Advanced Mixed Integer Programming Formulation Techniques

Constructing MIP Formulations using Convex Hulls

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Course Material

• Code:

 https://github.com/joehuchette/ISCO-springschool/tree/master/code

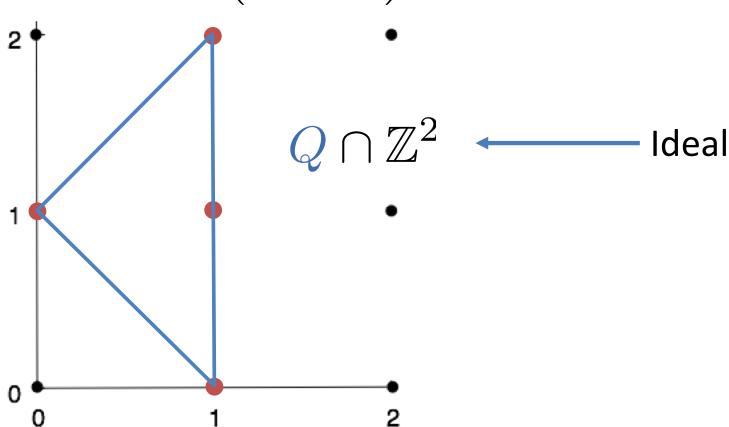
• Slides:

 https://github.com/joehuchette/ISCO-springschool/tree/master/slides

Constructing Ideal Formulations For Pure Integer

• Pure Integer :

$$Q := \operatorname{conv}\left(\left\{p^i\right\}_{i=1}^n\right)$$



Integral Formulations for Small Sets

- $S = \{x \in \{0,1\}^3 : x_3 = x_1 \times x_2\}$
- Constraints = conv(S)

$$-\operatorname{conv}(W) := \left\{ \sum_{w \in W} w \lambda_w : \lambda \in \Delta^W \right\}$$

$$- \qquad \Delta^W := \left\{ \lambda \in \mathbb{R}_+^W : \sum_{w \in W} \lambda_w = 1 \right\}$$

- $S = \{(0,0,0), (1,0,0), (0,1,0), (1,1,1)\}$
- $\operatorname{conv}(S)$:

Integral Formulations for Small Sets

```
julia> using Polyhedra, CDDLib, JuMP
julia> points = SimpleVRepresentation([0 0 0; 1 0 0; 0 1 0; 1 1 1]);
julia> poly = polyhedron(points, CDDLibrary(:exact))
julia> ineq = SimpleHRepresentation(poly)
H-representation
begin
4 4 rational
 1//1 -1//1 -1//1 1//1
 0//1 1//1 0//1 -1//1
 0//1 0//1 1//1 -1//1
 0//1 0//1 0//1 1//1
end
julia> model = Model(); @variable(model,x[1:3]);
julia> @constraint(model,convert.(Int64,ineq.A)*x .<= convert.(Int64,ineq.b))</pre>
4-element
Array{JuMP.ConstraintRef{JuMP.Model, JuMP.GenericRangeConstraint{JuMP.GenericAf
fExpr{Float64, JuMP. Variable}}},1}:
 x[1] + x[2] - x[3] \le 1
 -x[1] + x[3] \leq 0
 -x[2] + x[3] \leq 0
 -x[3] \leq 0
```

Integral Formulations for Small Sets

•
$$S = \{x \in \{0,1\}^3 : x_3 = x_1 \times x_2\}$$

• Constraints = conv(S)

$$-\operatorname{conv}(W) := \left\{ \sum_{w \in W} w \lambda_w : \lambda \in \Delta^W \right\}$$
$$-\Delta^W := \left\{ \lambda \in \mathbb{R}_+^W : \sum_{w \in W} \lambda_w = 1 \right\}$$

- $S = \{(0,0,0), (1,0,0), (0,1,0), (1,1,1)\}$
- $\operatorname{conv}(S)$:

$$- x_1 + x_2 \le 1 + x_3$$

$$- x_3 \le x_1$$

$$- x_3 \le x_2$$

$$0 \le x_3$$

Always works for 0-1 problems

Careful With General Integer Problems

- All different : $S = \{x \in [n]^n : x_i \neq x_j \ \forall i \neq j\}$
- Permutahedron:

$$- \operatorname{conv}(S) = \left\{ x \in \mathbb{R}^n : \sum_{i \in I} x_i = \sum_{i=1}^n i \\ \sum_{i \in I} x_i \ge \binom{|I|+1}{2} \ \forall I : \emptyset \ne I \subseteq [n] \right\}$$

• If *n* is odd then:

$$-\left(\frac{n+1}{2}, \dots, \frac{n+1}{2}\right) \in \operatorname{conv}(S) \cap \mathbb{Z}^{n}$$
$$-\left(\frac{n+1}{2}, \dots, \frac{n+1}{2}\right) \notin S$$

• "Hole-Free": $S \subseteq \mathbb{R}^n$ such that $conv(S) \cap \mathbb{Z}^n = S$

Solution: Extended Formulations

- (Finite?) Non-hole free subset of integers is always projection of hole-free subset of integers
- All different : $S = \{x \in [n]^n : x_i \neq x_j \ \forall i \neq j\}$

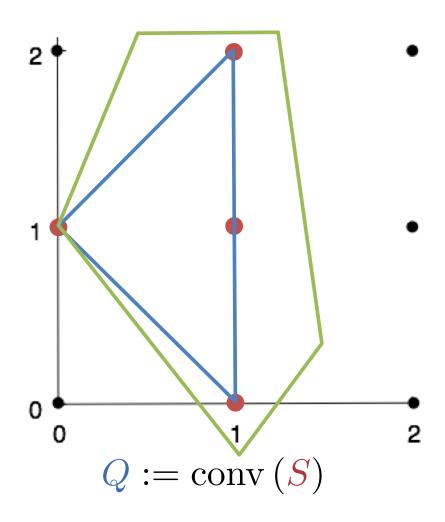
•
$$T := \begin{cases} \sum_{i=1}^{n} Y_{i,j} = 1 \ \forall j \in [n] \\ (x,Y) \in \mathbb{R}^{n} \times \{0,1\}^{n \times n} : \sum_{j=1}^{n} Y_{i,j} = 1 \ \forall i \in [n] \\ \sum_{j=1}^{n} j \ Y_{i,j} = x_{i} \ \forall i \in [n] \end{cases}$$

• $S = \operatorname{proj}_{x}(T)$

What About Mixed-Integer Sets?

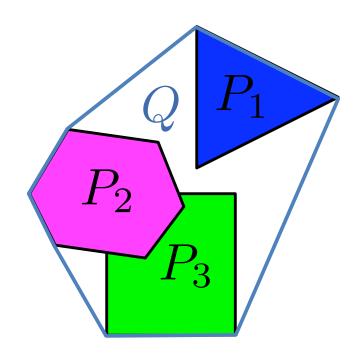
• Pure Integer $S \subseteq \mathbb{Z}^n$

$$P \cap \mathbb{Z}^n = S \ (P \subseteq \mathbb{R}^n)$$



• Mixed-Integer $S = \bigcup_{i=1}^{n} P^i \subseteq \mathbb{R}^n$

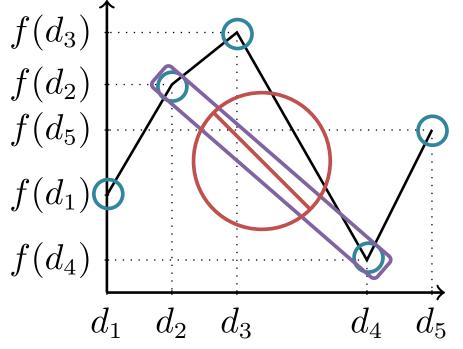
$$Q := \operatorname{conv}(S)$$
?



What is a MIP Formulation?

Simple Formulation for Univariate Functions

$$z = f(x)$$



Size = O (# of segments)

Non-Ideal: Fractional Extreme Points

$$\begin{pmatrix} x \\ z \end{pmatrix} = \sum_{j=1}^{5} \begin{pmatrix} d_j \\ f(d_j) \end{pmatrix} \lambda_j$$

$$1 = \sum_{j=1}^{5} \lambda_j, \quad \lambda_j \ge 0$$

$$y \in \{0, 1\}^4, \quad \sum_{i=1}^{4} y_i = 1$$

$$0 \le \lambda_1 \le y_1$$

$$0 \le \lambda_2 \le y_1 + y_2$$

$$0 \le \lambda_3 \le y_2 + y_3$$

$$0 \le \lambda_4 \le y_3 + y_4$$
etc.
$$0 \le \lambda_5 \le y_4$$

Advanced Formulation for Univariate Functions

$$z = f(x)$$

$$\begin{pmatrix} x \\ z \end{pmatrix} = \sum_{j=1}^{5} \begin{pmatrix} d_j \\ f(d_j) \end{pmatrix} \lambda_j$$

$$1 = \sum_{j=1}^{5} \lambda_j, \quad \lambda_j \ge 0$$

$$y \in \{0, 1\}^2$$

$$0 \le \lambda_1 + \lambda_5 \le 1 - y_1$$

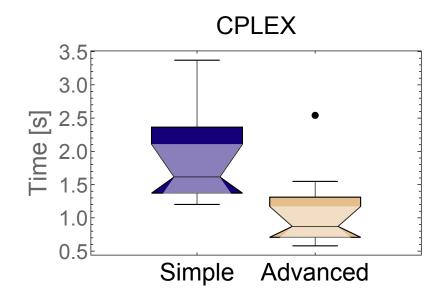
$$0 \le \lambda_3 \qquad \le y_1$$

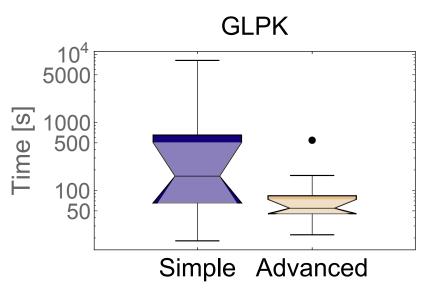
$$0 \le \lambda_4 + \lambda_5 \le 1 - y_2$$
Size = $O(\log_2 \# \text{ of segments})$

$$0 \le \lambda_1 + \lambda_2 \le y_2$$
Ideal: Integral Extreme Points

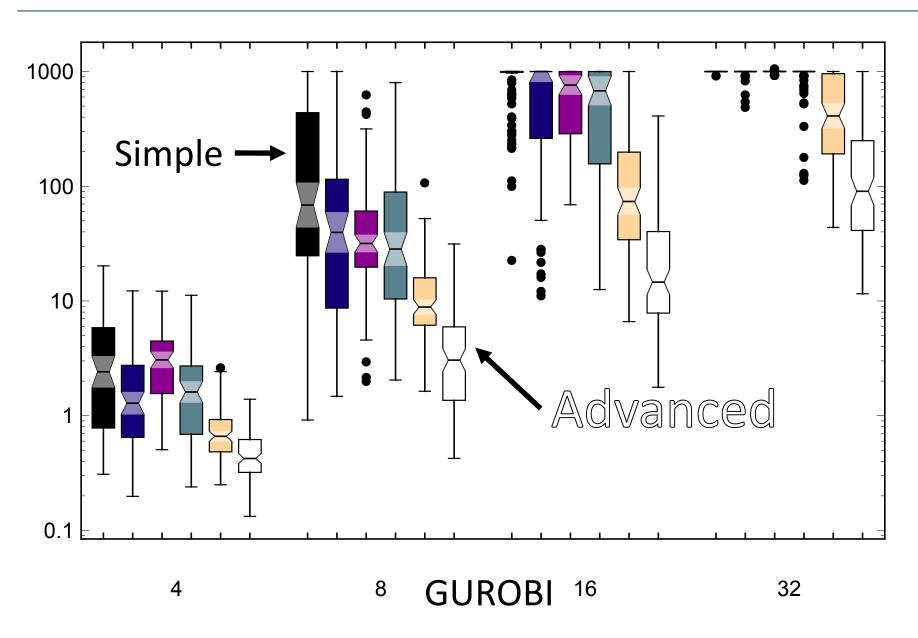
Computational Performance

- Advanced formulations provide an computational advantage
- Advantage is significantly more important for free solvers
- State of the art commercial solvers can be significantly better that free solvers
- Still, free is free!

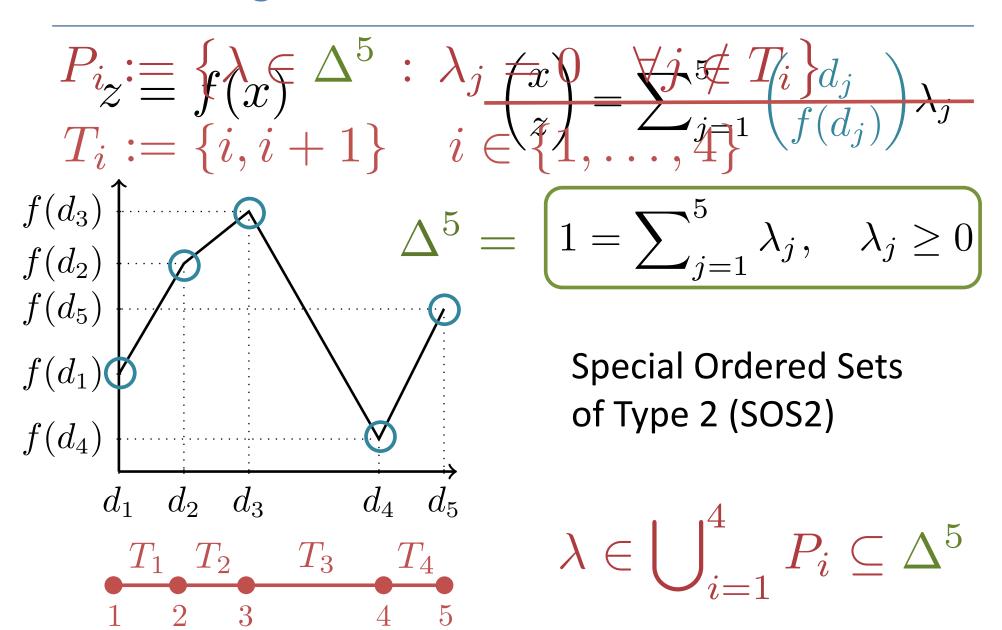




Formulation Improvements can be Significant

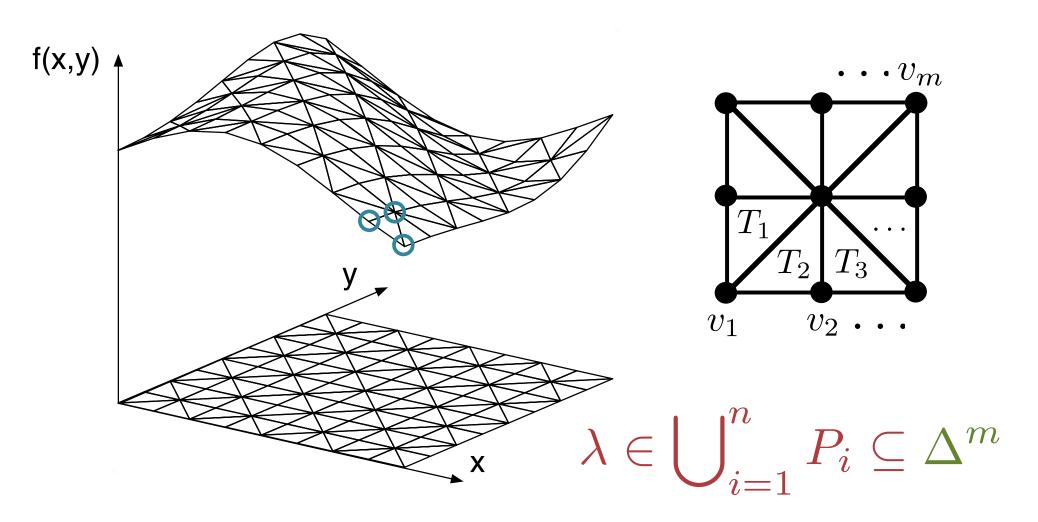


Abstracting Univariate Functions



Abstraction Works for Multivariate Functions

$$P_i := \{ \lambda \in \Delta^m : \lambda_j = 0 \quad \forall v_j \notin T_i \}$$



Standard Formulation for SOS2 = Unary Encoding

$$Q = \text{LP relaxation} \longrightarrow \begin{bmatrix} \sum_{i=1}^{5} \lambda_i = 1 \\ y \in \{0, 1\}^4, \end{bmatrix} \sum_{i=1}^{4} y_i = 1$$

$$0 \le \lambda_1 \le y_1$$

$$0 \le \lambda_2 \le y_1 + y_2$$

$$0 \le \lambda_3 \le y_2 + y_3$$

$$0 \le \lambda_4 \le y_3 + y_4$$

$$0 \le \lambda_5 \le y_4$$

$$(\lambda, y) \in Q \cap (\mathbb{R}^5 \times \mathbb{Z}^4)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$P_i := \left\{ \lambda \in \Lambda^5 : \lambda_j = 0 \quad j \notin \{i, i+1\} \right\}$$

Unary Encoding

Advanced = Binary Encoded Formulation

$$Q = LP \ relaxation \longrightarrow \boxed{\sum_{i=1}^{5} \lambda_i = 1}$$

$$h^{1} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, h^{2} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, h^{3} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, h^{4} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

• V. and Nemhauser '08.

$$0 \le \lambda_1 + \lambda_5 \le 1 - y_1$$

$$0 \le \lambda_3 \qquad \le y_1$$

$$0 \le \lambda_4 + \lambda_5 \le 1 - y_2$$

$$0 \le \lambda_1 + \lambda_2 \le y_2$$

$$(\lambda, y) \in Q \cap (\mathbb{R}^5 \times \mathbb{Z}^2)$$

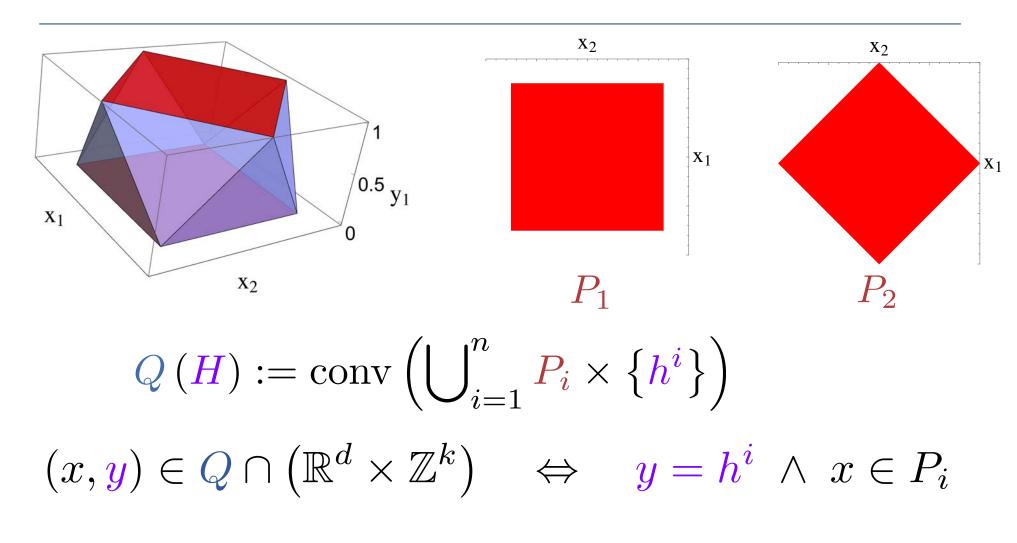
$$\updownarrow$$

$$y = h^i \wedge \lambda \in P_i$$

$$P_i := \left\{ \lambda \in \Lambda^5 : \lambda_j = 0 \quad j \notin \{i, i+1\} \right\}$$

Binary Encoding

Embedding Formulation = Ideal non-Extended

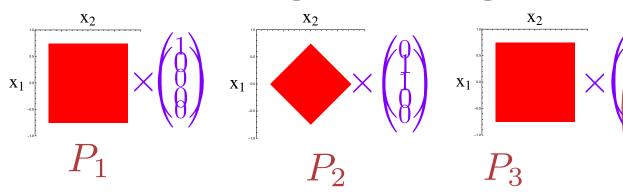


$$\operatorname{ext}(Q) \subseteq \mathbb{R}^d \times \mathbb{Z}^k$$

$$\operatorname{ext}(Q) \subseteq \mathbb{R}^d \times \mathbb{Z}^k \qquad H := \left\{h^i\right\}_{i=1}^n \subseteq \left\{0, 1\right\}^k, \quad h^i \neq h^j$$

Alternative Encodings

Careful with general integers:



- Options for 0-1 encodings:
 - Traditional or Unary encoding

$$H = \left\{ y \in \{0, 1\}^n : \sum_{i=1}^n y_i = 1 \right\}$$
$$= \left\{ \mathbf{e}^i \right\}_{i=1}^n$$

- $\mathbf{e}_{j}^{i} = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$
- Binary encodings: $H \equiv \{0,1\}^{\log_2 n}$

Embedding Formulations and Complexity

• Embedding formulation of $\lambda \in \bigcup_{i=1}^n P_i \subseteq \mathbb{R}^V$:

- Encoding
$$H:=\left\{h^i\right\}_{i=1}^n\subseteq\left\{0,1\right\}^k,\quad h^i\neq h^j$$

$$-Q(H) := \operatorname{conv}\left(\bigcup_{i=1}^{n} P_i \times \left\{h^i\right\}\right)$$

Embedding complexity = size smallest formulation

$$- \operatorname{mc}(\mathcal{P}) := \operatorname{min}_{H} \left\{ \operatorname{size}(Q(H)) \right\},$$

$$\operatorname{size}(Q(H)) := \# \operatorname{facets}$$

Special Ordered Sets = Simplex Faces = $\mathcal{P} := \{P_i\}_{i=1}^n$

•
$$\Delta^{d+1} := \left\{ x \in \mathbb{R}_{+}^{d+1} : \sum_{i=1}^{d+1} x_i = 1 \right\} = \operatorname{conv}\left(\left\{e^i\right\}_{i=1}^{d+1}\right)$$

$$P_i := \operatorname{conv}\left(\left\{e^j\right\}_{j \in T_i}\right) = \left\{x \in \Delta^{d+1} : \sum_{j \notin T_i} x_i \le 0\right\}$$

$$T_i \subseteq \{1, \dots, d+1\}$$

- $\operatorname{mc}(\mathcal{P}) := \operatorname{min}_{H} \left\{ \operatorname{size}(Q(H)) \right\},$ $\operatorname{size}(Q(H)) := \# \operatorname{facets}$
- $\mathbf{mc}_{G}(\mathcal{P}) := \mathbf{min}_{H} \left\{ \mathbf{size}_{G}(Q(H)) \right\},$ $\mathbf{size}_{G}(Q(H)) := \# \text{ non-bound facets}$

Special Ordered Sets of Type 2 (SOS2) = $\mathcal{P} := \{P_i\}_{i=1}^n$

•
$$P_i := \operatorname{conv} \left(\left\{ e^i, e^{i+1} \right\} \right) \subseteq \Delta^{n+1}, \quad i \in [n]$$

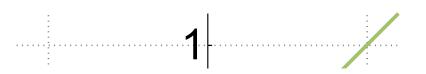
• $(\mathbf{x}_3)^{0.5}$
• $(\mathbf{x}_3)^{0.5}$
• $(\mathbf{x}_4)^{0.5}$
•

Embedding Formulation for SOS2: Part 1

• From encodings (H) to hyperplanes:

$$\begin{cases} h^i \rbrace_{i=1}^n \\ \vdots \\ c^i = h^{i+1} - h^i \end{cases}$$

$$h^{1} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, h^{2} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, h^{3} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

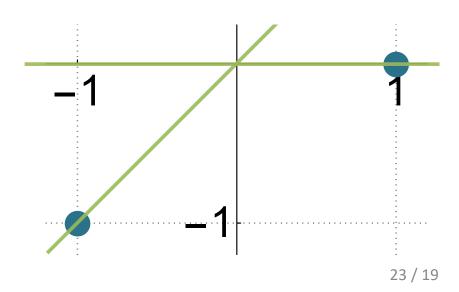


non-bound facets = $2 \times \#$ of hyperplanes

$$\bigcup_{i=1}^{\infty} j_i = 1$$

Hyperplanes spanned by

$$\left\{b^i \cdot y = 0\right\}_{j=1}^L$$



Embedding Formulation for SOS2: Part 2

$$Q(H) = \begin{cases} b^{i} \cdot y = 0 \\ j=1 \end{cases}$$

$$L(H) := \operatorname{aff}(H) - h^{1}$$

$$(b^{j} \cdot h^{1}) x_{1} + \sum_{i=2}^{n} \min\{b^{j} \cdot h^{i}, b^{j} \cdot h^{i-1}\} x_{i} + (b^{j} \cdot h^{n}) x_{n+1} \le b^{j} \cdot y \quad \forall j$$

$$-(b^{j} \cdot h^{1}) x_{1} - \sum_{i=2}^{n} \max\{b^{j} \cdot h^{i}, b^{j} \cdot h^{i-1}\} x_{i} - (b^{j} \cdot h^{n}) x_{n+1} \le -b^{j} \cdot y \quad \forall j$$

$$\sum_{i=1}^{n+1} x_{i} = 1, \quad x \in \mathbb{R}^{n+1}_{+}$$

$$y \in L(H)$$

non-bound facets = 2 × # of hyperplanes

Embedding Complexity for SOS2

• Lower Bound: $L(H) := \operatorname{aff}(H) - h^1$ $\operatorname{mc}_G(\mathcal{P}) \geq 2 \times \min \# \text{ of hyperplanes}$ $\min \# \text{ of hyperplanes} \geq \dim (L(H))$ $\dim (L(H)) \geq \lceil \log_2 n \rceil$

• Upper Bound:
$$H=\{0,1\}^{\lceil\log_2 n\rceil}$$

- Gray code: $\{h^i-h^{i+1}\}_{i=1}^{n-1}\equiv\{e^i\}_{i=1}^{\lceil\log_2 n\rceil}$
 $\operatorname{size}_G(Q(H))=2\lceil\log_2 n\rceil$

$$n+1 \le \operatorname{mc}(\mathcal{P}) \le n+1+2\lceil \log_2 n \rceil$$

Embedding Complexity for SOS2

• Unary encoding (Padberg / Lee and Wilson, early 00's):

$$\operatorname{size}_{G}(Q(H)) = 2(n-1), \quad \operatorname{size}(Q(H)) = 2n$$

• Smallest Binary encoding (V. and Nemhauser '08, Muldoon '12):

$$\operatorname{size}_{G}(Q(H)) = 2 \lceil \log_{2} n \rceil,$$

$$2 + 2 \lceil \log_{2} n \rceil \leq \operatorname{size}(Q(H)) \leq n + 1 + 2 \lceil \log_{2} n \rceil$$

Adding lower bounds (# hyperplanes ≥ dimension):

$$\operatorname{mc}_{G}(\mathcal{P}) = 2 \lceil \log_{2} n \rceil,$$

$$n + 1 \leq \operatorname{xc}(\mathcal{P}) \leq \operatorname{mc}(\mathcal{P}) \leq n + 1 + 2 \lceil \log_{2} n \rceil$$

Validity of Formulation May Not Be Evident

$$Q(H) = \begin{cases} b^{i} \cdot y = 0 \\ j=1 \end{cases}$$

$$L(H) := \operatorname{aff}(H) - h^{1}$$

$$(b^{j} \cdot h^{1}) x_{1} + \sum_{i=2}^{n} \min\{b^{j} \cdot h^{i}, b^{j} \cdot h^{i-1}\} x_{i} + (b^{j} \cdot h^{n}) x_{n+1} \le b^{j} \cdot y \quad \forall j$$

$$-(b^{j} \cdot h^{1}) x_{1} - \sum_{i=2}^{n} \max\{b^{j} \cdot h^{i}, b^{j} \cdot h^{i-1}\} x_{i} - (b^{j} \cdot h^{n}) x_{n+1} \le -b^{j} \cdot y \quad \forall j$$

$$\sum_{i=1}^{n+1} x_{i} = 1, \quad x \in \mathbb{R}^{n+1}_{+}$$

non-bound facets = 2 × # of hyperplanes

 $y \in L(H)$

Validity of Formulation May Not Be Evident

- $H = (0, 1, 1, 1)^T, (0, 1, 0, 0)^T, (0, 0, 0, 0)^T, (0, 1, 0, 1)^T, (0, 0, 0, 1)^T,$ $(1, 0, 0, 0)^T, (1, 1, 0, 1)^T, (1, 0, 1, 1)^T, (1, 1, 1, 1)^T$
- $(c^i)_{i=1}^8 = (0, 0, -1, -1)^T, (0, -1, 0, 0)^T, (0, 1, 0, 1)^T, (0, -1, 0, 0)^T,$ $(1, 0, 0, -1)^T, (0, 1, 0, 1)^T, (0, -1, 1, 0)^T, (0, 1, 0, 0)^T$
- $b^1 = (1, 0, 0, -1, 1)^T$, $b^2 = (1, 0, 0, 1)^T$, $b^3 = (1, -1, -1, 1)^T$, $b^4 = (1, 0, 0, 0)^T$ and $b^5 = (0, 0, 1, 0)^T$

Validity of Formulation May Not Be Evident

$$\sum_{j=1}^{10} \lambda_{j} = 1,$$

$$\lambda_{5} + \lambda_{6} + \lambda_{7} + \lambda_{8} + \lambda_{9} + \lambda_{10} \leq y_{1} - y_{3} + y_{4}$$

$$\lambda_{4} + \lambda_{5} + \lambda_{6} + 2\lambda_{7} + 2\lambda_{8} + \lambda_{9} + \lambda_{10} \geq y_{1} - y_{3} + y_{4}$$

$$\lambda_{1} + \lambda_{5} + \lambda_{6} + \lambda_{7} + 2\lambda_{8} + 2\lambda_{9} + 2\lambda_{10} \leq y_{1} + y_{4}$$

$$\lambda_{1} + \lambda_{2} + \lambda_{4} + \lambda_{5} + \lambda_{6} + 2\lambda_{7} + 2\lambda_{8} + 2\lambda_{9} + 2\lambda_{10} \geq y_{1} + y_{4}$$

$$-\lambda_{1} - \lambda_{2} - \lambda_{3} + \lambda_{6} + \lambda_{7} + \lambda_{8} \leq y_{1} - y_{2} - y_{3} + y_{4}$$

$$-\lambda_{1} - \lambda_{2} + \lambda_{5} + \lambda_{6} + \lambda_{7} + \lambda_{8} + \lambda_{9} \geq y_{1} - y_{2} - y_{3} + y_{4}$$

$$\lambda_{7} + \lambda_{8} + \lambda_{9} + \lambda_{10} \leq y_{1}$$

$$\lambda_{6} + \lambda_{7} + \lambda_{8} + \lambda_{9} + \lambda_{10} \geq y_{1}$$

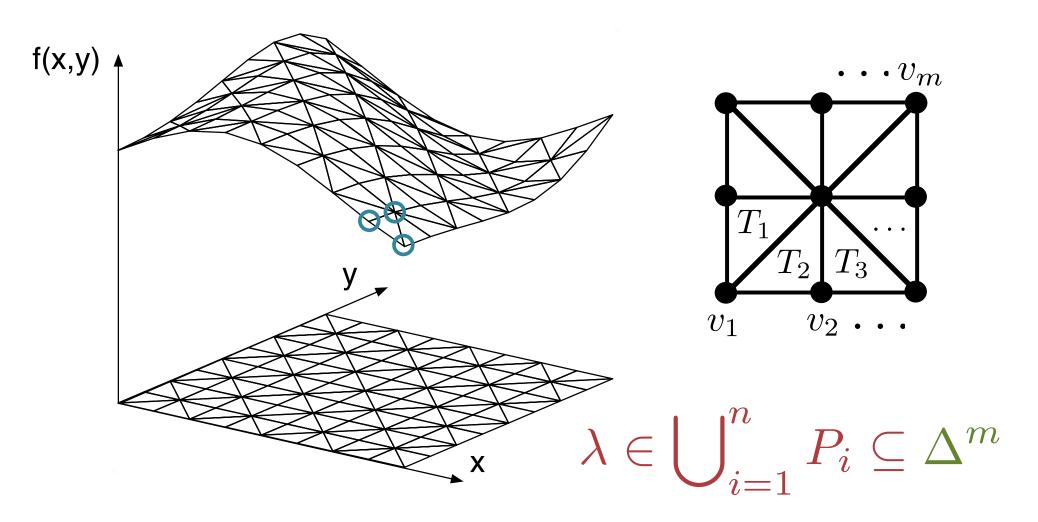
$$\lambda_{1} + \lambda_{9} + \lambda_{10} \leq y_{3}$$

$$\lambda_{1} + \lambda_{2} + \lambda_{8} + \lambda_{9} + \lambda_{10} \geq y_{3}$$

$$\lambda_{j} \geq 0$$

Abstraction Works for Multivariate Functions

$$P_i := \{ \lambda \in \Delta^m : \lambda_j = 0 \quad \forall v_j \notin T_i \}$$



Formulations and Complexity for Triangulations

Lower bound:

$$\left(\sqrt{n/2} + 1\right)^2 \le \operatorname{mc}(\mathcal{P})$$

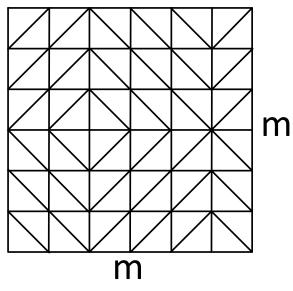
• Size of unary formulation is: (Lee and Wilson '01)

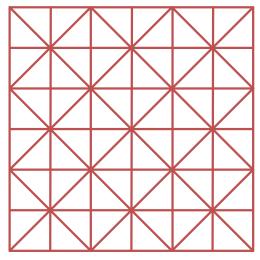
$$\operatorname{mc}(\mathcal{P}) \le {2\sqrt{n/2} \choose \sqrt{n/2}} + (\sqrt{n/2} + 1)^2$$

 Small binary formulation for union jack triangulation of size: (V. and Nemhauser '08)

$$\operatorname{mc}(\mathcal{P}) \le 4 \log_2 \sqrt{n/2} + 2 + \left(\sqrt{n/2} + 1\right)^2$$

$$n=2m^2$$





Encoding Selection Matters

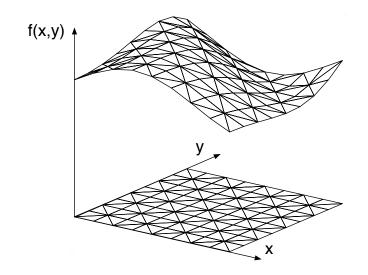
 Size of unary formulation is: (Lee and Wilson '01)

$$\binom{2\sqrt{n/2}}{\sqrt{n/2}} + \left(\sqrt{n/2} + 1\right)^2$$

$$\uparrow \qquad \qquad \uparrow$$

$$\downarrow \qquad \qquad \downarrow$$

$$\downarrow \qquad \qquad \downarrow$$

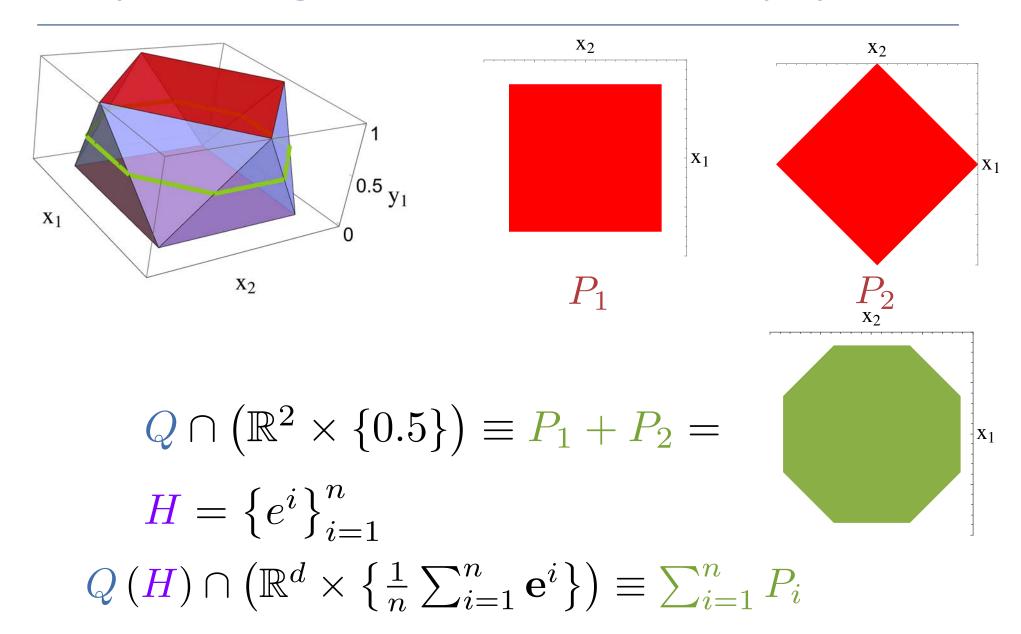


 Size of one binary formulation: (V. and Nemhauser '08)

$$4\log_2\sqrt{n/2} + 2 + \left(\sqrt{n/2} + 1\right)^2$$

 Right embedding = significant computational advantage over alternatives (Extended, Big-M, etc.)

Unary Encoding, Minkowski Sum and Cayley Trick



Faces of Cayley Embedding

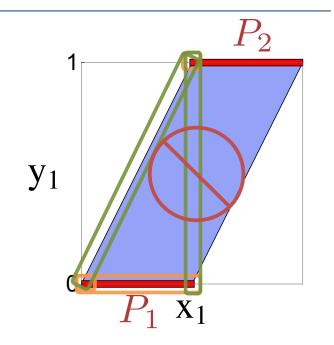
Two types of facets (or faces):

$$-P_1 \times \{0\} \equiv y_i \ge 0$$

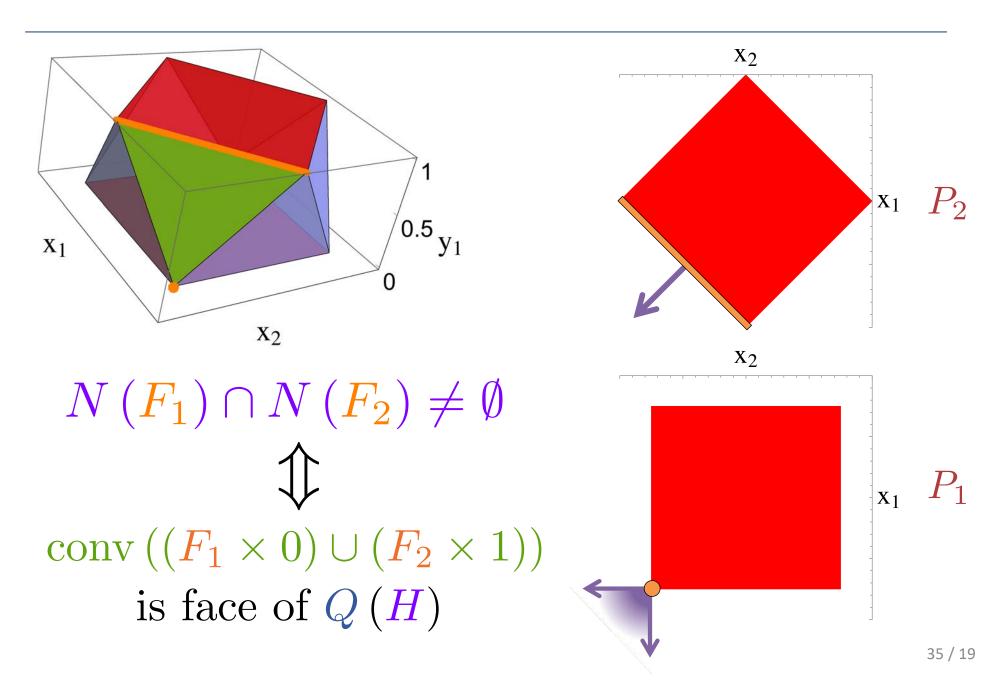
$$-\operatorname{conv}\left(\left(F_1\times 0\right)\cup \left(F_2\times 1\right)\right)$$

 F_i proper face of P_i

- Not all combinations of faces
- Which ones are valid?



Valid Combinations = Common Normals



Redundancy in Embedding Formulations

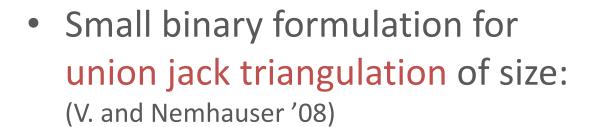
Formulations and Complexity for Triangulations

Lower bound:

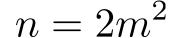
$$\left(\sqrt{n/2} + 1\right)^2 \le \operatorname{mc}\left(\mathcal{P}\right)$$

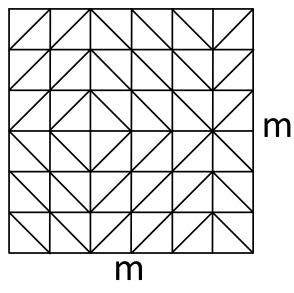
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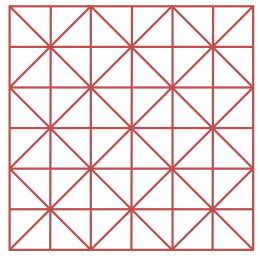
$$\operatorname{mc}(\mathcal{P}) \le {2\sqrt{n/2} \choose \sqrt{n/2}} + (\sqrt{n/2} + 1)^2$$



$$\operatorname{mc}(\mathcal{P}) \le 4 \log_2 \sqrt{n/2} + 2 + \left(\sqrt{n/2} + 1\right)^2$$

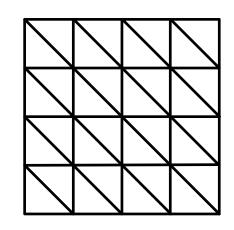






What About Other Triangulations

• $\min_{H \in \mathcal{H}_3(8)} \operatorname{size}_M (Q(\mathcal{T}, H)) \ge 9.$



$$\lambda_{(1,1)} + \lambda_{(3,3)} \leq 1 - y_1, \qquad \lambda_{(1,3)} + \lambda_{(2,2)} + \lambda_{(3,1)} \leq y_1$$

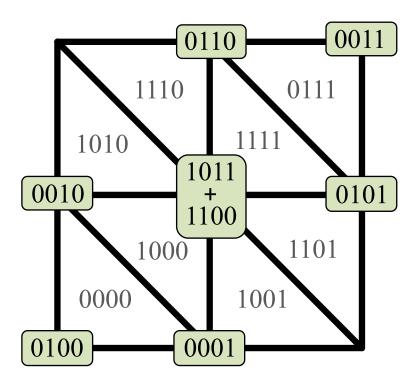
$$\lambda_{(1,2)} + \lambda_{(2,1)} \leq 1 - y_2, \qquad \lambda_{(2,3)} + \lambda_{(3,2)} \leq y_2$$

$$\lambda_{(1,1)} + \lambda_{(2,1)} + \lambda_{(3,1)} \leq 1 - y_3, \qquad \lambda_{(1,3)} + \lambda_{(2,3)} + \lambda_{(3,3)} \leq y_3$$

$$\lambda_{(1,1)} + \lambda_{(1,2)} + \lambda_{(1,3)} \leq 1 - y_4, \qquad \lambda_{(3,1)} + \lambda_{(3,2)} + \lambda_{(3,3)} \leq y_4$$

$$\sum_{v \in V} \lambda_v = 1, \quad \lambda \in \mathbb{R}^V_+, \quad y \in \{0, 1\}^4.$$

Redundant Embedding Formulation



•
$$\operatorname{size}_{M}\left(Q\left(\mathcal{T},\left\{h^{i}\right\}_{i=1}^{8}\right)\right)=19$$

v/s 8 inequalities