

Theorem: Let  $X$  be a vector space and let  $A$  and  $B$  be Hamel bases of  $X$ . Then  $\#A = \#B$ .

Def: Let  $X$  be a vector space. We define the dimension of  $X$  as  $\dim(X) = \#A$  where  $A$  is any Hamel basis of  $X$ .

- If  $\dim(X) = n$  for some  $n \in \mathbb{N}_0$ , then we call  $X$  finite dimensional. Otherwise,  $X$  is infinite dimensional.
- If  $X$  is finite dimensional or  $\dim(X) = \#\mathbb{N}$ , then we say  $X$  has a countable dimension. Otherwise,  $X$  has uncountable dimension.

Examples:

- $\dim(\mathbb{R}^n) = n$
- $\dim(P_n[0,1]) = n+1$
- $\dim(C_{\text{co}}(\mathbb{N}))$  has infinite countable dimension.

Comment: We will show later that  $C[0,1]$  and  $\ell^p(\mathbb{N})$  are of uncountable dimension.

Proposition: Let  $X$  be a finite dimensional vector space and  $Y$  is a subspace of  $X$  with  $Y \neq X$ , then  $\dim(Y) < \dim(X)$ .

Proof: Let  $A$  be a Hamel basis of  $Y$ . Then there exists a Hamel basis  $B$  of  $X$  with  $A \subset B$ . Because  $\langle A \rangle = Y \neq X = \langle B \rangle$  then  $A \neq B$ . Because  $B$  is finite, any subset of it has strictly small cardinality, so  $\dim(Y) = \#A < \#B = \dim(X)$ .

Linear Operators

Def: Let  $X$  and  $Y$  be vector spaces. A mapping  $T: X \rightarrow Y$  is called a linear operator if

- For  $x, y \in X$ , then  $T(x+y) = T(x) + T(y)$
- For  $x \in X$  and  $\alpha \in \mathbb{R}$ ,  $T(\alpha x) = \alpha T(x)$ .

### Examples:

- Let  $X$  be a vector space, then  $\text{id}: X \rightarrow X$  given by  $\text{id}(x) = x$  is a linear operator.
- Let  $X, Y$  be vector spaces, then the zero operator  $T: X \rightarrow Y$  such that for  $x \in X$ ,  $T(x) = 0_Y$  is a linear operator.
- If  $n, m \in \mathbb{N}$ , then any  $n \times m$  matrix  $A$ , defines a linear operator  $T_A: \mathbb{R}^m \rightarrow \mathbb{R}^n$ . In fact any linear  $T: \mathbb{R}^m \rightarrow \mathbb{R}^n$  is of the form  $T = T_A$  for some  $A$ .
- Expectation operator  $\mathbb{E}: C[0,1] \rightarrow \mathbb{R}$  with  $\mathbb{E}(f) = \int_0^1 f(t) dt$
- For  $t_0 \in [0,1]$ , define the dirac functional  $\delta_{t_0}: C[0,1] \rightarrow \mathbb{R}$  with  $\delta_{t_0}(f) = f(t_0)$ .
- The differential operator  $D: C^1[0,1] \rightarrow C[0,1]$  with  $Df = f'$ .
- The Volterra operator  $V: C[0,1] \rightarrow C^1[0,1]$  defined as  $(Vf)(t) = \int_0^t f(x) dx$ .

Then  $(Vf)' = f$ , in particular,  $Vf \in C^1[0,1]$ .

Remark: The composition of linear operators is linear.

Proposition: Let  $X$  and  $Y$  be vector spaces and  $T: X \rightarrow Y$ , then

- For every  $W \subset X$ ,  $T(W) = \{T(w) : w \in W\}$  is a subspace of  $Y$ .
- For every  $Z \subset Y$ ,  $T^{-1}(Z) = \{x \in X : T(x) \in Z\}$  is a subspace of  $X$ .

Proof: Let  $x, y \in T^{-1}(Z)$ . Then we show  $x+y \in T^{-1}(Z)$ . Indeed,  $T(x+y) = T(x) + T(y) \in Z$  and also for  $x \in T^{-1}(Z)$  and  $\alpha \in \mathbb{R}$ ,  $T(\alpha x) = \alpha T(x) \in Z$ .

Definition: Let  $X$  and  $Y$  be vector spaces and  $T: X \rightarrow Y$  is a linear operator. Define

$$(1) \quad \text{Ker}(T) = T^{-1}(\{0_Y\}) = \{x \in X : T(x) = 0_Y\} \quad (\text{kernel of } T)$$

(2)  $T(X) = \{T(x) : x \in X\}$  (the range of  $T$ ).

These are subspaces of  $X$  and  $Y$ , respectively.

Proposition: Let  $X$  and  $Y$  be vector spaces and  $T: X \rightarrow Y$  be a linear operator.  $T$  is one-to-one if and only if  $\ker(T) = \{0_X\}$ .

Proof: If  $T$  is one-to-one, and if  $x \in \ker(T)$ , then

$$T(x) = 0_Y = T(0_X), \text{ so } x = 0_X, \text{ and } \ker(T) = \{0_X\}.$$

If  $\ker(T) = \{0_X\}$  and  $x, y \in X$ , such that  $T(x) = T(y)$ , then  $x - y = 0_X$  so  $x = y$ .  $T$  is one-to-one.

Examples:

- $|E: C[0,1] \rightarrow \mathbb{R}$  is not one-to-one. Because if  $f(x) = \sin(2\pi x)$   $f$  is nonzero and  $|E(f)| = 0$ .
- $V: C[0,1] \rightarrow C'[0,1]$  is one-to-one. Let  $f \in \ker(V)$ , i.e.  $f$  is the zero function, then  $DV(f) = f$  is the zero function. Because  $\ker(V) = \{0\}$ ,  $V$  is one-to-one.

Proposition: If  $T: X \rightarrow Y$  is linear and  $A \subset X$ , then

$$T(\langle A \rangle) = \langle T(A) \rangle. \quad (\text{exercise}).$$

Proposition: Let  $X, Y$  be vector spaces and  $T: X \rightarrow Y$  is linear.

(1) If  $A \subset X$  is linearly independent.  $T$  is one-to-one, then  $T(A)$  is linearly independent.

(2) If  $B \subset T(X)$  that is linearly independent and for all  $b \in B$   $a_b \in X$  such that  $T(a_b) = b$ , then  $\{a_b : b \in B\}$  is linearly independent.

Proof (2): Take  $(a_{bi})_{i=1}^n$  without repetitions and  $(\alpha_i)_{i=1}^n \in \mathbb{R}$  such that

$$\sum_{i=1}^n \alpha_i a_{bi} = 0_X \Rightarrow T\left(\sum_{i=1}^n \alpha_i a_{bi}\right) = T(0_X) = 0_Y$$

$$\Rightarrow \sum_{i=1}^n \alpha_i T(a_{bi}) = 0_Y \Rightarrow \sum_{i=0}^n \alpha_i b_i = 0_Y$$

Because  $\{b_i\}_{i=1}^n$  has no repetitions and  $B$  is linearly independent so  $\alpha_i = 0 \forall i$ .

Theorem: Let  $X$  and  $Y$  be vector spaces and  $B$  be a Hamel basis of  $X$ , and  $T, S: X \rightarrow Y$  such that  $\forall b \in B, T(b) = S(b)$ , then  $T = S$ .

Proof: If  $x \in X$  then for  $(b_i)_{i=1}^n \in B$  and  $(\alpha_i)_{i=1}^n \in \mathbb{R}$ ,

$$x = \sum_{i=1}^n \alpha_i b_i \Rightarrow T(x) = \sum_{i=1}^n \alpha_i T(b_i) = \sum_{i=1}^n \alpha_i S(b_i) = S(x)$$

Theorem: Let  $X$  and  $Y$  be vector spaces and  $B$  be a Hamel basis of  $X$  and  $\phi: B \rightarrow Y$  is a function. Then there exists a unique operator  $T_\phi: X \rightarrow Y$  such that for all  $b \in B$ ,

$$T_\phi(b) = \phi(b)$$

Proof: We define  $T_\phi$  as follows:

- $T_\phi(0_X) = 0_Y$ .
- If  $x \in X \setminus \{0_X\}$ , take  $F$  be a unique nonempty subset of  $B$  and the unique nonzero scalars  $(\alpha_b)_{b \in F}$  such that  $x = \sum_{b \in F} \alpha_b \cdot b$ .

Put  $T_\phi(x) = \sum_{b \in F} \alpha_b \phi(b)$ . Then  $T_\phi$  is linear and for all  $b \in B$ ,  $T_\phi(b) = \phi(b)$ . Uniqueness follows from previous theorem.

(Do Exercise 1.3.15).

### Linear Projections

Def: Let  $X$  be a vector space. A linear operator  $P: X \rightarrow X$  is called a linear projection if  $P^2 = P$ .

Proposition: Let  $X$  be a vector space. If  $P: X \rightarrow X$  is a linear projection.

- (1)  $P(X)$  and  $\ker(P)$  form a linear decomposition of  $X$
- (2) If  $Y$  and  $Z$  are linear decomposition of  $X$ , then there exists

a unique linear projection  $P: X \rightarrow X$  such that  $Y = P(X)$  and  $Z = \ker(P)$

Proof (1): If  $x \in P(X) \cap \ker(P)$ , we show  $x = 0_X$ . Because  $x \in \ker(P)$ ,  $P(x) = 0_X$  and because  $x \in P(X)$ , there exists a  $y \in X$  such that  $x = P(y)$ . Then  $P(x) = P(P(y)) = P(y) = x$  so  $P(x) = x = 0_X$ .

$P(X) + \ker(P) = X$ , so let  $x \in X$ . Then  $x = P(x) + (x - P(x))$  because  $P(x - P(x)) = P(x) - P(P(x)) = P(x) - P(x) = 0_X$  so  $x - P(x) \in \ker(P)$ .

Proof (2): Because  $Y$  and  $Z$  are a linear decomposition of  $X$  then  $\forall x \in X$ , there exists unique  $y \in Y$ ,  $z \in Z$  such that  $x = y + z$ . Define  $y = P(x)$ , then  $P$  is linear and  $P^2 = P$ .

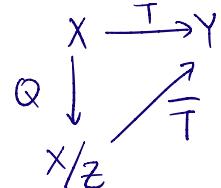
## Linear Operators and Quotients

Prop: Let  $X$  be a vector space and  $Y$  be a subspace of  $X$ . Then  $Q: X \rightarrow X/Y$  defined by  $Q(x) = [x]_Y$  is a linear operator such that  $Q(X) = X/Y$  and  $\ker(Q) = Y$ .

Proof: Linearity follows from the definition of addition and scalar multiplication in  $X/Y$ .  $Q(X) = X/Y$  is obvious. We show  $\ker(Q) = Y$ . If  $y \in Y$ , then  $[y]_Y = [0_X]_Y = Y$ . Therefore  $Q(y) = [y]_Y = Y = 0_{X/Y}$ . Take  $x \in \ker(Q)$ , then  $[x] = Q(x) = 0_{X/Y} = [0_X]_Y \Rightarrow x - 0_X \in Y \Rightarrow x \in Y$ .

Theorem: Let  $X$  and  $Y$  be vector spaces and let  $T: X \rightarrow Y$  be a linear operator. Define  $Z = \ker(T)$  and  $Q: X \rightarrow X/Z$ , then there exists a unique linear operator  $\bar{T}: X/Z \rightarrow Y$  such that for all  $x \in X$ ,  $\bar{T}Q(x) = T(x)$ . Furthermore,  $\bar{T}$  is one-to-one. (Sounds familiar...).

Proof: For  $x, y \in X$ ,  $T(x) = T(y)$  if and only if  $x - y \in \ker(T) = Z$



and so we have  $Q(x) = Q(y)$ . Indeed, if  $x, y \in X$  are such that  $T(x) = T(y) \Rightarrow T(x-y) = 0_Y \Rightarrow x-y \in \ker(T)$ . If  $x-y \in \ker(T) \Rightarrow T(x-y) = 0_Y \Rightarrow T(x) - T(y) = 0_Y \Rightarrow T(x) = T(y)$ . We define  $\bar{T}: X/Z \rightarrow Y$  as follows: For  $[x]_Z = Q(x) \in X/Z$ , we define  $\bar{T}([x]_Z) = T(x)$ . This is well defined because if  $[x]_Y = [y]_Y$ , then by the above,  $T(x) = T(y)$ . Then, it is obvious that for  $x \in X$ ,  $\bar{T}Q(x) = \bar{T}([x]_Z) = T(x)$ . Linearity follows from the linearity of  $T$  and the definition of addition and scalar multiplication on  $X/Z$ .

### Algebraic Isomorphisms

Def: A linear operator  $T: X \rightarrow Y$  between vector spaces  $X$  and  $Y$  is called an algebraic isomorphism if it is a linear bijection. If such a  $T$  exists between  $X$  and  $Y$  we say that  $X$  and  $Y$  are algebraically isomorphic.

Remark: The inverse of an algebraic isomorphism is an algebraic isomorphism. The composition of algebraic isomorphisms is an algebraic isomorphism.

Theorem: Let  $X$  and  $Y$  be vector spaces. Then  $X \cong Y$  (algebraic isomorphic) if and only if  $\dim(X) = \dim(Y)$ .

Stopped before Section 3.6, but is a very short one.

Exercises: 1.3.3, 1.3.4, 1.3.9, 1.3.12, 1.3.15, 1.3.19, 1.3.27