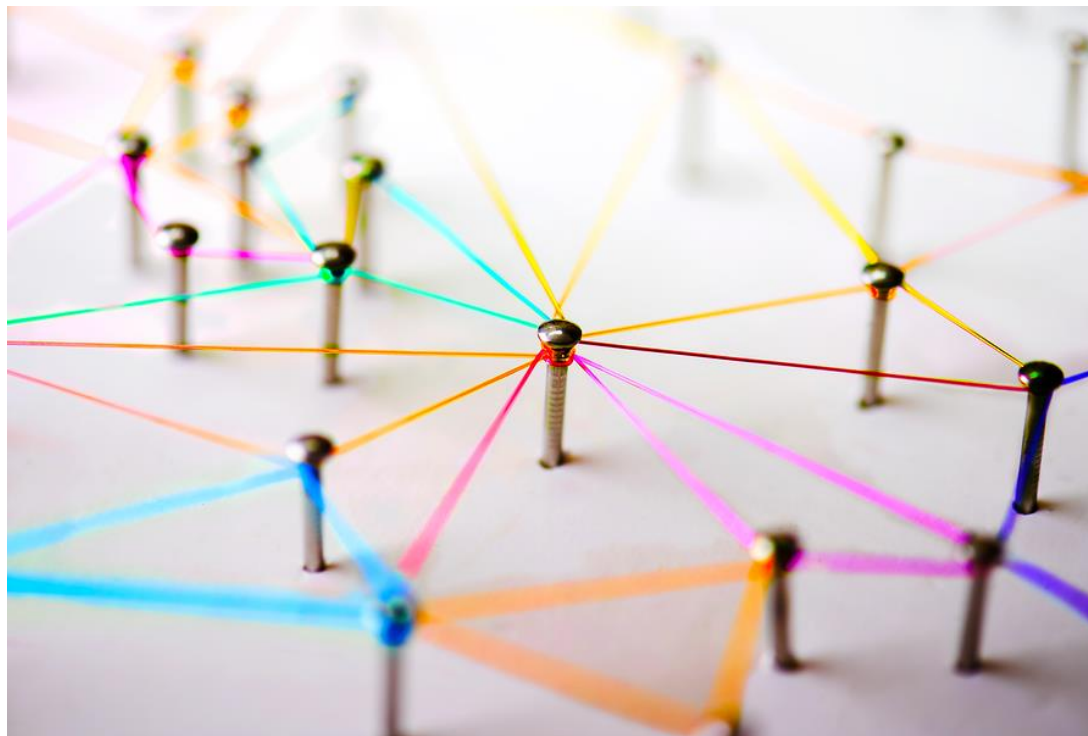


FIT1045: Algorithms and Programming Fundamentals in Python

Lecture 9

Graphs and Spanning Trees



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Objectives

- Learn about **graphs**, trees, and spanning trees
- **Prim's algorithm** (simplified version) for finding spanning trees
- Simplify problems and algorithm by **decomposition**

Covered learning outcomes:

- 1 – Translate between problem descriptions and program designs with **appropriate input/output representations**
- 2 – Choose and implement appropriate **problem solving strategies**
- 4 – **Decompose problems** into simpler problems and reduce unknown to known problems

Concrete goal: build mazes

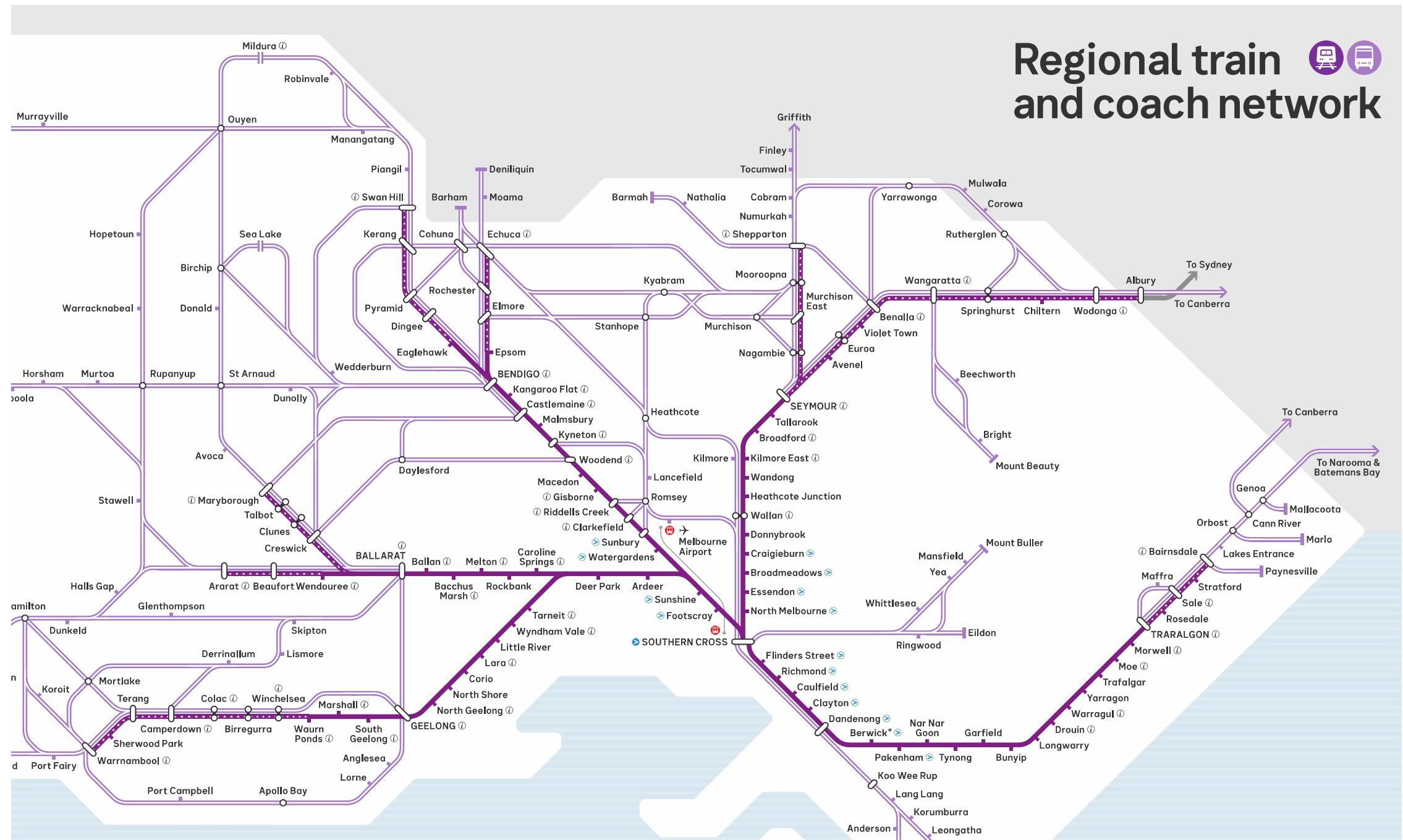
Where am I?

1. **Graphs**
2. **Trees and Spanning Trees**
3. **Prims algorithm (simplified)**
4. *Problem decomposition (if time left)*

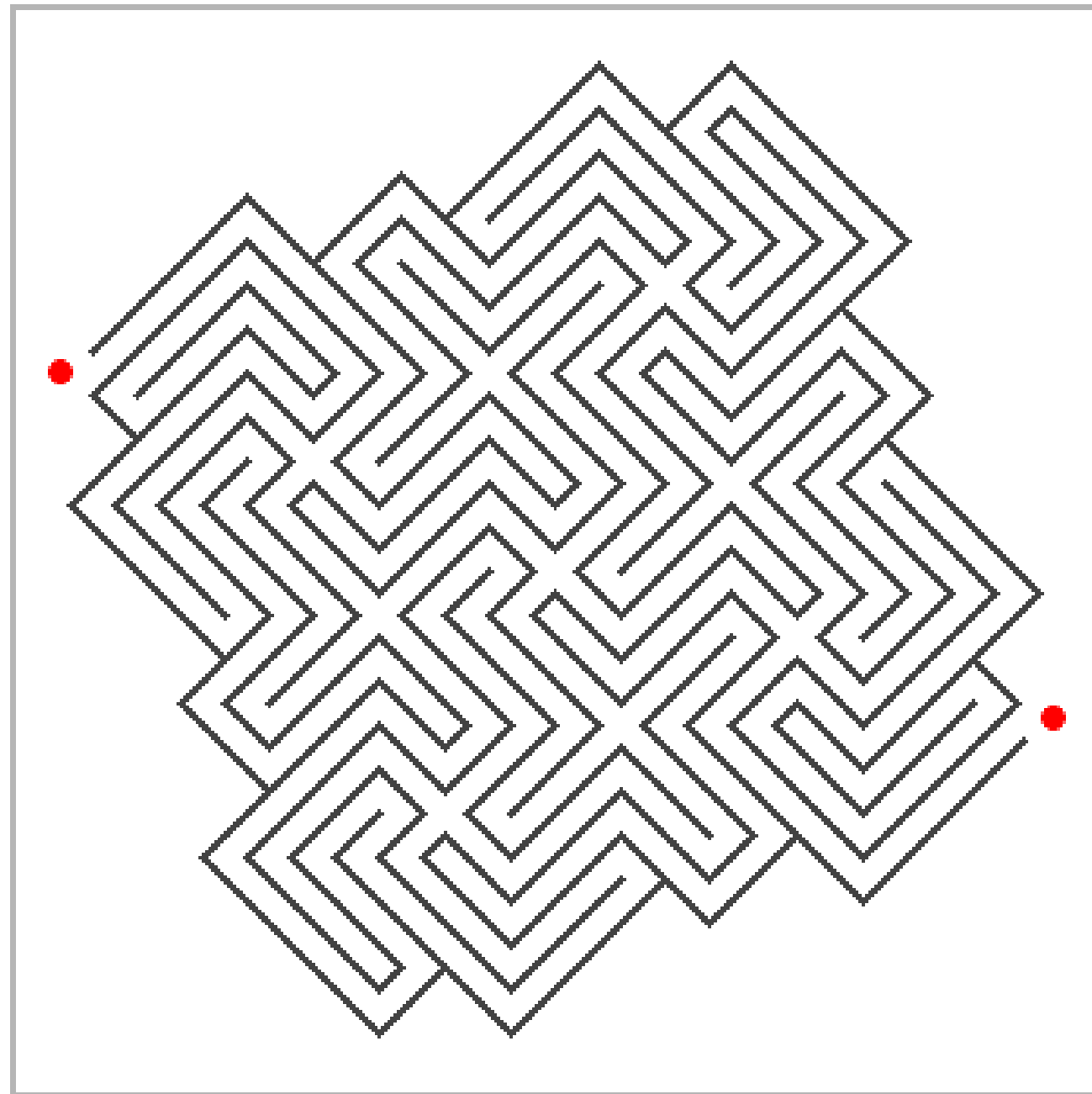
How to represent relational data?



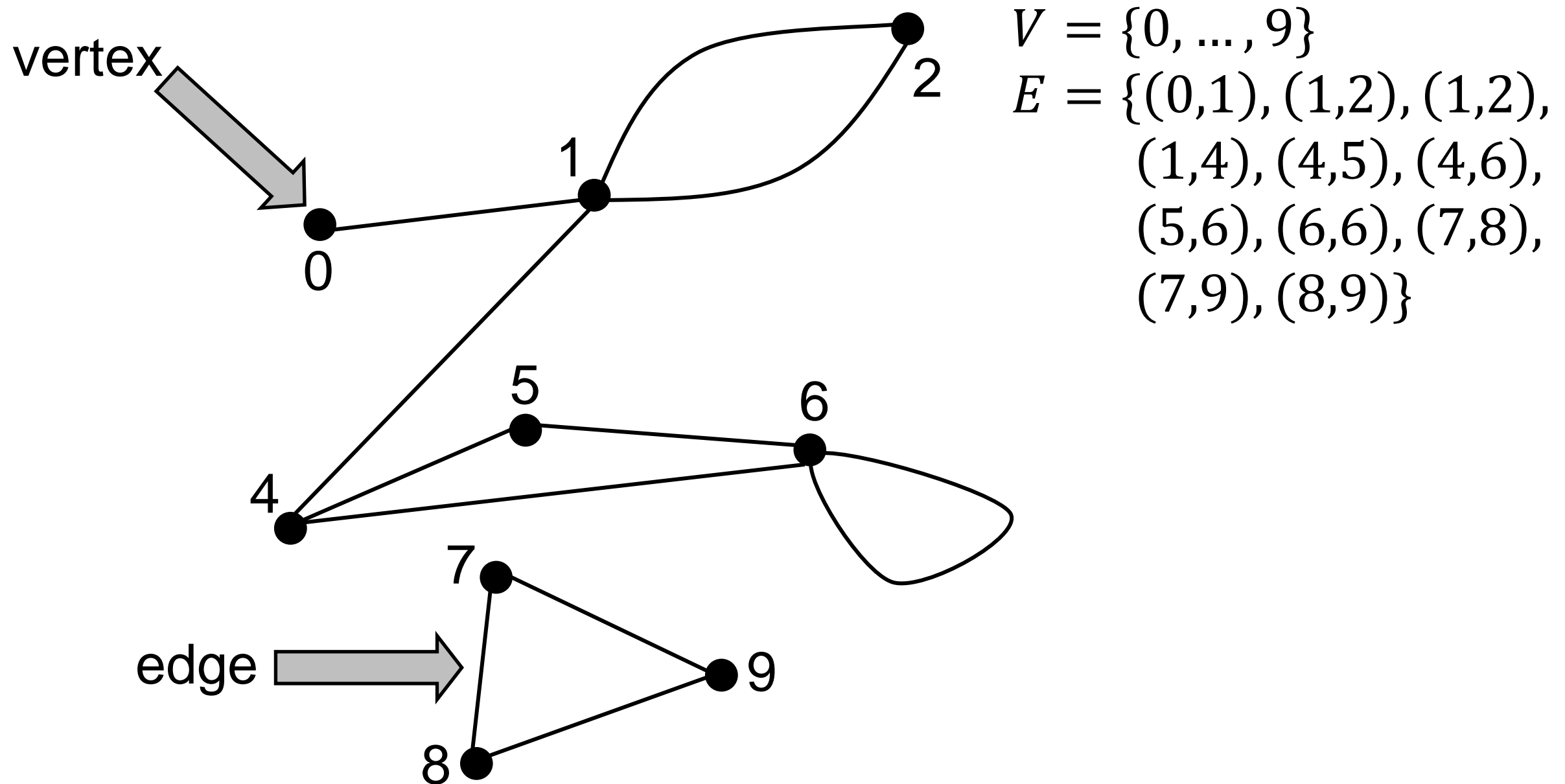
How to represent relational data?



How to represent relational data?



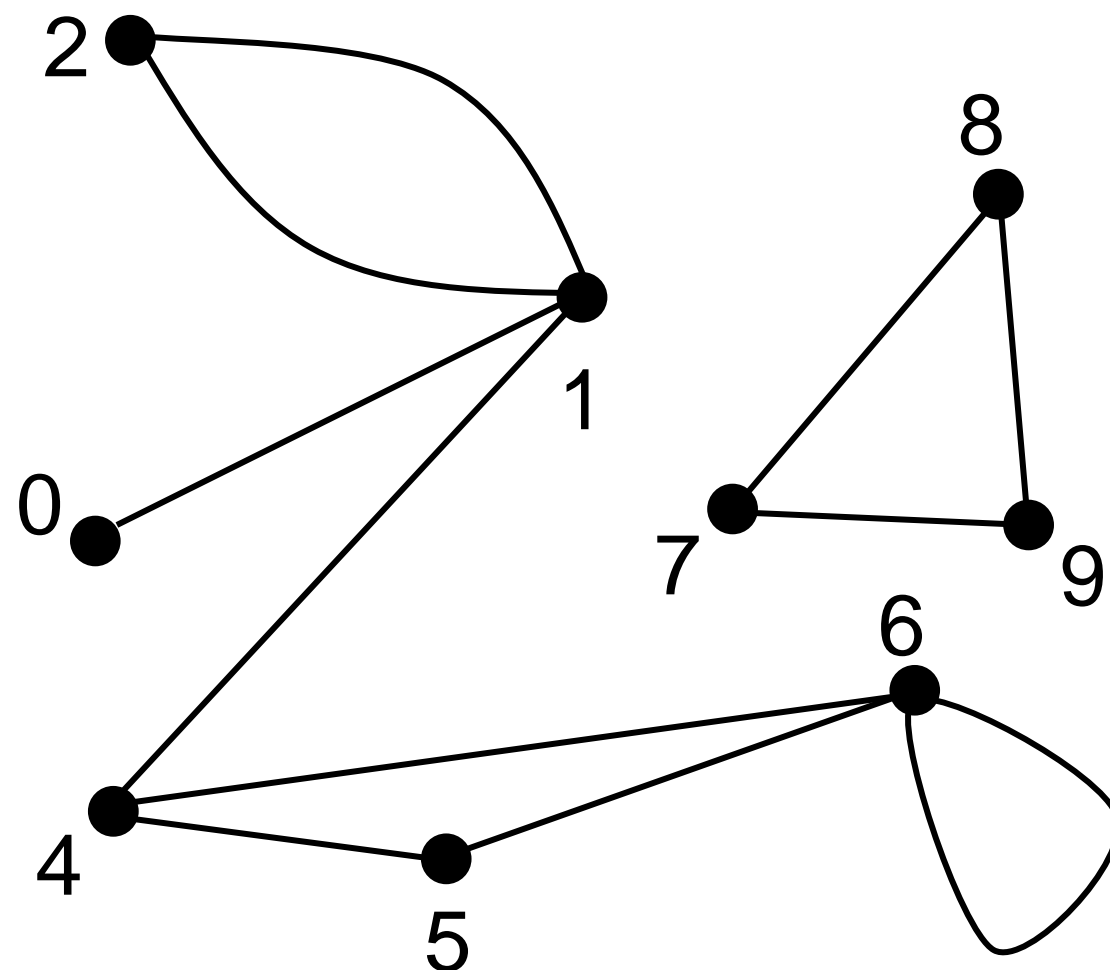
Abstraction of such data: *graphs*



Definition [Levitin, p28]:

Graph $G = (V, E)$ is a set of **vertices** $V = \{v_1, \dots, v_n\}$ and collection of **edges** $E = \{e_1, \dots, e_m\}$ where $e_i = (v, w), v, w \in V$

Many ways to draw the same graph!



$$V = \{0, \dots, 9\}$$

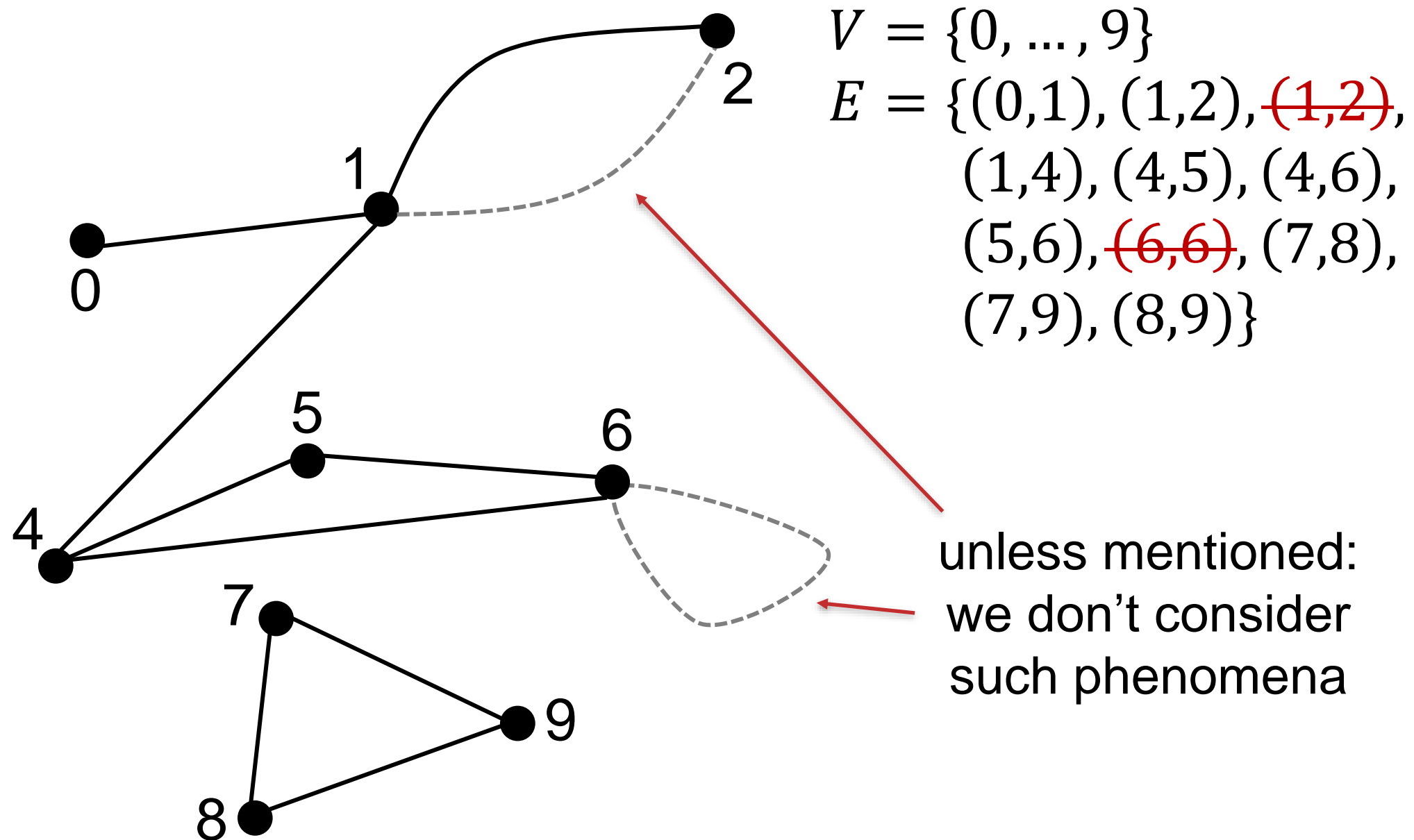
$$E = \{(0,1), (1,2), (1,2), (1,4), (4,5), (4,6), (5,6), (6,6), (7,8), (7,9), (8,9)\}$$

same
graph as
before

Definition [Levitin, p28]:

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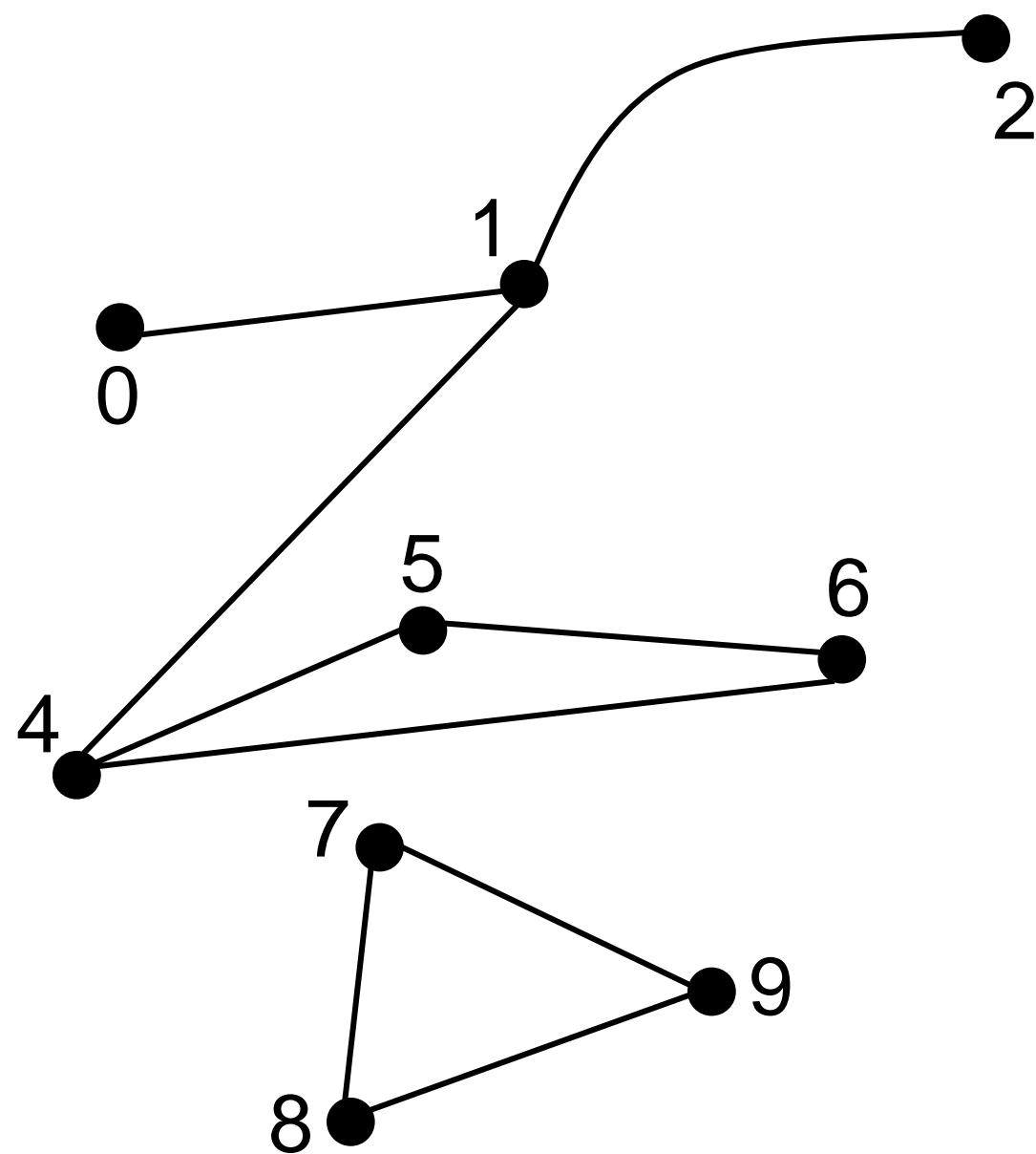
We focus on “simple” graphs



Definition:

Graph $G = (V, E)$ is a set of **vertices** $V = \{v_1, \dots, v_n\}$ and **set of edges** $E = \{e_1, \dots, e_m\}$ where $e_i = (v, w), v, w \in V$

Adjacency and neighbours

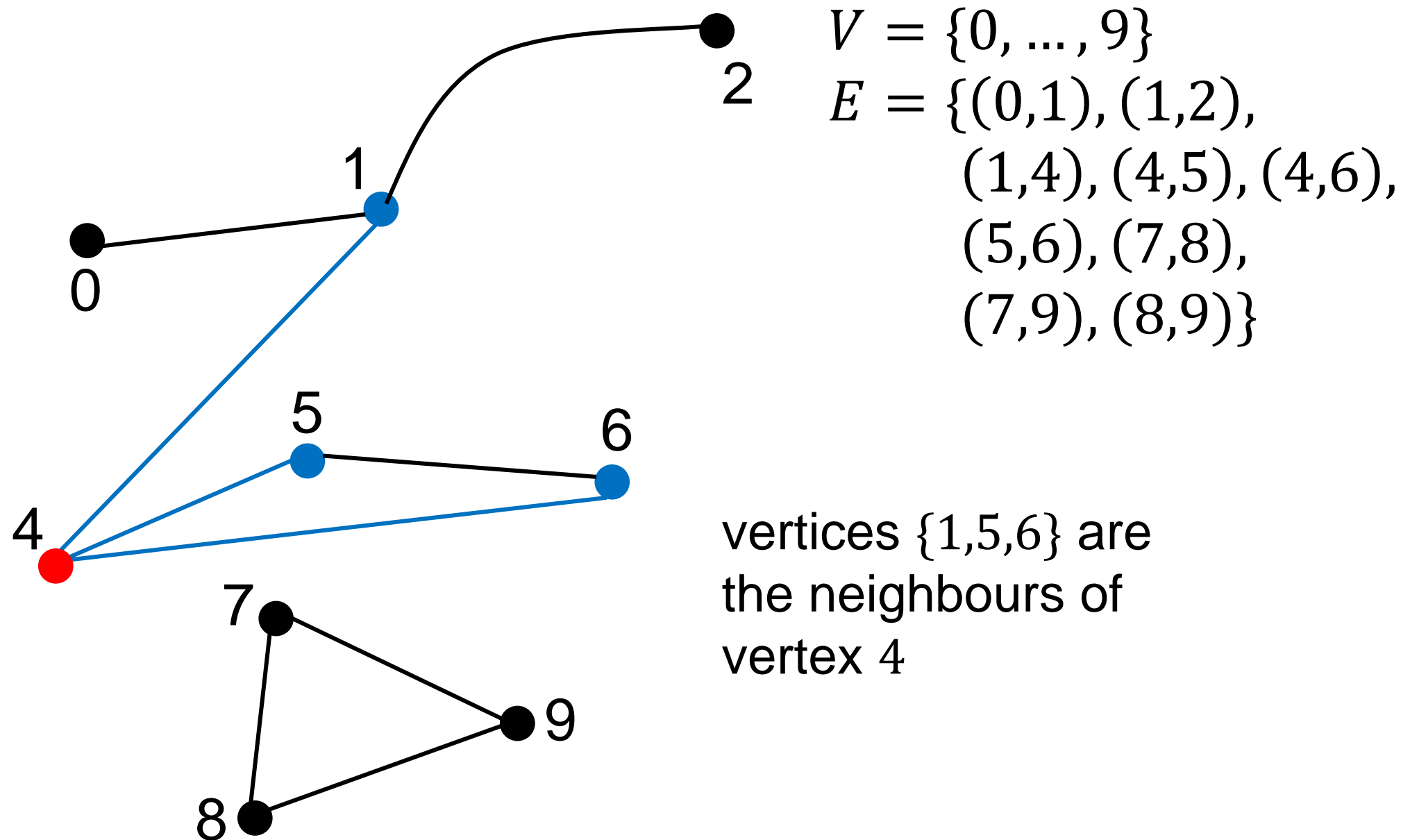


$V = \{0, \dots, 9\}$
 $E = \{(0,1), (1,2),$
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Definition:

A vertex j is called **adjacent** to a vertex i (or a **neighbour** of i) if there is an edge $(i, j) \in E$.

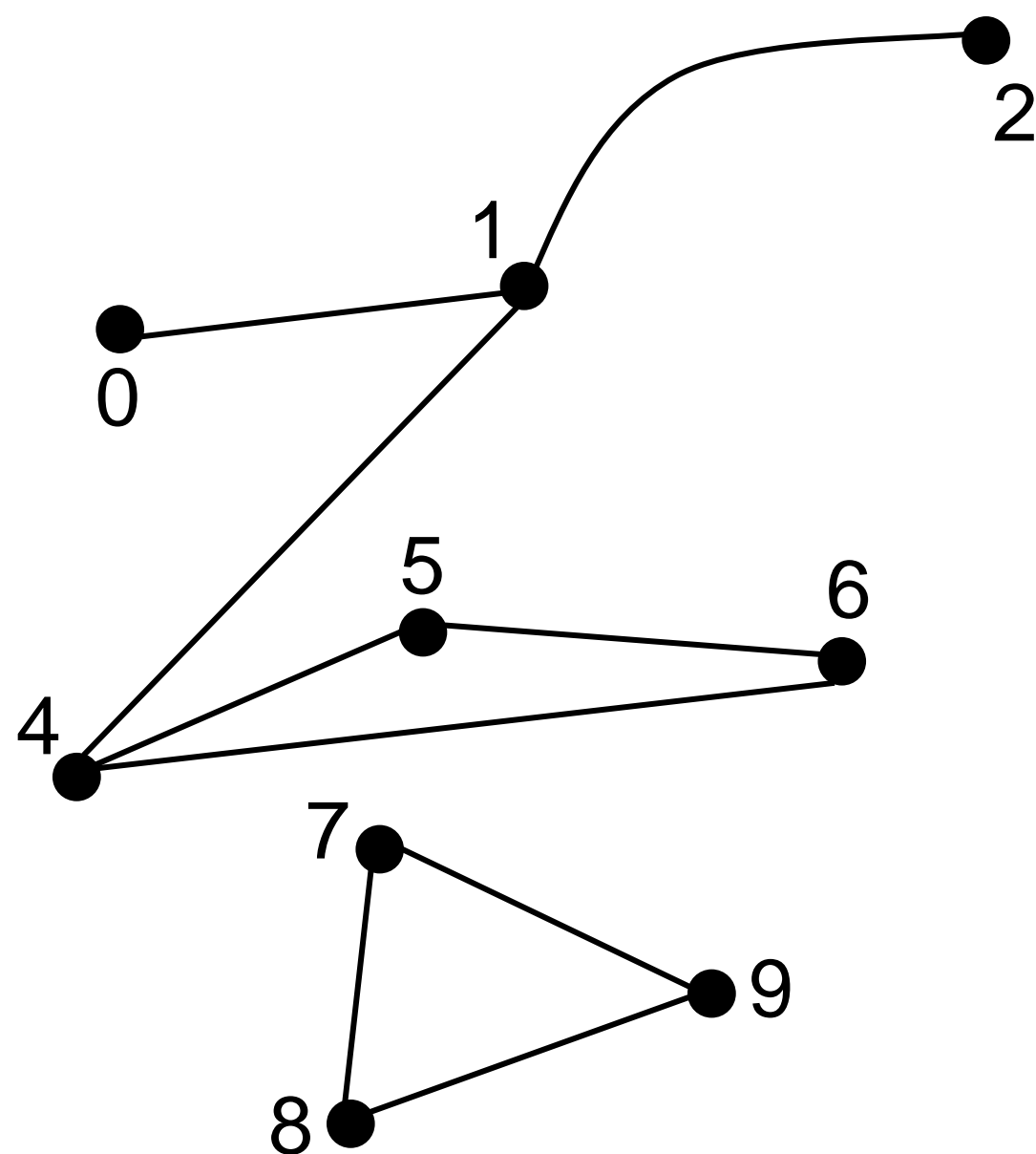
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Paths, cycles, and connectivity

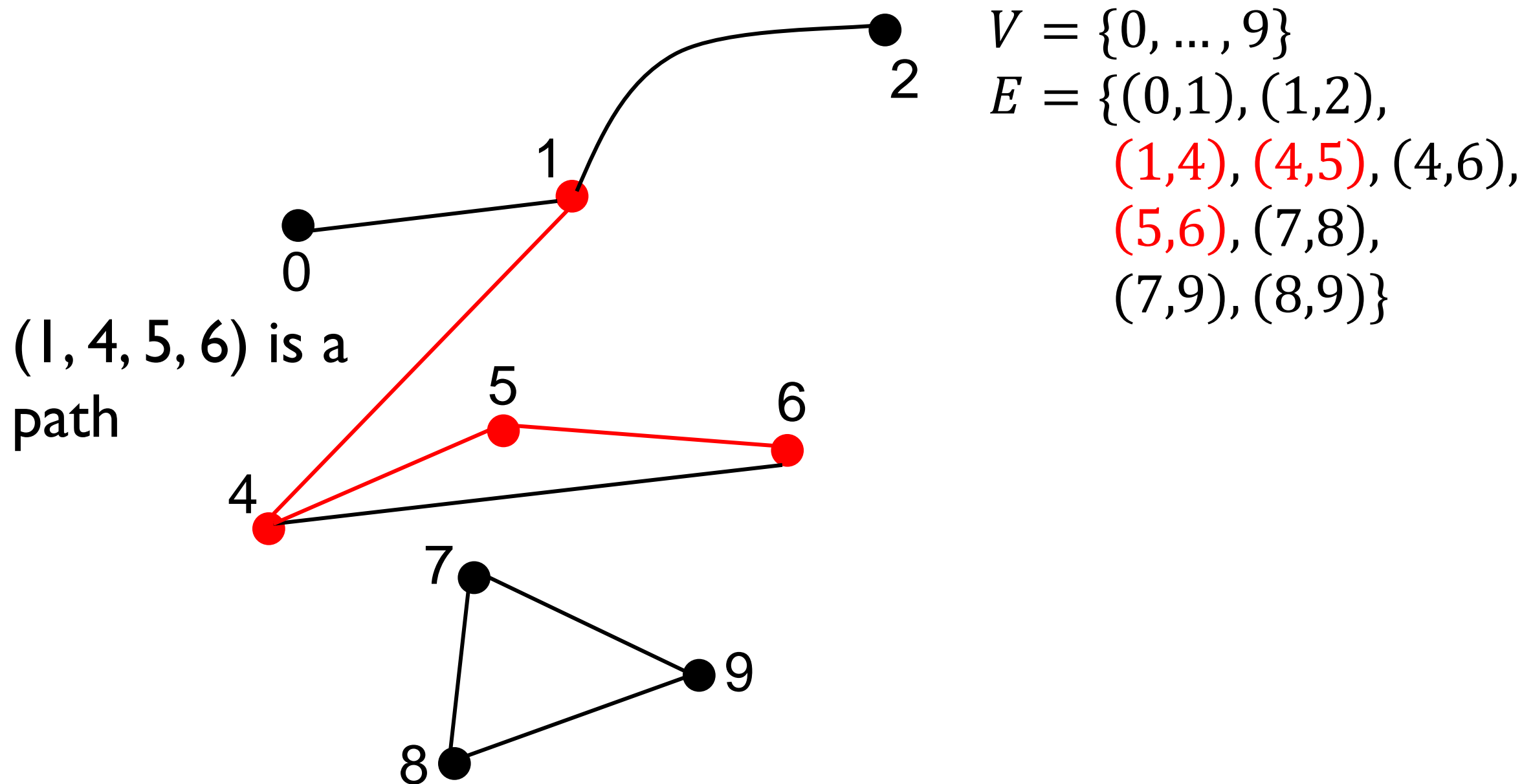


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Definition:

A **path** is a non-self-intersecting sequence of vertices such that there is an edge between consecutive vertices in the sequence.

Paths, cycles, and connectivity

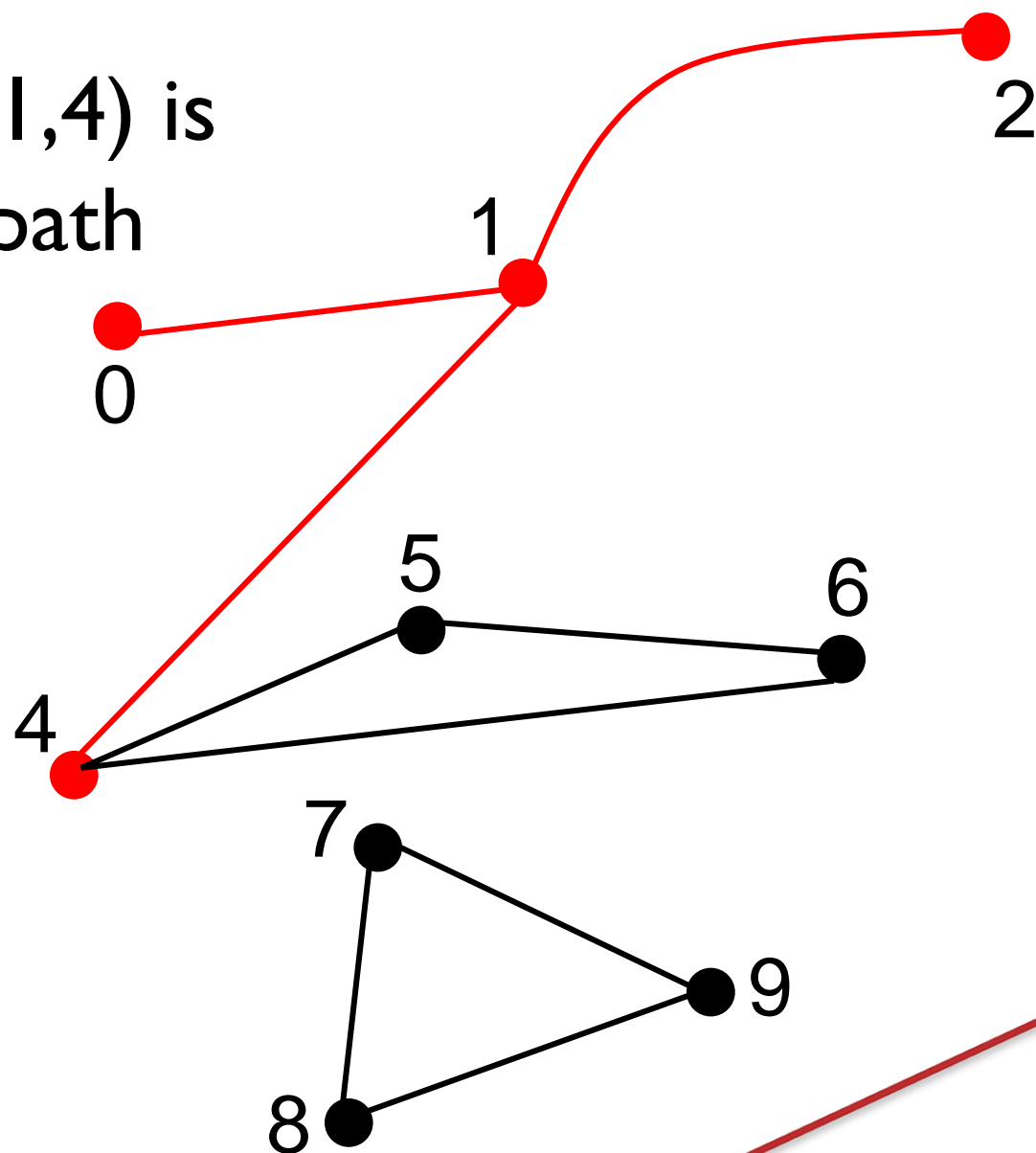


Definition:

A **path** is a non-self-intersecting sequence of vertices such that there is an edge between consecutive vertices in the sequence.

Paths, cycles, and connectivity

$(0,1,2,1,4)$ is
not a path



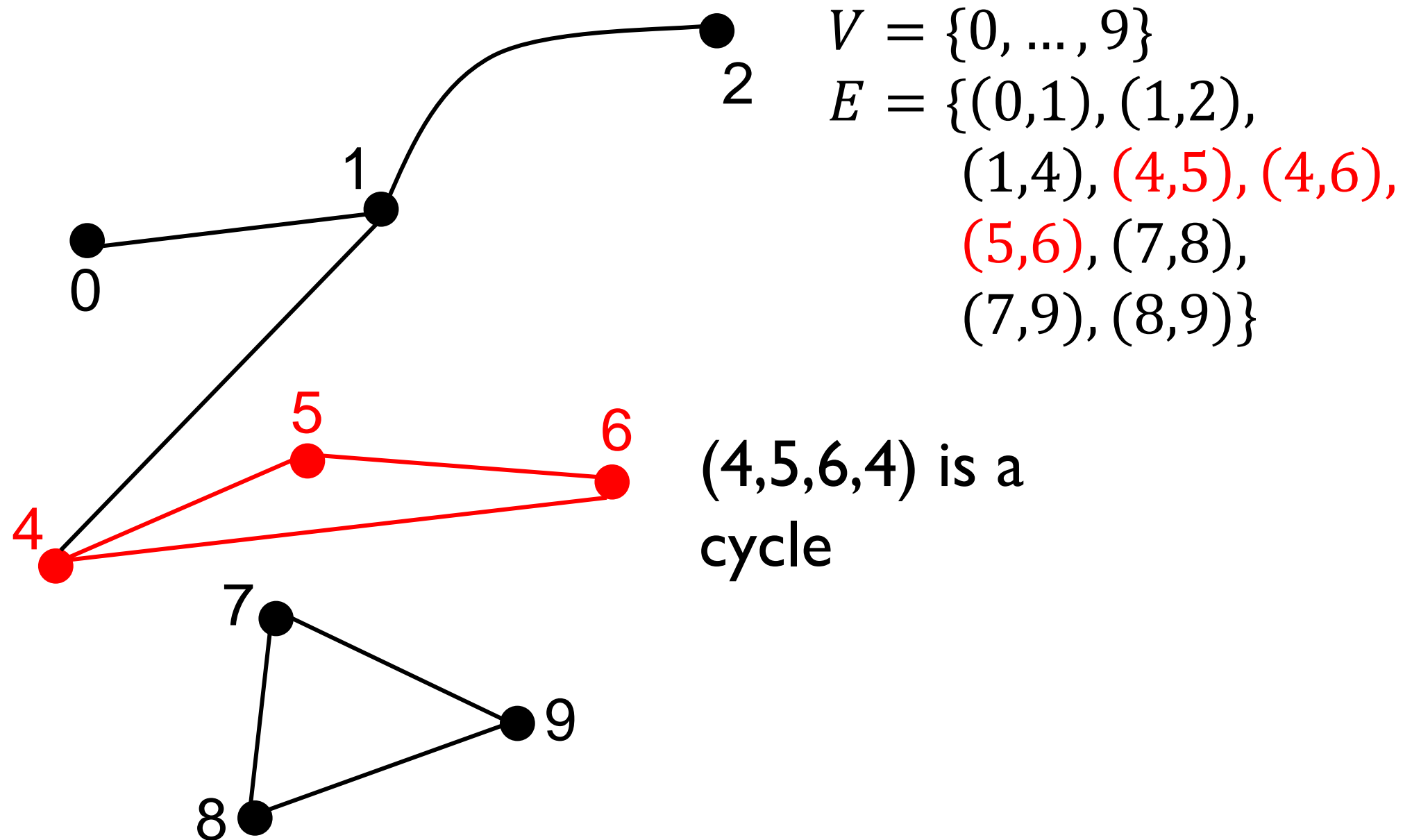
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 $(7,9), (8,9)\}$

no two vertices in the
sequence can be
identical (except first
and last)

Definition:

A **path** is a **non-self-intersecting** sequence of vertices such that there is an edge between consecutive vertices in the sequence.

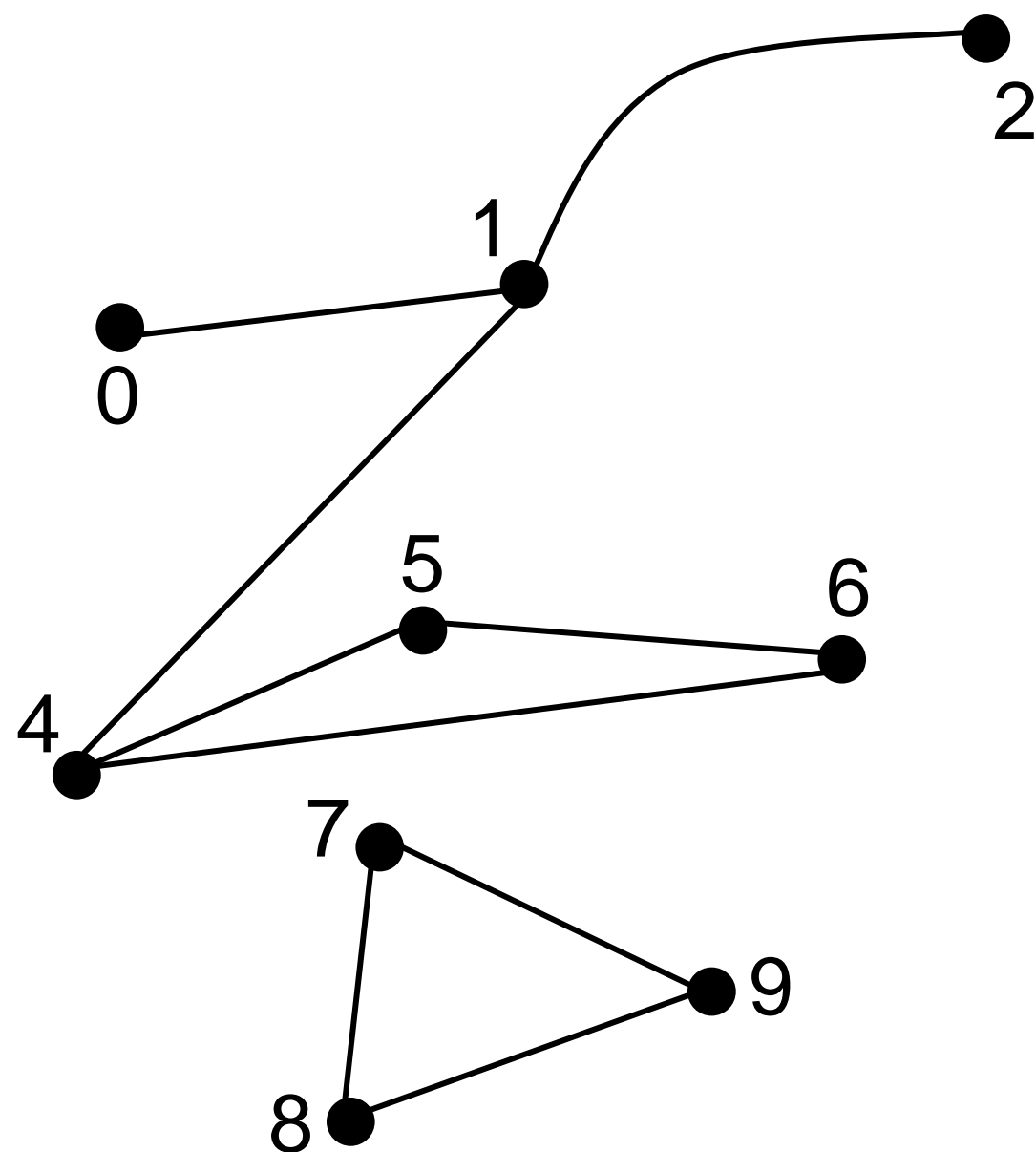
Paths, cycles, and connectivity



Definition:

A **cycle** is a **path** with same start and end vertex.

Paths, cycles, and connectivity



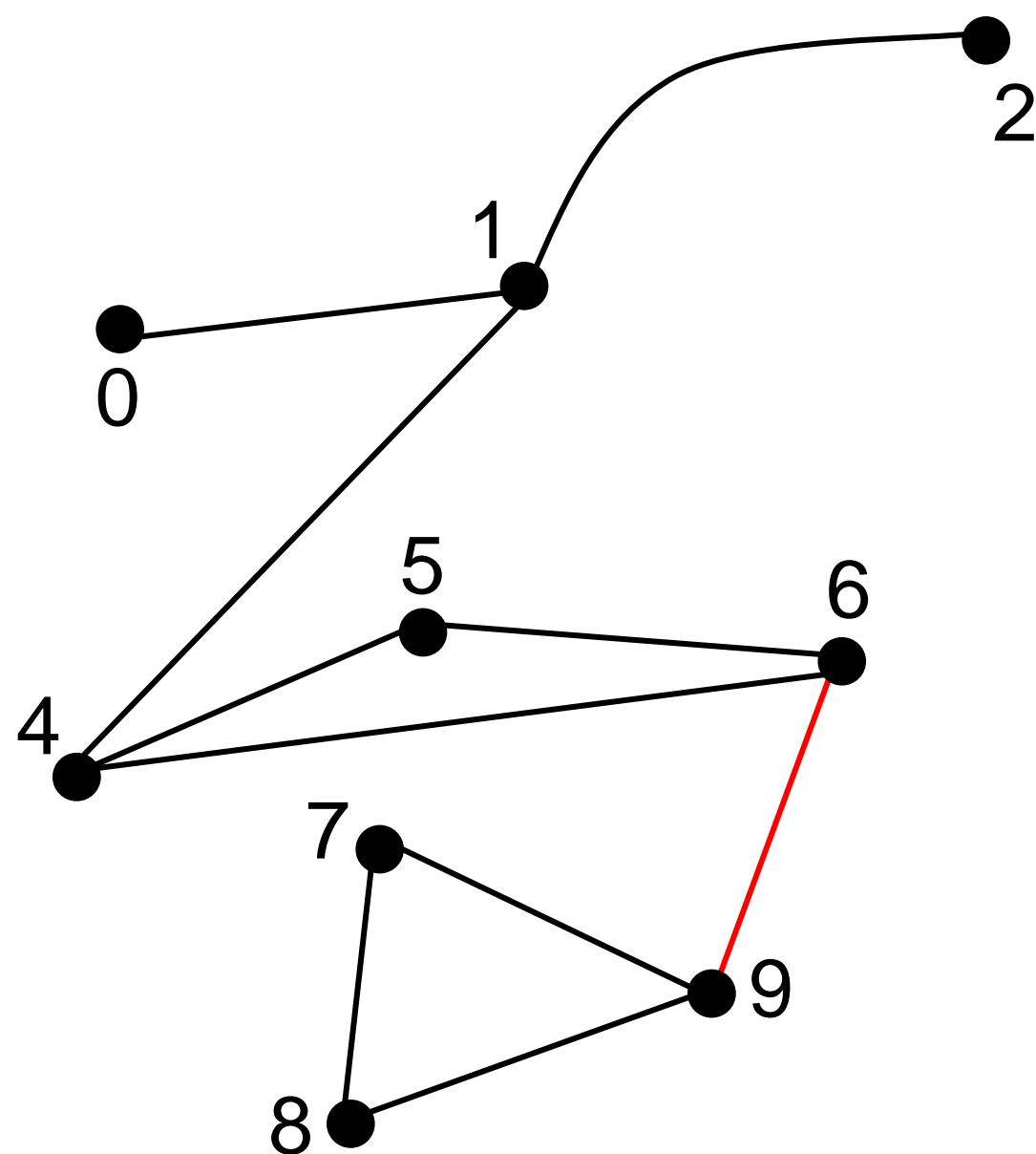
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$$E = \{(0,1), (1,2), (1,4), (4,5), (4,6), (5,6), (7,8), (7,9), (8,9)\}$$

Definition:

Graph in which there is a path between any two vertices is called **connected**.

Paths, cycles, and connectivity



$$V = \{0, \dots, 9\}$$

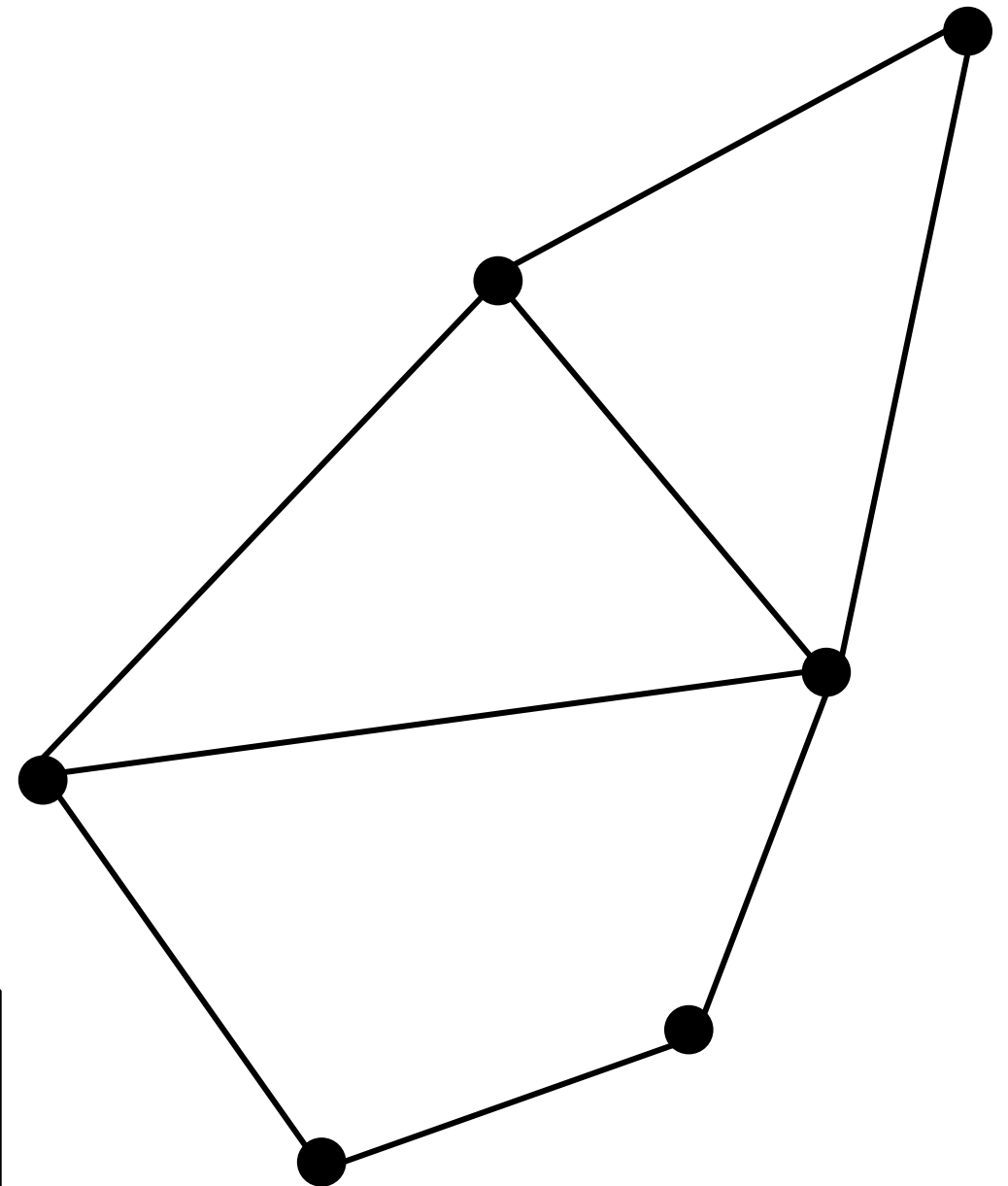
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Definition:

Graph in which there is a path between any two vertices is called **connected**.

Let's practice some graph notions

How many cycles does this graph have?



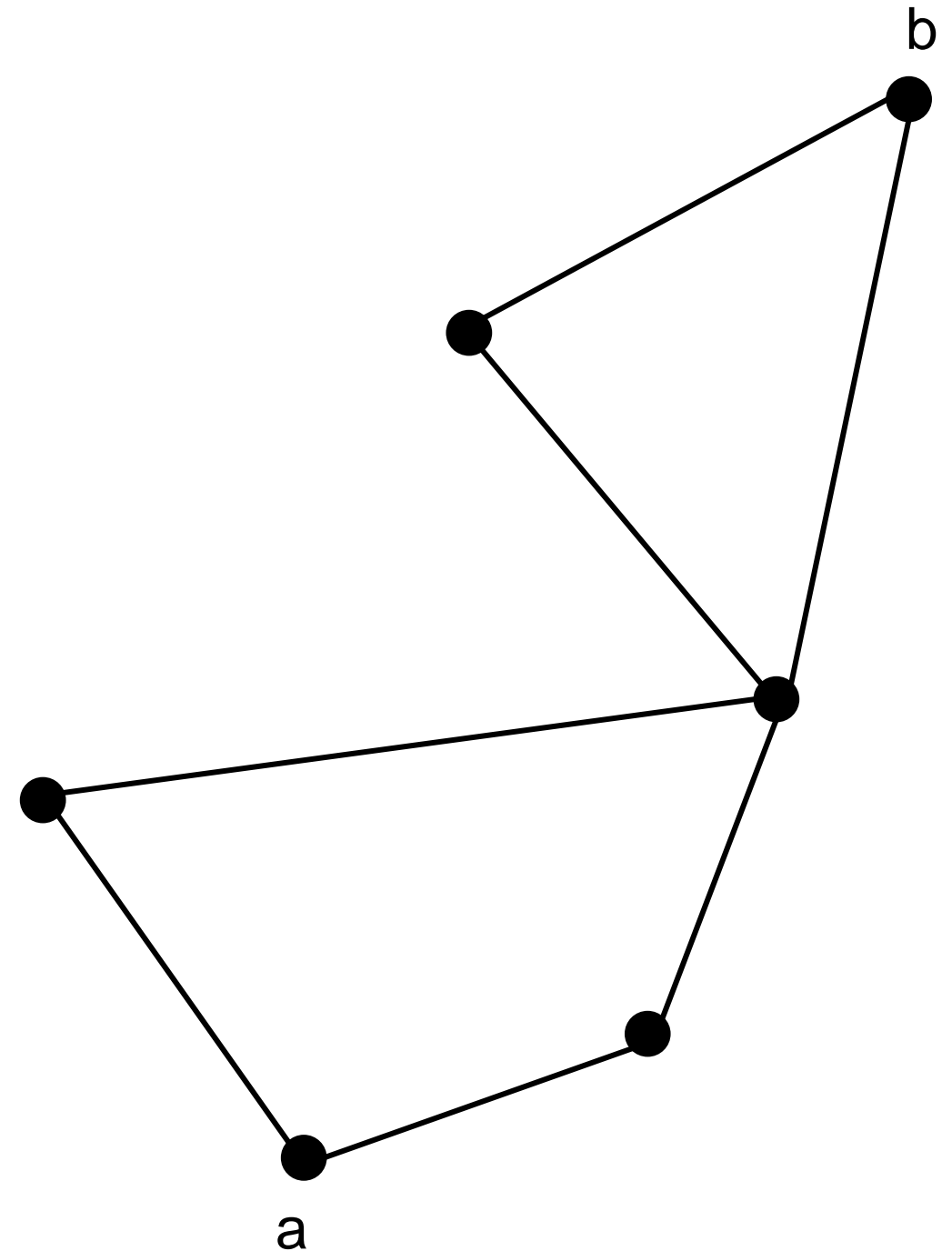
Quiz time (<https://flux.qa>)

Clayton: AXXULH

Malaysia: LWERDE

Let's practice some graph notions

How many paths are there from a to b?



Quiz time (<https://flux.qa>)

Clayton:

AXXULH

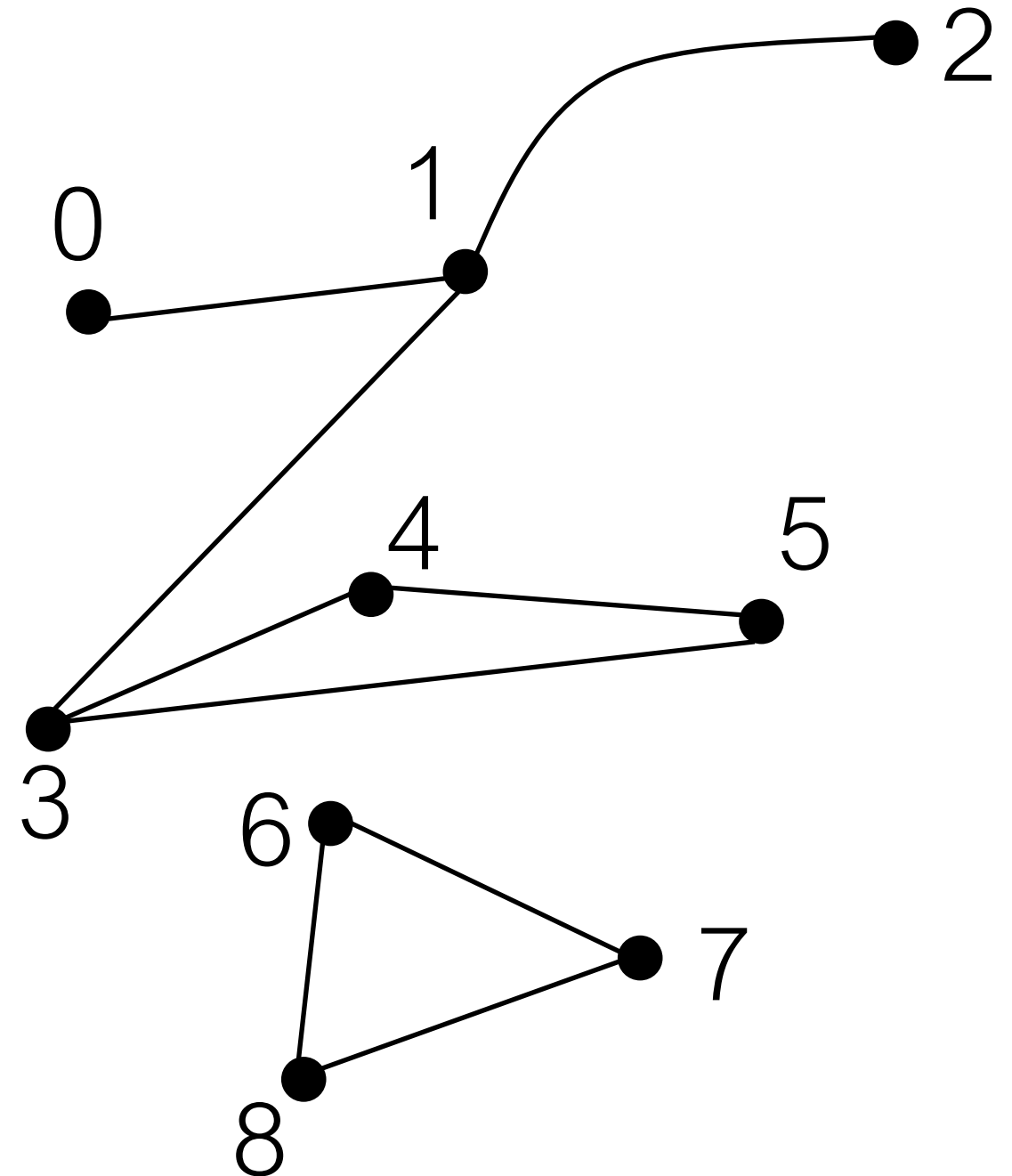
Malaysia:

LWERDE

Representation as *adjacency matrix*

- **table** with one column and one row per vertex
- cell i, j represents **existence of edge** between vertices i and j (1 - edge, 0 - no edge)

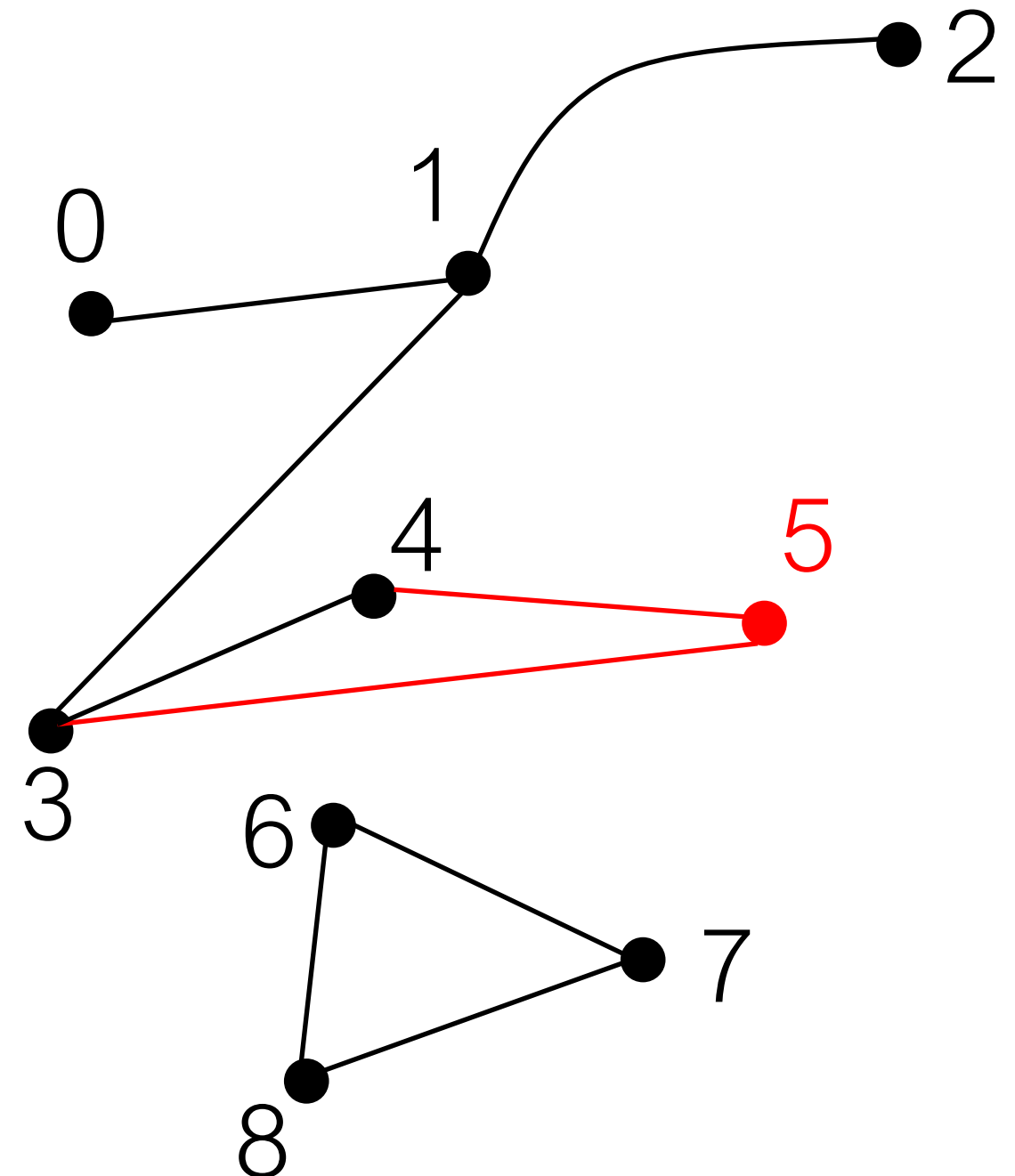
	0	1	2	3	4	5	6	7	8
0	0	1	0	0	0	0	0	0	0
1	1	0	1	1	0	0	0	0	0
2	0	1	0	0	0	0	0	0	0
3	0	1	0	0	1	1	0	0	0
4	0	0	0	1	0	1	0	0	0
5	0	0	0	1	1	0	0	0	0
6	0	0	0	0	0	0	0	1	1
7	0	0	0	0	0	0	1	0	1
8	0	0	0	0	0	0	1	1	0



Representation as *adjacency matrix*

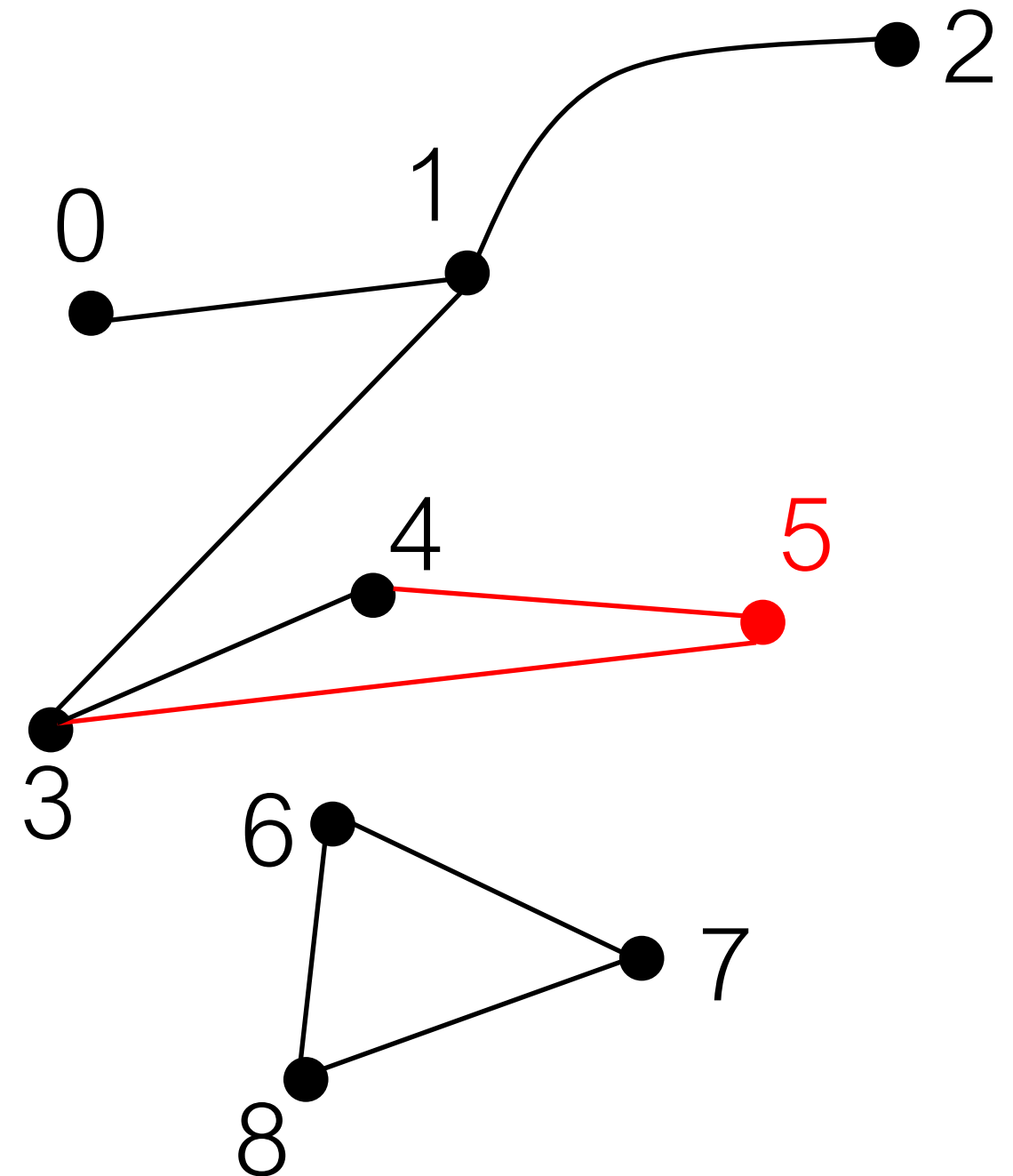
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	0	1	2	3	4	5	6	7	8
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1	1	0	1	1	0	0	0	0	0
2	0	1	0	0	0	0	0	0	0
3	0	1	0	0	1	1	0	0	0
4	0	0	0	1	0	1	0	0	0
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7	0	0	0	0	0	0	1	0	1
8	0	0	0	0	0	0	1	1	0



Representation as *adjacency matrix*

```
graph = \
  [[0,1,0,0,0,0,0,0,0],
   [1,0,1,1,0,0,0,0,0],
   [0,1,0,0,0,0,0,0,0],
   [0,1,0,0,1,1,0,0,0],
   [0,0,0,1,0,1,0,0,0],
   [0,0,0,1,1,0,0,0,0],
   [0,0,0,0,0,0,0,1,1],
   [0,0,0,0,0,0,1,0,1],
   [0,0,0,0,0,0,1,1,0]]
```

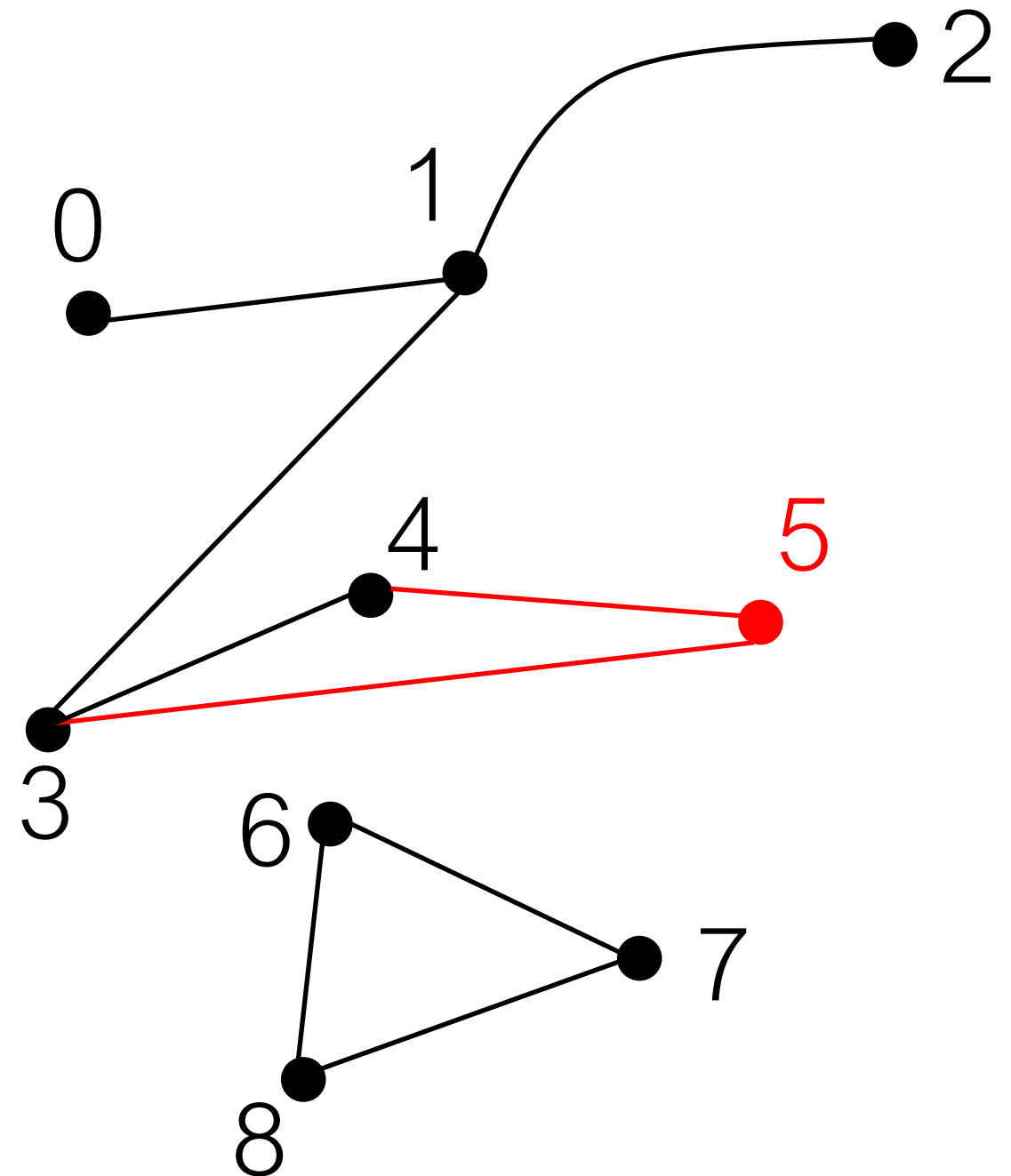


Representation as *adjacency matrix*

```
graph = \
    [[0,1,0,0,0,0,0,0,0],
     [1,0,1,1,0,0,0,0,0],
     [0,1,0,0,0,0,0,0,0],
     [0,1,0,0,1,1,0,0,0],
     [0,0,0,1,0,1,0,0,0],
     [0,0,0,1,1,0,0,0,0],
     [0,0,0,0,0,0,0,1,1],
     [0,0,0,0,0,0,1,0,1],
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def neighbours(i, g):
    """I: vertex i, graph g
    O: neighbours of i
    For example:
    >>> neighbours(5, graph)
    [3, 4]
    """

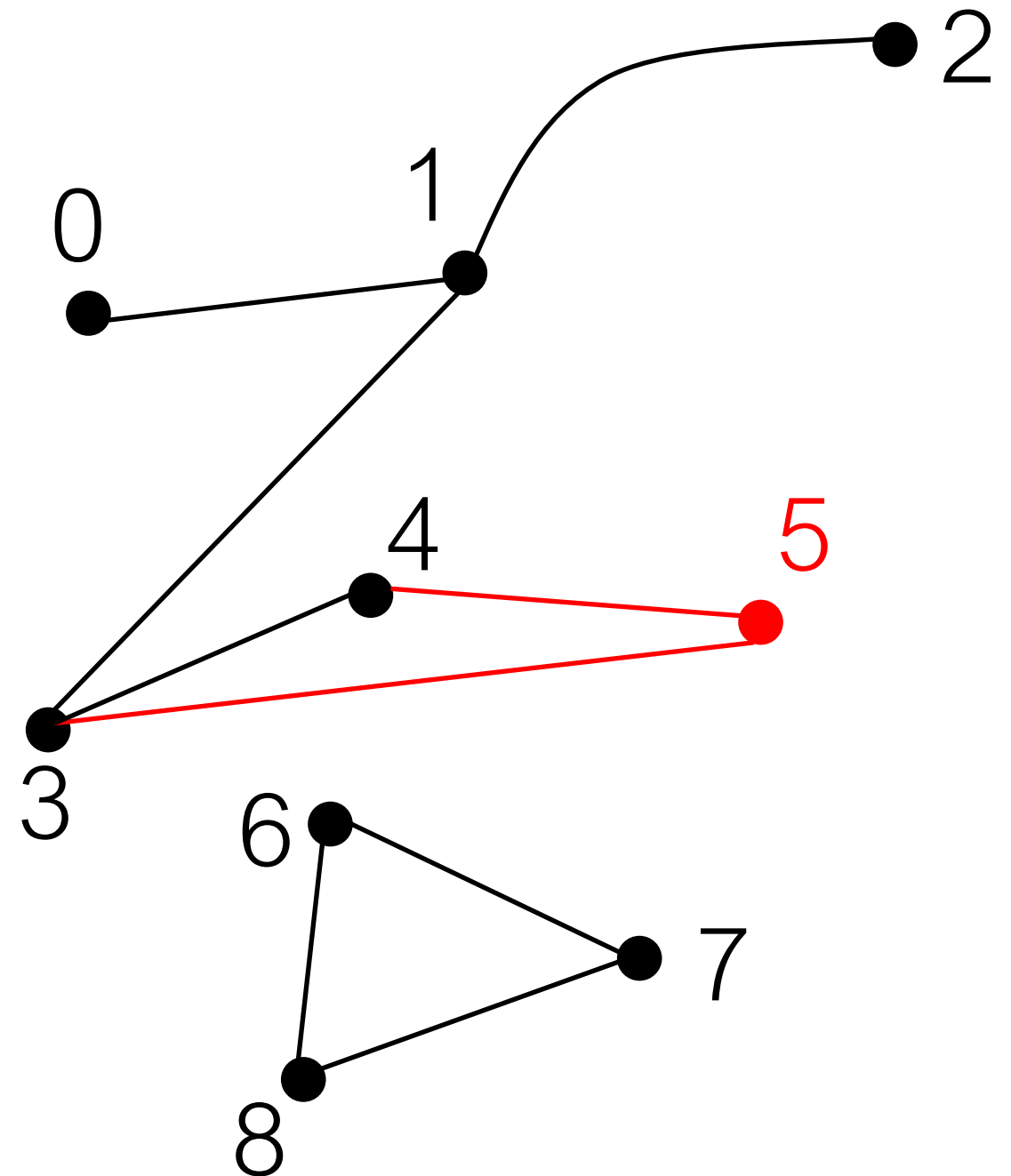
    ???
```



Representation as *adjacency matrix*

```
graph = \
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    O: neighbours of i
    For example:
    >>> neighbours(5, graph)
    [3, 4]
    """
    n = len(g)
    res = []
    for j in range(n):
        if g[i][j]==1:
            res.append(j)
    return res
```



Where am I?

1. Graphs
2. Trees and Spanning Trees
3. Prims algorithm (simplified)
4. *Problem decomposition (if time left)*

Trees

Definition

A simple graph $T = (V, E)$ is called a **tree** if it is

- **connected** and
- has **no cycles**.

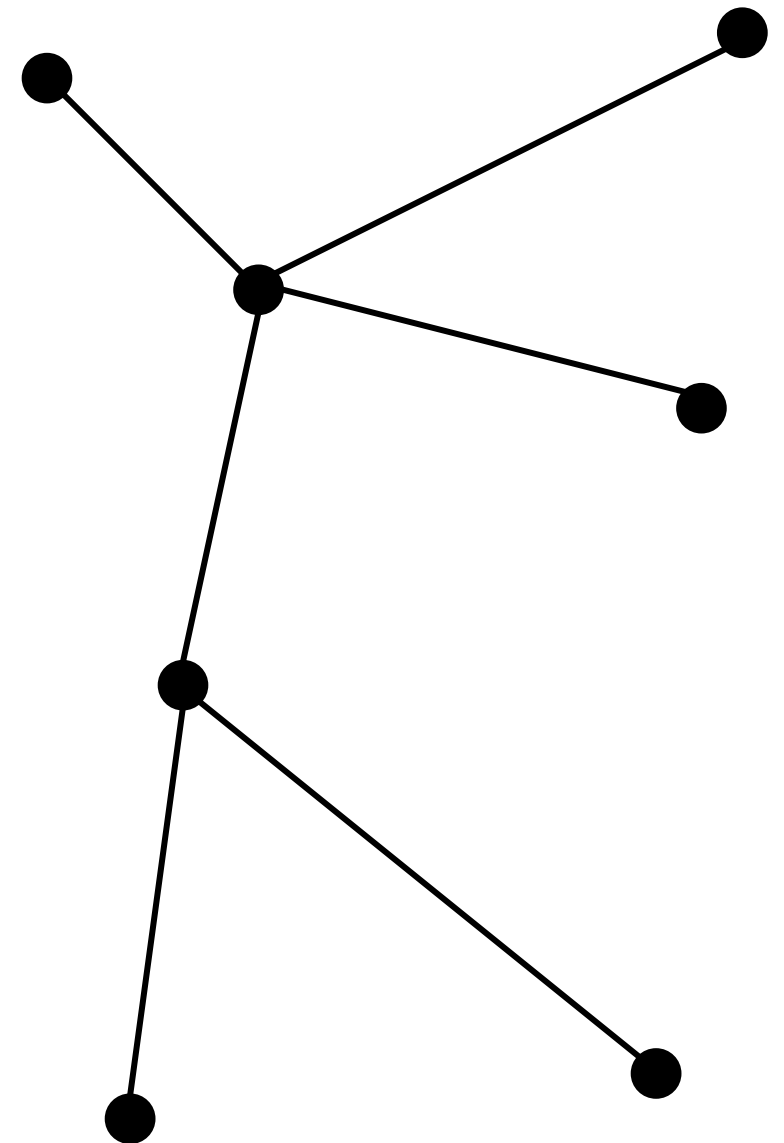
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Trees

Definition

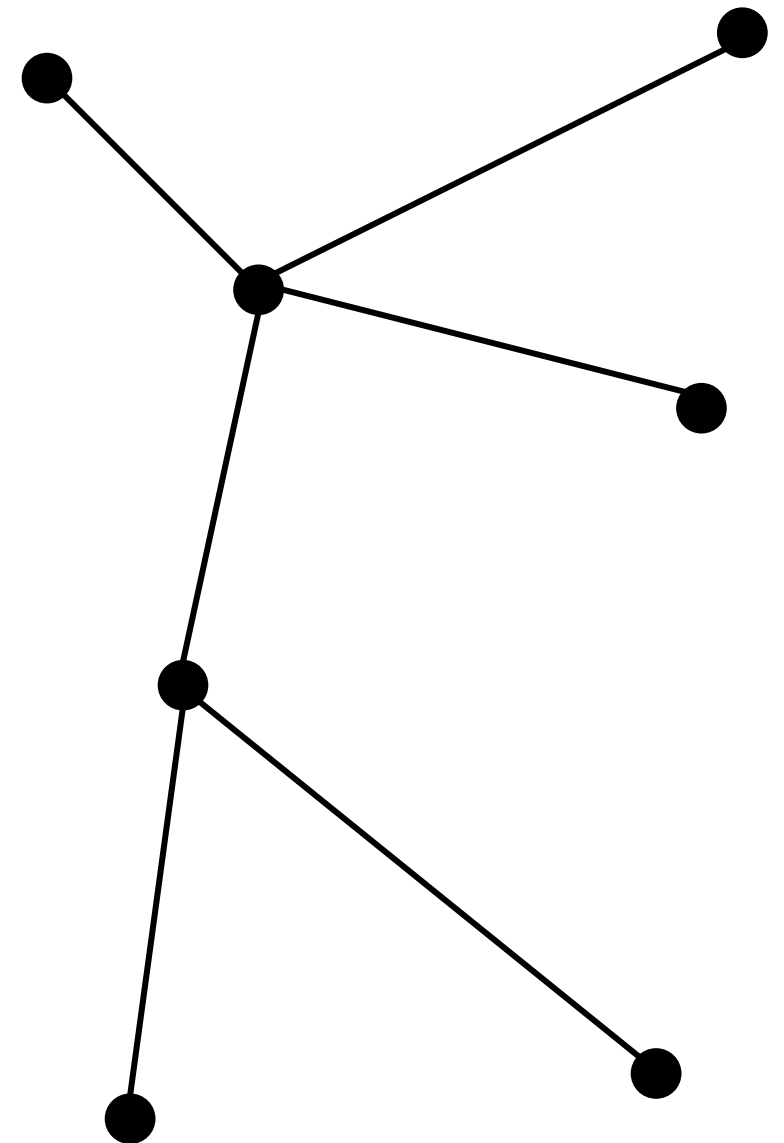
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Properties

A tree

- is **minimally connected**, i.e., removing any edge makes graph disconnected
- contains **unique path** between any two vertices $v, w \in E$



Trees

Definition

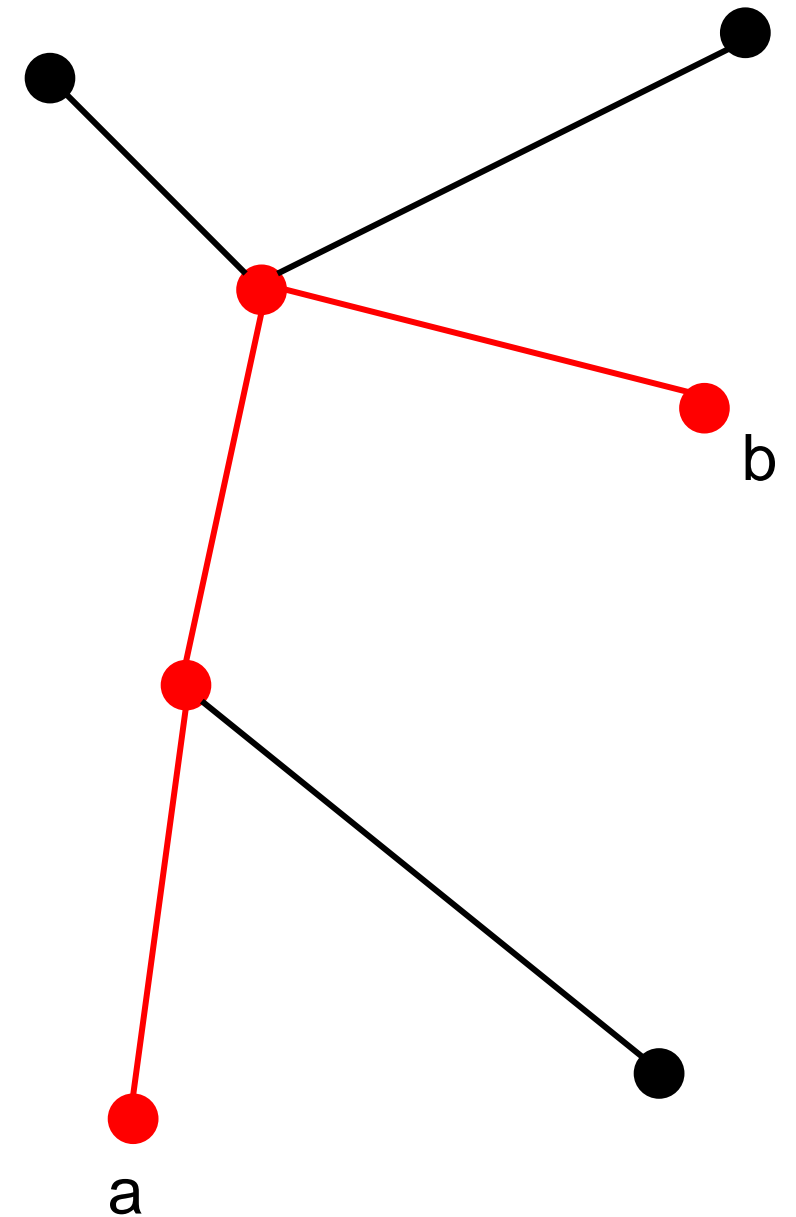
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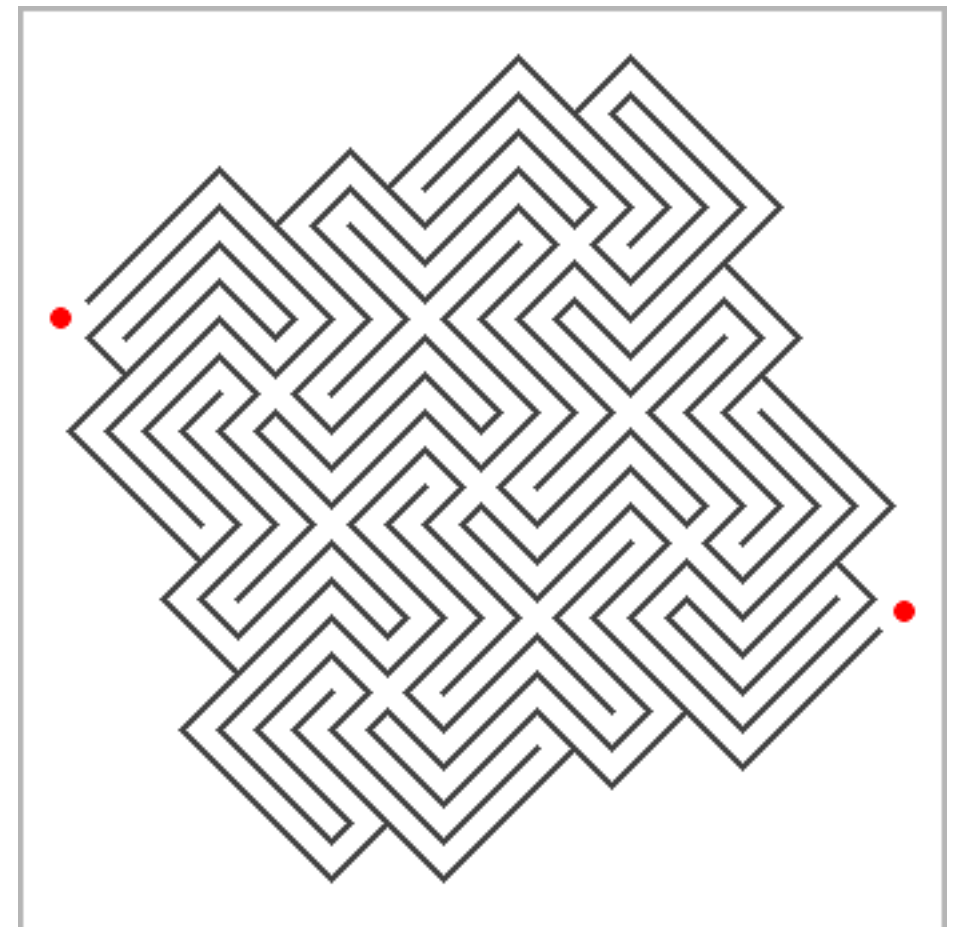
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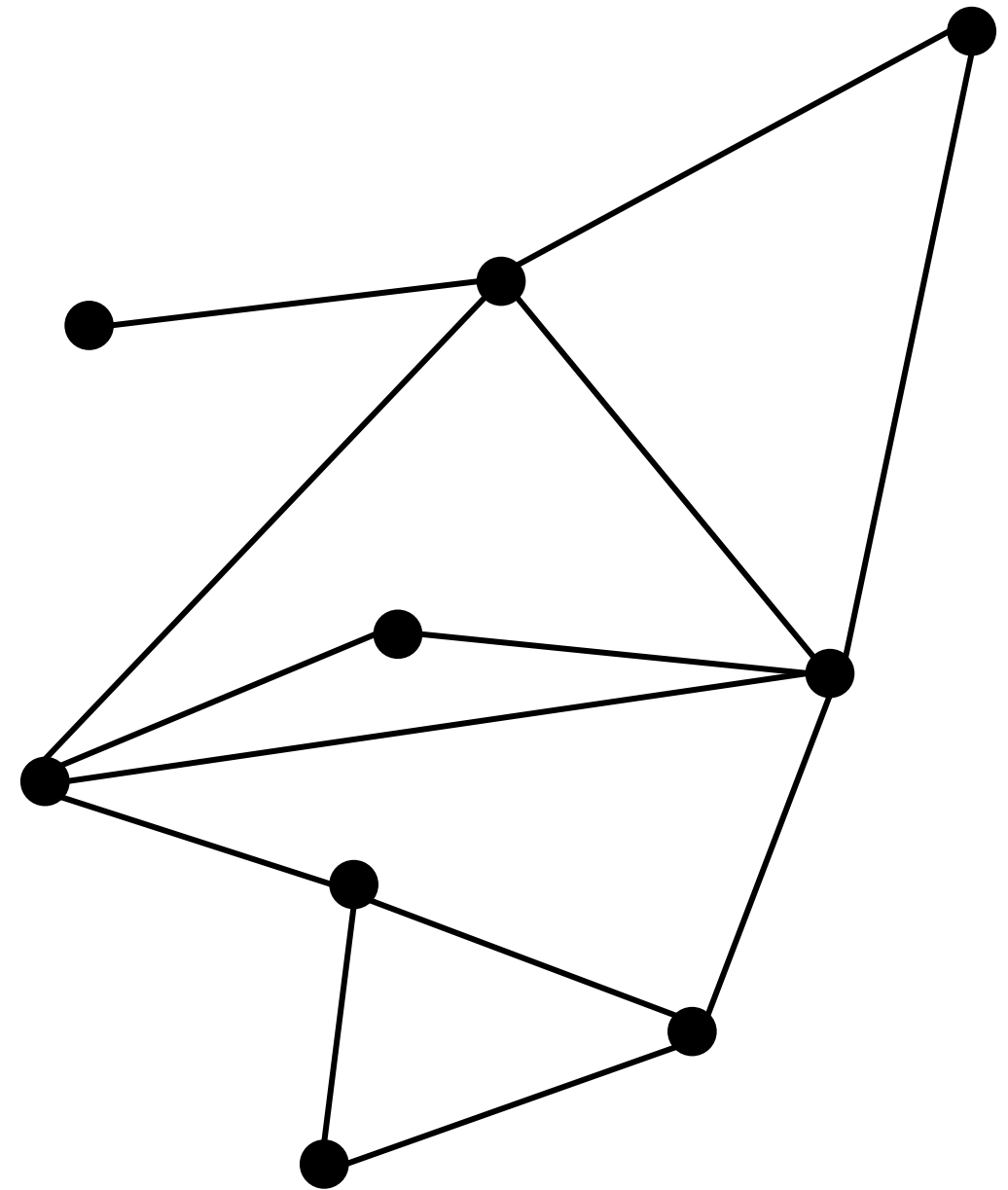
Trees are a lot like mazes, if you do not allow loops in the maze



Spanning tree of a graph

Assume $G = (V, E)$ is simple and connected graph.

A **spanning tree** of G is a subgraph $T = (V, F)$ of G that

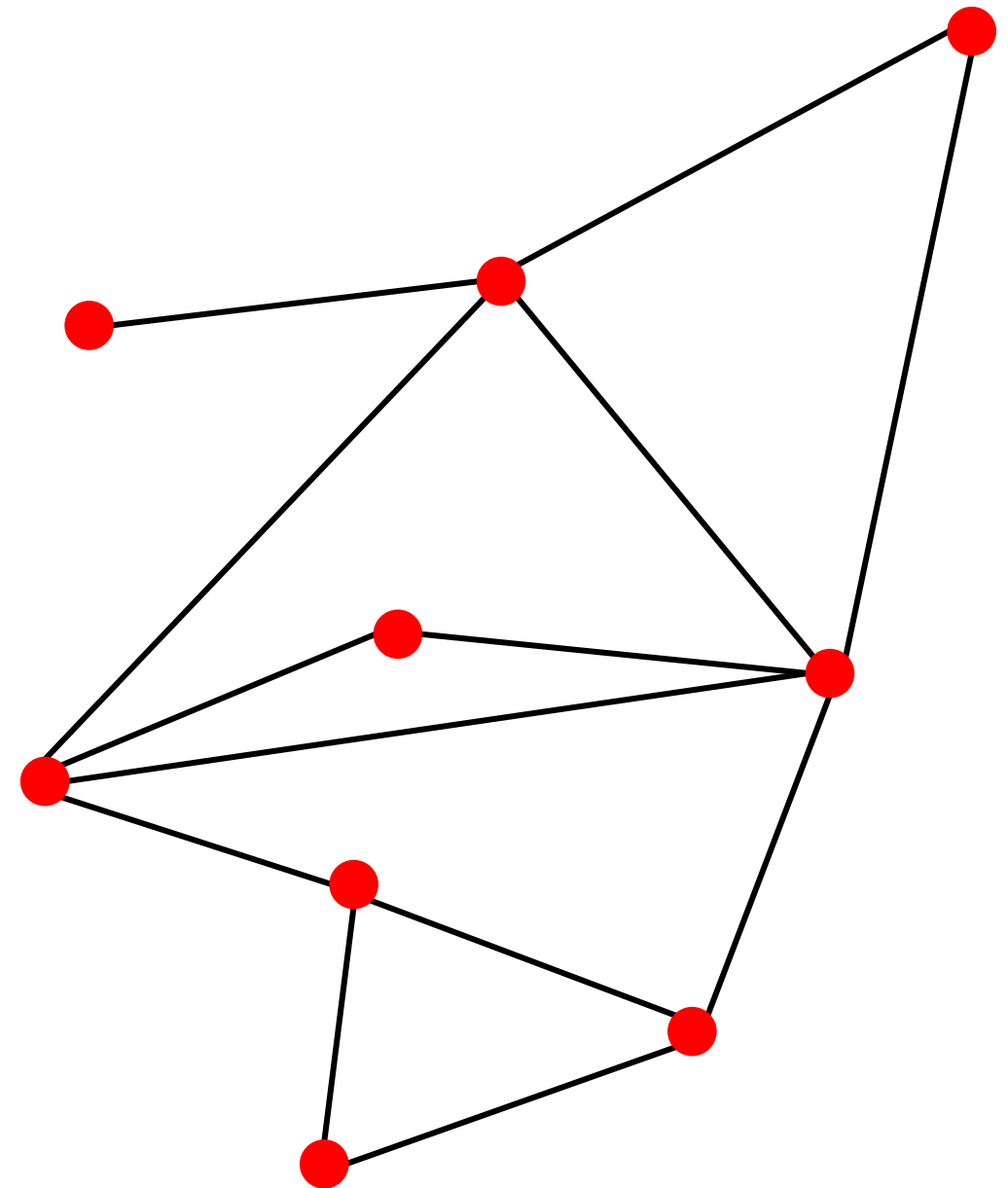


Spanning tree of a graph

Assume $G = (V, E)$ is simple and connected graph.

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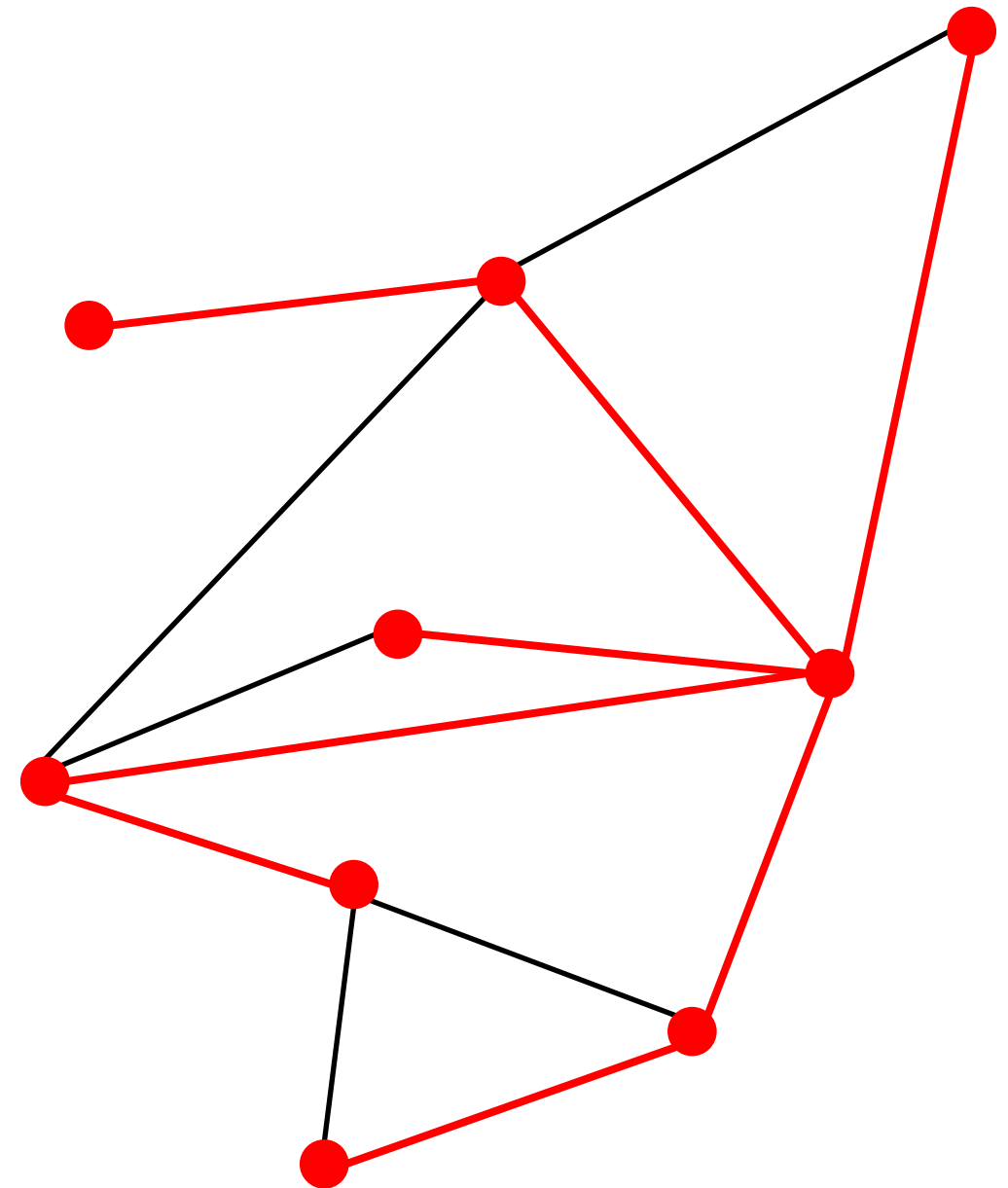


Spanning tree of a graph

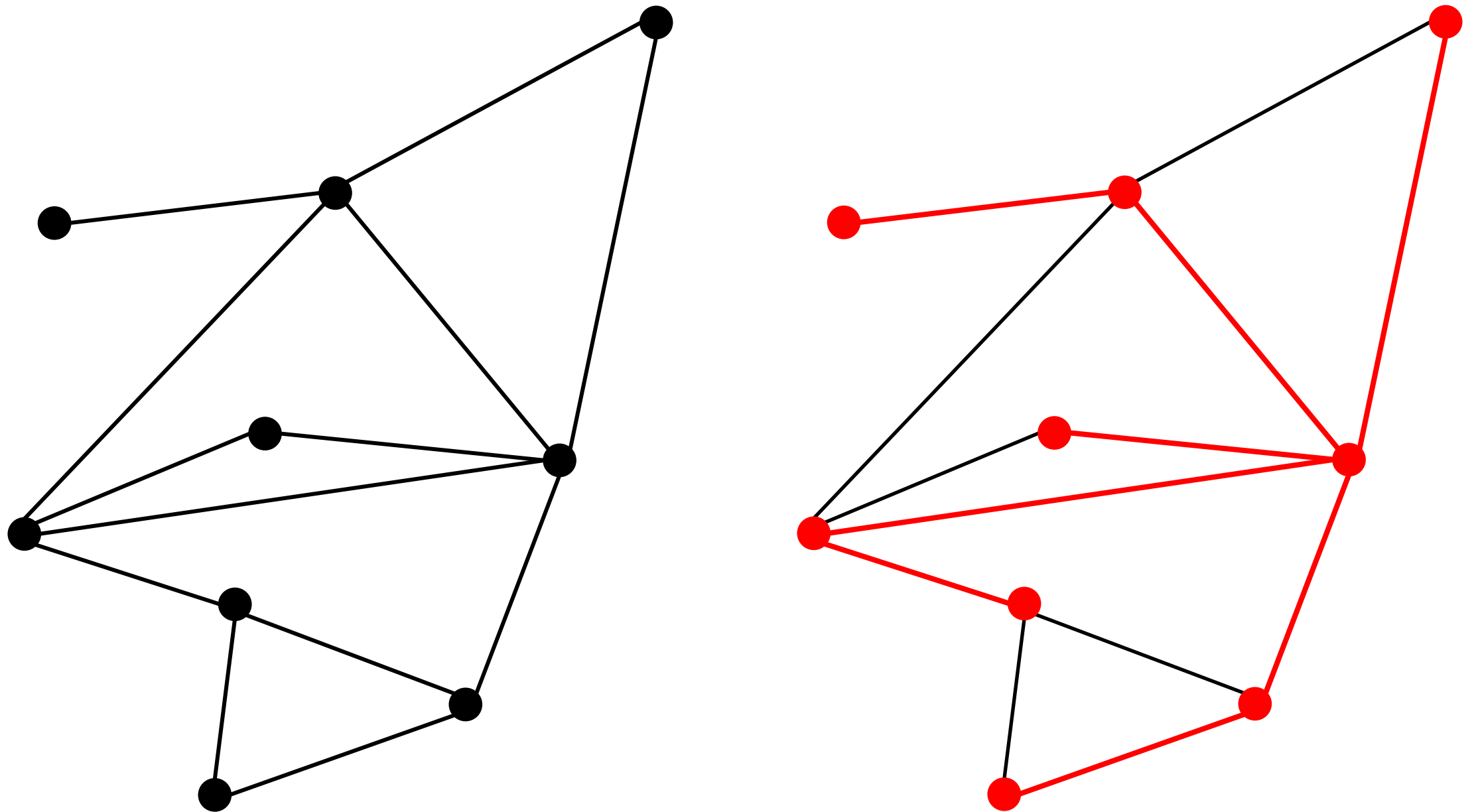
Assume $G = (V, E)$ is simple and connected graph.

A **spanning tree** of G is a subgraph $T = (V, F)$ of G that

- contains **all vertices** of G and
- that is a **tree** (i.e., connected and cycle free)



Spanning tree of a graph

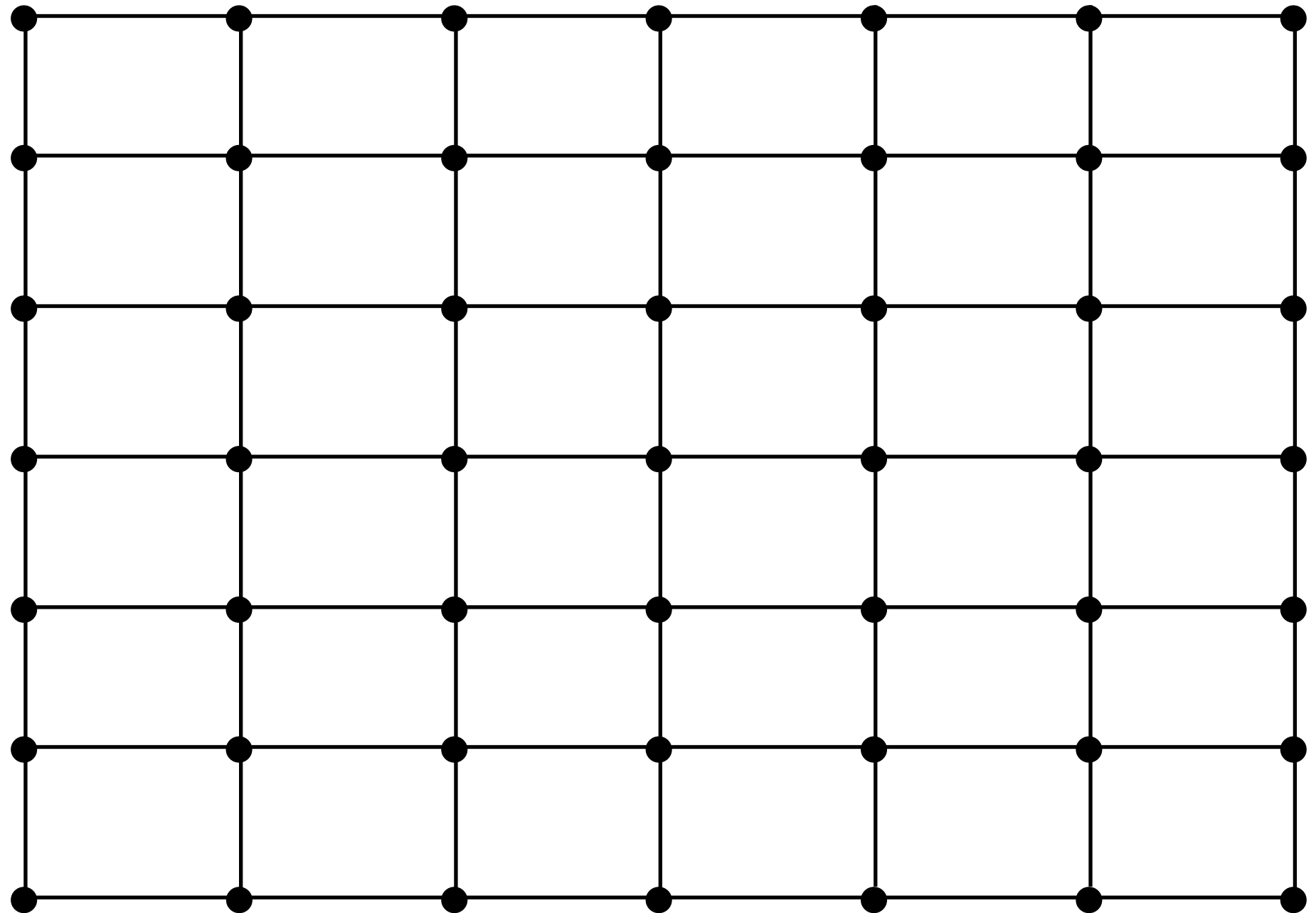


Spanning Tree Problem

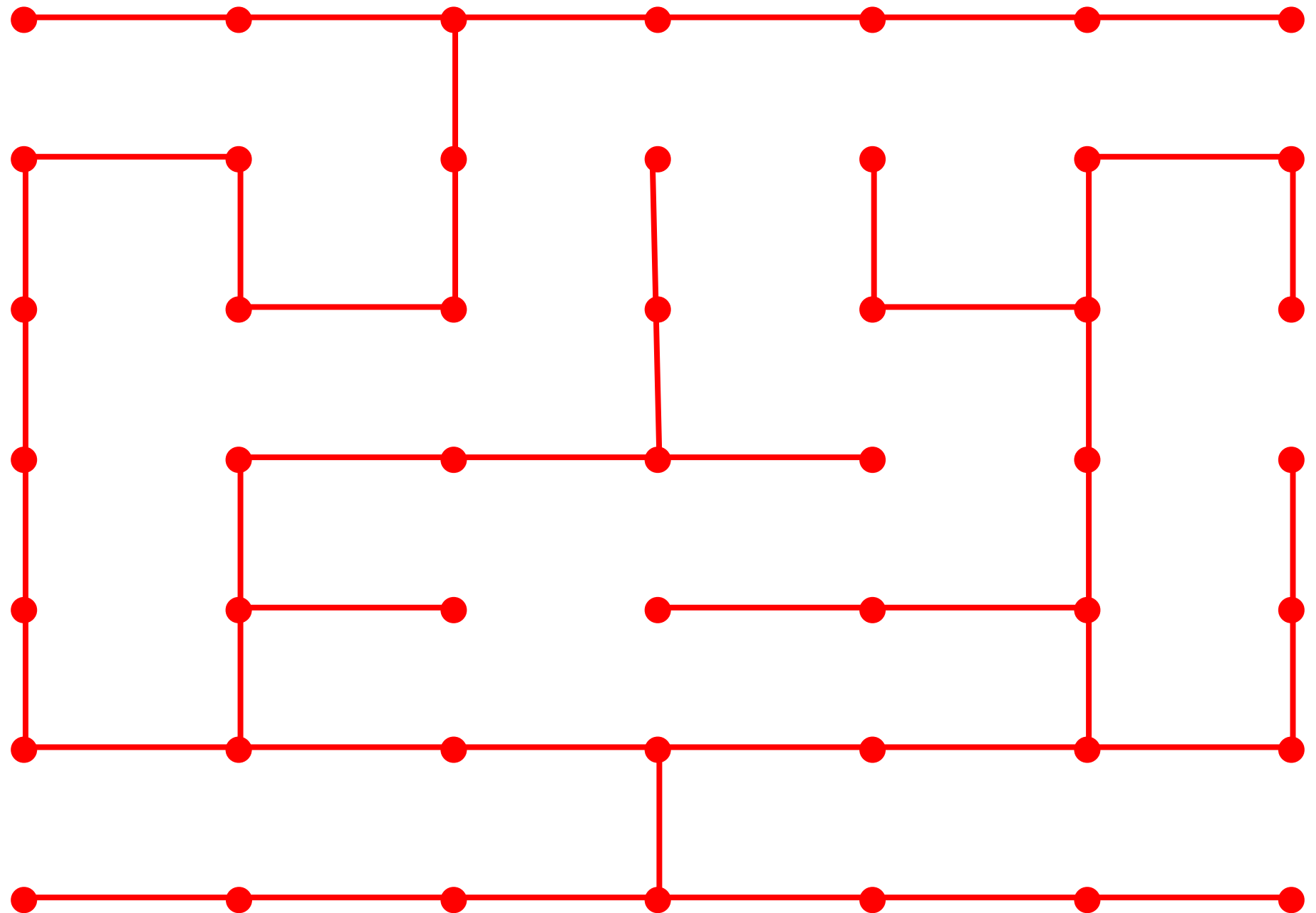
Input: adjacency matrix `graph` of connected graph

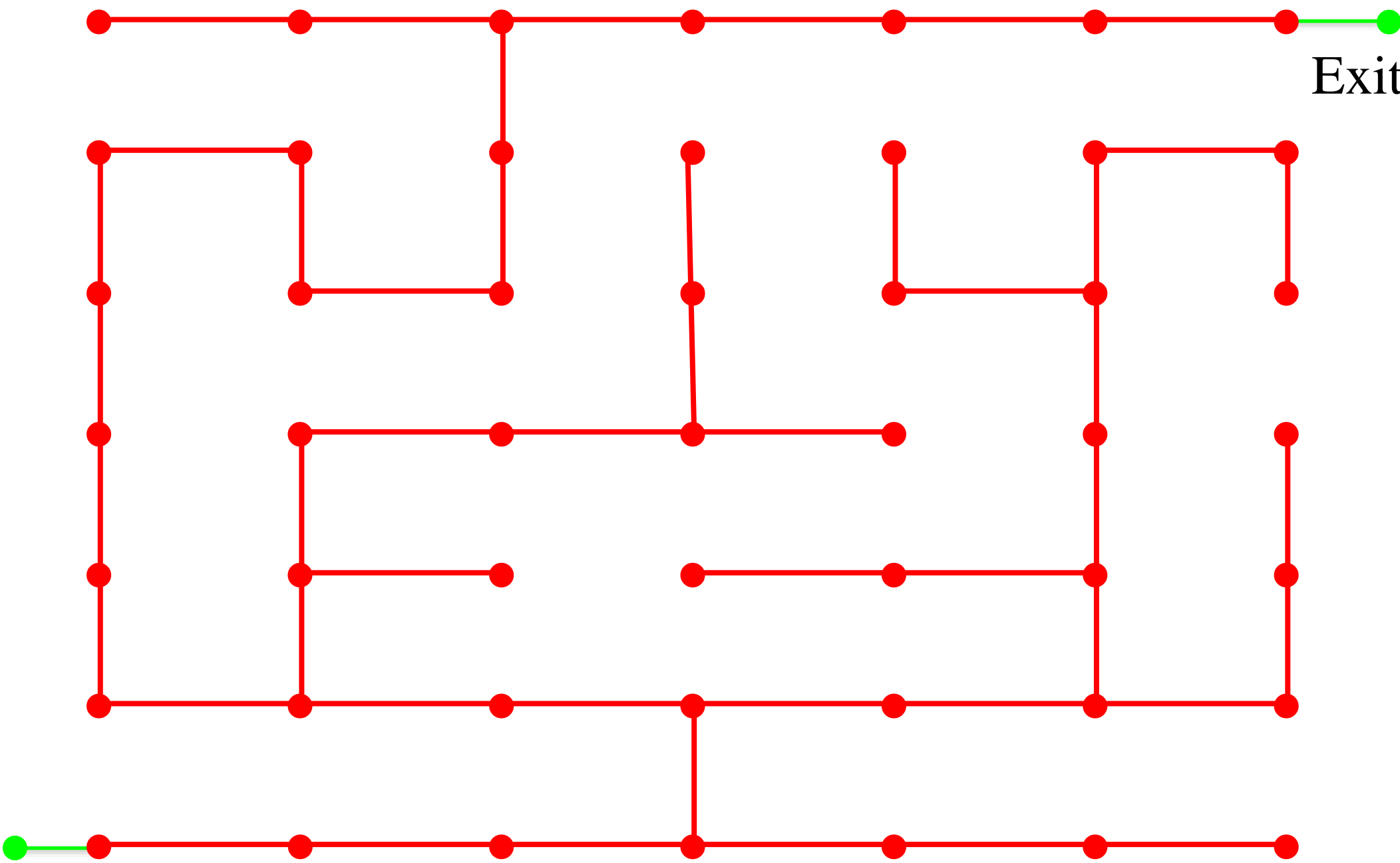
Output: adjacency matrix of spanning tree `tree` of graph

Algorithm for maze construction:
start with a regular grid graph...



... then find a spanning tree

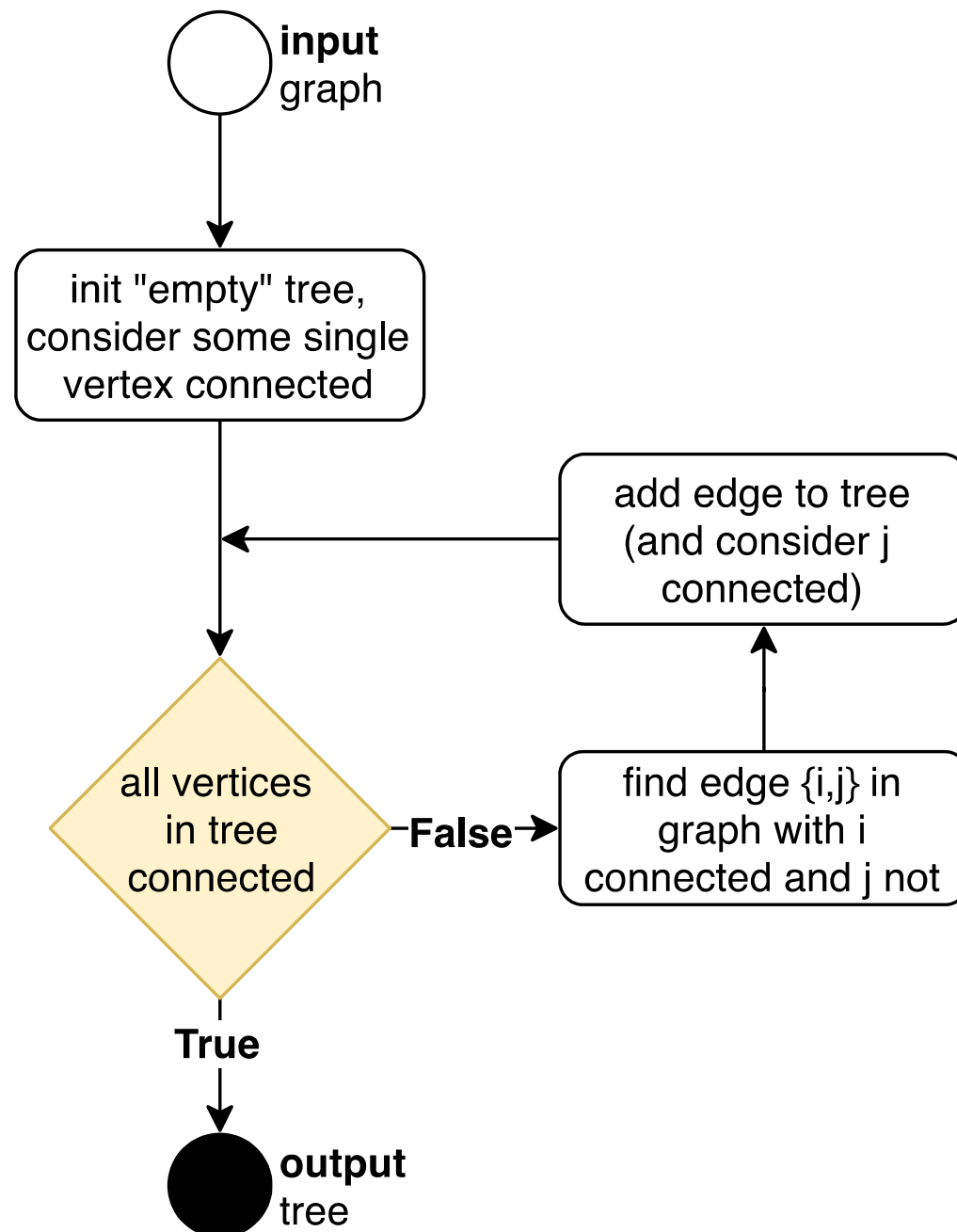




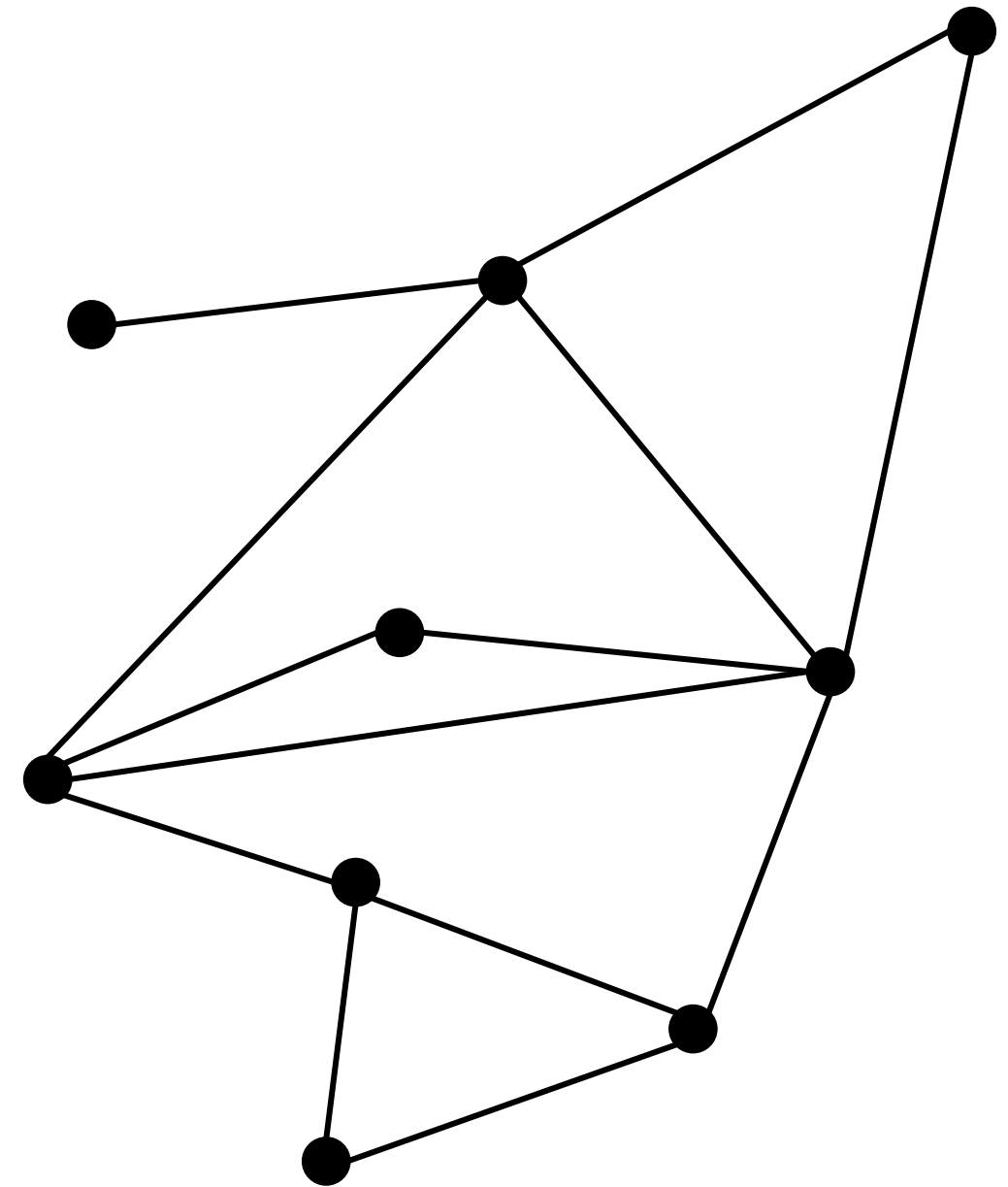
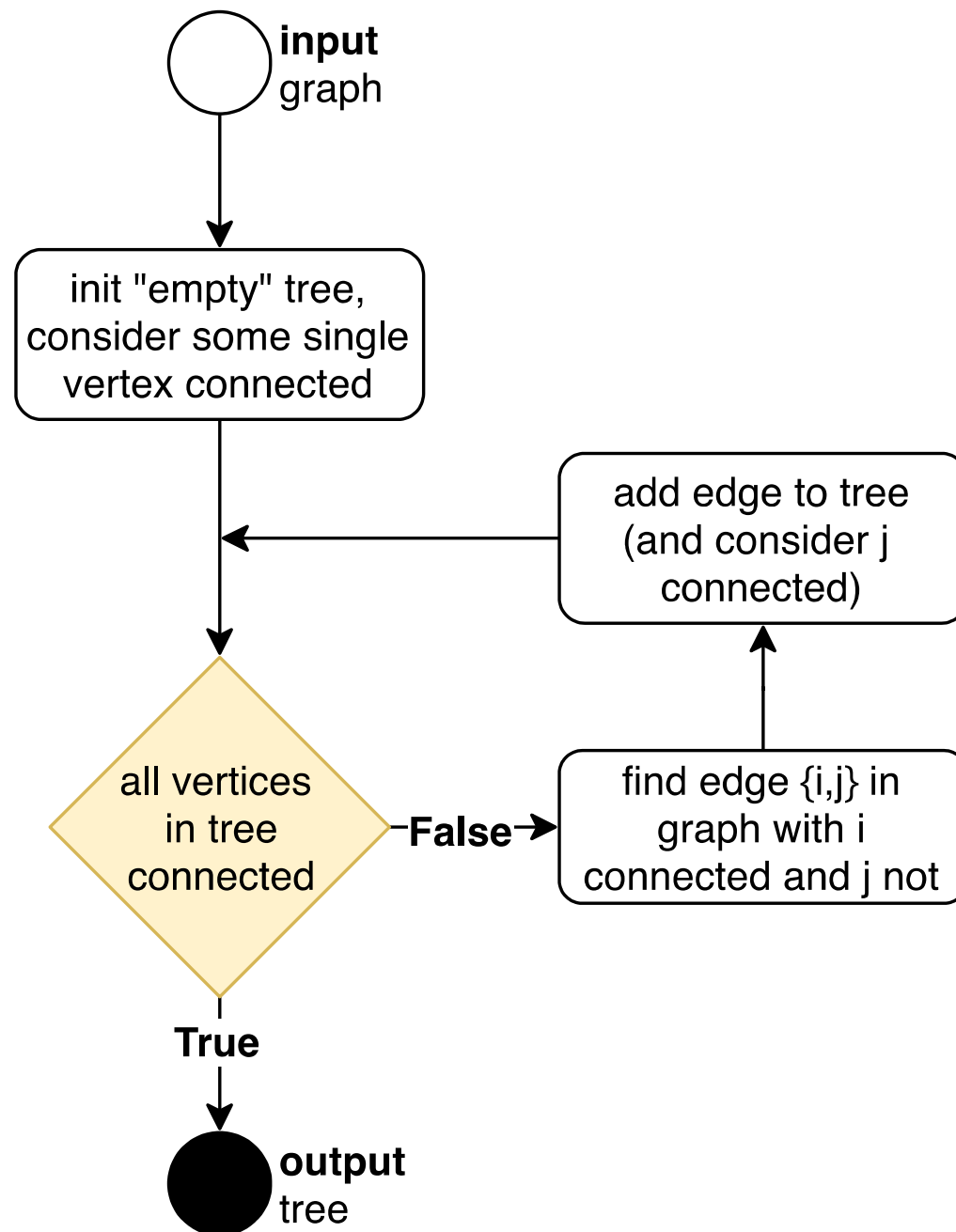
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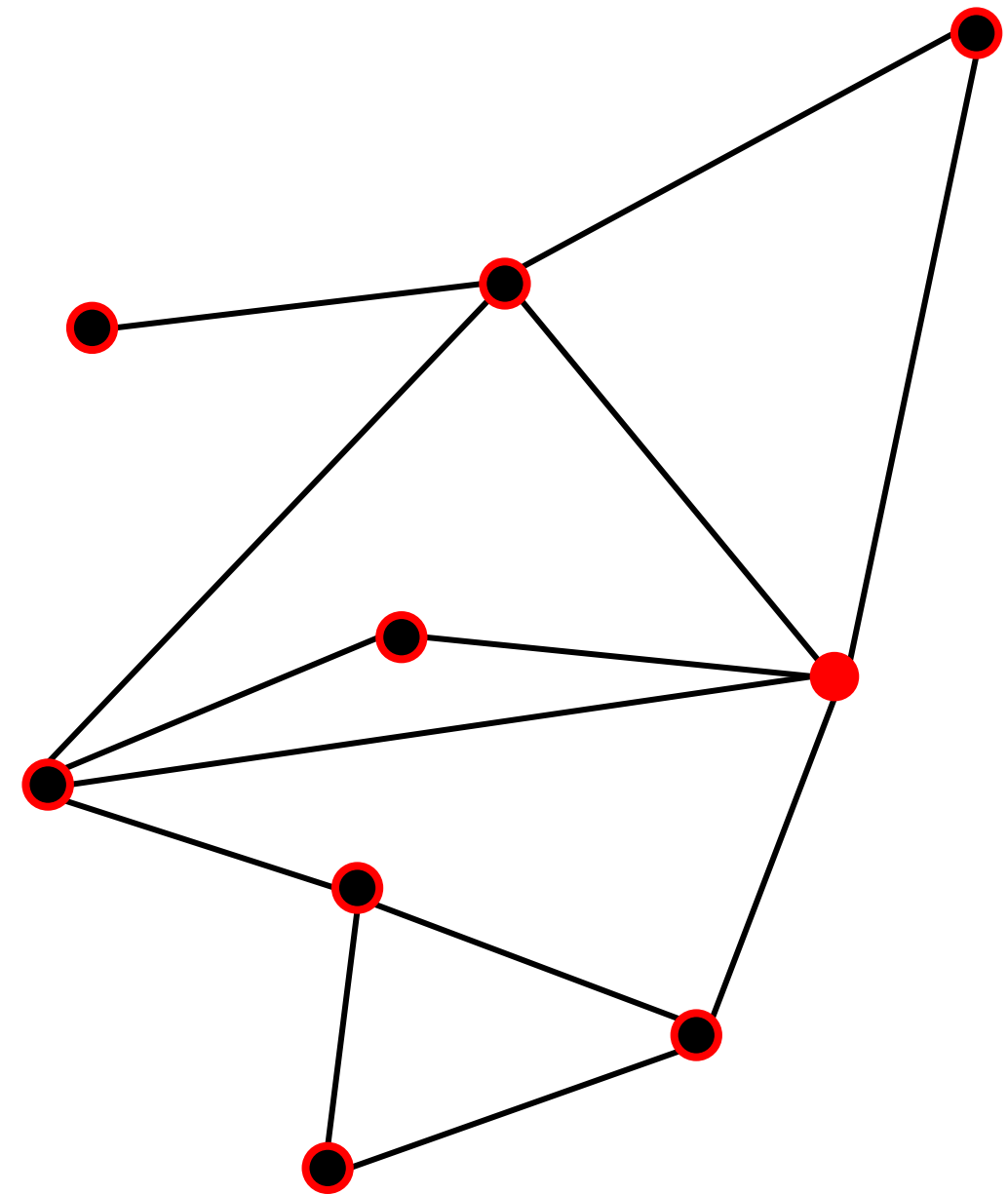
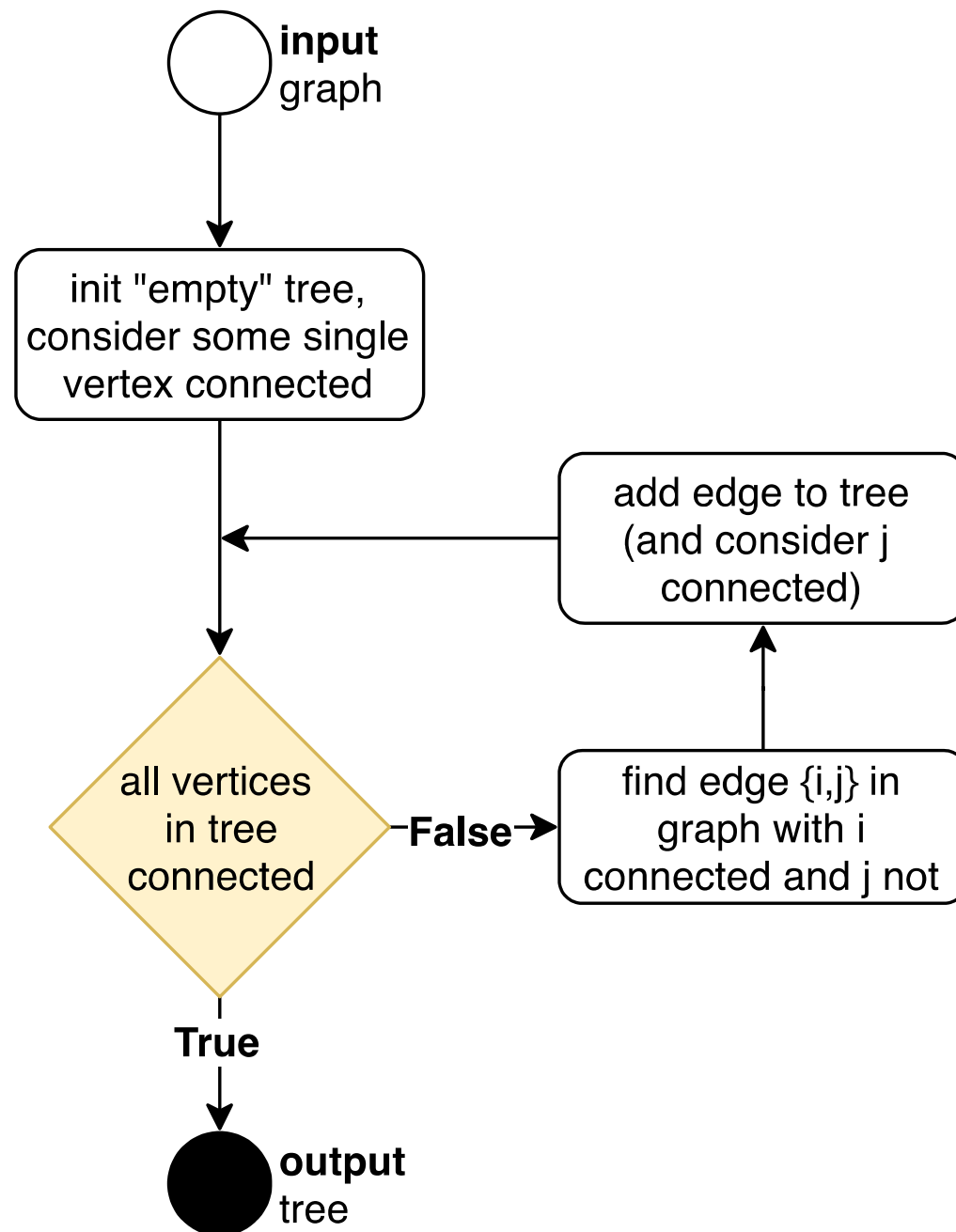
Prim's algorithm for finding a spanning tree



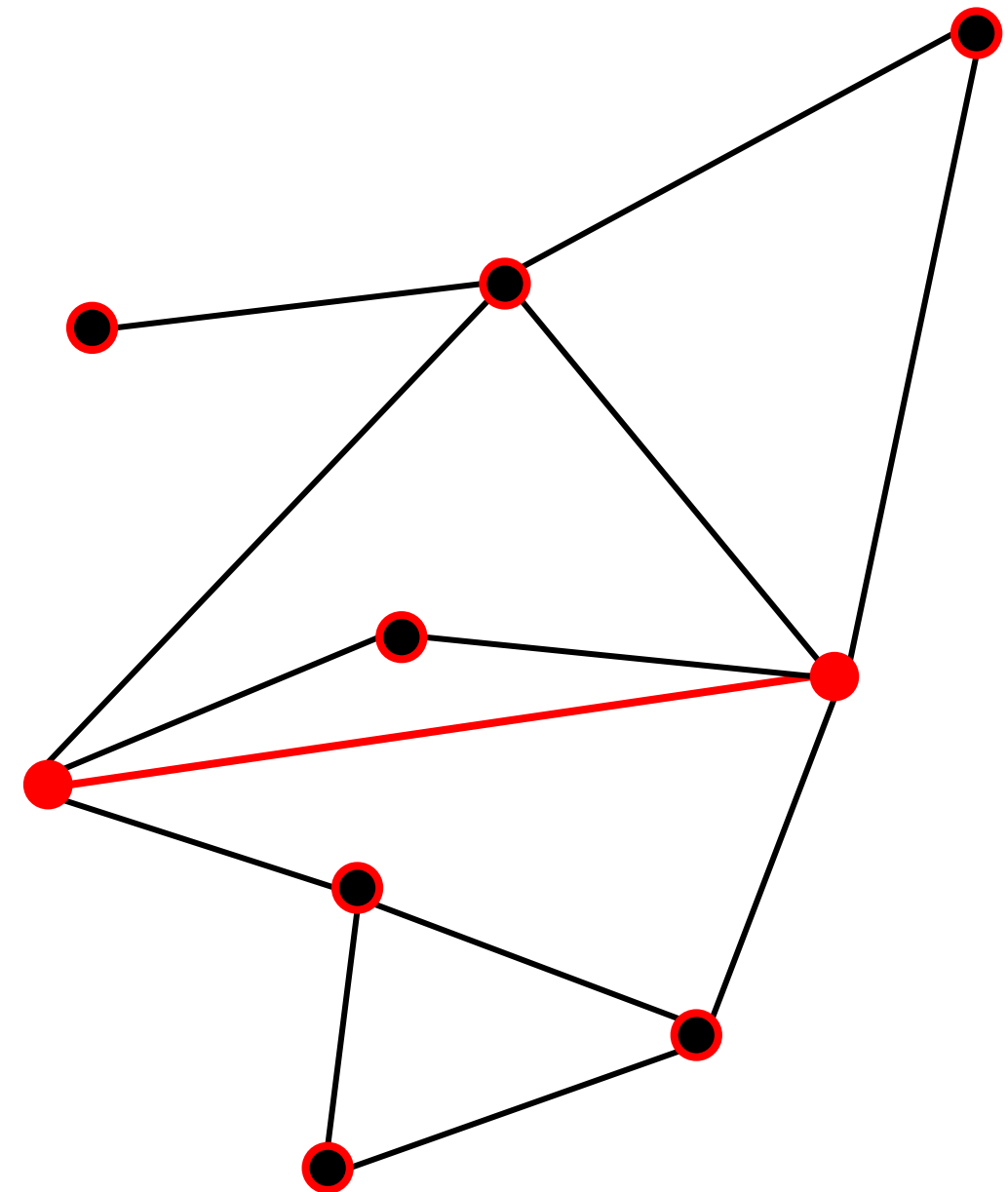
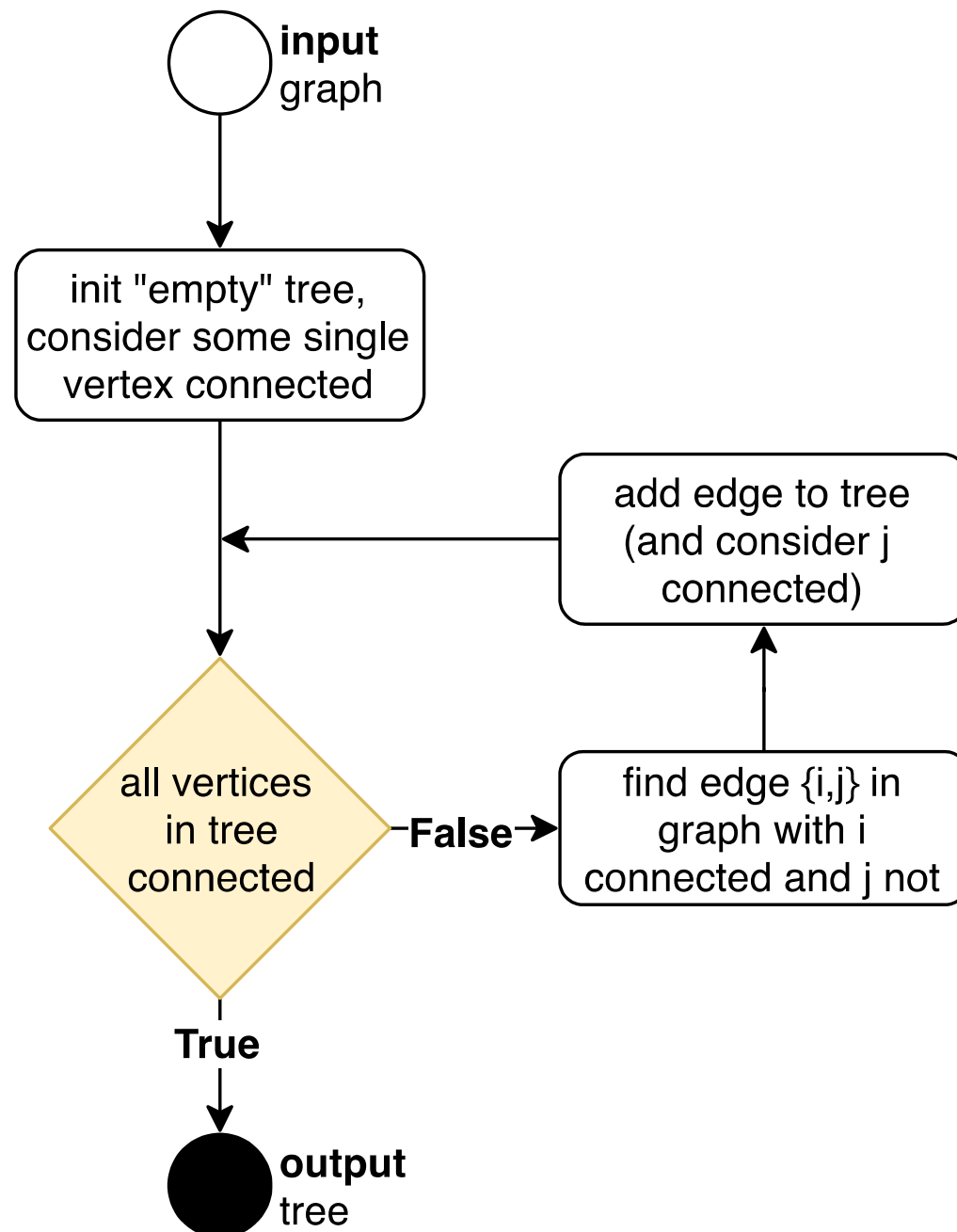
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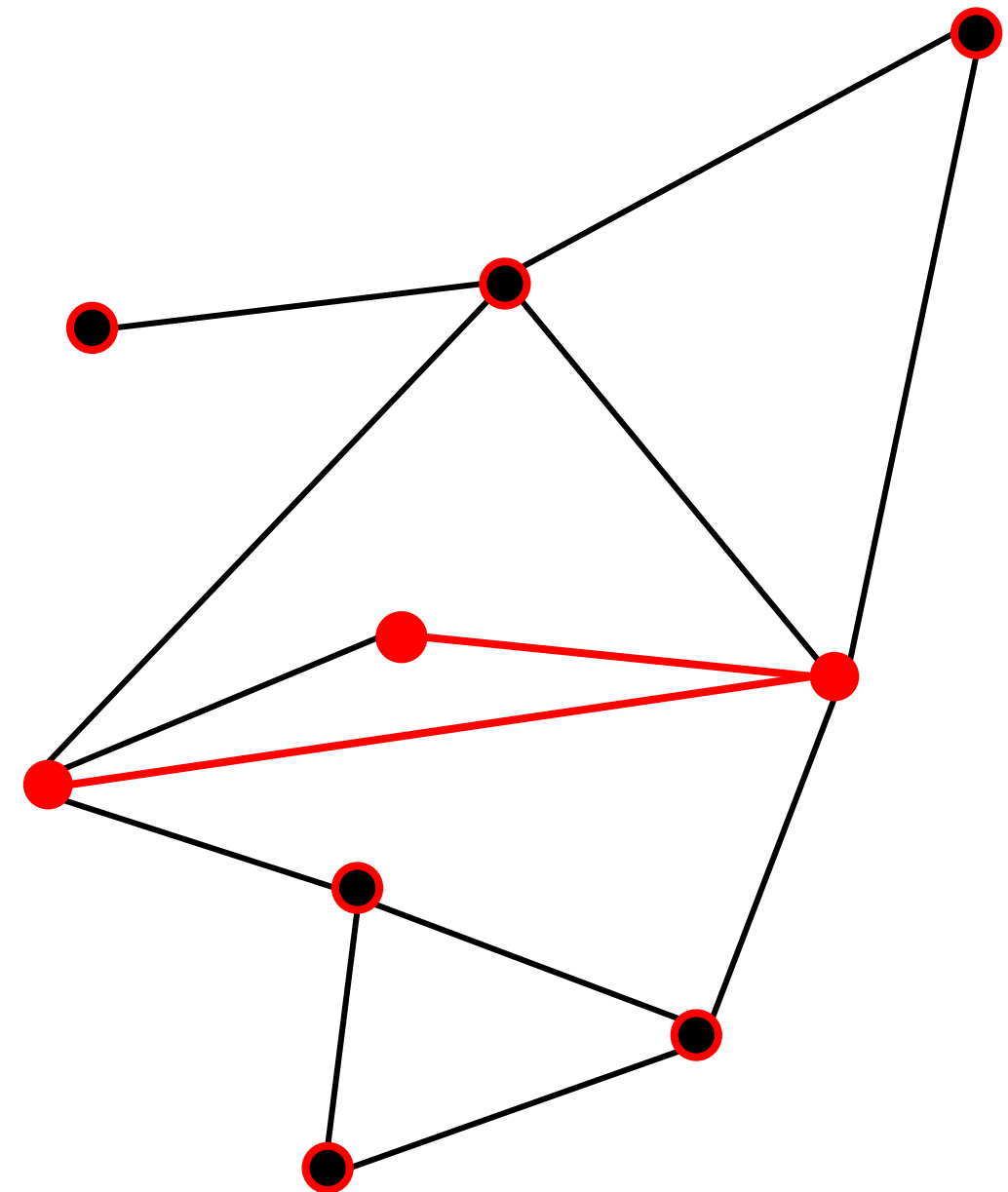
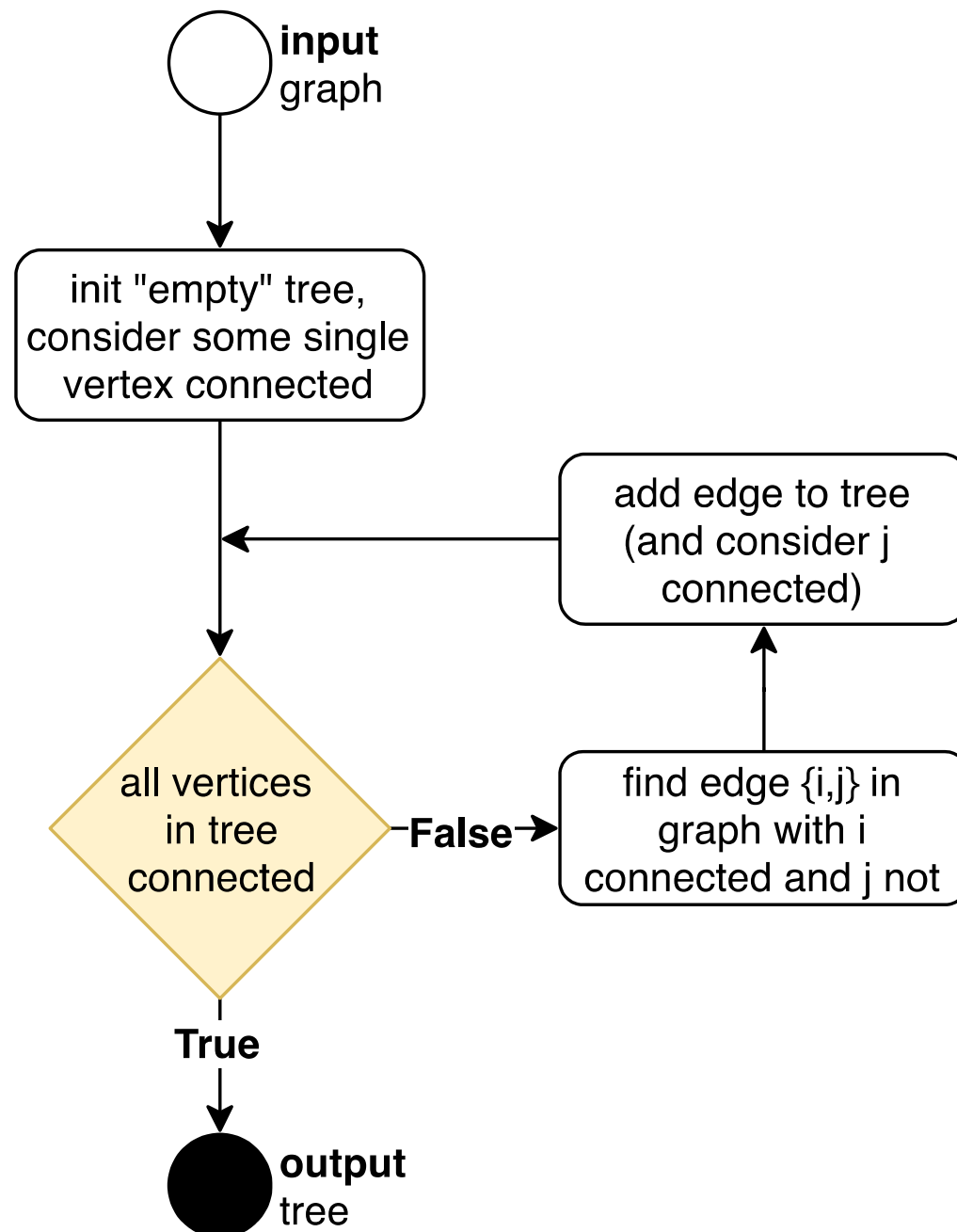
Prim's algorithm for finding a spanning tree



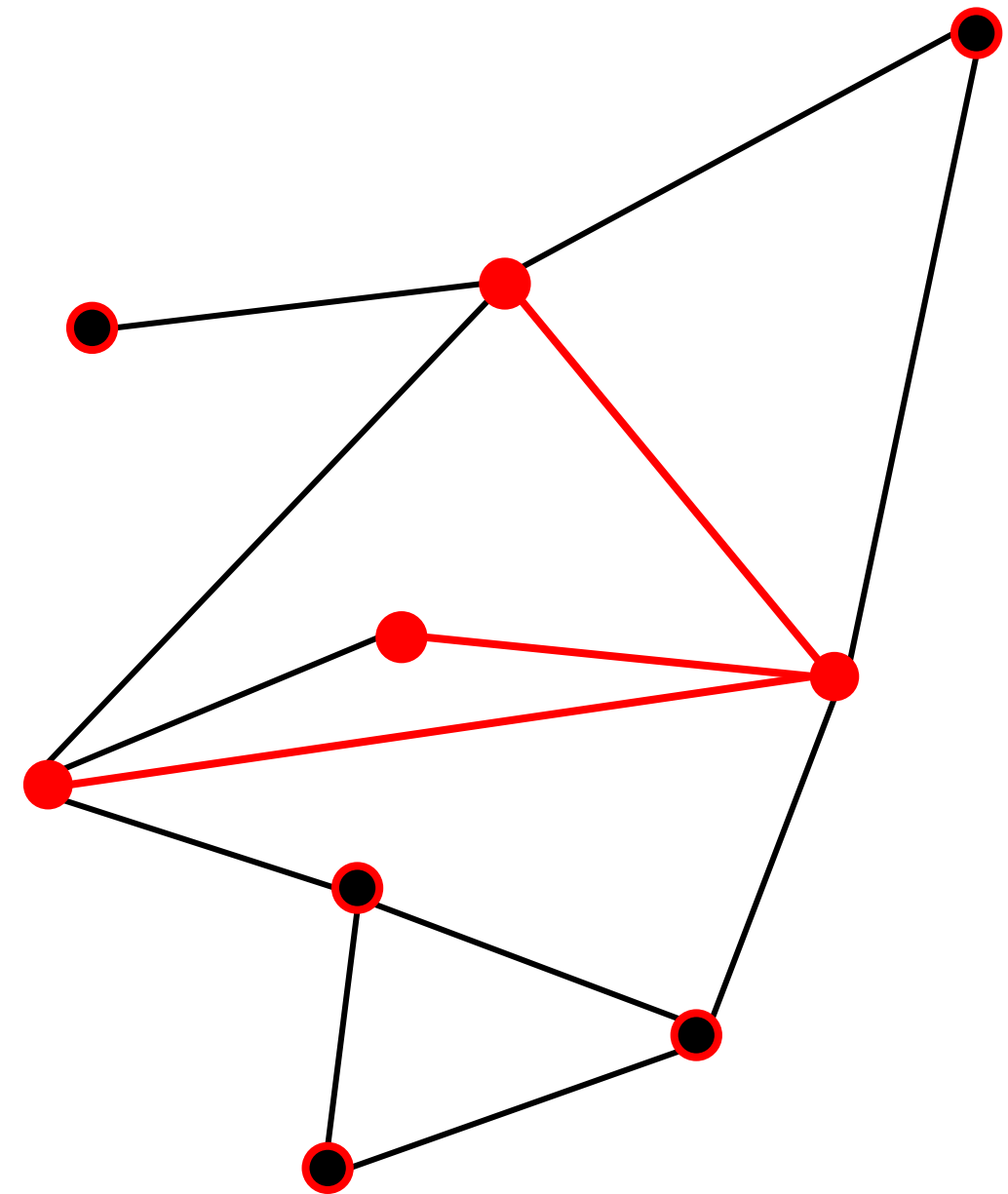
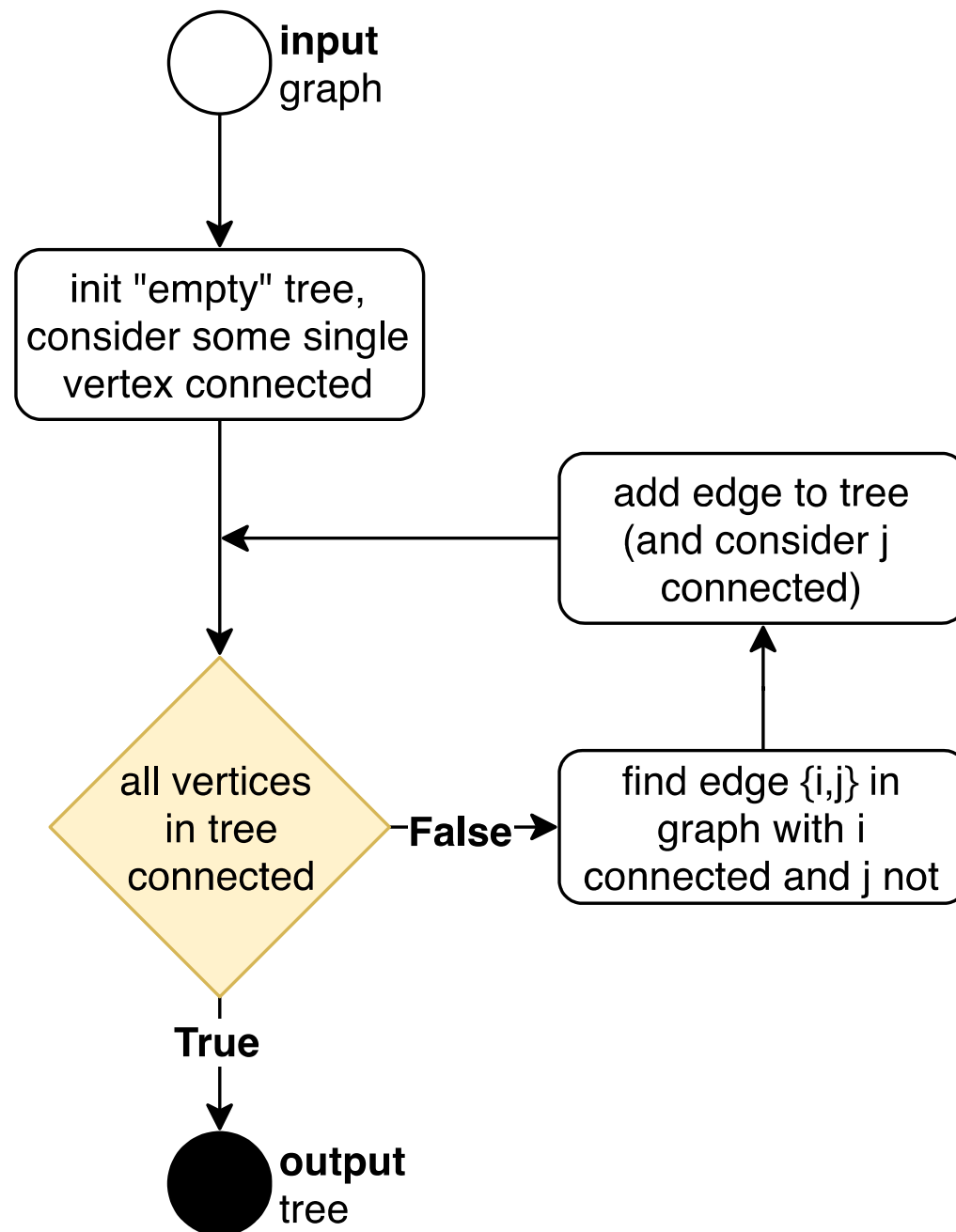
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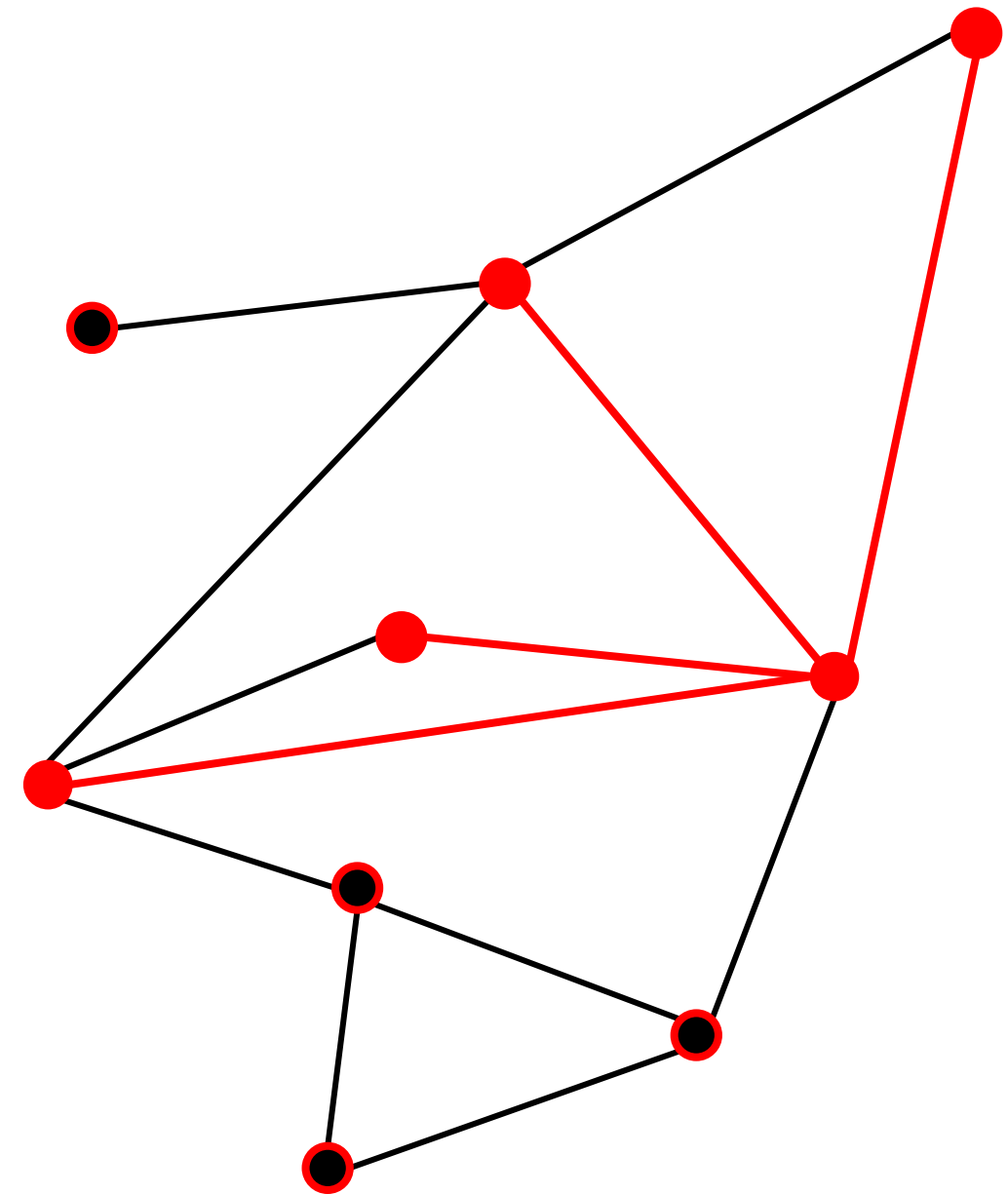
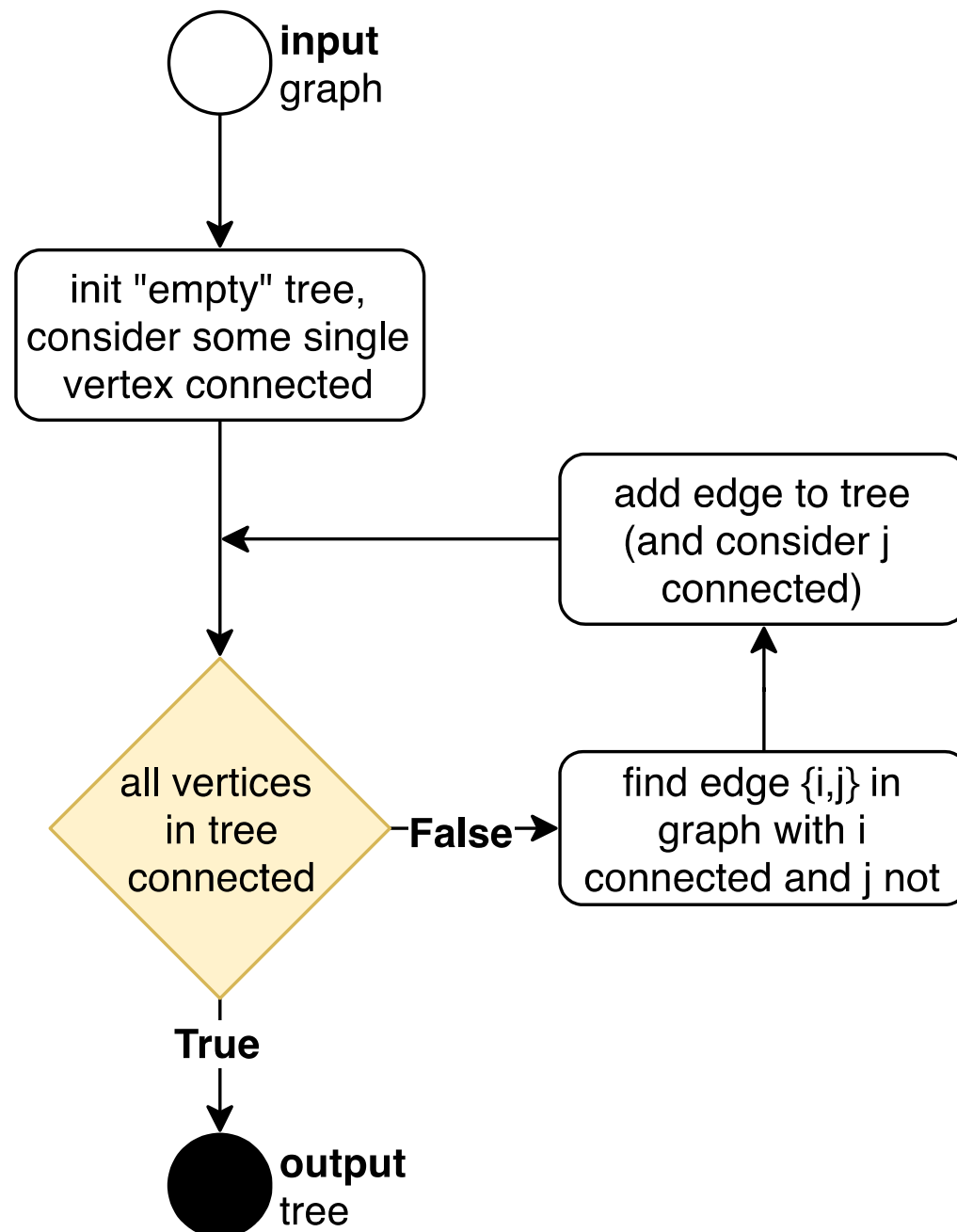
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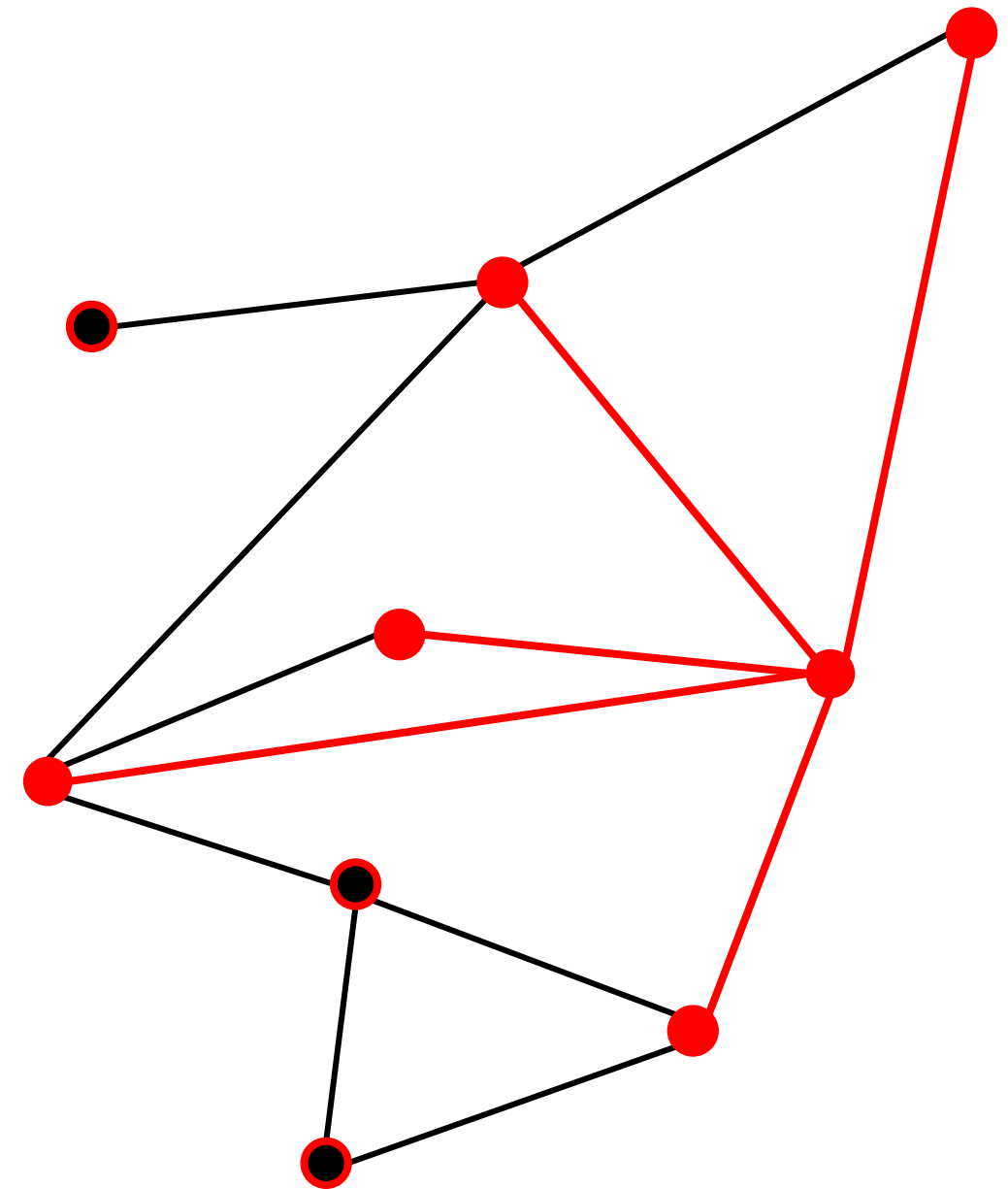
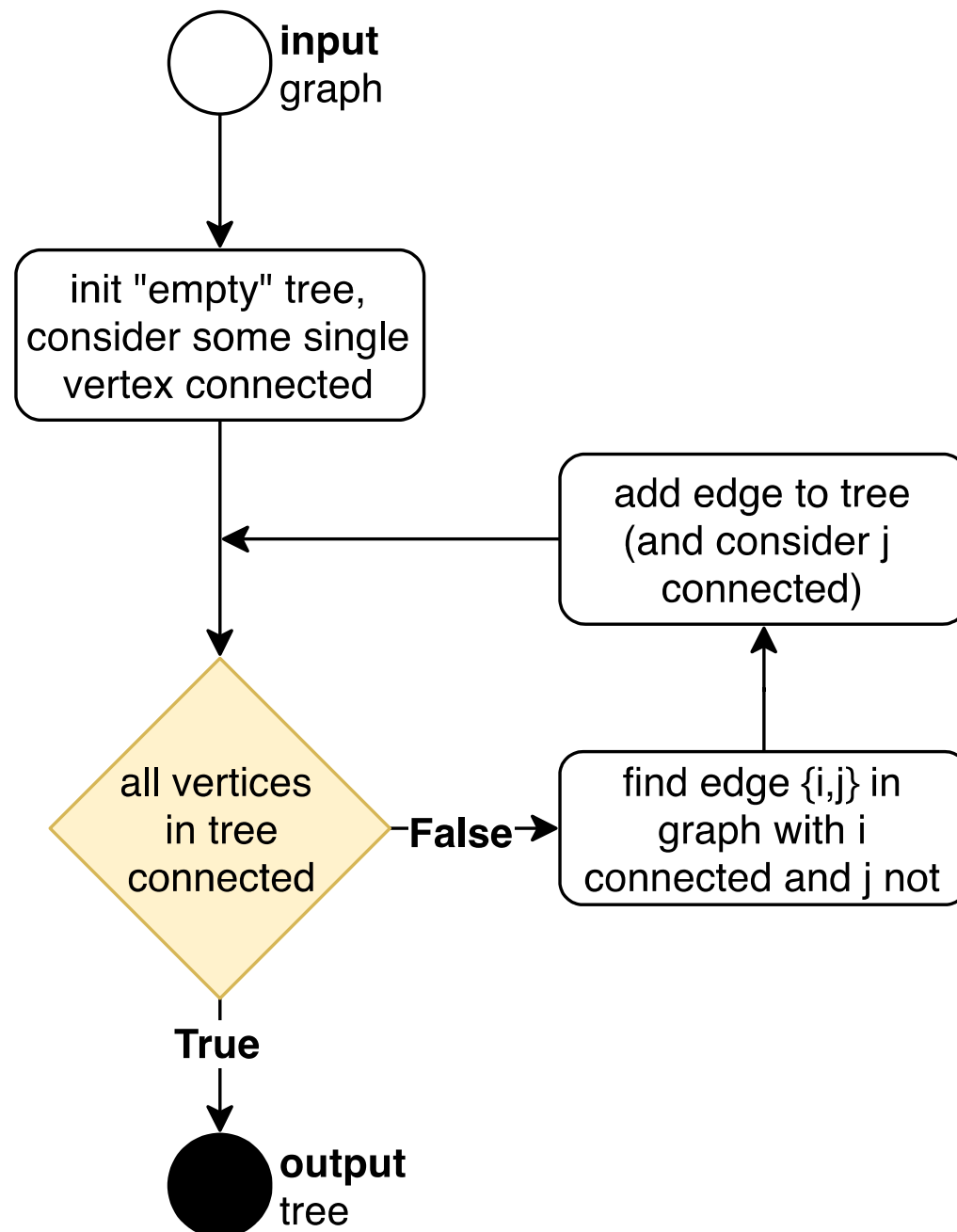
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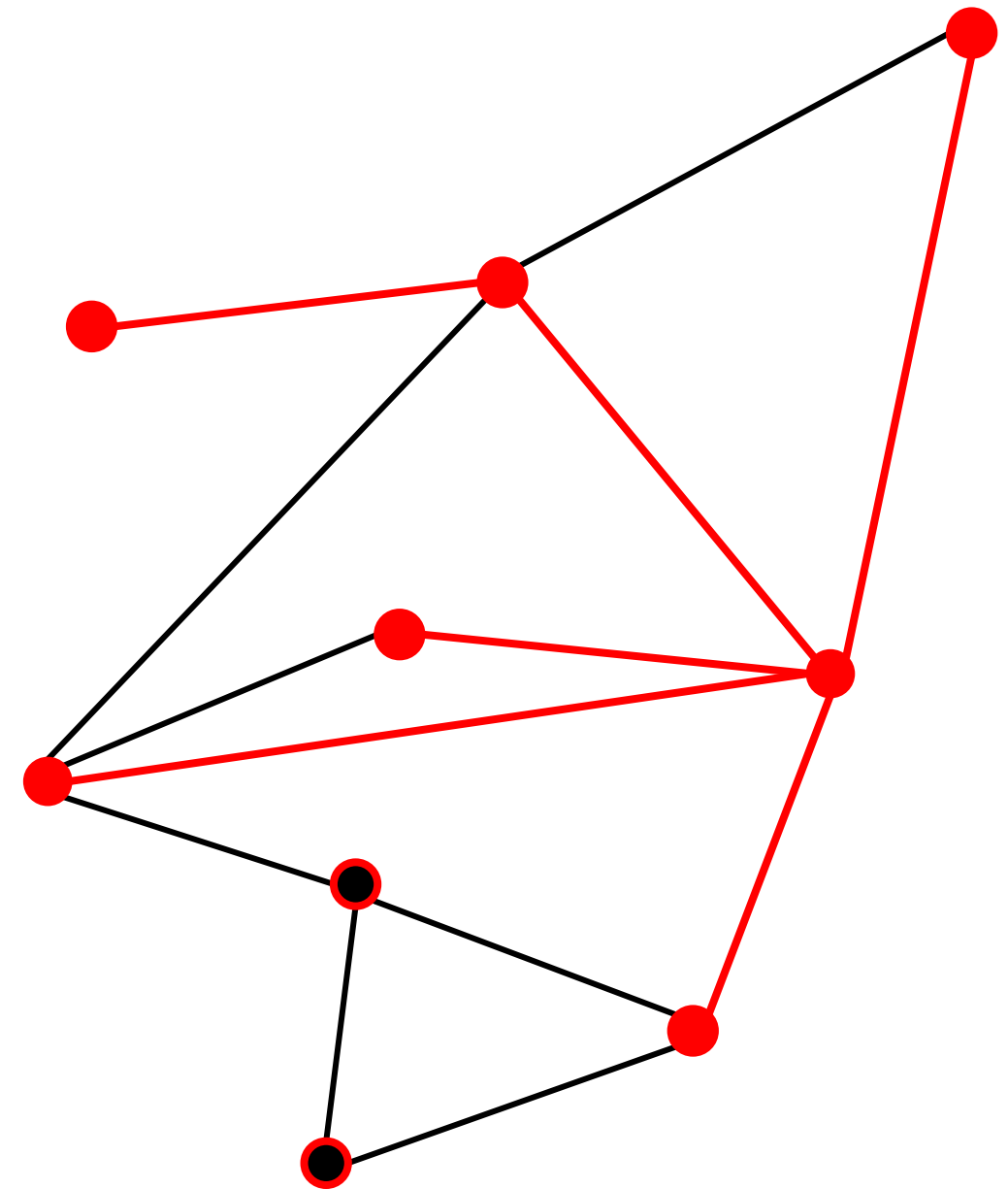
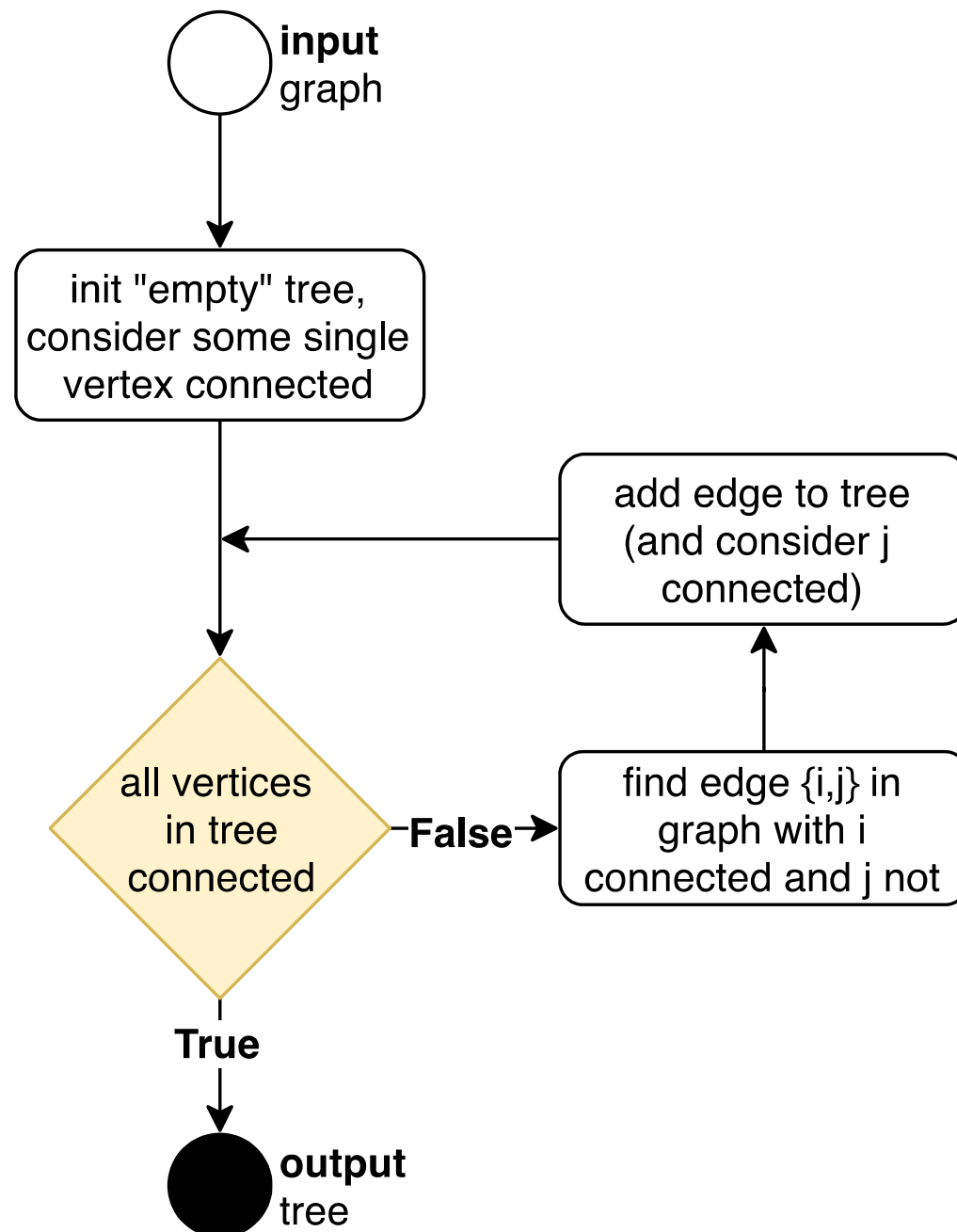
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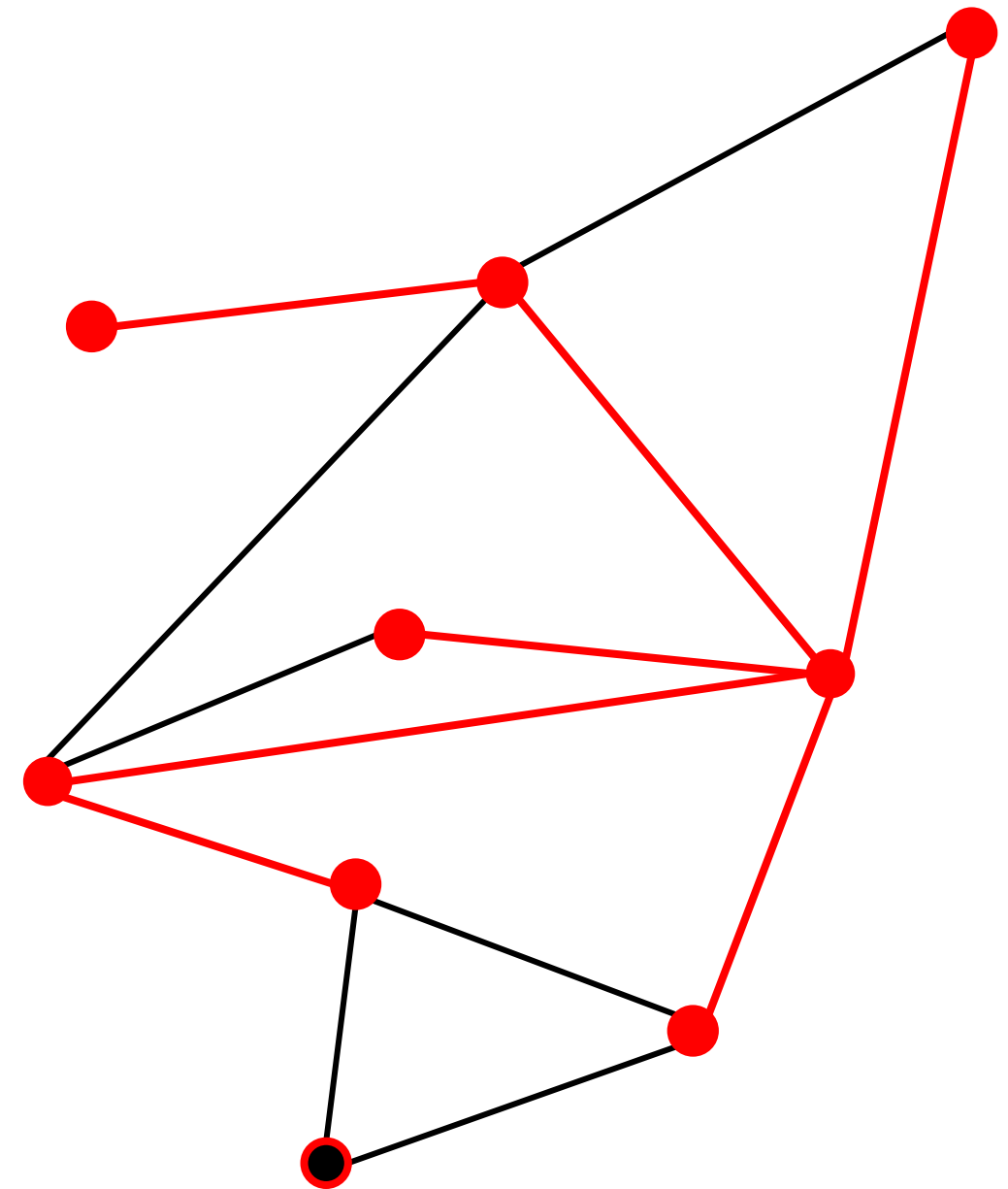
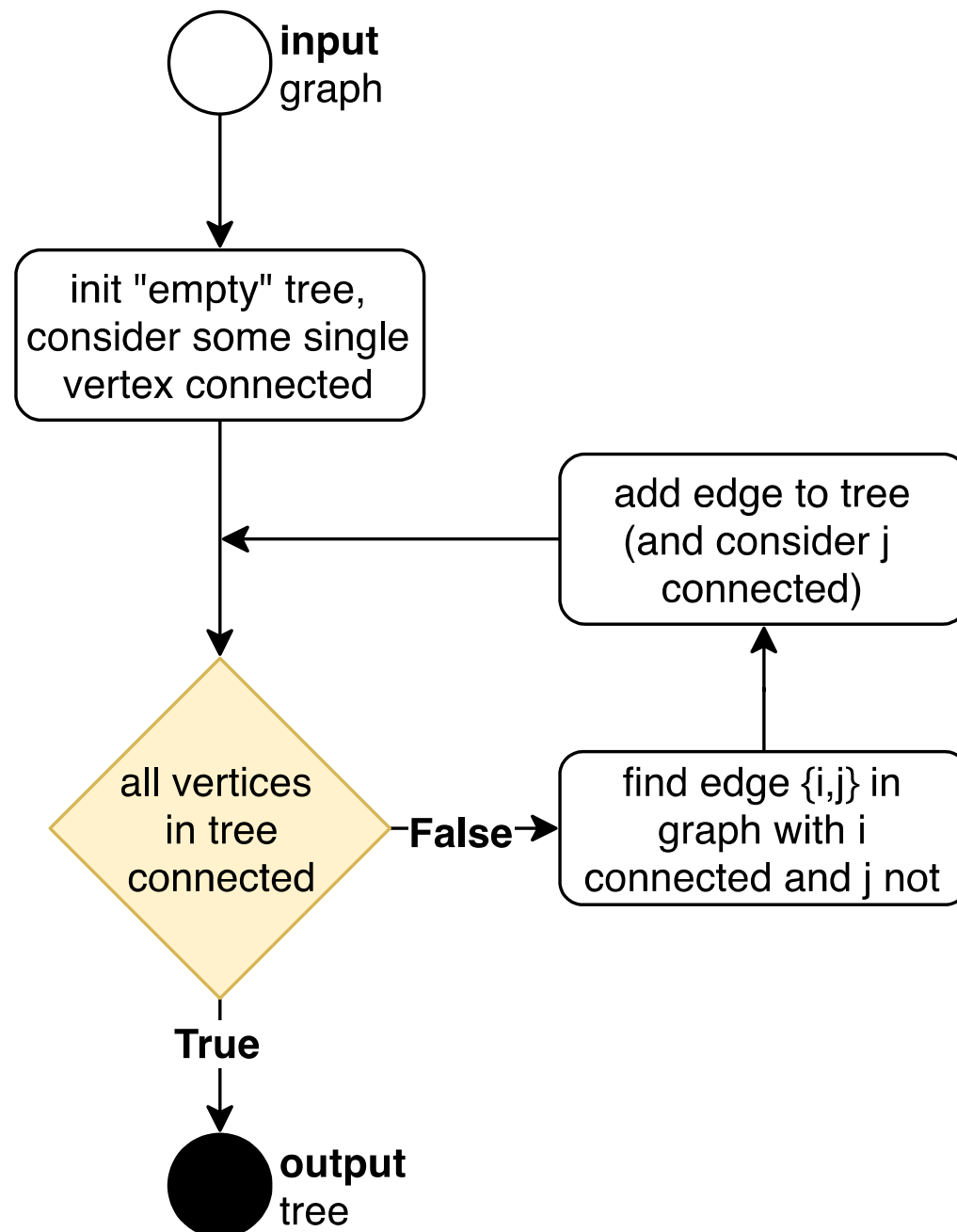
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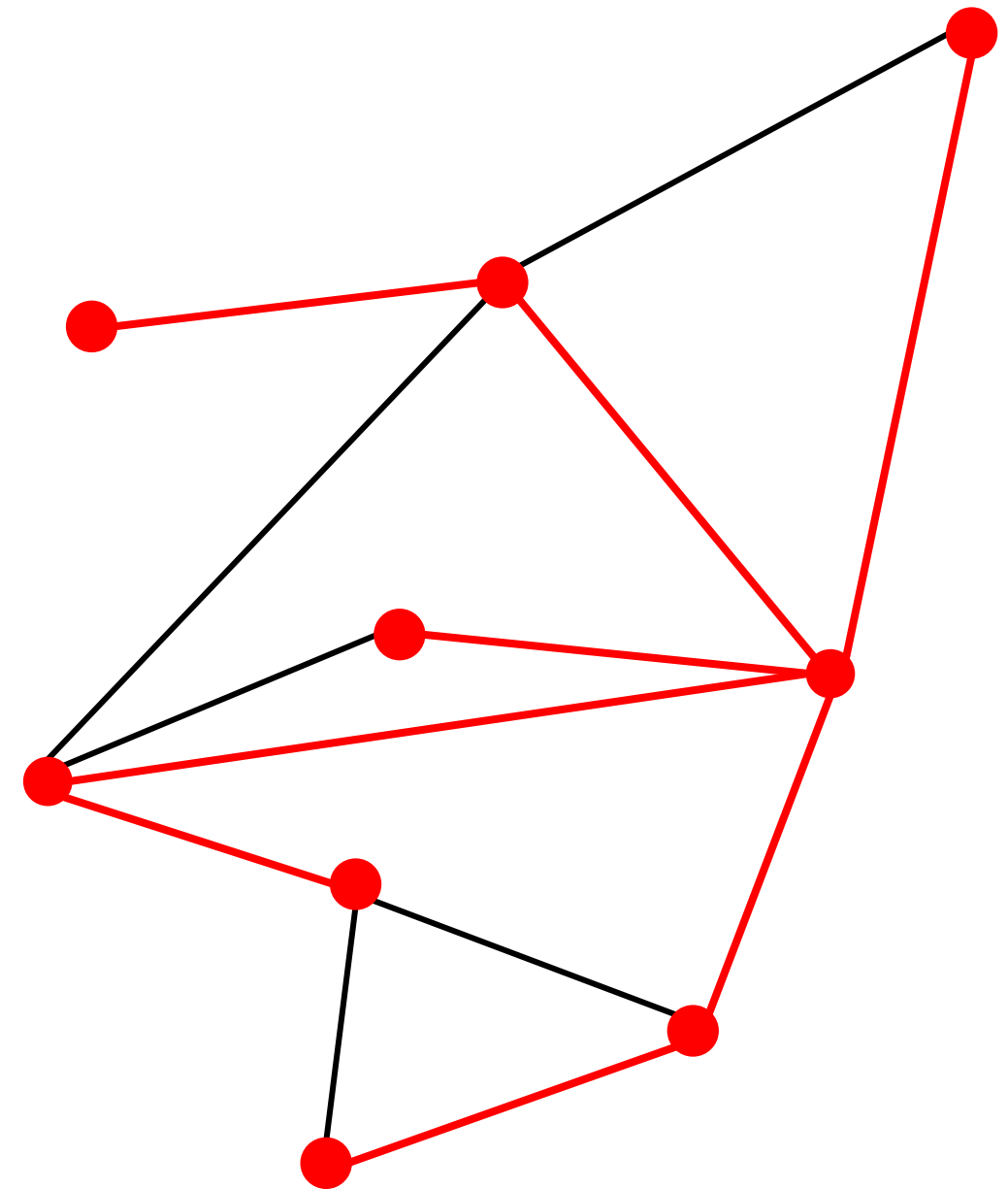
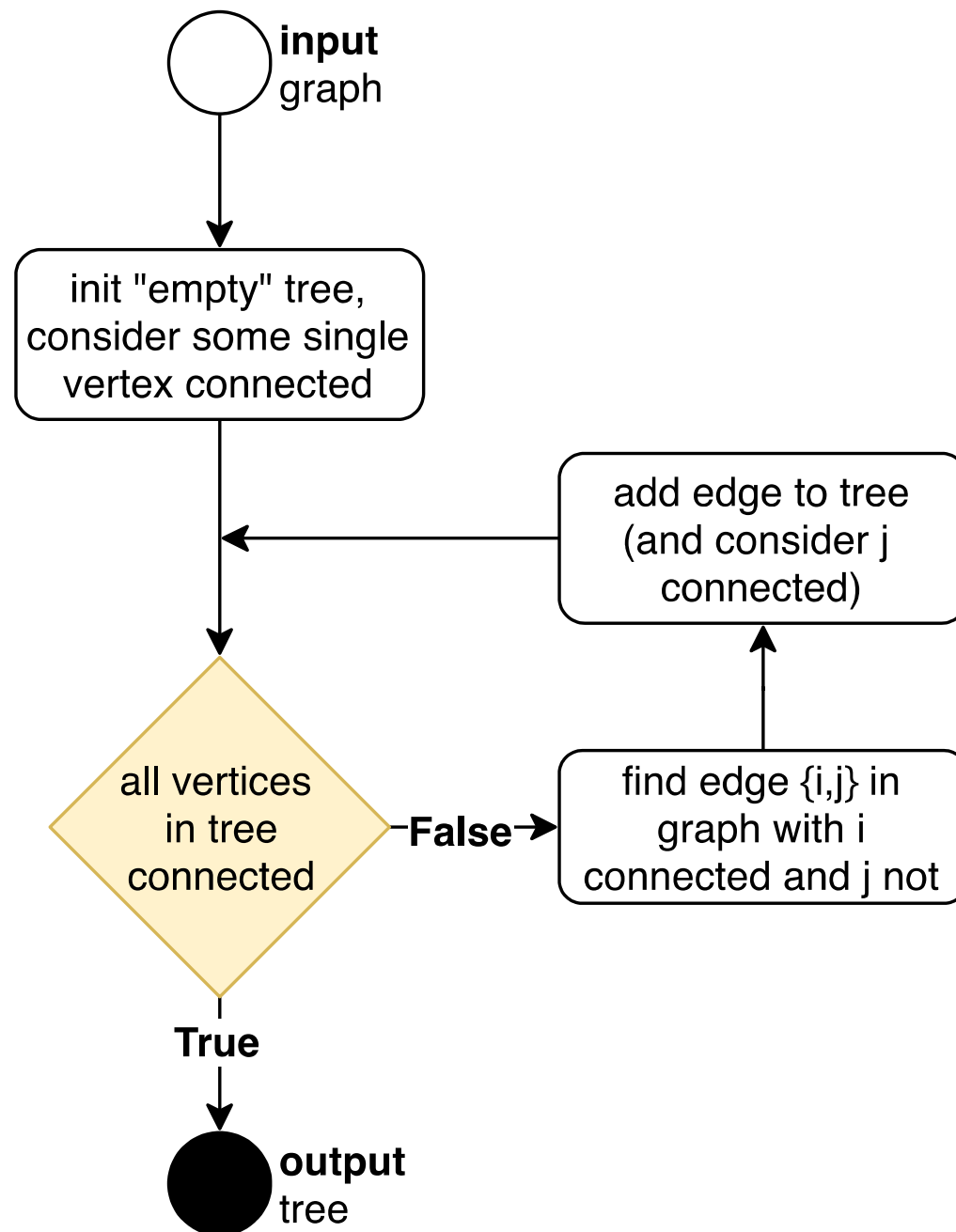
Prim's algorithm for finding a spanning tree



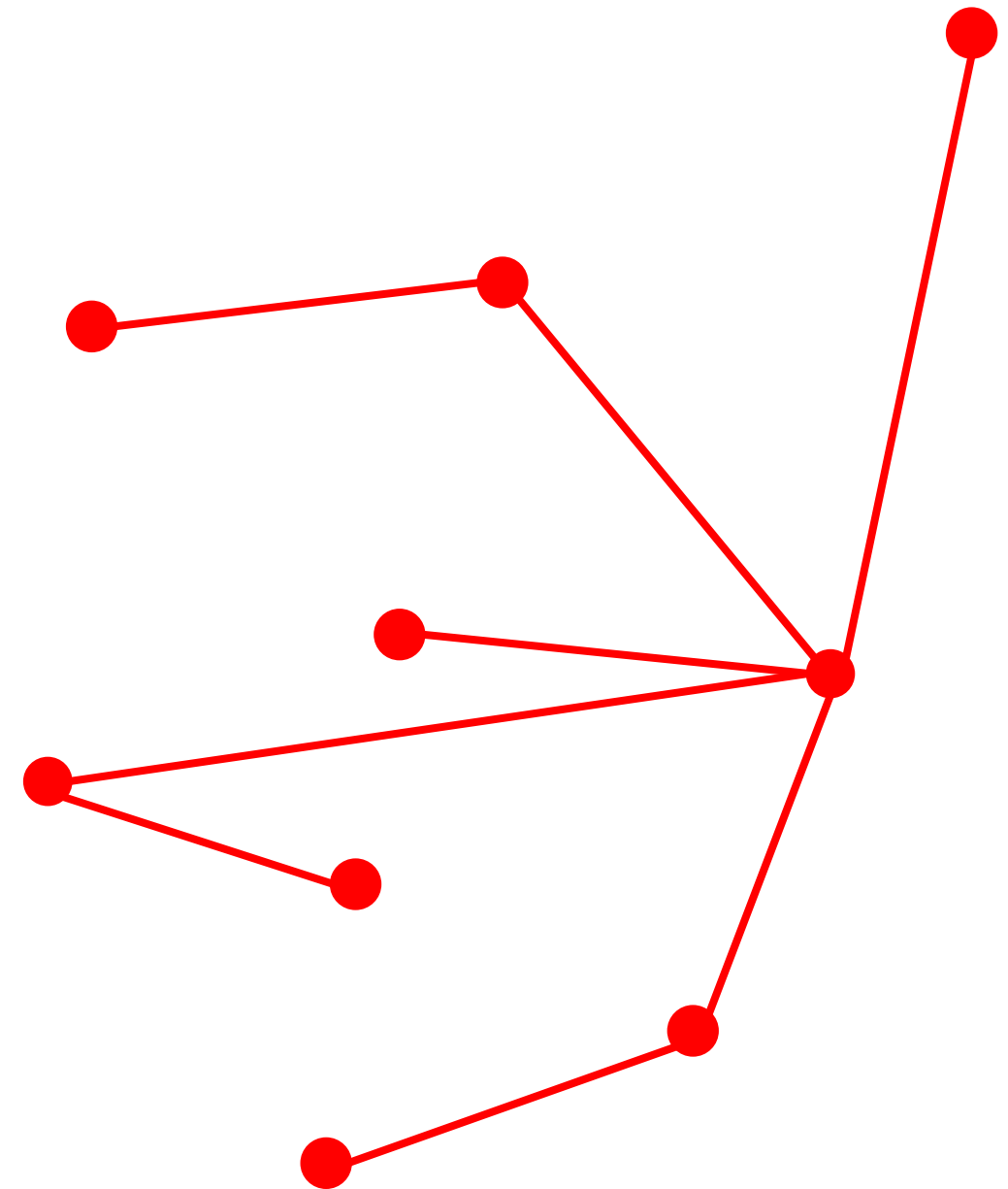
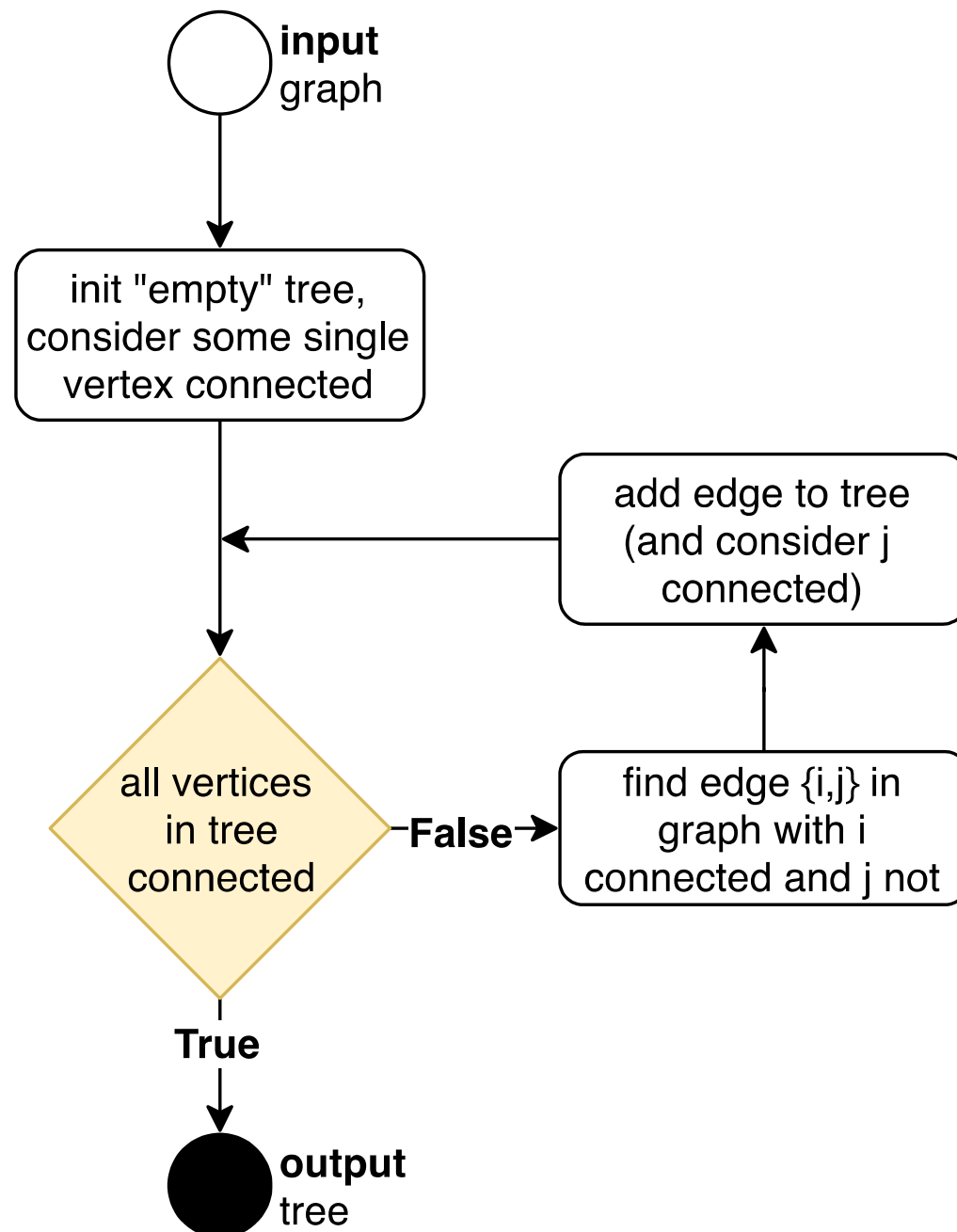
Prim's algorithm for finding a spanning tree



Prim's algorithm for finding a spanning tree



Prim's algorithm for finding a spanning tree




Prim's algorithm in Python

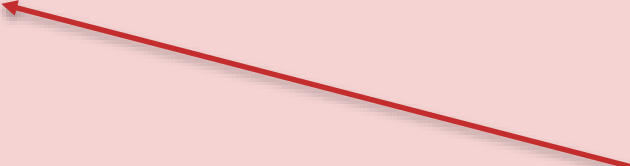
```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""
```

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)
```



initialise result
accumulation variable




have to implement
function that creates n-
by-n adjacency matrix
with all entries zero

```
    return tree
```

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}
```



auxiliary accumulation
variable that keeps
track of already
connected vertices


```
    return tree
```

Prim's algorithm in Python

```
def spanning_tree(graph):
    """Input : adjacency matrix of graph
       Output: adj. mat. of spanning tree of graph"""
    n = len(graph)
    tree = empty_graph(n)
    conn = {0}
    while len(conn) < n:

    return tree
```

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        for i in conn:  
            for j in range(n):  
                 iterate over all possible  
                "extension edges"  
    return tree
```

Prim's algorithm in Python


```
def spanning_tree(graph):
    """Input : adjacency matrix of graph
       Output: adj. mat. of spanning tree of graph"""
    n = len(graph)
    tree = empty_graph(n)
    conn = {0}
    while len(conn) < n:
        for i in conn:
            for j in range(n):
                if j not in conn and graph[i][j]==1:
                    # check if found an extension edge
                    conn.add(j)
    return tree
```

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        for i in conn:  
            for j in range(n):  
                if j not in conn and graph[i][j]==1:  
                    tree[i][j] = 1  
                    tree[j][i] = 1  
                    conn = conn.add(j)  
    return tree
```

add found edge
to result adj. mat.
and newly
connected vertex
to conn

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        for i in conn:  
            for j in range(n):  
                if j not in conn and graph[i][j]==1:  
                    tree[i][j] = 1  
                    tree[j][i] = 1  
                    conn = conn.add(j)  
                      
                    now want to  
                    jump back to  
                    head of while-  
                    loop  
    return tree
```

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        for i in conn:  
            for j in range(n):  
                if j not in conn and graph[i][j]==1:  
                    tree[i][j] = 1  
                    tree[j][i] = 1  
                    conn = conn.add(j)  
            break  
    return tree
```

single break
statement only
gets us back to
start of first for-
loop

Prim's algorithm in Python

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        found = False  
        for i in conn:  
            for j in range(n):  
                if j not in conn and graph[i][j]==1:  
                    tree[i][j] = 1  
                    tree[j][i] = 1  
                    conn = conn.add(j)  
                    found = True  
                    break  
        if found:  
            break  
    return tree
```

Where am I?

1. Graphs
2. Trees and Spanning Trees
3. Prims algorithm (simplified)
4. *Problem decomposition (if time left)*

Decomposition...

...can be thought of from two perspectives:

1. Breaking down programs into sub-programs (components)
2. Breaking down problems into sub-problems

...is ***most useful if two views coincide***, i.e., sub-programs correspond to sub-problems

- structures thinking/attention for developing algorithms and *reasoning* about programs
- leads to *re-usable components* (because they solve a well-defined problem)

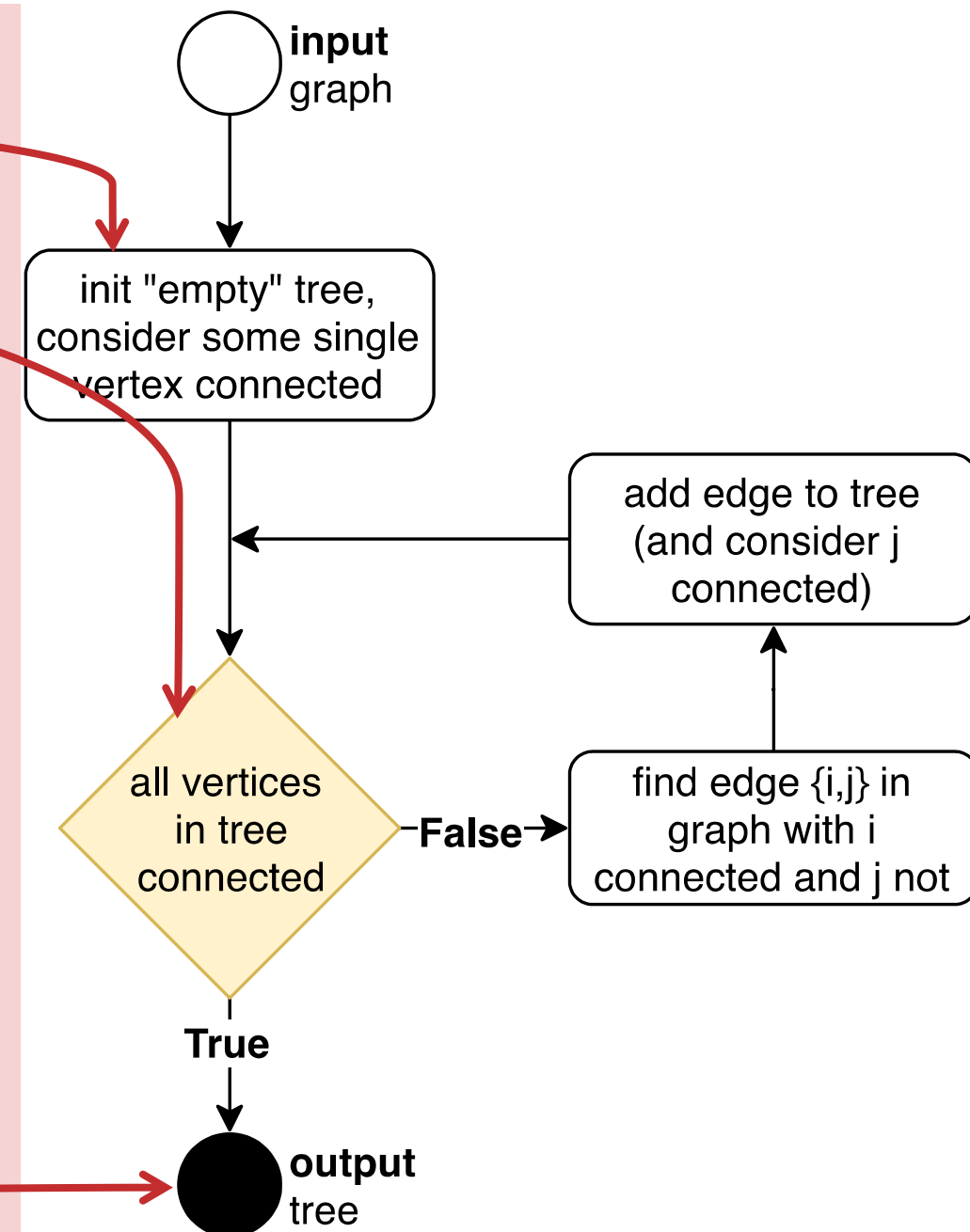
Our main tool for decomposition are **functions**!

Prim's algorithm in Python – decomposition edition

```
def spanning_tree(graph):  
    """Input : adjacency matrix of graph  
       Output: adj. mat. of spanning tree of graph"""  
    n = len(graph)  
    tree = empty_graph(n)  
    conn = {0}  
    while len(conn) < n:  
        found = False  
        for i in conn:  
            for j in range(n):  
                if j not in conn and graph[i][j]==1:  
                    tree[i][j] = 1  
                    tree[j][i] = 1  
                    conn = conn.add(j)  
                    found = True  
                    break  
        if found:  
            break  
    return tree
```

How did simple flowchart turn into complicated code?

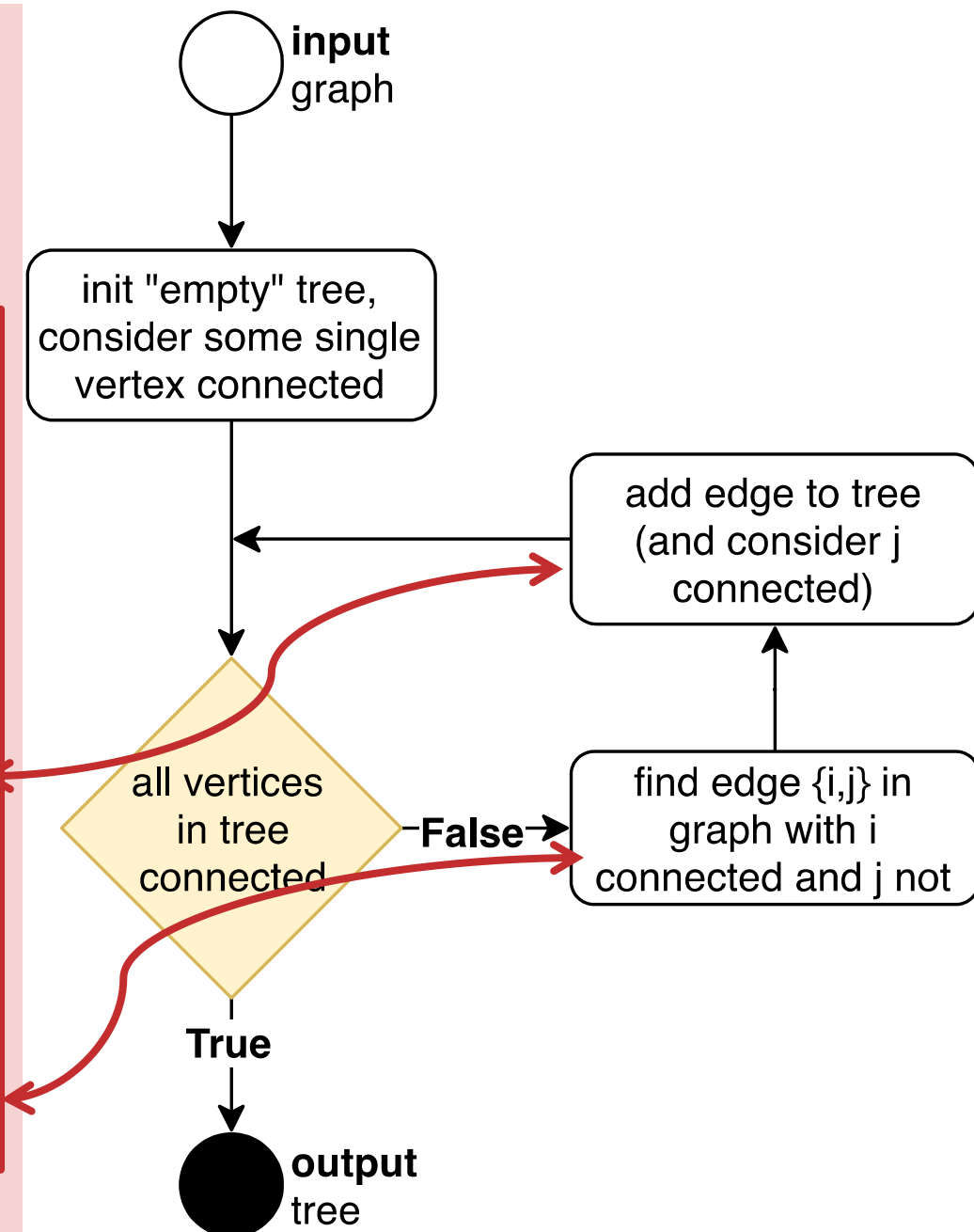
```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    found = False
    for i in conn:
        for j in range(n):
            if j not in conn and \
graph[i][j]==1:
                tree[i][j] = 1
                tree[j][i] = 1
                conn = conn.add(j)
                found = True
                break
        if found:
            break
return tree
```



Some lines can be cleanly mapped to high-level instructions

How did simple flowchart turn into complicated code?

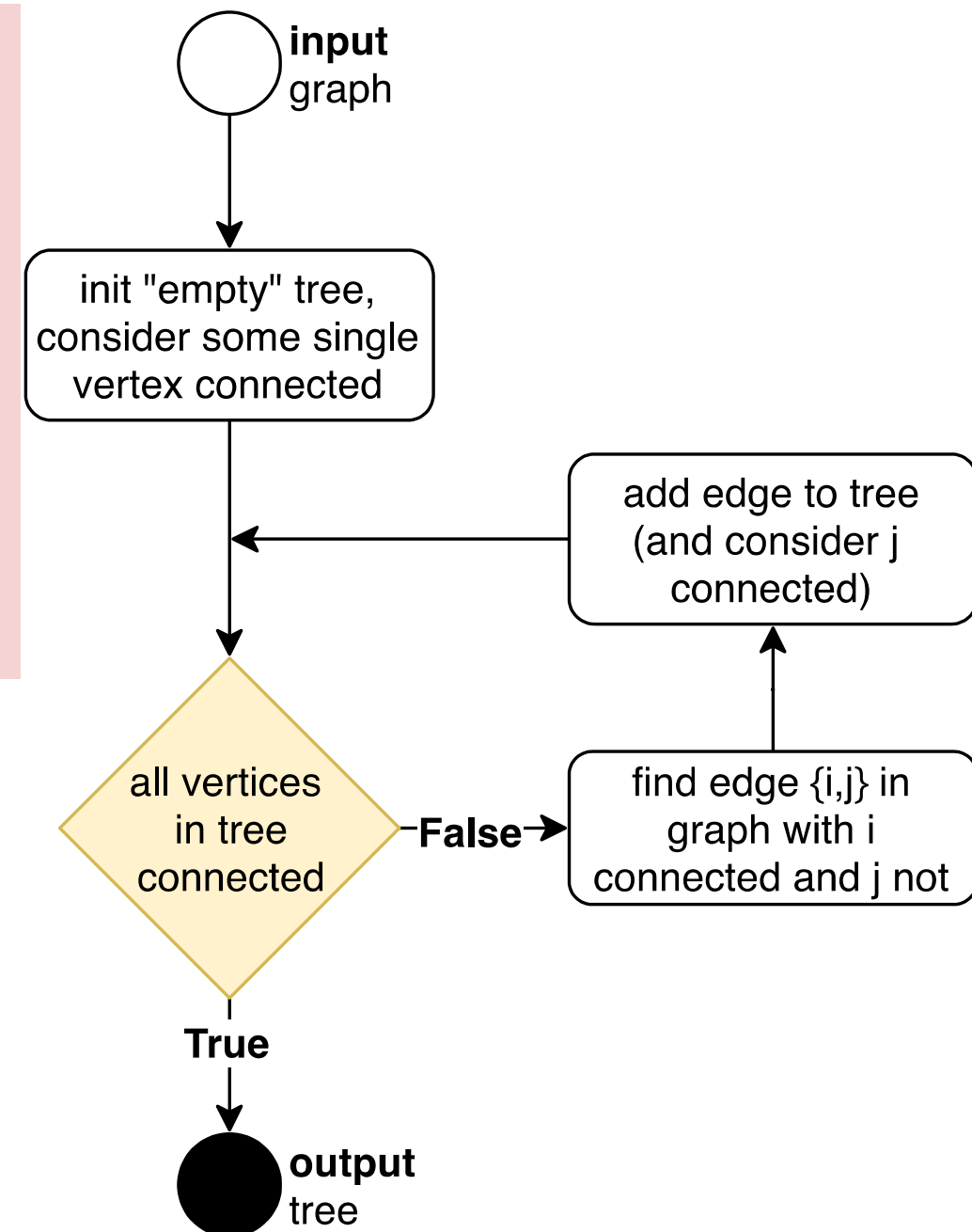
```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    found = False
    for i in conn:
        for j in range(n):
            if j not in conn and \
graph[i][j]==1:
                tree[i][j] = 1
                tree[j][i] = 1
                conn = conn.add(j)
                found = True
                break
        if found:
            break
    return tree
```



Identification of extension edge is not separated from its addition

Decomposition: factor out extension edge identification

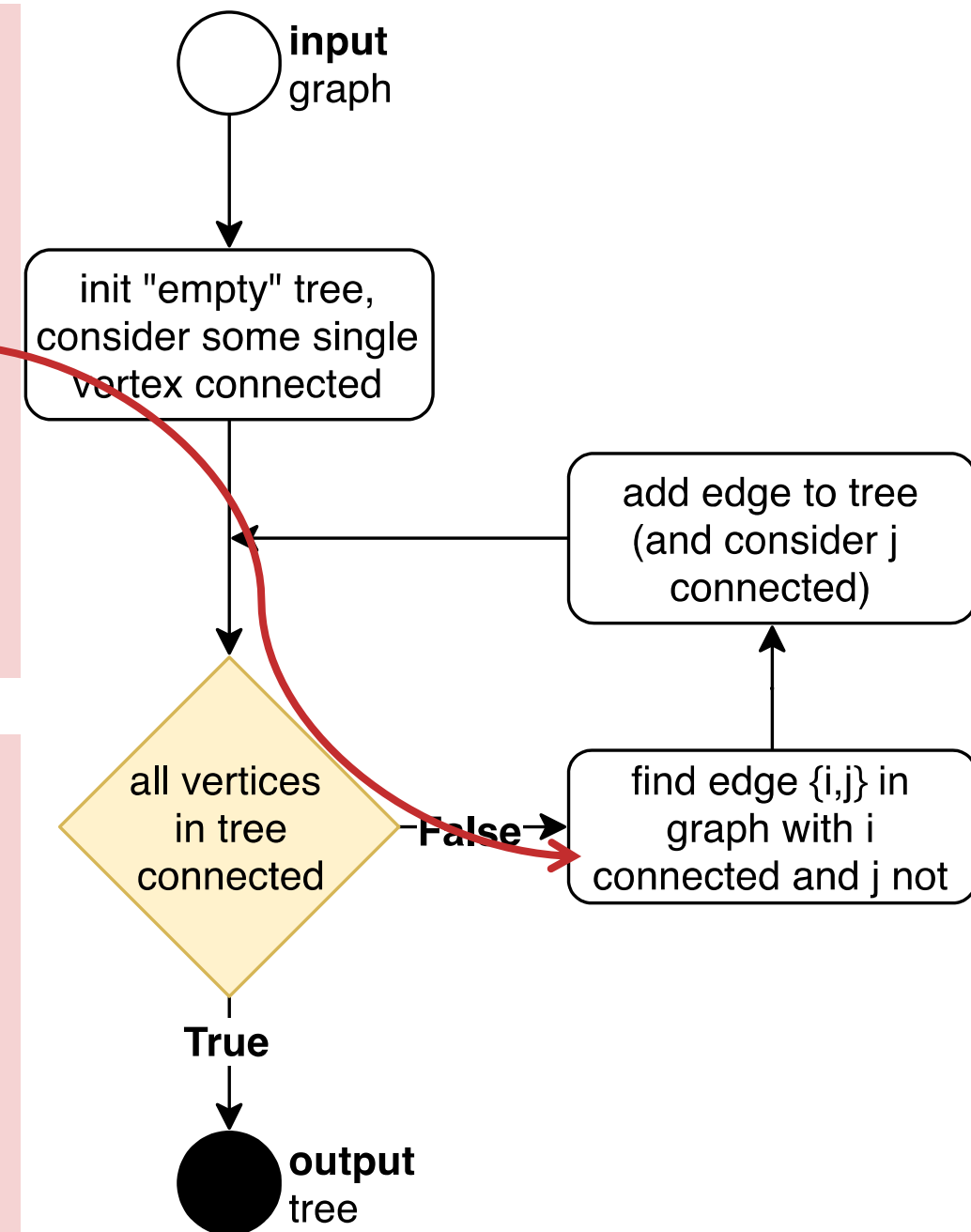
```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    return tree
```



Decomposition: factor out extension edge identification

```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    i, j = extension(conn, graph)
return tree
```

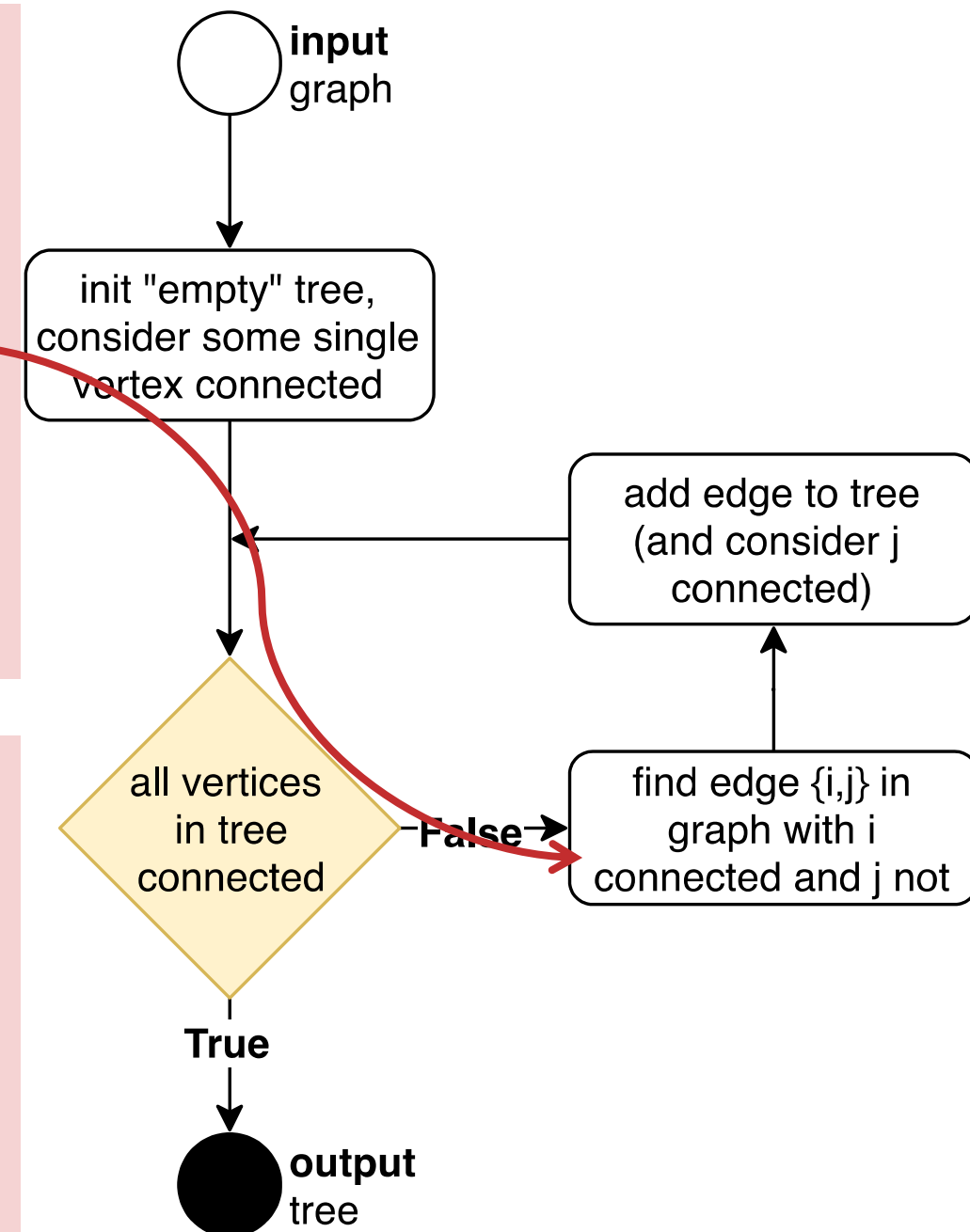
```
def extension(c, g):
    """I: connect. vertices, graph
    O: extension edge (i, j)"""
```



Decomposition: factor out extension edge identification

```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    i, j = extension(conn, graph)
return tree
```

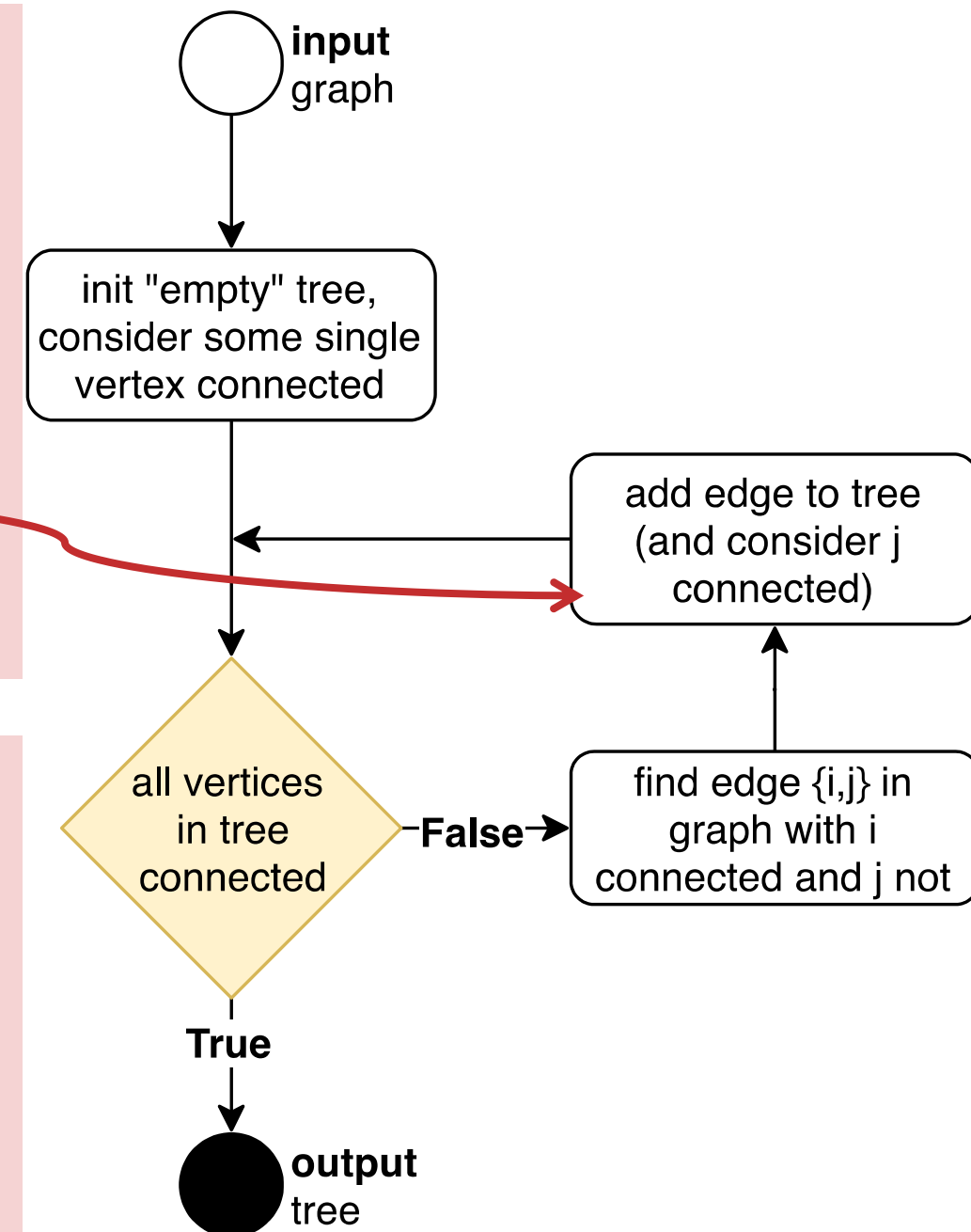
```
def extension(c, g):
    """I: connect. vertices, graph
       O: extension edge (i, j)"""
    n = len(g)
    for i in c:
        for j in range(n):
            if j not in c \
                and g[i][j]:
                return i, j
```



Choose a sub-problem and solve it

```
n = len(graph)
tree = empty_graph(n)
conn = {0}
while len(conn) < n:
    i, j = extension(conn, graph)
    tree[i][j] = 1
    tree[j][i] = 1
    conn.add(j)
return tree
```

```
def extension(c, g):
    """I: connect. vertices, graph
    O: extension edge (i, j)"""
    n = len(g)
    for i in c:
        for j in range(n):
            if j not in c \
            and g[i][j]:
                return i, j
```



Summary

- **Graphs** are an abstraction of relational data
- **Adjacency matrices** can be used to represent graphs in Python
- **Trees** are connected graphs without cycles
- **Prim's algorithm** finds spanning tree by iteratively adding extension edge to already connected subgraph
- Need to **decompose** programs to increase readability and simplify analysis

Before Next Lecture

Re-program examples from the lecture

Coming Up

- Invariants