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SC

Service Connector

SC Message Protocol V1.0

SC_1_SCMP-V1.0_E (Version V2.14)

This document describes the SC Message Protocol V1.0 (SCMP).

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09.08.2009	D1.1	Jan Trnka	Separate specification and architecture documents. Fill information from Daniel into this document.
…	…	Jan Trnka	Many changes in between not tracked
24.2.2010	V2.0	Jan Trnka	Proposal for C-API
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11.4.2010	V2.2	Jan Trnka	Prepare for review
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15.8.2010	V2.9	Jan Trnka	"=" or <LF> are not allowed within attribute names and/or values. Unknown header attributes will be forwarded. Large message are not possible for CLN_CREATE_SESSION or CLN_SUBSCRIBE. mid is never set in case of an error. Publishing of large messages possible from one server only. MANAGE message described. Attribute default values introduced. Chapter *Restrictions* moved to design document. Restructuring of the document. echoTimeout superseded by operationTimeout
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19.9.2010	V2.12	Jan Trnka	operationTimeout (oti) is now in milliseconds
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13.10.2010	V2.14	Jan Trnka	authenticatedSession (asi) removed cascadedMask (cma) introduced Errors in publishing service description and messages corrected. ipAddressList (ipl) required for INSPECT and MANAGE operationTimeout (oti) is in all client requests (sometimes optional) CacheId is unique per service

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1   Preface

1.1 Purpose & Scope of this Document

This document describes the SCMP (**SC M**essage **P**rotocol).

The final and approved version of this document serves as base for publication as Open Source.

This document is particularly important to all project team members and serves as communication medium between them.

1.2 Definitions & Abbreviations

Item / Term	Definition / Description
HTTP	Hypertext Transport Protocol
HTTPS	HTTP over SSL, encrypted and authenticated transport protocol
Java	Programming language and run-time environment from SUN
JDK	Java Development Kit
Log4j	Standard logging tool used in Java
OpenVMS	HP Operating system
RMI	Remote Method Invocation - RPC protocol used in Java
RPC	Remote Procedure Call
SOAP	Simple Object Access Protocol
SSL	Secure Socket Layer – secure communication protocol with encryption and authentication
TCP/IP	Transmission Control Protocol / Internet Protocol
SC	Service Connector
USP	Universal Service Processor – predecessor of SC
Wireshark	Open source product to capture and analyze network traffic

Table 1 Abbreviations & Definitions

1.3 External References

References	Item / Reference to other Document
[1]	SC_0_Specification_E – Requirement and Specifications for Service Connector
[2]	

Table 2 External references

1.4 Typographical Conventions

Convention	Meaning
text in italics	features not implemented in the actual release
`text in Courier font`	code example
[phrase]	In syntax diagrams, indicates that the enclosed values are optional
{ phrase1	phrase2 }	In syntax diagrams, indicates that multiple possibilities exists.
…	In syntax diagrams, indicates a repetition of the previous expression

Table 3 Typographical conventions

The terminology used in this document may be somewhat different from other sources. The chapter Glossary includes a list of often used terms with the explanation of their meaning in this document.

1.5 Outstanding Issues

Following issues are outstanding at the time of the document release:
1. Describe how list of files is returned by the FILE_LIST message
2. Describe Proxy Services and routing
3. Description of errors returned by SC

2 Communication Schema

The SC supports message exchange between requesting application (client) and another application providing a service (server). The client and the server are the logical communication end-points. The SC never acts as a direct executor of a service. The client can to communicate to multiple services at the same time.

Server application can provide one or multiple service. Serving multiple services within one application is possible only for multithreaded or multisession servers. Multiple server applications are running on the same server node, each providing different service. All services are independent on each other. Server application may request another service and so play the client role.

The SC implements peer-to-peer messaging above OSI layer-7 (application) network model between client and server applications. The SC is always in between the communicating partners, controlling the entire message flow.
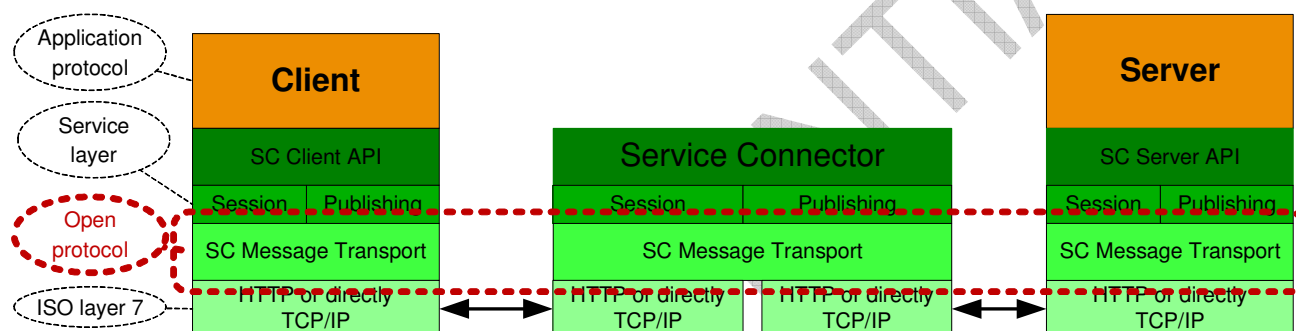
Figure 1 Communication Layers

The SC acts like a broker, passing messages between the client and the server. The communicating parties must agree on the application protocol i.e. format and content of the message payload.

2.1 Connection Topology

Client application, server application and the SC may reside on the same node or on separate nodes. The connection can either utilize HTTP protocol or direct TCP/IP communication. No assumption about the physical network topology is done. Multiple firewalls can be located on the path between the communicating partners. The SC supports following connection topology:
- Client ⇔ SC ⇔ Server = Direct connection
- Client ⇔ SC ⇔ SC ⇔ Server = Connection via cascaded SC.
  Multiple SC may be placed on the path between the client and service.
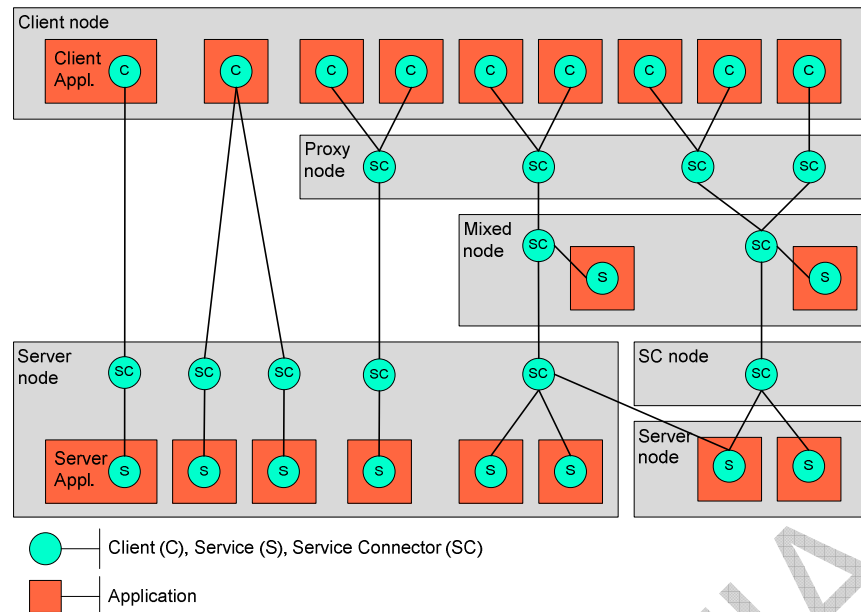
Figure 2 Connection Topology

Different connection topology types from left to right:
1. Client connected to one service
2. Client connected simultaneously to SCs and two services
3. Two clients connected to one service via proxy service and cascaded SC
4. Two clients connected to three services via proxy service on different server nodes
5. Complex configuration with three clients connected to three services on different server nodes via cascaded SCs and SC offloaded to its own node. One server can be registered to multiple services and different SCs. However this is possible for multisession servers only.

Limitation: The same service can be accessed by one SC only. When the same service should be used on different nodes, it must have a different name (e.g. node suffix).

Two different transport types can be individually configured for each network segment i.e. between the Client ⇔ SC, SC ⇔ SC or SC ⇔ Server.

a. HTTP
   Such connection may pass screening firewalls and is appropriate for communication within the customer organization e.g. Client ⇔ SC or SC ⇔ SC.
b. TCP/IP
   Such connection would not pass firewalls without explicit security rules. It is useful for connection within the same node e.g. SC ⇔ Server.

SC cascading is used for performance and/or for security reasons. It is transparent for the application.

2.2 Network Security

The SC does not implement any security feature. The environment where SC is used must provide all required authentication, authorization, encryption, tunneling etc. features. Message transport over https will not be supported.

The IP address of the client and the IP of the incoming TCP/IP traffic will be available in the message header and can be evaluated by the server. This can be used to authenticate and authorize the client when VPN tunnel is used. From the security viewpoint, the number of clients or server is not relevant. Meaningful are connections between nodes and security

measures taken to protect legal subjects to which the particular network segment belongs. The following schema shows some possible networks that may be configured to pass SC messages.
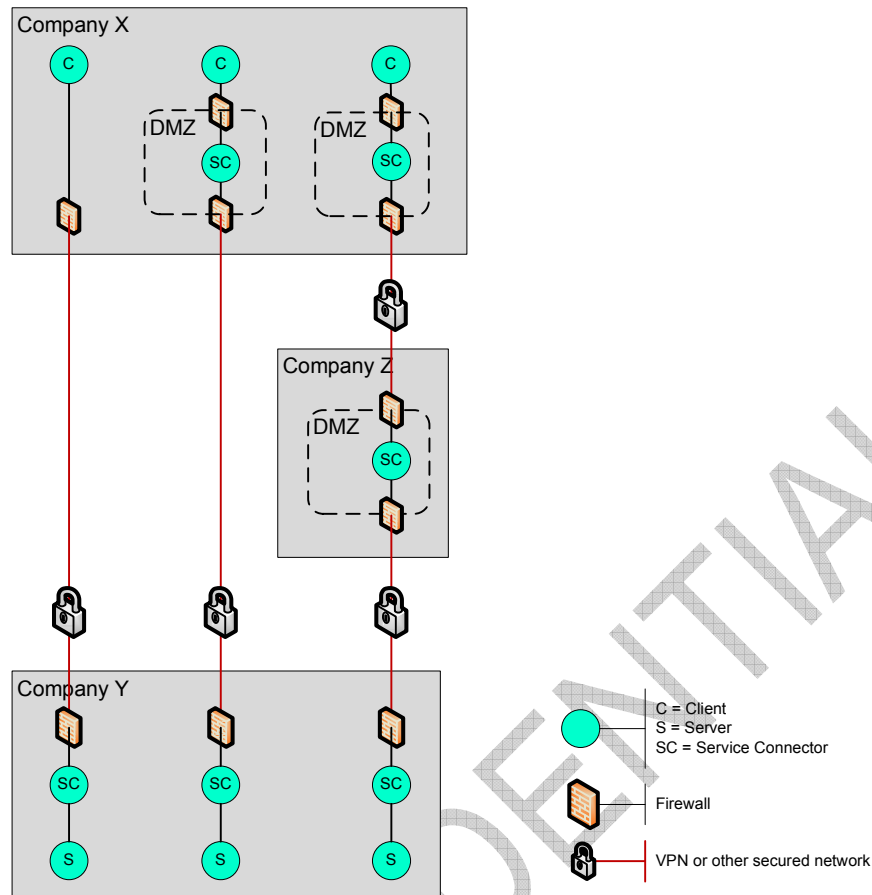
Figure 3 Network Security

Protocol The message transport between each of the SC components (green bullet) may be configured as plain TCP/IP or HTTP. The connection is <u>always</u> initiated by the client (top-down in the picture above). The client defines which transport (TCP/IP or HTTP) it will use. The transport protocol between SC and the next downstream component is configured in the SC configuration. TCP/IP is strongly recommended between SCs through VPN tunnels as well as between SC and the Server for performance reasons. HTTP is recommended between the client and SC. For connection between SC and Web Server or Application Server (Tomcat) only HTTP can be used.

Firewall Firewall with a proper configuration may be placed on any path between two SC components. Firewall between SC and the server is possible, but <u>not</u> recommended for performance reasons. For HTTP protocol the firewall can be configured to perform <u>statefull packet inspection</u> with HTTP filtering. For TCP/IP the appropriate port must be configured in the firewall.

When the message traffic will pass a firewall, HTTP protocol is recommended. In such case the SC can be seen as a regular Web-Server. The firewall can be configured to perform <u>statefull packet inspection</u> with HTTP filtering. For connection between SC and the server and for communications though VPN tunnels direct TCP/IP is recommended for performance reasons.

When HTTP connection is used and multiple parallel requests are in progress, SC will create multiple connections for each pending request in order to keep them balanced and so satisfy firewall inspection rules. (Statefull inspection rejects two subsequent GET/POST request without response from the server)

IP address list Multiple SCs may be placed on the communication path between the client and the server. In order to allow comprehensive authorization all IP addresses are collected and made available to the server as a list. The list contains of IP addresses in the form 999.999.999.999. The order in the list has a dedicated meaning. The list has at least three entries.
1. IP of the client at Company X
2. Incoming IP received by SC = IP of the VPN Tunnel
3. IP of the SC at Company Y

Client connected via cascaded SC placed in company's X DMZ will have the list in the format:
1. IP of the client at Company X
2. Incoming IP received by SC in company's X DMZ.
3. IP of the SC in company's X DMZ
4. Incoming IP received by SC = IP of the VPN Tunnel.
5. IP of the SC at Company Y

If the pairs 1,2 or 3,4 have different values, then the corresponding network segment uses VPN or NAT. As long as there is only one SC behind the VPN, the second last address is always the tunnel IP used for the authentication.

2.3 Network Connection Monitoring

The network connections between client ⇔ SC, SC ⇔ SC or SC ⇔ server is managed in a connection pool. New connections are created when necessary and deleted when they are idle for a long time.

Keepalive messages are sent in regular intervals on all idle connections initiated by a network peer. They are not sent on busy connections currently used for message exchange. E.g. while client is waiting for server response, the connection is busy and no keepalive message will be sent. On http connection statefull firewall inspection would reject two subsequent GET/POST request without previous response from the server in such case. Using of keepalive messages can be disabled by setting the *keepaliveInterval* = 0.

The only purpose of keepalive messages is to preserve the connection state in the firewall placed between the communicating peers and resets its internal timeout. Keepalive message is always initiated by the peer which has initiated the connection, because only outbound traffic may refresh the firewall timeout.

Client connections used for session or file services are mostly idle and will utilize the keepalive mechanism. Client connections used for publishing services are mostly busy. The mechanism to refresh the firewall timeout is built in the SCMP layer.

Server connections used for registering server are mostly idle and will utilize the keepalive mechanism. SC connections back to the server are also mostly idle and will utilize the keepalive mechanism.

When an error occurs while sending or receiving a keepalive message, or the response does not timely arrive a log entry is created, the connection is closed and deleted from the pool. No error is signalled to the application.

Broken network connection between the server and SC on which the server has registered to the service is detected in SC and an immediate cleanup is done. This speeds up the detection of lost servers.

The message has only a headline and no attributes and no body. The format is:

        KRQ 0000000 00000 1.3

for request and:

KRS 0000000 00000 1.3

for response.

2.4 Load balancing

The SC does not provide any load balancing features. Established communication session will not be redirected to another server node during its life time.

2.5 Failover

The SC does not provide any failover features. Aborted communication must be re-established by the communicating partners. The client application must find out a service which is alive.

2.6 Intrusion and Virus Protection

The entire network where SC is used is assumed to be safe and secure. No virus protection is embedded in SC. The customer may use screening firewall to protect the SC components. It is recommended to use SC within a DMZ.

SC is not designed to withstand network attacks like DOS or SYNC flood, or any other.

3   Service Model

The SC supports message exchange between requesting application (client) and another application providing a service (server). The client and the server are the logical communication end-points. The SC never acts as a direct executor of a service. The client can communicate to multiple services at the same time.
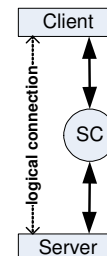
Multiple clients may request the same service at the same time. Parallel execution of the service is implemented by starting multiple server instances (multiprocessing), by starting a multisession server (multithreading) or by a combination of both. The server process can provide one or multiple service and decides how many sessions it can serve. Serving multiple services within one server process is possible for multisession servers only.

All services are independent on each other. Server application may request another service and so play the client role.

3.1 Session Services

This is a statefull Request/Response service initiated by the client. For session services the client and the server exchange messages in context of a logical session through the SC.

The client controls the creation and deletion of the session and so allocation of the server. The client can have multiple concurrent sessions to the same or to different services. **Because the server execution is always synchronous, only one pending request per session is possible at any time.**

When a session is created SC will choose a free server and pass this and all subsequent requests within this session to the same server. Session information is passed in each message as a part of the message header. Session is deleted intentionally by the client or aborted by SC upon an error.

3.1.1 Synchronous message delivery

The client sends a request to a service that invokes an application code. Upon completion the service sends back a response message. The client <u>waits</u> for the arrival of the response message. The request and response message length are not limited in size.
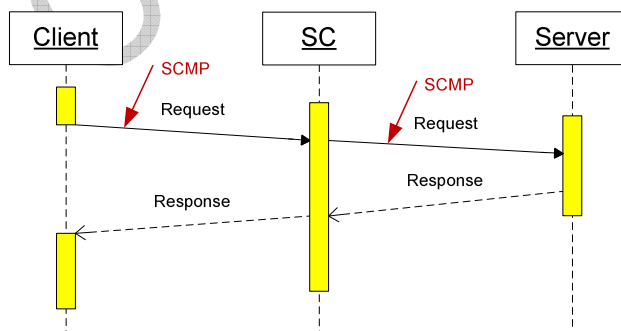
Figure 4 Synchronous Request/Response

This communication style is the most often used for getting data from the server or sending a message that triggers a transaction on the server.

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The message flow looks as follows:
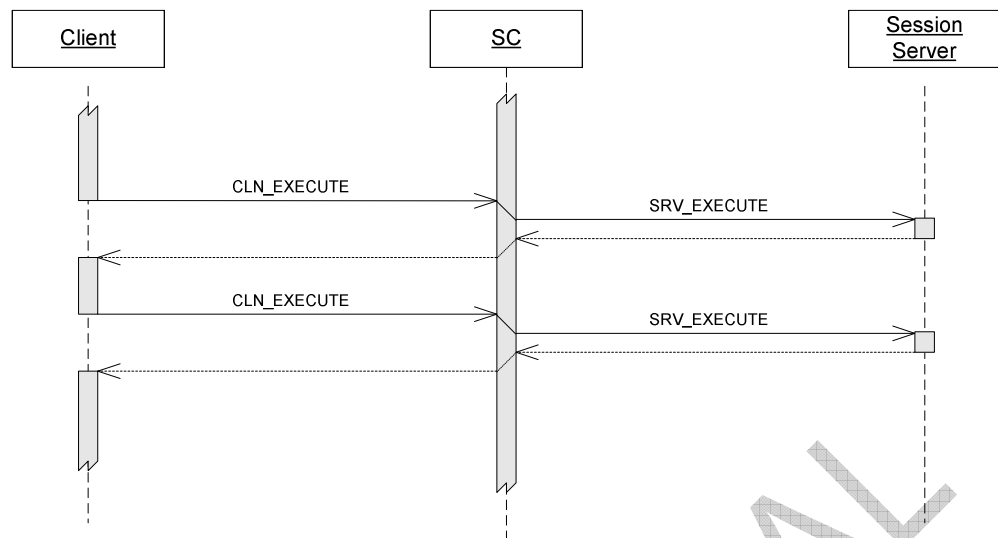
Figure 5 Synchronous Message Exchange.

3.1.2 Asynchronous message delivery

Asynchronous execution is functionally equal to the synchronous case with the exception that the client does not wait for the arrival of the response message. Fully asynchronous message exchange is not possible because the server execution is always synchronous. For this reason only the receipt of the server response can be asynchronous.

The client must declare a notification method that is invoked when the response message arrives.

Note!   **Only one pending request per session is allowed at any time. Subsequent request will block until the response for the previous message arrives.**
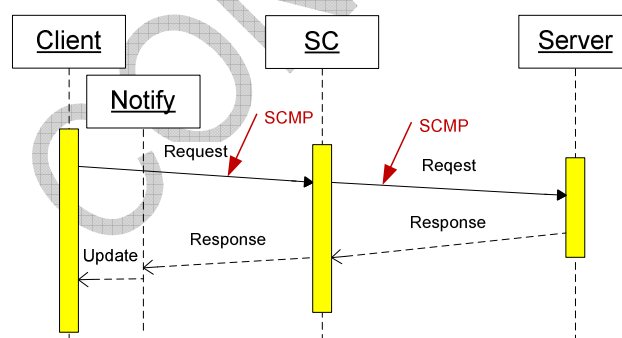
Figure 6 Asynchronous Request/Response

This communication style will be used to load data while other activities are in progress, e.g. to get large amount of static data at startup. It can be also used as fire-and-forget when the response is not meaningful. The message flow looks as follows:
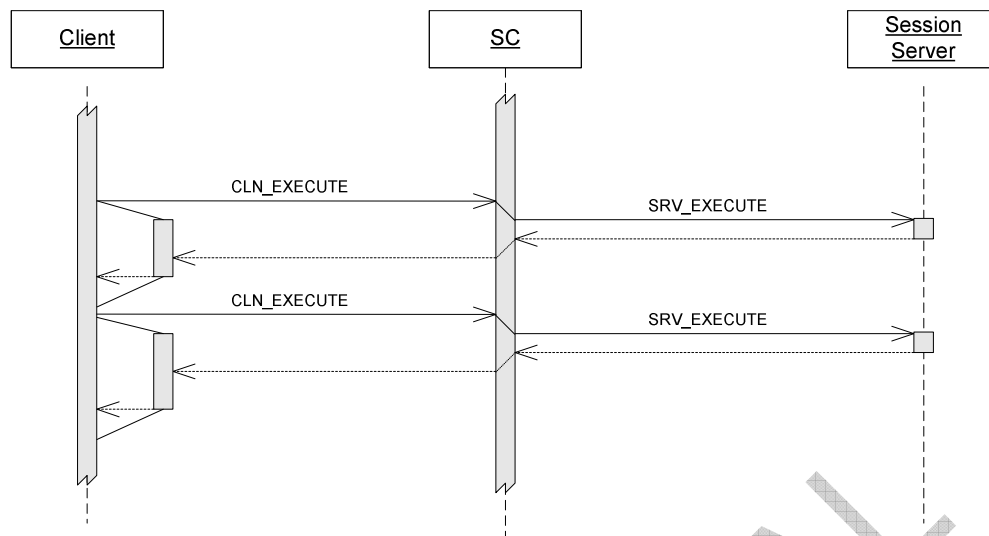
Figure 7 Asynchronous Message Exchange.

3.1.3 Large Messages

Regular request / response messages have a REQ / RES headerKey in the head line. Large messages are broken into parts with its own headerKey PRQ (part request) and PRS part response) see 7.1. The message flow looks as follows:
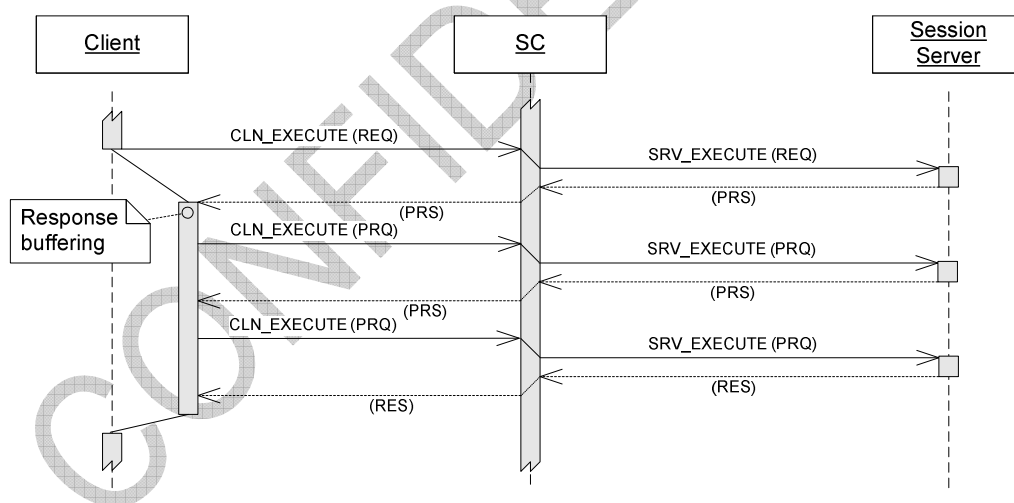
Figure 8 Large Response.

1. The client sends a regular request to the service.
2. The server receives the request messages and start producing the response.
3. When it reaches the maximal allowed length then it send the message part (PRS) and waits for the next part (PRQ)
4. At the end the server sends the last message part as a regular response (RES)
5. The message parts are buffered on the client side
6. When the final response arrives, the message is made available to the client.

In order to put together all message parts the server must allocate a unique partId and use it for all parts of one message. The message flow looks as follows:
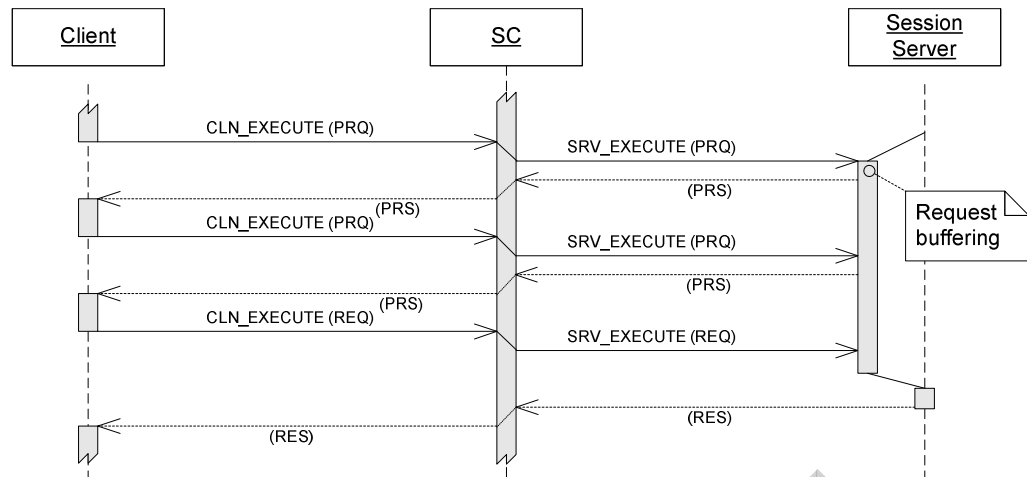
Figure 9 Large Request.

1. The client collects the request data and when it reaches the maximal allowed length then it sends the message part (PRQ) to the service.
2. The message part transported to the server and buffered here
3. When the final message part (REQ) arrives, the complete is made available to the server.
4. This will process the message and send back the response message.

Combination of large request and large response is also possible.

For large messages the messageId contains additional counter called part sequence number. This allows putting all message parts together. Message traffic with large request followed by a large response looks like (simplified):

```
REQ ..   mid=3
RES ..   mid=64
...
PRQ ..   mid=4/1
PRS ..   mid=65/1
PRQ ..   mid=4/2
PRS ..   mid=65/2
PRQ ..   mid=4/3
PRS ..   mid=65/3
REQ ..   mid=4/4
PRS ..   mid=66/1
PRQ ..   mid=5/1
PRS ..   mid=66/2
PRQ ..   mid=5/2
RES ..   mid=66/3
...
REQ ..   mid=6
RES ..   mid=67
```

3.1.4 Single Session Server

Single-session server registers itself for one service and may serve only one session at the same time. It must define *maxSession = 1* and *immediateConnect* = true. The required parallelism is reached by starting multiple instances of the same server. The SC keeps track of the registered servers and allocates / de-allocates sessions to them. The following schema shows message flow with single session server.
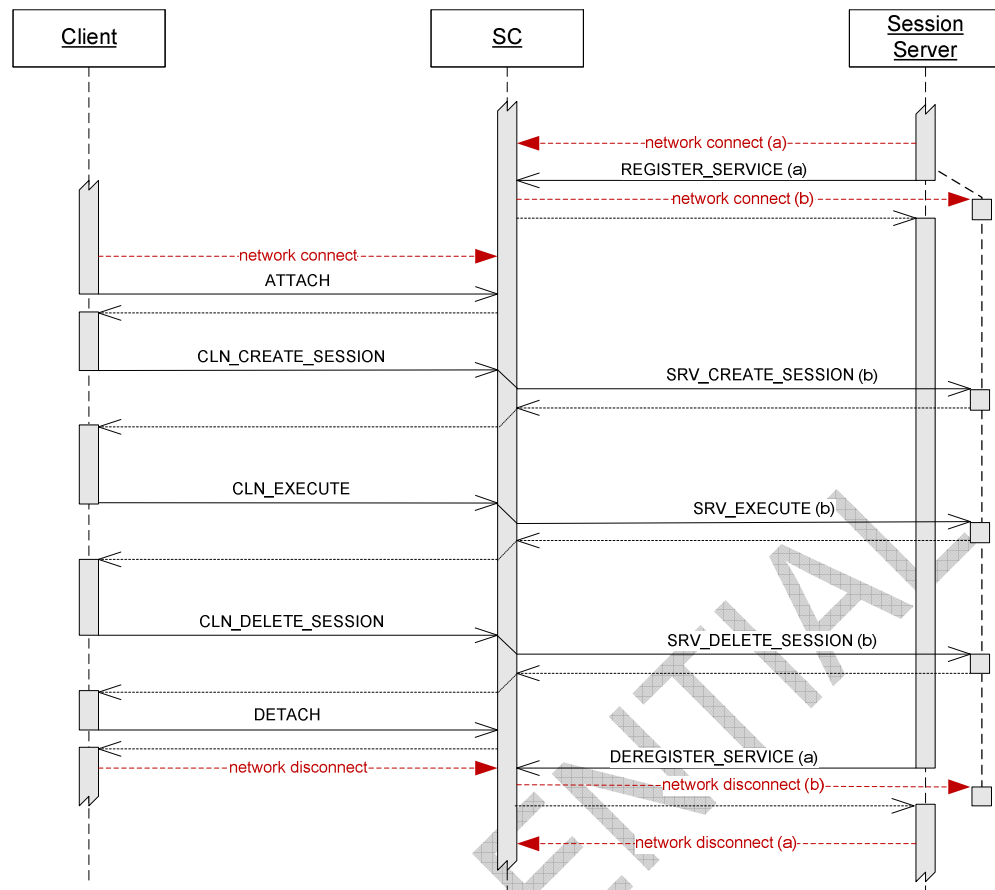
Figure 10 Single Session Server

Client
1. The client establishes a network connection to SC and starts communication with the ATTACH message.
2. Then it starts a session with a service with the CLN_CREATE_SESSION message
1. The SC allocates a server providing this service and notifies it about the session start with the SRV_CREATE_SESSION message. It also creates a unique sessionId for this session. If there is no free server instance available to this service, the SC will retry several times and finally returns the error "no free server available".
3. Then the client can exchange messages with the server via CLN_EXECUTE messages.
4. When the session with this service is no longer needed, the client will send the CLN_DELETE_SESSION message. The server is notified with the SRV_DELETE_SESSION message.
5. Before the client terminates, it should send DETACH message and then terminate the network connection to SC.

When session is abnormally terminated, the client is notified and will receive and error message. The reasons for this can be:
- Server sends DEREGISTER_SERVER
- Unexpected server exit
- Underlying communication error (e.g. unreachable node)

Note **The client may have multiple SCs connected at the same time. Per connected SC the client may have multiple active sessions at the same time. For each particular session only one request may be pending at any time.**

Server
1. The server establishes a network connection (a) to SC and starts communication and registers itself as an instance of a service with the REGISTER_SERVER message. At

that time it should have a listener that will accept the connection (b) initiated by the SC to this server.

2. The SC registers the server instance and will create network connection (b) back to it. Keepalive messages will also be sent on this connection, depending on the *keepaliveInterval* set in REGISTER_SERVER.

3. When a client creates a session the server is notified with the SRV_CREATE_SESSION message. The message contains additional information about the client and the sessionId. The server can accept or reject the session.

4. The server receives messages from the client as SRV_EXECUTE and sends them back after execution of the service that it implements.

5. When the client deletes the session, the appropriate server is notified with the SRV_DELETE_SESSION message.

6. When the server is no longer needed it sends the message DEREGISTER_SERVER. From this point the SC will not allocate it for a session and terminates the connection (b) to it. Then it can terminate the network connection (a) to SC.

When the session is abnormally terminated before, the server is notified with the SRV_ABORT_SESSION message. The reasons for this can be:
- Unexpected client exit and the subsequent expiration of the echo timeout
- Underlying communication error (e.g. unreachable node)

The network connection (a) must not be dropped until DEREGISTER_SERVER message is sent. Otherwise SC will treat this as server termination and clean-up its sessions and its registration.

Note   **The server must be active before the client will create a session. Otherwise the client will receive an error message. The server instance may serve single or multiple sessions at the same time as described later. Single session server may have only one SCs connected at the same time. For each particular session only one request may be pending at any time.**

3.1.5 Multi Session Server

In opposite to single session server, multi session server may serve multiple sessions at the same time. It uses a dynamic connection pool to exchange messages with the SC. The server registers itself for one or more services and defines reasonable high *maxSession* > 1. Depending on the *immediateConnect* the connection pool to the server is created immediately (*immediateConnect* = true) or when the first session is started (*immediateConnect* = false). The same connection is reused unless it is busy. Connections are closed when they are idle for a long time i.e. several subsequent keep alive messages have been sent. One connection is kept open for all times. When necessary, new connections are created on the fly. When the server deregisters, the connection pool is deleted and all existing connections are closed.

The attribute *maxConnections* specified in service registration tells the SC how many connections should be created and so limits the pool size. This allows network resource optimization when one server serves many rarely used sessions. When all connections in the pool are exhausted, SC will wait for a free connection and finally return an error.

The following schema shows message flow with multi session server with *immediateConnect* = true. The message flow looks as follows:
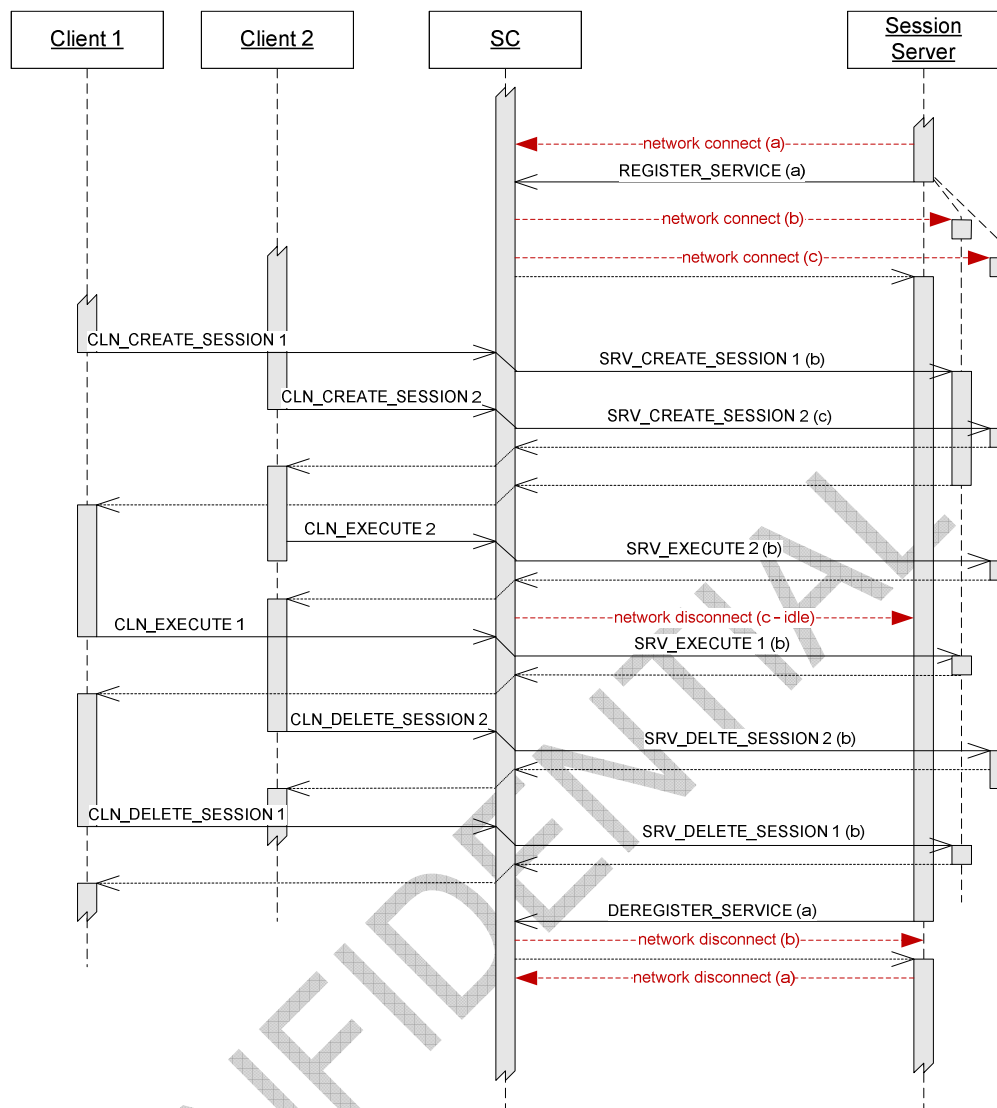
Figure 11 Multi Session server (immediateConnect = true).

The server receives requests on any of the network connections created by SC. It may use any available technique (e.g. multithreading), but must ensure that all requests are processed in parallel.

With flag *immediateConnect* = false connections are created by SC when the session is started. The same connection is reused unless it is busy. Connections are closed when they are idle for long time. The last active connection is closed when the session is deleted or aborted.

The following schema shows message flow with multi session server with *immediateConnect* = false. The message flow looks as follows:
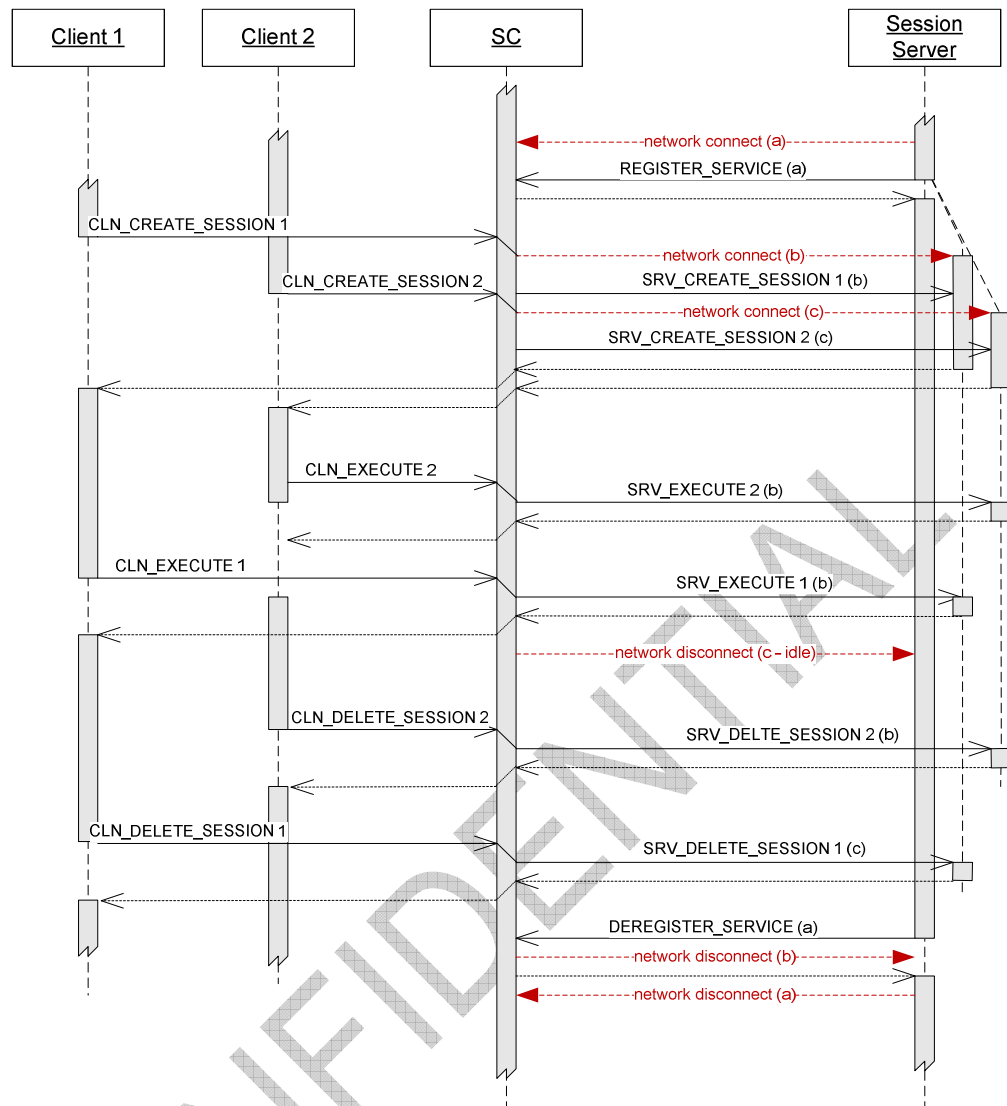
Figure 12 Multi-Connection server (immediateConnect = false).

The network connection (a) must not be dropped until DEREGISTER_SERVER message is sent. Otherwise SC will treat this as server termination and clean-up all its sessions and registration.

3.1.6 Application Server (Tomcat)

SCMP messaging with an application server (e.g. Tomcat) works exactly like a multi-connection server but utilizes the HTTP protocol between server and SC. The server must register itself for at least one service. It can register for multiple services! It must define reasonable high *maxSession* and *immediateConnect* = false. It is recommended to define also a reasonable high *maxConnections* attribute.

3.1.7 Session Monitoring

Session monitoring is based on ECHO messages, exchanged periodically for each active session between the client and the SC and on the network connection breakdown detection between SC and the server. Session monitoring is independent on the keepalive messages exchanged on active network connections.

The client sends ECHO message in predefined intervals for each session service when no other request is in progress. It receives a response message back from the SC. The SC monitors the *echoInterval* in which ECHO messages arrive and aborts the session when the interval is exceeded. SRV_ABORT_SESSION message is sent to the allocated server in such case.

The SC monitors the network connection to the server, which is assumed to be reliable. When the network connection on which it registers is broken or the SC cannot send a message to the server, server is assumed to be dead and clean up will be performed. This will delete all sessions of the server. When the client send a subsequent ECHO or CLN_EXEUTE message it will be notified about the deleted session.
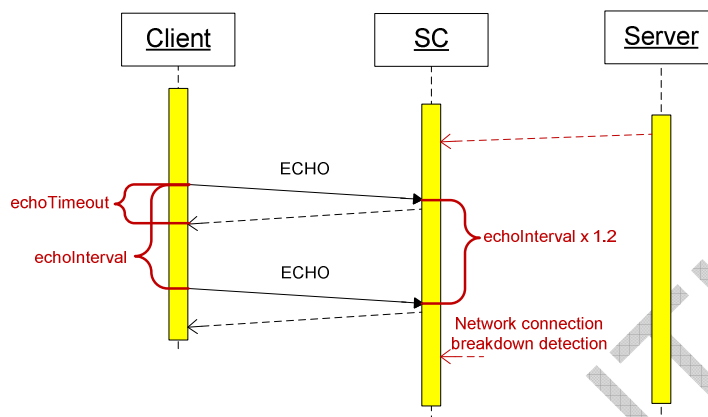
Figure 13 Session Monitoring

Based on the *oparationTimeout* SC monitors the response time of the server for request message sent from client to the server. When this timeout expires for SRV_EXECUTE, SC will notify the client but will NOT clean up the session. It may still be valid, just the server may be slow. When the timeout expires for SRV_CREATE_SESSION, the session is not created. When the timeout expires for SRV_DELETE_SESSION, the session is deleted.
The session maintained in SC can be deleted due to these reasons:
- The client deliberately closes the session by sending CLN_DELETE_SESSION
- The server crashes and loses connection to the SC
- The SC will not receive ECHO, for longer than the *echoInterval*.

Client Abort   When client aborts its activity abruptly while a request is pending, then SC will not be able to deliver the response. The session will be cleaned and the server will be notified with SRV_ABORT_SESSION message when the *echoInterval* is exceeded.

When idling client aborts its activity abruptly, then SC will not detect the session breakdown before the *echoInterval* is not exceeded. During this time new sessions can be created by the restarted client. Old sessions may still exist in SC some time until they will expire. Consequently the server may be still allocated and may receive SRV_ABORT_SESSION message for the old session after the SRV_CREATE_SESSION for the new session.

When idling client loses all connection to SC due to short temporary network unavailability, then the connection may be re-established without loss of the sessions. This is true only if the breakdown is shorter than the *echoInterval*, and no other message is sent to SC in the mean time.

Server Abort   When server aborts its activity abruptly (without a neat deregister), then SC will detect the connection breakdown immediately (on the connection on which the server registers) and will clean up all its sessions. Subsequent client messages for the deleted sessions may cause the error response "Invalid Session ID". After server restart, new connections to and from SC will be established. The server can then be allocated to new sessions.

c:\stabilit\projects\eurex\sc\documents\sc_0_scmp_e.doc

SC Abort   When SC crashes, client will detect the connection breakdown when a new message is sent. It should perform cleanup followed by a reconnect. The server will detect the connection SC breakdown on the connection on which it listens and should perform a cleanup followed by reconnect and service registration.

After SC restart, new connections will be established. The servers must register before the client starts new session. Otherwise the client gets the errors "no free server available" or "server not available".

3.1.8 Server Allocation

When a session is created SC will choose a free server and allocate it to the session. The SC uses a modified round-robin algorithm to find a free server.
Example:

When 3 server instances A1, A2, A3 have been started and each instance can serve 10 sessions named Ax-y, then the sessions will be allocated in this sequence:
A1-1, A2-1, A3-1, A1-2, A2-2, A3-2, A1-3, A2-3, A3-3, … A1-10, A2-10, A3-10

Because the session can be deleted in any order, holes in the sequence many emerge and the server load will be not homogenous after a time.

3.1.9 Message Caching

Response messages for session services sent by server to clients can be cached within SC. Clients may fetch the message from the SC cache without a server interaction. This feature massively reduces the server load.

In order to insert the message into the cache the server must designate the response message with the attributes *cacheId* and *cacheExpirationDateTime* like the following example:

cid=CBCD_SECURITY_MARKET
ced=2010-08-16T20:00:00.000+0000

SC passes the message to the requested client and stores it also in a cache under the ID defined by *cacheId.* The *cacheId* is unique per service. If an older message exists in the cache, it will be overwritten. The *cacheExpirationDateTime* controls the cache validation. The server defines the expiration of the cache by using the *cacheExpirationDateTime* attribute. SC looks periodically for cached messages which has already expired and deletes them from the cache.

In order to fetch a message from the cache the client must use the same *cacheId* and the *cacheExpirationDateTime* must be timely before *cacheExpirationDateTime* of the cache. The request message must contain these attributes like the following example:

cid=CBCD_SECURITY_MARKET
ced=2010-08-16T17:00:00.000+0000
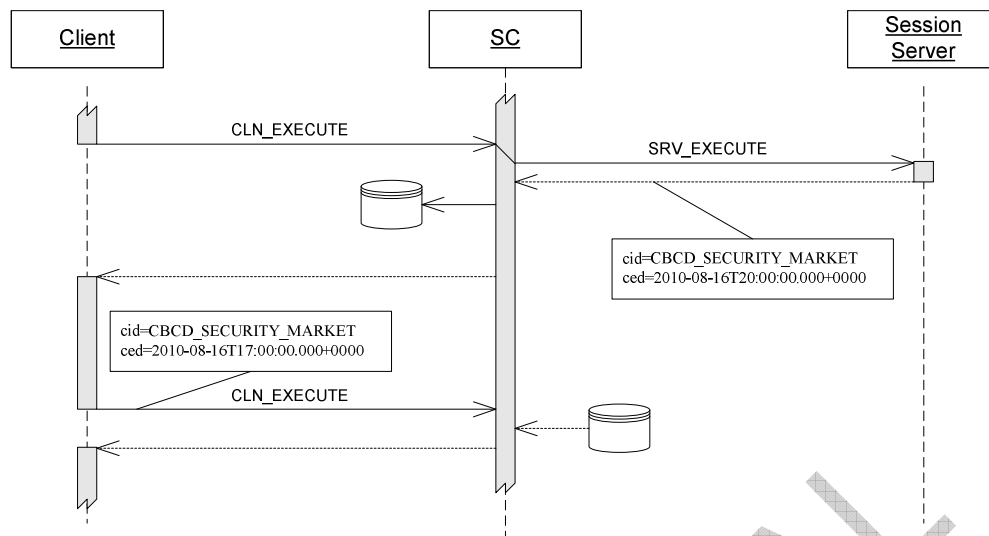
Figure 14 Message Caching

If the given *cacheId* is not found or the requested timestamp is timely after the *cacheExpirationDateTime* of the cache, then SC will pass the request to the server. If client doesn't want a cached message he should omit the *cacheId* in the request.

Client and server should agree on *cacheId* which must be unique across all services. In cascaded SC configurations cache is created in all SC nodes along the path from client to the server.

The following picture shows the impact of the *cacheExpirationDateTime* in the messages.
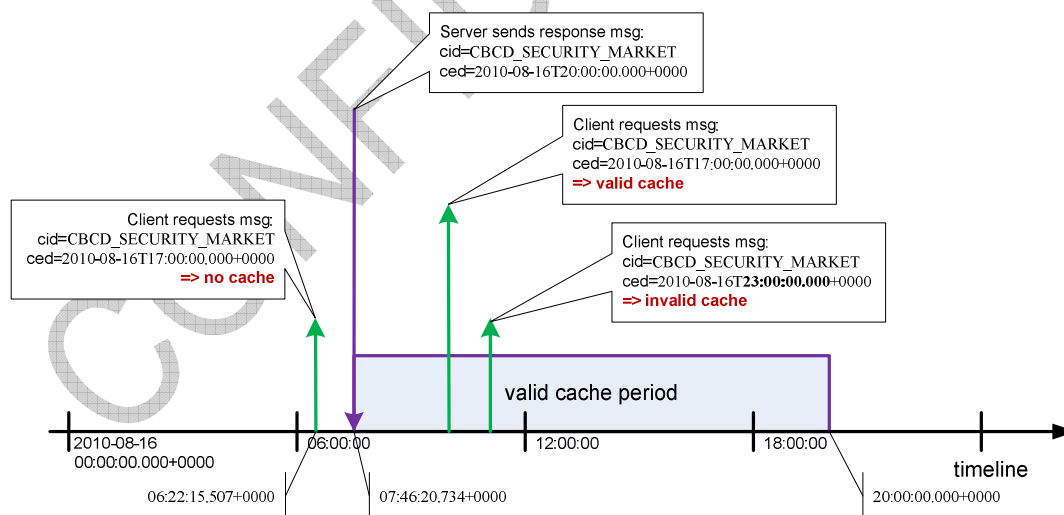
Figure 15 Caching rules

While SC is building up the cache, client request may be delayed to await the build completion and to take advantage of the cached message. Caching of large messages is supported.

This mechanism allows using the cache in different time zones. The server can terminate the particular message validity by an absolute date and time. The client can define how long it will use the message and so define its expected validity.

3.2 Publishing Services

Subscribe/Publish (server initiated communication). Publishing services allows the server to send single message to many clients through the SC.
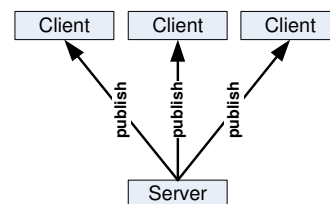
The client sends a subscription mask to the service, and so declares its interest on certain type of a message. The application service providing the message contents must designate the message with a mask. When the message mask matches the client subscription mask, the message will be sent to the client. Multiple clients may subscribe for the same service at the same time. In such case multiple clients can get the same copy of the message. Message that does not match any client subscription is discarded.

The client must declare a notification method that is invoked when the message arrives. The client may have only one outstanding subscription per service. The message delivery must occur in guaranteed sequence. Messages from the same service will arrive in the sequence in which they have been sent.
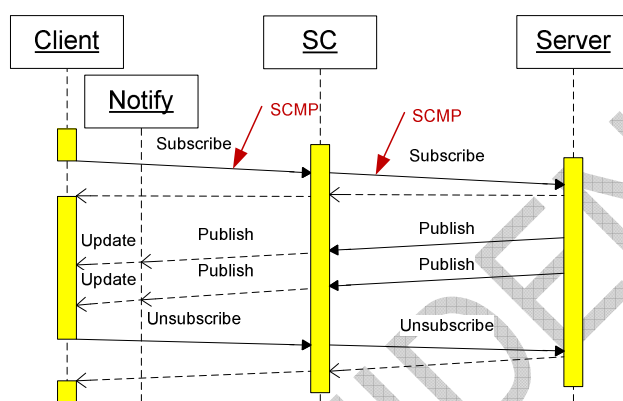
Figure 16 Subscribe / Publish

The client may change the subscription mask or unsubscribe. Initial subscription, subscription change or unsubscribe operation is always synchronous, even through a cascaded SCs.

Such communication style is used to get asynchronously events notifications or messages that are initiated on the server without an initial client action. It can be also used to distribute the same information to multiple clients.

The following schema shows message flow with a publishing server.
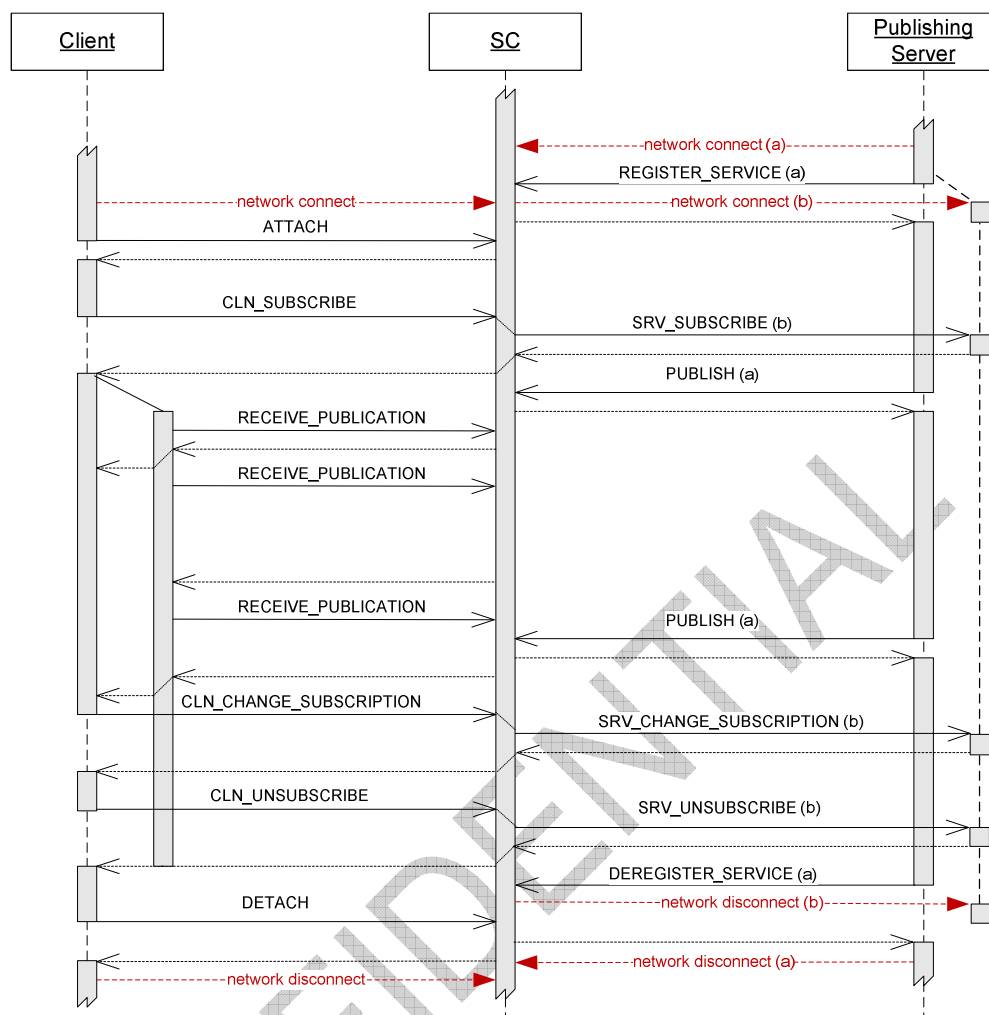
Figure 17 Publishing Service

Client

2. The client establishes a network connection to SC and starts communication with the ATTACH message. Keepalive messages can be sent on this connection.

3. Then it subscribes to a service with the CLN_SUBSCRIBE message and starts a listener that will receive the incoming messages.

4. The SC remembers the subscription and also creates a unique sessionId for it. It also notifies the server registered to this service with the SRV_SUBSCRIBE message. If there is no server, the client gets an error.

5. When a message is published, SC compares the message mask with the subscription mask and based on the matching result delivers the message to the client. The client receives and processes the message and initiates the next receipt with the RECEIVE_PUBLICATION message.

6. When no message is published within a period of time (defined by *noDataInterval*) then SC sends an empty message to the client and this initiates the next receipt with the RECEIVE_PUBLICATION message.

7. The client can change the publication mask with the CLN_CHANGE_SUBSCRIPTION message or terminate the subscription with CLN_UNSUBSCRIBE message. In both cases the server is notified with the SRV_CHANGE_SUBSCRIPTION or SRV_UNSUBSCRIBE message. The client should ignore errors resulting from the unavailability of the server when sending CLN_UNSUBSCRIBE.

8. Before the client terminates, it should send DETACH message and then terminate the network connection to SC.

When the client terminates abnormally, the SC will clear its subscription, discard all messages not delivered yet and notify the server with the SRV_UNSUBSCRIBE message. The reasons for this can be:

- Unexpected client exit
- Underlying communication error (e.g. unreachable node)

Note   **The client may have multiple SCs connected at the same time. Per connected SC the client may have multiple subscriptions to different services at the same time. For each subscription only one receipt request may be pending at any time.**

Server
1. The server establishes a network connection to SC and starts communication and registers itself as an instance of a service with the REGISTER_SERVER message. Keepalive messages can be sent on this connection.
2. The SC registers the server instance and will create network connection (b) back to it. Keepalive messages will also be sent on this connection, depending on the *keepaliveInterval* set in REGISTER_SERVER.
3. When a client subscribes or changes the subscription the server is notified with the SRV_SUBSCRIBE or SRV_CHANGE_SUBSCRIPTION message. The message contains the subscription mask and additional information about the client. The server can accept or reject the subscription or its change. In opposite to session services the server instance is not allocated for the session, but just processes the notification.
4. Now the server can publish messages to the service. SC immediately responds when the PUBLISH message has been queued. The server does not wait for message delivery to the clients. The published message must have a mask designating its contents.
5. The SC compares the mask with the subscription mask of the clients and delivers the message to them. Messages that do not match any subscription are discarded. The server does not know how many clients did get the message or if any at all.
6. Messages are delivered in the order of their publishing. E.g. in order SC receives them. For this reason they are queued within SC.
7. When the client unsubscribes, the server is notified with the SRV_UNSUBSCRIBE message.
8. When the server is no longer needed it sends the message DEREGISTER_SERVER. Then it can terminate the network connection (a) to SC.

When the client terminates abnormally, the server is notified with the SRV_UNSUBSCRIBE message. In cascaded SC topology the server is notified with the SRV_CHANGE_SUBSCRIPTION instead of the SRV_UNSUBSCRIBE message. This is because of the fan-out within SC.
The reasons for this can be:

- Client sends DETACH message while it has a pending subscription
- Unexpected client exit
- Underlying communication error (e.g. unreachable node)

Note   **The server must be active before the client will subscribe. Otherwise the client will receive an error message.**

When the server terminates timely before the client, the client should ignore the error resulting from the unavailability of the server.

Multiple publishing servers may register to the same service. Also like a session server multiple sessions per server are allowed. SC chooses one server instance which is not busy when a notification must be processed. Unlike a session server the chosen server instance is allocated only for the time of the notification processing (one request).

3.2.1 Large Messages

Publishing of large messages works on server like sending a large response and on client like receiving a large response.

The server publishes the messages parts to the service. SC immediately responds when the PUBLISH message part has been queued. All message parts must have the same mask. The server does not wait for message delivery to the clients. The SC delivers the message parts to the subscribed clients in the right sequence like any other message. The message parts are buffered on the client until the final part arrives. Then it is passed to the application.

Note   **Publishing of large messages is <u>not</u> possible from multiple servers to the same service. Only one server may publish a large message to a service at the same time.**

The queuing of messages in SC and buffering of message parts in the client is independent. The message flow looks as follows:
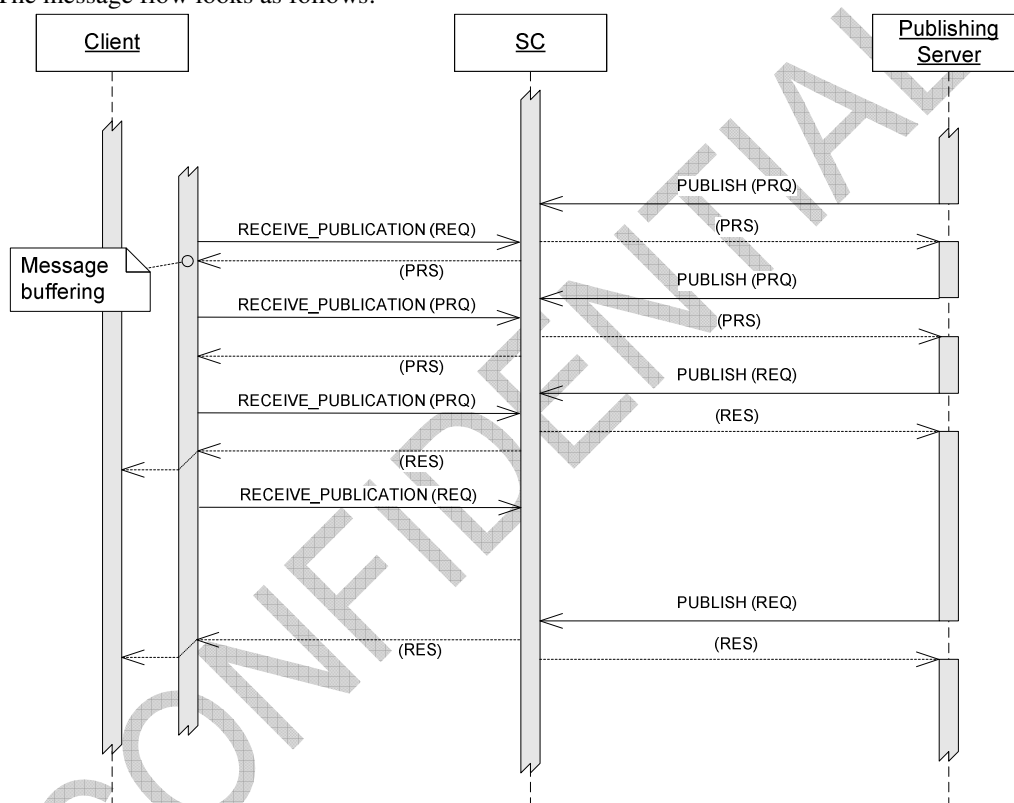
Figure 18 Large Published Message

3.2.2 Subscription Monitoring

Subscription monitoring is based on the RECEIVE_PUBLICATION messages, exchanged periodically between the client and the SC for each active subscription and on SRV_ECHO messages exchanged periodically between the server and the SC for each registered server Subscription monitoring is independent on the keepalive messages exchanged on active network connections.

The client sends RECEIVE_PUBLICATION message in order to get the published data. The SC sends either a response message with data or an empty response with the *noData* flag when no data is available and the *noDataInterval* is exceeded. The client starts then immediately a new RECEIVE_PUBLICATION request.

c:\stabilit\projects\eurex\sc\documents\sc_0_scmp_e.doc   Copyright © 2010 by STABILIT Informatik AG

Whenever SC cleans up a subscription it sends a SRV_UNSUBSCRIBE or SRV_CHANGE_SUBSCRIPTION message to the publishing server.

The SC monitors the network connection to the server, which is assumed to be reliable. When the network connection on which it registers is broken or the SC cannot send a message to the server, server is assumed to be dead and clean up will be performed.

Note: Server clean up has no impact on the client subscriptions! The client may still receive messages from other servers. If no server for the particular service will be available, the client will get error on subsequent CHANGE_SUBSCRIPTION or unsubscribe operations.
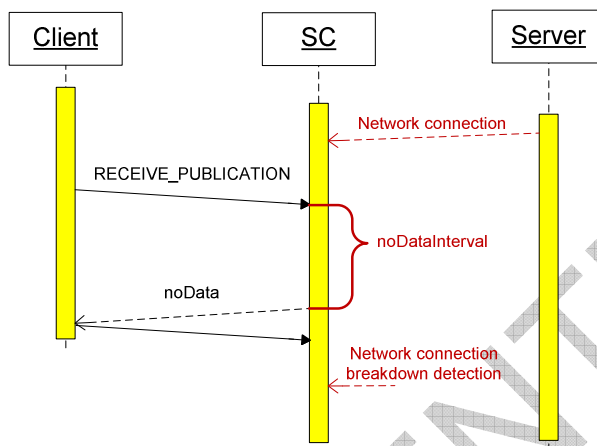
Figure 19 Subscription Monitoring

Client Abort Client subscribed to a service has always a pending RECEIVE_PUBLICATION request. When it aborts its activity abruptly the delivery of the response message will fail and the SC will clean up its subscription.

Server Abort When a publishing server aborts its activity abruptly (without a neat deregister), then SC will detect the connection breakdown immediately (on the connection on which the server registers) and will clean up all its registration. The subscribed clients are not informed. New server should be started immediately in order to handle incoming client subscriptions. Otherwise the operation will fail.

SC Abort When SC crashes, client will detect the connection breakdown immediately, because it has a pending request. It should perform cleanup followed by a reconnect. The server will detect the connection breakdown on the connection on which it listens and should perform a cleanup followed by reconnect and service registration.

After SC restart, new subscriptions will be established. The servers must register before clients will subscribe. Otherwise the client gets the errors "no free server available" or "server not available".

3.2.3 Server Allocation

When a subscription is created SC will choose a free server and pass the request to it. The SC uses a modified round-robin algorithm.
Example:

> When 3 server instances A1, A2, A3 have been started and each instance can serve 10 sessions named Ax-y, then the sessions will be allocated in this sequence:
> A1-1, A2-1, A3-1, A1-2, A2-2, A3-2, A1-3, A2-3, A3-3, … A1-10, A2-10, A3-10

The algorithm looks for next free session in the sequence. Because the subscription does not allocate the server, homogenous load of the servers is guaranteed.

3.2.4 Message Fan-Out

Subscription for a publishing service is kept in the SC to which the client is attached. In cascaded SC configurations this is the nearest SC node. The client subscription is combined with subscriptions of all other clients and passed to the next SC node. I.e. the SC behaves itself like a subscribing client.

When a SC receives a published message it will pass it to all clients with matching subscription. So it does also for a cascaded SC that subscribes on behalf of its clients. In this way only one message is sent to the cascaded SC where it is distributed to all clients according to their mask. This feature massively reduced outbound network traffic to the clients.
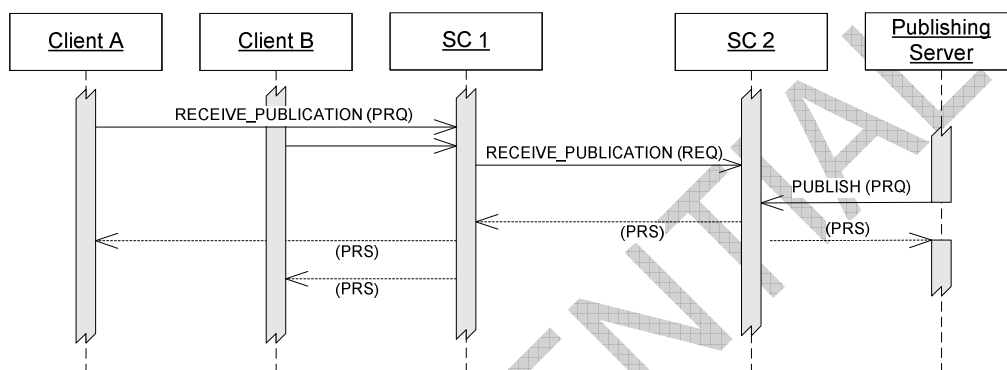
Figure 20 Message Fan-Out

Because the SC1 subscribes on behalf of its clients, it propagates new subscriptions or deletion of a subscription as subscription change on the SC2.

3.3 File Services

This SC service provides API for these file operations:
- Download file from the web server to the client.
- Upload file from the client to the web server.
- List files in a file repository on the web server.
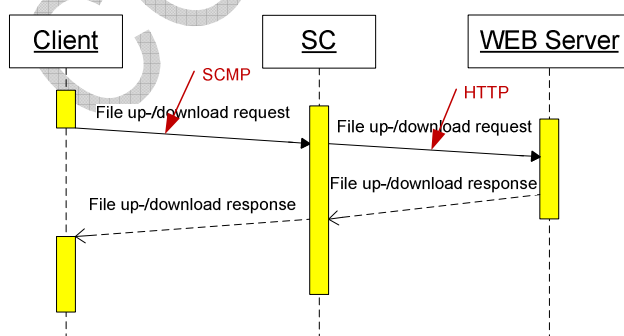
Figure 21 File service

This SC service provides API for these file operations:
- Download file from the web server to the client.
- Upload file from the client to the web server.
- List files in a file repository on the web server.

The SC configuration maps a service name to a directory on the web server (virtual host). The client initiates the upload or download of the file for a service. Session context is <u>not</u> required for the file transfer. In this way the client may upload or download file in different server locations. Directory structures (tree) are not supported. No security checks are done. The upload must be enabled in the web server. See http://commons.apache.org/fileupload/

List of files is provided as *tbd.*

The Web Server is not registered to the service. It is configured to allow file upload, download and directory browsing for the specific directories (virtual hosts). The message flow looks as follows:
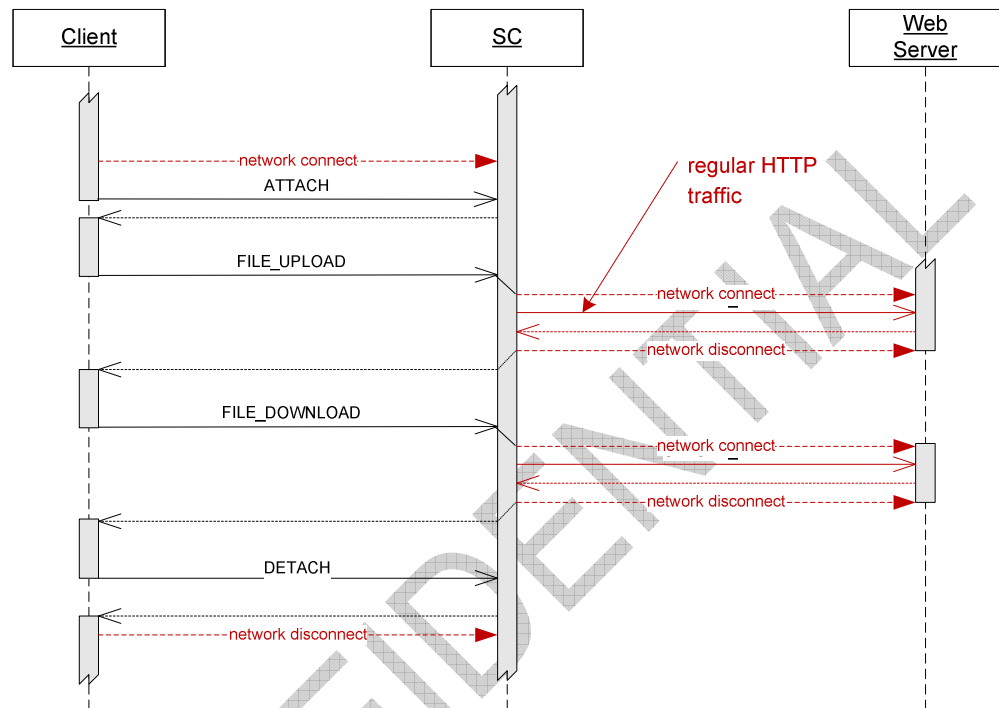
Figure 22 File upload and download.

The network connections are dynamically created form SC to the server when they are needed. Multiple HTTP requests can be pending at the same time, each one using one network connection. The limitation of 64kB for SCMP messages does not apply for traffic to and from the Web Server. Cascaded SCs on the path to the web server are transparent for the client.

3.4 HTTP Proxy Services

The SC supports redirecting of regular HTTP traffic to another server. It is acting like normal HTTP proxy without caching.
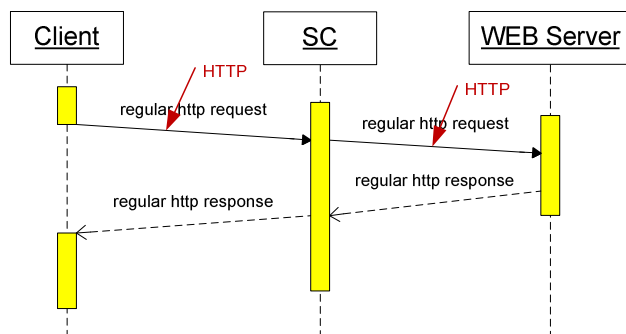
Figure 23 HTTP proxy service

HTTP traffic through the SC is possible without a service and session context. SC receives the http requests on a dedicated port and passes all HTTP traffic to the configured server. It acts like a HTTP proxy. The requested url must be resolved (dns) by the underlying network infrastructure. SC does not provide any name resolution. The message flow looks as follows:
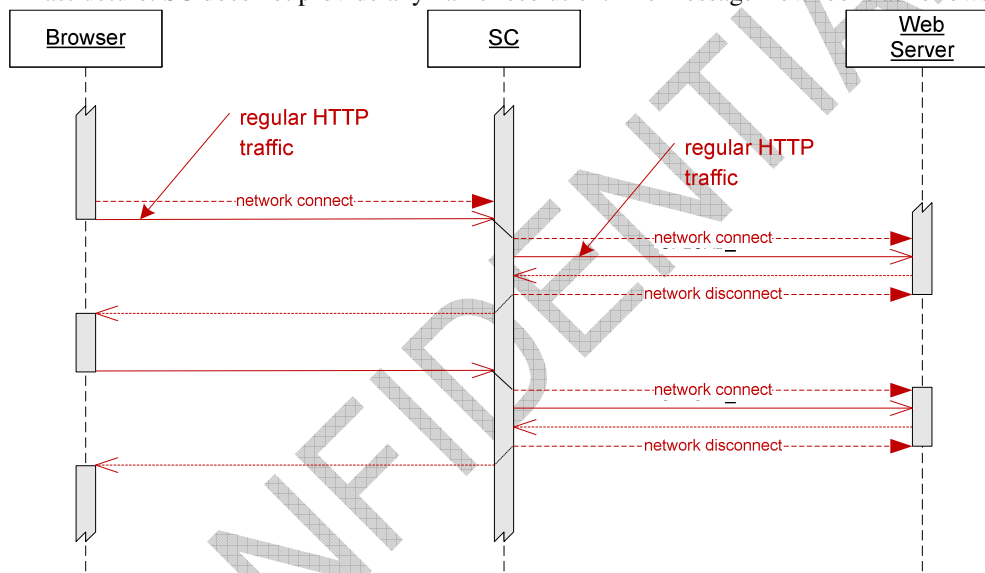
Figure 24 HTTP proxy

The Web Server is not registered to any service. The SC configuration has one destination (node + port) for redirection of the HTTP traffic. The network connections are dynamically created form SC to the server when they are needed. Multiple HTTP requests can be pending at the same time, each one using one network connection.

The limitation of 64kB for SCMP messages does not apply for traffic to and from Web Server.

4　　　　　　　　　　　　　　　　**Proxy Services**

Tbd.

4.1　Service Routing

Tbd.

5   State Diagrams

The following chapter shows state diagrams for the client and the server.

5.1 Client

Session Service
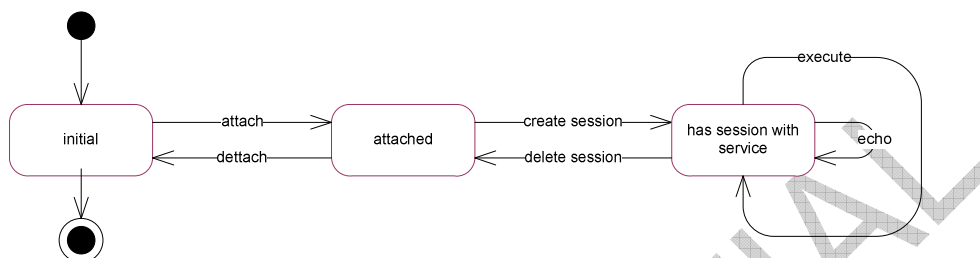
Figure 25 Client states for session service

Message exchange is only possible within a session. File services are available in attached state. On error the following state is established:

- Error in ATTACH results in *initial* state
- Error in DETACH results in *initial* state
- Error in CLN_CREATE_SESSION results in *attached* state
- Error in CLN_DELETE_SESSION results in *attached* state
- Error in CLN_EXECUTE results in *has session with service* state. Except of the error "Invalid Session ID".
- Error in ECHO results in *attached* state

Client getting error on CLN_EXECUTE must issue CLN_DELETE_SESSION.

Publishing Service
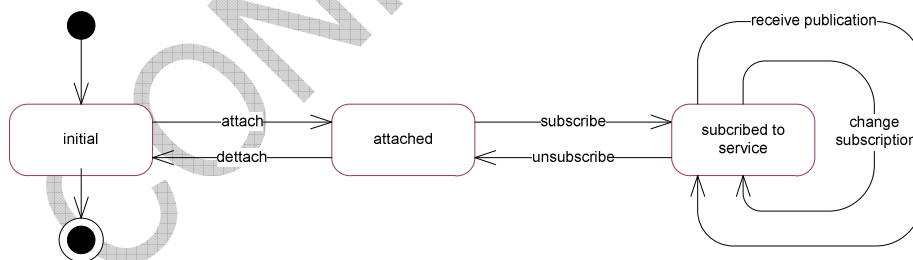
Figure 26 Client states for publishing service

On error the following state is established:

- Error in ATTACH results in *initial* state
- Error in DETACH results in *initial* state
- Error in CLN_SUBSCRIBE results in *attached* state
- Error in CLN_UNSUBSCRIBE results in *attached* state
- Error in CLN_CHANGE_PUBLICATION results in *subscribed to service* state
- Error in RECEIVE_PUBLICATION results in *subscribed to service* state

Client getting error on RECEIVE_PUBLICA must issue CLN_UNSUBSCRIBE.

5.2 Server

Session Service
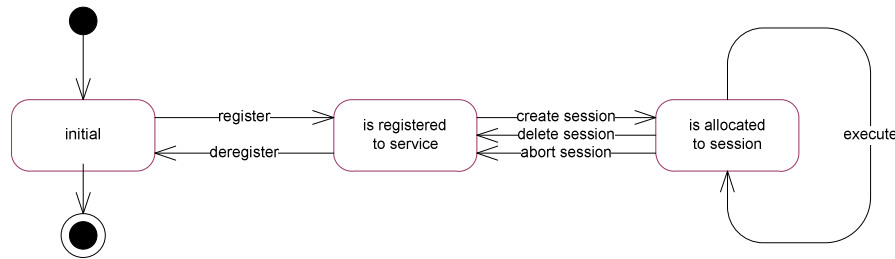
Figure 27 Server states for session service

The de-allocation of the server may happen either due to a regular client action (delete session) or die to an error handled by SC (abort session). On error the following state is established:

- Error in REGISTER_SERVER results in *initial* state
- Error in DEREGISTER_SERVER results in *initial* state
- Error in SRV_CREATE_SESSION results in *is registered to service* state
- Error in SRV_DELETE_SESSION results in *is registered to service* state
- Error in SRV_ABORT_SESSION results in *is registered to service* state
- Error in CLN_EXECUTE results in *is allocated to session* state.

Publishing Service
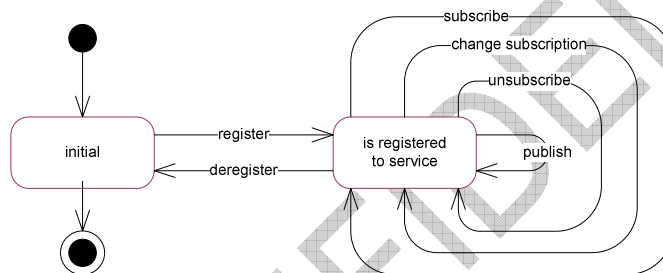
Figure 28 Server states with publishing service

Publishing server gets short requests to verify the subscribing client when it subscribes, un-subscribes or changes its subscription. No durable session is created. On error the following state is established:

- Error in REGISTER_SERVER results in *initial* state
- Error in DEREGISTER_SERVER results in *initial* state
- Error in SRV_SUBSCRIBE results in *is registered to service* state
- Error in SRV_UNSUBSCRIBE results in *is registered to service* state
- Error in SRV_CHANGE_PUBLICATION results in *is registered to service* state
- Error in PUBLISH results in *is registered to service* state.

SC   Page 35
Service Connector   SC_1_SCMP-V1.0_E
Relationship Diagram   V2.14

6   Relationship Diagram

The following chapter explains the relationship between the SC entities.

6.1 Client

Client may have one or more concurrent connections to SC instances. For one SC connection client may have one or more concurrent sessions or subscriptions to services. Multiple sessions or subscriptions to the same service are possible.
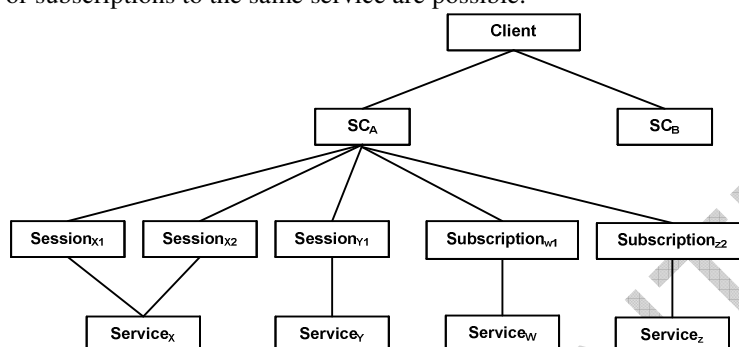
Figure 29 Client relationships

6.2 Server

Single Session Server

Single session server may have only one concurrent connection to a SC. It may register for only one service and only one session.
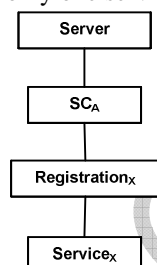
Figure 30 Single Session Server relationships

Multi Session Server

Multi session server may have multiple concurrent connections to SC instances. Within one connection it may register to serve multiple sessions of the same service. When it will serve multiple services, it can use the same connection to SC.
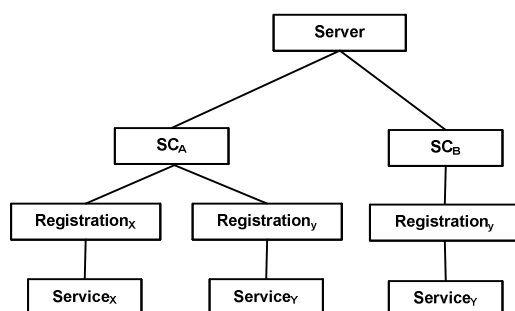
Figure 31 Multi Session Server relationships

7   Message Structure

The Service Connector Message Protocol (SCMP) uses a simple header – body structure.
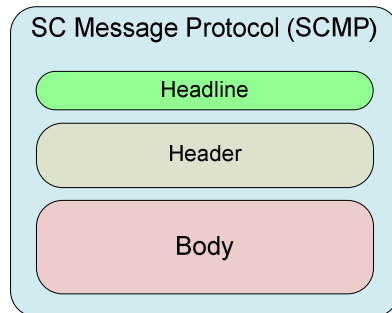
Figure 32 Message Structure

Message example as visible with Wireshark:


```
REQ 0000137 00137 1.3<LF>
mty=ATT<LF>
snt=360<LF>
rct=30<LF>
ldt=2010-04-07T18:22:14.593+0200<LF>
ver=1.0-000<LF>
```
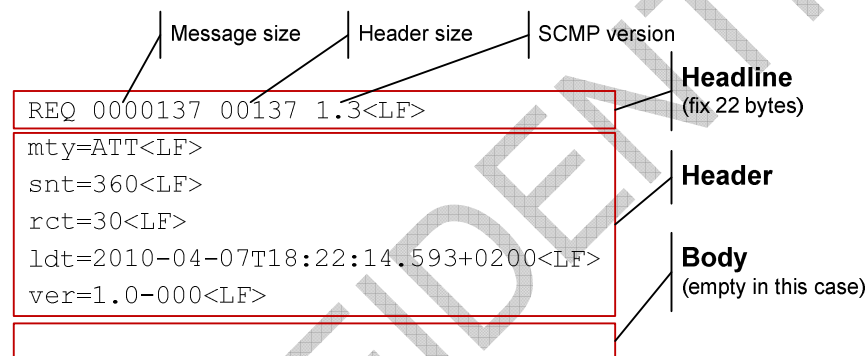
Figure 33 Message Structure Example

7.1 Headline

The fix size (22 bytes) headline defines the header key, the total size of the message, size of the header and the SCMP version. It is encoded in ISO-8859-1 (Latin 1) and terminated by <LF>.

HeaderKey The header key defines the purpose of the message and can be:
- REQ – Request from client or server to SC or request from SC to server
- RES – Response from server to SC or SC to client or to server
- PRQ – Large request part from client or server to SC or part request from SC to server
- PRS – Large response part from server to SC or SC to client
- KRQ – Keepalive request
- KRS – Keepalive response
- EXC – Exception returned after REQ, PRQ or KRQ in case of an error

Message size The complete size of the message in bytes counted from the beginning of the header until the end of the message body. The number is 7 bytes long and has leading zeros. (The maximal allowed message size on OpenVMS platform is 64kB. On other platforms the limit is 9'999'999 bytes.)

Header Size The size of the message header in bytes counted from the beginning of the header until the end of the message header. The number is 5 bytes long and has leading zeros.

Version   The SCMP version is the version of the **protocol specification** to which this message adheres. It has fixed format 9.9 and it ensures that the receiver knows how this message is structured. The version number (e.g. 2.5) means: 2 = Release number, 5 = Version number.
The receiver may implement multiple protocol versions, thus "understand" older versions. The following matching rule applies:
- Message: 2.5 + receiver implements: 2.5 => compatible
- Message: 2.5 + receiver implements: 2.6 => compatible
- Message: 2.7 + receiver implements: 2.5 => <u>not</u> compatible
  (message may have new headers unknown to the receiver)
- Message: 1.4 + receiver implements: 2.5 => <u>not</u> compatible
  (old message structure and possibly not understood here)
- Message: 2.5+ receiver implements: 1.8 => <u>not</u> compatible
  (new message structure and surely not understood here)

7.2 Header

Message header has variable length and contains attributes of variable number and length. Each attribute is on a separate line e.g. delimited by <LF>. Attributes and values are encoded in ISO-8859-1 (Latin 1) character set.

Note:
"=" or <LF> characters are not allowed within attribute names and/or values.

Example:
```
mty=ATT
kpt=10
ldt=2010-04-07T18:22:14.593+0200
```

Unknown header attributes will be ignored and **<u>not</u>** forwarded. The sequence order of the attributes is meaningless.

7.3 Body

The message body has variable length and contains binary data or ISO-8859-1 (Latin 1) encoded text. The attribute *bodyType* defines the format. It is under control of the applications. When compression is enabled, body is ZIP-compressed during transmission.

7.4 SCMP over TCP/IP

For direct transport over TCP/IP the headline, messages header and the body is directly written to the network connection.

7.5 SCMP over HTTP

For transport over HTTP the headline and messages header have content type **text/plain** and the message body is multipart, the content type **application/octet-stream**.
The request uses method POST to send the request. The response is regular HTTP response.

In order to distinguish regular (plain) HTTP traffic from SCMP over HTTP, the following HTTP headers are used for request and response:
```
Pragma: SCMP
Cache-Control: no-cache
```

Actually chunked transfer encoding and pipelining are not used.

Wireshark example:

Page 38   SC
SC_1_SCMP-V1.0_E   Service Connector
V2.14   Message Structure

```
POST / HTTP/1.1
Content-Length: 178
Content-Type: text/plain
Host: 192.234.123.33
Pragma: SCMP
Cache-Control: no-cache

REQ 0000081 00081 1.3
mty=ATT
kpt=10
ldt=2010-04-07T18:22:14.593+0200

HTTP/1.1 200 OK
Content-Length: 74
Content-Type: text/plain
Pragma: SCMP
Cache-Control: no-cache

RES 0000041 00041 1.3
mty=ATT
ldt=2010-04-07T18:22:14.593+0200
```

8   SCMP Messages

Server may play the role of a client and consume other services. In such configuration message which belong to the client and to the server must have different types. For this reason messages initiated by the client have CLN_ prefix and messages sent to the server the SRV_ prefix.

8.1 Keepalive

Keepalive messages are sent in regular intervals on all idle connections initiated by a network peer. The only purpose of keepalive messages is to preserve the connection state in the firewall placed between the communicating peers and resets its internal timeout. See also Chapter 2.3

Using of keepalive messages can be disabled with the *keepaliveInterval (kpi)* attribute. The message has only a headline and no attributes and no body. The format is:

        KRQ 0000000 00000 1.3

for request and

        KRS 0000000 00000 1.3

for response.

8.2 ATTACH (ATT)

This message is sent from the client to SC in order to initiate the communication. The message has no body and contains these attributes:
        mty=ATT
        ver=1.0-023
        ldt=1997-07-16T19:20:30.064+0100
        oti=2000

SC receives the message and sends back the response:
        mty=ATT
        ldt=1997-07-16T19:20:34.044+0200

When an SC error occurs the response message contains the attributes:
        mty=ATT
        ldt=1997-07-16T19:20:30.453+0100
        sec=3000
        set=SCMP version mismatch (Received=1.0-23, Required 1.1.-34)

Actually this message is only used to check the availability of the SC and to check the compatibility of the communicating partners.

8.3 DETACH (DET)

This message is sent from the client to SC in order to terminate the communication. The message has no body and contains these attributes:
        mty=DET
        oti=2000

SC receives the message and sends back the response:

mty=DET

8.4 INSPECT (INS)

This message is sent from the client to SC in order to get internal information from the SC. The message has body of type *text* and contains these attributes:
>   mty=INS
>   bty=txt
>   ipl=10.0.4.32
>   oti=2000

The message returned by SC has a body of type *text* and contains these attributes:
>   mty=INS
>   bty=txt
>   ipl=10.0.4.32

The request and response message body has the format according to the following table:

Request format	Response format	Comment
state=name	*state*=state	Shows the state of a service identified by name. Returns *enabled* or *disabled* according to the current service state.
sessions=name	*sessions*=9999/9999	Shows the sessions available and allocated for the service. Returns *available / allocated* count of sessions.

When an SC error occurs the response message contains no body and the attributes:
>   mty=INS
>   sec=370
>   set=Unkown service: P01_RTXS_RPRWS3

8.5 MANAGE (MGT)

This message is sent from the client to SC in order to change the SC behaviour. The message has body of type *text* and has these attributes:
>   mty=MGT
>   bty=txt
>   ipl=10.0.4.32
>   oti=2000

The request message body has the format according to the following table:

Request format	Comment
disable=name	Disables the service identified by name. When service is disabled, clients cannot create new sessions or subscriptions. Existing sessions or subscriptions are not aborted. The initial (default) state of the service is defined in the SC configuration.
enable=name	Enables the service identified by name
kill	Terminates the SC. The will immediately exit without any cleanup action. **The SC will perform this request only if the IP address in ipl is equal to the IP address of the local node.**

The message returned by SC has no body and contains these attribute:
>   mty=MGT
>   ipl=10.0.4.32

When an SC error occurs the response message contains no body and the attributes:
>   mty=MGT
>   sec=370

set=Unkown service: P01_RTXS_RPRWS3

8.6 CLN_CREATE_SESSION (CCS)

This message is sent from the client to SC in order to create a new session for a service. The message has optional a body and contains these attributes (as seen by the SC):

        mty=CCS
        mid=1
        nam=P01_RTXS_RPRWS1
        ipl=10.0.4.32/10.0.4.32
        sin=SNBZHP - TradingClientGUI 10.2.7
        eci=300
        oti=10000

SC receives the message and does these actions:
1. Generates a unique session id
2. Chooses a free server instance from the list of available servers serving the requested service.
3. Allocates the server instance to this session
4. Sends the message SRV_CREATE_SESSION to the allocated server and awaits the server response.
5. If the response message does not contain the attribute rejectFlag, the SC keeps the session and sends back to client the message with the following attributes:

        mty=CCS
        mid=1
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

6. If the response message contains the attribute rejectFlag, the SC deletes the session, de-allocates the server and sends back to client the message with the following attributes:

        mty=CCS
        mid=1
        nam=P01_RTXS_RPRWS1
        rej
        aec=4334591
        aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

When an SC error occurs the response message contains the attributes:

        mty=CCS
        sec=330
        set=Unkown service: P01_RTXS_RPRWS3

If SC cannot allocate a free server instance for the session it will respond with the error set=No free server available for service: P01_RTXS_RPRWS3.

Large messages are not supported in this context.

8.7 SRV_CREATE_SESSION (SCS)

This message is sent from the SC to the server when a server instance has been be allocated to a session. The message has optional a body and contains these attributes:

        mty=SCS
        mid=1
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

        sin=SNBZHP - TradingClientGUI 10.2.7
        ipl=10.0.4.32/10.0.4.32/10.2.54.12

The server receives the message and must decide to accept or reject this request. If it accepts, then it must return a message with the following attributes:
        mty=SCS
        mid=1
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

If it rejects the session, then it must return a message with the following attributes:
        mty=SCS
        mid=1
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
        rej
        aec=4334591
        aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

The *aec* / *aet* attributes should contain readable information describing the rejection reason.

8.8 CLN_DELETE_SESSION (CDS)

This message CLN_DELETE_SESSION is sent from the client to SC in order to terminate an existing session. The message has no body and contains these attributes:
        mty=CDS
        mid=974834
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
        oti=10000

SC receives the message and does these actions:
1. Finds the server allocated to this session
2. Sends the message SRV_DELETE_SESSION to the allocated sever and awaits its response.
3. De-allocates the server instance from this session
4. Sends back the message CLN_DELETE_SESSION with the following attributes:
        mty=CSD
        mid=974834
        nam=P01_RTXS_RPRWS1
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

Due to timing issues the client may send a delete session request to a non existing session or to session that has no allocated server. The SC must handle such situation and do all appropriate clean-up actions.

When an SC error occurs the response message contains the attributes:
        mty=CDS
        sec=330
        set=Session does not exist

8.9 SRV_DELETE_SESSION (SDS)

This message is sent from the SC to the server when the session will be deleted by the client and the server instance will no longer be bound to it. The message has no body and contains these attributes:
        mty=SDS
        mid=974834

nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

The server must return a message with the following attributes:
mty=SDS
mid=974834
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

After this message the server will not receive any data requests until the next session is started.

8.10 SRV_ABORT_SESSION (SAS)

This message is sent from the SC to the server when the session is aborted due to errors or
other unexpected event. The message has no body and contains these attributes:
mty=SAS
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
sec=302
set=Session timeout exceeded
oti=2000

The server must return a message with the following attributes:
mty=SAS
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

After this message the server will not receive any data requests until the next session is started.

8.11 REGISTER_SERVER (REG)

This message is sent from the server instance to SC in order to tell the SC which service it
serves. The message has no body and contains these attributes:
mty=REG
nam=P01_RTXS_RPRWS1
mxs=10
mxc=5
imc
pnr=9100
ver=1.0-023
ldt=1997-07-16T19:20:30.064+0100
kpi=360
oti=2000

SC receives the message and performs these actions:
1. Registers the server for this service.
2. Creates the requested number of connection to the server on port that was specified.
3. Starts monitoring the connection based on keep alive interval value
4. Sends back a message with the following attributes:
        mty=REG
        nam=P01_RTXS_RPRWS1
        ldt=1997-07-16T19:20:30.064+0100

When an SC error occurs the response message contains the attributes:
mty=REG
sec=330
set=Service name=P01_RTXS_RPRWS5 not found

8.12 DEREGISTER_SERVER (DRG)

This message is sent from the server instance to SC in order to tell the SC that the server will no longer provide the service. The message has no body and contains these attributes:

mty=DRG
nam=P01_RTXS_RPRWS1
oti=2000

SC receives the message and does these actions:

1. Finds the server and performs a cleanup by aborting and de-allocation all sessions of this server. If the server has allocated sessions the SC will first send the SRV_ABORT_SESSION to it.
2. Terminates all connections that have been established from SC to this server.
3. Sends back message with the following attributes:

mty=DRG
nam=P01_RTXS_RPRWS1

When an SC error occurs the response message contains the attributes:

mty=DRG
sec=330
set=Server is not registered

After this message the server may close disconnect from the SC.

8.13 CLN_EXECUTE (CXE)

This message is sent from the client to SC in order exchange information with the allocated server. The client may send this message only in scope of a session. The message has a body and contains these attributes:

mty=CXE
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=974833
min=SECURITY_MARKET_QUERY
oti=60000

Optionally these attributes can also be set when the client wants to fetch the message from the SC cache:

cid=CBCD_SECURITY_MARKET
ced=1997-08-16T19:20:34.237+0200

SC receives the message and finds the server allocated to this session.
It sends the message SRV_EXECUTE to the server it and awaits the response. Then it sends back a message with a body and the following attributes:

mty=CXE
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=974834
min=SECURITY_MARKET_RESULT

Optionally these attributes can also be set when the server wants to store the message in the SC cache:

cid=CBCD_SECURITY_MARKET
ced=1997-08-16T17:00:00.000+0100

In case of an application error these attributes can also be set.

aec=4334591
aet=%RDB-F-NOTXT, no transaction open

When an SC error occurs the response message contains the attributes:

mty=CXE
sec=330
set=Session does not exist

Large messages are supported in this context.

8.14 SRV_EXECUTE (SXE)

This message is sent from the SC to the server allocated to this session in order to execute the request. The SC will send this message only in scope of a session. The message has a body and contains these attributes:

mty=SXE
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=974833
min=SECURITY_MARKET_QUERY

The server receives the message extracts the body and executes the application code. It must send back a message with a body and the following attributes:

mty=SXE
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=34834
min=SECURITY_MARKET_RESULT

Optionally these attributes can also be set when the server wants to insert the message into the SC cache:

cid=CBCD_SECURITY_MARKET
ced=1997-08-16T17:00:00.000+0100

In case of an application error these attributes can also be set.

aes=4334591
aet=%RDB-F-NOTXT, no transaction open

8.15 ECHO (ECH)

This message is sent from the client to SC in order to verify the session consistency. The client must send this message in periodic intervals in scope of every session. The message has no body and contains these attributes:

mty=ECH
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=974833
crq=122.42.3.64:314
oti=2000

SC receives the message and verifies the allocated session. Then it sends back a message without body and the following attributes:

mty=ECH
nam=P01_RTXS_RPRWS1
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
mid=974834
brs=10.0.3.3:31464

When an SC error occurs the response message contains the attributes:

mty= ECH
sec=330
set=Session does not exist

8.16 CLN_SUBSCRIBE (CSU)

This message is sent from the client to SC in order to subscribe for a publishing service. The message has optional a body and contains these attributes (as seen by the SC):

    mty=CSU
    mid=1
    nam=P01_BCST_CH_RPRWS2
    msk=000012100012832102FADF-------------------------
    ipl=10.0.4.32/10.0.4.32
    sin=SNBZHP - TradingClientGUI 10.2.7
    noi=300
    oti=20000

SC receives the message and does these actions:
1. Generates a unique session id
2. Sends the message SRV_SUBSCRIBE to the server registered for this service and awaits the server response.
3. If the server response message does not contain the attribute rejectFlag, the SC remembers the subscription mask of the client for this service and sends back to client the message with the following attributes:

    mty=CSU
    mid=1
    nam=P01_BCST_CH_RPRWS2
    sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

4. If the server response message contains the attribute rejectFlag, the SC deletes the session, and sends back to client the message with the following attributes:

    mty=CSU
    mid=1
    nam=P01_BCST_CH_RPRWS2
    rej
    aec=4334591
    aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

When an SC error occurs the response message contains the attributes:

    mty=CSU
    sec=400
    set=Unkown service: P01_BCST_CH_RPRWS2

The subscription is synchronous operation. The client gets control when all SC components on the path to the publishing server are aware of the subscription.
If SC cannot find server registered for this service it will respond with the error set=No server available for service: P01_BCST_CH_RPRWS2.

Large messages are not supported in this context.

8.17 SRV_SUBSCRIBE (SSU)

This message is sent from the SC to the server in order to process the client subscription (e.g. perform authentication). The message has optional a body and contains these attributes:

    mty=SSU
    mid=1
    nam=P01_BCST_CH_RPRWS2
    sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
    msk=000012100012832102FADF-------------------------
    ipl=10.0.4.32/10.0.4.32/10.2.54.12
    sin=SNBZHP - TradingClientGUI 10.2.7

The server receives the message and must decide to accept or reject this request. If it accepts, then it must return a message with the following attributes:

> mty=SSU
> mid=1
> nam=P01_BCST_CH_RPRWS2
> sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

If it rejects the session, then it must return a message with the following attributes:

> mty=SSU
> mid=1
> nam=P01_BCST_CH_RPRWS2
> sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
> rej
> aec=4334591
> aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

8.18 CLN_CHANGE_SUBSCRIPTION (CHS)

This message is sent from the client to SC in order to change the subscription for a publishing service. The message has optional a body and contains these attributes (as seen by the SC):

> mty=CHS
> mid=53834
> nam=P01_BCST_CH_RPRWS2
> sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
> msk=000012100012832102FADF------------------------
> ipl=10.0.4.32/10.0.4.32
> sin=SNBZHP - TradingClientGUI 10.2.7
> oti=10000

SC receives the message and does these actions:

1. Sends the message SRV_CHANGE_SUBSCRIPTION to the server registered for this service and awaits the server response.
2. If the server response message does not contain the attribute rejectFlag, the SC changes the subscription mask of the client for this service and sends back to client the message with the following attributes:

> mty=CHS
> mid=974834
> nam=P01_BCST_CH_RPRWS2
> sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

3. If the server response message contains the attribute rejectFlag, the SC keeps the previous subscription and sends back to client the message with the following attributes:

> mty=CHS
> mid=974834
> nam=P01_BCST_CH_RPRWS2
> sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
> rej
> aec=4334591
> aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

When an SC error occurs the response message contains the attributes:

> mty=CHS
> sec=320
> set=Client is not subscribed

The change of the subscription is synchronous operation. The client gets control when all SC components on the path to the publishing server are aware of the new subscription. If SC

cannot find server registered for this service it will respond with the error set=No server available for service: P01_BCST_CH_RPRWS2.

Large messages are not supported in this context.

8.19 SRV_CHANGE_SUBSCRIPTION (SHS)

This message is sent from SC to the server in order to delete the client subscription. The message has optional a body and contains these attributes:

     mty=SHS
     mid=53834
     nam=P01_BCST_CH_RPRWS2
     sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

The server receives the message and must decide to accept or reject this request. If it accepts, then it must return a message with the following attributes:

     mty=SHS
     mid=1
     nam=P01_BCST_CH_RPRWS2
     sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

If it rejects the session, then it must return a message with the following attributes:

     mty=SHS
     mid=1
     nam=P01_BCST_CH_RPRWS2
     sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
     rej
     aec=4334591
     aet=%RTXS-E-NOPARTICIPANT, Authorization error – Unknown participant

8.20 CLN_UNSUBSCRIBE (CUN)

This message is sent from the client to SC in order to delete the subscription for a publishing service. The message has no body and contains these attributes:

     mty=CUN
     mid=53834
     nam=P01_BCST_CH_RPRWS2
     sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
     oti=10000

SC receives the message and sends the message SRV_UNSUBSCRIBE to the server registered for this service and awaits the server response. Then it sends back to client the message with the following attributes:

     mty=CUN
     mid=1
     nam=P01_BCST_CH_RPRWS2
     sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

When an SC error occurs the response message contains the attributes:

     mty=CUN
     sec=230
     set=Client is not subscribed

This operation is synchronous. The client gets control when all SC components on the path to the publishing server have deleted the subscription. If SC cannot find server registered for this service it will respond with the error set=No server registered for service: P01_BCST_CH_RPRWS2.

8.21 SRV_UNSUBSCRIBE (SUN)

This message is sent from the SC to the server in order to remove the client subscription (e.g. delete the session). The message has no body and contains these attributes:

mty=SUN
mid=53834
nam=P01_BCST_CH_RPRWS2
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

The server must return a message with the following attributes:

mty=SUN
mid=974834
nam=P01_BCST_CH_RPRWS2
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

8.22 RECEIVE_PUBLICATION (CRP)

This message is sent from the client to SC in order to get data published by a server. The client may send this message only in scope of a subscription session. The message has no body and contains these attributes:

mty=CRP
sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
nam=P01_BCST_CH_RPRWS2
mid=974833
oti=2000

SC receives the message and does these actions:
1. Finds the client subscription
2. Creates a timer monitoring the response delivery
3. Waits until one of these two events occurs:
    a. A message that matches the client subscription arrives. Then it sends back a message with the body and the following attributes:
        mty=CRP
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
        nam=P01_BCST_CH_RPRWS2
        mid=974834
        omi=533
        min=CH_AUCTION
        msk=%%%%%%%%%%%%%%%%%%%%%%%X%%%%%%%%%%%%%%%%%%%

    b. The *noDataInterval (noi)* timeout expires. Then it sends back a message with the <u>no</u> body and the following attributes:
        mty=CRP
        sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d
        nam=P01_BCST_CH_RPRWS2
        mid=974834
        nod

When an SC error occurs the response message contains the attributes:
mty=CRP
sec=130
set=Client is not subscribed to service: P01_BCST_CH_RPRWS2

8.23 PUBLISH (SPU)

This message is sent from the publishing server to SC in order to send this message to the subscribed clients. The message has a body and contains these attributes:

```
mty=SPU
nam=P01_BCST_CH_RPRWS2
mid=65411
min=CH_AUCTION_IOI
msk=%%%%%%%%%%%%%%%%%%%%%%%%%X%%%%%%%%%
%%%%%%%%
oti=2000
```

SC receives the message and does the following steps:
1. It inserts the message on top of the message queue for this service
2. Sends back to the server a message with the following attributes:
```
mty=SPU
nam=P01_BCST_CH_RPRWS2
mid=65412
```
3. Starts distribution of the message to the subscribed clients based on their subscription mask and the mask of the message.

When an SC error occurs the response message contains the attributes:
```
mty=SPU
sec=100
set=Service P01_BCST_CH_RPRWS2 does not exist
```

8.24 FILE_DOWNLOAD (FDO)

This message is used to download file from a web server. The message has no body and contains these attributes:
```
mty=FDO
nam=P01_LOGGING
rfn=LSC TradingClientGUI_20100115_07h28m59s.log
oti=2000
```

The SC takes the message and initiates a download from the web server configured for this service. The requesting URL is constructed according to the configuration and the given remote file name. The response message has a body containing the file and has these attributes:
```
mty=FDO
bty=bin
nam=P01_LOGGING
rfn=LSC TradingClientGUI_20100115_07h28m59s.log
```

The maximal message length may exceed the 64kB limit.

On Error the SC may return message a response message contains the attributes:
```
mty=FDO
nam=P01_LOGGING
rfn=LSC TradingClientGUI_20100115_07h28m59s.log
sec=40
set=404 Not found
```
See http://www.w3.org/Protocols/rfc2616/rfc2616-sec6.html#sec6.1.1 for possible status codes and reasons.

8.25 FILE_UPLOAD (FUP)

This message is used to upload file to a web server. The message has a body containing the file and has these attributes:
```
mty=FUP
bty=bin
nam=P01_CONFIGURATION
rfn=SCconfig-P01-V3.3-433.properties
```

oti=2000

The SC takes the message and initiates an upload to the web server configured for this service. The requesting URL is constructed according to the configuration and the given remote file name. The response message has no body and has these attributes:

mty=FUP
nam=P01_LOGGING
rfn=SCconfig-P01-V3.3-433.properties

The maximal message length may exceed the 64kB limit. On Error the SC may return message a response message contains the attributes:

mty=FUP
nam=P01_LOGGING
rfn=SCconfig-P01-V3.3-433.properties
sec=40
set=406 Not Acceptable

See http://www.w3.org/Protocols/rfc2616/rfc2616-sec6.html#sec6.1.1 for possible status codes and reasons.

8.26 FILE_LIST (FLI)

This message is used to get list of files on web server. The Web Server must allow the directory browsing. The message has no body and these attributes:

mty=FLI
nam=P01_CONFIGURATION
oti=2000

The SC takes the message and creates an URL to explore the location on the web server corresponding to the service. The response message has body and has these attributes:

mty=FLI
bty=txt
nam=P01_ CONFIGURATION

The response message body has the following format:

```
File name1
File name2
File name3
```

No header or footer is used. Each file name is on a separate line terminated by <CR><LF> Filenames appear as they are stored on disk. Upper / lowercase is depending on the operating system used. No file attributes like date or size is provided. The list order is not predictable.The physical location corresponding to the service is not disclosed.

The maximal message length may exceed the 64kB limit. On Error the SC may return message a response message contains the attributes:

mty=FLI
nam=P01_ CONFIGURATION
sec=40
set=403 Forbidden

See http://www.w3.org/Protocols/rfc2616/rfc2616-sec6.html#sec6.1.1 for possible status codes and reasons.

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9 SCMP Header Attributes

The following is a list of all possible attributes in a SC message header in alphabetical order. All attributes and their values are ASCII, encoded as ISO 8859-1 (Latin-1). "=" or <LF> are not allowed within attribute names and/or values. Unknown attributes will be ignored.

You can find the matrix describing which attribute is used in which message at the end of this document.

9.1 appErrorCode (aec)

Name	appErrorCode
Code	aec
Description	Numeric value passed between server and the client used to implement error protocol on the application level.
Validation	Numeric value ≥ 0
Comment	This can be used by the client to check a specific server error.
Example	aec=4334591

9.2 appErrorText (aet)

Name	appErrorText
Code	aet
Description	Textual value passed between server and the client used to implement error protocol on the application level. It can be the textual interpretation of the *appErrorCode*.
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	This can be used by the client to display or log an error that occurred on the server and so get the user better understanding what happened.
Example	aet=%RDB-F-NOTXT, no transaction open

9.3 bodyType (bty)

Name	bodyType
Code	bty
Description	Type of the message body
Validation	Enumeration, 3 characters, fixed: • txt – message body is ISO-8859-1 (Latin 1) encoded text • bin – binary data • xml – XML data (not implemented yet)
Default	bin
Comment	When http transport is used, the content-type header is set according to this attribute.
Example	bty=txt

9.4 cacheExpirationDateTime (ced)

Name	cacheExpirationDateTime
Code	ced
Description	When sent by the server then it represents the absolute expiration date and time

		of the message in cache. When sent by the client then it represents the latest date and time of the message in cache the client will accept. It must be set together with *cacheId* attribute.
	Validation	YYYY-MM-DDThh:mm:ss.fff+hhmm It is local date time plus zone information. The fff are seconds fractions and time zone offset is at the end.
	Comment	The client uses *cacheExpirationDateTime* to tell how old the message could be. The server uses *cacheExpirationDateTime* to define how long the message is valid. The client will get a cached message when: 1. the *cacheId* and *serviceName* matches a message in the cache and 2. *cacheExpirationDateTime* requested by the client is timely before the *cacheExpirationDateTime* of the cached message.
	Example	ced=1997-08-16T19:20:34.237+0200

9.5 cacheId (cid)

Name	cacheId
Code	cid
Description	Identification agreed by the communicating applications to uniquely identify the cached content. The *cacheId* is unique per service. When *cacheID* is used the attribute *cacheExpirationDateTime* must also be present.
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	The client uses *cacheId* to identify which message should be retrieved from the cache. The server uses *cacheId* to designate message that should be cached. The client will get a cached message when: 1. the *cacheId* and *serviceName* matches a message in the cache and 2. *cacheExpirationDateTime* requested by the client is timely before the *cacheExpirationDateTime* of the cached message.
Example	cid=CBCD_SECURITY_MARKET

9.6 clnRequesterId (crq)

Name	clnRequesterId
Code	crq
Description	Identification of the client requester
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	Concatenation of IP address and port. Used for troubleshooting.
Example	crq=128.45.3.12:1244

9.7 cascadedMask (cma)

Name	cascadedMask
Code	cma
Description	Subscription mask exchanged between cascaded SCs.
Validation	length ≥ 0 and ≤ 256Byte It may contain "%" character.
Comment	This attribute is sent by SC to another SC along the communication path. It contains the cumulative subscription mask of the SC.
Example	cascaded mask: cma=0000121%%%%%%%%%%%%%%%-----------X-----------

9.8 compression (cmp)

Name	compression
Code	cmp
Description	Flag true or false describing if the message body is compressed or not.
Validation	True is present, false is missing
Default	true
Comment	The compression can be enabled or disabled on message level.
Example	cmp

9.9 echoInterval (eci)

Name	echoInterval
Code	eci
Description	Interval in seconds between two subsequent ECHO messages sent by the client.
Validation	Number ≥ 1 and ≤ 3600
Default	300
Comment	This is used by the SC to detect a broken session. The value should be set with respect to the throughput of the network connection. If this interval expires then the session is treated as dead and a cleanup is done.
Example	eci=300

9.10 immediateConnect (imc)

Name	immediateConnect
Code	imc
Description	Flag true or false to tell SC when connection to the server should be created.
Validation	True is present, false is missing
Default	true
Comment	After server registers to a service SC will create as many connections to it as defined by *maxSession*. When *immediateConnect* = true SC will create the connections immediately and keep the session until DEREGISTER_SERVER is done. When *immediateConnect* = false SC will create the connections before a session is allocated and close the connection when the session is deleted.
Example	imc

9.11 ipAddressList (ipl)

Name	ipAddressList
Code	ipl
Description	List of IP addresses on the network path between the client and the session server. The list contains IP addresses in the form 999.999.999.999
Validation	List in format {999.999.999.999/999.999.999.999}...
Comment	The list has at least three entries. 1. IP of the client, 2. Incoming IP received by SC (IP of the VPN Tunnel) 3. IP of the SC Client connected via cascaded SC placed in a customer DMZ will have the list in the format: 1. IP of the client, 2. Incoming IP received by SC in customer DMZ. 3. IP of the SC in customer DMZ 4. Incoming IP received by SC (IP of the VPN Tunnel) 5. IP of the SC If any of the pairs at position1-2 or 3-4has different values, then in this network

| | | segment NAT occurs. As long as there is only one SC behind the VPN, the second last address is always the tunnel IP used for the authentication. |
| | Example | ipl=10.0.4.32/10.2.54.12/192.243.43.1 |

9.12 keepaliveInterval (kpi)

Name	keepaliveInterval
Code	kpi
Description	Interval in seconds between two subsequent keepalive requests (KRQ). The keepalive message is solely used to refresh the firewall timeout on the network path. Keepalive message is only sent on an idle connection. The value = 0 means no keep alive messages will be sent.
Validation	Number ≥ 0 and ≤ 3600
Default	60
Comment	The keepaliveInterval is exchanged at the beginning of the communication. In REGISTER_SERVER the *keepaliveInterval* defines how often the SC will sent keepalive messages to the server.
Example	kpi=360

9.13 localDateTime (ldt)

Name	localDateTime
Code	ldt
Description	String value describing the actual local date and time.
Validation	YYYY-MM-DDThh:mm:ss.fff+hhmm It is local date time plus zone information. The fff are seconds fractions and time zone offset is at the end after the + sign.
Comment	The local date time is exchanged at the beginning of the communication (ATTACH, REGISTER_SERVER). It is used to calculate the time difference between the communicating parties and to harmonize the log for troubleshooting purposes.
Example	ldt=1997-07-16T19:20:30.064+0100

9.14 messageID (mid)

Name	messageId
Code	mid
Description	Identification generated by the sender of a message in order to identify and track it during a session. The sessionId + the messageId uniquely identify the message. Request and response messages are treated as independent. Error messages generated by SC never contain a messageId.
Validation	Composite identification in format 9[/9] First is a message sequence number optionally followed by delimiter "/" and a part sequence number to count parts of large messages. Both numbers > 0.
Comment	For normal messages only message sequence is used. For large messages the message sequence number is extended with a part sequence number. The message sequence number is reset at begin of the session and is steadily increasing, incremented by the sender. The part sequence number is reset for every regular message and steadily increasing, incremented by the sender for every message part.

Example	`REQ ..    mid=3`
	`RES ..    mid=64`
	`...`
	`PRQ ..    mid=4/1`

```
PRS ..   mid=65/1
PRQ ..   mid=4/2
PRS ..   mid=65/2
PRQ ..   mid=4/3
PRS ..   mid=65/3
REQ ..   mid=4/4
PRS ..   mid=66/1
PRQ ..   mid=5/1
PRS ..   mid=66/2
PRQ ..   mid=5/2
RES ..   mid=66/3
...
REQ ..   mid=6
RES ..   mid=67
```

9.15 messageInfo (min)

Name	messageInfo
Code	min
Description	Optional information passed together with the message body that helps to identify the message content without investigating the body.
Validation	Any printable character, length ≥ 0 and ≤ 256Byte
Comment	This can be set by the sender and evaluated by the receiver of the message to simplify decision how the message should be processed. It can also be used for troubleshooting to identify the message during the message transmission.
Example	min=SECURITY_MARKET_QUERY

9.16 messageType (mty)

Name	messageType
Code	mty
Description	Unique message type
Validation	3 characters, fixed, uppercase, list of known message types
Comment	Message type that represents a certain command. The direction of the message is visible in the headline.
Example	mty=ATT

9.17 mask (msk)

Name	mask
Code	msk
Description	The mask is used in SUBSCRIBE or CHANGE_SUBSCRIPTION to express the client interest and in PUBLISH to designate the message contents. Only printable characters are allowed.
Validation	Any printable character, length ≤ 256Byte Client may not subscribe with mask containing "%" character.
Comment	If the message mask matches the subscription mask, the client will get this message. The matching rules: • masks of unequal length do not match • % - matches any single character at this position • All other characters must exactly match (case sensitive)
Example	Subscription mask: msk=000012100012832102FADF-----------X----------- Matching examples of message masks: msk=000012100012832102FADF-----------X----------- msk=0000121%%%%%%%%%%%%%%%-----------X-----------

	Not matching examples of message masks: msk=000012100012832102FADF---------------------- msk=0000121%%%%%%%%%%%%%%%-----------X----------

9.18 maxConnections (mxc)

Name	maxConnections
Code	mxc
Description	Number of connections (pool size) SC will create initially to communicate with the server.
Validation	Number > 0 and ≤ 1024 mxc ≤ mxs
Default	mxs
Comment	When a server registers to a service it must tell the SC how many connections it can handle. In case all connections are busy, SC will not start a new connection but keep trying to get a free connection until the *operationTiemout* defined in the message expires. Then it will return an error "no free connection available".
Example	mxc=10

9.19 maxSessions (mxs)

Name	maxSessions
Code	mxs
Description	Number of sessions this server instance can serve.
Validation	Number > 0
Comment	When a server registers to a service it must tell the SC how many sessions it can serve. This is necessary to know in order to maintain the count of free/busy servers in SC. The value 1 means single session server. Value > 1 means multi-connection server. See also *immediateConnect* flag. In case all servers are busy, SC will keep trying to get a free server until the *operationTiemout* defined in the message expires. Then it will return an error "no free server available".
Example	mxs=10

9.20 noData (nod)

Name	noData
Code	nod
Description	NoData flag is used in RECEIVE_PUBLICATION to tell the subscribed client, that no data for publishing exists. The client must immediately send another RECEIVE_PUBLICATION to renew the interest.
Validation	True if present, false if missing
Comment	
Example	nod

9.21 noDataInterval (noi)

Name	noDataInterval
Code	noi
Description	Interval in seconds the SC will wait to deliver RECEIVE_PUBLICATION

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	response with *noData* flag set.
Validation	Number > 0 and ≤ 3600
Default	300
Comment	The receiving client monitors the interval and treats the subscription as broken when this time is exceeded.
Example	noi=60

9.22 operationTimeout (oti)

Name	operationTimeout
Code	oti
Description	Maximal response time in milliseconds allowed for an operation (measured in the client). The client should set the value according to the expected duration of the operation.
Validation	Number ≥ 1000 and ≤ 3600000
Default	60000
Comment	This is used by to make any operation non-blocking. When this timeout expires, while a session is pending, the session is treated as dead and cleaned up.
Example	oti=10000

9.23 originalMessageID (omi)

Name	Original message ID
Code	omi
Description	Original message ID used by the publishing server to send the message.
Validation	Number > 0
Comment	This is used in RECEIVE_PUBLICATION messages as reference to the original message. It is required for troubleshooting of the message fan-out.
Example	omi=355

9.24 portNr (pnr)

Name	portNr
Code	pnr
Description	Number of the TCP/IP port the session server accepts the connection(s).
Validation	Number > 0 and ≤ 65535
Comment	When a session server registers to a service, SC will create a connection to this server on the IP address of the server and the given port number. Multiple connections are created to the same port. The value must be > 1.
Example	pnr=9100

9.25 rejectSession (rej)

Name	rejectSession
Code	rej
Description	Flag in SRV_CREATE_SESSION response message set by the server when it rejects the session.
Validation	True if present, false if missing
Default	false
Comment	The server should also set the *appErrorCode* and *appErrorText* to explain the rejection reason.
Example	rej

9.26 remoteFileName (rfn)

Name	remoteFileName
Code	rfn
Description	Name used in FILE_UPLOAD to store the file on the web server or name used in FILE_DOWNLOAD to identify the file to be downloaded.
Validation	Any printable character allowed as filename, length ≤ 256Byte
Comment	
Example	rfn=LSC TradingClientGUI_20100115_07h28m59s.log

9.27 scErrorCode (sec)

Name	scErrorCode
Code	sec
Description	Numeric error code set by SC in other to inform the communication partner about an error. List of possible error codes will be published.
Validation	Number > 0 and < 1000
Comment	This is used to handle the SC error. The message must have EXC key in the headline.
Example	sec=453

9.28 scErrorText (set)

Name	scErrorText
Code	set
Description	English text set by the SC in other to describe the error signalled as *scErrorCode*. Precise error description must be here.
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	This is used to log or display the SC error. The message must have EXC key in the headline.
Example	set=Unknow service name: P01_RTXR_RPRWS4

9.29 scReqesterId (brq)

Name	scReqesterId
Code	brq
Description	Unique identification of the SC requester
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	Concatenation of IP address and port. Used for troubleshooting.
Example	brq=128.45.3.12:1244

9.30 scResponderId (brs)

Name	scResponderId
Code	brs
Description	Unique identification of the SC responder
Validation	Any printable character, length > 0 and ≤ 256Byte
Comment	Concatenation of IP address and port. Used for troubleshooting.
Example	brs=128.45.3.12:1244

9.31 scVersion (ver)

Name	scVersion
Code	ver
Description	Software version number of the producer of this message.
Validation	String format 9.9-999
Comment	This version number is sent in ATTACH or REGISTER_SERVER and checked by the receiver against its own SC version number. This ensures that only compatible components can communicate to each other. The value is hard coded in the communication components like API or SC. The version number looks like 3.2-023: • 3 = Release number • 2 = Version number • 023 = Revision number The matching rules are: • Request: 3.2-023 + own: 3.2-023 => compatible • Request: 3.2-021 + own: 3.2-023 => compatible • Request: 3.1-006 + own: 3.2-023 => compatible • Request: 3.2-025 + own: 3.2-023 => not compatible (requestor may utilize new features unknown here) • Request: 3.3-005 + own: 3.2-023 => not compatible (requestor uses new functions unknown here) • Request: 2.2-023 + own: 3.2-023 => not compatible (possibly other incompatible interface) • Request: 4.0-007 + own: 3.2-023 => not compatible (possibly other incompatible interface)
Example	ver=3.2-023

9.32 serviceName (nam)

Name	serviceName
Code	nam
Description	Name of the service
Validation	Any printable character, length > 0 and ≤ 32Byte.
Comment	The service name is an abstract name and represents the logical address of the service. In order to allow message routing the name must be unique in scope of the entire SC network. Service names must be agreed at the application level and are stored in the SC configuration.
Example	nam=P01_RTXS_RPRWS1

9.33 sessionId (sid)

Name	sessionId
Code	sid
Description	Unique identification of the session
Validation	Known session, length ≥ 0 and ≤ 256Byte
Comment	The sessionId is allocated by SC to which the client is connected when it sends the request CLN_CREATE_SESSION. The sessionID is universally unique because multiple SC may exist in the same network. The client must set the sessionId in each message during the session. For publishing services the sessionId is allocated by SC to the client when it sends the request SUBSCRIBE message. Subscription is internally treated as a session.
Example	sid=cdc50b36-1fc4-4f9e-8430-d2e3d7284d9d

9.34 sessionInfo (sin)

Name	sessionInfo
Code	sin
Description	Optional information passed by the client to the session server when the session starts.
Validation	Any printable character, length $\geq$ 0 and $\leq$ 256Byte
Comment	This is used to pass additional authentication or authorization data to the server.
Example	sin=SNBZHP - TradingClientGUI 10.2.7

9.35 srvRequersterId (srq)

Name	srvReqId
Code	srq
Description	Unique identification of the server requester
Validation	Any printable character, length > 0 and $\leq$ 256Byte
Comment	Concatenation of IP address and port. Used for troubleshooting.
Example	srq=128.45.3.12:1244

9.36 srvResponderId (srs)

Name	srvResponderId
Code	srs
Description	Unique identification of the server responder
Validation	Any printable character, length > 0 and $\leq$ 256Byte
Comment	Concatenation of IP address and port. Used for troubleshooting.
Example	srs=128.45.3.12:1244

10 Glossary

Client

Piece of an application consuming services and initiating actions.

Server

Piece of an application providing services to clients.

Service

Abstract unit of work provided by the server and delivered to the client in order to implement a specific functionality. SC supports session, publishing and file services.

Session

Temporary allocation of a dedicated server to a client. Session ensures information flow between a client and the allocated server. SC supports request/response sessions and subscription sessions.

Call

A call represents a pair of a request and response.

Command

A command dispatches specific actions on a server according to the incoming request.

Request

Data structure created by the Client or SC in order to initiate an information exchange. It may contain one or more messages.

Response

Data structure created by the Server or SC in order to deliver the requested information. It may contain one or more messages.

Message

Basic transport instrument to exchange information between client, SC and the server. It belongs to a request or to a response.

Message Part

Message part is a piece of a large message. Large message is splittet into parts.

Composite

Data structure prepared to hold all message parts of a large message

Registry

Common list of known objects that ensures their uniqueness, organized in a way to find them easily.

Requester

Piece of code in SC or client initiating the information exchange

Responder

Piece of code in SC or server delivering the requested information

Connection

Network communication between client and SC or SC and the server

Endpoint

Network communication part on SC or server

Appendix A - Message Header Matrix

Column key (abbreviations): aec=appErrorCode, aet=appErrorText, bty=bodyType, cid=cacheId, ced=cacheExpirationDateTime, crq=clnRequesterId, cma=cascadedMask, cmp=compression, eci=echoInterval, oti=operationTimeout, imc=immediateConnect, ipl=ipAddressList, kpi=keepaliveInterval, ldt=localDateTime, mid=messageId, min=messageInfo, mty=messageType, msk=mask, mxc=maxConnections, mxs=maxSessions, nod=noData, noi=noDataInterval, omi=originalMessageID, pnr=portNr, rej=rejectSession, rfn=remoteFileName, sec=scErrorCode, set=scErrorText, brq=scRequesterId, brs=scResponderId, ver=scVersion, nam=serviceName, sid=sessionId, sin=sessionInfo, srq=srvRequesterId, srs=srvResponderId

Message	Type	aec	aet	bty	cid	ced	crq	cma	cmp	eci	oti	imc	ipl	kpi	ldt	mid	min	mty	msk	mxc	mxs	nod	noi	omi	pnr	rej	rfn	sec	set	brq	brs	ver	nam	sid	sin	srq	srs
ATTACH	REQ						O				O					X		X														X					
	RES															X		X										E	E		O						
DETACH	REQ						O				O							X																			
	RES																	X										E	E		O						
INSPECT	REQ			X						O	O			X				X																			
	RES			X						O				X				X										E	E		O						
MANAGE	REQ			X						O	O			X				X																			
	RES			X						O				X				X										E	E		O						
CLN_CREATE_SESSION	REQ			O			O			O	X	X		X		X		X															X		O		
	RES	O	O	O						O								X								O		E	E		O	I		X			
SRV_CREATE_SESSION	REQ			O						O	O	X		X		X		X													O		X	X	O		
	RES	O	O	O						O								X													O	I		X			O
CLN_DELETE_SESSION	REQ						O				X					X		X															X	X			
	RES															X		X										E	E		O	I		X			
SRV_DELETE_SESSION	REQ										X					X		X													O	I		X			
	RES															X		X														I		X			O
SRV_ABORT_SESSION	REQ										X					X		X														I	X	X	O		
	RES															X		X														I		X			O
REGISTER_SERVER	REQ									O	O		X	X				X		O	X				X								X			O	
	RES															X		X										E	E		O	I					
DEREGISTER_SERVER	REQ										O							X															X			O	
	RES																	X										E	E		O	I					
CLN_EXECUTE	REQ/PRQ			O	O	O	O				X					X	O	X															X	X			
	RES/PRS	O	O	O	O	O					O					X	O	X										E	E		O		X	X			
SRV_EXECUTE	REQ/PRQ			O	O	O					O					X	O	X													O		X	X			
	RES/PRS	O	O	O							O					X	O	X															X	X			O
ECHO	REQ						O				O					X		X															X	X			
	RES															X		X										E	E		O		X	X			
FILE_DOWNLOAD (FDO)	REQ						O				O							X									X							X			
	RES			X							O							X									X	E	E		O		X				
FILE_UPLOAD (FUP)	REQ			X			O				O							X									X							X			
	RES																	X									X	E	E		O		X				
FILE_LIST (FLI)	REQ						O				O							X																X			
	RES			X							O							X										E	E		O		X				
CLN_SUBSCRIBE	REQ			O			O	O		O	X			X		X		X	X					X									X		X		
	RES			O														X								O		E	E		O	I		X			
SRV_SUBSCRIBE	REQ			O						O	O			X		X		X	X												O		X	X	X		
	RES			O														X										E	E		O	I		X			O
CLN_CHANGE_SUBSCRIPTION	REQ			O			O	O		O	X					X		X	X													I		X	X		
	RES			O														X								O		E	E		O	I		X			
SRV_CHANGE_SUBSCRIPTION	REQ			O						O	O					X		X	X												O	I		X	X		
	RES			O														X										E	E		O	I		X			O
CLN_UNSUBSCRIBE	REQ						O				X					X		X														I		X			
	RES															X		X										E	E		O	I		X			
SRV_UNSUBSCRIBE	REQ										X					X		X													O	I		X			
	RES															X		X										E	E			I		X			O
RECEIVE_PUBLICATION	REQ/PRQ										O					X		X															X	X			
	RES/PRS			O							O					X	X	X				X	I	O				E	E		O	I		X			
PUBLISH	REQ/PRQ			O						O	O					X	X	X	X														X			O	
	RES/PRS															X		X										E	E		O	I					

Legend:
X => required attribute
O => optional attribute
I => informational only
E => EXC exception message only

Headline Keys:
REQ => request
RES => response
PRQ => part request (large message)
PRS => part response (large message)
KRQ => keepalive request
KRS => keepalive response
EXC => exception

Appendix B – Error Codes and Messages

Code ranges:

- 400 – 499 => Client
- 500 – 599 = > Server
- 600 – 699 => ServiceConnector

Errors are derived from HTTP error codes definitions. (http://www.w3.org/Protocols/rfc2616/rfc2616-sec10.html)

Code	Internal Mnemonic	Text
400	BAD_REQUEST	Bad request. The request could not be understood by the server due to malformed syntax.
404	NOT_FOUND	Not found.
408	REQUEST_TIMEOUT	Request Timeout. The client did not produce a request within the time that the server was prepared to wait.
420	HV_ERROR	Validation error occured.
	HV_WRONG_SC_VERSION_FORMAT	Invalid sc version format.
	HV_WRONG_SC_RELEASE_NR	Invalid sc release nr.
	HV_WRONG_SC_REVISION_NR	Invalid sc revision nr.
	HV_WRONG_SCMP_VERSION_FORMAT	Invalid scmp version format.
	HV_WRONG_SCMP_VERSION_NR	Invalid scmp version nr.
	HV_WRONG_SCMP_RELEASE_NR	Invalid scmp release nr.
	HV_WRONG_LDT	Parsing localDateTime failed.
	HV_WRONG_SCMP_RELEASE_NR	Invalid scmp release nr.
	HV_WRONG_LDT	Parsing localDateTime failed.
	HV_WRONG_IPLIST_FORMAT	Invalid iplist format.
	HV_WRONG_MAX_SESSIONS	Invalid maxSessions field.
	HV_WRONG_ECHO_TIMEOUT	Invalid echoTimeout field.
	HV_WRONG_ECHO_INTERVAL	Invalid echoInterval field.
	HV_WRONG_PORTNR	Invalid portNr field.
	HV_WRONG_KEEPALIVE_INTERVAL	Invalid keepalive interval field.
	HV_WRONG_NODATA_INTERVAL	Invalid not data interval field.
	HV_WRONG_MASK	Invalid mask.
	HV_WRONG_SESSION_INFO	Invalid session info field.
	HV_WRONG_SERVICE_NAME	Invalid service name field.
	HV_WRONG_MESSAGE_INFO	Invalid message info field.
	HV_WRONG_MESSAGE_ID	Invalid message id field.
	HV_WRONG_SESSION_ID	Invalid session id field.
	HV_WRONG_SC_ERROR_CODE	Invalid sc error code field.
	HV_WRONG_SC_ERROR_TEXT	Invalid sc error text field.
	V_WRONG_CONFIGURATION_FILE	Invalid configuration file.
500	SERVER_ERROR	Server error.
504	GATEWAY_TIMEOUT	Gateway Timeout. The server, while acting as a gateway or proxy, did not receive a timely response from the upstream server specified by the URI.
600	SC_ERROR	Service Connector error.
601	NO_FREE_SERVER	No free server.
602	SERVER_ALREADY_REGISTERED	Server already registered for the service.
606	FRAME_DECODER	Not possible to decode frame, scmp header line wrong.
610	CONNECTION_EXCEPTION	Connection error.

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No index entries found.