Lecture 6, CMSC 170

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Previously on CMSC 170

Constraint Satisfaction Problems:

- Variables, Domains, Constraints
- Backtracking Search
- Forward Checking
- Variable, Value Ordering
- Avoiding Thrashing

Today's Topics

- Local Search
- Hill Climbing
- Simulated Annealing
- Tabu Search

Constrained Problems

Satisfaction

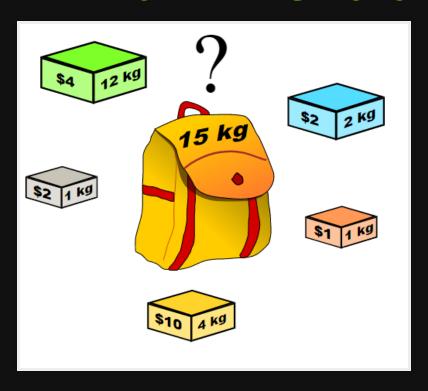
- all constraints must be satisfied

Optimization

- not all constraints might be satisfied
- find solution that minimizes penalty

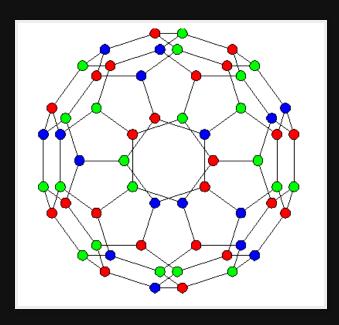
Knapsack Problem

- choose items that will fit in knapsack
- maximize value



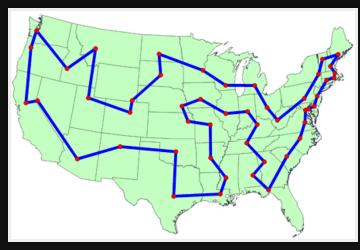
Graph Coloring

- no two adjacent vertices share same color
- minimize number of colors



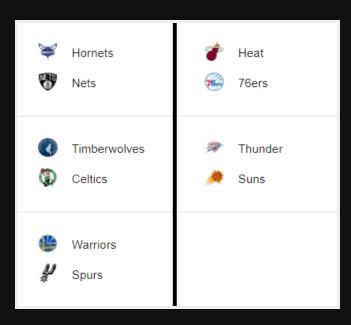
Traveling Salesman

- find shortest route that visits each city and goes back to starting city



Traveling Tournament

- schedule home & away games
- minimize total travel distance

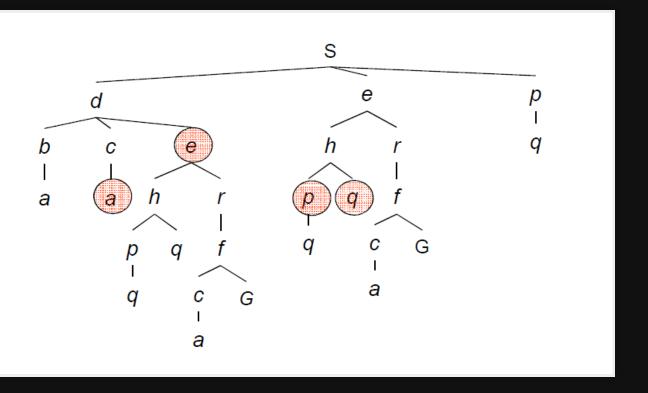


Timetabling

- assign classes to room & timeslot
- accommodate schedule preference

Timetable														Legend						
PHYS 112 (268)	7:30a	8:00a	8:30a	9:00a	9:30a	10:00a	10:30a	11:00a	11:30a	12:00p	12:30p	1:00p	1:30p	2:00p	2:30p	3:00p	3:30p	4:00p	4:30p	5:00p
Mon			ENGR 126R Lec 1 4, 54, 0		OLS 274 Lec 1 0, 8, 0		MA 154 Lec 2 0, 10, 0		OLS 274 Lec 3 24, 1, 0		PHYS 219 Lec 1 0, 30, 0		OLS 274 Lec 2 0, 7, 0		CGT 163 Lec 1 4, 3, 0		ENGR 126A Lec 1 0, 0, 0 ENGR 126H Lec 1 4 69 0		0,19,0	
Tue	OLS 252 Lec 1 15 1 3		PHYS 272 Lec 1 0, 17, 0		PHYS 221 Lec 1 0, 39, 0		PHYS 241 Lec 1 0, 2, 0		PHYS 241 Lec 2 0, 26, 0		PHYS 241 Lec 3 0, 19, 0		PSY 335 Le 0, 0, 0		c1 SC		OC 100 Lec 10 32 4 4		HIST 152 Lec 1	
Wed		ENGR 126R 4, 54, 0			OLS 274 Lec 1 0, 8, 0		MA 154 Lec 2 0, 10, 0		OLS 274 Lec 3 24, 1, 0			19 Lec 1 OLS 274 Lec 2 0, 0 0, 7, 0			CGT 163 LabP 1 ENGR 126A Lec 4, 5, 0 0, 0, 0 ENGR 126H Lec 4, 69, 0					
Thu	OLS 252 Lec 1 15 1 3		PHYS 272 Lec 1 0, 17, 0		PHYS 221 Lec 1 0, 39, 0		PHYS 241 Lec 1 0, 2, 0		PHYS 241 Lec 2 0, 26, 0		PHYS 241 Lec 3 0, 19, 0		PSY 335 Le 0, 0, 0		c1 SC		OC 100 Lec 10 32 4 4		HIST 152 Lec 1	
Fri		PH		PHYS 221 Rec 1 0, 47, 0				MA 154 Lec 2				PHYS 219 Lec 1 0, 30, 0		PHYS 219 Rec 1 0, 17, 0		PHYS 218 Rec 1 0, 6, 0		PHYS 218 Rec 2 0, 9, 0		
PHYS 114 (273)	7:30a	8:00a	8:30a	9:00a	9:30a	10:00a	10:30a	11:00a	11:30a	12:00p	12:30p	1:00p	1:30p	2:00p	2:30p	3:00p	3:30p	4:00p	4:30p	5:00p
Mon	CGT 163 Lec 2 4, 0, 4		PHYS 214 Lec 1 0, 93, 0		ANTH 205 Lec 1 16, 61, 0		PHYS 172H Lec 1 40, 8, 4		MA 165 Lec 5 0, 15, 0		PHYS 218 Lec 1 0, 20, 0		PHYS 218 Lec 2 0, 24, 0		AGEC 217 Lec 2 0, 1, 0		AGEC 217 Lec 3 0, 16, 0		PSY 200 Lec 1 24, 38, 0	
Tue			PHYS 220 Lec 1 0, 16, 0		PHYS 220 Lec 2 0, 17, 0		PHYS 220 Lec 3 0, 13, 0		PHYS 172 Lec 1 0, 3, 0		PHYS 172 Lec 2 0, 1, 0		PHYS 172 Lec 3 0, 6, 0		C&IT 141 Lec 1 40, 8, 0 CGT 141 Lec 1 4, 5, 0		MGMT 201 Lec 1		MGMT 201 Lec 2 0, 16, 0	
Wed	CGT 163 LabP 2 4, 5, 4		PHYS 214 Lec 1 0, 93, 0		16, 61, 0		ENGR 100H Lec 1a 4 6 0 Week 1 ENGR 100H Lec 1b 4 6 0 Week 4 ENGR 100H Lec 1		0, 15, 0		PHYS 218 Lec 1 0, 20, 0		PHYS 218 Lec 2 0, 24, 0		AGEC 217 Lec 2 0, 1, 0		AGEC 217 Lec 3 0, 16, 0		PSY 200 Lec 1 24, 38, 0	

Tree Search



Tree Search

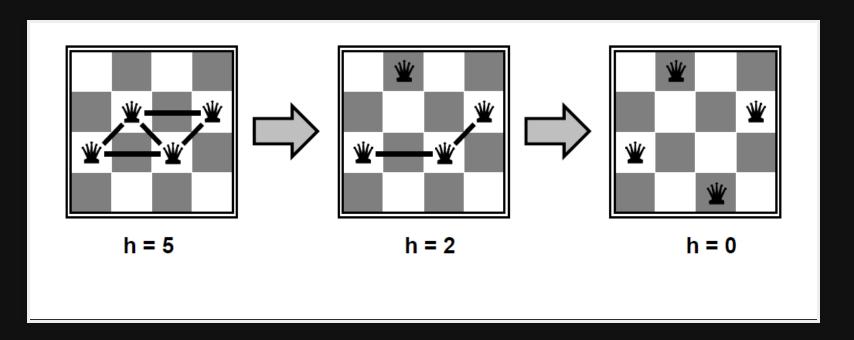
- Fringe: keeps unexplored states to ensure completeness
- Examples: DFS, BFS, UCS, Greedy, A*,
 Backtracking

Backtracking Search

- Partial assignment
- Extend solution: add new *var=value*
- Backtrack: change var=value if it fails
- Stop: complete & correct solution found, or search tree exhausted
- For constraint satisfaction problems

- Complete (invalid) assignment
- Iteratively improve (modify)
- No fringe: only keeps track of one solution (no fallback)
- Stop: can't improve current solution
- For constraint satisfaction & optimization

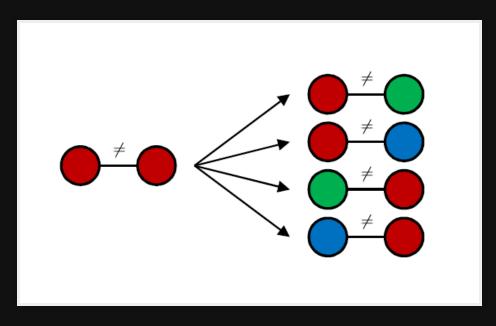
Example: N-Queens



- Successor: local changes
- **State**: solution
- Neighbor: current state + few local moves
- Neighborhood: candidate solutions
- Modify state, not extend

Local Moves

Describes how state will change per iteration



- Neighborhood function
- Objective function
- Legal neighbor function
- Selection function

Neighborhood function

- N(state)
- describe local move
- define **neighborhood** of state

Neighborhood

- Change 1 variable → different value
- Change 2 variables → different values
- Swap values of 2 variables
- bigger local move = bigger neighborhood

Objective function

- f(state)
- state grader
- gives state a score based on your goal
- minimize or maximize

Objective Function

- **CSP**: no. of violations (*goal* = 0)
- COP: total constraint penalty (minimize)
- Knapsack: total value of items (maximize)
- Problem-dependent

Legal neighbor function

- L(states)
- which neighbors are legal?
- filter out unwanted neighbors
- usually based on objective function score

Legal Neighbors

- Select all
- f(nbor) > f(state): strictly increasing
- f(nbor) < f(state): strictly decreasing
- f(nbor) ≥ f(state): non-decreasing
- f(nbor) ≤ f(state): non-increasing
- No degradation

Selection function

- S(states)
- select a legal neighbor
- sometimes *merged* with legal neighbor fn
- may be offline or online

Offline vs Online Selection

- Offline: generate the whole neighborhood before selecting
- Online: generate neighbors one-by-one; if legal neighbor found, select that
- Tradeoff: optimality vs time

Selection Function

- Best, based on heuristics
- Multi-stage heuristics
- First: no need to expore whole nhood
- Random: effective if nhood is too big

```
function Local Search (f, N, L, S) {
2.
         s := GENERATEINITIALSOLUTION();
3.
         s^* := s;
4.
         for k := 1 to MaxTrials do
            if satisfiable(s) \land f(s) < f(s^*) then
5.
6.
                s^* := s;
7.
            s := S(L(N(s), s), s);
         return s^*;
8.
9.
```

Initial Solution

- Random solution
- From another method (greedy, BT search)

Local Search Usage

- 1. Create solution from scratch
- 2. Improve solution from other approach
- Example: BT to solve hard constraints,
 LS to lower soft constraint penalty

SAT vs OPT

- Satisfiability: infeasible → feasible (violations)
- Optimization: suboptimal → optimal (objective function)

SAT VS OPT

- SAT as OPT: set constraint penalty = ∞
- OPT as SAT: repeated SAT, keep best

Example: Graph Coloring

- Find solution with k colors
- Remove one color, C
- Reassign C vertices some other color
- Found a solution with k-1 colors
- Repeat

Local Search Efficiency

- Not guaranteed to finish quickly
- In practice, acceptable running time
- Can set max iterations
- Anytime algorithm: stop anytime and get a solution

In general:

- Faster, better memory than tree search
- Incomplete and suboptimal
- Guaranteed to find local optimum
- Not guaranteed to find global optimum

Suboptimality

- Making locally optimal move does not guarantee globally optimal move
- Performance depends on initial solution & selecting neighbors
- Improvement: random restarts

Local Search Techniques

Min-Conflict

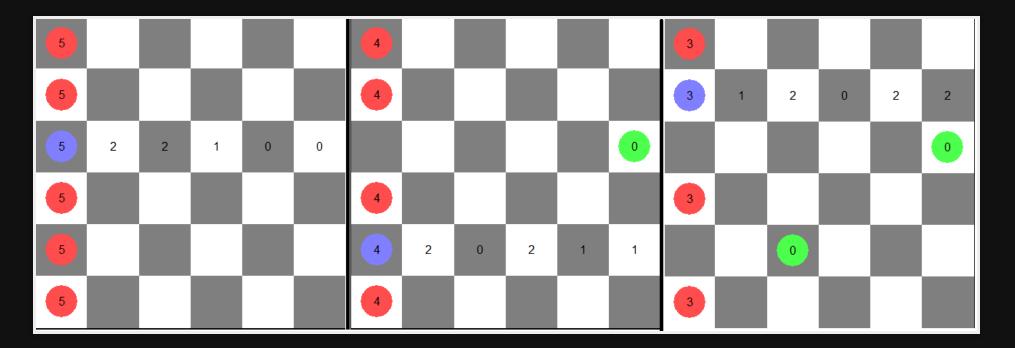
- Used for CSP
- Neighborhood: change 1 var = value
- Objective fn: count violations
- Legal neighbors: select all

Min-Conflict Heuristic

Selection = **multi-stage** heuristic:

- Variable: choose random variable
- Value: assign value that minimizes var's violations (min-conflict)

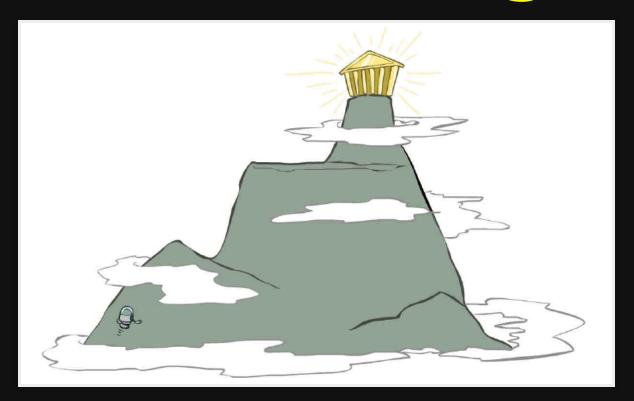
Min-Conflict Heuristic



Max-Min Conflict

Selection = **multi-stage** heuristic:

- Variable: choose variable that appears in most violations (max-conflict)
- Value: assign value that minimizes var's violations (min-conflict)



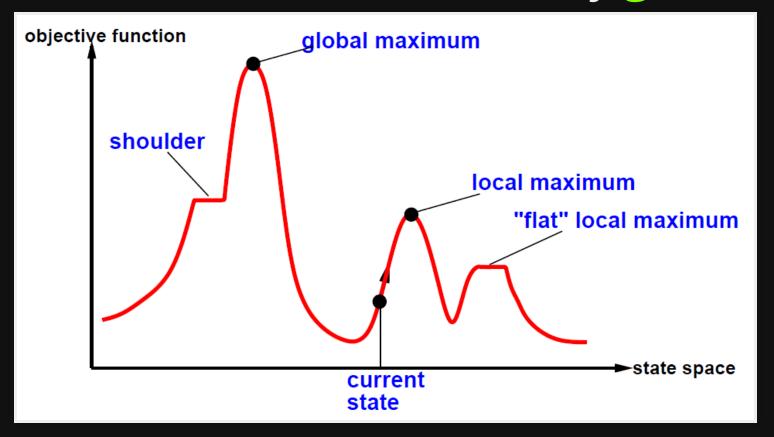
- Start with *initial* state
- Repeatedly select best neighbor
- Choose biggest improvement
- If all neighbors worse, stop

- Legal neighbors: non-degradation (≥, ≤)
- Selection: best legal neighbor

```
function Hill-Climbing (problem) returns a state that is a local maximum inputs: problem, a problem local variables: current, a node neighbor, \text{ a node} current \leftarrow \text{Make-Node}(\text{Initial-State}[problem]) loop do neighbor \leftarrow \text{a highest-valued successor of } current if \text{Value}[\text{neighbor}] \leq \text{Value}[\text{current}] then return \text{State}[current] current \leftarrow neighbor end
```

- Complete? No
- Optimal? No
- Simplest local search technique

Local max is not necessarily global max



Hill Climbing Problems

- Problem: Getting stuck on local optimum
- Solution: run local search many times
- Random-restart HC: repeatedly start with random solution, keep best solution

Iterated Local Search

```
function IteratedLocalSearch(f, N, L, S) {
1.
        s := GENERATEINITIALSOLUTION();
2.
3.
        s^* := s:
        for k := 1 to MaxSearches do
4.
5.
            s := \text{LocalSearch}(f, N, L, S, s);
6.
            if f(s) < f(s^*) then
               s^* := s:
            s := GENERATENEWSOLUTION(s);
        return s^*;
9.
10.
```

Hill Climbing Problems

- Problem: Getting stuck on a plateau
- Solution: count no. of iterations in plateau (no improvement)
- Solution: random sideways moves

Stochastic Hill Climbing

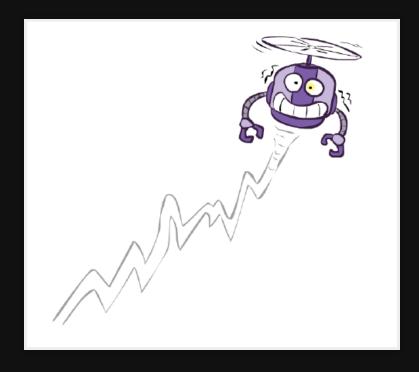
- Choose random neighbor
- If neighbor improves objective fn, select as next state
- Does not examine all neighbors

- *Idea*: allow downhill / bad moves, but make them rare as *time* goes on
- Allows you to escape local maxima
- Annealing: heating metal, cool slowly

Temperature

- when T is **high**, can *bounce around* (go to neighbor even if it is not better)
- when T is **low**, less chance of going to bad neighbors
- T cools down with time
- set temperature schedule

"Bounce around"



Legal Neighbors + Selection:

- Compute temperature
- Choose random neighbor
- If *better*: select neighbor
- Else: select neighbor with probability proportional to temperature

```
function Simulated-Annealing (problem, schedule) returns a solution state inputs: problem, a problem schedule, a mapping from time to "temperature" local variables: current, a node next, a node T, a "temperature" controlling prob. of downward steps  \begin{array}{c} current \leftarrow \text{Make-Node}(\text{Initial-State}[problem]) \\ \text{for } t \leftarrow 1 \text{ to} \infty \text{ do} \\ T \leftarrow schedule[t] \\ \text{if } T = 0 \text{ then return } current \\ next \leftarrow \text{a randomly selected successor of } current \\ \Delta E \leftarrow \text{Value}[next] - \text{Value}[current] \\ \text{if } \Delta E > 0 \text{ then } current \leftarrow next \\ \text{else } current \leftarrow next \text{ only with probability } e^{\Delta E/T} \end{array}
```

- Used in VLSI layout, airline scheduling, etc.
- Theoretical guarantee: if T decreased slowly enough, will converge to optimal state!
- Reality: the more downhill steps you need to escape local optimum, the less likely you are to make them all in a row



- Local search with memory
- Keep track of states already visited
- Select best legal neighbor that is not tabu

Short-term Memory

- tabu list: recently visited states
- cannot revisit until tabu tenure expired
- may increase / decrease size dynamically

Example: N-Queens

- Local move: assign var = value
- Tabu list: variable cannot be assigned to its old value
- Can also make variable tabu don't change variable for some number of iterations

Long-term Memory

- learn properties of bad solutions
- diversify: drive search into new regions
- avoid revisiting plateaus, dead-ends

Aspiration

- What if a move is tabu but really good?
- Aspiration: overrides tabu status
- Select neighbor if not tabu or really good

Metaheuristics

- Examples: Simulated Annealing, Tabu Search
- Aim to escape local optima
- Drive search towards global optimum

Metaheuristics

- Efficiently explore search space to find near-optimal solutions
- Typically includes memory or learning

Heuristics vs Metaheuristics

- Heuristics: problem-dependent
- Metaheuristics: problem-independent; general technique

Metaheuristics

- Variable Neighborhood Search
- Guided Local Search
- Genetic Algorithms
- Memetic Algorithms
- Evolutionary Algorithms

Variable Neighborhood Search

- Different neighborhoods per iteration
- Explores more of search space

Guided Local Search

- Penalizes states that reach plateaus and deadends
- Modified objective fn, penalties included

Summary

Local Search:

- Neighbors, Legal Neighbors, Selection,
 Objective function
- Hill Climbing
- Simulated Annealing
- Tabu Search

Next Meeting

Population-Based Search:

- Genetic Algorithms
- Swarm Algorithms
- Assignment 4: Local Search & PBS
- MP #2: Constrained Problems

References

- Artificial Intelligence: A Modern Approach, 3rd Edition, S. Russell and P. Norvig, 2010
- Clever Algorithms, J. Brownlee, 2011
- CS 188 Lec 5 slides, Dan Klein, UC Berkeley
- Introduction to Theoretical CS, Udacity
- Discrete Optimization, Coursera

Questions?