Solving Deceptive Tasks in Robot Body-Brain Co-Evolution By Searching For Behavioral Novelty

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Abstract—**Evolutionary algorithms are a frequently used technique for designing morphology and controller of a robot. However, a significant challenge for evolutionary algorithms is premature convergence to local optima. Recently proposed Novelty Search algorithm introduces a radical idea that premature convergence to local optima can be avoided by ignoring the original objective and searching for any novel behaviors instead. In this paper, we apply novelty search to the problem of body-brain co-evolution. We demonstrate that novelty search significantly outperforms fitness-based search in a deceiving barrier avoidance task but does not provide an advantage in the swimming task where a large unconstrained behavior space inhibits its efficiency. Thus, we show that the advantages of novelty search previously demonstrated in other domains can also be utilized in the more complex domain of body-brain co-evolution, provided that the task is deceptive and behavior space is constrained.**

Index Terms—**evolutionary algorithms; novelty search; body-brain co-evolution; artificial neural networks**

I. INTRODUCTION

Evolutionary algorithms are a frequently used technique for designing morphology and controller of a robot [1]–[12]. The advantage of using evolutionary algorithms compared to other optimization methods is that only a high level fitness function and a set of genetic operators (mutation, crossover) need to be specified. While such fitness-driven search can be very successful, a common problem in evolutionary algorithms is premature converge to local optima. This is often caused by too much focus on exploitation of already discovered areas of the search space as opposed to exploration of yet unknown solutions. The trade-off between exploration and exploitation is well understood and several methods for addressing this issue have been proposed [13]. Most methods attempt to increase the rate of exploration by maintaining the diversity of individuals in a population through techniques such as fitness sharing [14], [15], age-layered population structure [16], multi-objectivization [17] or hierarchical fair competition [18]. Several of the diversity maintaining methods have also been applied to evolutionary robotics [11], [19], [20].

However, recent works suggest that the underlying problem lies not just in the balance of exploration and exploitation, but in the deceitfulness of the fitness function itself [21]–[25]. Novelty search, a recently proposed approach [21], introduces a radical idea that for some problems convergence to local optima can be avoided by simply ignoring the original objective altogether and instead only searching for *any* novel behaviors

regardless of their quality with respect to the fitness function. While seemingly counter-intuitive, this approach has already been successfully applied to problems in multiple domains: evolving neuro-controllers for robot navigation in a maze [21], [22], evolving genetic programs [23], [25] or evolving learning artificial neural networks for maze navigation [24].

In this paper, we use search for behavioral novelty as a method for avoiding premature convergence to local optima in the evolution of body and controller of a simulated robot. Subjects of our experiments are robots placed in a virtual 3D environment with physics simulated using rigid-body dynamics. Both body structure and controller of the robot are subject to optimization by evolution, forming a larger and more complex search space than in domains where novelty search was previously tested. We demonstrate the advantages and disadvantages of novelty search in this domain in two experiments: one with a deceitful fitness function with constrained space of possible behaviors and one with a large behavioral space and a less deceitful fitness function.

II. METHODS

A. The Robot

The robot is composed of boxes of varying sizes connected by joints (see figure 1 for examples of evolved robots). Genetic representation of a robot is a directed graph (cycles in the graph are permitted) of nodes representing the body parts. Robot is created from this genome by first adding the body part represented by the root node of the graph and then recursively traversing connections in depth-first order, adding encountered nodes and connections to the robot. To prevent infinite recursion, each node has a *recursive limit* which limits the number of passes through the given node during transcription. Each node can thus be copied multiple (but finite) times to the robot, forming repeated structures. Each node also specifies the *size* of the resulting body part (i.e. dimensions of a box) and a type of joint connecting body part to its parent in the resulting tree. Each joint type is defined by a set of rotational constraints imposed on two connected body parts. Different joint types thus have a different number of degrees of freedom (DOF). The following joint types are used: fixed (0 DOF), hinge (1 DOF), twist (1 DOF), hinge-twist (2 DOF), twist-hinge (2 DOF), universal (2 DOF) and spherical (3 DOF). Joint type is subject to mutation and recombination.
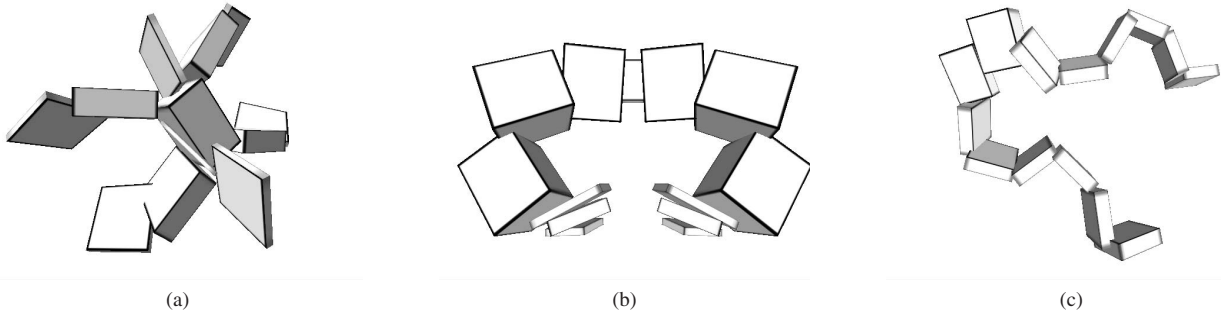
Fig. 1. **Examples of Evolved Robot Morphology.** Several evolved robots exhibit symmetry (1a, 1b) and segmentation (1c).

A connection between two body parts specifies the position, rotation and scale of the target body part relative to the source body part. Optional reflection flag is also specified which (if enabled) causes a mirror copy of the body part to be added to the robot along with the original body part.

This representation permits very compact encoding of complex body structures allowing for features such as symmetry (using reflection flags) and repetitive segmentation (using recursive transcription).

Although other encodings have been proposed for evolving body and brain of robots [1], [8], [10], [26], [27], encoding used in this paper has been successfully used for the evolution of robots in several previous works [2], [4], [6], [7], [28]–[30]. Examples of evolved robots are shown in figure 1.

ODE physics engine was used to provide realistic environment for the robot [31]. Water environment was simulated by applying a drag force opposing the movement of each body part and disabling gravity.

B. The Controller

Robot's controller is distributed in the body of the robot. Each body part contains a local neuro-controller (an artificial neural network), as well as a local sensor and effector. A sensor in each body part is measuring current angle of each degree of freedom of a joint and an effector allows the robot to control the amount of torque applied to each degree of freedom of a joint. The amount of torque is limited to prevent instabilities in the physical simulation. Artificial neural network was used for controllers. Apart from standard sigmoidal transfer function, oscillatory transfer function was used to enable faster discovery of efficient swimming strategies.

C. Novelty Search

Instead of following the gradient of the fitness function, novelty search directs the search towards any yet unexplored parts of the behavior space. This is achieved by modifying the search algorithm to use the measure of individual's behavioral novelty instead of the fitness function. No other modifications to the underlying search algorithm are required.

Measure of individual's novelty is computed using an archive of previously discovered behaviors [23] as an average distance of the individual's behavior from k closest behaviors recorded in the archive:

$$\rho(x) = \frac{1}{k} \sum_{i=0}^{k} dist(x, \mu_i)$$

where μ_i is the ith-nearest neighbor of x and with respect to distance measure $dist$. This measure provides an estimate of local sparseness in the vicinity of the behavior being measured. Novelty search thus promotes individuals which are further away from already discovered behaviors.

If novelty of an individual exceeds some threshold value, individual is added to the archive. In this work the size of an archive was unlimited (previous works have successfully used limited archive [23] as well).

D. NeuroEvolution of Augmenting Topologies (NEAT)

NEAT is an efficient algorithm proposed originally for evolution of artificial neural networks (ANNs) [15] and recently extended for body-brain co-evolution of robots [20]. The extended NEAT is used as an underlying search method in all experiments in this paper. NEAT is unique in being able to meaningfully compare and crossover individuals represented by graphs with different topologies (e.g. robots with different morphology or ANNs with different structure). This is achieved by using *historical markings*–unique inheritable identifiers assigned to each newly created structural element (a neuron or a body part). Historical markings are used to efficiently measure similarity of two individuals which is used in NEAT to assign individuals to species. An explicit fitness sharing is used to maintain a set of species in the population, encouraging innovation by protecting it in a separate species. Complete description of NEAT method is presented in [15], [20]. Novelty search is integrated with NEAT by simply replacing the fitness value of an individual with a measure of its behavioral novelty as described in the previous section.

III. EXPERIMENTS

By searching *only* for novelty in behavior, novelty search is less prone to falling into traps set up by the objective-based fitness function in the form of local optima. However if the behavior space is too large and unconstrained, novelty
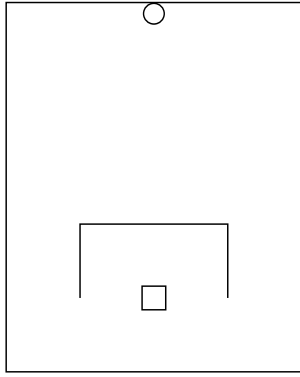
Fig. 2. **Barrier Avoidance Experiment.** Dimensions of the environment are 20m x 20m x 25m. The barrier is located between the robot and the target and is formed by a hollow 10m x 10m x 5m box with the bottom face left out. The target (indicated by the circle) is located at the top of the container at the initial distance of 20m from robot's initial position (indicated by the square).

search may spend most of the time exploring behaviors that are uninteresting with respect to the objective and never find any useful solutions. To test both ends of this scale in the domain of body-brain co-evolution, two experiments were performed: one non-deceptive with a large unconstrained space of behaviors (the swimming experiment) and one highly deceptive with a constrained behavioral space (the barrier avoidance experiment).

In each experiment, the performance of novelty search was compared to the performance of standard fitness-based search. Random search was used as a baseline when comparing performance in each experiment (random search was performed by assigning each individual a random fitness value).

A. Swimming Experiment

The objective in the swimming experiment is to evolve robots capable of moving in a water environment the longest distance from the starting position in the allocated time. The difficulty in this task is that the robot must evolve useful morphology *and* control to successfully move through water. At the start of each test, robot is placed at the origin of the coordinate system. Robot is then free to move in any direction for 60 seconds after which the position of its center of mass is recorded. Fitness function is defined as the distance between the final and starting positions of the robot. Behavior of a robot is defined as the position of the robot at the end of the test and thus consists of a vector of 3 real values. Behavior metrics is defined as Euclidean distance between the final positions of two robots. There were no barriers for the robot to avoid and no constraints on the behavior of the robot apart from the limits of the physics simulation.

B. Barrier Avoidance Experiment

In the barrier avoidance experiment, robot is placed at one end of a 20m x 20m x 25m container while the target is placed at the other end of the container (see figure 2). Robot's goal is to reach the target within the time limit of 60 seconds. Task is

made deceptive by putting the barrier directly in front of the robot, so it obstructs the direct path to the target. Moreover, the shape of the barrier only makes it possible to reach the target if the robot first moves *away* from it, which makes this task highly deceptive. Fitness function for a robot with final position x is defined as

$$f(x) = \max(0, d(p_{start}, p_{target}) - d(x, p_{target})) \quad (1)$$

where $d(p_{start}, p_{target})$ is the constant distance from the starting position of the robot to the target (in this case 20m) and $d(x, p_{target})$ is the distance from the final position of the robot to the target. Behavior of the robot is defined as robot's final position (same as in the swimming experiment) and behavior metrics is defined as the Euclidean distance between final positions of two robots. Target position is fixed for all experiments and robot has no information about the position of the target. As in the swimming experiment, barrier avoidance experiment is performed in a simulated water environment.

C. Parameter Settings

The number of individuals in a population is set to 300, with 150 generations per run. In all experiments, initial generation was initialized with uniform robots consisting of a single box with no neurons except for inputs/outputs. All parameters of the underlying search algorithm (hierarchical NEAT [20]) were set to the same values in both the standard fitness-based configuration and in the novelty-based configuration. The configuration of hierarchical NEAT was the same as in our previous works [20]. Parameter k for computing the novelty of an individual was set to 15 and the novelty threshold for adding an individual to the archive was set to 0.1. Archive size was not limited. Each configuration was tested independently 25 times in the barrier avoidance experiment and 30 times in the swimming experiment. All significance levels were computed using Student's t-test.

IV. RESULTS

In the swimming experiment, both novelty search and fitness-based search were able to consistently find effective solutions (see figure 3a). Average maximum distance of the robot from the starting position after 150 generations was 108.32m for fitness-based search, 96.23m for novelty search and 14.46m for random search. Fitness-based search thus outperformed novelty search by 12.47% ($p < 0.05$), confirming that unconstrained behavior space without deceptive fitness function is favourable to the standard fitness-based approach.

In barrier avoidance experiment, fitness-based search never successfully found a way out of the barrier, reaching an average maximum fitness of 4.56m (see figure 3b). Performance of the fitness-based search was in this case only marginally better than random search which achieved maximum average fitness of 3.68m (although the difference was statistically significant; $p < 10^{-8}$). Novelty search was the only method that consistently escaped the trap and reached an average maximum fitness of 13.16m, significantly outperforming both fitness-based and random search ($p < 10^{-10}$).

(a) Swimming
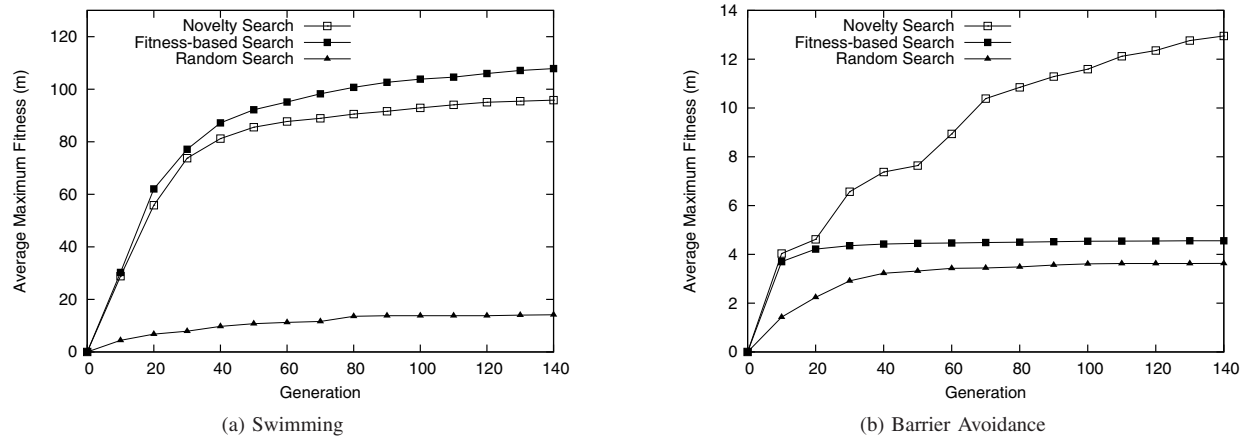

(b) Barrier Avoidance

Fig. 3. **Comparison of Maximum Fitness Achieved by Novelty Search and Fitness-Based Search.** The average maximum fitness is shown for the swimming experiment (3a) and for the barrier avoidance experiment (3b) averaged over 25 runs. Results show that novelty search significantly outperforms fitness-based search in constrained deceptive task (barrier avoidance), while reaching similar performance in unconstrained non-deceptive task (swimming).

A. Analysis of Behavioral Diversity

To provide better insight into how individual search methods explore the space of behaviors, the coverage of behavior space was computed for each experiment. The coverage was computed as a total number of cells from a 1m x 1m x 1m grid that contained the final position of at least one robot. This statistic was computed after each generation using all behaviors seen since the start of the run. Resulting values were averaged over 25 runs (see figure 4).

Comparison of the amount of behavior space covered by individual methods shows that novelty search was exploring the behavior space faster than the fitness based search in both experiments ($p < 10^{-10}$). In swimming experiment the results demonstrate that, although novelty search achieved on average lower fitness values than fitness-based search, it was still able to efficiently explore the behavior space. The difference in how the two methods explore the behavior space can best be seen in the set of typical runs shown in figures 5a and 5b. While novelty search thoroughly and evenly explores the search space progressing in an ever-expanding sphere (figure 5a), fitness-based search tends to exhaustively search a small number of promising directions (5b).

In the barrier avoidance experiment, coverage of the behavior space for fitness based search never exceeded $500m^3$, which corresponds to the volume of the interior space of the barrier. A typical distribution of behaviors for such run is shown in figure 5d. While standard fitness-based approach always failed to escape the barrier, novelty search consistently explored the entire container, eventually reaching the target as well. Example of a typical run is shown in figure 5c.

V. DISCUSSION

In the swimming experiment the behavior space was only constrained by the limits of the physical simulation (the speed at which a robot can move is limited by the maximum amount of torque it can exert by its joints). Such large space of possible behaviors together with absence of any obvious deceptiveness makes this problem unfavorable to the novelty search. This intuition was confirmed by the results of the swimming experiment, where novelty search was outperformed by the fitness-based search, although it was able to explore more of the behavior space (figures 3a and 4a).

However, the results of the swimming experiment do not confirm the expectation that in such unconstrained environment the novelty search will perform just as poorly as a random search. On the contrary, novelty search performed almost as well as the fitness-based search and it significantly outperformed random search. The reason is that even though novelty search does not explicitly optimize solutions towards the main objective, it still explores behavior space in a structured way (even when the behavior space is practically unlimited) progressing from simple to more complex behaviors. This is unlike the random search which often revisits the same solutions repeatedly.

In the barrier avoidance experiment, the deceptiveness of the task lies in the fact that fitness-based search leads the robot directly to the target until it reaches the barrier. At that point, in order to find better solutions, the search needs to explore behaviors that are temporarily further away from target. In the standard objective-based approach, getting further away from the target decreases the fitness value; fitness function thus has a local optimum inside the barrier where fitness-based search is likely to be trapped. This was confirmed by the results of the barrier experiment with fitness-based configuration, where individuals never fully escaped the barrier. In the same experiment, novelty search was able to explore the entire container and was capable of consistently finding the target. The effectiveness of the novelty search in this experiment can be explained by the fact that the space of all possible behaviors was constrained to the size of the container; the boundaries of the container in this case served in a sense as a guide directing the search towards the target.
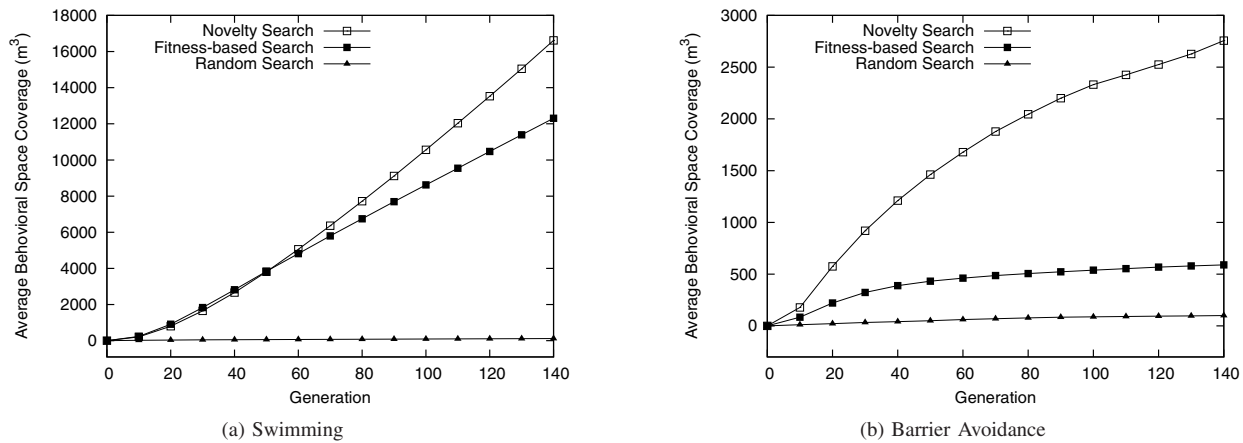
(a) Swimming

(b) Barrier Avoidance

Fig. 4. **Comparison of Behavioral Diversity In Novelty Search and Fitness-Based Search.** Behavioral diversity of a single evolutionary run is measured as a number of cells in 1m x 1m x 1m grid that contain at least one robot behavior discovered during the run. The cumulative behavioral diversity per generation averaged over 25 runs is shown for the swimming task (4a) and for the barrier avoidance task (4b). The main conclusion is that in both tasks, novelty search discovers significantly more behaviors than fitness-based search.

(a) Swimming (Novelty)

(b) Swimming (Fitness)

(c) Barrier Avoidance (Novelty)
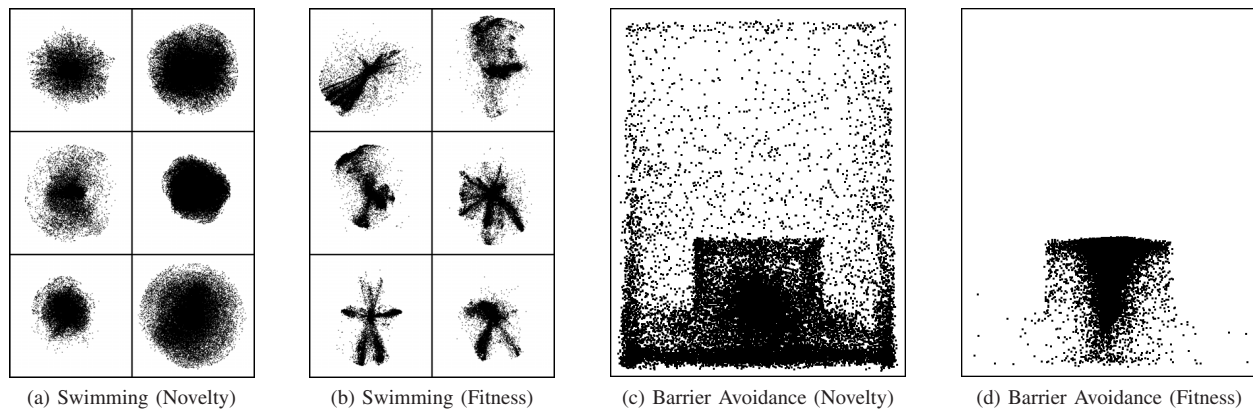

(d) Barrier Avoidance (Fitness)

Fig. 5. **Final Positions Of The Robot Visited In Typical Runs.** Final positions of all robots discovered in selected typical runs is shown for the swimming experiment (5a, 5b) and for the barrier avoidance experiment (5c, 5d). Novelty search in both experiments explores the space of behaviors more evenly, while fitness-based search focuses on optimization of few chosen areas. For swimming experiment (5a, 5b) a 260m x 260m x 260m part of the behavior space is shown. Final positions are three-dimensional and are shown using a two-dimensional orthogonal projection.

VI. CONCLUSION

In this paper, we applied recently proposed novelty search algorithm to the evolution of body and brain of a simulated robot. We demonstrated advantages and disadvantages of novelty search on two tasks: swimming and barrier avoidance. Results from the swimming experiment have confirmed that novelty search does not provide an advantage for tasks where behavior space is unconstrained and fitness function is not deceptive. For such tasks, novelty search may still explore the space of possible behaviors more effectively than fitness-based search, but it takes longer to optimize solutions towards the objective.

However, the barrier avoidance experiment has shown that if the range of possible behaviors is limited (in this case by putting the robot inside a container) and fitness function is deceptive then the novelty search algorithm significantly outperforms fitness-based search.

In summary, this paper confirms that the advantages of novelty search demonstrated previously in other domains can be successfully leveraged in the co-evolution of body and brain of robots. Results presented in this paper demonstrated that directing the search towards behavioral novelty can help in solving deceptive problems in body-brain evolution that standard fitness-based search methods often struggle with.

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