

# Polygenic Score Workshop

Part 1: PGS Intro and Construction

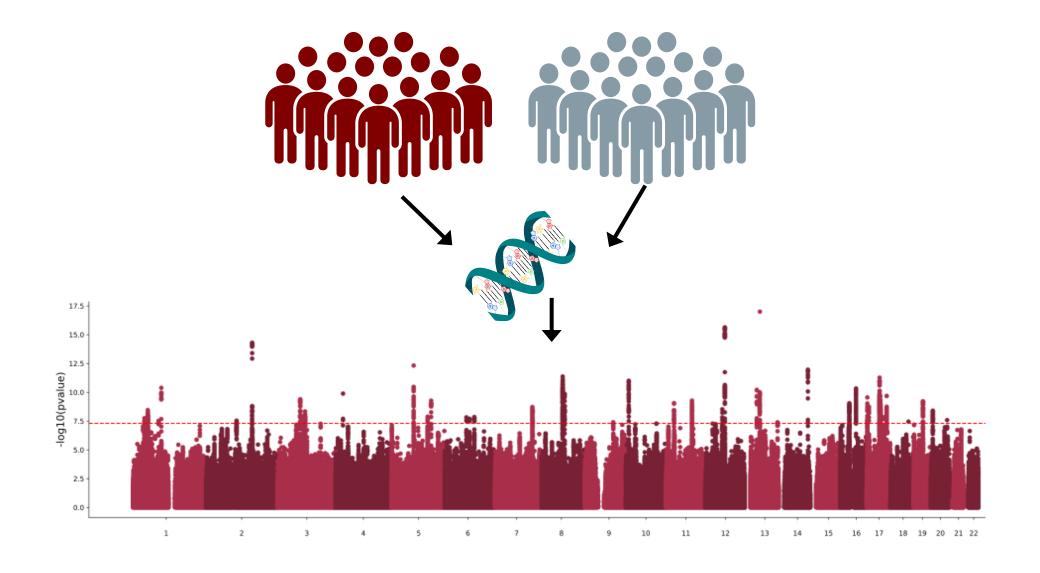
### **Brittany Mitchell**

24<sup>th</sup> July 2025

Adelaide Health and Medical Sciences Building



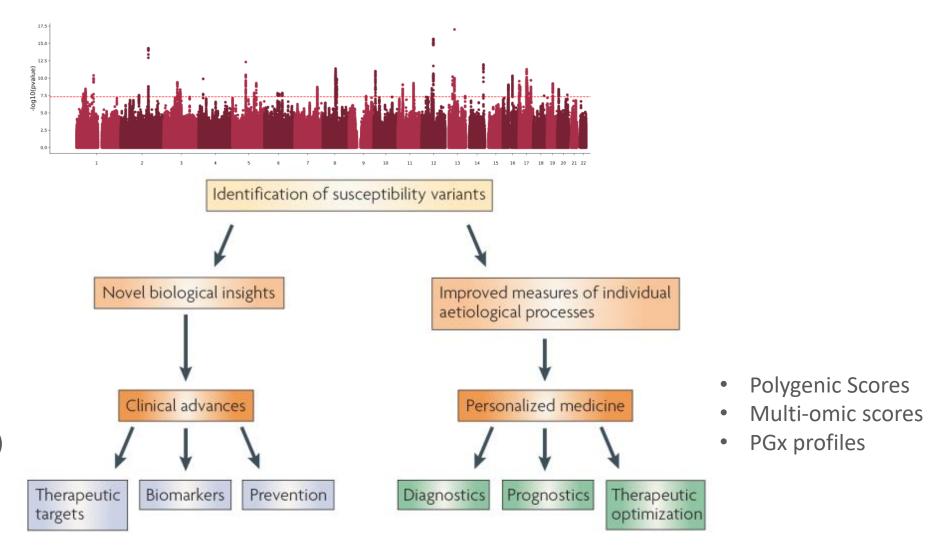
# Genome-Wide Association Study







- Fine-mapping
- Gene-based tests (MAGMA)
- Genetic Correlations (LDSC)
- Mendelian Randomization



# Polygenic score (PGS)

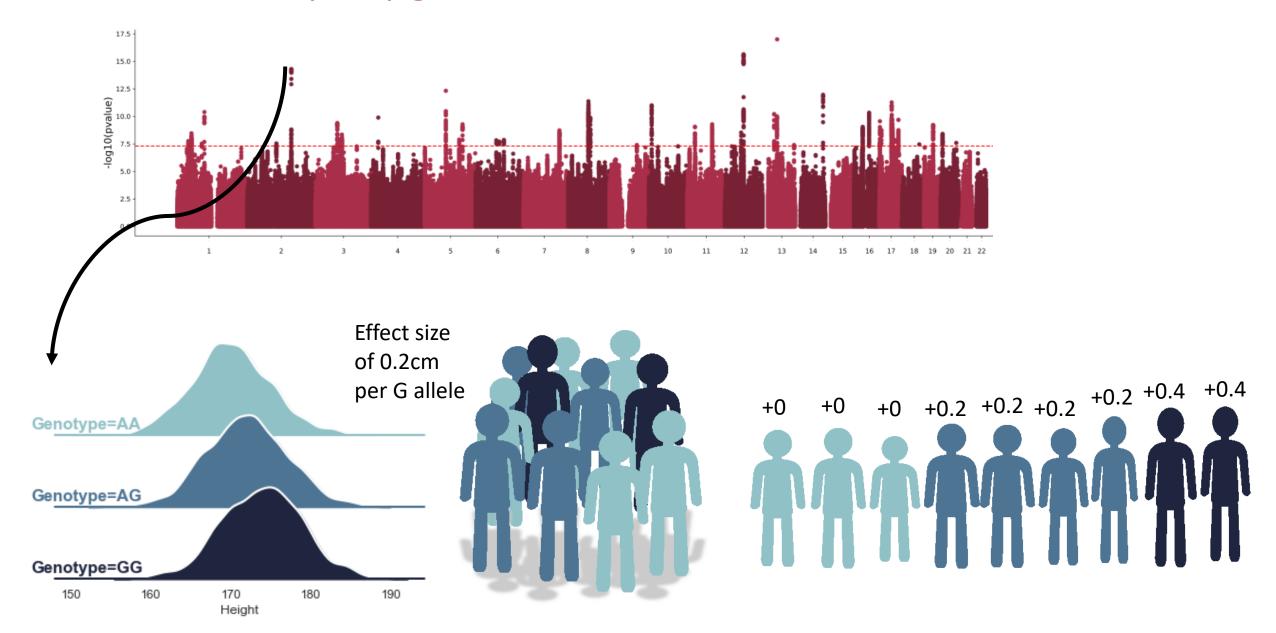
Polygenic index (PGI)

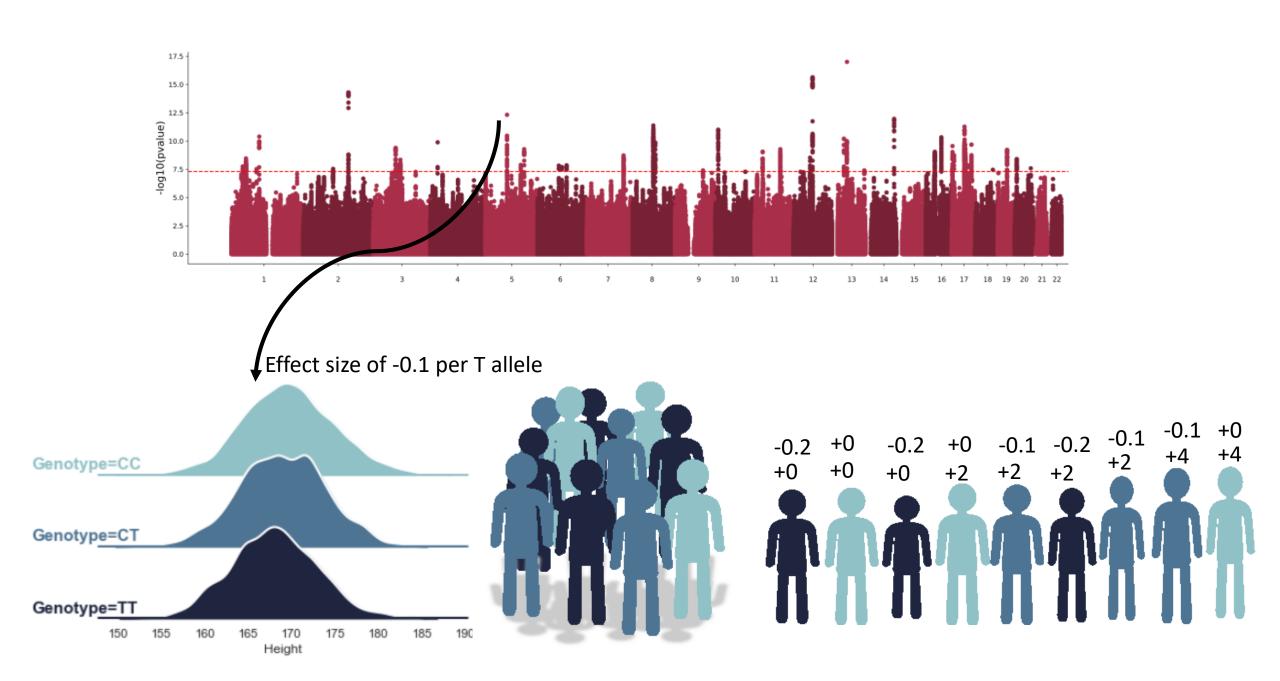
Polygenic risk score (PRS)

Genome-wide score (GWS)

Genetic risk score (GRS)

# What is a polygenic Score?





## What is a Polygenic Score?

- An index that linearly aggregates the estimated effects of individual SNPs on the trait
  of interest.
- Can be considered a measure of an individual's genetic propensity towards a trait.
- Defined as a weighted sum of a persons genotypes at K loci.
- Start with additive model using measured SNPs:

$$y_{i} = \underbrace{A_{SNP,i}(x_{i})}_{\text{Effect Genotype/dosage}} + \epsilon_{i,SNP} = \sum_{j=1}^{K} \beta_{j} x_{ij} + \epsilon_{i,SNP}$$
Effect Genotype/dosage

## Predictive power of a PGS

If we regress y on  $\hat{A}_{SNP}$  we get an Ordinary Least Squares coefficient of

$$b = \frac{Cov(\hat{A}_{SNP}, y)}{Var(\hat{A}_{SNP})}$$

$$= \frac{Cov(A_{SNP} + U_i, A_{SNP} + \epsilon_{SNP})}{Var(A_{SNP} + U)}$$

$$= \frac{Var(A_{SNP})}{Var(A_{SNP}) + Var(U)}$$

 $\hat{A}_{SNP}$  = genetic value predicted from SNPs (e.g., PGS or linear predictor)

U = residual component, capturing everything not explained by  ${\cal A}_{SNP}$  including:

- environmental factors
- genetic effects not tagged by SNPs (e.g., rare variants)
- measurement error

The proportion of variance in a trait that is explained by genetic effects captured by SNPs (SNP-based heritability)

## Predictive power of a PGS

How much of the **phenotypic variance** is explained by the **predicted genetic value**  $\hat{A}_{SNP}$  — such as a polygenic score.

b = **regression coefficient** obtained from regressing the phenotype y on the predicted genetic value

 $Var(\hat{A}_{SNP})$  = variance of the predicted genetic scores across individuals

Var(y) = Total variance in the observed phenotype across individuals

And the expected predictive power is:

$$R^{2} \approx \frac{Genetic \, Variance}{Total \, variance} = R^{2} \approx \frac{b^{2} Var(\hat{A}_{SNP})}{Var(y)}$$

$$= \left(\frac{Var(A_{SNP})}{Var(A_{SNP}) + Var(U)}\right)^{2} \frac{Var(\hat{A}_{SNP})}{Var(y)}$$

$$\vdots$$

$$\approx \frac{h_{SNP}^{2}}{h_{SNP}^{2} + M_{P}}$$
Sometimes called the Daetwyler formula (Daetwyler et al. 2008)

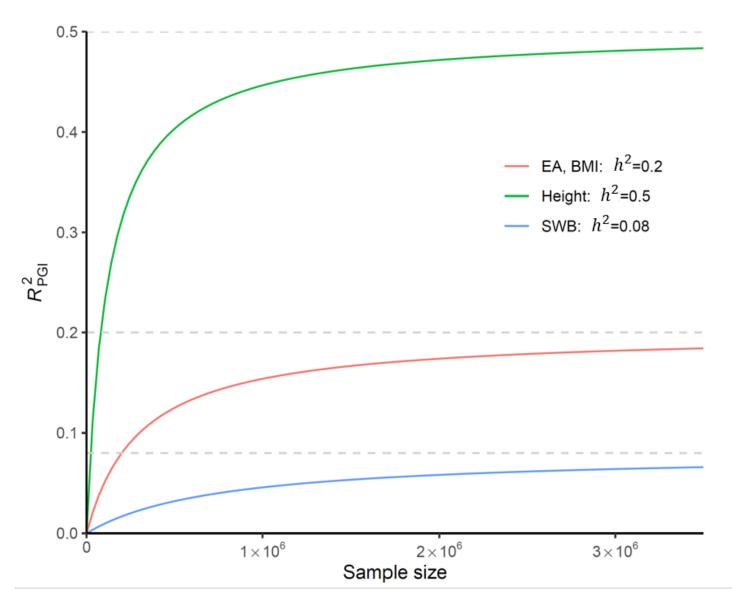
OLS:

$$y_i = a + bx_i + \epsilon_i$$

$$b = \frac{Cov(x,y)}{Var(x)}, R^2 = \frac{b^2 Var(x)}{Var(y)}$$

Effective number of SNPs in the PGS, estimated to be between 50k-70k in genome-wide data for EUR ancestry (Wray et al. 2013)

# Theoretical projections for $R_{PGS}^2$



- SNP-heritability
- Number effective SNPs = GWAS sample size

## Constructing PGS

#### What do you need?

- Individual-level genotype data from a prediction sample (Imputed)
- Weights: GWAS summary statistics from a discovery sample
- Reference genotypes to estimate LD

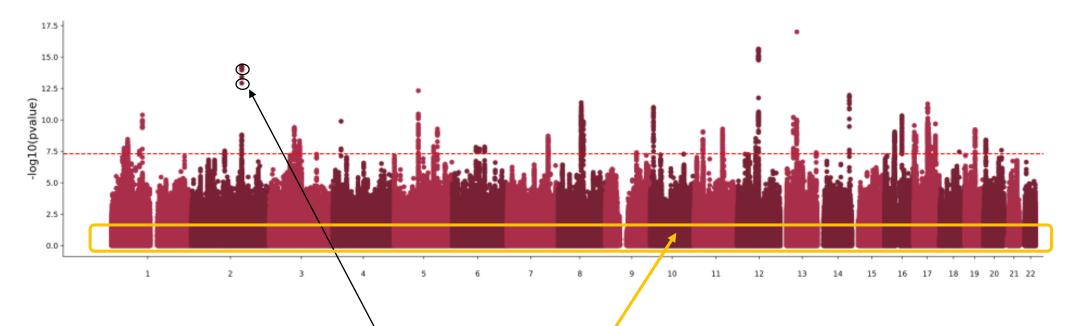
#### **Caution:**

The prediction sample should not overlap with the discovery sample!

The prediction sample should be of similar ancestry to discovery sample!



## Which SNPs? What weights?



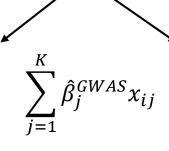
GWAS results give us  $\hat{\beta}_j^{GWAS}$ , not  $\beta_j$ . Two issues to consider when constructing  $\sum_{j=1}^K \hat{\beta}_j^{GWAS} x_{ij}$ :

- 1. For some SNPs,  $\hat{\beta}_j^{GWAS}$  may be a very noisy estimate of  $\beta_j$  and/or  $\beta_j$  may be close to 0, so adding those SNPs will add more noise than signal
- 2. If we include all SNPs, we will overweight ("double-count") SNPs with high LD scores

#### **Two solutions**

#### **Clumping and thresholding**

Include only the most strongly associated SNP from each LD block (Purcell et al., 2009)



#### **Bayesian approaches**

Include all SNPs but adjust the effect sizes for LD

Weights: Set equal to GWAS coefficients.

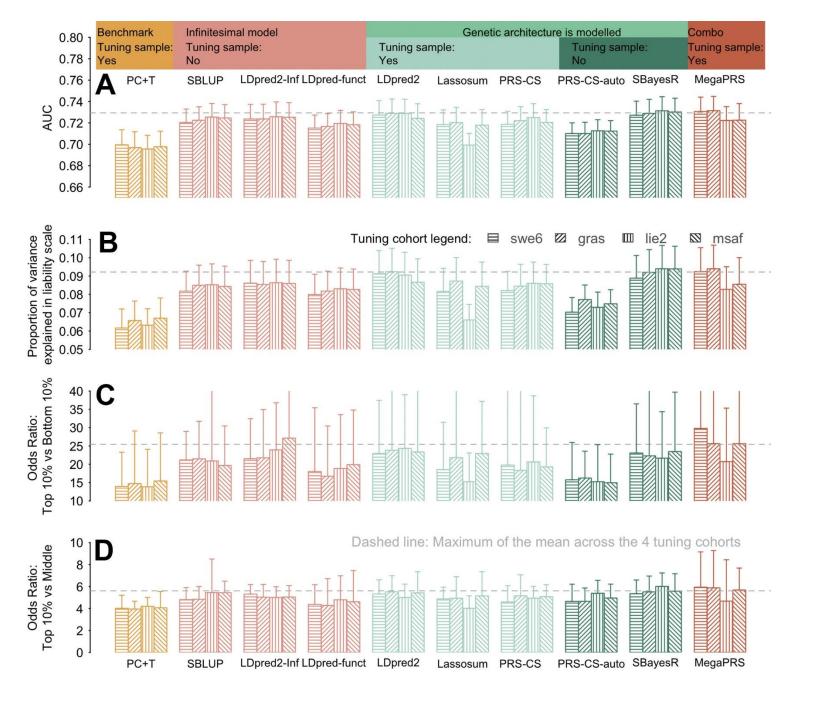
**Loci:** Selected by

- using a clumping algorithm that ensures the included markers are all approximately independent of each other
- 2. omitting SNPs whose *P* value for association with the phenotype is above a certain **threshold**

Weights: Set to GWAS coefficients **adjusted for LD and/or Genetic architecture** → approximate results from a theoretical multiple regression of the phenotype on all SNPs

<u>Loci:</u> Include **all SNPs**, no LD-based pruning

Examples: LDpred (Vilhjalmsson et al. 2015, Prive et al. 2020), PRS-CS (Ge et al. 2019), SBayesR (Lloyd-Jones et al. 2019), SBayesRC (Zhang 2024)





#### Biological Psychiatry

Volume 90, Issue 9, 1 November 2021, Pages 611-620



Archival Report

#### A Comparison of Ten Polygenic Score Methods for Psychiatric Disorders Applied Across Multiple Cohorts

Guiyan Ni <sup>a</sup>, Jian Zeng <sup>a</sup>, Joana A. Revez <sup>a</sup>, Ying Wang <sup>a</sup>, Zhili Zheng <sup>a</sup>, Tian Ge <sup>d</sup>, Restuadi Restuadi <sup>a</sup>, Jacqueline Kiewa <sup>a</sup>, Dale R. Nyholt <sup>c</sup>, Jonathan R.I. Coleman <sup>g</sup>, Jordan W. Smoller <sup>d e f</sup>

Schizophrenia Working Group of the Psychiatric Genomics Consortium

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If the purpose is to maximize predictive power, then Bayesian approaches clearly do better

## C+T vs Bayesian approaches

#### **Clumping and thresholding**

Faster and easier, but too black & white?

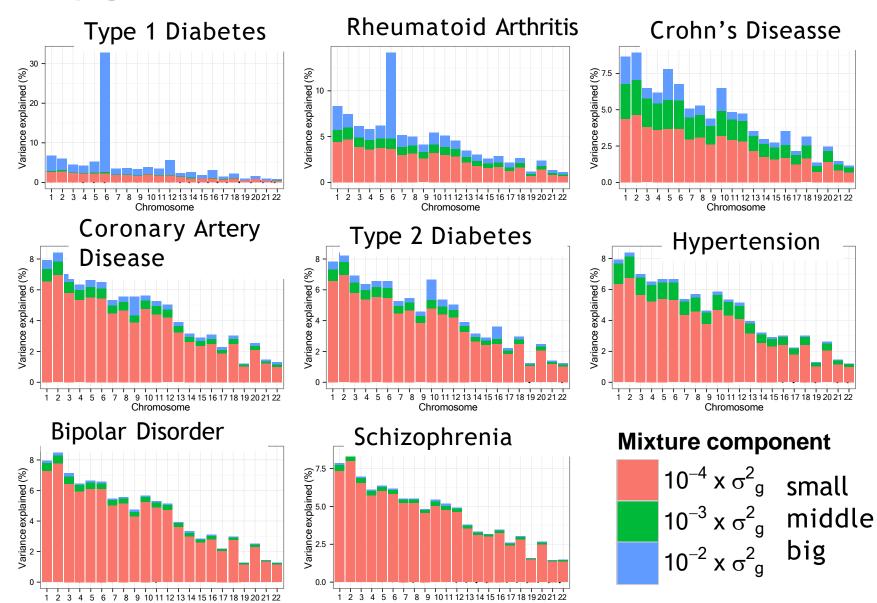
- If clumping  $r^2$  or P-value cutoffs too strict, it drops potentially causal SNPs.
- If clumping  $r^2$  and P-value cutoffs too relaxed, there is a lot of double-counting and noise

#### **Bayesian approaches**

- Utilize information from all SNPs by adjusting SNP weights for LD, but
  - If the reference panel is not a good match for the population from which summary statistics were obtained, prediction accuracy might be compromised
  - The assumed prior distribution might not accurately model the true genetic architecture

## **Polygenic Traits**

Chromosome

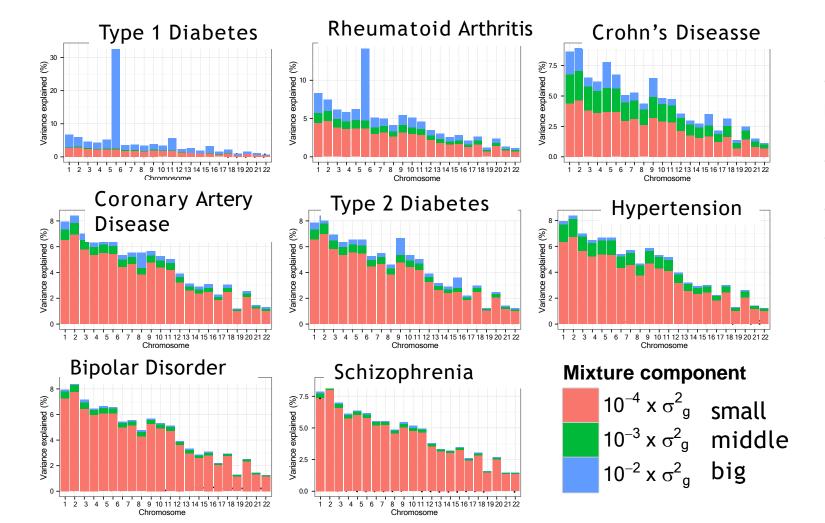


Chromosome



# Most variation is explained by small effects

# The method that best describes the distribution of SNP effects gives the most accurate PRS



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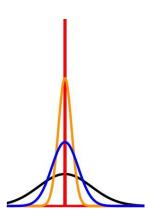
Article Open access Published: 08 November 2019

#### Improved polygenic prediction by Bayesian multiple regression on summary statistics

Luke R. Lloyd-Jones , Jian Zeng , Julia Sidorenko, Loïc Yengo, Gerhard Moser, Kathryn E. Kemper, Huanwei Wang, Zhili Zheng, Reedik Magi, Tōnu Esko, Andres Metspalu, Naomi R. Wray, Michael E. Goddard, Jian Yang & Peter M. Visscher

#### SBayesR:

- •Assumes SNP effect sizes follow a **mixture of normal distributions**, including a spike at zero (to allow many SNPs to have no effect).
- •Estimates the posterior effect sizes of SNPs genome-wide.

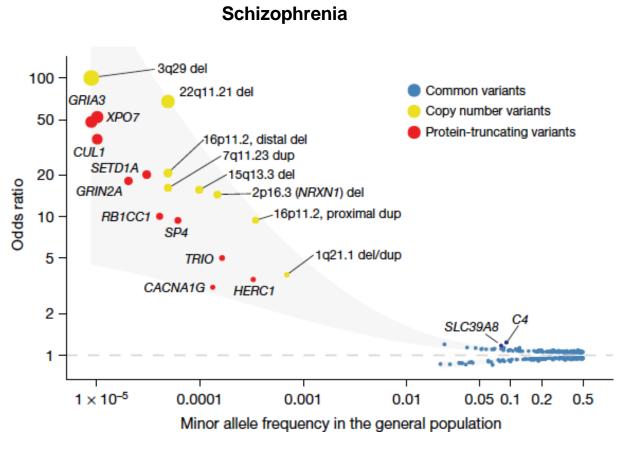


## **SBayesRC**

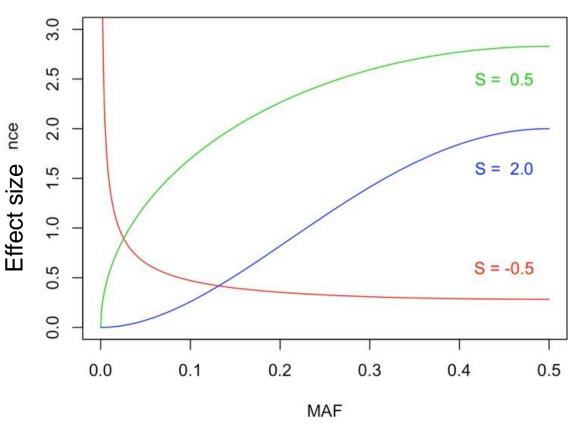
#### Signatures of negative selection

## $\beta_j \begin{cases} \sim N(0, [2p_j q_j]^{s} \sigma_{\beta}^2), & \pi \\ = 0, & 1 - \pi \end{cases}$

## Negative Selection: Reverse relationship between effect size and allele frequency



Singh et al. 2022 Nature



Minor allele frequency (MAF)

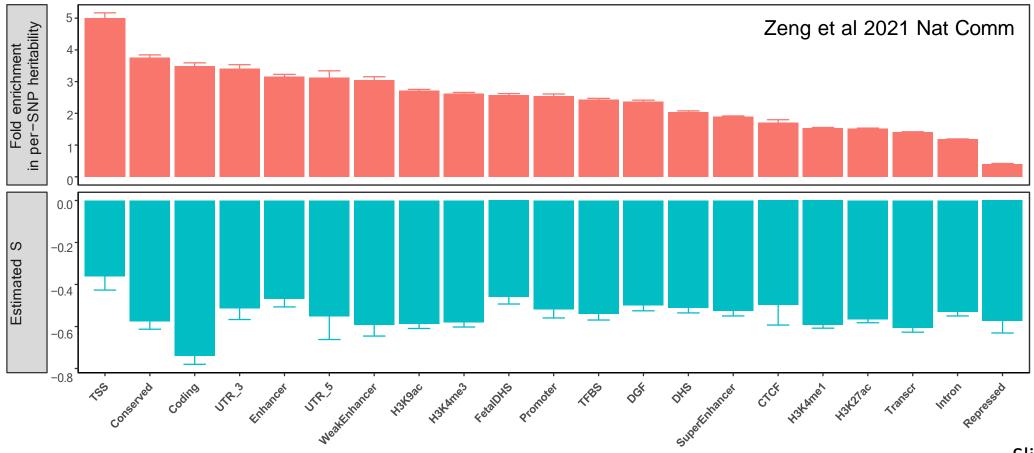
Zeng et al 2018 Nat Genet

Slides: Jian Zeng

## **Functional genetic architecture**

Genetic architecture and selection signatures vary across functional annotation categories.

Suggesting different effect size distributions across functional annotations



Slides: Jian<sup>9</sup>Zeng

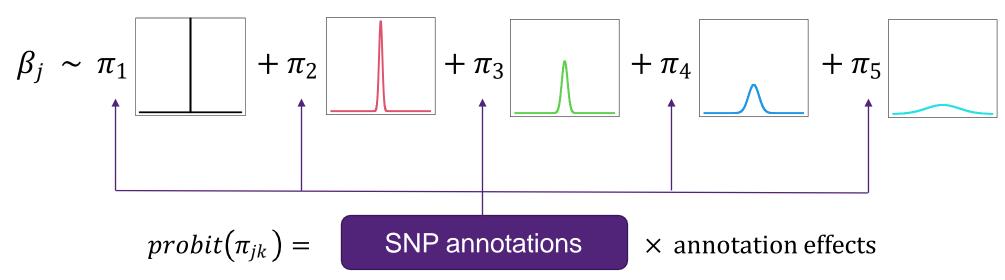
## SBayesRC (Zheng et al 2024 Nat Genet)

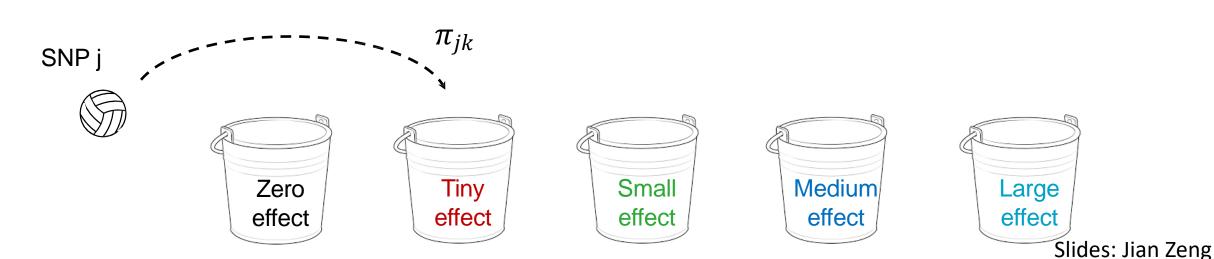




Zhili Zheng

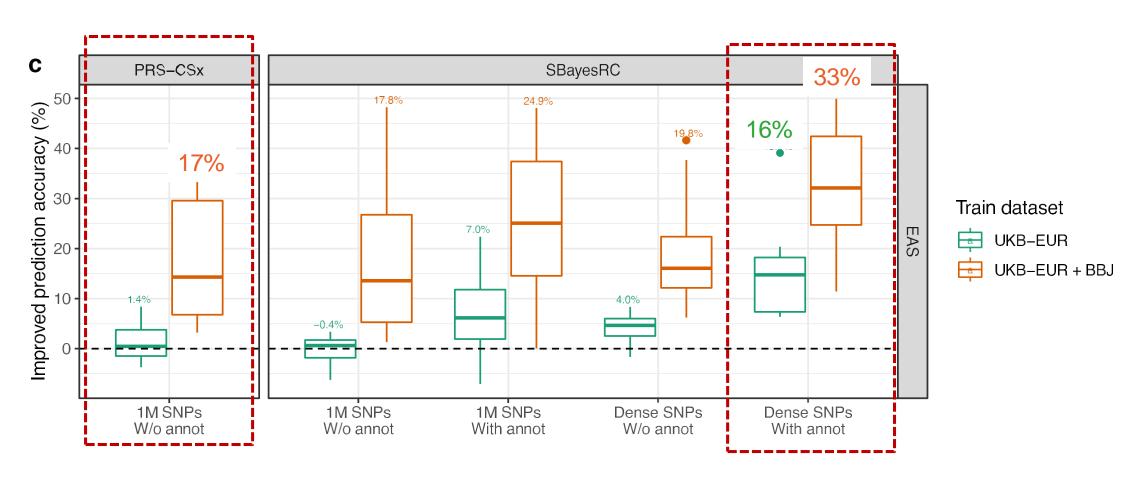
Incorporate functional annotations through a hierarchical prior:





## **Trans-ancestry prediction**

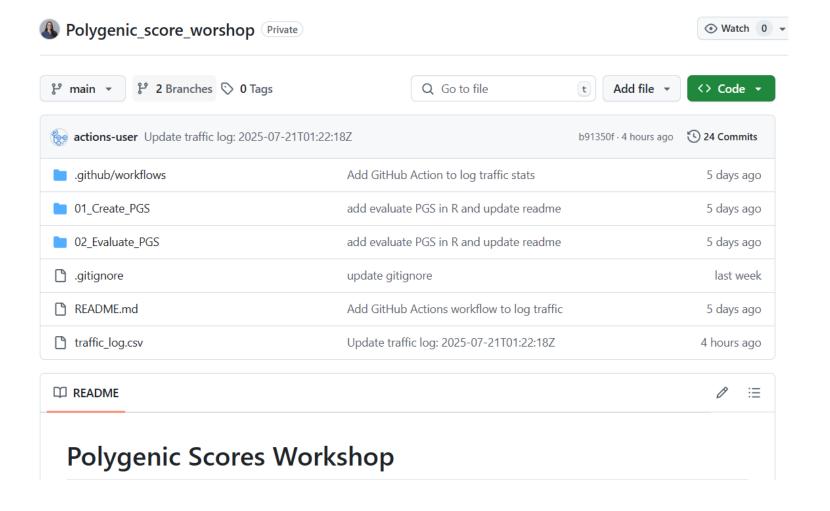
Use GWAS data from UKB EUR and BBJ EAS to predict UKB EAS



CRICOS code 00025B Slides: Jian Zeng



https://github.com/jodithea/Polygenic\_score\_workshop







### 1. Choosing your LD reference

- SBayesRC and other software (e.g. SBayesR, PRS-CS) provide calculated LD estimates
- These are usually limited to a set of good quality SNPs (e.g. HapMap3) to reduce errors in LD estimation and computational burden while ensuring sufficient coverage
- SBayesRC can be run with 1M, **7M** or 13M LD panel more density increases prediction
- But you can also estimate your own! Why would you?
  - You may want to include more SNPs
  - The available LD reference data may not be a good match for the ancestry of your GWAS
- If you decide to obtain your own LD estimates, you should make sure that the quality of your data is good. Bayesian approaches are very sensitive to errors in LD estimates!
- The SNPs included in the PGS will be limited to the intersection of GWAS, LD reference sample and target data.



## 1. Choosing your LD reference

Both the LD and Annotation files needed are provided in the tutorial

#### **Eigen-decomposition LD Matrix**

- Files: ukbEUR\_Imputed/block\*.eigen.bin
- Source: GCTB website
  - Under 'Eigen-decomposition data of LD matrices' select '7M Imputed SNPs'
- Use the 'ukbEUR\_Imputed' directory
- This contains LD matrix eigen-decomposition from unrelated UK Biobank individuals of European ancestry for ~7 million SNPs, used by SBayesRC.

#### **Functional Genomic Annotation**

- File: annot\_S-LDSC\_BaselineLDv2.2.txt
- Source: GCTB website
  - o Under "Functional genomic annotations," select "7M SNP annotations"
- This is the formatted data for per-SNP functional annotations for ~7 million SNPs



## 2. QC and Formatting of GWAS Summary Statistics

Script: '01 Format GWAS sumstats.sh'

- Ensure no sample overlap exists between individuals used in the GWAS and your genotype cohort
  - Request LOO (Leave-One-Out) summary statistics from the authors OR remove overlapping individuals from your analysis
- Confirm that genome builds are consistent:

The LD matrix provided in GCTB is on build 37 so your GWAS summary statistics should also be on build 37 Conduct conversions if needed

- GWAS Summary statistics QC:
  - Filter SNPs with MAF > 0.01
  - Filter on imputation score > 0.6 (if this data is available)
- Reformat the GWAS summary statistics to COJO format (<u>required by SBayesRC</u>)
   .ma file

Header row: SNP A1 A2 freq b se p N (SNP identifier (rsID)) Ensure A1 and A2 are uppercase



#### 2. QC and Formatting of GWAS Summary Statistics

#### Common mistakes:

- Check the scale of your effect column (eg beta, not OR)
- Be very sure which column is the effect allele and non-effect allele. Worth double-checking the Readme!
- Missing required fields not always provided in summary stats; need to merge from a reference panel (eg EAF)
- Incorrectly formatted p-values (p=0 or wrong scale e.g. Regenie output log10(p-values))
- Reference genome build mismatch
- Including duplicated or multiallelic SNPs not all software can handle this
- It is a good idea to limit the SNPs in the GWAS to those available in the target data prior to adjusting for LD, especially if the overlap is rather poor (e.g. if you only have array SNPs in the target data)
- Bayesian software will assume all SNPs in the GWAS will be included in the PGS and make LD adjustments to maximize prediction accuracy. If some SNPs cannot be included because they are not in the target data, the adjustments will be suboptimal.



### 3. Run SBayesRC in GCTB to get new weights

#### 3.1 Imputation

Scripts: '02 a Impute GWAS sumstats.sh' and '02 b Impute GWAS sumstats.sh'

- Match alleles between the GWAS summary statistics and the LD matrix
- Remove SNPs with sample size >3 SD from median
- Impute summary statistics for SNPs in the LD matrix but missing in the GWAS summary statistics This process is performed in parallel over 591 LD matrix blocks'
- Merge results into a single QCed/imputed summary statistics file

#### 3.2 SBayesRC

Script: '03 SBayesRC PGS weights.sh'

Run SBayesRC to calculate the polygenic weights for each SNP

```
Key output file: *.snpRes
column 2 = SNP (rsID)
column 5 = A1 (effect allele)
column 8 = A1Effect (Posterior mean of the SNP effect size (beta) = PGS weight)
```



## 3. Run SBayesRC in GCTB to get new weights

```
Key output file: *.snpRes
```

column 2 = SNP (rsID)

column 5 = A1 (effect allele)

column 8 = A1Effect (Posterior mean of the SNP effect size (beta) = PGS weight)

Index	Name	Chrom	Posit ion	A1_	 A2	A1Frq	A1Effect	SE VarExplained	PEP	Pi1	Pi2	Pi3	Pi4	Pi5	PIP
1	rs12132974	1	801661	T	С	0.079275	-0.000019	0.000193 5.466305e-09	0.010000	0.992953	0.007047	0.000000	0.000000	0.000000	0.00704718
2	rs12134490	1	801680	С	Α	0.079225	0.000000	0.000000 0.000000e+00	0.000000	0.995023	0.004977	0.000000	0.000000	0.000000	0.00497723
3	rs 17276806	1	801858	Т	C	0.079300	0.000004	0.000051 3.859203e-10	0.005000	0.995801	0.004199	0.000000	0.000000	0.000000	0.00419903
4	rs139867617	1	802856	Т	C	0.079275	0.000015	0.000212 6.569427e-09	0.005000	0.995680	0.004312	0.000009	0.000000	0.000000	0.00432014
5	rs7526310	1	804759	Т	C	0.123250	-0.000010	0.000145 4.579786e-09	0.005000	0.995424	0.004514	0.000062	0.000000	0.000000	0.00457627
6	rs72631880	1	805556	Α	Т	0.085425	0.000000	0.000000 0.000000e+00	0.000000	0.993051	0.006489	0.000460	0.000000	0.000000	0.00694919
7	rs11240779	1	808631	Α	G	0.772475	0.000000	0.000000 0.000000e+00	0.000000	0.997112	0.002871	0.000018	0.000000	0.000000	0.00288814
8	rs11240780	1	808928	Т	C	0.772600	0.000000	0.000000 0.000000e+00	0.000000	0.998095	0.001891	0.000014	0.000000	0.000000	0.00190496
9	rs57181708	1_	809876	G	Α	0.101175	0.000003	0.000039 2.789843e-10	0.005000	0.996498	0.003501	0.000001	0.000000	0.000000	0.00350165



## 4. QC target data

- Applying some QC to target data to minimize noise and genotyping errors is recommended:
  - sample-level filters: limit to a single genetic ancestry, drop individuals with low genotyping rate
  - SNP-level filters: drop SNPs with low call rate, MAF, HWE P-value (genotyped) SNPs), imputation accuracy (imputed SNPs)
  - If you are using imputed data, use dosages rather than hard calls. Hard calls don't account for imputation uncertainty!



### 5. Matching weights and genotype data

Script: '04\_Align\_genotype\_data\_with\_SBayesRC.sh'

- Ensure SNPs and alleles in the genotype data align with those in the \*.snpRes file
- Ambiguous SNPs (i.e. A/T and C/G) are removed
- Strand flipping is done where required (e.g. A/G vs T/C)
- Allele flipping is done where required (e.g. A/G va G/A)
- Changes are made to the genotype data. The SBayesRC \*.snpRes file remains unchanged



### 6. Scoring you PGS using PLINK

Script: '05\_Plink\_PGS\_scores.sh'

- Use PLINK with the PGS weights (SBayesRC \*.snpRes file) and the aligned genotype data (.bed/.bim/.fam files) to create PGS scores for the genotyped individuals
- Key output file: \*.profile

FID	IID	PHEN0	CNT	CNT2	SCORESUM
HG00096	HG00096	-9	961868	136436	-0.008254
HG00097	HG00097	-9	961868	137816	-0.025151
HG00099	HG00099	-9	961868	136648	-0.037584
HG00101	HG00101	-9	961868	137351	-0.005926
HG00102	HG00102	-9	961868	136682	-0.02834
HG00103	HG00103	-9	961868	137204	-0.033321
HG00105	HG00105	-9	961868	136604	-0.036338
HG00107	HG00107	-9	961868	136511	-0.039837
HG00108	HG00108	-9	961868	136579	-0.000696



Thank you