# Causes and consequences of adult sepsis in Blantyre, Malawi

\_

Thesis submitted in accordance with the requirements of the Liverpool School of Tropical Medicine for the degree of Doctor in Philosophy by Joseph Michael Lewis

August 2019

For Nell,
Aoife,
and Una.

### Abstract

Sepsis, defined as a life-threatening organ dysfunction triggered by infection, carries a high mortality. Recent improvements in outcome high-income settings have been driven by prompt antimicrobial therapy and fluid resuscitation but mortality remains disproportionately high in low-resource settings like sub-Saharan Africa (sSA). Therapy here often consists of empiric, prolonged courses of broad-spectrum antimicrobials, especially third generation cephalosporins like ceftriaxone, which may be driving the rise of ceftriaxone-resistant extended-spectrum  $\beta$ -lactamase producing Enterobacteriaceae (ESBL-E). However the aetiology of sepsis in sSA is far from clear, and in this thesis I conjecture that it may be possible to improve outcomes in sepsis whilst reducing selection pressure for ESBL-E, with novel, targeted, antimicrobial strategies tailored to the pathogens that are truly causing sepsis here.

To that end, I present findings from a clinical cohort study of sepsis in Blantyre, Malawi, with two aims: first, a description of the presentation and outcomes of sepsis in Blantyre, with a focus on aetiology and an analysis of the determinants of mortality; and secondly, a description of the gut mucosal carriage of ESBL-E in sepsis survivors (as well as antibiotic unexposed inpatient and community controls) as they pass through the hospital to identify determinants of carriage. An expanded package of diagnostic tests was used to define sepsis aetiology, and serial stool sampling with selective culture for ESBL-E used to define ESBL-E carriage. I use whole-genome sequencing of cultured ESBL *E. coli* to track bacteria and mobile genetic elements within participants over time, and continuous time Markov models to provide insight into the drivers of carriage.

I find that the majority of participants with sepsis are young, and HIV-infected. Tuberculosis (TB) dominates as a cause of sepsis, and there is an association of receipt of antituberculous chemotherapy with survival that suggests an expanded role for TB therapy in these very unwell patients may be beneficial. Sepsis mortality seems to have improved compared to historic cohorts, but post 28-day mortality in the HIV-infected is significant.

At baseline ESBL-E colonisation is common, with 49% of participants with sepsis colonised on the day of admission, and further rapid increase in colonisation prevalence following admission and antibacterial exposure. Associations of baseline colonisation - household crowding and unprotected water sources - suggest both within-household and environmental routes of transmission are important. Genomic analysis suggest unrestricted mixing of ESBL *E. coli* at multiple spatial levels and rapid turnover within the individual, perhaps suggestive of frequent re-exposure.

Longitudinal modelling provides insight into ESBL-E carriage dynamics: hospitalisation and antibacterial exposure act synergistically to bring about rapid and prolonged carriage driven, in part, by a significant post-antibiotic effect. This effect means that antibacterials act to prolong carriage long after antibacterial exposure stops. In terms of ESBL-E carriage, short courses of antibacterials have a similar effect to longer courses, such that the conjecture of the thesis is likely to be false: it may not be possible to reduce ESBL-E carriage by truncating courses of ceftriaxone. Nevertheless, the post-antibiotic effect deserves further scrutiny to understand the mechanism and as a potential therapeutic target. In addition, the modelling approach suggests cotrimoxazole preventative therapy (CPT) may be a significant driver of long-term ESBL-E carriage, and I suggest that a more nuanced approach to its deployment may be necessary in an era of increasing Gram-negative resistance.

# Acknowledgements

# Contents

Abstract				3
$\mathbf{A}$	ckno	wledge	ments	5
1	Intr	oducti	on	17
	1.1	Introd	uction	19
	1.2	Sepsis	in sub-Saharan Africa	19
		1.2.1	Search strategy	19
		1.2.2	Statistical methods	19
		1.2.3	Defining sepsis	19
		1.2.4	Applicability of sepsis-3 definitions in sub-Saharan Africa	19
		1.2.5	Sepsis epidemiology in sub-Sahara Africa	19
		1.2.6	Sepsis aetiology in sub-Saharan Africa	19
		1.2.7	Sepsis management	19
	1.3	ESBL-	E in sub-Saharan Africa	19
		1.3.1	Introduction: definition and classification of ESBL-E	19
		1.3.2	Global molecular epidemiology of ESBL-E: an overview $\ \ldots \ \ldots \ \ldots$	19
		1.3.3	Epidemiology of ESBL-E in sub-Saharan Africa	19
	1.4	Conclu	asions	19
		1.4.1	Specific aims	19
	1.5	Thesis	overview	19
	1.6	Appen	dix	19
		1.6.1	Search terms for sepsis literature review	19
		1.6.2	Search terms for ESBL literature review	19
	1.7	Refere	nces	19
2	Met	thods		21
	2.1	Chapte	er Overview	23
	2.2	Study	site	23

	3.6	3.5.3 Determinants of mortality	26 26 26
		3.5.4 Limitations	26
		·	
		3.5.2 Aetiology: TB dominates as a cause of sepsis	26
		3.5.1 Demographics and outcome: significant longer-term mortality	26
	3.5	Discussion	26
		3.4.7 Determinants of mortality	26
		3.4.6 Outcome	26
		3.4.5 Treatment	26
		3.4.4 Aetiology	26
		3.4.3 Admission physiology and laboratory investigations	26
		3.4.2 Baseline characteristics	26
		3.4.1 Study population	26
	3.4	Results	26
	3.3	Methods	26
	3.2	Introduction and chapter aims	26
	3.1	Chapter overview	26
3	A c	clinical and microbiological description of sepsis in Blantyre, Malawi	25
	2.9	Ethical Approval, Consent and Participant Remuneration	23
	2.8	Data Collection and Storage	23
	2.7	Study Team	23
	2.6	Statistical Analysis	23
	2.5	Molecular methods	23
		2.4.2 Case definitions	23 23
		2.4.1 Foint of care diagnostics	23 23
	2.4	Diagnostic Laboratory Procedures	23
	2.4		23 23
		2.3.4 Outcomes and sample size calculations	23 23
		2.3.3 Study Visits and Patient Sampling	23 23
		2.3.2 Recruitment criteria	23 23
	۷.5	2.3.1 Objectives	23
	2.3	Clinical Study	23
		2.2.2 Queen Enzabeth Central Hospital	23 23
		2.2.2 Queen Elizabeth Central Hospital	23
		2.2.1 Malawi	23

	4.1	Chapter overview	28
	4.2	Introduction and chapter aims	28
	4.3	Methods	28
	4.4	Results	28
		4.4.1 Exploring time-to antibacterials and IV fluid as determinants of mortality	28
		4.4.2 Propensity score matching and subgroup analysis	28
	4.5	Discussion	28
		4.5.1 Limitations	28
	4.6	Conclusions and further work	28
	4.7	Appendix	28
5	ESI	BL-E carriage in Malawian adults in health and disease	<b>2</b> 9
	5.1	Chapter Overview	30
	5.2	Introduction and chapter aims	30
	5.3	Methods	30
	5.4	Results	30
		5.4.1 Study population	30
		5.4.2 Exposures during the study period	30
		5.4.3 ESBL-E colonisation	30
		5.4.4 Associations of ESBL colonisation	30
	5.5	Discussion	30
		5.5.1 Limitations	30
	5.6	Conclusions and further work	30
6	$\operatorname{Th}\epsilon$	e genomic landscape of ESBL producing <i>E. coli</i> in Blantyre, Malawi	31
	6.1	Chapter overview	33
	6.2	Introduction and chapter aims	33
	6.3	Methods	33
		6.3.1 Bioinformatic pipeline	33
		6.3.2 Global E. coli collection	33
		6.3.3 Statistical analysis	33
	6.4	Results	33
		6.4.1 Samples and quality assurance and control	33
		6.4.2 Phylogroup, MLST and core genome phylogeny of study isolates	33
		6.4.3 Study isolates in a global context	33
		6.4.4 Antimicrobial resistance determinants	33
		6.4.5 Plasmid replicons	33
	6.5	Discussion	33

		0.5.1	high-risk clones	33				
		6.5.2	Antimicrobial resistance determinants: domination of $bla_{CTXM-15}$ and	00				
			emergence of carbapenemases	33				
		6.5.3	Study limitations	33				
		6.5.4	Conclusions and further work	33				
	6.6	Apper	ndix	33				
7	$\mathbf{W}\mathbf{h}$	ole gei	nome sequencing to track longitudinal ESBL-E colonisation	35				
	7.1	Chapt	ter overview	36				
	7.2	Introd	luction and chapter aims	36				
	7.3	Metho	$\mathrm{ods}$	36				
	7.4	Result	ts	36				
		7.4.1	Hierarchical BAPS clustering of core gene pseudosequences	36				
		7.4.2	ESBL-clusters	36				
		7.4.3	Assessing for healthcare-associated lineages	36				
		7.4.4	Assessing for within-patient conservation of lineage or MGE	36				
	7.5	<u> </u>						
		7.5.1	Limitations	36				
	7.6	Concl	usions and further work	36				
8	Lon	gitudi	nal Markov models of ESBL-E carriage	37				
	8.1	Chapter Overview						
	8.2	Introd	luction and chapter aims	39				
	8.3	.3 Methods						
		8.3.1	Developing the models used in this chapter	39				
		8.3.2	General form of likelihood	39				
		8.3.3	Markov model likelihood	39				
		8.3.4	Incorporating covariates: a proportional hazard model	39				
		8.3.5	Building and fitting models	39				
		8.3.6	Assessing goodness of fit	39				
		8.3.7	Exploring differences in carriage dynamics by bacterial species and ${\cal E}.$					
			coli genotype	39				
		8.3.8	Simulations from the posterior	39				
	8.4	Result	ts	39				
		8.4.1	The effect of antibacterials and hospitalisation on ESBL-E carriage	39				
		8.4.2	Exploring bacterial species and genotype differences in carriage dynamics	39				
		8.4.3	Simulation of different antibacterial and hospitalisation scenarios	39				

0.0	Discussion
	8.5.1 Limitations
8.6	Conclusion and further work
8.7	Appendix
9 Co	nclusions and further work
9.1	Introduction
9.1 9.2	Introduction

# List of Figures

14 LIST OF FIGURES

# List of Tables

16 LIST OF TABLES

# Introduction

1.1. INTRODUCTION 19

-	-4	T , 1 ,•
	.1	Introduction
_	• ㅗ	III O G G C I O I I

1.	<b>2</b>	Se	psis	in	sub-Sahara	n Africa

- 1.2.1 Search strategy
- 1.2.2 Statistical methods
- 1.2.3 Defining sepsis
- 1.2.4 Applicability of sepsis-3 definitions in sub-Saharan Africa
- 1.2.5 Sepsis epidemiology in sub-Sahara Africa
- 1.2.5.1 Incidence
- 1.2.5.2 Risk factors: the sepsis population in sub-Saharan Africa
- 1.2.5.3 Outcomes
- 1.2.6 Sepsis aetiology in sub-Saharan Africa
- 1.2.6.1 Tuberculosis
- 1.2.6.2 Bacterial zoonoses, Rickettsioses and arboviruses
- 1.2.6.3 HIV opportunistic infections: PCP, histoplasmosis and cryptococcal disease
- 1.2.7 Sepsis management
- 1.2.7.1 Early goal directed therapy
- 1.2.7.2 Evidence to guide antimicrobial therapy in sSA
- 1.2.7.3 Intravenous fluid therapy in sub-Saharan Africa
- 1.3 ESBL-E in sub-Saharan Africa
- 1.3.1 Introduction: definition and classification of ESBL-E
- 1.3.2 Global molecular epidemiology of ESBL-E: an overview
- 1.3.2.1 1980s-1990s: First identification of ESBL in nosocomial pathogens

# Methods

#### 2.1 Chapter Overview

- 2.2 Study site
- 2.2.1 Malawi
- 2.2.2 Queen Elizabeth Central Hospital
- 2.2.3 Participating Laboratories
- 2.2.3.1 Malawi-Liverpool-Wellcome Clinical Research Programme
- 2.2.3.2 Wellcome Trust Sanger Institute
- 2.3 Clinical Study
- 2.3.1 Objectives
- 2.3.2 Recruitment criteria
- 2.3.3 Study Visits and Patient Sampling
- 2.3.3.1 Enrolment assessment
- 2.3.3.2 Subsequent visits
- 2.3.3.3 Blood, urine, and stool, sputum and CSF collection
- 2.3.4 Outcomes and sample size calculations
- 2.4 Diagnostic Laboratory Procedures
- 2.4.1 Point of care diagnostics
- 2.4.2 Laboratory diagnostics
- 2.4.2.1 Haematology and biochemistry
- 2.4.2.2 Aerobic blood and CSF culture
- 2.4.2.3 Mycobacterial blood culture
- 2.4.2.4 Sputum Xpert

A clinical and microbiological description of sepsis in Blantyre, Malawi

- 3.1 Chapter overview
- 3.2 Introduction and chapter aims
- 3.3 Methods
- 3.4 Results
- 3.4.1 Study population
- 3.4.2 Baseline characteristics
- 3.4.3 Admission physiology and laboratory investigations
- 3.4.4 Aetiology
- 3.4.5 Treatment
- 3.4.6 Outcome
- 3.4.7 Determinants of mortality
- 3.5 Discussion
- 3.5.1 Demographics and outcome: significant longer-term mortality
- 3.5.2 Aetiology: TB dominates as a cause of sepsis
- 3.5.3 Determinants of mortality
- 3.5.4 Limitations
- 3.6 Conclusions and further work

# Modelling to identify determinants of sepsis mortality

- 4.1 Chapter overview
- 4.2 Introduction and chapter aims
- 4.3 Methods
- 4.4 Results
- 4.4.1 Exploring time-to antibacterials and IV fluid as determinants of mortality
- 4.4.2 Propensity score matching and subgroup analysis
- 4.5 Discussion
- 4.5.1 Limitations
- 4.6 Conclusions and further work
- 4.7 Appendix

# ESBL-E carriage in Malawian adults in health and disease

- 5.1 Chapter Overview
- 5.2 Introduction and chapter aims
- 5.3 Methods
- 5.4 Results
- 5.4.1 Study population
- 5.4.2 Exposures during the study period
- 5.4.3 ESBL-E colonisation
- 5.4.4 Associations of ESBL colonisation
- 5.5 Discussion
- 5.5.1 Limitations
- 5.6 Conclusions and further work

The genomic landscape of ESBL producing  $E.\ coli$  in Blantyre, Malawi

32 CHAPTER~6.~~THE~GENOMIC~LANDSCAPE~OF~ESBL~PRODUCING~E.~COLI~IN~BLANTYRE, MALAWARD COLICION FROM THE COLICION FROM T

#### 6.1 Chapter overview

#### 6.2 Introduction and chapter aims

- 6.3 Methods
- 6.3.1 Bioinformatic pipeline
- 6.3.2 Global E. coli collection
- 6.3.3 Statistical analysis
- 6.4 Results
- 6.4.1 Samples and quality assurance and control
- 6.4.2 Phylogroup, MLST and core genome phylogeny of study isolates
- 6.4.3 Study isolates in a global context
- 6.4.4 Antimicrobial resistance determinants
- 6.4.4.1  $\beta$ -lactam resistance
- 6.4.4.2 Quinolone resistance
- 6.4.4.3 Aminoglycoside resistance
- 6.4.4.4 Chloramphenicol resistance
- 6.4.4.5 Co-trimoxazole, tetracycline and other resistance determinants
- 6.4.4.6 Clustering and lineage association of AMR determinants
- 6.4.5 Plasmid replicons
- 6.5 Discussion
- 6.5.1 Genomic landscape of ESBL  $E.\ coli$  in Malawi: global diversity and high-risk clones
- 6.5.2 Antimicrobial resistance determinants: domination of  $bla_{CTXM-15}$  and emergence of carbapenemases

# Whole genome sequencing to track longitudinal ESBL-E colonisation

- 7.1 Chapter overview
- 7.2 Introduction and chapter aims
- 7.3 Methods
- 7.4 Results
- 7.4.1 Hierarchical BAPS clustering of core gene pseudosequences
- 7.4.2 ESBL-clusters
- 7.4.3 Assessing for healthcare-associated lineages
- 7.4.4 Assessing for within-patient conservation of lineage or MGE
- 7.5 Discussion
- 7.5.1 Limitations
- 7.6 Conclusions and further work

# Longitudinal Markov models of ESBL-E carriage

### 8.1 Chapter Overview

#### 8.2 Introduction and chapter aims

- 8.3 Methods
- 8.3.1 Developing the models used in this chapter
- 8.3.2 General form of likelihood
- 8.3.3 Markov model likelihood
- 8.3.4 Incorporating covariates: a proportional hazard model
- 8.3.5 Building and fitting models
- 8.3.6 Assessing goodness of fit
- 8.3.7 Exploring differences in carriage dynamics by bacterial species and  $E.\ coli$  genotype
- 8.3.8 Simulations from the posterior
- 8.4 Results
- 8.4.1 The effect of antibacterials and hospitalisation on ESBL-E carriage
- 8.4.2 Exploring bacterial species and genotype differences in carriage dynamics
- 8.4.3 Simulation of different antibacterial and hospitalisation scenarios
- 8.5 Discussion
- 8.5.1 Limitations
- 8.6 Conclusion and further work
- 8.7 Appendix

## Conclusions and further work

- 9.1 Introduction
- 9.2 Summary of findings
- 9.3 Conclusions and future research priorities

## References