

LECTURE 2

September 11, 2023

1. Open Sets in Metric Spaces

DEFINITION 1.1. Let (X, d) be a metric space.

- For $x_0 \in X$ and $\epsilon > 0$ define the open ball centered around x_0 with radius ϵ as

$$B(x_0, \epsilon) = \{y \in X : d(x_0, y) < \epsilon\}$$

- A subset $U \subset X$ is called an open set if for every $x_0 \in U$, there exists an $\epsilon > 0$ (that depends on x_0 and U) such that $B(x_0, \epsilon) \subset U$.

EXAMPLE 1.2. In \mathbb{R}^2 , the open unit disk defined by

$$U = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$$

is an open set. (Verify this formally)

EXAMPLE 1.3. In \mathbb{R}^2 , the closed unit disk defined by

$$D = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\}$$

is *not* an open set.

EXERCISE 1.4. In a metric space (X, d) , for any $x_0 \in X$ and $\epsilon > 0$, the open ball $B(x_0, \epsilon)$ is an open set.

PROOF. We want to show that $B(x_0, \epsilon)$ is an open set. Indeed, let $y \in B(x_0, \epsilon)$ be arbitrary. We are looking for a $\delta > 0$ such that $B(y, \delta) \subset B(x_0, \epsilon)$. Take $\delta = \epsilon - d(x_0, y)$. (Use the triangle inequality to show that $\delta > 0$ and $B(y, \delta) \subset B(x_0, \epsilon)$). \square

EXERCISE 1.5. Let (X, d) be a metric space. Prove the following.

- X is open, and the \emptyset is open. (Prove \emptyset is open by using contradiction, assuming that there is a point and $\epsilon > 0$ such that $B(x_0, \epsilon) \not\subset \emptyset$ but there are no such points in \emptyset so we have a contradiction)
- If U and V are open sets, then $U \cap V$ is open.
- If $(U_\alpha)_{\alpha \in I}$ is an arbitrary collection of open subsets of X , then $\bigcup_{\alpha \in I} U_\alpha$ is open.

Note that from (ii), by using induction, for any $n \in \mathbb{N}$, and U_1, U_2, \dots, U_n is open, then $\bigcap_{i=1}^n U_i$ is open.

PROOF OF (II). Let U and V be open. Take any $x \in U \cap V$. since $x \in U$ and U is open, there exists an $\epsilon > 0$ such that $B(x, \epsilon) \subset U$. Similarly, since $x \in V$ and V is open, there exists a $\delta > 0$ such that $B(x, \delta) \subset V$. Take $\eta = \min\{\epsilon, \delta\} > 0$ and show $B(x, \eta) \subset U \cap V$. (Formalize this) \square

THEOREM 1.6. Let (X, d) be a metric space, let $x_0 \in X$ and let $(x_n)_{n \in \mathbb{N}}$ be a sequence in X . The following are equivalent.

- (i) $\lim_{n \rightarrow \infty} x_n = x_0$
- (ii) For every open set U such that $x_0 \in U$, there exists an $N \in \mathbb{N}$ such that $x_n \in U$ for all $n \geq N$.

PROOF. To show that (i) implies (ii), take U to be an open set with $x_0 \in U$. We want to find an $N \in \mathbb{N}$ such that $x_n \in U$ for all $n \geq N$. (Formalize this)

To show that (ii) implies (i), let $\epsilon > 0$ be arbitrary, we want to find an $N \in \mathbb{N}$ such that $d(x_0, x_n) < \epsilon$ for all $n \geq N$. Take $U = B(x_0, \epsilon)$. (Formalize this) \square

THEOREM 1.7. Let (X, d) and (Y, ρ) be two metric spaces and let $f : X \rightarrow Y$ be a function. The following are equivalent:

- (i) $f : (X, d) \rightarrow (Y, \rho)$ is continuous.
- (ii) For every V open subset of Y , $f^{-1}(V)$ is open in X .

PROOF. (i) \Rightarrow (ii): Assume that $f : (X, d) \rightarrow (Y, \rho)$ be continuous. Let $V \subset Y$ be an arbitrary subset of Y . We will show that $f^{-1}(V) = U$ is open in X . Take an arbitrary point $x \in U$, we seek a $\delta > 0$ such that $B(x, \delta) \subset U$. Since f is continuous everywhere in X , f is continuous at x . Since $x \in U = f^{-1}(V)$, and so $f(x) \in V$. Furthermore, since V is open, there exists an $\epsilon > 0$ such that $B(f(x), \epsilon) \subset V$. By continuity of f at x , there exists a $\delta > 0$ such that for all $z \in X$ with $d(x, z) < \delta$ implies that $\rho(f(x), f(z)) < \epsilon$. We will now show that for this $\delta > 0$, $B(x, \delta) \subset U$. Take $z_0 \in B(x, \delta)$. We show that $B(x, \delta) \subset U$, i.e. $d(x, z) < \delta$ therefore, $\rho(f(z), f(x)) < \epsilon$ therefore $f(z) \in B(f(x), \epsilon) \subset V$ which implies $f(z) \in V$ and so $z \in f^{-1}(V) = U$.

(ii) \Rightarrow (i): Take $x \in X$ and $\epsilon > 0$. We want to find a $\delta > 0$ such that for all $z \in X$ with $d(x, z) < \delta$, we have $\rho(f(x), f(z)) < \epsilon$. Take the open set $V = B(f(x), \epsilon)$ which is open in Y . By assumption, $U = f^{-1}(V)$ is open in X . Then $x \in U$ because $f(x) \in V$ and therefore, there exists a $\delta > 0$ such that $B(x, \delta) \subset U$. Take $z \in X$ such that $d(x, z) < \delta$, i.e. $z \in B(x, \delta) \subset U$, which implies that $f(z) \in V = B(f(x), \epsilon)$ which implies that $\rho(f(x), f(z)) < \epsilon$ as desired. \square

2. Topology

DEFINITION 2.1. Let X be a nonempty set and let \mathcal{T} be a collection of subsets of X , i.e. $\mathcal{T} \subset \mathcal{P}(X)$. If \mathcal{T} satisfies the following, then we call \mathcal{T} a topology on X and (X, \mathcal{T}) is called a topological space.

- (i) $\emptyset, X \in \mathcal{T}$
- (ii) If $U, V \in \mathcal{T}$ then $U \cap V \in \mathcal{T}$.
- (iii) If $(U_i)_{i \in I}$ is an arbitrary collection of members of \mathcal{T} , then $\bigcup_{i \in I} U_i \in \mathcal{T}$

If \mathcal{T} is a topology on X , then the members of \mathcal{T} are called \mathcal{T} -open sets (or just open sets)

REMARK 2.2. If \mathcal{T} is a topology on X , then for any $n \in \mathbb{N}$ and $U_1, U_2, \dots, U_n \in \mathcal{T}$, then $\bigcap_{i=1}^n U_i \in \mathcal{T}$.

EXAMPLE 2.3. For a metric space (X, d) denote

$$\mathcal{T}_d = \{U \subset X : U \text{ is an open set with respect to } d\}$$

This is called the topology induced by the metric d . A topology \mathcal{T} on a set X for which there exists a metric d on X with $\mathcal{T} = \mathcal{T}_d$ is called a metrizable topology.

EXAMPLE 2.4. For any $X \neq \emptyset$, define $\mathcal{T} = \{\emptyset, X\}$ is a topology called the trivial topology on X . It is elementary to see that \mathcal{T} is a topology.

EXAMPLE 2.5. For any nonempty set $X \neq \emptyset$, $\mathcal{T} = \mathcal{P}(X)$ is a topology on X , called the discrete topology on X .

EXERCISE 2.6. Let (X, d) be a discrete metric space. Show that $\mathcal{T}_d = \mathcal{P}(X)$. In particular, the discrete topology on any set is metrizable.

REMARK 2.7. If (X, \mathcal{T}) is a metrizable topology, there may be different metrics d, d' on X such that $\mathcal{T} = \mathcal{T}_d = \mathcal{T}_{d'}$. For example, on \mathbb{Z} with the usual metric $d(x, y) = |x - y|$, $\mathcal{T}_d = \mathcal{P}(\mathbb{Z})$ (also given by the discrete metric).

DEFINITION 2.8. A topological space (X, \mathcal{T}) is called *Hausdorff* if for any $x, y \in X$ with $x \neq y$, there exists disjoint open sets $U, V \in \mathcal{T}$ such that $x \in U$ and $y \in V$.

EXAMPLE 2.9. Let $X \neq \emptyset$ with $|X| \geq 2$. Then the trivial topology $\mathcal{T} = \{\emptyset, X\}$ is *not* Hausdorff.

PROPOSITION 2.10. *Any metrizable topological space is Hausdorff.*

PROOF. If (X, \mathcal{T}) is metrizable, there exists a metric d on X such that $\mathcal{T} = \mathcal{T}_d$. Take $x \neq y \in X$. Take $r = d(x, y)$. Take $U = B(x, \frac{r}{2})$ and $V = B(y, \frac{r}{2})$ are containing x and y , respectively. We claim that $U \cap V = \emptyset$. If not, then there exists a $z \in U \cap V$ such that

$$d(x, y) \leq d(x, z) + d(z, y) < \frac{r}{2} + \frac{r}{2} = r$$

This is a contradiction. □

EXAMPLE 2.11. Let X be a nonempty set, and let \mathcal{T} to be defined by

$$\mathcal{T} = \{A \subset X : X \setminus A \text{ is finite}\} \cup \{\emptyset\}$$

- (i) This is a topology on X , called the cofinite topology.
- (ii) If X is infinite, then \mathcal{T} is not metrizable.