

Figure 1: cover image

## Attribute-based Access Control with Elasticsearch

This is a continuation of the search access control topic I discussed here. That article focused on the implementation of Role-based Access Control (RBAC) in Elasticsearch. This article delves into document-level Attribute-based Access Control (ABAC). In this model, users and documents possess attributes. For a user to access a document, they must have the requisite attributes for that document. This can be implemented in Elasticsearch via User and Document metadata and a role that implements document control via a query template.

# Architecture

## High Level

This demo is built in a simple Python notebook. The official Elastic Python client is used throughout to access an Elastic Cloud Hosted (ECH) project.

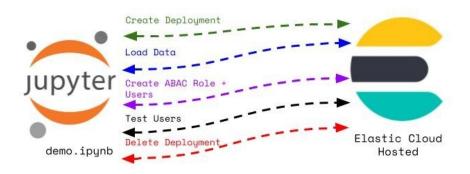


Figure 2: high-level architecture

## Security Model

The diagram below depicts how ABAC is implemented. As discussed above, this is done via a combination of user + document attributes and a user role that interrogates those attributes via a query template.

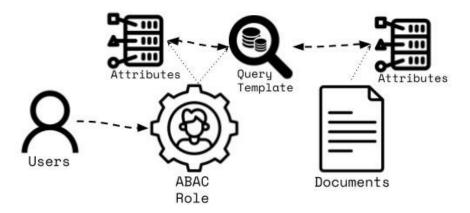


Figure 3: security model

# Scenario

This demo is around a fictional nuclear reactor scenario. There are safety and technical documents associated with the reactor that have varied departmental and training requirements for access. There are personnel who work at the reactor who belong to departments and have completed various training programs that would entitle them to access these documents.

### **Documents**

The table below depicts the documents and their associated attributes.

Document	Departments	Training
REACTOR STARTUP PROTOCOL	Reactor Operations	Core Procedures, Radiation Safety
FUEL ROD HANDLING GUIDELINES	Nuclear Materials	Fuel Handling, Radiation Safety
RADIATION SAFETY MANUAL	Reactor Operations, Nuclear Materials, Safety Oversight	Radiation Safety





Reactor Operations, Nuclear Materials, Safety Oversight

Core Procedures, Radiation Safety

Training



Nuclear Materials

Fuel Handling, Radiation Safety

## Users

The three users and their attributes are defined below.

User Department Training



Safety Oversight Radiation Safety, Regulatory Compliance

User Department Training



Reactor Operations Radiation Safety, Core Procedures



Nuclear Materials

Fuel Handling, Radiation Safety

## Access Matrix

Below is the resulting document access matrix based on the departmental and training requirements

Document Users





Document Users





Document Users





Document Users









# Demo Steps

## Create Project

The Python code below creates an ECH deployment via REST API. A Python Elastic client is then created to access that project.

```
import os
from dotenv import load_dotenv
import requests
import time
from elasticsearch import Elasticsearch
load_dotenv(override=True)

payload = {
    "name": "demo-deployment",
```

```
"region": "gcp-us-central1"
}
headers = {
    "Authorization": f"ApiKey {os.getenv("ECH_API_KEY")}",
    "Content-Type": "application/json"
}
response = requests.post(f"{os.getenv("ECH_URL")}}:template_id=gcp-general-purpose", headers
response.raise_for_status()
DEPLOYMENT_ID = response.json()["id"]
CREDENTIALS = response.json()["resources"][0]["credentials"]
CLOUD_ID = response.json()["resources"][0]["cloud_id"]
print("Awaiting deployment build")
while True:
    time.sleep(30)
    response = requests.get(f"{os.getenv("ECH_URL")}/{DEPLOYMENT_ID}", headers=headers)
    response.raise_for_status()
    es_status = response.json()["resources"]["elasticsearch"][0]["info"]["status"]
    kibana_status = response.json()["resources"]["kibana"][0]["info"]["status"]
    print(f"Elasticsearch status: {es_status}, Kibana status: {kibana_status}")
    if es_status == "started" and kibana_status == "started":
        break
print("Deployment ready")
es = Elasticsearch(cloud_id=CLOUD_ID, basic_auth=(CREDENTIALS["username"], CREDENTIALS["pass
Load Data
I created a small (five records) dataset for the documents and their attributes.
Below is one such record.
  "title": "Reactor Startup Protocol",
  "attributes": {
    "departments": ["Reactor Operations"],
    "training": ["Core Procedures", "Radiation Safety"],
    "min_training": 2
    }
}
Mapping for this data set is below.
INDEX_NAME = "nuke_docs"
mappings = {
    "properties": {
```

```
"title": {
             "type": "text"
        },
        "attributes": {
             "type": "object",
             "properties": {
                 "departments": {
                     "type": "keyword"
                },
                 "training": {
                     "type": "keyword"
                },
                 "min_training": {
                     "type": "integer"
                }
            }
        }
    }
}
```

The code below utilizes the bulk load helper function available with the Python client.

```
def gen_data():
    with open("assets/data.jsonl", "r") as f:
        for line in f:
            yield line.strip()

result = bulk(client=es, index=INDEX_NAME, actions=gen_data())
```

### Create an ABAC Role

The API call below creates a security role that includes a query template. That template is executed every time a user with that role attempts to access a document. The points below break down what is happening in that template: - This is a filter query with two components: a terms\_set and terms predicate. - The terms\_set query is doing an intersection of a given document's training requirements against the user's training. The minimum required cardinality of the resulting set is stored in the document as 'min\_training'. - The terms query checks to see if the user's departments match at least ONE of the document's required departments.

### Create the Users

This code creates each of the three users with their attributes (department, training) stored as metadata in their user object.

```
es.security.put_user(
    username=booger creds["username"],
    password=booger_creds["password"],
   roles=["abac_role"],
    metadata={
        "attributes": {
            "departments": ["Safety Oversight"],
            "training": ["Radiation Safety", "Regulatory Compliance"]
        }
   }
)
es.security.put_user(
    username=fritz_creds["username"],
   password=fritz_creds["password"],
   roles=["abac_role"],
    metadata={
        "attributes": {
            "departments": ["Reactor Operations"],
            "training": ["Core Procedures", "Radiation Safety"]
        }
   }
)
es.security.put_user(
    username=gork_creds["username"],
    password=gork_creds["password"],
   roles=["abac_role"],
   metadata={
        "attributes": {
            "departments": ["Nuclear Materials"],
            "training": ["Fuel Handling", "Radiation Safety"]
```

```
}
}
```

### **ABAC** Test

The code below executes match-all queries for each of the users to show which documents they can access.

```
import json
def search_with_user(client, index_name, creds, description):
    query = {"_source":["title"],"query": {"match_all": {}}}
    response = client.options(basic_auth=(creds["username"], creds["password"])).search(index)
    print(f"\n*** {description} ***")
    results = []
    for hit in response['hits']['hits']:
        results.append(hit['_source'])
    print(json.dumps(results, indent=2))
search_with_user(es, INDEX_NAME, booger_creds, "Booger's Docs")
search_with_user(es, INDEX_NAME, fritz_creds, "Fritz's Docs")
search_with_user(es, INDEX_NAME, gork_creds, "Gork's Docs")
Booger's Docs
Γ
    "title": "Radiation Safety Manual"
  }
]
Fritz's Docs
Е
    "title": "Reactor Startup Protocol"
  },
  {
    "title": "Radiation Safety Manual"
  },
    "title": "Emergency Shutdown Procedures"
]
```

### Gork's Docs

```
[checks
    {
       "title": "Fuel Rod Handling Guidelines"
    },
      {
       "title": "Radiation Safety Manual"
    },
      {
       "title": "Waste Storage Protocol"
    }
}
```

### Delete Deployment

The code below deletes the Serverless project that was created in the first step.

```
headers = {
    "Authorization": f"ApiKey {os.getenv("ECH_API_KEY")}",
    "Content-Type": "application/json"
}
response = requests.post(f"{os.getenv("ECH_URL")}/{DEPLOYMENT_ID}/_shutdown", headers=header
response.raise_for_status()
print(f"{response.json()['name']} is shut down")
```

### Source

https://github.com/joeywhelan/es-abac