

# Chapter 7

## Linear Transformations

In this chapter, **all vectors** are written as **column vectors**.

## Chapter 7 Linear Transformations

### Section 7.1

# Linear Transformations from $\mathbb{R}^n$ to $\mathbb{R}^m$

# Linear transformations (Definition 7.1.1)

A **linear transformation** is a mapping  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  of the form

$$T \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} a_{11}x_1 + a_{12}x_2 + \cdots + a_{1n}x_n \\ a_{21}x_1 + a_{22}x_2 + \cdots + a_{2n}x_n \\ \vdots \\ a_{m1}x_1 + a_{m2}x_2 + \cdots + a_{mn}x_n \end{bmatrix}$$

for  $(x_1, x_2, \dots, x_n)^T \in \mathbb{R}^n$ .

If  $n = m$ , then  $T$  is also called a **linear operator** on  $\mathbb{R}^n$ .

# Linear transformations (Definition 7.1.1)

We can rewrite the formula of  $T$  as

$$T \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}.$$

The matrix  $(a_{ij})_{m \times n}$  is called the **standard matrix** for  $T$ .

## Examples (Example 7.1.2.1)

The **identity mapping**  $I: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is defined by

$$I(\mathbf{x}) = I \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \mathbf{x} = \begin{bmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \mathbf{I}_n \mathbf{x}$$

for  $\mathbf{x} = (x_1, x_2, \dots, x_n)^T \in \mathbb{R}^n$ .

$I$  is a **linear operator** on  $\mathbb{R}^n$

and the **standard matrix** for  $I$  is the identity matrix  $\mathbf{I}_n$ .

## Examples (Example 7.1.2.2)

The **zero mapping**  $O: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is defined by

$$O(\mathbf{x}) = O \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} = \mathbf{0} = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \mathbf{0}_{m \times n} \mathbf{x}$$

for  $\mathbf{x} = (x_1, x_2, \dots, x_n)^T \in \mathbb{R}^n$ .

$O$  is a **linear transformation**

and the **standard matrix** for  $O$  is the zero matrix  $\mathbf{0}_{m \times n}$ .

## Examples (Example 7.1.2.3)

Let  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be defined by

$$T\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + y \\ 2x \\ -3y \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 2 & 0 \\ 0 & -3 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

$T$  is a linear transformation

and the standard matrix for  $T$  is  $\begin{bmatrix} 1 & 1 \\ 2 & 0 \\ 0 & -3 \end{bmatrix}$ .

## An alternative definition (Remark 7.1.3)

Let  $V$  and  $W$  be vector spaces.

A mapping  $T: V \rightarrow W$  is called a linear transformation if and only if

$$T(cu + dv) = cT(u) + dT(v) \text{ for all } u, v \in V \text{ and } c, d \in \mathbb{R}.$$

(This is the definition of linear transformation in abstract linear algebra.)

The two definitions of linear transformations are the same if  $V = \mathbb{R}^n$  and  $W = \mathbb{R}^m$ . (See Question 7.4.)



## Some basic properties (Theorem 7.1.4)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation.

1.  $T(\mathbf{0}) = \mathbf{0}$ .

2. If  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k \in \mathbb{R}^n$  and  $c_1, c_2, \dots, c_k \in \mathbb{R}$ , then

$$\begin{aligned} T(c_1\mathbf{u}_1 + c_2\mathbf{u}_2 + \dots + c_k\mathbf{u}_k) \\ = c_1T(\mathbf{u}_1) + c_2T(\mathbf{u}_2) + \dots + c_kT(\mathbf{u}_k). \end{aligned}$$

**Proof:** Let  $\mathbf{A}$  be the standard matrix for  $T$ ,  
i.e.  $T(\mathbf{u}) = \mathbf{A}\mathbf{u}$  for all  $\mathbf{u} \in \mathbb{R}^n$ .

1.  $T(\mathbf{0}) = \mathbf{A}\mathbf{0} = \mathbf{0}$ .

## Some basic properties (Theorem 7.1.4)

$$\begin{aligned} 2. \quad & T(c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \cdots + c_k \mathbf{u}_k) \\ &= \mathbf{A}(c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \cdots + c_k \mathbf{u}_k) \\ &= c_1 \mathbf{A} \mathbf{u}_1 + c_2 \mathbf{A} \mathbf{u}_2 + \cdots + c_k \mathbf{A} \mathbf{u}_k \\ &= c_1 T(\mathbf{u}_1) + c_2 T(\mathbf{u}_2) + \cdots + c_k T(\mathbf{u}_k). \end{aligned}$$

## Examples (Example 7.1.5.1)

Let  $T_1: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be defined by

$$T_1\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + 1 \\ y + 3 \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

$$\text{Since } T_1\left(\begin{bmatrix} 0 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 3 \end{bmatrix} \neq \begin{bmatrix} 0 \\ 0 \end{bmatrix},$$

(by Theorem 7.1.4.1)  $T_1$  is **not** a linear transformation.

## Examples (Example 7.1.5.2)

Let  $T_2: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be defined by

$$T_2 \left( \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = \begin{bmatrix} x^2 \\ yz \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

Note that  $T_2 \left( \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$  (which satisfies **Theorem 7.1.4.1**).

But this **does not means** that  $T_2$  is a **linear transformation**.

## Examples (Example 7.1.5.2)

For example,

$$T_2 \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) = T_2 \left( \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 4 \\ 0 \end{bmatrix}$$

and

$$T_2 \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) + T_2 \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}.$$

So (by Theorem 7.1.4.2)  $T_2$  is not a linear transformation.

## Bases for $\mathbb{R}^n$ (Discussion 7.1.6)

Let  $\{ \mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n \}$  be a basis for  $\mathbb{R}^n$ .

Given any vector  $\mathbf{v} \in \mathbb{R}^n$ , we can write

$$\mathbf{v} = c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \cdots + c_n \mathbf{u}_n$$

for some  $c_1, c_2, \dots, c_n \in \mathbb{R}$ .

For a linear transformation  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$ , (by Theorem 7.1.4.2)

$$\begin{aligned} T(\mathbf{v}) &= T(c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \cdots + c_n \mathbf{u}_n) \\ &= c_1 T(\mathbf{u}_1) + c_2 T(\mathbf{u}_2) + \cdots + c_n T(\mathbf{u}_n). \end{aligned}$$

The image  $T(\mathbf{v})$  of  $\mathbf{v}$  is completely determined by the images  $T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n)$  of the basis vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$ .

## An examples (Example 7.1.7)

Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a linear transformation such that

$$T\left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 3 \end{bmatrix}, \quad T\left(\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} -1 \\ 2 \end{bmatrix}, \quad T\left(\begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}\right) = \begin{bmatrix} 4 \\ -1 \end{bmatrix}.$$

As  $\{(1, 1, 1)^T, (0, 1, 1)^T, (2, 0, -1)^T\}$  is a basis for  $\mathbb{R}^3$ , the image  $T((x, y, z)^T)$  of every  $(x, y, z)^T \in \mathbb{R}^3$  is **completely determined** by the images of  $(1, 1, 1)^T$ ,  $(0, 1, 1)^T$ , and  $(2, 0, -1)^T$ .

## An examples (Example 7.1.7.1)

For example, 
$$\begin{bmatrix} -1 \\ 4 \\ 6 \end{bmatrix} = 3 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} - 2 \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}.$$

$$\begin{aligned} T \left( \begin{bmatrix} -1 \\ 4 \\ 6 \end{bmatrix} \right) &= 3T \left( \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right) + T \left( \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \right) - 2T \left( \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix} \right) \\ &= 3 \begin{bmatrix} 1 \\ 3 \end{bmatrix} + \begin{bmatrix} -1 \\ 2 \end{bmatrix} - 2 \begin{bmatrix} 4 \\ -1 \end{bmatrix} = \begin{bmatrix} -6 \\ 13 \end{bmatrix}. \end{aligned}$$



## An examples (Example 7.1.7.2)

In general, for any  $(x, y, z)^T \in \mathbb{R}^3$ , we first solve the vector equation

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = c_1 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + c_2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + c_3 \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}$$

which gives us a solution

$$c_1 = x - 2y + 2z, \quad c_2 = -x + 3y - 2z \quad \text{and} \quad c_3 = y - z,$$

$$\text{i.e. } \begin{bmatrix} x \\ y \\ z \end{bmatrix} = (x - 2y + 2z) \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + (-x + 3y - 2z) \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + (y - z) \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}.$$

## An examples (Example 7.1.7.2)

$$\begin{aligned} T \begin{bmatrix} x \\ y \\ z \end{bmatrix} &= (x - 2y + 2z) T \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + (-x + 3y - 2z) T \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \\ &\quad + (y - z) T \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix} \\ &= (x - 2y + 2z) \begin{bmatrix} 1 \\ 3 \end{bmatrix} + (-x + 3y - 2z) \begin{bmatrix} -1 \\ 2 \end{bmatrix} + (y - z) \begin{bmatrix} 4 \\ -1 \end{bmatrix}. \\ &= \begin{bmatrix} 2x - y \\ x - y + 3z \end{bmatrix}. \end{aligned}$$

## Standard matrices (Discussion 7.1.8)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation  
and  $A = (a_{ij})_{m \times n}$  be the standard matrix for  $T$ .

Take the standard basis  $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$  for  $\mathbb{R}^n$  where  
 $\mathbf{e}_1 = (1, 0, \dots, 0)^\top$ ,  $\mathbf{e}_2 = (0, 1, 0, \dots, 0)^\top$ , ...,  $\mathbf{e}_n = (0, \dots, 0, 1)^\top$ .

In particular, for  $i = 1, 2, \dots, n$ ,

$$T(\mathbf{e}_i) = A\mathbf{e}_i = \begin{bmatrix} a_{1i} \\ a_{2i} \\ \vdots \\ a_{mi} \end{bmatrix} = \text{the } i^{\text{th}} \text{ column of } A.$$

$$\text{So } A = \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \cdots & T(\mathbf{e}_n) \end{bmatrix}.$$

## An examples (Example 7.1.9)

Let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a linear transformation such that

$$T\left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 3 \end{bmatrix}, \quad T\left(\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} -1 \\ 2 \end{bmatrix}, \quad T\left(\begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}\right) = \begin{bmatrix} 4 \\ -1 \end{bmatrix}.$$

Instead of computing the formula of  $T$  directly (as in Example 7.1.7.2), we find the standard matrix using images of basis vectors of the standard basis.

## An examples (Example 7.1.9)

$$\left[ \begin{array}{ccc|c|c|c} 1 & 0 & 2 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & -1 & 0 & 0 & 1 \end{array} \right] \xrightarrow[\text{Elimination}]{\text{Gauss-Jordan}} \left[ \begin{array}{ccc|c|c|c} 1 & 0 & 0 & 1 & -2 & 2 \\ 0 & 1 & 0 & -1 & 3 & -2 \\ 0 & 0 & 1 & 0 & 1 & -1 \end{array} \right]$$

Thus

$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = -2 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + 3 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix},$$

$$\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = 2 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - 2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 2 \\ 0 \\ -1 \end{bmatrix}.$$

## An examples (Example 7.1.9)

Then

$$T\left(\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}\right) = T\left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}\right) - T\left(\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 3 \end{bmatrix} - \begin{bmatrix} -1 \\ 2 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}.$$

Similarly,

$$T\left(\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} -1 \\ -1 \end{bmatrix} \quad \text{and} \quad T\left(\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ 3 \end{bmatrix}.$$

## An examples (Example 7.1.9)

So the standard matrix for  $T$  is

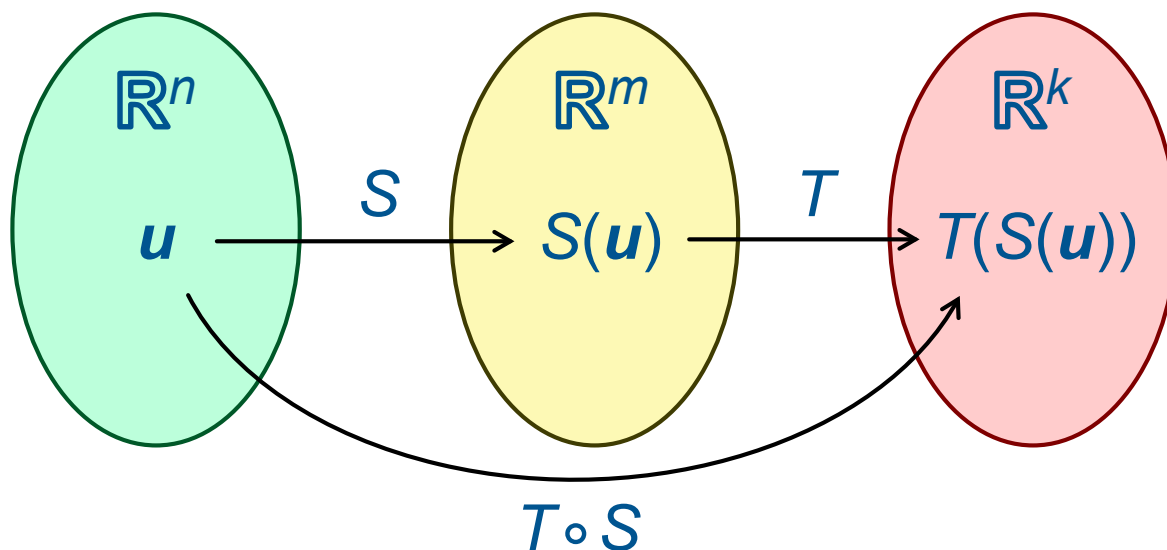
$$\begin{bmatrix} T \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} & T \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} & T \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \end{bmatrix} \\ = \begin{bmatrix} 2 & -1 & 0 \\ 1 & -1 & 3 \end{bmatrix}.$$

# Compositions of mappings (Definition 7.1.10)

Let  $S: \mathbb{R}^n \rightarrow \mathbb{R}^m$  and  $T: \mathbb{R}^m \rightarrow \mathbb{R}^k$  be linear transformations.

The **composition** of  $T$  with  $S$ , denoted by  $T \circ S$ , is a mapping from  $\mathbb{R}^n$  to  $\mathbb{R}^k$  defined by

$$(T \circ S)(u) = T(S(u)) \quad \text{for } u \in \mathbb{R}^n.$$





# Compositions of mappings (Theorem 7.1.11)

Suppose  $S: \mathbb{R}^n \rightarrow \mathbb{R}^m$  and  $T: \mathbb{R}^m \rightarrow \mathbb{R}^k$  are linear transformations.

Then  $T \circ S$  is also a linear transformation.

Furthermore, if  $A$  and  $B$  are standard matrices for  $S$  and  $T$  respectively, then  $BA$  is the standard matrix for  $T \circ S$ .

**Proof:** For all  $u \in \mathbb{R}^n$ ,

$$(T \circ S)(u) = T(S(u)) = T(Au) = BAu.$$

So  $T \circ S$  is a linear transformation and  $BA$  is the standard matrix for  $T \circ S$ .

## An example (Example 7.1.12)

Let  $S: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  be a linear transformation defined by

$$S\left(\begin{bmatrix} x \\ y \\ z \end{bmatrix}\right) = \begin{bmatrix} x + y \\ z \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3$$

and  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  a linear transformation defined by

$$T\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} y \\ y \\ x \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

## An example (Example 7.1.12)

Then  $T \circ S: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is given by

$$\begin{aligned}(T \circ S) \begin{bmatrix} x \\ y \\ z \end{bmatrix} &= T \left( S \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = T \begin{bmatrix} x + y \\ z \end{bmatrix} \\ &= \begin{bmatrix} z \\ z \\ x + y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.\end{aligned}$$

## An example (Example 7.1.12)

The standard matrices for  $S$ ,  $T$  and  $T \circ S$  are

$$\begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} 0 & 1 \\ 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}, \quad \text{respectively.}$$

Note that

$$\begin{bmatrix} 0 & 1 \\ 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}.$$

## **Chapter 7** Linear Transformations

### **Section 7.2**

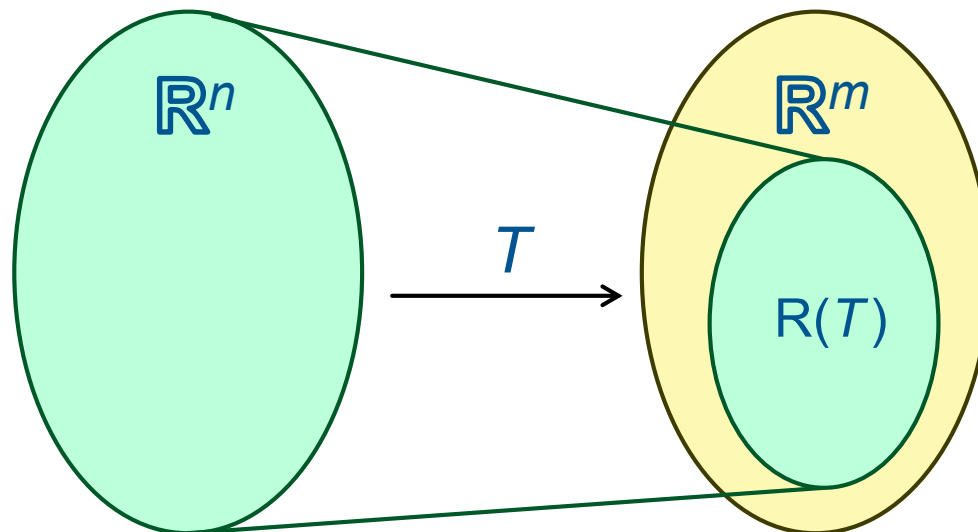
### **Ranges and Kernels**

# Ranges (Definition 7.2.1)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation.

The **range** of  $T$ , which is denoted by  $R(T)$ , is the set of images of  $T$ ,

i.e.  $R(T) = \{ T(u) \mid u \in \mathbb{R}^n \} \subseteq \mathbb{R}^m$ .



## An example (Example 7.2.2)

Let  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  be a linear transformation defined by

$$T\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + y \\ y \\ x \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

Then the range of  $T$  is the set of vectors

$$\begin{aligned} R(T) &= \left\{ x \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} + y \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \mid x, y \in \mathbb{R} \right\} \\ &= \text{span}\{ (1, 0, 1)^T, (1, 1, 0)^T \}. \end{aligned}$$

## Bases for $\mathbb{R}^n$ (Discussion 7.2.3)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation and  $\{ \mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n \}$  a basis for  $\mathbb{R}^n$ .

Given any vector  $\mathbf{v} \in \mathbb{R}^n$ , (by Discussion 7.1.6.)  $T(\mathbf{v})$  is a linear combinations of the images  $T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n)$  of the basis vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$ , i.e.  $T(\mathbf{v}) \in \text{span}\{ T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n) \}$ .

Hence  $R(T) \subseteq \text{span}\{ T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n) \}$ .

On the other hand,  $T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n) \in R(T)$  and hence  $\text{span}\{ T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n) \} \subseteq R(T)$ .

So we have  $R(T) = \text{span}\{ T(\mathbf{u}_1), T(\mathbf{u}_2), \dots, T(\mathbf{u}_n) \}$ .



## Ranges and column spaces (Theorem 7.2.4)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation  
and  $A$  the standard matrix for  $T$ .

Then  $R(T) =$  the column space of  $A$ .

**Proof:** Take the standard basis  $\{ \mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n \}$  for  $\mathbb{R}^n$ .

Then (by Discussion 7.1.8),  $A = \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \cdots & T(\mathbf{e}_n) \end{bmatrix}$ .

Hence

$$\begin{aligned} R(T) &= \text{span}\{ T(\mathbf{e}_1), T(\mathbf{e}_2), \dots, T(\mathbf{e}_n) \} \quad (\text{by Discussion 7.2.3}) \\ &= \text{the column space of } A. \end{aligned}$$

# Ranks (Definition 7.2.5)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation.

The rank of  $T$ , which is denoted by  $\text{rank}(T)$ , is the dimension of  $R(T)$ .

If  $A$  is the standard matrix for  $T$ , then

$$\begin{aligned}\text{rank}(T) &= \dim(R(T)) \\ &= \dim(\text{the column space of } A) \\ &= \text{rank}(A).\end{aligned}$$

By Theorem 7.2.4,  
 $R(T) =$  the column space of  $A$ .

## An example (Example 7.2.6)

Let  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^4$  be a linear transformation defined by

$$T \begin{pmatrix} w \\ x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x + 2y + z \\ x + 3y \\ x + 4y - z \\ y - z \end{pmatrix} \quad \text{for } \begin{pmatrix} w \\ x \\ y \\ z \end{pmatrix} \in \mathbb{R}^4.$$

The standard matrix for  $T$  is  $A = \begin{bmatrix} 0 & 1 & 2 & 1 \\ 0 & 1 & 3 & 0 \\ 0 & 1 & 4 & -1 \\ 0 & 0 & 1 & -1 \end{bmatrix}.$

## An example (Example 7.2.6)

$$\mathbf{A} = \begin{bmatrix} 0 & 1 & 2 & 1 \\ 0 & 1 & 3 & 0 \\ 0 & 1 & 4 & -1 \\ 0 & 0 & 1 & -1 \end{bmatrix} \xrightarrow[\text{Elimination}]{\text{Gaussian}} \begin{bmatrix} 0 & 1 & 2 & 1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

So (see Remark 4.1.13 and Method 2 of Example 4.1.14.1)

$\{ (1, 1, 1, 0)^T, (2, 3, 4, 1)^T \}$  is a basis for the column space of  $\mathbf{A}$

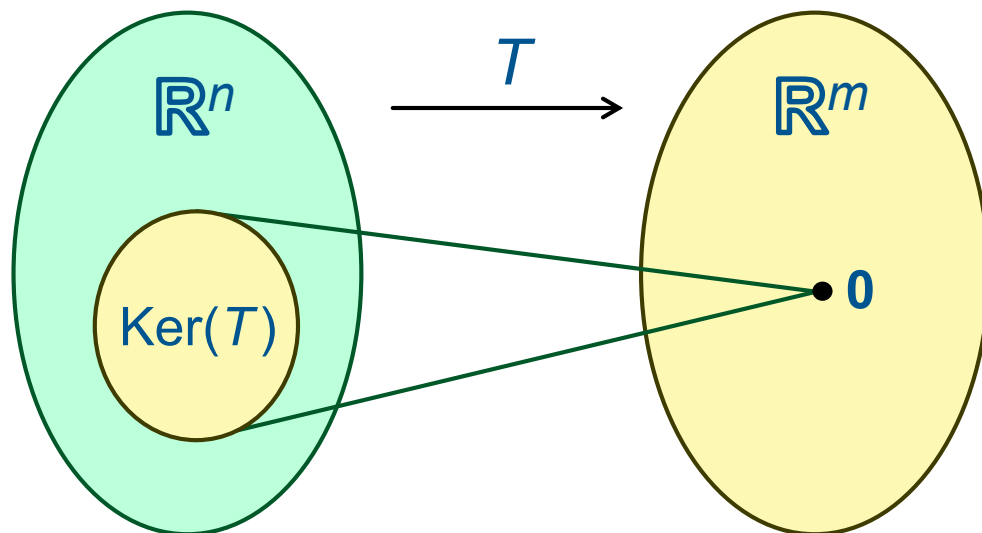
and hence is a basis for  $R(T)$ .

Then  $\text{rank}(T) = \dim(R(T)) = 2$ .

# Kernels (Definition 7.2.7)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation.

The **kernel** of  $T$ , which is denoted by  $\text{Ker}(T)$ , is the set of vectors in  $\mathbb{R}^n$  whose image is the **zero vector** in  $\mathbb{R}^m$ , i.e.  $\text{Ker}(T) = \{ \mathbf{u} \mid T(\mathbf{u}) = \mathbf{0} \} \subseteq \mathbb{R}^n$ .



## Examples (Example 7.2.8.1)

Let  $T_1: \mathbb{R}^3 \rightarrow \mathbb{R}^4$  be a linear transformation defined by

$$T_1 \left( \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = \begin{bmatrix} 2x - y \\ x - y + 3z \\ -5x + y \\ x - z \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

Then

$$\text{Ker}(T_1) = \left\{ \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mid \begin{bmatrix} 2 & -1 & 0 \\ 1 & -1 & 3 \\ -5 & 1 & 0 \\ 1 & 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \right\}.$$

## Examples (Example 7.2.8.1)

Solving

$$\begin{bmatrix} 2 & -1 & 0 \\ 1 & -1 & 3 \\ -5 & 1 & 0 \\ 1 & 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix},$$

we get only the **trivial solution**  $x = 0$ ,  $y = 0$ ,  $z = 0$ .

Thus  $\text{Ker}(T_1) = \{ (0, 0, 0)^T \}$ .

## Examples (Example 7.2.8.2)

Let  $T_2: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be a linear transformation defined by

$$T_2 \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} z - y \\ 0 \\ x \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

$$\text{Solving } \begin{bmatrix} z - y \\ 0 \\ x \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \text{ we get } \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ t \\ t \end{bmatrix} \text{ for } t \in \mathbb{R}.$$

So  $\text{Ker}(T_2) = \text{span}\{ (0, 1, 1)^T \}$ .



# Kernels and nullspaces (Theorem 7.2.9)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation  
and  $A$  the standard matrix for  $T$ .

Then  $\text{Ker}(T) =$  the nullspace of  $A$ .

**Proof:**  $\text{Ker}(T) = \{ u \mid T(u) = \mathbf{0} \}$   
 $= \{ u \mid Au = \mathbf{0} \}$   
 $=$  the nullspace of  $A$ .


# Nullities (Definition 7.2.10)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation.

The nullity of  $T$ , which is denoted by  $\text{nullity}(T)$ , is the dimension of  $\text{Ker}(T)$ .

If  $A$  is the standard matrix for  $T$ , then

$$\begin{aligned}\text{nullity}(T) &= \dim(\text{Ker}(T)) \\ &= \dim(\text{the nullspace of } A) \\ &= \text{nullity}(A).\end{aligned}$$



By Theorem 7.2.9,  
 $\text{Ker}(T) = \text{the nullspace of } A$ .

## Examples (Example 7.2.8.1 & Example 7.2.11.1)

Let  $T_1: \mathbb{R}^3 \rightarrow \mathbb{R}^4$  be a linear transformation defined by

$$T_1 \left( \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = \begin{bmatrix} 2x - y \\ x - y + 3z \\ -5x + y \\ x - z \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

Since  $\text{Ker}(T_1) = \{ (0, 0, 0)^T \}$ ,

$$\text{nullity}(T_1) = \dim(\text{Ker}(T_1)) = 0.$$

## Examples (Example 7.2.8.2 & Example 7.2.11.1)

Let  $T_2: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be a linear transformation defined by

$$T_2 \left( \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = \begin{bmatrix} z - y \\ 0 \\ x \end{bmatrix} \quad \text{for} \quad \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

So  $\text{Ker}(T_2) = \text{span}\{ (0, 1, 1)^T \},$

$$\text{nullity}(T_2) = \dim(\text{Ker}(T_2)) = 1.$$

# Examples (Example 7.2.6 & Example 7.2.11.2)

Let  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^4$  be a linear transformation defined by

$$T \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + 2y + z \\ x + 3y \\ x + 4y - z \\ y - z \end{bmatrix} \quad \text{for} \quad \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} \in \mathbb{R}^4.$$

$$T \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \iff \begin{bmatrix} 0 & 1 & 2 & 1 \\ 0 & 1 & 3 & 0 \\ 0 & 1 & 4 & -1 \\ 0 & 0 & 1 & -1 \end{bmatrix} \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}.$$

## Examples (Example 7.2.6 & Example 7.2.11.2)

$$\Leftrightarrow \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = s \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} + t \begin{bmatrix} 0 \\ -3 \\ 1 \\ 1 \end{bmatrix} \quad \text{for } s, t \in \mathbb{R}.$$

So  $\{ (1, 0, 0, 0)^T, (0, -3, 1, 1)^T \}$  is a **basis** for  $\text{Ker}(T)$   
and  $\text{nullity}(T) = \dim(\text{Ker}(T)) = 2$ .

# Dimension Theorem for Linear Transformation (Theorem 7.2.12)

Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation then  
 $\text{rank}(T) + \text{nullity}(T) = n$ .

**Proof:** Let  $A$  be the standard matrix for  $T$ .

By the Dimension Theorem for Matrices (Theorem 4.3.4),  
 $\text{rank}(A) + \text{nullity}(A) = \text{the number of columns of } A$ .

$$\text{rank}(T) = \text{rank}(A).$$

$$\text{nullity}(T) = \text{nullity}(A).$$

Then

$$\begin{aligned} \text{rank}(T) + \text{nullity}(T) &= \text{rank}(A) + \text{nullity}(A) \\ &= \text{the number of columns of } A \end{aligned}$$

$$A \text{ is an } m \times n \text{ matrix.} \rightarrow = n.$$

## **Chapter 7** Linear Transformations

### **Section 7.3**

# **Geometric Linear Transformations**



# Geometric transformations (Discussion 7.3.1)

Several well-known geometric transformations on  $\mathbb{R}^2$  and  $\mathbb{R}^3$  such as

- scalings,
- reflections about lines and planes through the origin,
- rotations about the origin

are linear transformations.

## Scalings in $\mathbb{R}^2$ (Example 7.3.2)

Suppose  $S: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a linear transformation such that

$$S\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} \lambda_1 \\ 0 \end{bmatrix} \quad \text{and} \quad S\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ \lambda_2 \end{bmatrix}$$

for some positive real numbers  $\lambda_1$  and  $\lambda_2$ .

The standard matrix for  $S$  is  $[S(\mathbf{e}_1) \ S(\mathbf{e}_2)] = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}$

(see Discussion 7.1.8)

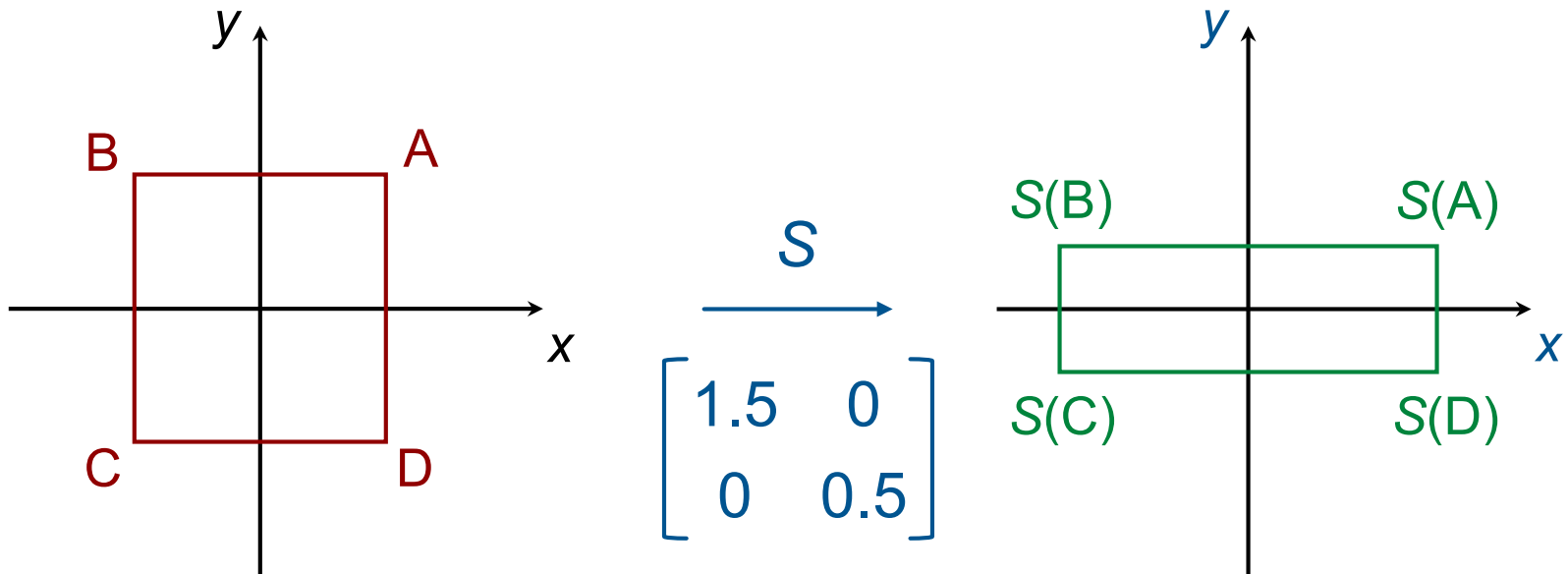
$$\text{and } S\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \lambda_1 x \\ \lambda_2 y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

## Scalings in $\mathbb{R}^2$ (Example 7.3.1)

For example, consider the square with vertices

$$A = (1, 1)^T, \quad B = (-1, 1)^T, \quad C = (-1, -1)^T, \quad D = (1, -1)^T.$$

We apply  $S$ , with  $\lambda_1 = 1.5$  and  $\lambda_2 = 0.5$ , to the square ABCD.



## Scalings in $\mathbb{R}^2$ (Example 7.3.1)

The effect of the linear transformation  $S$  is to scale by a factor  $\lambda_1$  along the  $x$ -axis and by a factor  $\lambda_2$  along the  $y$ -axis.

$S$  is called a scaling along the  $x$  and  $y$ -axes by factors of  $\lambda_1$  and  $\lambda_2$  respectively.

For the special case when  $\lambda_1 = \lambda_2 = \lambda$ ,  
 $S$  is known as a dilation if  $\lambda > 1$  and  
 $S$  is known as a contraction if  $\lambda < 1$ .

## Diagonalizable matrices (Remark 7.3.3)

Let  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a linear transformation such that  $T(\mathbf{x}) = \mathbf{A}\mathbf{x}$  for  $\mathbf{x} \in \mathbb{R}^2$  where  $\mathbf{A}$  is a  $2 \times 2$  matrix.

Suppose  $\mathbf{A}$  is diagonalizable, i.e. there exists a  $2 \times 2$

invertible matrix  $\mathbf{P} = \begin{bmatrix} \mathbf{u}_1 & \mathbf{u}_2 \end{bmatrix}$  such that  $\mathbf{P}^{-1}\mathbf{A}\mathbf{P} = \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}$

for some positive real numbers  $\lambda_1$  and  $\lambda_2$ .

Then  $T(\mathbf{u}_1) = \mathbf{A}\mathbf{u}_1 = \lambda_1\mathbf{u}_1$  and  $T(\mathbf{u}_2) = \mathbf{A}\mathbf{u}_2 = \lambda_2\mathbf{u}_2$ .

Thus  $T$  can be regarded as a scaling that scales along axes in the directions of  $\mathbf{u}_1$  and  $\mathbf{u}_2$  by factors  $\lambda_1$  and  $\lambda_2$  respectively.

(In here, the new axes may not be perpendicular to each other.)

## Diagonalizable matrices (Example 7.3.4)

Suppose  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a linear transformation with

a standard matrix  $\begin{bmatrix} 1 & 1 \\ 0.25 & 1 \end{bmatrix}$ .

Note that 
$$\begin{bmatrix} 2 & -2 \\ 1 & 1 \end{bmatrix}^{-1} \begin{bmatrix} 1 & 1 \\ 0.25 & 1 \end{bmatrix} \begin{bmatrix} 2 & -2 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1.5 & 0 \\ 0 & 0.5 \end{bmatrix}.$$

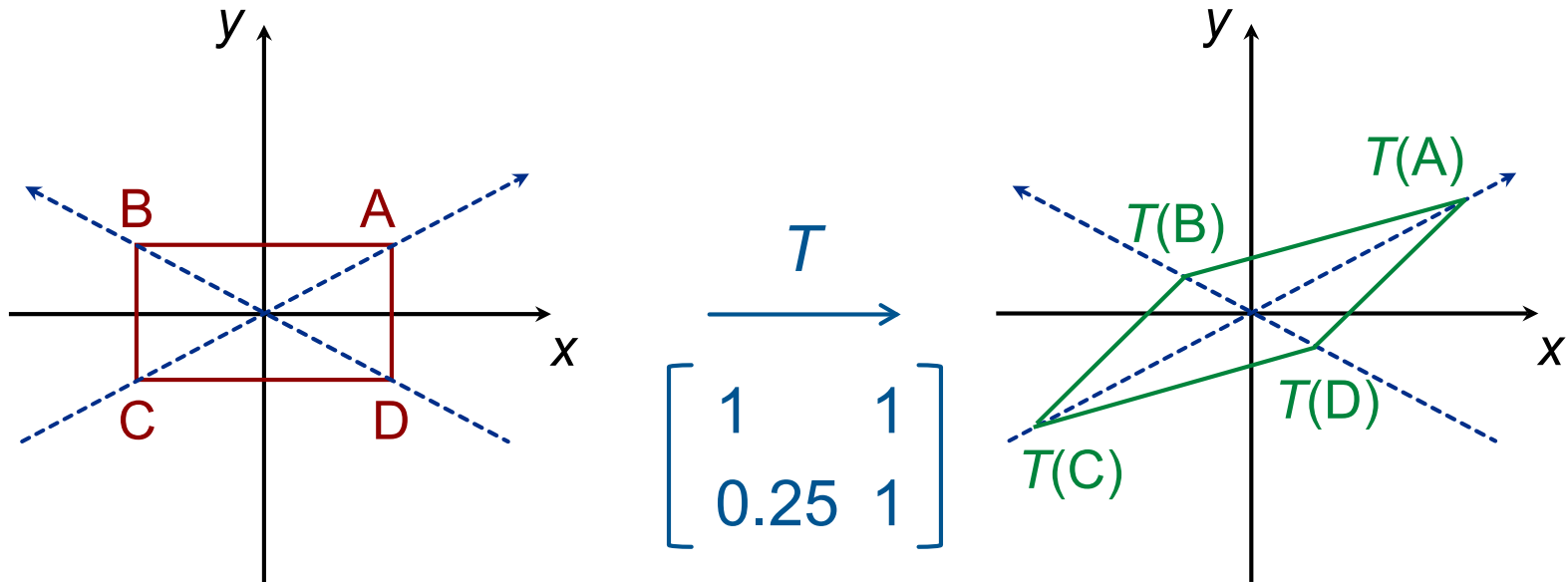
Thus  $T$  can be regarded as a scaling that scales along axes in the directions of  $(2, 1)^T$  and  $(-2, 1)^T$  by factors 1.5 and 0.5 respectively.

## Scalings in $\mathbb{R}^2$ (Example 7.3.1)

Consider the rectangle with vertices

$$A = (2, 1)^T, \quad B = (-2, 1)^T, \quad C = (-2, -1)^T, \quad D = (2, -1)^T.$$

We apply  $T$  to the rectangle ABCD.



## Scalings in $\mathbb{R}^3$ (Example 7.3.5)

The **standard** matrix for the **scaling** along the  $x$ ,  $y$ ,  $z$ -axes in  $\mathbb{R}^3$  by factors  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ , respectively, is

$$\begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}.$$

For the **special case** when  $\lambda_1 = \lambda_2 = \lambda_3 = \lambda$ , the scaling is known as a **dilation** if  $\lambda > 1$  and the scaling is known as a **contraction** if  $\lambda < 1$ .



## Reflections in $\mathbb{R}^2$ (Example 7.3.6.1)

Let  $F_1: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a linear transformation such that

$$F_1\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \text{and} \quad F_1\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ -1 \end{bmatrix}.$$

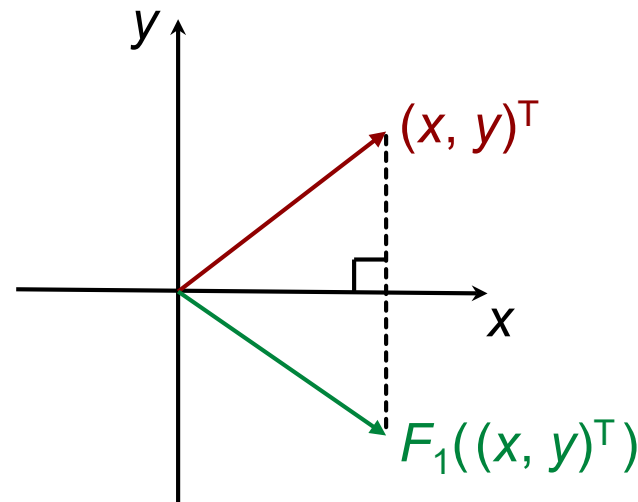
The standard matrix for  $F_1$  is  $\begin{bmatrix} F_1(\mathbf{e}_1) & F_1(\mathbf{e}_2) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$   
(see Discussion 7.1.8)

$$\text{and } F_1\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ -y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

## Reflections in $\mathbb{R}^2$ (Example 7.3.6.1)

$$F_1\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x \\ -y \end{bmatrix} \text{ for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

$F_1$  is the **reflection** about the  $x$ -axis.



Similarly, the **reflection**  $F_2: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  about the  $y$ -axis

has the **standard matrix**  $\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$

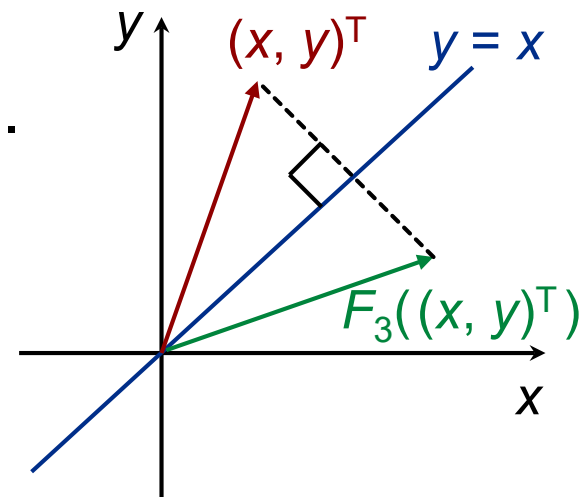
$$\text{and } F_2\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} -x \\ y \end{bmatrix} \text{ for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

## Reflections in $\mathbb{R}^2$ (Example 7.3.6.2)

Let  $F_3: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the reflection about the line  $y = x$ .

$$F_3\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \text{ and } F_3\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}.$$

The standard matrix for  $F_3$  is  $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

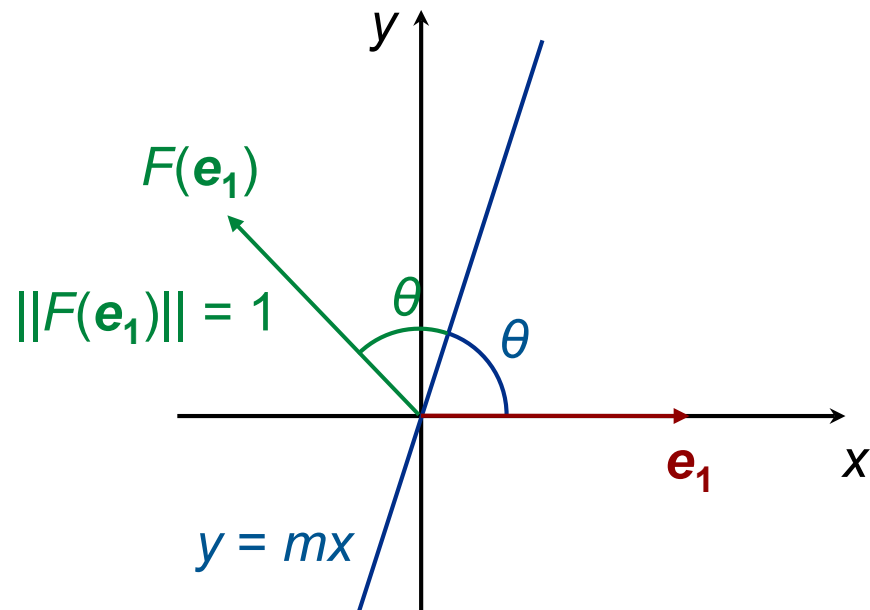


$$\text{and } F_3\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} y \\ x \end{bmatrix} \text{ for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

## Reflections in $\mathbb{R}^2$ (Example 7.3.6.3)

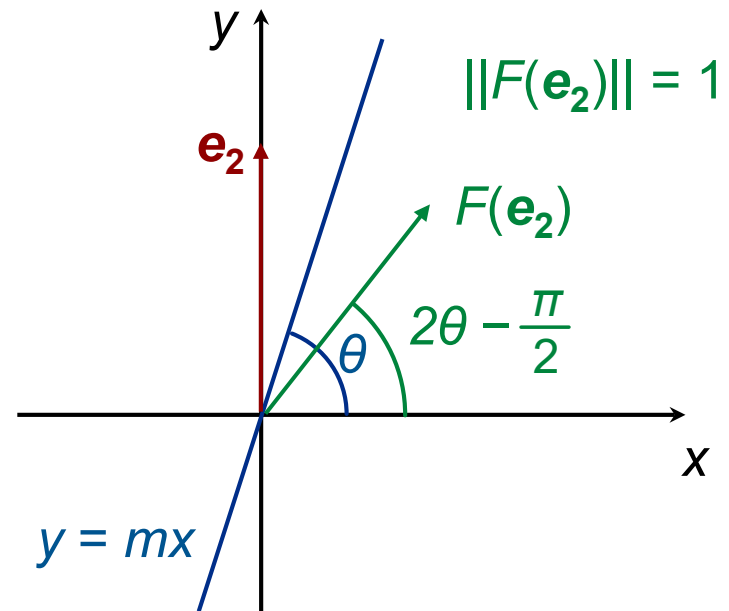
Let  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the **reflection** about the line  $y = mx$  with  $m = \tan(\theta)$  where  $\theta$  is the **angle** between the  $x$ -axis and the line.

$$\begin{aligned} F\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) &= F(\mathbf{e}_1) \\ &= \begin{bmatrix} \cos(2\theta) \\ \sin(2\theta) \end{bmatrix} \end{aligned}$$



# Reflections in $\mathbb{R}^2$ (Example 7.3.6.3)

$$\begin{aligned} F\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) &= F(\mathbf{e}_2) \\ &= \begin{bmatrix} \cos\left(2\theta - \frac{\pi}{2}\right) \\ \sin\left(2\theta - \frac{\pi}{2}\right) \end{bmatrix} \\ &= \begin{bmatrix} \sin(2\theta) \\ -\cos(2\theta) \end{bmatrix} \end{aligned}$$

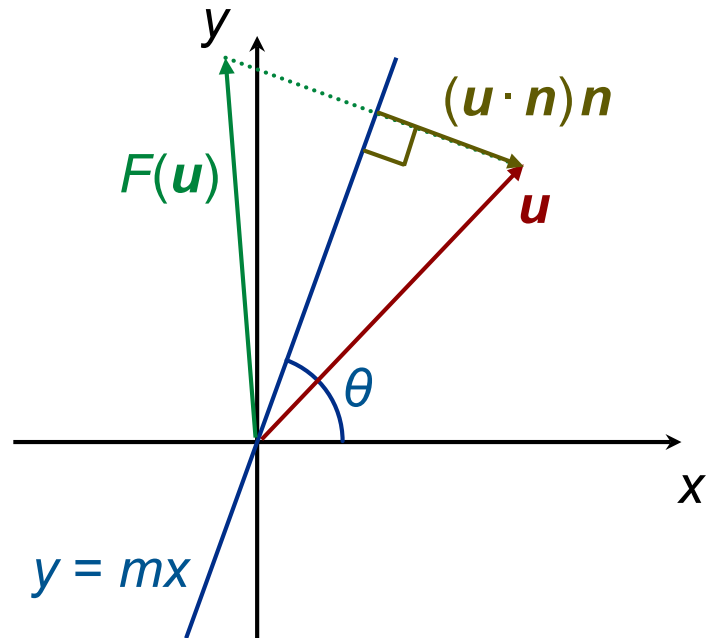


# Reflections in $\mathbb{R}^2$ (Example 7.3.6.3 & Remark 7.3.7)

So the **standard matrix** for  $F$  is  $\begin{bmatrix} \cos(2\theta) & \sin(2\theta) \\ \sin(2\theta) & -\cos(2\theta) \end{bmatrix}$ .

The formula of the **reflection**  $F$  can also be written as

$F(u) = u - 2(u \cdot n)n$  for  $u \in \mathbb{R}^2$ ,  
where  $n = (\sin(\theta), -\cos(\theta))^T$ .



# Reflections in $\mathbb{R}^3$ (Example 7.3.8 & Question 7.26)

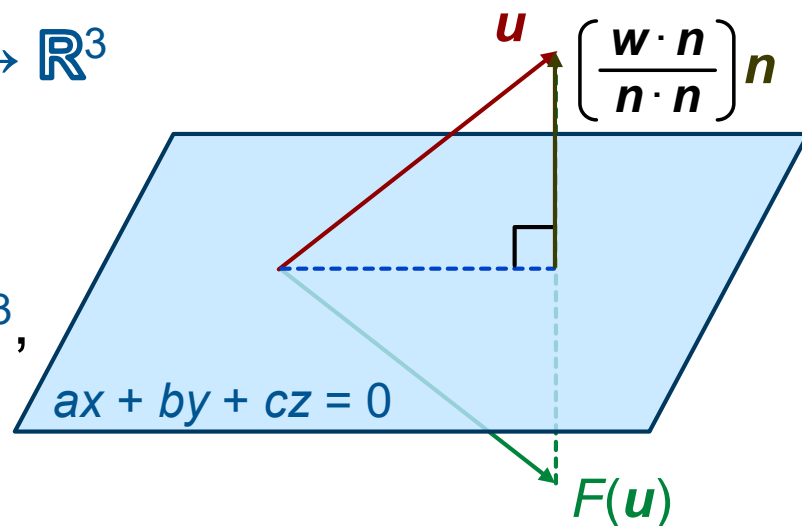
The standard matrices for reflections about the  $xy$ -plane,  $xz$ -plane,  $yz$ -plane in  $\mathbb{R}^3$  are

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \text{ respectively.}$$

In general, the reflection  $F: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  about the plane  $ax + by + cz = 0$  can be formulated as

$$F(\mathbf{u}) = \mathbf{u} - 2\left(\frac{\mathbf{w} \cdot \mathbf{n}}{\mathbf{n} \cdot \mathbf{n}}\right)\mathbf{n} \text{ for } \mathbf{u} \in \mathbb{R}^3,$$

where  $\mathbf{n} = (a, b, c)^T$ .

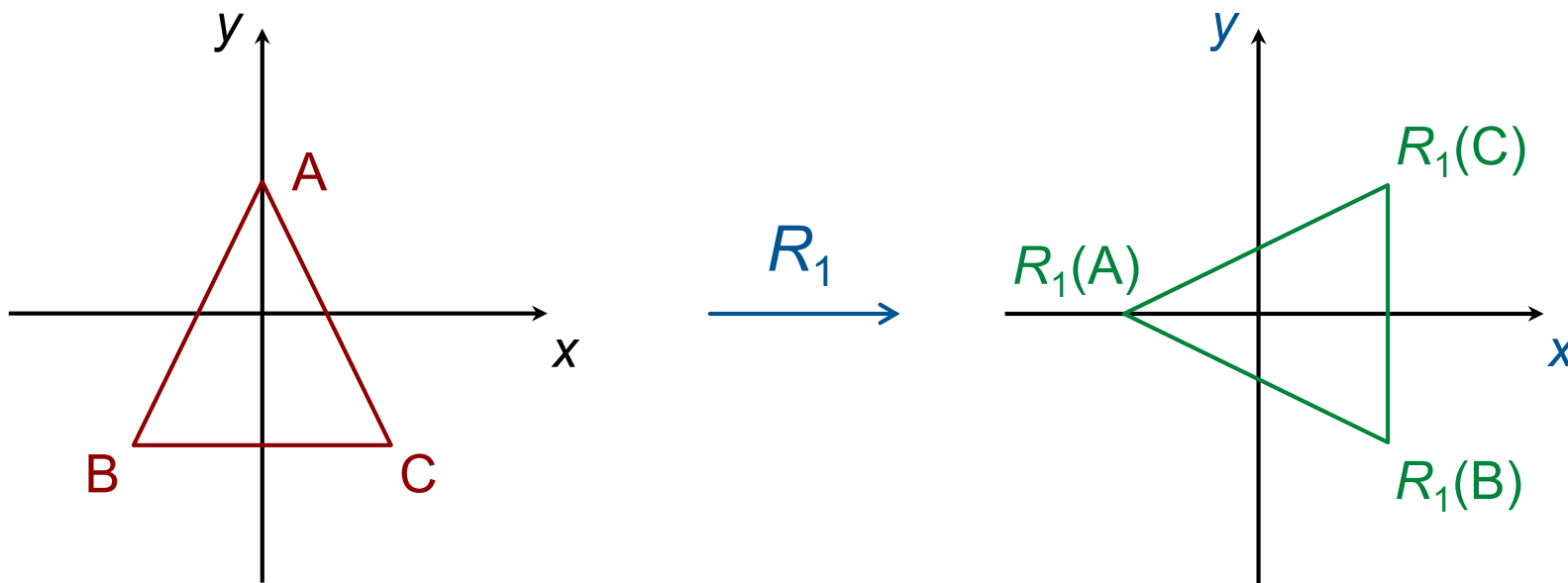


## Rotations in $\mathbb{R}^2$ (Example 7.3.9.1)

Let  $R_1: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the **anti-clockwise rotation** about the origin through an **angle**  $\frac{\pi}{2}$ .

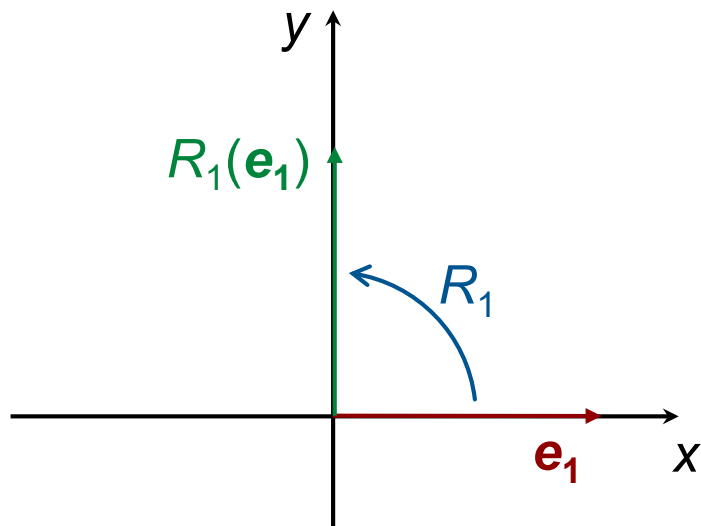
Consider the **triangle** with vertices

$$A = (0, 1)^T, \quad B = (-1, -1)^T, \quad C = (1, -1)^T.$$

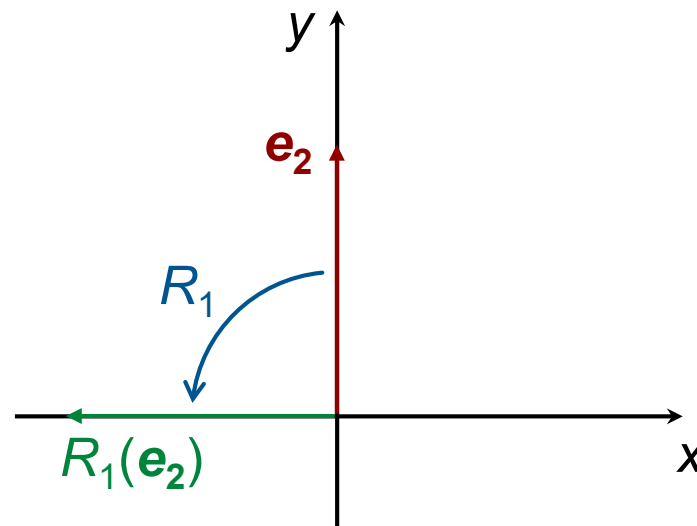




## Rotations in $\mathbb{R}^2$ (Example 7.3.9.1)



$$R_1 \left( \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$



$$R_1 \left( \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} -1 \\ 0 \end{bmatrix}.$$

The standard matrix for  $R_1$  is  $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ .

## Rotations in $\mathbb{R}^2$ (Example 7.3.9.1)

For the **triangle** with vertices

$$A = (0, 1)^T, \quad B = (-1, -1)^T, \quad C = (1, -1)^T,$$

the image of **A** is  $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \end{bmatrix};$

the image of **B** is  $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} -1 \\ -1 \end{bmatrix} = \begin{bmatrix} 1 \\ -1 \end{bmatrix};$

the image of **C** is  $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$

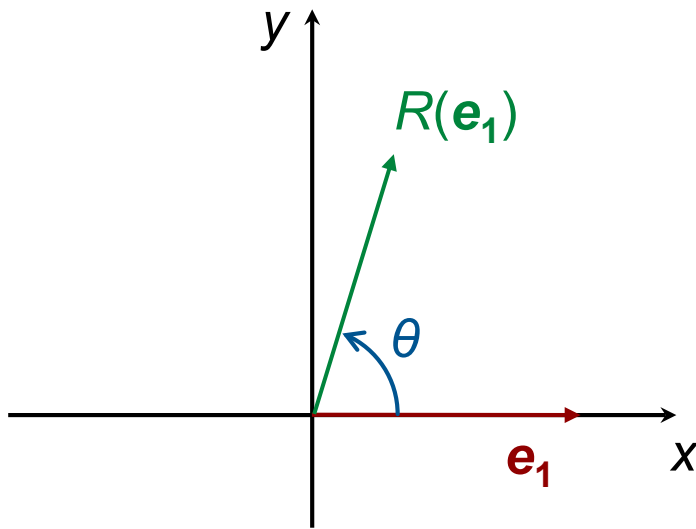
## Rotations in $\mathbb{R}^2$ (Example 7.3.9.1)

Similarly, the standard matrices for anti-clockwise rotations about the origin through angles  $\pi$  and  $\frac{3\pi}{2}$  are

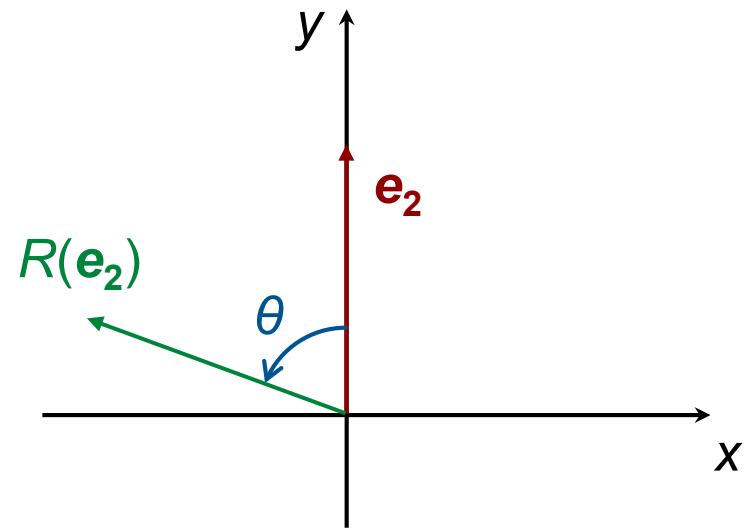
$$\begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix} \text{ and } \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \text{ respectively.}$$

## Rotations in $\mathbb{R}^2$ (Example 7.3.9.2)

Let  $R: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the **anti-clockwise rotation** about the origin through an **angle**  $\theta$ .



$$R \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} \cos(\theta) \\ \sin(\theta) \end{bmatrix}$$



$$R \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} -\sin(\theta) \\ \cos(\theta) \end{bmatrix}$$

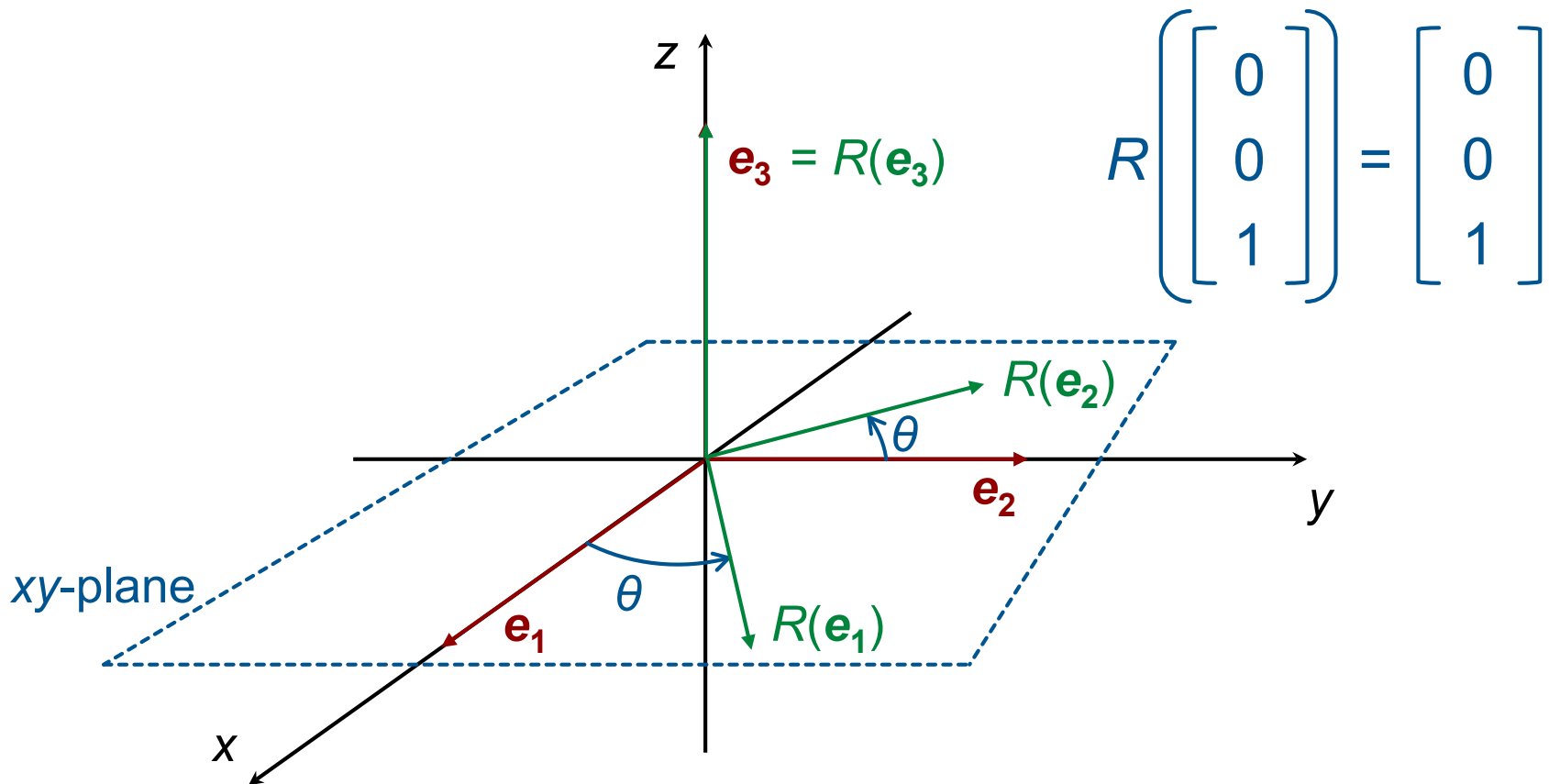
## Rotations in $\mathbb{R}^2$ (Example 7.3.9.2)

The standard matrix for  $R$  is given by

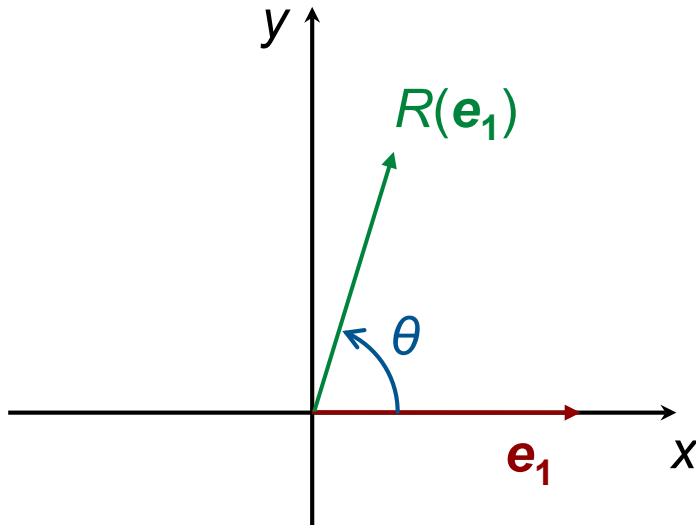
$$\begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}.$$

# Rotations in $\mathbb{R}^3$ (Example 7.3.10)

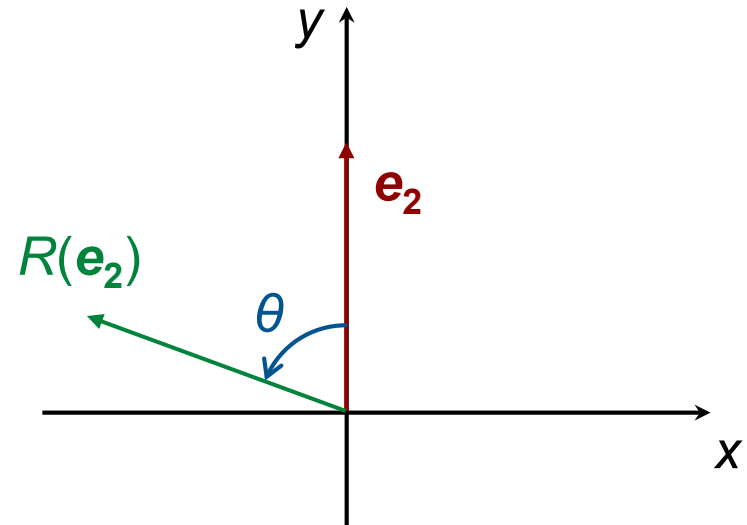
Let  $R: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the **anti-clockwise rotation** about the **z-axis** through an **angle  $\theta$** .



# Rotations in $\mathbb{R}^3$ (Example 7.3.10)



$$R \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} \cos(\theta) \\ \sin(\theta) \\ 0 \end{bmatrix}$$



$$R \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} -\sin(\theta) \\ \cos(\theta) \\ 0 \end{bmatrix}$$

## Rotations in $\mathbb{R}^3$ (Example 7.3.10)

The standard matrix for  $R$  is given by

$$\begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Similarly, the standard matrices for anti-clockwise rotations about the  $x$ -axis and  $y$ -axis through an angle  $\theta$  are

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix} \text{ and } \begin{bmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{bmatrix}, \text{ respectively.}$$



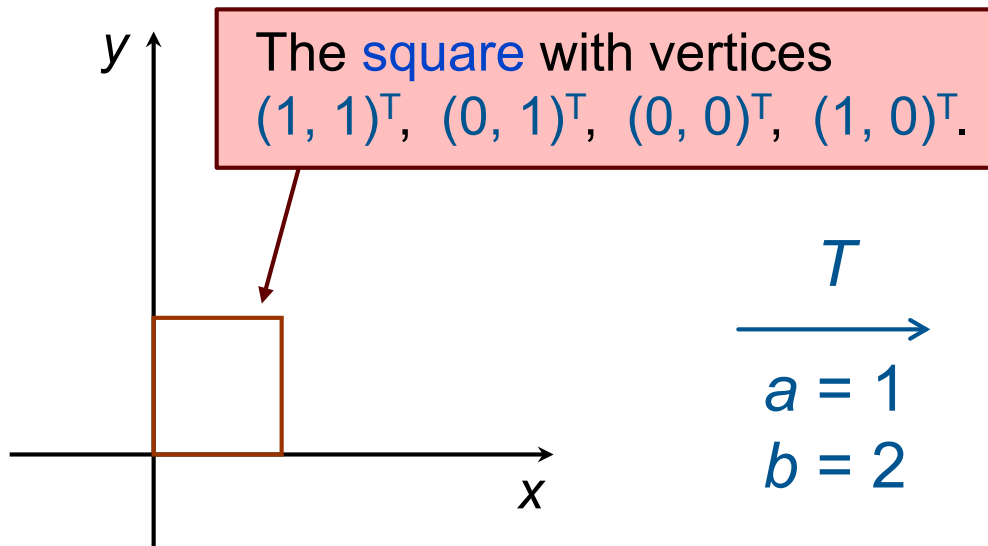
# Translations in $\mathbb{R}^2$ (Example 7.3.11.1)

Let  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the **translation** such that

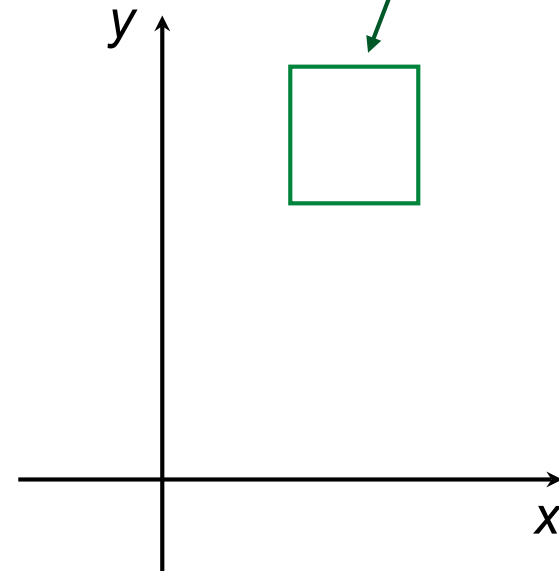
$$T\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + a \\ y + b \end{bmatrix} \text{ for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2,$$

where  $a$  and  $b$  are real constants.

The **square** with vertices  
 $T((1, 1)^T)$ ,  
 $T((0, 1)^T)$ ,  
 $T((0, 0)^T)$ ,  
 $T((1, 0)^T)$ .



$$\begin{array}{c} \xrightarrow{T} \\ a = 1 \\ b = 2 \end{array}$$



## Translations in $\mathbb{R}^2$ (Example 7.3.11.1)

Except  $a = b = 0$ ,  $T$  is not a linear transformation.

**Proof:** If  $a$  and  $b$  are not both zero,

$$T\left(\begin{bmatrix} 0 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} a \\ b \end{bmatrix} \neq \begin{bmatrix} 0 \\ 0 \end{bmatrix}.$$

Hence (by Theorem 7.1.4.1)  $T$  is not a linear transformation.

## Translations in $\mathbb{R}^3$ (Example 7.3.11.2)

Let  $T': \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the **translation** such that

$$T' \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + a \\ y + b \\ z + c \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3,$$

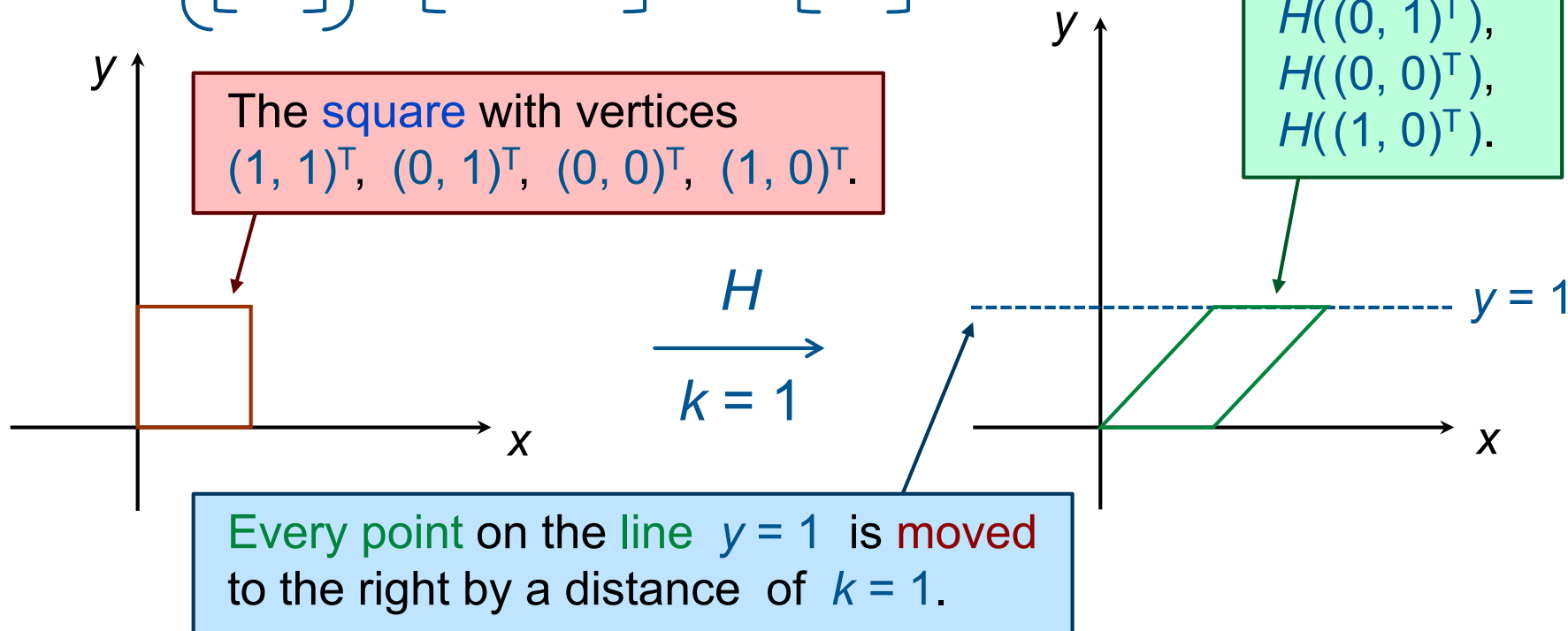
where  $a$ ,  $b$  and  $c$  are real constants.

**Except**  $a = b = c = 0$ ,  $T'$  is not a **linear transformation**.

# Shears in $\mathbb{R}^2$ (Example 7.3.12.1)

A mapping  $H: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is called a **shear** in the  $x$ -direction by a factor of  $k$  if

$$H\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + ky \\ y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$



## Shears in $\mathbb{R}^2$ (Example 7.3.12.1)

Observe that

$$H\left(\begin{bmatrix} x \\ y \end{bmatrix}\right) = \begin{bmatrix} x + ky \\ y \end{bmatrix} = \begin{bmatrix} 1 & k \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \end{bmatrix} \in \mathbb{R}^2.$$

Thus  $H$  is a linear transformation

and the standard matrix for  $H$  is  $\begin{bmatrix} 1 & k \\ 0 & 1 \end{bmatrix}$ .

## Shears in $\mathbb{R}^3$ (Example 7.3.12.2)

A mapping  $H': \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is a **shear** in the  $x$ -direction by a factor of  $k_1$  and in the  $y$ -direction by a factor of  $k_2$ .

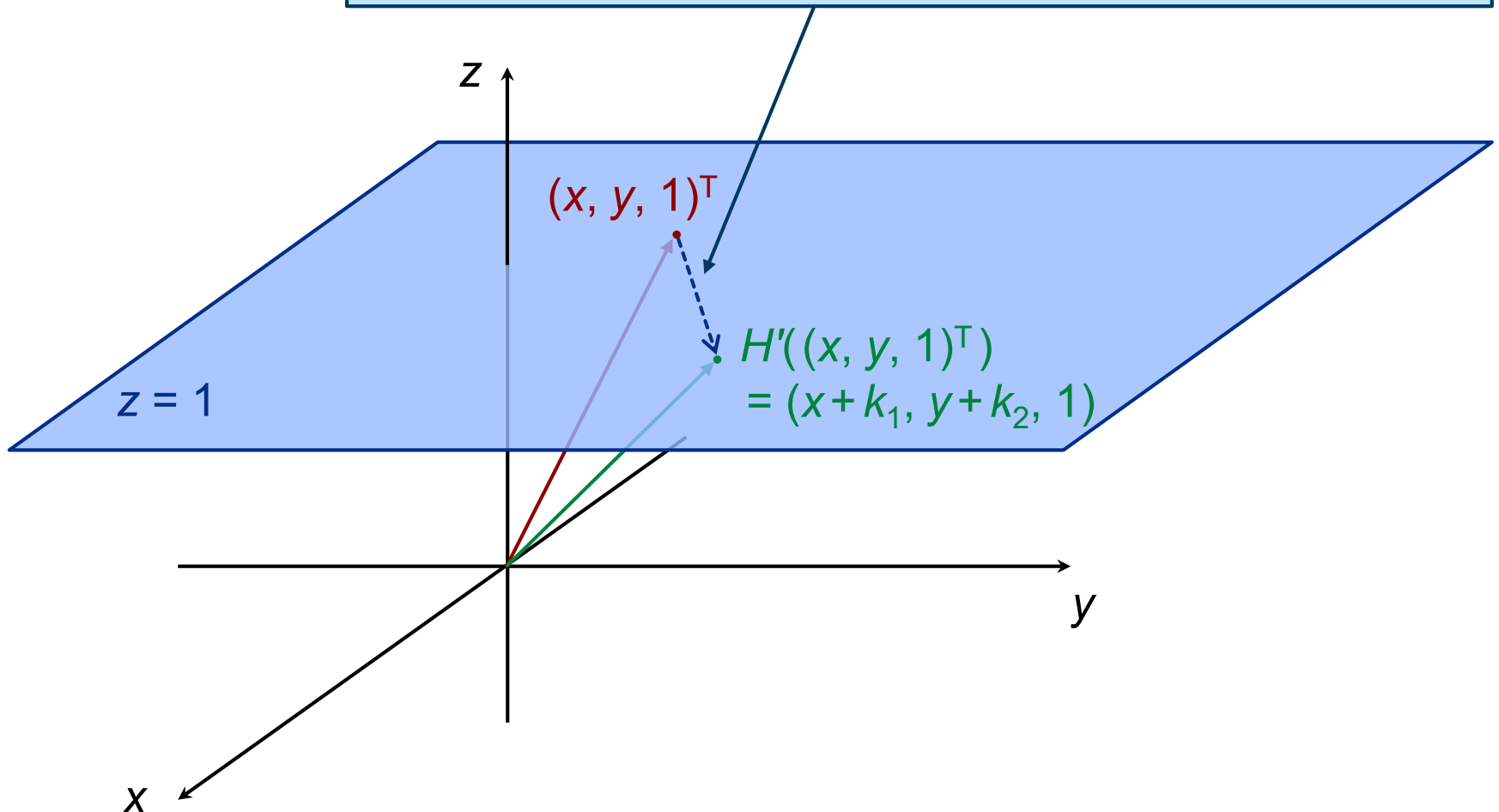
$$H' \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + k_1 z \\ y + k_2 z \\ z \end{bmatrix} \quad \text{for } \begin{bmatrix} x \\ y \\ z \end{bmatrix} \in \mathbb{R}^3.$$

$H'$  is a linear transformation

and the standard matrix for  $H'$  is  $\begin{bmatrix} 1 & 0 & k_1 \\ 0 & 1 & k_2 \\ 0 & 0 & 1 \end{bmatrix}$ .

# Shears in $\mathbb{R}^3$ (Example 7.3.12.2)

Every point on the plane  $z = 1$  is translated by  $k_1$  in the  $x$ -direction and by  $k_2$  in the  $y$ -direction.



## 2D computer graphics (Discussion 7.3.13)

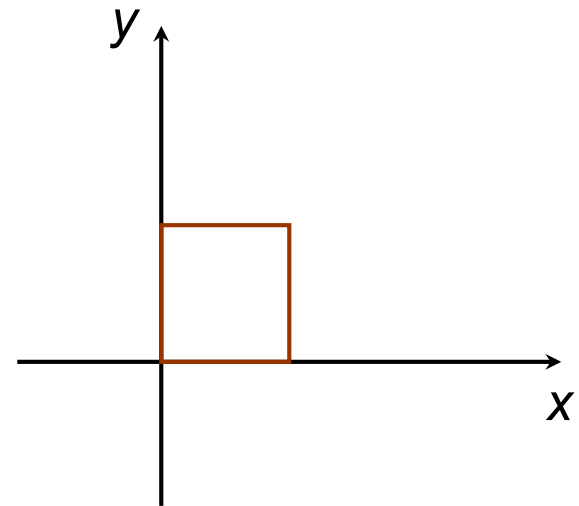
In 2D (dimension two) computer graphic, a figure is drawn by connecting a set of points by lines.

If a figure is drawn by connecting  $n$  points, we can store it by a  $2 \times n$  matrix.

For example, the matrix

$$A = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

gives us the square with vertices  $(1, 1)^T$ ,  $(0, 1)^T$ ,  $(0, 0)^T$ ,  $(1, 0)^T$ .



We can transform a figure by changing the positions of the vertices and then redrawing the figure.



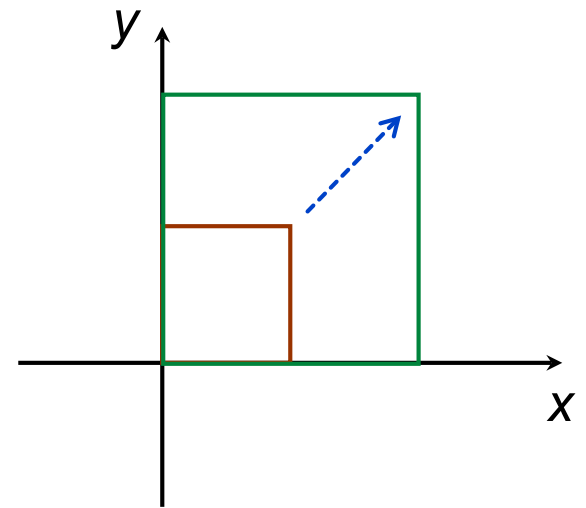
## 2D computer graphics (Discussion 7.3.13)

If the **transformation** is **linear**, it can be carried out by pre-multiplying the **standard matrix** for the **transformation** to the matrix representing the figure.

**For example**, if we want to **double** both the width and the height of the **square** in the **previous slide**,

we only need to pre-multiply  $\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$  to **A**:

$$\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 & 2 & 2 \\ 2 & 2 & 0 & 0 & 2 \end{bmatrix}.$$



## 2D computer graphics (Discussion 7.3.13)

There are four primary **geometric transformations** that are used in **2D computer graphics**.

- **scalings**,
- **reflections**,
- **rotations**,
- **translations**.

We know that **scalings**, **reflections** and **rotations** are linear transformations  
but **translations** are **not**.

The problem can be solved by using the **homogeneous coordinates**.

## 2D computer graphics (Discussion 7.3.13)

The **homogeneous coordinates** is formed by equaling each vector in  $\mathbb{R}^2$  with a vector in  $\mathbb{R}^3$  having the **same first two coordinates** and having **1** as its **third coordinate**.

For example, the matrix **A** representing the **square** with vertices  $(1, 1)^T$ ,  $(0, 1)^T$ ,  $(0, 0)^T$ ,  $(1, 0)^T$  becomes

$$\mathbf{A}' = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

If we want to draw the **figure**, we simply **ignore** the **third coordinate**.

## 2D computer graphics (Discussion 7.3.13)

Suppose  $P$  is the standard matrix for a geometric linear transformation on  $\mathbb{R}^2$  such as a scaling, a reflection or a rotation.

The matrix  $P' = \begin{bmatrix} & & 0 \\ P & & 0 \\ 0 & 0 & 1 \end{bmatrix}$  will transform  $A'$  accordingly.

For example, to double both the width and the height of the square,

$$\begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 & 2 & 2 \\ 2 & 2 & 0 & 0 & 2 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}.$$

## 2D computer graphics (Discussion 7.3.13)

To do a **translation**, we need to use a **shear** defined in  $\mathbb{R}^3$ .

**For example**, to **translate** the **square** by a distance of **2** in the **x**-direction and by a distance of **1** in the **y**-direction, the **shear** with the **standard matrix**

$$\begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

will do the job:

$$\begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 3 & 2 & 2 & 3 & 3 \\ 2 & 2 & 1 & 1 & 2 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}.$$

