

# COM3105 Advanced Algorithms

## Homework 2

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### Question 1

(5 points)

Given a simple graph  $G = (V, E)$  (no loops or multiple edges), consider the following deterministic algorithm:

- start with an arbitrary partition.
- If moving a vertex from one part to the other increases the number of crossing edges, we will move it.
- We do this until there is no such vertex left.

Why does this algorithm terminate?

What can you say about the number of edges crossing when the algorithm terminates?

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### Answer

#### Termination of the Algorithm:

- Let  $C$  be the set of edges crossing the partition.
- Let state  $i$  be the state of the partition after  $i$  moves have been made.
- For each state  $i$ , we iteratively check a vertex  $v_j$  (where  $0 \leq j < |V|$ ) to see if moving it increases  $|C|$ .
- If moving a vertex  $v_j$  increases  $|C|$  we make this move and move to state  $i + 1$  and restart the same process.
- Checking each state  $i$  takes  $\mathcal{O}(|V|)$  steps, where  $V$  is finite.
- As  $V$  is finite, for a simple graph,  $E$  is also finite, so the maximum  $|C|$  is  $|E|$ .
- Therefore, in the worst case:
  - at state 0,
    - ◊  $|C| = 0$  (no edges in partition)
    - ◊ Adding  $v_{|V|-1}$ , increases  $|C|$  by 1.
  - at state 1,
    - ◊  $|C| = 1$
    - ◊ Adding  $v_{|V|-1}$ , increases  $|C|$  by 1.
  - at state  $i$ ,
    - ◊  $|C| = i$
    - ◊ Adding  $v_{|V|-1}$ , increases  $|C|$  by 1.

- at state  $|E|$ ,
  - ◊  $|C| = |E|$
  - ◊ No movement of vertex can increase  $|C|$ , as  $|C| = |E|$ .
  - ◊ Algorithm stops as no more edges to add.
- So  $|E|$  edges being added to  $C$  and taking  $|V|$  checks at each state.
- Hence the runtime is  $\mathcal{O}(|E| * |V|)$ .
- Both  $E$  and  $V$  are finite sets, so the algorithm terminates

### Number of Crossing Edges at Termination.

Let the final partition of  $G$  be  $(A, B)$ . For each vertex  $v \in V$ :

$$d_A(v) = |\{u \in A : (u, v) \in E\}|, \quad d_B(v) = |\{u \in B : (u, v) \in E\}|.$$

At termination, moving any vertex to the other part does not increase the number of crossing edges. Hence:

$$d_B(v) \geq d_A(v) \quad \text{for all } v \in A, \quad \text{and} \quad d_A(v) \geq d_B(v) \quad \text{for all } v \in B.$$

This means that for every vertex  $v \in V$ , at least half of its incident edges cross the partition:

$$d_C(v) \geq \frac{1}{2}d(v),$$

where,

$d(v) = d_A(v) + d_B(v)$  (i.e. the total degree of  $v$ ),

$d_C(v) = \text{number of degrees from } v \text{ crossing the partition.}$

Summing over all vertices gives:

$$\sum_{v \in V} d_C(v) \geq \frac{1}{2} \sum_{v \in V} d(v)$$

Since each crossing edge contributes to  $d_C(v)$  for exactly two vertices,

$$\sum_{v \in V} d_C(v) = 2|C|$$

Combining these two expressions:

$$2|C| \geq \frac{1}{2} \sum_{v \in V} d(v) = \frac{1}{2}(2|E|) = |E|$$

Therefore,

$$|C| \geq \frac{|E|}{2}$$

**Conclusion.** When the algorithm terminates, the resulting partition has at least half of all edges crossing between the two parts. Although this partition may be only a local maximum, it guarantees that at least  $\frac{|E|}{2}$  edges are cut.

## Question 2

(5 points)

(MAX-SAT). Given a 3-CNF  $F$  where every clause has width exactly 3, let  $OPT(F)$  be the maximum number of clauses in  $F$  that can be satisfied simultaneously, i.e., it is the maximum integer  $m$  such that there is an assignment to the variables that satisfies  $m$  clauses.

Give a randomised algorithm that produces an assignment that satisfies at least  $\frac{7}{8}OPT(F)$  clauses on expectation.

You need to state the algorithm and give an analysis.

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## Answer

### Algorithm:

1. For each variable  $x_i$  in  $F$ , assign it a value of 1 with probability  $\frac{1}{2}$ , and 0 with probability  $\frac{1}{2}$ .
2. Evaluate each clause in  $F$ . If at least one literal in the clause is satisfied by the current assignment, count the clause as satisfied.
3. Return the current assignment and the count of satisfied clauses.

### Analysis:

Let  $C$  be the set of all clauses in  $F$ .

Let  $c$  be any clause in  $C$ .

The probability that any clause  $c$  is satisfied using the random algorithm:

- Each clause has exactly 3 literals.
- The probability that a specific literal is true is  $\frac{1}{2}$ .
- For the clause to be unsatisfied, all 3 literals must be false.
- The probability that the clause is unsatisfied is  $(\frac{1}{2})^3 = \frac{1}{8}$ .
- Therefore, the probability that the clause is satisfied is  $1 - \frac{1}{8} = \frac{7}{8}$ .

Let  $X$  be the random variable representing the number of satisfied clauses in  $F$  under the random assignment.

Using the linearity of expectation:

$$\mathbb{E}[X] = \sum_{c \in C} \mathbb{E}[X_c]$$

Where  $X_c$  is a random variable indicating whether clause  $c$  is satisfied.

Expectation for a clause  $c$  in  $C$ :

$$\begin{aligned}\mathbb{E}[X_c] &= \sum_{x \in \{0,1\}} x \cdot P(X_c = x) \\ \mathbb{E}[X_c] &= 1 \cdot P(c \text{ is satisfied}) + 0 \cdot P(c \text{ is not satisfied}) \\ \mathbb{E}[X_c] &= P(c \text{ is satisfied}) = \frac{7}{8} \quad (\text{from above})\end{aligned}$$

Using this in the expectation of  $X$ :

$$\begin{aligned}\mathbb{E}[X] &= \sum_{c \in C} \mathbb{E}[X_c] \\ \mathbb{E}[X] &= \sum_{c \in C} \frac{7}{8} \\ \mathbb{E}[X] &= |C| \cdot \frac{7}{8}\end{aligned}$$

This means that on average, the random assignment satisfies  $\frac{7}{8}$  of all clauses in  $F$ .  
Let  $OPT(F)$  be the maximum number of clauses that can be satisfied simultaneously in  $F$ :

$$|C| \geq OPT(F)$$

Therefore:

$$\begin{aligned}\mathbb{E}[X] &= |C| \cdot \frac{7}{8} \geq OPT(F) \cdot \frac{7}{8} \\ \mathbb{E}[X] &\geq \frac{7}{8} OPT(F)\end{aligned}$$

**Conclusion.** The algorithm provided produces an assignment that satisfies at least  $\frac{7}{8} OPT(F)$  clauses on expectation.

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