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Certificate of Completion

is hereby granted to certify that

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has successfully completed

Inside Financial Services Regulation

Field of Study: Specialized Knowledge National Registry of CPE Sponsors Number: 106763 Instructional Delivery Method: QAS Self Study

Number of CPE Credits: 1

Completion Date: 10/01/2023

Chris Lawton

Signed, Chris Lawton, Continuing Education Administrator In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based on a 50-minute hour.

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