

Review Article

Theme: Critical Variables in the In Vitro and In Vivo Performance of Parenteral Sustained Release Products

Guest Editors: Marilyn Martinez, Michael Rathbone

Biomaterials/Tissue Interactions: Possible Solutions to Overcome Foreign Body Response

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Abstract. In recent years, a variety of biomaterial implantable devices has been developed. Of particular significance to pharmaceutical sciences is the progress made on the development of drug/implantable device combination products. However, the clinical application of these devices is still a critical issue due to the host response, which results from both the tissue trauma during implantation and the presence of the device in the body. Accordingly, the *in vivo* functionality and durability of any implantable device can be compromised by the body response to the foreign material. Numerous strategies to overcome negative body reactions have been reported. The aim of this review is to outline some key issues of biomaterial/tissue interactions such as foreign body response and biocompatibility and biocompatibility assessment. In addition, general approaches used to overcome the *in vivo* instability of implantable devices are presented, including (a) biocompatible material coatings, (b) steroidal and nonsteroidal anti-inflammatory drugs, and (c) angiogenic drugs. In particular, strategies to overcome host response to glucose biosensors are summarized.

KEY WORDS: biocompatible coating for implantable devices; foreign body response (FBR); glucose biosensor; tissue compatibility assessment, drug device combination products.

INTRODUCTION

The technological progress achieved in the recent years in areas such as biomaterials, biotechnology, cell and molecular biology, tissue engineering, and polymer science as in other related fields has resulted in a significant increase in the use of devices for medical/pharmaceutical applications, e.g. artificial organs (1), biosensors (2–6), catheters (7), heart valves (8), and scaffolds for tissue engineering (9,10). Of particular significance to pharmaceutical sciences is the development of drug/implantable device combination products, e.g., drug-eluting stents (11) and glucose monitoring biosensors (4–6). However, there are still some important challenges to be overcome since implantable devices typically experience a loss of functionality over time following implantation. Limited *in vivo* functionality and longevity is a critical issue, resulting either from the normal homeostatic response to the implantation injury, tissue or blood/device interface interactions, or even to a lack of biocompatibility (12–17).

A foreign body response based on nonspecific protein adsorption, immune, and inflammatory cells occurs under normal physiological conditions in order to protect the body from the foreign object. Reactions of both the implant on the host blood/tissue and of the host on the implantable device must be understood to avoid health complications to the patient and/or device failure. The degree to which the homeostatic mechanisms are perturbed, the pathophysiological conditions created, and resolution of the inflammatory response can be considered a measure of the host reaction, which ultimately determines the relative compatibility of the device (18–20). Although it is convenient to separate homeostatic mechanisms into blood–material or tissue–material interactions, it is noteworthy that many of components or mechanisms involved in homeostasis are a part of the same physiologic continuum (21,22).

The focus of this review is the tissue–material interactions. Some key concepts of biomaterial–tissue interactions are emphasized in the first part of the review such as foreign body response (FBR) and biocompatibility and biocompatibility assessment, whereas the second part emphasizes general approaches to overcome the *in vivo* implantable device instability. These approaches include (a) biocompatible material coatings, (b) steroidal and nonsteroidal anti-inflammatory drugs, and (c) angiogenic drugs. In the last part of the review, a specific example where tissue response to a subcutaneous (s.c.) implant (biosensor) has been successfully overcome through the use of a drug eluting biocompatible coating is summarized.

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FOREIGN BODY RESPONSE

Following intramuscular and s.c. implantation, a tissue/device interface is immediately created, and nonspecific adsorption of blood and tissue fluid proteins onto the implant surface is usually induced (21,23,24). The degree and extent of the FBR depends on the properties of the device, such as (a) composition, (b) contact duration, (c) degradation rate, (d) morphology, (e) porosity, (f) roughness, (g) shape, (h) size, (i) sterility, and (j) surface chemistry (16,17,21).

Device implantation and the associated tissue injury trigger a cascade of inflammatory and wound healing responses that are typical of a FBR. The inflammatory response comprises an initial acute phase and a subsequent chronic phase (23). The acute phase lasts from hours to days and is marked by fluid and protein exudation as well as a neutrophilic reaction. The acute phase is mostly responsible for the provisional matrix formation and cleaning of the wound site. Vessels dilate and excess blood flows into the injury site (18,25–28). Numerous blood and tissue proteins such as cytokines and growth factors are released, and leukocytes adhere to the endothelium of the blood vessels and infiltrate the injury site. Monocytes are then called into the site and these differentiate into macrophages (29). Persistent inflammatory stimuli, such as the continual presence of the biomaterial/medical device, lead to chronic inflammation. Chronic inflammation is histologically less uniform when compared to acute inflammation, and the

wound healing response is generally dependent on the size and/or degree of injury (23). This phase is generally characterized by the presence of monocytes, macrophages, and lymphocytes, as well as the proliferation of blood vessels and connective tissue to restructure the affected area (26–30). The formation of blood vessels is essential to wound healing, supplying necessary nutrients (31). Eventually, the granulation tissue is replaced by an extracellular matrix (ECM). The ECM acts not only as a physical scaffold but also as a crucial modulator of the biological processes, including differentiation, development regeneration, repair, as well tumor progression (32). The end stage of the FBR involves walling off the implant by a vascular and collagenous fibrous capsule that is typically 50–200 μm in thickness (27,30,32). This fibrous wall confines the implant and consequently prevents it from interacting with the surrounding tissue (Fig. 1).

BIOCOMPATIBILITY

Biocompatibility reflects the nature and degree of interaction between biomaterials and host tissue and is one of the critical concerns in biomaterials research (12,13,34). Biocompatibility can be defined as the ability of a material to perform with an appropriate host response in a specific application (14–17,21,33). Biocompatibility reflects a set of complex characteristics, and various implications and extensions of this definition have been reported (21,33). In summary, biocompatibility consists basically of two elements:

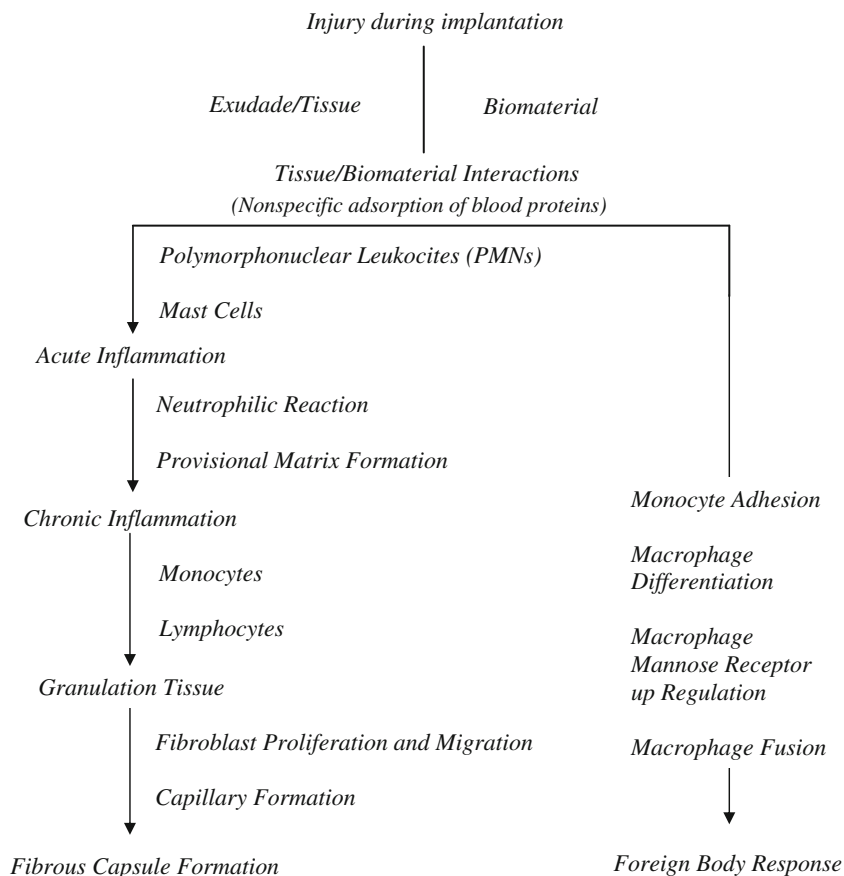


Fig. 1. Sequence of events involved in the FBR to an implantable device. Note that overlap and simultaneous occurrence of these events occurs (based on (21,33))

(a) biosafety, i.e., appropriate host response not only systemic but also local (the surrounding tissue), the absence of cytotoxicity, mutagenesis, and/or carcinogenesis, and (b) biofunctionality, i.e., the ability of material to perform the specific task for which it is intended (16,21).

BIOCOMPATIBILITY ASSESSMENT (TISSUE COMPATIBILITY)

Biocompatibility of a biomaterial cannot be completely evaluated by a single test or method but rather requires a schedule of methods (35–39). **Biocompatibility studies on an implantable device require complex *in vitro* and *in vivo* experiments to test the local and systemic effects of the material on the host (33,35,36).** Evaluation of biocompatibility and biofunctionality of materials is performed mainly by methods based on the assessment of cytotoxicity, mutagenesis and/or carcinogenesis, and cell function (35,36). In fact, the extent of nonspecific protein absorption (biofouling) can be used to evaluate the degree of biocompatibility of the implant (36).

In vitro cell culture tests are often used to screen the tissue compatibility of implantable devices. The objective of cell culture techniques in biocompatibility assessments is (a) to simulate the biological response of the body environment on which the biomaterial is placed and (b) predict its functional performance. The method allows direct investigation of cell–biomaterial interactions and provides some insight into the cellular mechanisms controlling host response to the implanted biomaterial. Three primary cell culture assays are used to evaluate biocompatibility: (a) direct contact, (b) agar diffusion, and (c) elution (also known as extract dilution) (35,36). These assays are described in the US Pharmacopeia and in standards published by the American Society for Testing and Materials, the British Standards Institute, and the International Standards Organization (ISO) (40–43). These are morphological assays, meaning that the outcome is measured by observation of changes in cell morphology.

To standardize the methods and compare the results of these assays, it is important to carefully control: (a) the number of cells, (b) the growth phase of the cells (period of frequent cell replication), (c) the cell type, (d) the duration of exposure, (e) the test sample size (e.g., geometry, density, shape, thickness), and (f) the surface area of test sample. It is worth mentioning that cell lines that have been developed for growth *in vitro* have been preferred to primary cells that are freshly harvest from live organisms because these cell lines have improved reproducibility and reduced variability among laboratories (35,36). Specifically, L-929 mouse fibroblast cell line has been extensively used for testing biomaterials. Initially, L-929 cells were selected because they are easy to maintain in culture and produce results that have a high correlation with specific animal bioassays. In addition, fibroblasts are appropriate for these assays because they are one of the early cells to populate a healing wound and are often the major cell in the tissues that adhere to implanted devices.

Cell lines from other tissues or species may also be used. Ultimately, the selection of a cell line should be based upon the type of assay, the investigator's experience, and measurement endpoints (viability, enzymatic activity, species receptors, etc.). These *in vitro* tests include positive and negative control materials, extraction conditions, and choice of cell

lines and cell media. Important aspects of the test procedures include tests on extracts and on direct and indirect contents. Such tests are a sensitive, reliable, convenient, and reproducible screening method (35,36,40–43).

Relevant to the overall *in vivo* assessment of tissue compatibility of a biomaterial or device is knowledge of the chemical composition of the materials and the conditions of tissue exposure (including nature, degree, frequency, and duration of exposure). General principles that may apply to the biological evaluation of materials and devices are described on Table I (36).

Table II identifies the ISO 10993-1 and US Food and Drug Administration Agency (FDA) categories for selection of biomedical methods, categorized by body contact and contact duration (36,40). The biological response tests, prior to clinical testing, which are included in the ISO 10993 and FDA documents are indicated in Table III (33,36,40,44).

POSSIBLE SOLUTIONS TO OVERCOME FOREIGN BODY RESPONSE

To overcome the limited *in vivo* functionality and longevity of implantable devices, some important approaches have been reported and are summarized below.

Biocompatible Material Coatings

The use of biocompatible materials for coating implantable devices is based on their ability to mask the underlying surface. Masking is achieved by producing a hydrophilic interface between the device surface and the tissue fluids, thereby minimizing tissue reactions induced by device implantation (45). The formation of these biocompatible layers improves implantable device/host tissue interactions and consequently improves device functionality and life span (44–49).

Various natural, synthetic, and semisynthetic materials are currently utilized in the fabrication of implantable device coatings. Naturally occurring materials include (a) alginate (50), (b) chitosan (51,52), (c) collagen (53,54), (d) dextran (55), and (e) hyaluronan (56). These methods offer the advantage of being very similar to macromolecular substances that the biological environment is prepared to recognize and to deal with metabolically. On the other hand, serious disadvantages are (a) natural polymers are frequently immunogenic, (b) these polymers typically decompose or undergo pyrolytic modification at temperatures below their melting point, thereby precluding the convenience of high-temperature thermoplastic processing methods (such as melt extrusion) during the manufacturing of the implant, and (c) since they are derived from animal or plant sources, natural variability in

Table I. Biomaterials and Components Relevant to *In Vivo* Assessment of Tissue Compatibility

The material(s) of manufacture
Intended additives, process contaminants, and residues
Leachable substances
Degradation products
Other components and their interactions in the final product
The properties and characteristics of the final product

Table II. ISO 10993-1 and FDA Categories for Selection of Biological Response Test Methods

Tissue contact
Surface devices
Skin
Mucosal membranes
Breached or compromised surfaces
External communicating devices
Blood path, indirect
Tissue/bone/dentin communicating
Circulating blood
Implant devices
Tissue/bone
Blood
Contact duration
Limited, ≤ 24 h
Prolonged, >24 h and <30 days
Permanent, >30 days

macromolecular structure are expected (44). Numerous synthetic polymeric materials have been employed as coating materials, e.g., poly(lactic-acid) and poly(lactic co-glycolic acid) (PLGA) (57,58), poly(ethylene-glycol), 2-hydroxyethyl methacrylate (59), poly(ethylene glycol) (PEG) (60), and poly(vinyl-alcohol) (PVA) (61,62). Knowledge of the physical and chemical properties of the polymer is a useful tool to rationalize the choice of the coating material (16,44).

Hydrogel-type coatings have been applied in a broad range of biomaterial devices (63,64). These include poly(hydroxyl ethyl methacrylate) (59), PEG (60), and PVA (65–74). Hydrogels are three-dimensional polymeric networks, which adsorb and retain large amounts of water and are highly permeable to small molecules. The use of hydrogel coatings allow for the diffusion of analytes, such as glucose, through the water-swollen gel layer. The degree of analyte diffusion can be readily modulated by controlling the cross-link density of the gel, which in turn controls the water content of the gel and the openness of the polymer network (44,46). Another advantage is that their mechanical properties are similar to soft body tissue (16,44). However, despite these advantages, several potential drawbacks need to be considered, as poor adhesion to the substrate; less than acceptable mechanical strength for some applications; and for chemically cross-linked material, the safety of the chemical agents used. Furthermore, a number of studies have reported biocompatibility issues (12,13). In addition, it has been shown that biological reactions that are adverse for a material in one application may not be adverse for the same material in a different application. Similarly, a material found to be safe in one application may not be safe in another application (33). Table IV summarizes properties and applications of the most commonly used polymers in biomedical field.

Steroidal and Nonsteroidal Anti-inflammatory Drugs

FRB at the implant site may be minimized and/or controlled with the use of steroidal and nonsteroidal anti-inflammatory drugs. Glucocorticoids have been used because of their ability to suppress the immune response by inhibiting the formation and secretion of inflammatory mediators such

as prostaglandins and leukotrienes. By inhibiting these inflammatory mediators, the glucocorticoids can lead to diminished release of inflammatory cells at the injury site, decreasing capillary permeability, and suppressing fibroblast proliferation (74). Since long-term systemic use of these drugs leads to unwanted side effects, localized and sustained delivery of anti-inflammatory drugs has been investigated. Drug-filled reservoirs (device itself and/or device coating), e.g., microspheres, nanoparticles, hydrogels, microspheres embedded in hydrogel matrices (smart hydrogels), have been investigated as means for the delivery of drugs to the implant site (65–73,75–79).

Angiogenic Drugs

Biosensor functionality and longevity can be compromised by a biofouling response and the formation of an avascular fibrous capsule around the device that greatly decreases both the transport of analyte from the tissue to the sensor and the diffusion of reaction products from the sensor to surrounding (80,81). Therefore, controlling fibrotic encapsulation at the implant site would appear to be critical to achieve a functional and extended life-time biosensor *in vivo*. One approach to improve the analyte transport around the implant is the promotion of angiogenesis. This can be achieved by inducing new blood vessel formation in the vicinity of the sensor using growth factors such as the vascular endothelial growth factor (VEGF) (67,71,82–84). It is noteworthy that well-vascularized tissue at the implant site is also critical for healing the trauma caused during implantation (28,31). Another issue associated with the use of corticosteroid drugs to treat the inflammation process is that these drugs also downregulate endogenous VEGF, thereby inhibiting angiogenesis (85,86). Accordingly, a two-pronged approach (control of inflammation and induction of angiogenesis) may be necessary (71).

GLUCOSE BIOSENSORS

Monitoring blood glucose concentrations is important for an adequate insulin regimen for patients with diabetes. Currently, glucose monitoring depends on finger pricking and external monitoring several times per day (87–90). Accordingly, the pain and inconvenience of such routine

Table III. ISO 10993-1 and FDA Biological Response Test (*In Vivo* Tests for Tissue Compatibility)

Initial evaluation steps
Cytotoxicity
Sensitization
Irritation
Intracutaneous reactivity
Systemic toxicity (acute toxicity)
Subchronic toxicity (subacute toxicity)
Genotoxicity
Implantation
Hemocompatibility
Supplementary evaluation steps
Chronic toxicity
Carcinogenicity
Reproductive and developmental toxicity
Biodegradation

Table IV. Chemical Names, Properties, and Applications of Most Commonly Used Polymers in Biomedical Applications

Component	Properties	Some applications
Phospholipid-based biomimicry		
Phospholipid, phospholipid-containing or phospholipid-like materials	Device surface mimics cell's own membrane; fragile and difficult to deposit	Coating (47)
Phospholipid-modified polymers (proteins + PHEMA)	High water content	Coating (47)
Natural derivatives		
Albumin	Immobilization of glucose oxidase (in combination with glutaraldehyde)	Glucose biosensor functionality (47)
Cellulose	After hydroxylation is able to decrease complement activation, not long-term stability	Coating (47)
Collagen	Extracellular matrix component	Porous sponge scaffolds (44)
Synthetic polymers		
PE	Strength, lubricity	Orthopedic implants and catheters (45)
PP	Chemical inertness and rigidity	Drug delivery, meshes, and sutures (45)
Plurionics® surfactants (PEO–PPO–PEO)	Decrease biofouling and sensor passivation	Coating (47)
Perfluorosulfonic acid (Nafion®)	Decrease biofouling, uncured Nafion® (not treated with FeCl ₃) leads to high inflammatory response, not long-term stability	Coating (47)
Hydrogels		
PHEMA	Negligible protein adsorption	Coating (45,47)
PEO, PEG	Negligible protein adsorption	Coating (45,47)
PVA	Surfactant and gel-forming properties	Emulsifier in drug encapsulation process and matrix for sustained drug delivery (45,47)
PLA and PLGA	Negligible protein adsorption	Coating (44,47)

PE poly(ethylene), PP poly(propylene), PEO poly(ethylene oxide), PPO polypropylene oxide, PHEMA poly(hydroxyethyl methacrylate), PLA poly(lactic acid), PLGA poly(lactic co-glycolic acid), PVA poly(vinyl-alcohol)

reduces patient compliance. Implantable glucose sensors are a promising solution to this problem, and numerous researchers are attempting to develop implantable glucose sensors (90–92). At present, six minimally invasive blood glucose monitoring systems have been approved by the FDA (93). Nevertheless, the longest *in vivo* functional lifetime of a marketed system is 7 days, and frequent calibration is required with handheld glucose meters. The s.c. tissue is regarded as an appropriate site for biosensor implantations since it provides easy access for surgical procedures (insertion and/or removal). In addition, it has been reported that the glucose level in the s.c. tissue is directly related to the blood glucose concentration (80). Despite outstanding advances in the *in vitro* functionality of such sensors, a reliable long-term and continuous glucose monitoring *in vivo* has not as yet been achieved due to the gradual loss of sensor functionality following

implantation. Numerous investigators have suggested that glucose diffusion is negatively influenced by nonspecific protein adsorption from the tissue fluid to the sensor surface (80,93,94). Moreover, the fibrous capsule usually formed around the sensor can restrict the transport of glucose molecules and/or other low molecular weight analytes (93).

PVA HYDROGEL/ANTI-INFLAMMATORY DRUG LOADED-PLGA MICROSPHERES

Microspheres have been utilized for localized and controlled drug delivery. However, their utility for implantable devices is hindered by some factors: (a) multiple injections of microspheres carrying different drugs in the vicinity of the implant are difficult, (b) multiple injections will induce additional trauma, and (c) a constant zero-order release

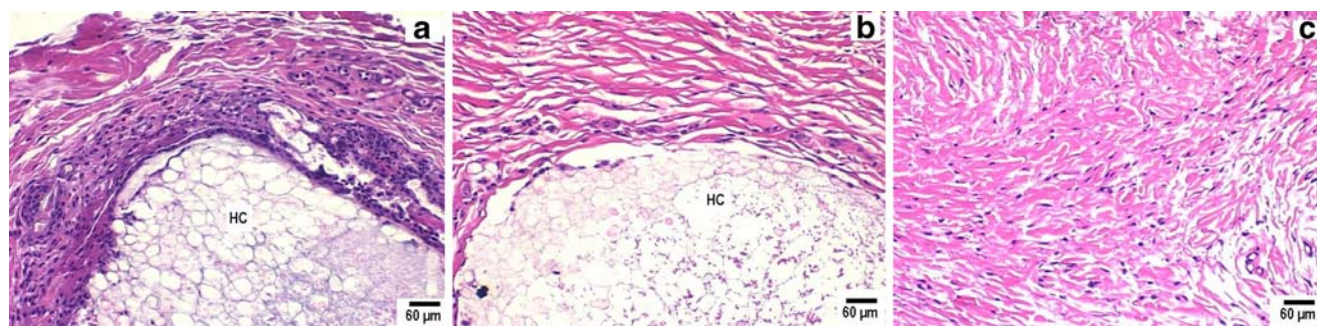


Fig. 2. Pharmacodynamic changes in representative tissue sections on day3 from s.c. tissue of rats implanted with PLGA microsphere/PVA hydrogel composites: **a** without dexamethasone and **b** with dexamethasone compared with control untreated tissue sections (**c**). Inflammation-mediating cells and normal cells are stained *purple* and *pink*, respectively (hematoxylin and eosin stain). Hydrogel composites are marked *HC*

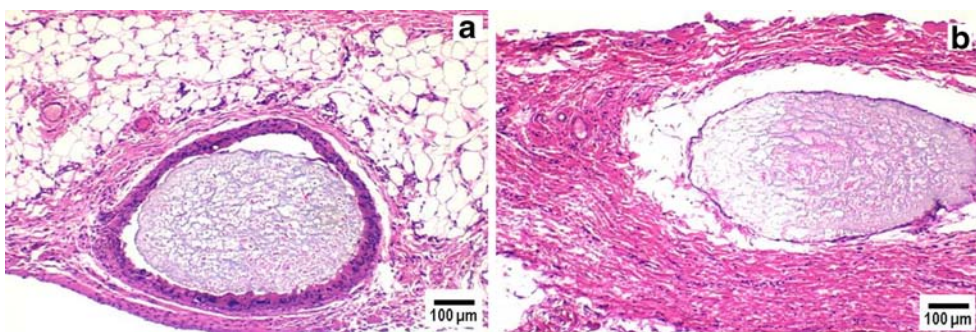


Fig. 3. Pharmacodynamic changes in representative tissue sections on day21 from s.c. tissue of rats implanted with PLGA microsphere/PVA hydrogel composites: **a** without dexamethasone and **b** with dexamethasone. Inflammation-mediating cells and normal cells are stained *purple* and *pink*, respectively (hematoxylin and eosin stain). The *white area surrounding the hydrogel composite in b* is an artifact due to tissue detachment during sectioning. Hydrogel composites are marked *HC*

might be more desirable than a typical triphasic one. As summarized in a recent review (95), a versatile drug eluting/biomaterial coating combination for implantable devices, a PVA hydrogel containing entrapped drug-loaded PLGA microspheres, has been developed. These PLGA microsphere/PVA hydrogel composites show promise as coatings for controlling the inflammatory response following device implantation and have the ability to mask the underlying device surface.

LOCAL AND CONTROLLED DELIVERY OF DEXAMETHASONE

Dexamethasone (a potent anti-inflammatory drug)-loaded PLGA microsphere/PVA hydrogel composites achieved localized drug delivery with approximate zero-order release kinetics successfully control negative tissue reactions at the implant site by reducing the level of inflammation-mediating cells when compared to those implants not containing dexamethasone (67). Pharmacodynamic effects

were evaluated by histopathological examination of s.c. tissue surrounding implanted composites using a rat model (Figs. 2 and 3). All animal studies were conducted at the University of Connecticut in accordance with Institutional Animal Care and Use Committee (IACUC) guidelines using an approved protocol (number E2901201). Tissue samples surrounding composites without entrapped drug showed a large number of neutrophils in the initial acute inflammatory phase. A chronic inflammatory reaction was observed by days21 and 28 and was characterized by a dense network of fibrous tissue together with lymphocytes and macrophages (Fig. 3). A band of fibrous connective tissue with accompanying deposition of collagen encapsulated the composites, which is the usual reaction of the body to the continuous presence of foreign material. On the other hand, tissue surrounding composites containing dexamethasone were similar to normal s.c. tissue with only a few neutrophils present and with no evidence of fibrous encapsulation until day21 (Fig. 2). At day28, which was when the drug had been exhausted from the composites,

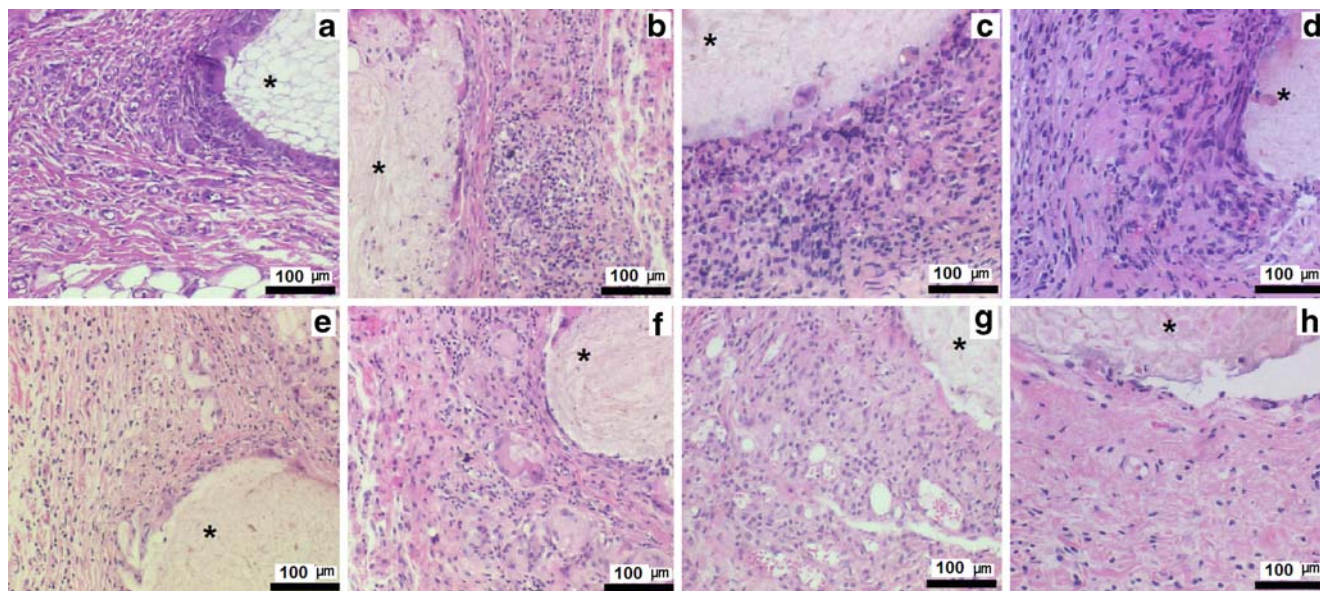


Fig. 4. Pharmacodynamics changes in representative subcutaneous tissue sections of rats implanted with PLGA microsphere/PVA hydrogel composites (*asterisk*) containing VEGF alone over week1 (**a**), week2 (**b**), week3 (**c**), and week4 (**d**) postimplantation and dexamethasone and VEGF combination week1 (**e**); week2 (**f**); week3 (**g**), and week postimplantation (**h**). Inflammation-mediating cells and normal cells are stained *purple* and *pink*, respectively (hematoxylin and eosin stain)

there was evidence of increased number of neutrophils. This and subsequent studies with composites that released drug for both shorter (7 days) (72) and longer (3 months) (73,95) durations have indicated that dexamethasone release will be required throughout the lifetime of the implant. Once dexamethasone release was completed, the body was able to recognize the implant as a foreign body, and subsequent inflammatory and immunogenic reactions were observed (67,71–73,95).

CONCURRENT DELIVERY OF DEXAMETASONE AND VEGF

As mentioned above, corticosteroid drugs prevent angiogenesis by inhibiting or downregulating endogenous VEGF (69–71). Therefore, control of the inflammatory response, along with a method of inducing neo-angiogenesis, may be important to achieve implantable biosensor functionality (69–71). It is worth to mention that systemic administration of protein growth factors is ineffective due to their rapid degradation and consequent inability to achieve adequate concentration at the local site. Accordingly, VEGF-loaded PLGA microspheres have been investigated to achieve neo-angiogenesis in the implant site (71). A combination of dexamethasone and VEGF-loaded PLGA microsphere/PVA hydrogel composites was also investigated for concurrent localized delivery. Pharmacodynamic effects were evaluated by histopathological examination of s.c. tissue surrounding implanted composites using a rat model (Fig. 4). All animal studies were conducted at the University of Connecticut in accordance with IACUC guidelines using an approved protocol (number E2901201).

The hydrogel composites were capable of simultaneously releasing VEGF and dexamethasone with approximately zero-order kinetics. The ability of exogenous VEGF to induce neo-angiogenesis in the s.c. tissue was evaluated after staining the blood vessels with α -smooth muscle actin (immunohistochemistry). The composites were successful in controlling the implant/tissue interface by suppressing inflammation and fibrosis as well as facilitating neo-angiogenesis at a fraction of their typical oral or i.v. bolus doses. Implants containing VEGF showed a significantly higher number of blood vessels at the end of the 4-week study irrespective of the presence of dexamethasone. Thus, localized concurrent elution of VEGF and dexamethasone could overcome the anti-angiogenic effects of the dexamethasone and be used to engineer inflammation free and well-vascularized tissue in the vicinity of the implant.

CONCLUSIONS

The appropriate selection of biocompatible coating materials for use with implantable devices can minimize the negative body's response while maintaining implantable device functionality and longevity. Development of drug/implantable device combination products provides an exciting strategy for the controlled and localized delivery of tissue-response modifying drugs. Anti-inflammatory agents released at the local site have been the most successful in preventing inflammation and fibrosis. Research has also focused on the release of growth factors at the implant site of biosensors for the purpose of inducing blood vessel growth to ensure adequate healing process and an analyte supply for biosen-

sors. Drug-loaded PLGA microsphere/PVA hydrogel coatings, developed for implantable glucose biosensors, can be easily tuned by incorporating different types of PLGA microspheres and two or more drugs can be simultaneously delivered (69–71). It is anticipated that these efforts to develop biocompatible material coating for glucose biosensors will also assist in the development of a variety of long-term implantable devices in the near future.

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