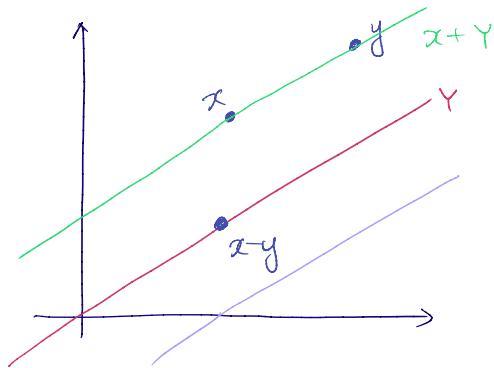


## Quotients of Vector Spaces

Def: Let  $X$  be a vector space and let  $Y$  be a subspace of  $X$ . Define the relation " $\sim_Y$ " on  $X$  as follows:  $x \sim_Y y$  if  $x - y \in Y$ . Then  $\sim$  is an equivalence relation on  $X$  and we denote an equivalence class  $[x]_Y = \{x \in X : x - y \in Y\}$ .

Remark: For  $x \in X$ ,  $[x]_Y = x + Y$



Def: Let  $X$  be a vector space and let  $Y$  be a subspace of  $X$ . Define the quotient of  $X$  over  $Y$  as the set  $X/Y = \{[x]_Y : x \in X\}$

Note: It is the collection of lines that are "parallel" to the line  $Y$ .

Def: Let  $X$  be a vector space and  $Y$  is a subspace of  $X$ . We define operations  $\tilde{+} : X/Y \times X/Y \rightarrow X/Y$  and  $\tilde{\cdot} : \mathbb{R} \times X/Y \rightarrow X/Y$  as follows:

- (1) For  $[x], [y] \in X/Y$ , define  $[x] \tilde{+} [y] = [x+y]$
- (2) For  $\alpha \in \mathbb{R}$ ,  $[x] \in X/Y$ , define  $\alpha \tilde{\cdot} [x] = [\alpha x]$ .

These are indeed well-defined. That is,  $[x] = [x']$  and  $[y] = [y']$ , then  $[x+y] = [x'+y']$ . (exercise) ✓

The set  $X/Y$  with "addition"  $\tilde{+}$  and "scalar multiplication"  $\tilde{\cdot}$  is a vector space.

Notation: Henceforth, on  $X/Y$ , we denote the operations as the usual  $+$  and  $\cdot$ .

## Linear Independence

Def: Let  $X$  be a vector space.

- (1) Let  $A = \{x_i\}_{i=1}^n \subset X$ , where each  $x_i$  are distinct. Then the set  $A$  is linearly independent if for every  $\alpha_1, \alpha_2, \dots, \alpha_n \in \mathbb{R}$ , then

$\sum_{i=1}^n \alpha_i x_i = 0$ , then  $\alpha_i = 0$  for every  $i$ .

(2) A subset  $A$  of  $X$  is linearly independent if every nonempty finite subset of  $A$  is linearly independent according to (1).

Remark:

- In (1), it is important to note that the  $x_i$  are nonrepeating.
- If  $A \subset X$  that satisfies (1), then it also satisfies (2).
- If  $A \subset X$  is linearly independent, then  $0_X \notin A$ .
- $\emptyset$  is linearly independent.

Notation: A non linearly independent set is a linearly dependent set.

Example:

(a) Recall  $\{e_i\}_{i=1}^n$  is the standard basis of  $\mathbb{R}^n$ , where  $e_i = (0, \dots, \underset{i\text{th coordinate}}{1}, 0, \dots, 0)$

Then the set is linearly independent. That is, if  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$  are such that

$$\sum_{i=1}^n \alpha_i e_i = 0, \text{ then } \alpha_i = 0 \text{ for every } i.$$

(b) Recall  $\{e_n\}_{n=1}^\infty$  is the standard basis of  $\text{Coo}(N)$ , where  $e_n = (0, \dots, 1, 0, \dots)$

If  $A = \{e_n\}_{i=1}^k$  be a subset of the standard basis and  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$ , are such that

$$\sum_{i=1}^k \alpha_i e_n = 0 \text{ (the zero sequence), then } \alpha_i = 0 \text{ for all } i.$$

(c) Let  $X = C[0, 1]$ . Recall  $p_0(x) = 1, p_1(x) = x, p_2(x) = x^2, \dots, p_n(x) = x^n$ . Then  $A = \{p_0, p_1, \dots, p_n, \dots\}$  is linearly independent.

(exercise, by differentiating) ✓

Proposition: Let  $X$  be a vector space and let  $A \subset X$ . The following are equivalent.

- (1)  $A$  is linearly independent
- (2) For every  $x \in A$ ,  $x \notin \text{span}(A \setminus \{x\})$ .

Proof:  $\neg(2) \Rightarrow \neg(1)$ : Assume there  $x \in A$  such that  $x \notin \text{span}(A) \setminus \{x\}$ . Then there exists  $y_1, \dots, y_n \in A \setminus \{x\}$  and  $\alpha_1, \dots, \alpha_n$  such that  $x = \sum_{i=1}^n \alpha_i y_i$ . Then  $0 = \sum_{i=1}^n \alpha_i y_i + (-1)x$ . Then  $\{y_1, \dots, y_n, x\}$  is not linearly independent as witnessed by  $\alpha_1, \dots, \alpha_n, -1$ , so  $A$  is not linearly independent.

$\neg(1) \Rightarrow \neg(2)$  Assume that  $A$  is not linearly independent. Then there exists  $x_1, \dots, x_n \in A$  (distinct) and  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$  not all zero such that  $0 = \sum_{i=1}^n \alpha_i x_i$ . Assume  $\alpha_n \neq 0$ . Then  $x_n = \sum_{i=1}^{n-1} \left(-\frac{\alpha_i}{\alpha_n}\right) x_i \in \text{span}(A) \setminus \{x\}$ , so we showed  $\neg(2)$ .

Proposition: Let  $X$  be a vector space, and  $A$  be a linearly independent subset of  $X$ . If  $x_0 \in X \setminus \text{span}(A)$ , then  $A \cup \{x_0\}$  is linearly independent.

(Do Exercise 1.2.9 and Exercise 1.2.10).

### Hamel Bases

Def: Let  $X$  be a vector space and let  $B$  be a subset of  $X$ . Then  $B$  is called a Hamel basis if  $B$  is a linearly independent spanning set.

### Examples:

- The standard basis of  $\mathbb{R}^n$ ,  $\{e_i\}_{i=1}^n$  is a Hamel basis of  $\mathbb{R}^n$ .
- The standard basis of  $\text{coo}(\mathbb{N})$ ,  $\{e_n\}_{n=1}^\infty$  is a Hamel basis of  $\text{coo}(\mathbb{N})$ .
- $B = \{p_0, p_1, \dots\}$ , then  $B$  is a Hamel basis of  $P[0, 1]$ .
- $\{p_0, \dots, p_n\}$  is a Hamel basis of  $P_n[0, 1]$ .

Theorem: Let  $X$  be a vector space and  $B \subset X \setminus \{0_X\}$ . Then  $B$  is a Hamel basis if and only if for every  $x \in X \setminus \{0_X\}$  there exists a unique nonempty finite  $F \subset B$  and unique non zero scalars  $(\lambda_b)_{b \in F}$  such that  $x = \sum_{b \in F} \lambda_b b$ .

Proof: ( $\Rightarrow$ ) Let  $x \in X \setminus \{0_X\}$ . By assumption,  $x \in \text{span}(B)$ . Then there exists  $b_1, b_2, \dots, b_n \in B$  and  $\alpha_1, \dots, \alpha_n \in \mathbb{R}$  such that  $x = \sum_{i=1}^n \alpha_i b_i$ .

By merging, we assume  $b_1, \dots, b_n$  are pairwise distinct and by dropping the zero scalars, we may assume that  $\alpha_i \neq 0$  for all  $i$ .

Let  $F = \{b_1, \dots, b_n\}$  and for  $b \in F$ , if  $b = b_i$ , let  $\alpha_b = \alpha_i$ . Then

$$x = \sum_{b \in F} \alpha_b \cdot b.$$

For uniqueness, let  $G$  be a finite nonempty subset of  $B$  and  $(\beta_b)_{b \in G}$  be nonzero scalars such that

$$x = \sum_{b \in G} \beta_b \cdot b.$$

$$\text{Then } 0 = \sum_{b \in F} \alpha_b \cdot b - \sum_{b \in G} \beta_b \cdot b = \sum_{b \in F \setminus G} \alpha_b \cdot b - \sum_{b \in G \setminus F} \beta_b \cdot b + \sum_{b \in F \cap G} (\alpha_b - \beta_b) b$$

Because  $B$  is linearly independent, we need the following.

- $\alpha_b = 0$  for  $b \in F \setminus G$  but  $\forall b \in F, \alpha_b \neq 0 \Rightarrow F \setminus G = \emptyset$
- $-\beta_b = 0$  for  $b \in G \setminus F$ , but  $\forall b \in G, \beta_b \neq 0 \Rightarrow G \setminus F = \emptyset$
- $\alpha_b - \beta_b = 0, \forall b \in F \cap G = F = G \Rightarrow \alpha_b = \beta_b \forall b \in F = G$ .

Theorem: Every vector space has a Hamel basis.

**Definition 1.25** A *partial order* on a set  $\mathcal{P}$  is a binary relation  $\preceq$  on  $\mathcal{P}$  such that for  $a, b, c \in \mathcal{P}$

- (i)  $a \preceq a$ ;
- (ii)  $a \preceq b$  and  $b \preceq a$  implies that  $a = b$ ; and
- (iii)  $a \preceq b$  and  $b \preceq c$  implies that  $a \preceq c$ .

**Definition 1.26** Two elements  $a, b \in \mathcal{P}$  are *comparable* if  $a \preceq b$  or  $b \preceq a$  (or both if  $a = b$ ). A subset  $C$  of  $\mathcal{P}$  is called a *chain* if any pair of elements of  $C$  are comparable.

An element  $b \in \mathcal{P}$  is an *upper bound* for a subset  $S$  of  $\mathcal{P}$  if  $s \preceq b$  for all  $s \in S$ . An element  $m$  of  $\mathcal{P}$  is *maximal* if  $m \preceq a$  for some  $a \in \mathcal{P}$  implies that  $a = m$ .

**Theorem 1.27** (Zorn's Lemma) *If  $\mathcal{P}$  is a non-empty partially ordered set in which every chain has an upper bound, then  $\mathcal{P}$  has at least one maximal element.*

Lemma: Let  $X$  be a vector space and  $(A_i)_{i \in I}$  be a chain of linearly independent subsets of  $X$  with respect to inclusion.

Then  $A = \bigcup_{i \in I} A_i$  is linearly independent.

Theorem: Let  $X$  be a vector space and  $A \subset X$  be a linearly independent set. Then there exists a Hamel basis  $B$  of  $X$  such that  $A \subset B$ .

Proof: Define  $\mathcal{A} = \{B \subset X : B \text{ is linearly independent and } A \subset B\}$ . Note that  $A \in \mathcal{A}$ . This is a partially ordered set with " $\subset$ ". We show the assumptions of Zorn's lemma hold.

Let  $(A_i)_{i \in I}$  be a chain of  $\mathcal{A}$ . Then by the above lemma,  $C = \bigcup_{i \in I} A_i$  is linearly independent and  $A \subset C \Rightarrow A \in \mathcal{A}$ , and  $A$  is an upperbound for  $(A_i)_{i \in I}$ . By Zorn's,  $\exists$  maximal  $B \in \mathcal{A}$ .

claim:  $X = \text{span}(B)$ ,

If not,  $\exists x_0 \in X \setminus \text{span}(B) \Rightarrow B_0 = B \cup \{x_0\}$  is linearly independent  $\Rightarrow B_0 \in \mathcal{A}$  and  $B_0 \neq B$  and  $B \subset B_0$ . Contradicts the maximality of  $B$ .

(Do Exercise 1.2.24)