# Lecture Notes in Commutative Algebra

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Last Updated: August 17, 2022

### Introduction

This is a set of lecture notes which I took for reviewing stuff that I typed after taking class from *Dr. Viji Z Thomas*. All the typos and errors are of mine.

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# S1 Lecture 1 — 10th August 2022 — Local Rings, Semilocal rings, Chinese Remainder Theorem

We will be assuming the following things before proceeding in the course:

- A ring *A* is a commutative ring with unity.
- Existence of maximal ideals in a commutative ring with unity (this follows immediately from Zorn's Lemma)
- Definition of ring morphism.
- · Definition of prime and maximal ideals and the facts that
  - P is a prime ideal of A iff A/P is an integral domain and
  - M is a maximal ideal of A iff A/P is a field

#### §1.1 Basic Definitions — Local Rings, Semilocal rings and few other results

**Definition §1.1.1** (local ring). Let A be a ring. A is said to be a *local ring* if A has a unique maximal ideal M. A local ring is often denoted by (A, M).

**Definition §1.1.2** (semilocal ring). Let A be a ring. A is said to be *semilocal ring* if A has only fintiely many maximal ideals.

How does one come up with a semilocal ring with exactly m maximal ideals? Here's an example:

**Example §1.1.3** (A ring with m distinct maximal ideals). Let  $A = \mathbb{Z}/n\mathbb{Z}$ . It is fairly easy to show that all the ideals of A are of the form  $(\overline{k})$  where  $k \in \mathbb{N}$  and  $k \mid n$  and also that if  $k, j \mid n$  and  $(\overline{k}) \subset (\overline{j})$  iff  $j \mid k$ . (See Sepanski Exercise 3.47 and 3.48) Now let  $p_1, p_2, \ldots, p_m$  be m distinct primes. Define  $n = p_1 p_2 \cdots p_m$ . It is easy to see from the aforementioned facts that  $A = \mathbb{Z}/n\mathbb{Z}$  has m distinct maximal ideals.

**Example §1.1.4** (A standard example of a local ring?). Let A be a ring, M be a maximal ideal of A and  $n \in \mathbb{N}$ . Observe that  $M^n$  is a ideal of A (See Sepanski Exercise 3.51). We claim that  $A/M^n$  has only prime ideal namely  $M/M^n$ . Let  $\mathscr{P}$  be a prime ideal of  $A/M^n$ . Then by the correspondence theorem,  $\mathscr{P} = P/M^n$  where P is a prime ideal of A containing  $M^n$ . Then  $P \supset M^n$  which further implies that  $P \supset M$  since  $M^n \supset M$ . Since M is a maximal ideal, we have that P = M. This completes the proof of the claim. Also, note that since every maximal ideal is prime, we have that  $A/M^n$  is a local ring.

**Fact §1.1.5.** Let A be ring, B be an integral domain,  $f : A \to B$  be a ring morphism and Q be a prime ideal of B. Then  $\ker(f)$  is a prime ideal of A.

*Proof of the fact.* Suppose that  $ab \in \ker(f)$ . Then f(ab) = 0 which further implies f(a)f(b) = 0 and hence  $a \in \ker(f)$  or  $b \in \ker(f)$  since B is an integral domain. □

**Lemma §1.1.6.** Let A, B be rings,  $f: A \to B$  be a ring morphism and Q be a prime ideal in B. Then  $f^{-1}(Q)$  is a prime ideal of A.

*Proof.* Let  $p: B \to B/Q$  be the canonical homomorphism. Consider the map  $p \circ f: A \to B/Q$ . We show that  $\ker(p \circ f) = f^{-1}(Q)$ . The lemma will follows from fact §1.1.5, if we show that  $\ker(p \circ f) = f^{-1}(Q)$  as B/Q is an integral domain. So consider the following:

$$x \in \ker(p \circ f) \Leftrightarrow p(f(x)) = Q$$
  
 $\Leftrightarrow f(x) + Q = Q$   
 $\Leftrightarrow f(x) \in Q$   
 $\Leftrightarrow x \in f^{-1}(Q)$ 

**Lemma §1.1.7.** *Let* A *be a ring, let* I, J *be ideals of* A *and* P *be a prime ideal of* A. *If*  $P \supset IJ$  *then either*  $P \supset I$  *or*  $P \supset J$ .

*Proof.* Suppose that  $P \not\supset J$ . Then there is some  $i \in I \setminus P$ . We show that  $J \subset P$ . Let  $j \in P$ . Then  $i j \in IJ$  and hence  $i j \in P$ . Since P is a prime ideal, we must have that either  $i \in P$  or  $j \in P$ . But the former is not possible by assumption, therefore,  $j \in P$ . Since j was arbitrary, the proof is complete.

*Remark* §1.1.8. Let *A* be a ring, *I* be any ideal of *A*. Then there is a maximal ideal *M* of *A* containing *A*. The proof of this remark is fairly straightforward. Consider the ring A/I. Since every ring has a maximal ideal, so there must be some maximal ideal  $\mathcal{M}$  of A/I. By the correspondence theorem,  $\mathcal{M} = M/I$  for some ideal *M* of *A*. This ideal *M* of *A* must be maximal again by the correspondence theorem and this completes the proof of the remark.

**Lemma §1.1.9.** Let A be a ring, I, J, K be ideals of A. Furthermore, assume that I, J are comaximal and I, K are comaximal. Then I + JK = A. (Recall that two ideals I, J are said to be comaximal if I + J = A.)

*Proof.* Suppose that  $I + JK \subsetneq A$ . Then by Remark §1.1.8, we have that there is some maximal (and hence prime) ideal P containing I + JK. Thus, we have that  $I \subset P$  and  $JK \subset P$ .

From  $JK \subset P$ , we can conclude that  $J \subset P$  or  $K \subset P$  from Lemma §1.1.7. But in the either case, we have that  $I + J \subset P \subseteq A$ . A contradiction and hence I + JK = A.

**Example §1.1.10.** Let  $A = \mathbb{Z}$ . Note that the ideal (3,4) generated by 3 and 4 and the ideal (3,5) generated by 3 and 5 are exactly  $\mathbb{Z}$ . Thus, the ideal (3,20) = A by Lemma §1.1.9.

#### §1.2 Chinese Remainder Theorem

**Theorem §1.2.1** (Chinese Remainder Theorem). Let A be a ring,  $I_1, I_2, ..., I_n$  be ideals of A. Consider the canonical map  $\varphi : A \to A/I_1 \times A/I_2 \times \cdots A/I_n$  given by  $\varphi(x) = (x + I_1, ..., x + I_n)$ . Then the following holds:

- 1. If  $I_p$ ,  $I_q$  are comaximal for all  $1 \le p < q \le n$  then  $I_1 I_2 \dots I_n = I_1 \cap I_2 \cap \dots \cap I_n$
- 2.  $\varphi$  is injective iff  $\ker \varphi = I_1 \cap I_2 \cap ... \cap I_n = \{0\}$
- 3. If  $\varphi$  is surjective iff  $I_m$ ,  $I_n$  are comaximal for all  $1 \le m < n \le n$

*Proof of (1).* We proceed by induction on n. Suppose that n = 2. Consider the ideals  $I_1, I_2$  satisfying  $I_1 + I_2 = A$ . We show that  $I_1 I_2 = I_1 \cap I_2$ .

It is fairly easy to see that  $I_1I_2 \subset I_1 \cap I_2$ . if  $i_1 \in I_1$  and  $i_2 \in I_2$  then  $i_1i_2 \in I_1$  and  $i_1i_2 \in I_2$  as  $I_1$  and  $I_2$  are both ideals of A. Hence,  $i_1i_2 \in I_1 \cap I_2$ . To see the reverse inclusion, we use the comaximality of  $I_1$  and  $I_2$ . Since  $I_1 + I_2 = A$ ,  $I_1 = i_1 + i_2$  for some  $i_1 \in I_1$  and some  $i_2 \in I_2$ . Let  $c \in I_1 \cap I_2$ . Then  $c = i_1c + ci_2$ . Clearly  $i_1c \in I_1I_2$  and  $ci_2 \in I_1I_2$  and hence  $c \in I_1I_2$ .

Suppose that (1) holds true for any n-1 ideals of A where n>2. Let  $I_1,I_2,...,I_n$  be ideals of A. Define  $J=I_1I_2\cdots I_{n-1}$  and  $I=I_n$ . We show that I+J=A.

It is easy to see that  $I+J\subset A$ . Now we use that comaximality of  $I_{n-1}$  and  $I_n$ . By the comaximality, we have  $1=i_{n-1}+i_n$  for some  $i_{n-1}\in I_{n-1}$  and some  $i_n\in I_n$ . Let  $a\in A$ . Then  $a=ai_{n-1}+ai_n$ . Clearly,  $ai_n\in I_n$  as  $I_n$  is an ideal and  $ai_{n-1}\in I_{n-1}$ . Since  $I_{n-1}\subset I$ , we are done.

By the n = 2, it follows that  $IJ = I \cap J$ . Now our result follows from the induction hypothesis:

$$I_1 \dots I_{n-1} I_n = JI$$

$$= J \cap I$$

$$= I_1 \dots I_{n-1} \cap I_n$$

$$= I_1 \cap \dots \cap I_{n-1} \cap I_n$$

Observe that the third equality follows from the induction hypothesis.

# §2 Lecture 2 — 12th August 2022 — Chinese Remainder Theorem continued...

#### §2.1 Proof of Chinese Remainder Theorem continued...

*Proof of (2) and (3).* Observe the following:

$$a \in \ker \varphi \iff \varphi(a) = (I_1, I_2, ..., I_n)$$
  
 $\iff (a + I_1, a + I_2, ..., a + I_n) = (I_1, I_2, ..., I_n)$   
 $\iff a \in I_1 \cap I_2 \cap ... \cap I_n$ 

Hence  $\ker \varphi = I_1 \cap I_2 \cap ... \cap I_n$ . So it is easy to see now that (2) follows immediately from what we just proved.

Now, we proceed to prove (3). We first prove ( $\Leftarrow$ ) direction. Suppose that  $I_p$  and  $I_q$  are comaximal for  $1 \le p < q \le n$ . Let us denote  $e_i$   $(1 \le i \le n)$  for  $e_i = (I_1, I_2, ..., 1 + I_i, ..., I_n)$ .

We first show that  $I_1 + I_2 \cdots I_n = A$ . We show this by induction. Clearly,  $I_1 + I_2 = A$  by assumption. Now suppose that  $I_1 + I_2 \cdots I_{n-1} = A$ . It then follows from Lemma §1.1.9 and  $I_1 + I_n = A$  that  $I_1 + I_2 \cdots I_n = A$ .

Now, 1 = x + y for some  $x \in I_1$  and  $y \in I_2 \cdots I_n$ . It follows from part (1) of this theorem that  $I_2 \cdots I_n = I_2 \cap \ldots \cap I_n$ . Thus  $y \in I_2 \cap \ldots \cap I_n$ . Thus

$$\varphi(y) = (y + I_1, ..., y + I_n)$$

$$= (1 - x + I_1, y + I_2, ..., y + I_n)$$

$$= (1 + I_1, I_2, ..., I_n)$$

$$= e_1$$

This shows that  $e_1$  is in the image of  $\varphi$ . Similarly, it can be shown that  $e_i$  is in the image of  $\varphi$  for each i.

Now, we can finally show that  $\varphi$  is actually surjective. Let  $(a_1 + I_1, ..., a_n + I_n)$  be in the codomain of  $\varphi$ . Since we have shown that each  $e_i$  is in the image of the  $\varphi$ ,  $\varphi(y_i) = e_i$  for some  $y_i \in A$ .

Now observe that

$$\varphi\left(\sum_{i=1}^{n} a_{i} y_{i}\right) = \sum_{i=1}^{n} \varphi(a_{i}) \varphi(y_{1})$$

$$= \sum_{i=1}^{n} (a_{i} + I_{1}, \dots, a_{i} + I_{i}, \dots, a_{i} + I_{n}) (I_{1}, \dots, I_{n} + I_{i}, \dots, I_{n})$$

$$= \sum_{i=1}^{n} (I_{1}, I_{2}, \dots, a_{i} + I_{i}, \dots, I_{n})$$

$$= (a_{1} + I_{1}, a_{2} + I_{2}, \dots, a_{n} + I_{n})$$

This shows that  $\varphi$  is surjective.

We proceed to prove the  $(\Rightarrow)$  direction of (3). Suppose that  $\varphi$  is surjective. We just show that  $I_1+I_2=A$ . The others follow similarly. To prove that  $I_1+I_2=A$ , it suffices to show that  $1\in I_1+I_2$ . Following the convention in the previous direction, there is some  $x\in X$  such that  $\varphi(x)=e_1$ . So  $(x+I_1,\ldots,x+I_n)=(1+I_1,\ldots,I_n)$ . Then  $1-x\in I_1$  and  $x\in I_2$ . Hence  $1=(1-x)+x\in I_1+I_2$ . This completes the proof.

**Lemma §2.1.1** (Prime Avoidance Lemma). Let  $I, P_1, P_2, ..., P_n$  be ideals of a ring A. Furthermore, assume that  $P_i$  is prime for each i. If  $I \subset P_1 \cup P_2 \cup ... \cup P_n$  then there is some j such that  $I \subset P_j$ .

## §3 Lecture 3 — 17th August, 2022 — Proof of Prime Avoidance

#### §3.1 Proof of Prime Avoidance Lemma started...

*Proof of §2.1.1.* We prove that the following equivalent statement that:

If for all j,  $I \not\subset P_j$  for all j, there is some element  $x \in I$  such that  $x \not\in P_j$  for all j.  $(\star)$ 

We prove this theorem by assuming that all but 2 of the  $P_i$  are prime ideals. (Note: this is a slightly weaker assumption!)

We now start the proof using induction.

We first consider the case when n = 2. Let I be an ideal and  $P_1$  and  $P_2$  be prime ideals of A such that  $I \not\subset P_1$  and  $I \not\subset P_2$ . So, there are some element  $x \in I \setminus P_1$  and  $y \in I \setminus P_2$ .

If  $x \notin P_2$  then we are done. Likewise if  $y \notin P_1$  then we are again done. So, we may assume that  $x \in P_2$  and  $y \in P_1$ .

Now consider x + y. Undoubtedly,  $x + y \in I$ . If it were the case that  $x + y \in P_1$  then  $x \in P_1$  which is not possible by choice of x. Likewise if it were the case that  $x + y \in P_2$  then  $y \in P_2$  as  $x \in P_2$  which again is not possible by choice of y. Therefore, we have that  $x + y \in I$ ,  $x + y \notin P_1$  and  $x + y \notin P_2$  and this ends our verification of the base case.

Now, suppose that the  $(\star)$  is true when the number of prime ideals is equal to n-1 where  $n \ge 3$ .

Let *I* be an ideal and  $P_1, P_2, ..., P_n$  be prime ideals such that  $I \not\subset P_j$  for  $1 \le j \le n$ .

By using the induction hypothesis, there is an element  $x \in I$  such that  $x \notin P_j$  for  $1 \le j \le n-1$ . If  $x \notin P_n$  then our proof is complete! So, we assume that  $x \in P_n$ .

Furthermore, we may assume that for  $i \neq j$ , it is not the case that  $P_i \subset P_j$  or  $P_j \subset P_i$ , that is, there are no inclusions among the prime ideals. Since  $n \geq 3$  and all but 2 of the  $P_j$  are prime ideals, we may assume that  $P_n$  is a prime ideal.

We claim that  $IP_1P_2...P_{n-1} \not\subset P_n$ . Suppose not then  $IP_1P_2...P_{n-1} \subset P_n$ . It follows by induction and Lemma §1.1.7 that  $I \subset P_n$  or  $P_i \subset P_n$  for some  $1 \le j \le n-1$ . Note that the latter part of the 'or' cannot hold by our assumption in the previous paragraph. Thus  $I \subset P_n$ . But then again this is a contradiction! So, we have that  $IP_1P_2...P_{n-1} \not\subset P_n$ .

Now select a  $y \in IP_1 \dots P_{n-1}$  but  $y \notin P_n$ .

Now, we finish the proof by showing that  $x + y \in I$  but  $x + y \notin P_i$  for all  $1 \le i \le n$ . It is evident that  $x + y \in I$ . If  $x + y \in P_n$  then  $y \in P_n$  which is not possible by choice of y. Note that  $y \in IP_1 \dots P_{n-1}$  implies  $y \in P_i$  for all  $1 \le i \le n-1$ . Now if  $x + y \in P_i$  for some  $1 \le i \le n-1$  then  $x \in P_i$ . But that cannot happen by choice of x. Thus we have found an element which is in I but not in any of  $P_i$  and this completes the proof!

**Notation §3.1.1.** Let A be a ring. We will use max-spec(A) to denote the set of all maximal ideals of A.

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**Definition §3.1.2** (Jacobson Radical). Let A be a ring. The Jacobson radical  $\mathcal{J}(A)$  is defined to by the intersection of all maximal ideals of A. In other words,

$$\mathcal{J}(A) := \bigcap \{m : m \in \max\text{-spec}(A)\}$$

**Lemma §3.1.3.** Let A be a ring. Then  $x \in \mathcal{J}(A)$  iff 1 - xy is a unit for all  $y \in A$ .

*Proof.* ( $\Longrightarrow$ ) Suppose that  $x \in \mathcal{J}(A)$ . Suppose that 1-xy is not a unit for some  $y \in A$ . Then there is some maximal ideal m of A containing 1-xy. (Just consider the ideal generated by 1-xy and Remark  $\S 1.1.8$ )

Since  $x \in \mathcal{J}(A)$ ,  $x \in m$ . So  $xy \in m$  as m is an ideal. Then  $1 = (1 - xy) + xy \in m$  but this is not possible as maximal ideals are not the entire ring by definition! Hence 1 - xy is a unit for all  $y \in A$ .

( $\Leftarrow$ ) Now suppose that 1-xy is a unit for all  $y \in A$ . If  $x \notin \mathcal{J}(A)$  then there must be some maximal ideal m of A such that  $x \in A \setminus m$ . Now consider the ideal m+(x). Clearly  $m+(x) \supseteq m$  for otherwise  $x \in m$ . Hence m+(x)=A as m is a maximal ideal. Thus there are some elements  $z \in m$  and  $y \in A$  such that z+xy=1. But then  $1-xy=z \in m$ . Also, 1-xy is a unit, but that cannot possibly happen as maximal ideals cannot contain units!