out[5]: True
[In [6]: 1/3. == 0.3333333333333333
Out[6]: False
[In [7]: 1/3. == 0.333333333333333333
Out[7]: True
```

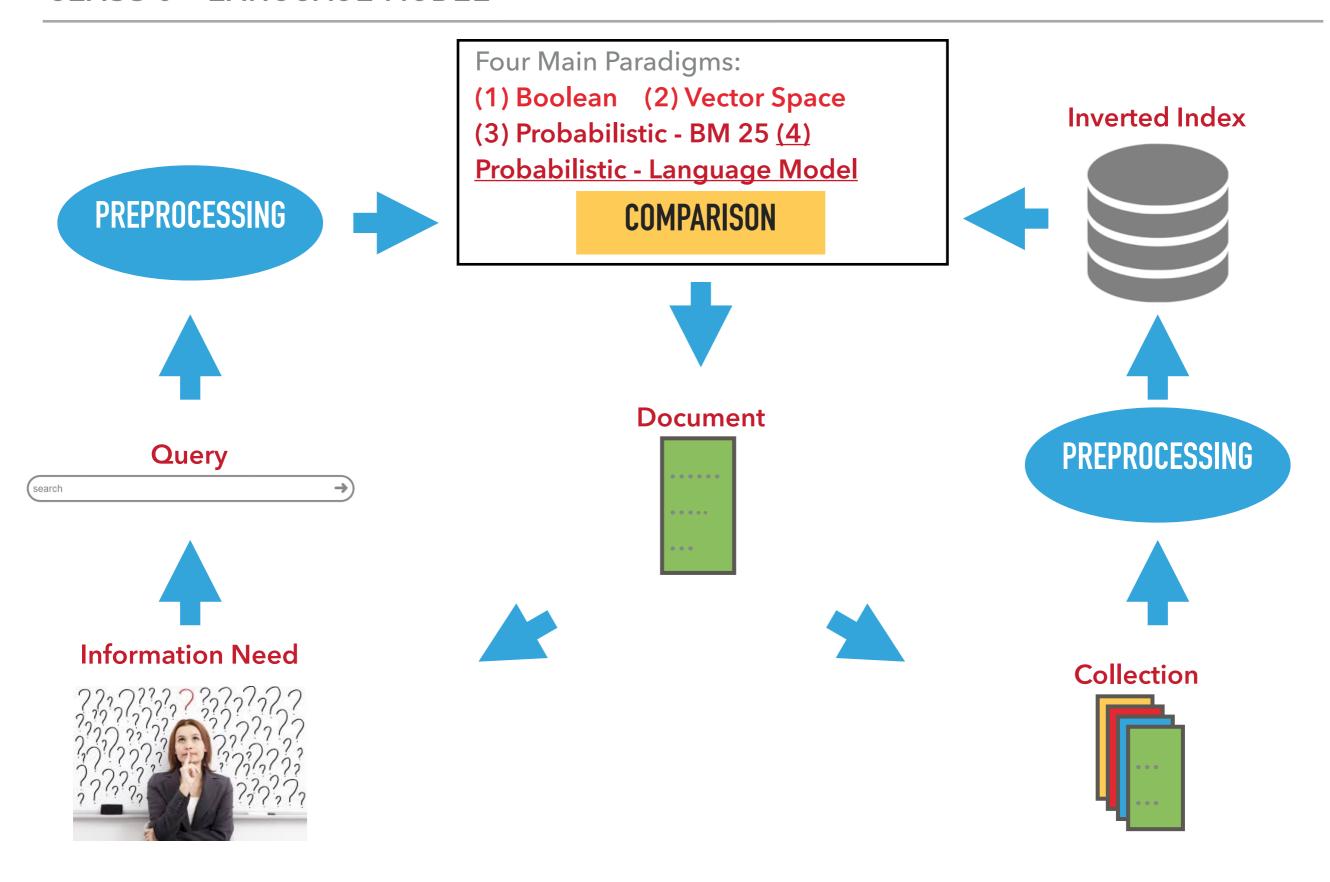
PRECISION LIMITED

```
[In [1]: 0.1
Out[1]: 0.1
[In [2]: 0.1 + 0.1]
Out[2]: 0.2
[In [3]: 0.1 + 0.1 + 0.1
Out [3]: 0.3000000000000000004
[In [4]: 0.3 == 0.1 + 0.1 + 0.1]
Out[4]: False
```

PRECISION LIMITED

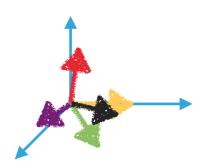
$$RSV = \log \prod_{x_i=1; q_i=1} \frac{p_i \times (1-r_i)}{r_i \times (1-p_i)} = \sum_{x_i=1; q_i=1} \log \frac{p_i \times (1-r_i)}{r_i \times (1-p_i)}$$

```
[In [33]: 1e-10 * 1e-10
Out[33]: 1.000000000000000001e-20
                                        CHANGING FROM PRODUCT TO SUM ALLEVIATE
                                           PRECISION PROBLEMS. AMONG OTHER
[In [34]: 1e-10 * 1e-10 > 1e-20
                                                   ADVANTAGES.
Out[34]: True
[In [<mark>35</mark>]: math.log(1e-10) + math.log(1e-10) > math.log(1e-20)
Out[35]: False
[In [<mark>36</mark>]: math.log(1e-10) + math.log(1e-10) < math.log(1e-20)
Out[36]: False
[In [37]: math.log(1e-10) + math.log(1e-10) == math.log(1e-20)
Out[37]: True
```



RECAP: BIM

FRAMEWORKS RECAP



- VSM: strong geometric motivation
- Probabilistic framework:

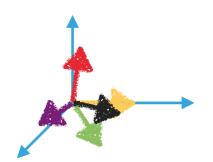
$$P(R_{d,q} = 1|d,q)$$

Binary Independence Model

document	relevant (R=1)	nonrelevant(R=0)
term present $x_i = 1$	Pi	r i
term absent x _i =0	1-pi	1-r _i

$$O(R|q,x) = \frac{P(R=1|q,x)}{P(R=0|q,x)} = \sum_{i}^{|V|} \frac{p_i}{r_i} \times \frac{(1-r_i)}{(1-p_i)}$$

FRAMEWORKS RECAP



- VSM: strong geometric motivation
- Probabilistic framework:

$$P(R_{d,q} = 1|d,q)$$

Binary Independence Model

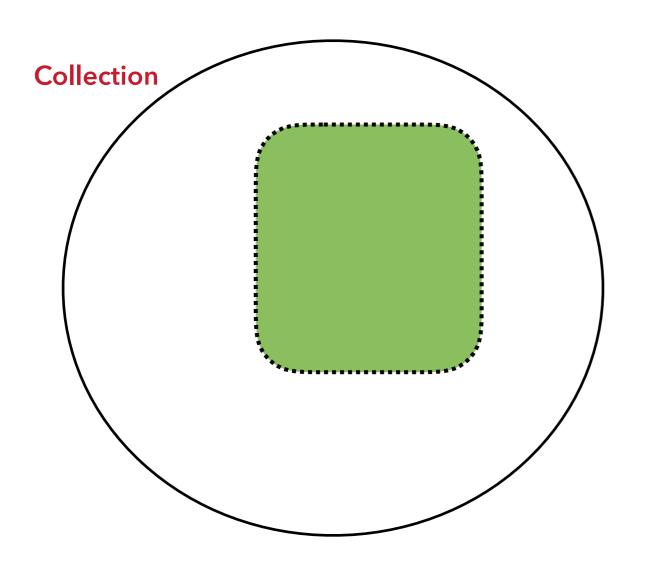
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Term i is in this document. How much certainty are we that this is a relevant doc?

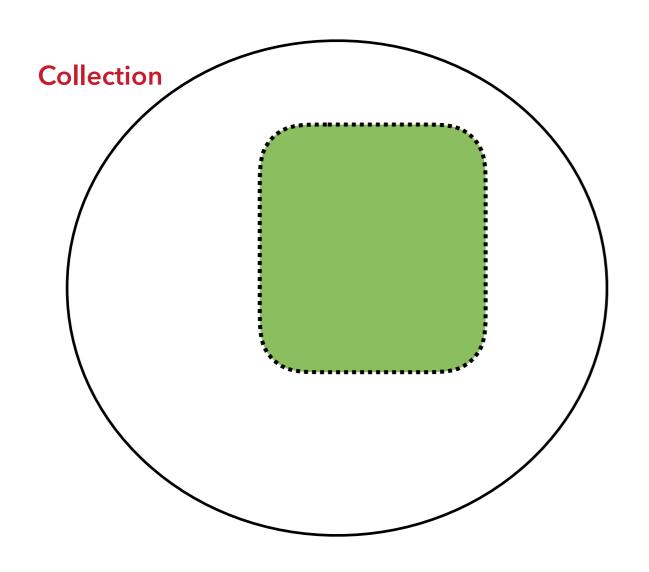
Term i is NOT in this document. How much certainty are we that this is a relevant doc?

Query: "lincoln"



- Retrieve all documents that contain "lincoln"
- No values for p_i. Only sort docs by IDF only
- All document have the same score!

Query: "lincoln"

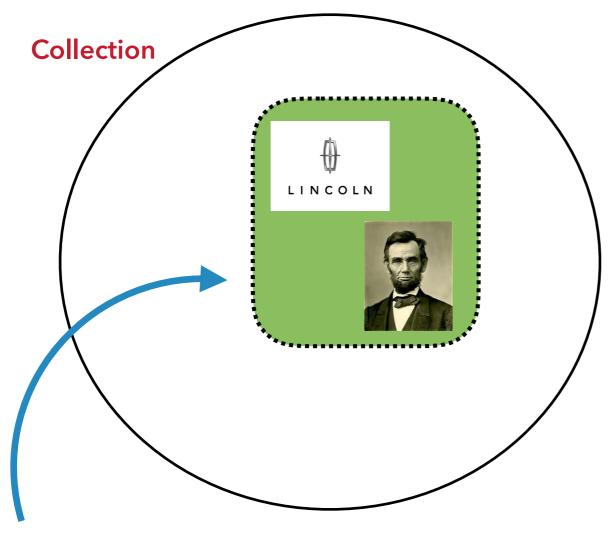


- Retrieve all documents that contain "lincoln"
- No values for p_i. Only sort docs by IDF only
- All document have the same score!

Can you tell me why?

<u>Is it the same output of a Boolean search??</u>

Query: "lincoln"



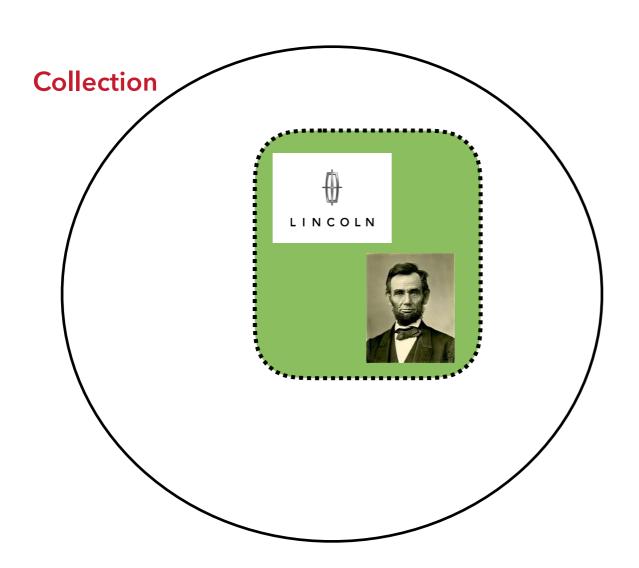
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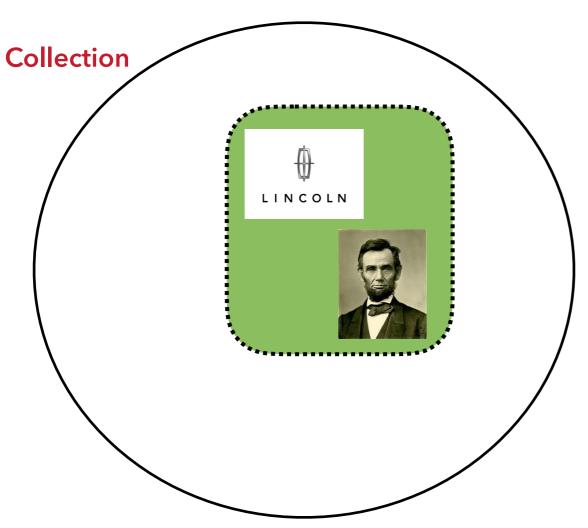
Not all documents are relevant!

Query: "lincoln"



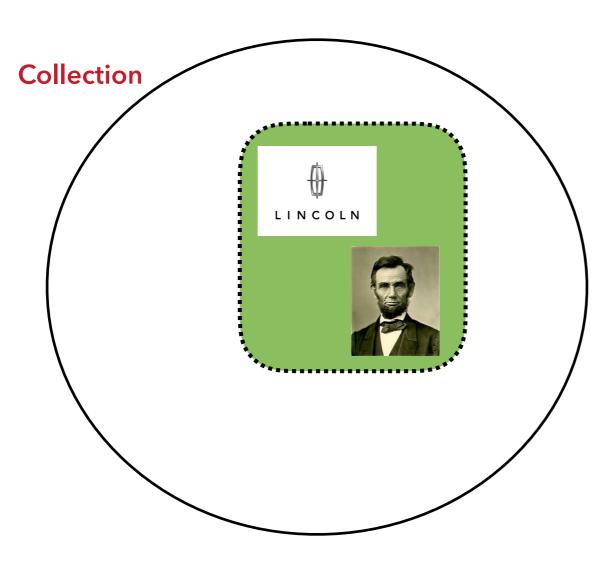
User reads some documents and state that he/she wants documents like Di and Dj

Query: "lincoln"



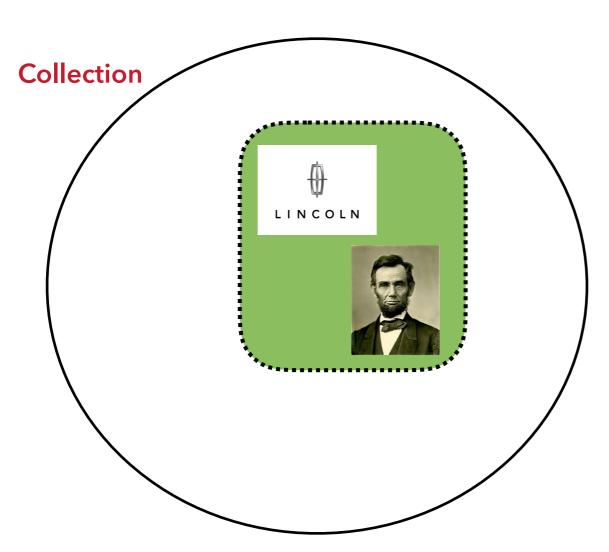
- User reads some documents and state that he/she wants documents like Di and Dj
- Algorithm inspects terms in document Di, Dj
 - Terms from the relevant documents: life, bio, gettysburgh...

Query: "lincoln"



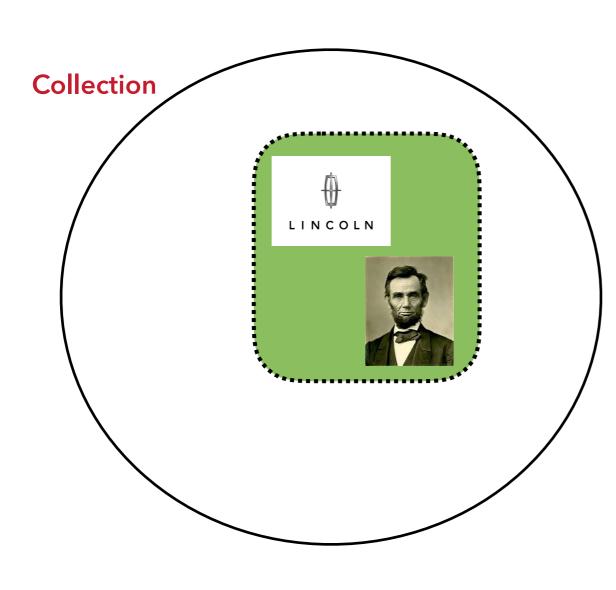
- User reads some documents and state that he/she wants documents like Di and Dj
- Algorithm inspects terms in document Di, Dj
 - Terms from the relevant documents: life, bio, gettysburgh...
 - Algorithm inspects terms in all other documents
 - Terms from the non relevant documents: car, automobile...

Query: "lincoln"



For every term t, what is the likelihood of t being present in relevant docs Vs. being present in non relevant docs.

Query: "lincoln"

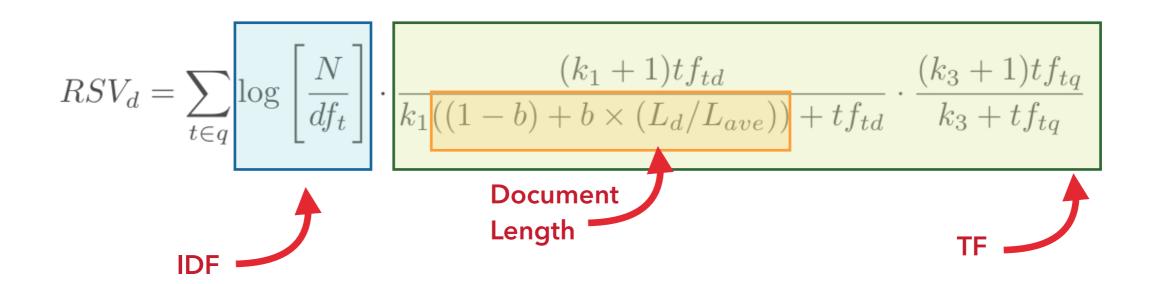


- For every term <u>t</u>, what is the likelihood of <u>t</u> being present in relevant docs Vs. being present in non relevant docs.
- Term: "biography"
 - What is $P(R = 1 \mid "biography")$?
 - What is $P(R = 0 \mid "biography")$?
- Term: "industry"
 - What is P(R = 1 | "industry")?
 - What is $P(R = 0 \mid "industry")$?

BM25

$$P(R_{d,q} = 1|d,q)$$

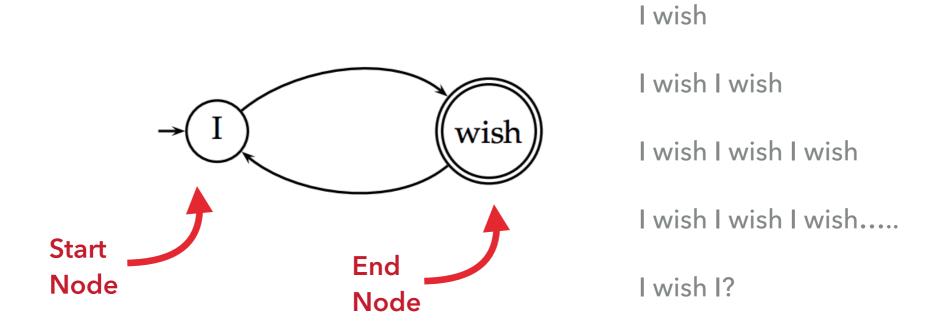
- Empirical way to instantiate the probabilistic framework
- Removed binary assumption from BIM



LANGUAGE MODELS

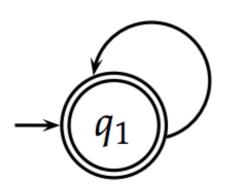
LANGUAGE MODELS

- Statistical natural language processing approach.
- Generative model:
 - Let Md be the language model define by this finite automaton:



GENERATIVE MODEL

► How about this other M_d:



 $P(\text{STOP}|q_1) = 0.2$

the 0.2
a 0.1
frog 0.01
toad 0.01
said 0.03
likes 0.02
that 0.04
...

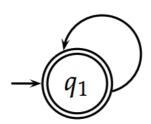
Probabilities sum to 1

$$\sum_{t \in L} P(t) = 1$$

P(frog said that toad likes frog)

GENERATIVE MODEL

▶ How about this other M_d:



 $P(\text{STOP}|q_1) = 0.2$

the	0.2
a	0.1
frog	0.01
toad	0.01
said	0.03
likes	0.02
that	0.04

- We can calculate the probability of seen the sequence: "frog said that toad likes frog".
 - P(frog said that toad likes frog): 0.000000000001573
 - P(Today is Monday): 0.00001
 - ▶ P(The capital of Qatar is Doha): 0.0000012345

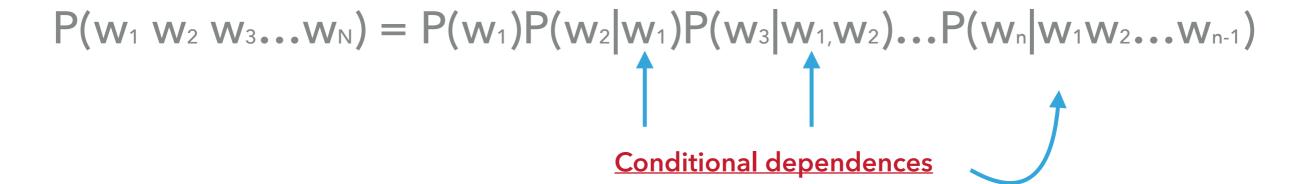
Chain rule tell us that we need to calculate the following:

$$P(w_1 \ w_2 \ w_3...w_N) = ?$$

Chain rule tell us that we need to calculate the following:

 $P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2|w_1)P(w_3|w_1,w_2)...P(w_n|w_1w_2...w_{n-1})$

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$$Conditional dependences$$

▶ How can we get rid of these conditional dependences?

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$$P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2|w_1)P(w_3|w_1,w_2)...P(w_n|w_1w_2...w_{n-1})$$

$$Conditional dependences$$

- ▶ How can we get rid of these conditional dependences?
 - Again: assuming some degree of independence for terms in a text

Example: $P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2)P(w_3)...P(w_n)$

PROBABILITY OF GENERATING A TEXT

Unigram Language model:

No Conditional dependences at all

$$P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2)P(w_3)...P(w_n)$$

Bigram Langauge model:

Dependence restrict the last term

$$P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2|w_1)P(w_3|w_2)...P(w_n|w_{n-1})$$

Trigram Language model:

Dependence restrict the last two terms

$$P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2 \ w_1)P(w_3 \ w_2w_1)...P(w_n \ w_{n-1}w_{n-2})$$

N-gram Language model:

Dependence restrict the last n-1 terms

$$P(w_1 \ w_2 \ w_3...w_N) = P(w_1)P(w_2 \ w_1)P(w_3 \ w_2w_1)...P(w_n \ w_{n-1}w_{n-2...}w_{n-N-1})$$

Which one to use?

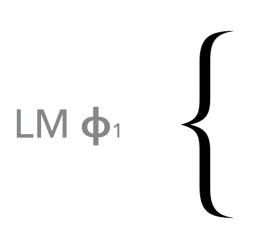
IN INFORMATION RETRIEVAL...

Most of the time we use the Unigram Language Model. Why?

Simple enough and powerful enough for this task

IN INFORMATION RETRIEVAL...

Most of the time we use the Unigram Language Model. Why?



qatar 0.01 location 0.002 south 0.003 arab 0.0009

nutrition 0.00002 food 0.0000001

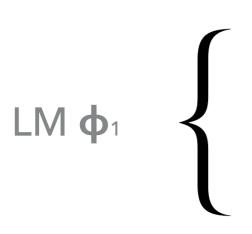
LM ф2

qatar 0.00000003 location 0.0001 south 0.00005 arab 0.003

nutrition 0.001 food 0.01

IN INFORMATION RETRIEVAL...

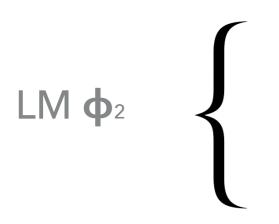
Most of the time we use the Unigram Language Model. Why?



qatar 0.01 location 0.002 south 0.003 arab 0.0009

nutrition 0.00002 food 0.0000001

Word distribution for a document about the location of qatar

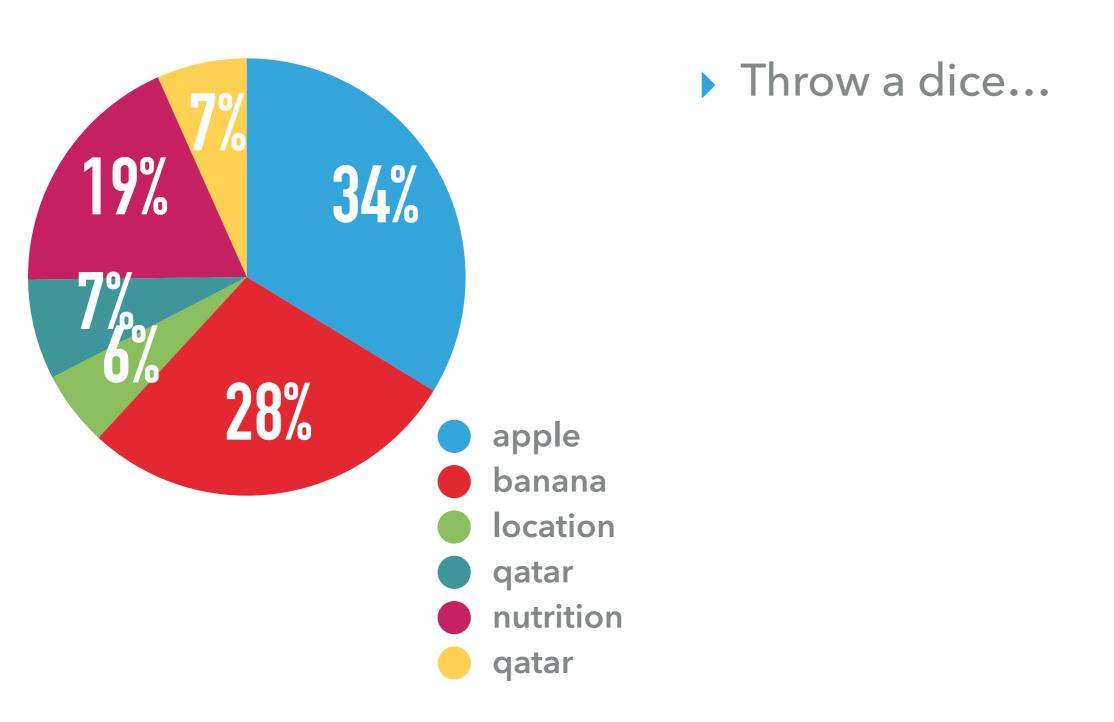


qatar 0.00000003 location 0.0001 south 0.00005 arab 0.003

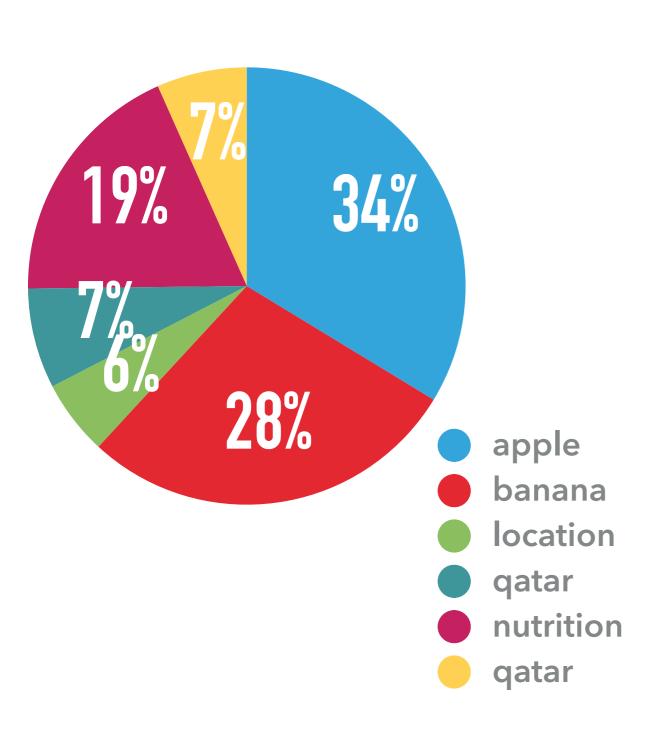
nutrition 0.001 food 0.01

Word distribution for a document about health food

GENERATING TEXT IN UNIGRAM LM



GENERATING TEXT IN UNIGRAM LM



- Throw a dice...
 - Generates word W₁
- Throw another dice...
 - Generates word W2
- Throw a dice again...
 - Generates word W3

FROM TEXT TO PROBABILITIES...

Qatar is a sovereign country located in Western Asia, occupying the small Qatar Peninsula on the northeastern coast of the Arabian Peninsula. Its sole land border is with Saudi Arabia to the south, with the rest of its territory surrounded by the Persian Gulf. A strait in the Persian Gulf separates Qatar from the nearby island country of Bahrain, as well as sharing maritime borders with the United Arab Emirates and Iran.





$$P("") = 3/79$$

$$P("gulf") = 2/79$$

$$P("arab") = 3/79$$

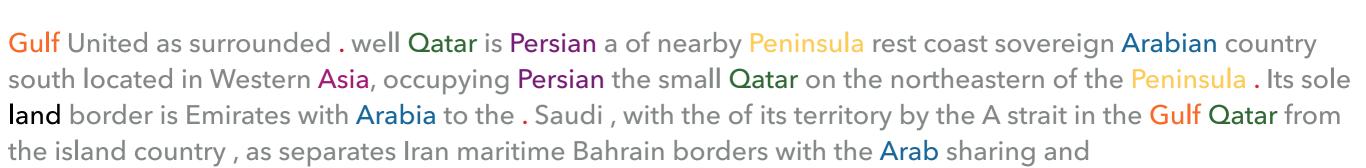
$$P("asia") = 1/79$$

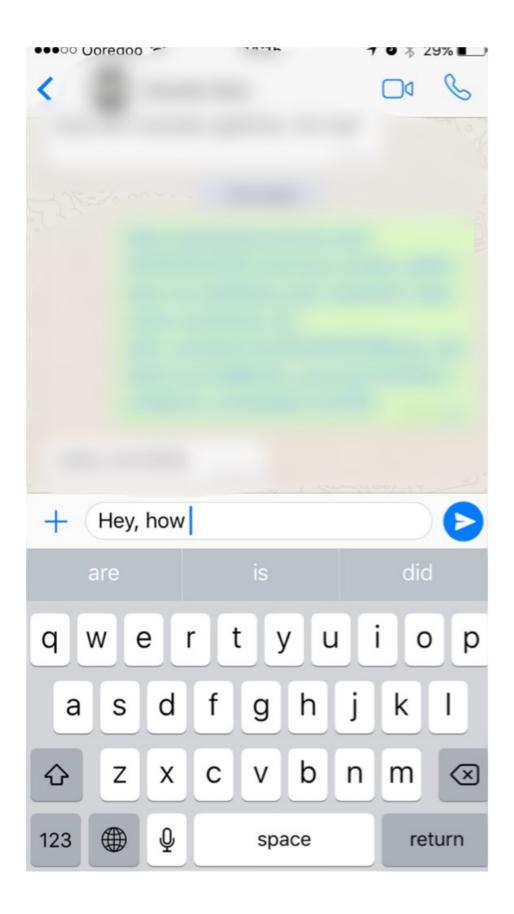
$$P("land") = 1/79$$

FROM PROBABILITIES TO TEXT...

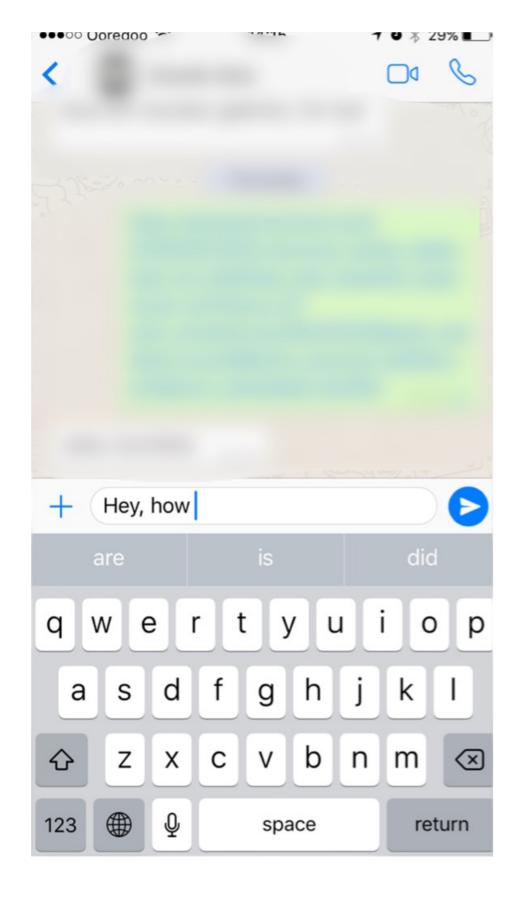
- P("qatar") = 3/79
- P("") = 3/79
- P("gulf") = 2/79
- P("arab") = 3/79

- P("asia") = 1/79
- P("land") = 1/79
- P("persian") = 2/79
- ▶ P("peninsula") = 2/79



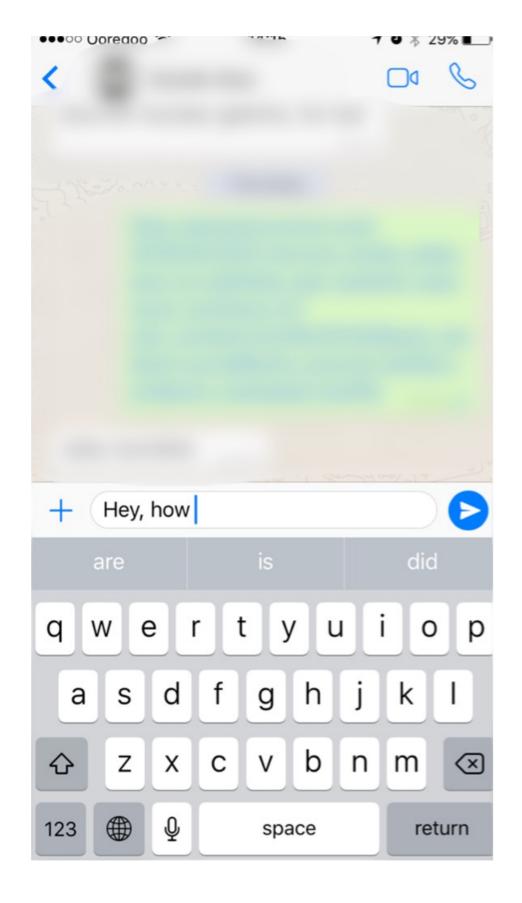


How can we generate these suggestions?



How can we generate these suggestions?

- ▶ E.g. Top 3 term T that maximize:
 - P(T|"Hey, how")
 - P("are" | "Hey, how") > P ("house" | "Hey, how")
 - P("are" | "Hey, how") > P ("do" | "Hey, how")



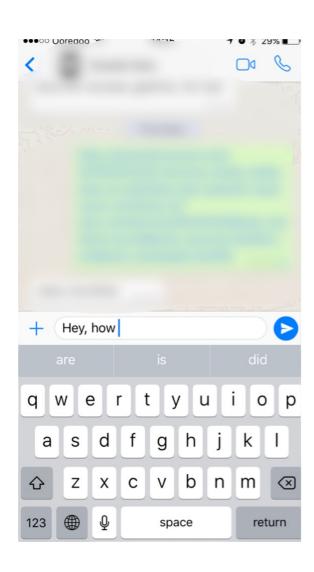
How can we generate these suggestions?

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 - P(T|"Hey, how")
 - P("are" | "Hey, how") > P ("house" | "Hey, how")
 - P("are" | "Hey, how") > P ("do" | "Hey, how")

Unigrams are not enough for this other task...

GENERATING TEXT IN BIGRAM LM

- P("qatar" | <bos>) = 0.00001
- P("you" | <bos>) = 0.01



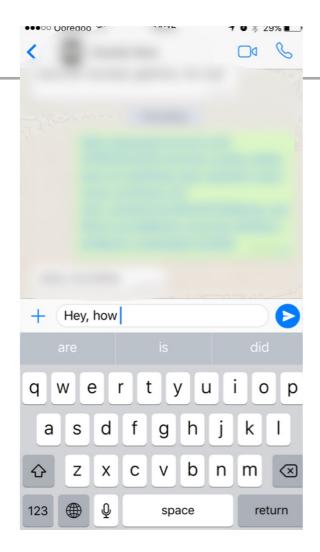
- Throw a dice for the first word:
 - Generates word W₁

GENERATING TEXT IN BIGRAM LM

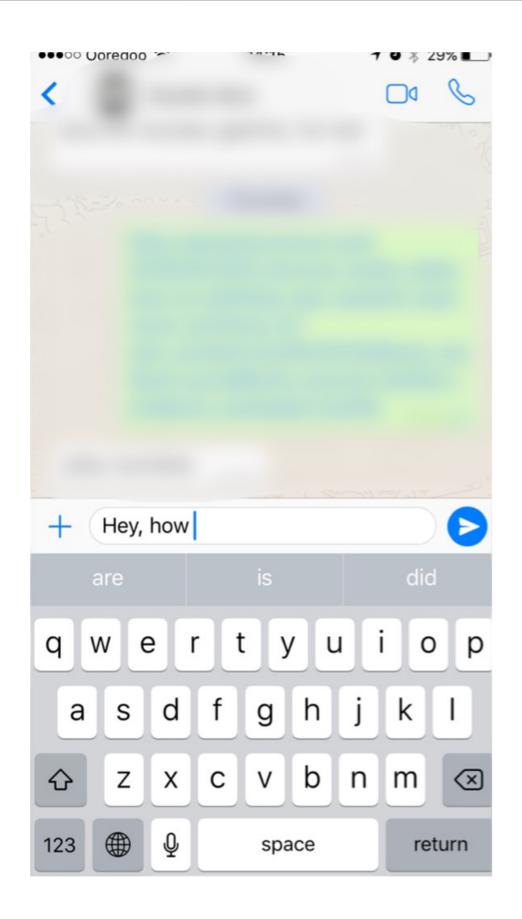
- P("qatar" | < bos>) = 0.00001
- P("you" | <bos>) = 0.01

• • •

- P("are" | "you") = 0.02
- P("is" | "you") = 0.00001
- P("were" | "you") = 0.01
- P("am" | "you") = 0.0001
- P("think" | "you") = 0.003



- Given the first word, throw a dice for the second word:
 - Generates word W2 given W1



- E.g. Top 3 term T that maximize:
 - P(T|"Hey, how")
 - P("are" | "Hey, how") > P ("house" | "Hey, how")
 - P("are" | "Hey, how") > P ("do" | "Hey, how")

Is the max a good function to be implemented here?
Why?

FUNNY COMPARISON

GENERATED FROM LANGUAGE MODELS OF THE NEW YORK TIMES

Unigram:

Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a q acquire to six executives.

Bigram:

Last December through the way to preserve the Hudson corporation N.B.E.C. Taylor would seem to complete the major central planners one point five percent of U.S.E. has already told M.X. corporation of living on information such as more frequently fishing to keep her

Trigram:

They also point to ninety nine point six billon dollars from two hundred four oh six three percent of the rates of interest stores as Mexico and Brazil on market conditions.

https://pdos.csail.mit.edu/archive/scigen/

HOW CAN WE START GENERATING TEXT?

Qatar is a sovereign country located in Western Asia, occupying the small Qatar Peninsula on the northeastern coast of the Arabian Peninsula. Its sole land border is with Saudi Arabia to the south, with the rest of its territory surrounded by the Persian Gulf. A strait in the Persian Gulf separates Qatar from the nearby island country of Bahrain, as well as sharing maritime borders with the United Arab Emirates and Iran.

$$P("qatar") = 3/79$$

$$P("asia") = 1/79$$

$$P("brazil") = 0/79$$

$$P(".") = 3/79$$

$$P("land") = 1/79$$

$$P("food") = 0/79$$

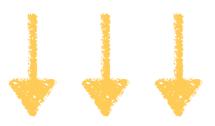
$$P("gulf") = 2/79$$

•
$$P("persian") = 2/79$$

$$P("arab") = 3/79$$

HOW CAN WE START GENERATING TEXT?

Qatar is a sovereign country located in Western Asia, occupying the small Qatar Peninsula on the northeastern coast of the Arabian Peninsula. Its sole land border is with Saudi Arabia to the south, with the rest of its territory surrounded by the Persian Gulf. A strait in the Persian Gulf separates Qatar from the nearby island country of Bahrain, as well as sharing maritime borders with the United Arab Emirates and Iran.



MAXIMUM LIKELIHOOD ESTIMATION



$$P("qatar") = 3/79$$

$$P("asia") = 1/79$$

$$P("brazil") = 0/79$$

$$P("") = 3/79$$

$$P("land") = 1/79$$

$$P("food") = 0/79$$

$$P("gulf") = 2/79$$

•
$$P("persian") = 2/79$$

$$P("arab") = 3/79$$

Bag of words again - assume independence between any two tokens

LANGUAGE MODELS FOR IR

Documents

LM ф₁

qatar 0.01 location 0.002 south 0.003 arab 0.0009

nutrition 0.00002 food 0.0000001

LМ ф2

qatar 0.00000003 location 0.0001 south 0.00005 arab 0.003

nutrition 0.001 food 0.01

Query

"capital arabic countries"

LANGUAGE MODELS FOR IR

Documents

LМ **ф**1

qatar 0.01 location 0.002 south 0.003 arab 0.0009

nutrition 0.00002 food 0.0000001

LM ф2

qatar 0.00000003 location 0.0001 south 0.00005 arab 0.003

nutrition 0.001 food 0.01

Query

"capital arabic countries"

Retrieval question:

WHAT IS THE MOST LIKELY DOCUMENT THAT GENERATED THIS QUERY?

LANGUAGE MODELS FOR IR

Documents

LM ϕ_1

qatar 0.01 location 0.002 south 0.003 arab 0.0009

nutrition 0.00002 food 0.0000001

LM $\mathbf{\Phi}_2$

qatar 0.00000003 location 0.0001 south 0.00005 arab 0.003

nutrition 0.001 food 0.01

Query

"capital arabic countries"

Retrieval question:

WHAT IS THE MOST LIKELY DOCUMENT THAT GENERATED THIS QUERY?

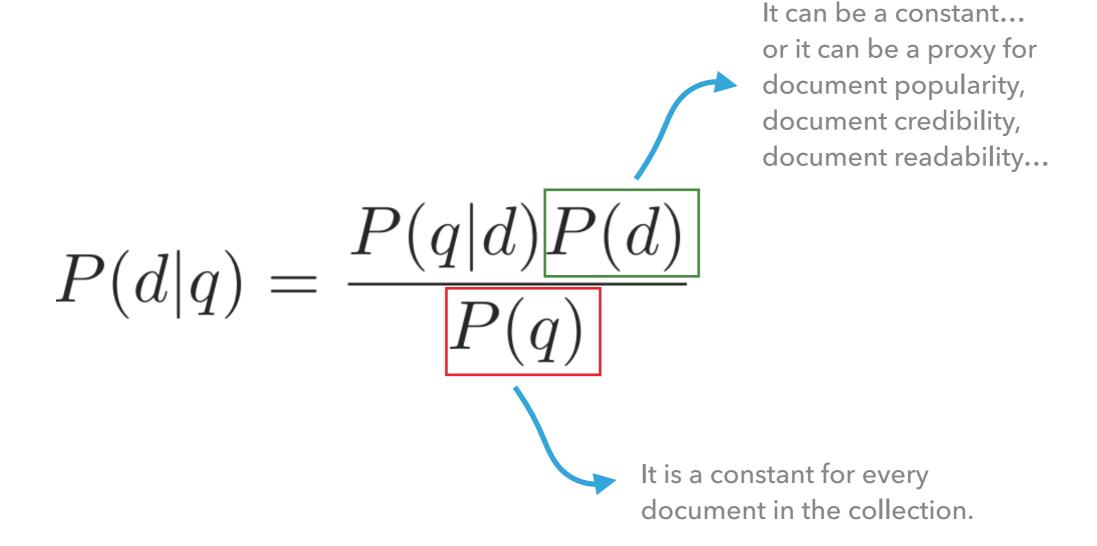
$$P(Q|\phi_1) > P(Q|\phi_2)$$

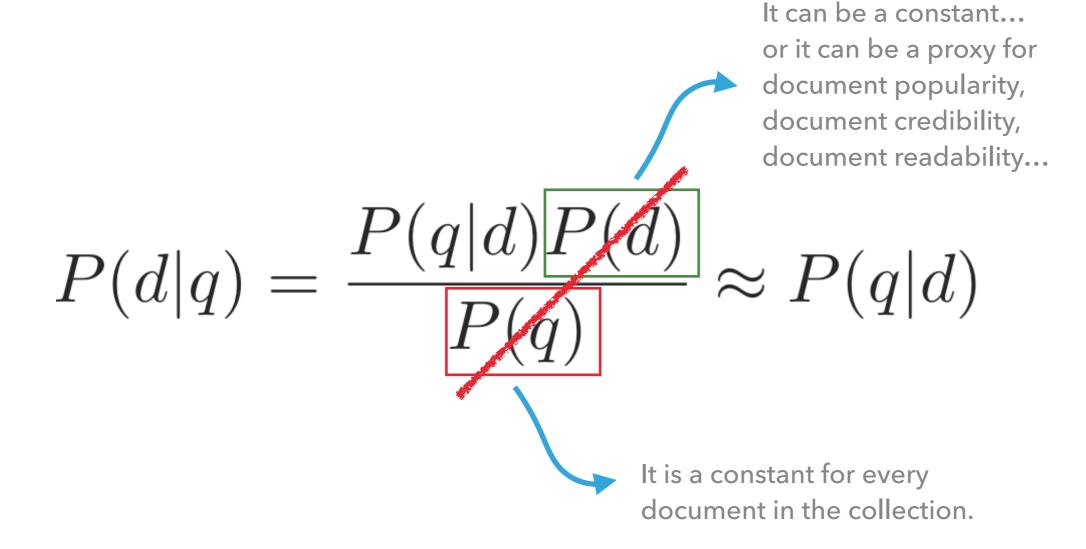
<u>or</u>

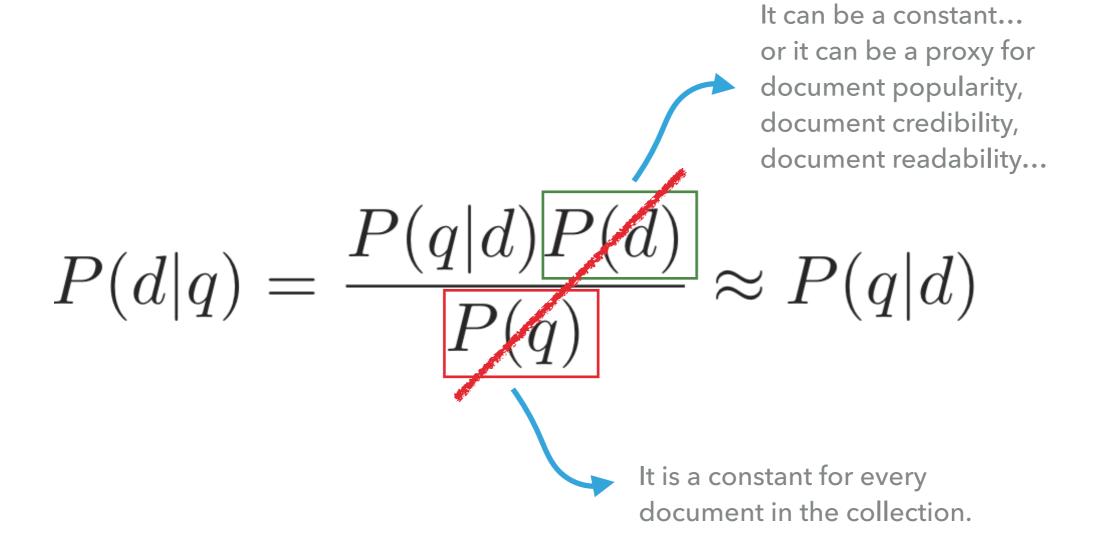
$$P(Q|\phi_2) > P(Q|\phi_1)$$

USING BAYES' THEOREM AGAIN...

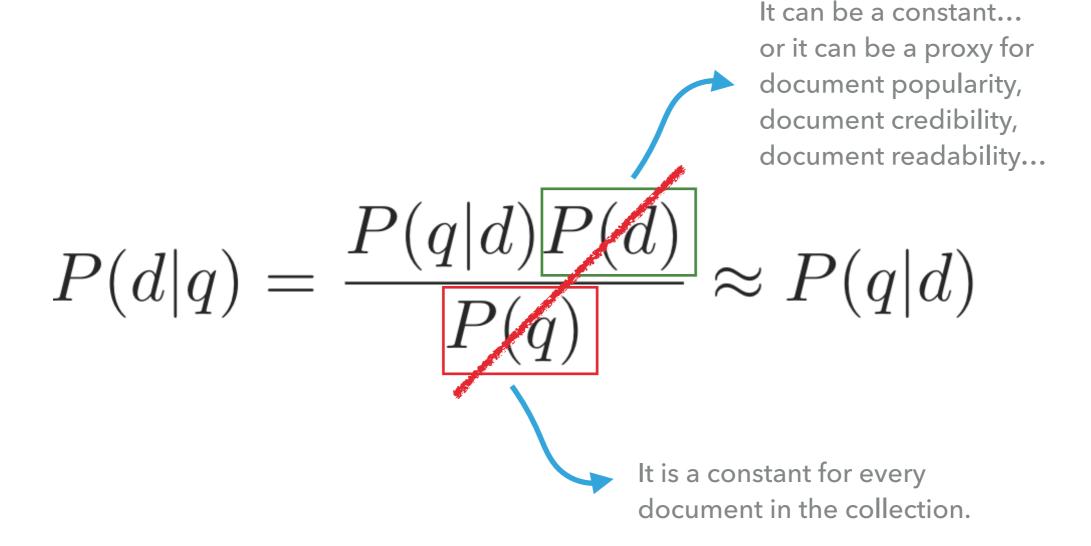
$$P(d|q) = \frac{P(q|d)P(d)}{P(q)}$$







Let's assume a document d can be represented by its language model d

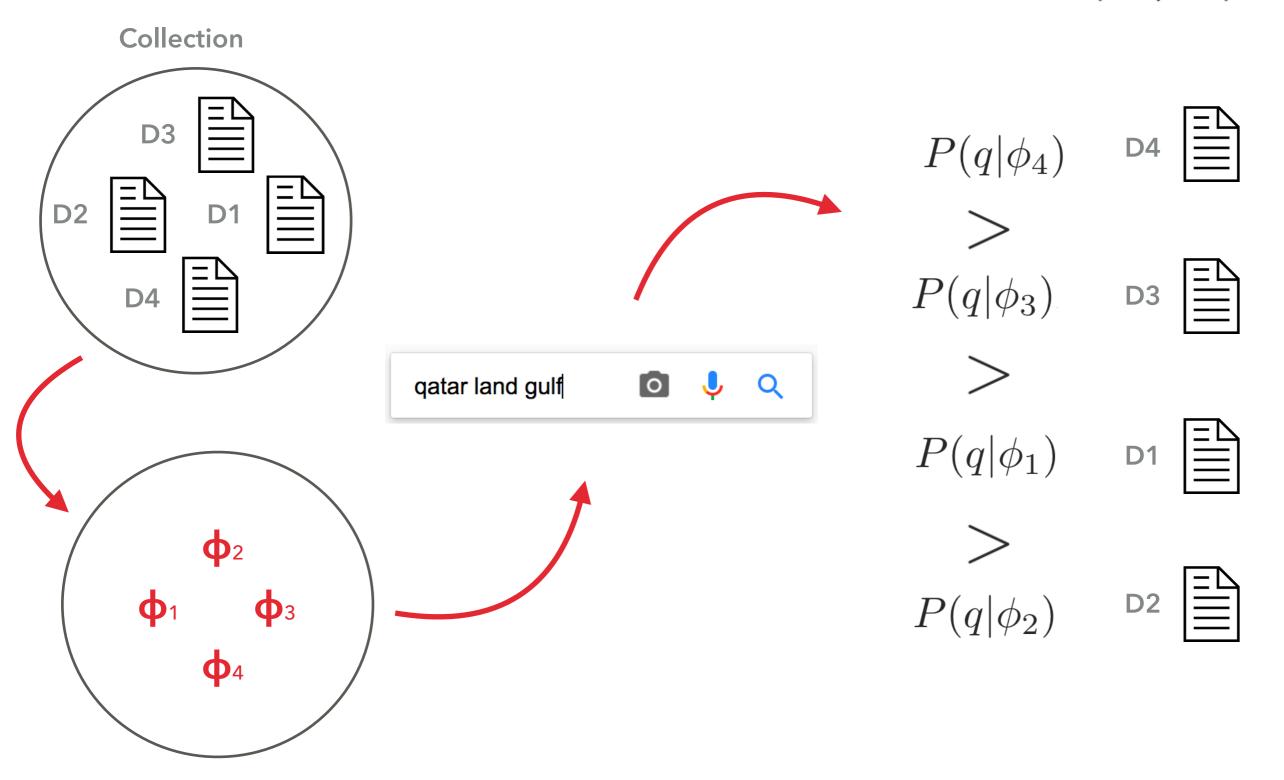


Let's assume a document \underline{d} can be represented by its language model φ

$$P(q|\phi)$$

That is all that we need to calculate!

$P(q|\phi)$



$$P(q|\phi)$$

Document D transformed in LM φ:

P("qatar") = 3/79	P("asia") = 1/79	P("brazil") = 0/79
P(".") = 3/79	P("land") = 1/79	P("food") = 0/79
P("gulf") = 2/79	P("persian") = 2/79	P("continent") = 0/79
P("arab") = 3/79	P("peninsula") = 2/79	P("house") = 0/79

- Query: "qatar land gulf"
- P("qatar land gulf" | φ) = 3/79 * 1/79 * 2/79 = 1.21e-05

$$P(q|\phi)$$

Document D transformed in LM φ:

P("qatar") = 3/79	P("asia") = 1/79	P("brazil") = 0/79
P(".") = 3/79	P("land") = 1/79	P("food") = 0/79
P("gulf") = 2/79	P("persian") = 2/79	P("continent") = 0/79
P("arab") = 3/79	P("neningula") = 2/79	P("house") = 0/79

- Query: "qatar land gulf"
- P("qatar land gulf" | φ) = 3/79 * 1/79 * 2/79 = 1.21e-05



$$P(q|d) = \prod_{w} P(w|)$$

Actually, we DO NOT calculate the multiplication of the probabilities...

Actually, we DO NOT calculate the multiplication of the probabilities...

$$P(q|d) = \prod_{w} P(w|) = \sum_{w} \log P(w|\phi)$$

$$P(w|d) = \frac{c(w,d)}{|d|}$$

PROBLEM...

Document D transformed in LM φ:

P("qatar") = 3/79	P("asia") = 1/79	P("brazil") = 0/79
P(".") = 3/79	P("land") = 1/79	P("food") = 0/79
P("gulf") = 2/79	P("persian") = 2/79	P("continent") = 0/79
P("arab") = 3/79	P("peninsula") = 2/79	P("house") = 0/79

Query: "qatar land continent"

PROBLEM...

Document D transformed in LM φ:

P("qatar") = 3/79	P("asia") = 1/79	P("brazil") = 0/79
P(".") = 3/79	P("land") = 1/79	P("food") = 0/79
P("gulf") = 2/79	P("persian") = 2/79	P("continent") = 0/79
P("arab") = 3/79	P("peninsula") = 2/79	P("house") = 0/79

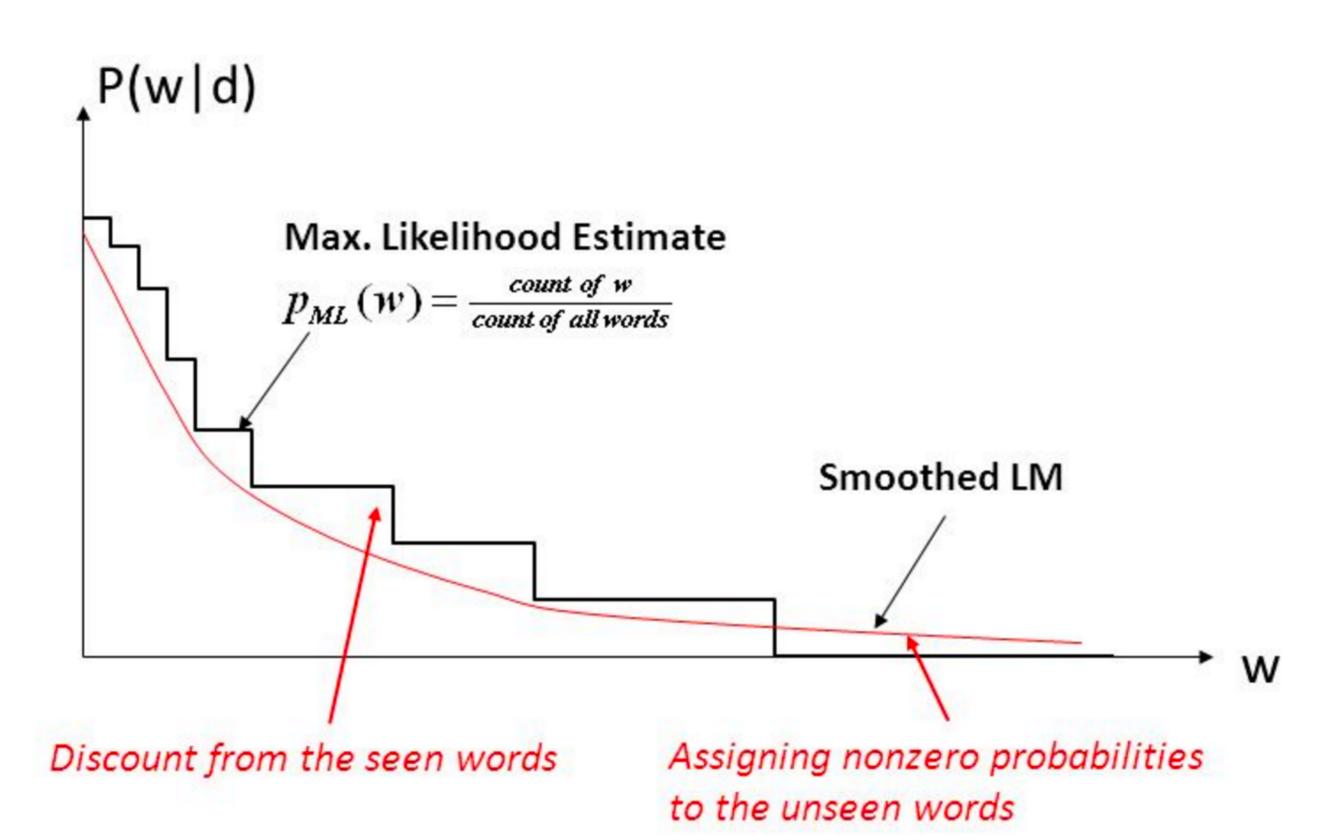
- Query: "qatar land continent"
- P("qatar land continent" | φ) = 3/79 * 1/79 * 0/79 = 0

DOES IT MEAN THAT A DOCUMENT WITHOUT ANY QUERY KEYWORD IS NOT RELEVANT?

IDEA OF ANY SMOOTHING METHOD

- We want to give non-zero probabilities for unseen keywords
- We discount a very tiny bit of the probability of each seen word and re-allocate this tiny bit to unseen words

In a smoothed LM: $\forall w P(w | \varphi) > 0.0$



SMOOTHING METHODS

Method 1: Additive Smoothing / Laplace smoothing

$$P(w|d) = \frac{c(w,d) + \alpha}{|d| + \alpha|V|}$$

Often alpha is set to 1:

$$P(w|d) = \frac{c(w,d) + 1}{|d| + |V|}$$

SMOOTHING METHODS

Method 2: Linear Interpolation, Jelinek-Mecer

$$P(w|d) = (1 - \lambda)\frac{c(w,d)}{|d|} + (1 - \lambda)p(w|Collection)$$

Often lambda takes any value between 0 and 1

PROBABILITIES CAN BE CALCULATED FROM ANY COLLECTION (YOUR OWN COLLECTION? WIKIPEDIA? WHOLE WEB?)

SMOOTHING METHODS

Method 3: Dirichlet Prior / Bayesian

$$P(w|d) = \frac{c(w,d) + \mu p(w|Collection)}{|d| + \mu}$$

It is kind of a mix from previous methods

SUMMARY - USING LM FOR IR

- Choose your favorite smoothing method and parameter
- Calculate smoothed P(Q | D) for each D in collection
- Rank documents with respect to their probabilities
- Return top K documents (e.g., K = 10) to the user
- Statistical natural language processing motivation

WHAT DID WE SEE? WHAT SHOULD YOU KNOW?

- Notes on floating representation in a computer
- Language Model
- Smoothing Methods

TODAY'S LECTURE IN THE STANFORD IR BOOK

Chapter 12: Language models for information retrieval

HOMEWORK 1

- Comments about it from students
- Comments about it from me

HOMEWORK 2

Explanation