

HRS 2022 -- SECTION W : SOCIAL SECURITY PERMISSION
COMMENTED VERSION -- 04/7/2022

***** NOTE FOR REVIEWERS *****

ALL CHANGES FOR THE 2022 WAVE INSTRUMENT ARE FLAGGED WITH
#@ LOCATED ON THE RIGHT SIDE OF THE CHANGED ITEM OR SERIES.
THUS, ALL CHANGES CAN BE LOCATED BY SEARCHING FOR **#@** IN THE DESIGN
CHANGE COMMENTS COLUMN.

CHANGES IN ITEMS THAT WERE IN THE 2020 INSTRUMENT APPEAR IN **RED**.

NEW QUESTIONS AND ASSOCIATED NEW BRANCHPOINTS, ETC., APPEAR IN
BLUE SANDWICHED BETWEEN ROWS OF *****.

NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE
JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

NOTE ABOUT COLORS:

ALL TEXT IN TEAL IS SPECIFIC TO A WEB INTERVIEW.

THE CORE INTERVIEW IS DOCUMENTED USING BLACK TEXT, BUT BLACK TEXT
CAN ALSO APPLY TO THE WEB INTERVIEW WHEN THERE ARE NO DIFFERENCES
FROM THE CORE.

NOTE: TEXT IN PURPLE IS FOR LIVING PROXY

NOTE ABOUT BOLD/UNDERLINE TEXT:

ANY QUESTION THAT IS UNDERLINED IN THE B&A WILL APPEAR IN BOLD IN CAPI
AND WILL BE UNDERLINED FOR CAWI.

NOTE ABOUT NON-RESPONSE FLOW:

ANY QUESTION THAT IS ASKED BUT LEFT WITHOUT A RESPONSE IN CAWI INTERVIEWS WILL FOLLOW THE SAME PATH AS A REFUSAL FOR THAT QUESTION, UNLESS OTHERWISE SPECIFIED.

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

SSA not previously administered in SurveyTrak or Blaise (W310_SocSecPermit)

IF R IS ALIVE (SecA.StartInterview.A007TRAlive_A = '1')

IF THIS IS A SELF INTERVIEW (SecA.StartInterview.A009_SelfPrxy = '5')

IF THIS IS A REINTERVIEW R (Z076=1)

IF THIS IS A NEW COHORT (X024 <> 1)

IF THIS IS A NEW SPOUSE (SCV.XNewSp = 3)

IF THIS IS A FACE-TO-FACE INTERVIEW (FTF) (X090=2)

IF THIS IS ENHANCED FACE-TO-FACE INTERVIEW (E-FTF) (X090= 3)

IF R IS FLAGGED TO BE ASKED TO BE ASKED SOCIAL SECURITY PERMISSIONS (Z120 <> 2 or 5)

IF R IS ASSIGNED TO BE ASKED AFTER SECTION J3 (X507=1)

IF R IS ASSIGNED TO BE ASKED AT THE END OF INTERVIEW (X507=2)

IF MOST RECENT CONSENT YEAR IS BEFORE 2012 (Z285 < 2012)

IF R WAS WORKING FOR PAY IN THE PREVIOUS WAVE or WE DON'T KNOW IF R WAS WORKING FOR PAY IN PREVIOUS WAVE (Z123 <>5)

MAJOR FLOW CONTROL: OTHER SECTIONS

IF R IS WORKING FOR PAY IN CURRENT WAVE (J020 <5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.

NOTE: A DIFFERENT ONE-HALF OF THE HRS SAMPLE IS DESIGNATED FOR AN ENHANCED FACE-TO-FACE INTERVIEW EACH WAVE, SO THAT OVER A TWO-WAVE PERIOD THE ENTIRE SAMPLE OF RESPONDENTS RECEIVES AN ENHANCED FACE-TO-FACE INTERVIEW.

NOTE: THE FOLLOWING SS PERMISSION SEQUENCE IS A RECALLED BLOCK OF THE "EVENT HISTORY" SEQUENCE. WHEN ASKED AFTER SECTION J3 (X507_RANDOM_1_2 = 1) IT WILL BE DESIGNATED AS THE "_1" SEQUENCE AND DATA WILL BE SAVED IN "_1". WHEN PERMISSION IS ASKED AT THE END OF THE SURVEY (WHERE IT WAS ASKED IN PRIOR WAVES) IT WILL BE DESIGNATED AT THE "_2" SEQUENCE AND THE DATA WILL BE SAVED IN "_2". WHEN ASKED IN E-F-T-F AND CASE IS SUSPENDED BEFORE REACHING THE SS PERMISSION SEQUENCE AND PERMISSION IS COLLECTED IN SURVEYTRAK, THE DATA WILL BE SAVED IN THE _1 or _2 SPACE APPROPRIATE TO THE VALUE IN X507).

NOTE: RULES FOR COLLECTING PERMISSIONS IN ST:

1. SSA is administered in SurveyTrak after the iwer suspends the interview and when all the following criteria are met:

- Instrument = 'SELF'
- SecA.StartInterview.A007TRAlive_A = '1'
- SecA.StartInterview.A009_SelfPrxy = '5' (this is a ST recode meaning not proxy)
- X090 = '2' OR X090 = '3'
- (RVAR.S.Z120_AskSSPerm_V = '1' AND X090 = '3') OR X024_RelwHH_V = '5'
- SSA not previously administered in SurveyTrak or Blaise (W310_SocSecPermit)

2. When the suspended interview is resumed, SurveyTrak checks the same criteria as in step 1 when deciding whether to write to Blaise.

For 2020 SurveyTrak will use X507 to determine which set of Blaise variables to write to: If X507 = 1 write data to _1 block
If X507 = 2 write data to _2 block

Note: Background variable W822 flags whether or not a Respondent met all the requirements to be asked the following questions.

*If all requirements are met EXCEPT W311 = telephone, then W822 = 1 (SS not asked)
if all requirements are met and W311 = 2, then W822 = 2 (SS asked)*

#@ BJ (2/24/22) note added, 49576

W311 BRANCHPOINT: IF THIS IS AN EXIT or CAWI INTERVIEW, GO TO END OF SECTION W BRANCHPOINT

#@ DM2 AA (4/7/22) added or CAWI to the BP; #49630

[INSTR: ENTER CURRENT MODE OF INTERVIEW:]

1. TELEPHONE
2. FACE-TO-FACE

W314_W306 BRANCHPOINT:

(W311 = 2 (FTF) **AND**
SELF (A009 = 1), E-FTF (X090 = 3) **AND**
(WORKING FOR PAY (J020 = 1) OR WORKING FOR PAY IN PW (Z123 <> 5)) OR
(MOST RECENT CONSENT YEAR IS BEFORE 2014 (Z285 < 2014) OR MISSING)
AND Z120 <> 5 AND <> 2)

OR

NC OR MINORITY OVERSAMPLE AND W311 = 2 (FTF)

Experiment Caveat Criteria:

Continue on to W314_W306_2 (end of lw version) if R was randomly selected to get SS Permission after J3 (X507_RANDOM_1_2 = 1) but R wanted to defer filling out the form until the end of the lw (W310_1 = 10)

Otherwise, go to W307_WAssist_2

#@ BJ (2/3/22) BP go so convoluted I rewrote it. Original old BP on sheet 2.

#@ kt (1/17/22)
David has decided that we will NOT be asking SS Permission for living proxies or if R switches to TEL mode at W311 so modifying BP condition. Dev: 49483

#@SS (1/7/21)
Updated BP for clarity Per email from KT 1/5/21

kt (12/9/21) David wants the minority oversample Rs to get SS permissions. Revised BP condition. Dev 49392

W314_
W306

**IF R WAS RANDOMLY SELECTED TO GET SS PERMISSION AFTER SECTION J3
(X507_RANDOM_1_2 = 1) AND R WANTED TO DEFER FILLING OUT THE FORM TIL
THE END OF THE IW (W310_1 = 10):**

Earlier we talked about completing a form authorizing us to obtain social security data on earnings and benefits. Let's return to that now.

(We want to assure you that the Health and Retirement Study is committed to taking the utmost care to protect the confidentiality of any information you give us, including the information on the form.)

OTHERWISE:

One of the most important parts of our study is to understand the financial situations of people in their retirement years. This is an important and challenging part of our research, and in order to obtain complete data for this research, we are asking our participants to complete a form authorizing us to obtain social security data on earnings and benefits.

We want to assure you that the Health and Retirement Study is committed to taking the utmost care to protect the confidentiality of any information you give us, including the information on the form.

[INSTR: PRESS 1 TO CONTINUE]

~~IF R WAS RANDOMLY SELECTED TO GET SS PERMISSION AFTER SECTION J3-
(X507_RANDOM_1_2 = 1) AND R WANTED TO DEFER FILLING OUT THE FORM TIL THE
END OF THE IW (W310_1 = 10):~~

~~Earlier we talked about completing a form authorizing us to obtain social security
data on earnings and benefits. Let's return to that now.~~

~~(We want to assure you that the Health and Retirement Study is committed to
taking the utmost care to protect the confidentiality of any information you give
us, including the information on the form.)~~

~~IF PRIOR WAVE REFUSER (Z120=1) OR NEW R—1st R~~

~~One of the most important parts of our study is to understand the financial
situations of people in their retirement years. This is an important and
challenging part of our research, and in order to obtain complete data for this
research, we are asking all our participants to complete a form authorizing us to
obtain social security data on earnings and benefits.~~

~~(Again,) we want to assure you and [R's FIRST NAME] that the Health and
Retirement Study is committed to taking the utmost care to protect the
confidentiality of any information given to us, including all of the information on
the form.~~

~~IF PRIOR WAVE REFUSER (Z120=1) OR NEW R—2nd R~~

~~We are asking all our participants to complete a form authorizing us to obtain
social security data on earnings and benefits, so we would also like to have [R's
FIRST NAME] complete the form.~~

~~(Again,) we want to assure you and [R's FIRST NAME] that the Health and~~

#@ kt (1/17/22)
David has decided
that we will NOT be
asking SS Permission
for living proxies
commenting out
proxy text. Dev:
49483

1. CONTINUE

IF THIS IS A F-T-F IW (W311 = 2) AND R GOT THE PERMISSION SEQUENCE AT THE END OF J3 (X507_RANDOM_1_2 = 1) AND ASKED TO DEFER FILLING OUT THE FORM TIL THE END OF THE IW (W310_1 = 10):

[INSTR: CONFIRM THAT R STILL HAS THE PERMISSION FORM.]

OTHERWISE:

[INSTR: HAND FORM TO R.] ~~GIVE R TIME TO READ THE FORM, EXPLAIN IT AND ANSWER ANY QUESTIONS AS NEEDED.]~~

~~**IF THIS IS A F-T-F IW (W311 = 2):**~~

Please take a minute to read the form(s).

~~[INSTR: GIVE R TIME TO READ THE FORM, EXPLAIN IT AND ANSWER ANY QUESTIONS AS NEEDED.]~~

(I have a letter here from the Social Security Administration describing the purpose of our research and supporting our research efforts. Please take a minute to read it.)

~~**IF THIS IS A TELEPHONE INTERVIEW (W311=1):**~~

~~I will be sending you the form and also a letter from the Social Security Administration describing the purpose of our research and supporting our research efforts.~~

IF THIS IS A REINTERVIEW R (Z076=1) AND R HAS REFUSED SSN IN PRIOR WAVE (Z120=1):

You may have been asked to provide this consent to us in the past. We are asking

#@ kt (1/17/22)

David has decided that we will NOT be asking SS Permission for living proxies commenting out proxy text and codes.
Dev: 49483

#@ kt (1/14/22)

comment out the telephone conditional text and, if necessary, the codes that would come up if telephone for W306 per dev 49475

~~IF THIS IS A F T F IW (W311=2) AND R GOT THE PERMISSION SEQUENCE AT THE
END OF J3 (X507_RANDOM_1_2 = 1) AND ASKED TO DEFER FILLING OUT THE
FORM TIL THE END OF THE IW (W310_1 = 10):-~~

~~{INSTR: CONFIRM THAT PROXY STILL HAS THE PERMISSION FORM.}~~

~~IF THIS IS A FACE-TO-FACE INTERVIEW (W311=2):~~

~~IWER: HAND BLUE FORM AND LETTER TO PROXY AND GIVE THEM TIME TO READ
THE FORM IF NEEDED. THEN ANSWER ANY QUESTIONS.~~

~~Please give this letter, form and self-addressed stamped envelope to [R's FIRST
NAME] for [him/her] to read and sign and return to us.~~

~~{INSTR: IF R CAN SIGN NOW, YOU MAY TAKE THE SIGNED FORM.}~~

~~IF THIS IS A REINTERVIEW (Z076=1) R AND R HAS REFUSED SSN IN PRIOR WAVE
(Z120=1):~~

~~[R's FIRST NAME] may have been asked to provide this consent to us in the past.
We are asking now that [he/she] allow us to update our records in order to obtain
additional data from the Social Security Administration.~~

~~IF THIS IS A TELEPHONE INTERVIEW (W311=1):~~

~~I have a letter from the Social Security Administration describing the purpose of
our research and supporting our research efforts.~~

~~I will be sending the letter, the form(s), and a self-addressed stamped envelope to
[R's FIRST NAME] for [him/her] to read and sign and return to us in the envelope~~

~~{INSTR: PRESS 1 TO CONTINUE}~~

1. CONTINUE

~~1. CONTINUE~~

~~9. RF-- PROXY REFUSED FOR R W307_WAssist~~

~~IF W314_2 IS FULL (BECAUSE INFORMATION WAS COLLECTED IN SURVEYTRAK)
THEN DISPLAY THIS INSTRUCTION:~~

~~{INSTR: PLEASE PRESS THE END KEY NOW}~~

[SHOW FOR ALL]

For the study's research purposes, would you authorize us to obtain your history of earnings and benefits administered by the Social Security Administration?

~~SHOW ALL TELEPHONE IWS (W311=1):~~

~~{INSTR: EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED.}~~

SHOW ALL F-T-F IWS (W311=2):

[INSTR: ~~GIVE R THE FORM AND THE PREPAID MAILER IF THE R WILL BE MAILING IT BACK. EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED. IF THE R IS AVAILABLE, USE CODES 1 OR 3; OTHERWISE USE PROXY CODES 5-8.~~]

SHOW FOR ALL:

[INSTR: USE THE SOCIAL SECURITY PERMISSION FORM FOR R'S SOCIAL SECURITY NUMBER.

NOTE: NEITHER DK NOR RF ARE ALLOWABLE RESPONSES, EXCEPT INSOFAR AS CODE "8. PROXY REFUSED FOR RESPONDENT" INCORPORATES A REFUSAL.

#@ BJ (1/18/22)
Dropped "if R is available.." as well as 1st inst re: skip to end.. Also modified FTF IW Inst #49466

#@ kt (1/17/22)
David has decided that we will NOT be asking SS Permission for living proxies commenting out proxy text and codes.
Dev: 49483

#@ kt (1/14/22)
comment out the telephone conditional text and, if necessary, the codes that would come up if telephone for W310 per dev 49475

~~IF W314_2 IS FULL (BECAUSE INFORMATION WAS COLLECTED IN SURVEYTRAK)
THEN DISPLAY THIS INSTRUCTION:~~

~~{INSTR: PLEASE PRESS THE END KEY NOW}~~

~~**{SHOW FOR ALL}**~~

~~For the study's research purposes, we would like [R's FIRST NAME's] authorization
to obtain [his/her] history of earnings and benefits administered by the Social
Security Administration.~~

~~**SHOW ALL TELEPHONE IWS (W311=1):**~~

~~{INSTR: EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED.}~~

~~**SHOW ALL F-T-F IWS (W311=2):**~~

~~{INSTR: EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED. IF THE R IS
AVAILABLE, USE CODES 1 OR 3; OTHERWISE USE PROXY CODES 5—8.}~~

~~**SHOW FOR ALL:**~~

~~{INSTR: USE THE SOCIAL SECURITY PERMISSION FORM FOR R'S SOCIAL SECURITY
NUMBER.}~~

~~NOTE: NEITHER DK NOR RF ARE ALLOWABLE RESPONSES, EXCEPT INSOFAR AS
CODE "8. PROXY REFUSED FOR RESPONDENT" INCORPORATES A REFUSAL.~~

(IF THIS IS A FACE-TO-FACE IW:)

1. R AGREES - SIGNED FORM OBTAINED BY INTERVIEWER
3. R AGREES – FORM (AND LETTER) LEFT WITH R
4. R REFUSED GO TO W307_WAssist
5. R NOT ABLE TO CONSENT..... GO TO W307_WAssist
9. R UNDECIDED – FORM AND LETTER LEFT WITH R
10. R WILL COMPLETE AT END OF IW.....GO TO W307_WAssist

~~**(IF THIS IS A TELEPHONE IW :)**~~

- ~~2. R AGREES— FORM AND LETTER BEING MAILED TO R~~
- ~~4. R REFUSED GO TO W307_WAssist~~
- ~~5. R NOT ABLE TO CONSENT..... GO TO W307_WAssist~~
- ~~9. R UNDECIDED— FORM AND LETTER BEING MAILED TO R~~
- ~~10. R WILL COMPLETE AT END OF IW.....GO TO W307_WAssist~~

~~(IF THIS IS A FACE-TO-FACE IW:)~~

~~1. IF R IS AVAILABLE:~~

~~RESPONDENT AGREES—SIGNED FORM OBTAINED BY INTERVIEWER~~

~~3. IF R IS AVAILABLE:~~

~~RESPONDENT AGREES—FORM AND LETTER LEFT WITH R~~

~~5. R NOT ABLE TO CONSENT GO TO THE W307_WAssist—~~

~~6. FORM AND LETTER LEFT WITH PROXY TO GIVE TO R~~

~~8. PROXY REFUSED FOR RESPONDENTGO TO W307_WAssist~~

~~10. R WILL COMPLETE AT END OF IW.....GO TO W307_WAssist~~

~~(IF THIS IS A TELEPHONE IW:)~~

~~5. R NOT ABLE TO CONSENT GO TO THE W307_WAssist—~~

~~7. FORM AND LETTER BEING MAILED TO R—~~

~~8. PROXY REFUSED FOR RESPONDENTGO TO W307_WAssist~~

~~10. R WILL COMPLETE AT END OF IW.....GO TO W307_WAssist~~

W322

IF THIS IS A FACE-TO-FACE INTERVIEW (W311=2):

[INSTR: SCAN THE LOGGING ID [R's LOGGING ID NUMBER FOR MAILED DOCUMENTS] FROM THE SOCIAL SECURITY PERMISSION FORM.

[INSTR: GIVE R THE FORM AND THE PREPAID MAILER.]

[INSTR: EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED.]

[INSTR: GIVE R THE FORM AND THE PREPAID MAILER IF THE R WILL BE MAILING IT BACK.]

~~**IF THIS IS A TELEPHONE INTERVIEW (W311=1):**~~

~~[INSTR: SCAN THE LOGGING ID [R's LOGGING ID NUMBER FOR MAILED DOCUMENTS] FROM THE SOCIAL SECURITY PERMISSION FORM AND SEND R THE FORM, LETTER, AND THE PREPAID MAILER.]~~

#@ kt (1/17/22)

David has decided that we will NOT be asking SS Permission for living proxies commenting out proxy text and codes.
Dev: 49483

#@ kt (1/14/22)

Adding missing instruction, deleting the telephone text as we will not be doing SS if current mode=tel.
Reformatting so instructions appear on different lines.
Dev 49468

~~IF THIS IS A FACE-TO-FACE INTERVIEW (W311-2):~~

~~{[IWER: SCAN THE LOGGING ID [R's LOGGING ID NUMBER FOR MAILED-DOCUMENTS] FROM THE SOCIAL SECURITY PERMISSION FORM. GIVE PROXY THE FORM AND THE PREPAID MAILER. EXPLAIN FORM AND ANSWER QUESTIONS AS NEEDED.]}~~

~~IF THIS IS A TELEPHONE INTERVIEW (W311-1):~~

~~{[IWER: SCAN THE LOGGING ID [R's LOGGING ID NUMBER FOR MAILED-DOCUMENTS] FROM THE SOCIAL SECURITY PERMISSION FORM AND SEND R THE FORM, LETTER, AND THE PREPAID MAILER.]}~~

W307_
Wassist

#@ BJ (2/15/22) New
code 5 added,
#49532

[INSTR: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN
SECTION W - INTERNET USE/SOCIAL SECURITY PERMISSION?]

1. NEVER
2. A FEW TIMES
3. MOST OR ALL OF THE TIME
4. THE SECTION WAS DONE BY A PROXY REPORTER
5. NO QUESTIONS WERE ASKED