Algorithms for Computational Logic

Summary

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Chapter 1

SAT and Modeling with SAT

1.1 Cardinality Constraints

In order to handle cardinality constraints we have two options: encode the cardinality constraints to CNF and use a SAT solver, or use a pseudo boolean (PB) solver.

1.1.1 AtMost1

- $\sum_{j=1}^{n} x_j = 1$ can be encoded with $\left(\sum_{j=1}^{n} x_j \leq 1\right) \wedge \left(\sum_{j=1}^{n} x_j \geq 1\right)$
- $\sum_{j=1}^{n} x_j \ge 1$ can be encoded with $(x_1 \lor x_2 \lor ... \lor x_n)$
- $\sum_{j=1}^{n} x_j \leq 1$ can be encoded with:
 - Pairwise encoding
 - Sequential counter encoding
 - Bitwise encoding

Sequential Counter

In order to realize this encoding, we need to add new variables s_i for the fact "there is a 1 on some position 1..i":

$$s_i$$
 is true if $\sum_{j=1}^i x_j \ge 1$

Encoding $\sum_{j=1}^{n} x_j \leq 1$ with sequential counter:

$$(\neg x_1 \lor s_1) \land (\neg x_i \lor s_i), i \in 2..n - 1 \land (\neg s_{i-1} \lor s_i), i \in 2..n - 1 \land (\neg x_i \lor \neg s_{i-1}), i \in 2..n$$

If $x_j = 1$, then all s_i variables are assigned and all other x variables must take value 0. There are $\mathcal{O}(n)$ clauses and $\mathcal{O}(n)$ auxiliary variables.

Bitwise Encoding

In bitwise encoding, we represent the constraint $\sum_{j=1}^{n} x_j \leq 1$ by encoding the index of the potential true variable in binary. For this, we add new auxiliary variables:

$$v_0, ... v_r - 1; r = \lceil \log n \rceil \text{ (with } n > 1)$$

Each variable x_j is assigned a unique binary number that represents its index. Then, for each variable x_j with binary index representation i, we create clauses that enforce the condition:

- If $x_j = 1$, assignment to v_i variables must encode j-1, and all other x variables must take value 0
- If all $x_j = 0$, any assignment to v_i variables is consistent

For example, $x_1 + x_2 + x_3 \le 1$:

$$\frac{j-1}{x_1} \quad \frac{v_1 v_0}{00} \qquad \qquad (\neg x_1 \lor \neg v_1) \land (\neg x_1 \lor \neg v_0) \\
x_2 \quad 1 \quad 01 \\
x_3 \quad 2 \quad 10$$

$$(\neg x_1 \lor \neg v_1) \land (\neg x_1 \lor \neg v_0) \\
(\neg x_2 \lor \neg v_1) \land (\neg x_2 \lor v_0) \qquad \text{There}$$

are $\mathcal{O}(n \log n)$ clauses and $\mathcal{O}(\log n)$ auxiliary variables

1.1.2 General Cardinality Constraints

Constraints of the form $\sum_{j=1}^{n} x_j \leq k$ or $\sum_{j=1}^{n} x_j \geq k$ can be added with:

- Sequential Counters
- BDDs
- Sorting Networks
- Cardinality Networks
- Totalizer

Sequential Counter Encoding

For each variable x_i , create k additional variables $s_{i,j}$ that are used as counters:

- $s_{i,j} = 1$ if at least j variables $\{x_1...x_i\}$ are assigned value 1
- $s_{i,j} = 0$ if at most j 1 variables $\{x_1...x_i\}$ are assigned value 1

Encoding:

Totalizer Encoding

In this encoding we count in unary how many of the n variables $(x_1...x_n)$ are assigned to 1. It can be visualized as a tree:

- Each node is (name : variable : sum)
- Root node has the output variables $(o_1...o_n)$ that count how many variables are assigned to 1
- Literals are at the leaves
- Each node counts in unary how many leaves are assigned to 1 in its subtree
- Example: if $b_2 = 1$, then at least 2 of the leaves (x_3, x_4, x_5) are assigned to 1

$$(O:o_1,o_2,o_3,o_4,o_5:5)$$
 $(A:a_1,a_2:2)$
 $(B:b_1,b_2,b_3:3)$
 $(C:x_1:1)$
 $(D:x_2:1)$
 $(E:x_3:1)$
 $(F:f_1,f_2:2)$
 $(G:x_4:1)$

To encode $x_1 + x_2 + x_3 + x_4 + x_5 \le 3$ just set $o_4 = 0$ and $o_5 = 0$. Encoding:

$$\bigwedge_{\substack{0 \leq \alpha \leq n_2 \\ 0 \leq \beta \leq n_3 \\ 0 \leq \sigma \leq n_1 \\ \alpha + \beta = \sigma}} \neg q_\alpha \vee \neg r_\beta \vee p_\sigma \quad \text{where, } p_0 = q_0 = r_0 = 1$$

There are $\mathcal{O}(n \log n)$ new variables and $\mathcal{O}(n^2)$ new clauses

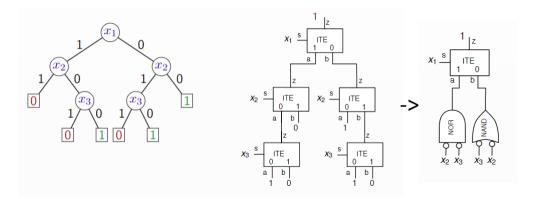
1.2 Pseudo-Boolean Constraints

The general form of these constraints is $\sum_{j=1}^n a_j x_j \leq b$

1.2.1 Encodings

BDD Encoding

BDDs can be used to encode pseudo-boolean constraints. For example, to encode $3x_1 + 3x_2 + x_3 \leq 3$, we can construct the following BDD and extract its ITE-based circuit:



Sequential Weighted Counter Encoding

Assuming the general form $\sum_{i=1}^{n} w_i x_i \leq k$, where the weights are all non-negative:

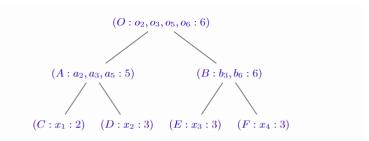
- For each variable x_i , create k additional variables $s_{i,j}$ that are used as counters
 - $s_{i,j}=1$ if the weighted sum of the first i variables $\{x_1...x_i\}$ is at least j
 - $-s_{i,j} = 0$ if the weighted sum of the first i variables $\{x_1...x_i\}$ is at most i-1

Encoding:

$$\begin{array}{ll} (\neg x_1 \vee s_{1,j}) & \forall j: 1 \leq j \leq w_1 \\ (\neg s_{1,j}), & \forall j: w_1 < j \leq k \\ \\ (\neg x_i \vee s_{i,j}), & \forall i,j: 1 < i < n, 1 \leq j \leq w_i \\ \\ (\neg s_{i-1,j} \vee s_{i,j}) & \forall i,j: 1 < i < n, 1 \leq j \leq k \\ (\neg x_i \vee \neg s_{i-1,j} \vee s_{i,j+w_i}) & \forall i,j: 1 < i < n, 1 \leq j \leq k - w_i \\ \\ (\neg x_i \vee \neg s_{i-1,k+1-w_i}) & \forall i: 1 < i \leq n \end{array}$$

Generalized Totalizer Encoding

The goal of GTE is to account for the possible values of the left-hand side. It only considers the possible sums generated from the weights in the constraint. For example, in $2x_1 + 3x_2 + 3x_3 + 3x_4 \le 5$ it is not possible for the weighted sum to have value 1, 4 or 7.



- Root node has the output variables $(o_2, o_3, o_5, o_6, o_8, o_9, o_{11})$ that encode the possible value of the weighted sums of the subtree
- To encode $2x_1+3x_2+3x_3+3x_4 \leq 5$ just assign variables o_6 , o_8 , o_9 and o_{11} to 0
- For this constraint, variables o_8 , o_9 and o_{11} are not necessary (k-simplification technique)

1.2.2 Pseudo-Boolean Optimization

Suppose we must minimize $\sum_{j=1}^n c_j x_j$ subject to $\sum_{j=1}^n a_{ij} x_j \leq b_i \ \forall i \in \{1,...,m\}, \forall j \in \{1,...,n\}, x_j \in \{0,1\}$. To translate this to MaxSAT we should:

- Encode each pseudo-Boolean constraint into CNF. All clauses used in the encoding are hard
- For each term $c_j x_j$ in the objective function, add a soft clause $(\neg x_j)$ with weight c_j

There are several ways of solving the optimization problem:

- Translate into MaxSAT and use a Weighted MaxSAT algorithm
- Iterative Pseudo-Boolean solving
- Core-guided Pseudo-Boolean solving
- Branch-and-Bound Search

Given a PBO problem instance, where variables have an integer domain, the Linear Programming Relaxation is the corresponding linear program where the variable's integer constraints are relaxed

Linear Programming Relaxation

LPR is relevant since if can be solved quickly and if he relaxed linear program returns an optimal solution where all variables have integer value, then the solution of the relaxed linear program is also the optimal solution of the PBO problem. If the solution of the relaxed linear program is not integer for some variable, it still provides a lower bound on the optimal value of the PBO optimal solution

Branch-and-Bound Search

In the branch and bound algorithm we search by recursively dividing into smaller subproblems. It continuously uses LPR to get lower bounds (in case of minimization) and get candidade solutions.

Description of the Algorithm

- 1. Init: Initialize $UB = +\infty$
- Init: Start with the original problem as the only node and mark it as active
- LPR Solve: Select an active node k. Let z denote the value of the objective function for the optimal solution of the Linear Programming Relaxation (LPR) at node k
- 4. Improve Upper Bound: If the solution of the LPR is integer and z < UB, then let UB = z and save solution
- Split: If the LPR is feasible but optimal solution is not integer and z < UB, then use branching procedure to generate two new nodes and mark them as active
- 6. Deque: Mark node k as inactive
- 7. Repeat: If there are active nodes, go back to 3. Otherwise, the algorithm ends and the optimal solution is the last one saved

1.2.3 Cutting Planes

Cutting planes are used to further prune the space. Can be used to combine two constraints:

$$\frac{\delta(\sum\limits_{j=1}^{n}a_{j}x_{j}\leq b)}{\delta'(\sum\limits_{j=1}^{n}a_{j}'x_{j}\leq b')}$$

$$\frac{\delta(\sum\limits_{j=1}^{n}a_{j}x_{j}+\delta'\sum\limits_{j=1}^{n}a_{j}'x_{j}\leq b')}{\delta\sum\limits_{j=1}^{n}a_{j}x_{j}+\delta'\sum\limits_{j=1}^{n}a_{j}'x_{j}\leq \delta b+\delta'b'}$$

For example:

$$\begin{array}{cc} 1(x_4 + 3x_5 + 2x_3 & \leq 3) \\ 2(x_1 + x_2 + \neg x_3 & \leq 1) \\ \hline 2x_1 + 2x_2 + x_4 + 3x_5 \leq 3 \end{array}$$

- $\neg x_3$ is replaced with $1 x_3$
- Notice that x₃ does not occur in the new constraint
- The cutting plane operation in Pseudo-Boolean solving corresponds to the CNF clause resolution

Rounding can also be applied:

$$\frac{\sum\limits_{j=1}^{n}a_{j}x_{j}\leq b}{\sum\limits_{j=1}^{n}\lfloor a_{j}\rfloor x_{j}\leq \lfloor b\rfloor}$$

- The correctness of the rounding operation follows from $\lfloor x \rfloor + \lfloor y \rfloor \leq \lfloor x + y \rfloor$
- Hence, δ coefficients in cutting plane operations do not need to be integer. Rounding can be safely applied afterwards

For example:

$$\frac{0.5(3x_1 + 2x_2 + x_3 + 2x_4 + x_5 \le 5)}{1.5x_1 + x_2 + 0.5x_3 + x_4 + 0.5x_5 \le 2.5}$$

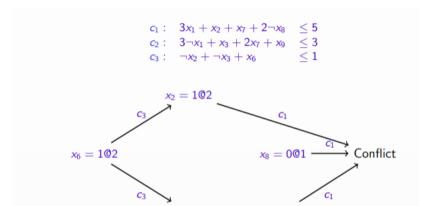
After rounding: $x_1 + x_2 + x_4 \le 2$

And backtracking can also be applied:

$$3x_1 + x_2 + x_7 + 2 \neg x_8 \le 5$$

 $3 \neg x_1 + x_3 + 2x_7 + x_9 \le 3$
 $\neg x_2 + \neg x_3 + x_6 \le 1$

- Suppose you start with assignment $x_8 = 0$ at first decision level
- Next, you decide to assign $x_6 = 1$. What happens?

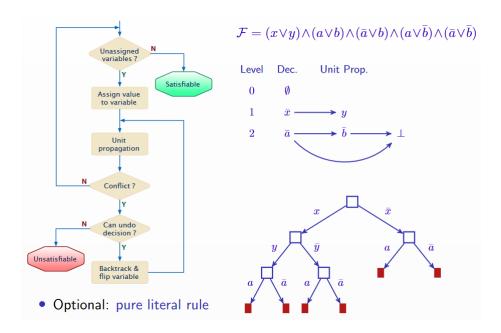


Backward traversal to the decision variable x_6 Learned constraint: $x_6 + 3x_7 + 2 \neg x_8 + x_9 \le 4$

Backtrack to level 1 and imply $x_7 = 0$

1.3 SAT Algorithms

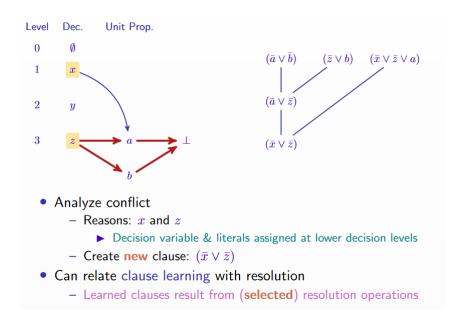
1.3.1 DPLL Solvers



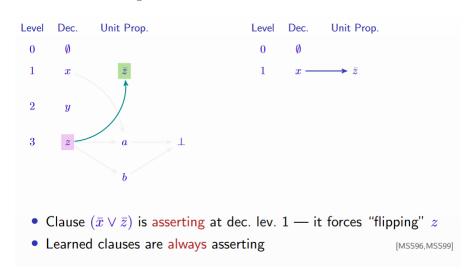
1.3.2 CDCL Solvers

CDCL solvers extend DPLL solvers with clause learning and non-chronological backtracking, search restarts, lazy data structures, conflict-guided branching, etc.

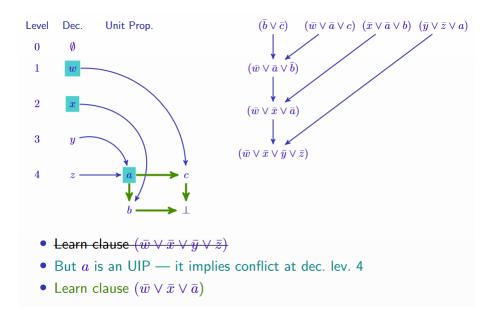
Clause Learning



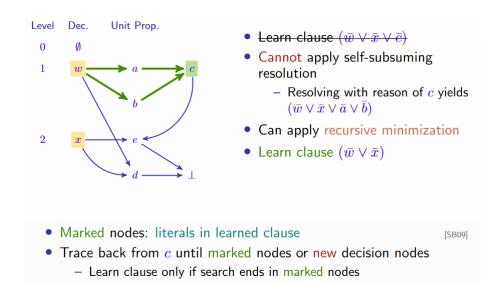
And after backtracking:



Unique Implication Points



Clause Minimization



Chapter 2

Optimization problems and SAT-Based Problem Solving

A set of constraints is overconstrained if it is inconsistent. In a given an unsatisfiable formula, there may be several explanations for its unsatisfiability. The goal of MaxSAT is to find largest subset of clauses that is satisfiable.

		Hard Clauses?		
		No	Yes	
Weights?	No	Plain	Partial	
vveigitts:	Yes	Weighted	Weighted Partial	

- Must satisfy hard clauses, if any
- Compute set of satisfied soft clauses with maximum cost
 - Without weights, cost of each falsified soft clause is 1
- Or, compute set of falsified soft clauses with minimum cost (s.t. hard & remaining soft clauses are satisfied)
- Note: goal is to compute set of satisfied (or falsified) clauses;
 not just the cost!

2.1 MaxSAT Algorithms

2.1.1 Fu and Malik

```
Join Soft and
\varphi_W \leftarrow \varphi
                                                                                                       Hard Constraints
while true do
                                                                                                          Relax all soft
       (\mathsf{st}, \varphi_C, \mathcal{A}) \leftarrow \mathsf{SAT}(\varphi_W)
       if st = UNSAT then
                                                                                                          clauses from
                                                                                                       unsatisfiable core
              V_R \leftarrow \emptyset
              foreach \omega \in \varphi_C \land Soft(\omega) do
                 \begin{array}{c} \omega_R \leftarrow \omega \cup \{r_i\} \\ \varphi_W \leftarrow \varphi_W \setminus \{\omega\} \cup \{\omega_R\} \\ V_R \leftarrow V_R \cup \{r_i\} \end{array} 
                                                                           //|r_i| is a new relaxation variable
                                                                                                           Relaxed soft
                                                                                                     clause remains soft
                                                                                                            Cardinality
             \varphi_W^- \leftarrow \varphi_W \cup \ \mathsf{CNF} \ (\sum_{r_i \in V_R} r_i \le 1)
                                                                                                      constraint is hard
                                                                                                       Return when for-
              return \mathcal{A}
                                                                                                     mula becomes SAT
```

2.1.2 MSU3

```
\begin{array}{l} V_R \leftarrow \emptyset \\ \varphi_W \leftarrow \varphi \\ LB \leftarrow 0 \\ \hline \text{while } true \text{ do} \\ \hline \qquad & (\text{st}, \varphi_C, \mathcal{A}) \leftarrow \text{ SAT } \left(\varphi_W \cup \text{ CNF } \left(\sum_{r_i \in V_R} r_i \leq LB\right)\right) \\ \text{if } \text{st} = UNSAT \text{ then} \\ \hline \qquad & \text{foreach } \omega_i \in \varphi_C \wedge \text{ Soft } (\omega_i) \text{ do} \\ \hline \qquad & \omega_R \leftarrow \omega_i \cup \{r_i\} & // \ r_i \text{ is a new relaxation variable} \\ \hline \qquad & \varphi_W \leftarrow \varphi_W \backslash \{\omega_i\} \cup \{\omega_R\} & // \ \omega_R \text{ is a hard clause} \\ \hline \qquad & LB \leftarrow LB + 1 \\ \hline \qquad & \text{else} \\ \hline \qquad & \text{return } \mathcal{A} \\ \hline \end{array}
```

2.2 Minimal Unsatisfiable Subsets

Given \mathcal{F} unsatisfiable, $\mathcal{M} \subseteq \mathcal{F}$ is a MUS iff \mathcal{M} is unsatisfiable and $\forall_{c \in \mathcal{M}}, \mathcal{M} \setminus \{c\}$ is satisfiable.

2.2.1 Algorithms

The following algorithms may be used to identify minimal unsatisfiable subsets.

Deletion-Based

```
\begin{array}{l} \textbf{Input} : \mathsf{Set} \ \mathcal{R} \\ \textbf{Output:} \ \mathsf{Minimal} \ \mathsf{subset} \ \mathcal{M} \\ \textbf{begin} \\ & \middle| \ \mathcal{M} \leftarrow \mathcal{R} \\ & \mathbf{foreach} \ c \in \mathcal{M} \ \mathbf{do} \\ & \middle| \ \mathbf{if} \ \neg \mathsf{SAT}(\mathcal{M} \setminus \{c\}) \ \mathbf{then} \\ & \middle| \ \ \mathcal{M} \leftarrow \mathcal{M} \setminus \{c\} \\ & \mathsf{return} \ \mathcal{M} \end{array} \qquad \begin{array}{l} // \ \mathsf{Remove} \ c \ \mathsf{from} \ \mathcal{M} \\ // \ \mathsf{Final} \ \mathcal{M} \ \mathsf{is} \ \mathsf{minimal} \ \mathsf{set} \\ & \mathsf{end} \end{array}
```

Insertion-Based

```
Input : Set \mathcal{R}
Output: Minimal subset \mathcal{M}
begin
       \mathcal{M} \leftarrow \emptyset
       while \mathcal{R} \neq \emptyset do
             \mathcal{S} \leftarrow \emptyset
                                                                                                           // Subset of \mathcal{R}
              c_r \leftarrow \emptyset
              while SAT(\mathcal{M} \cup \mathcal{S}) do
                    c_i \leftarrow \mathsf{SelectRemoveElement}(\mathcal{R})
                     \mathcal{S} \leftarrow \mathcal{S} \cup \{c_i\}
                  c_r \leftarrow c_i
              \overline{\mathcal{M}} \leftarrow \mathcal{M} \cup \{c_r\}
                                                                                   // c_r is transition element
              \mathcal{R} \leftarrow \mathcal{S} \setminus \{c_r\}
       return \mathcal{M}
                                                                                 // Final \mathcal{M} is minimal subset
end
```

Dichotomic

```
Input: Set \mathcal{R} = \{c_1, \ldots, c_m\}
Output: Minimal subset M
begin
     \mathcal{M} \leftarrow \emptyset
     \max \leftarrow |\mathcal{R}|
           while min \neq max do
                 mid = \lfloor (min + max)/2 \rfloor
                                                                        // Execute binary search
                 \mathcal{S} \leftarrow \{c_1, \dots, c_{\mathsf{mid}}\}
                                                                 // Extract sub-sequence of \mathcal R
                 if SAT(\mathcal{M} \cup \mathcal{S}) then
                       \min \leftarrow \min + 1
                 else
               \bigsqcup max \leftarrow mid
           \mathcal{M} \leftarrow \mathcal{M} \cup \{c_{\mathsf{min}}\}
                                                                // c_{\min} is transition element
           \mathcal{R} \leftarrow \{c_1, \dots, c_{\mathsf{min}-1}\}
                                                                  // Final \mathcal{M} is minimal subset
     return \mathcal{M}
end
```

2.3 Minimal Correction Subsets

 $\mathcal{C} \subseteq \mathcal{F}$ is an MCS iff $\mathcal{F} \setminus \mathcal{C}$ is satisfiable and $\forall_{c \in \mathcal{C}}, \mathcal{F} \setminus (\mathcal{C} \setminus \{c\})$ is unsatisfiable.

2.3.1 Algorithms

The following algorithms may be used to identify minimal correction subsets.

Basic Linear Search

- Let $S \subseteq \mathcal{F}$, such that $S \nvDash \bot$, initially $S = \emptyset$
- Let $C \subseteq \mathcal{F}$, such that $\forall_{c \in C}, S \cup \{c\} \vDash \bot$, initially $C = \emptyset$
- At each iteration, analyze one clause of $c \in \mathcal{F} \setminus (\mathcal{S} \cup \mathcal{C})$:
 - If $S \cup \{c\} \vDash \bot$, then add c to C, i.e. c is part of MCS
 - If $S \cup \{c\} \nvDash \bot$, then add c to C, i.e. c is part of MCS

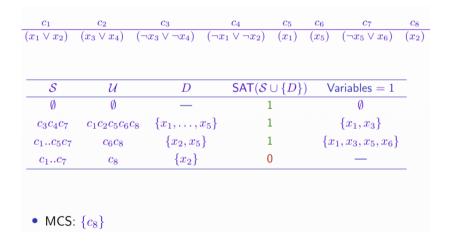
There are $\mathcal{O}(m)$ calls to the oracle. An example:

(x_2)	(x_3)	$_3 \lor x_4)$	$(\neg x_3$	$\vee \neg x_4)$ ($\neg x_1 \vee \neg x_2) (x_1)$	(x_5) $(\neg x_5 \lor$	x_6)
-	\mathcal{C}	S	c	$\mathcal{S} \cup \{c\}$	$SAT(\mathcal{S} \cup \{c\})$	Outcome	
	Ø	Ø	c_1	c_1	1	Update ${\cal S}$	
	Ø	c_1	c_2	c_1c_2	1	Update ${\cal S}$	
	Ø	c_1c_2	c_3	c_1c_3	1	Update ${\cal S}$	
	Ø	c_1c_3	c_4	$c_1 c_4$	1	Update ${\cal S}$	
	Ø	c_1c_4	c_5	c_1c_5	1	Update ${\cal S}$	
	Ø	c_1c_5	c_6	c_1c_6	1	Update ${\cal S}$	
	Ø	c_1c_6	c_7	c_1c_7	1	Update ${\cal S}$	
	Ø	c_1c_7	c_8	c_1c_8	0	Update $\mathcal C$	

Clause D

- Pick an assignment and let $S \subseteq \mathcal{F}$ be the satisfied clauses and $\mathcal{U} \subseteq \mathcal{F}$ be the falsified clauses, with $\mathcal{F} = \mathcal{S} \cup \mathcal{U}$
- Repeat:
 - Create clause $D = \bigcup_{l \in c, c \in \mathcal{U}} l$
 - If $S \cup \{D\} \vDash \bot$, then \mathcal{U} is MCS: Report MCS and terminate
 - If $S \cup \{D\} \not\models \bot$, then add to S the satisfied clauses in U, remove from U the satisfied clauses and loop

There are $\mathcal{O}(m-r)$ calls to the oracle, where r is the size of the smallest MCS. An example:



2.4 Duality Between MUSes and MCSes

• Let S be a finite set

- Let \mathcal{F} be a set of subsets of $\mathcal{S}, \mathcal{F} \subseteq 2^{\mathcal{S}}$
- A hitting set $\mathcal{H} \subseteq \mathcal{S}$ is such that $\forall_{\mathcal{G} \in \mathcal{F}} \mathcal{H} \cap \mathcal{G} \neq \emptyset$
- \mathcal{H} is (subset) minimal if none of its subsets is a hitting set of \mathcal{F}
- \mathcal{H} is cardinality minimal (or of minimum size) if there are no hitting sets of \mathcal{F} with fewer elements

For example:

$$\begin{split} \mathcal{S} &= \{1,2,3,4,5,6,7\} \\ \mathcal{F} &= \{\{1,2,3\},\{3,4,5\},\{5,6,7\}\} \\ \mathcal{H}_1 &= \{1,2,4,6,7\} \\ \mathcal{H}_1 &= \{2,4,6\} \\ \mathcal{H}_1 &= \{3,7\} \end{split}$$

MUSes are minimal hitting sets of MCSes, and MCSes are minimal hitting sets of MUSes. En example:

2.4.1 MHS Approach for Solving MaxSAT

The MaxSAT solution is a smallest MCS, and any MCS is a hitting set of all MUSes. This duality can be used to solve MaxSAT:

- 1. Let K be a set of unsatisfiable cores (or MUSes)
- 2. Find a minimum hitting set \mathcal{H} of the set \mathcal{K} of already computed cores (or MUSes)
- 3. Check satisfiability of $\mathcal{F} \setminus \mathcal{H}$
 - If satisfiable, then $\mathcal H$ is a smallest MCS; terminate and return $\mathcal H$
 - Otherwise, compute core (or MUS) and add it to \mathcal{K}
- 4. Loop from 2

2.4.2 Enumeration

MCSes

Generate and block:

- 1. Extract MCS \mathcal{C}
- 2. Block C, i.e. at least one clause in C must be satisfied
- 3. Loop from 1

MUSes

The process for enumerating MUSes is different since we cannot block them: preventing a clause from being added to the MUS is infeasible. The only solution is explicit set enumeration. Compute all MCSes and then all MUSes:

- Compute all MCSes using MCS enumerator
- Compute all minimal hitting sets of the MCSes

Chapter 3

Satisfiability Modulo Theories

Chapter 4

Answer Set Programming