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Lecture with Computer Exercises:  
Modelling and Simulating Social Systems with MATLAB

Project Report

**Evacuation Bottleneck  
Simulating a Panic on a Cruise Ship**

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## **Agreement for free-download**

We hereby agree to make our source code for this project freely available for download from the web pages of the SOMS chair. Furthermore, we assure that all source code is written by ourselves and is not violating any copyright restrictions.

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## **1 Abstract**

This work takes a look into the evacuation mechanisms of a cruise ship in case of an emergency. A simple model is implemented which is used to simulate the dynamics of such a system. The main emphasis was on the limited capacity of the exits, since that is the key element for a rescue boat.

## **2 Individual contributions**

The work on this project was split among us to fit our strengths the best way possible. Because of his knowledge in image editing and formats, Johannes Weinbuch focused on the image manipulation for the input and implemented the loading of the image into MATLAB, improving the existing solutions from the previous courses. He further took a large part of the writing for the report and executing the simulations, which were written by Benedek Vartok. He evaluated which code from previous semesters could and should be reused, and implemented the missing parts for our special case. Also, he wrote the output mechanisms for the simulation, so that the data could be used for analysis.

## **3 Introduction and Motivations**

In January 2012, the Costa Concordia hit a rock and ran aground[1]. This event got great media attention for a long time so we decided to take a closer look at the evacuation of a cruise ship. The question is, what is the best strategy to leave the ship? This question should for sure be answered with one of the emergency drills, but it is always good to have some background knowledge.

## **4 Description of the Model**

The model is a big simplification of real life, otherwise it would be way too complex to simulate. It assumes that the ship is intact, that there is calm sea and that the passengers are obliged to leave the ship. A possible explanation for this could be a machine defect which leaks explosive gas in a badly ventilated room in the ship. Further, we assume that the rescue boats are like doors, which close after a certain amount of people going through them.

Since we also assume that the other doors, for example between the rooms or floors, are constantly open and working, we only simulate one deck, the one with the exits to the rescue boats. The evacuation of multiple floors in a static building has already been researched in [2].

After these simplifications, the task left to simulate was the evacuation of a single floor with some elements that can change. For this task, we chose a simple agent based modelling solution as described in [3]. A passenger is treated as a particle. It has a mass, and there are physical and social forces, accelerating that mass so that it cannot always follow its desired direction. The desired direction is implemented as the shortest path to the nearest exit. For the exact formulae for the forces see section 5.2.5.

## 5 Implementation

### 5.1 Input

Since we had some good projects which covered similar problems as ours, we could get some ideas from them, but at the same time improve them. Namely, there are [2] and [4]. As far as the input for the simulation is concerned, we see two approaches in these works for getting the map data into the simulation. In [2], a simple PNG image is used to get a map into the simulation. The problem here is that only a certain RGB color value can be read out of the image. This can lead to problems if the image is processed with automatic or semiautomatic image manipulation programs, since only a minor difference in color can prevent the generation of the desired data. In [4], the image format is even more simple. There is only a bitmap image read into MATLAB. Since the bitmap images can use a colormap, MATLAB doesn't use 3 channels but a unique number for each color in an image matrix to give every pixel its color. This has the same problem as the PNG solution regarding how exactly the colors have to be set, but the different parts of the image can be separated with less code.

We took the best of both solutions. We used the PNG-format with indexed colors. So we have the most flexibility with very little usage of disk space. There is no special "wall color" or anything like that, just a simple rule how the colormap is read: Color 0 of the map specifies walls, color 1 free space. Then, there can be any number of spawn zones. Spawn zones are the areas in the image, where new agents can be placed. With different spawn zones, it is possible to account for different situations: A ballroom is different from a staircase. The number of spawn zones is specified in the configuration file. At last, there is an arbitrary number of exits. Again, each exit can have its own parameters or can even be handled specially in the program's code.

To manipulate the colormap, any slightly sophisticated image manipulation program should suffice. We used the free software Gimp [5]. It has a very convenient command which allows the user to rearrange the colormap. This is shown in figure 1.

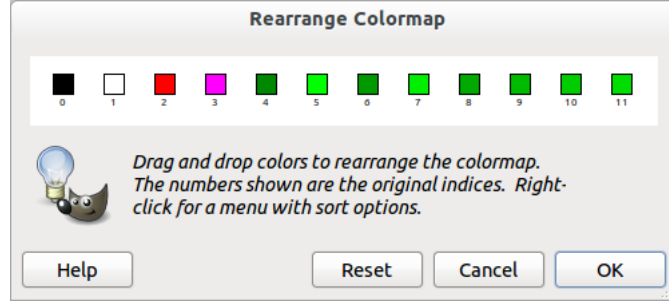


Figure 1: Screenshot of the Rearrange Colormap dialog in Gimp 2.6.11

## 5.2 The Simulation Routines

### 5.2.1 Code Reuse from Multilevel Evacuation

Since this project has very similar foundations as [2] (like the forces used in the model), we were able to use a lot of code and some design decisions from their program. Some of the structure needed to be changed to implement our custom features, but for example the utility functions for the Fast Sweeping algorithm (`fastSweeping.c`), generating gradients (`getNormalizedGradient.c`) and the linear interpolation (`lerp2.c`) which the other group wrote in C were copied without modification into our code-tree.

### 5.2.2 General Structure

During the entire program run, one single big structure is used to hold and pass around the state of the simulation.

At first, this structure is initialized with the fields that are given in the configuration file by `loadConfig`<sup>1</sup>. Then, `initialize` runs over the struct and calculates some data needed in the simulation, such as the vector fields needed for the wall and exit force fields using the `fastSweeping` method which we got from [2].

### 5.2.3 Main Loop

`simulate` is the routine we call when we do an entire simulation. It uses the `loadConfig` and `initialize` functions to initialize its runtime data, then it does the loop calculating forces, adding new agents, progressing the agents, updating the exit vector fields, potentially plotting and saving frames and collecting data.

These steps will be explained in detail in the following sections.

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<sup>1</sup>The MATLAB implementation of our functions is in the `code` directory: `loadConfig` can be found in the file `code/loadConfig.m` etc.

### 5.2.4 Agent Placement

As mentioned in section 5.1, our model of the ship has different spawning zones where new agents can start out at. The way we implemented it, in every step of the simulation loop it is checked whether there are any remaining agents that need to be placed (i.e. agents which are not in the simulation yet). If there are, then for every agent the program chooses a random point in the spawning zones and places him there, unless it detects that the agent would collide either with walls or other agents. In that case, the routine tries the placement for that agent up to five times, each time with a new random position. If the agent couldn't be placed, then he will have a chance to spawn in the next time step.

This method was implemented in `placeAgents`. In the same method, the basic properties of the agent get assigned, like the starting zero velocity and a random radius.

### 5.2.5 Agent Dynamics

To simulate the movement of the agents in this physical model, different forces need to be calculated in every step on every agent. All of the force formulae have been taken from [3]. These forces have been separated into the following functions which are called by `simulate`:

`addDesiredForces` is responsible for making the agents seek the exits of the layout, in our case the rescue boats.

This is accomplished by giving an agent a “desired” velocity vector pointing along the shortest path to the nearest exit. The vector is sampled and interpolated (using `lerp2` from [2]) from a vector field which is calculated at the beginning (see 5.2.2) of the simulation and when the exits change (see 5.2.6).

Using this desired vector  $\vec{e}$  we can say what force addition the agent gets:

$$\vec{F}_{\text{desired}} = m \frac{v_0 \vec{e} - \vec{v}}{\tau}$$

where  $m$  is the agent's mass,  $v_0$  is his target speed,  $\vec{v}$  is his current velocity vector and  $\tau$  is a characteristic time determining how fast the desired velocity should be reached.

`addInterAgentForces` models the repulsive forces between agents: They do not want to get too close together and if they touch, they have to be kept apart physically and some friction appears. The model we use has this formula:

$$\vec{F}_{\text{agents}} = (Ae^{(r-d)/B} + k \max\{0, r - d\})\vec{n} + \kappa \max\{0, r - d\}\Delta\vec{v} \cdot \vec{t}$$

where  $r$  is the sum of radii of both agents,  $d$  is the distance between the center points of the agents,  $\vec{n}$  is the normalized vector between the two agents,  $\vec{t}$  is the tangential vector and  $\Delta\vec{v}$  is the velocity difference vector.  $A$  influences the magnitude of the “social” repulsive force,  $B$  specifies a factor for the range of influence for this force,  $k$  gives the strength of the physical separation force and  $\kappa$  is a friction coefficient.

For finding possible agent pairs to calculate the function on we used the naive approach of checking every possible pairing with two nested loops and then using a cutoff distance to avoid calculating this complicated force expression when it’s too small to matter anyways. This method has complexity  $O(N_{\text{agents}}^2)$  which is far for optimal; we also tested the Range Tree implementation of [2] for our program, however benchmarks didn’t show a noticeable gain in efficiency.

**addWallForces** calculates agents avoiding and experiencing resistance from walls. Just like agent-agent repulsion, this force has a “social”, a physical and a frictional component:

$$\vec{F}_{\text{walls}} = (Ae^{(r-d)/B} + k \max\{0, r - d\})\vec{n} - \kappa \max\{0, r - d\}(\vec{v} \cdot \vec{t})\vec{t}$$

where  $r$  is the radius of the agent,  $d$  is his distance from the wall,  $\vec{n}$  is the wall normal vector,  $\vec{t}$  is the wall tangent vector and  $\vec{v}$  is the agent’s velocity vector. The coefficients are the same as in  $F_{\text{agents}}$ .

Accessing the wall distances and normals is done similarly to **addDesiredForces**, with precalculated fields using the Fast Sweeping method.

**progressAgents** applies the forces from the above listed functions, using them to update the agents’ positions and velocities for the next simulation step.

To accomplish this, the leap-frog integration scheme was used. In every step, the following recalculations of the velocities and positions of the agents take place:

$$\begin{aligned}\vec{v} &= \vec{v} + \Delta t \cdot \frac{\vec{F}}{m} \\ \vec{x} &= \vec{x} + \Delta t \cdot \vec{v}\end{aligned}$$



This method is fairly well suited for physical simulations with forces such as these. However, extra measures were taken to improve the stability of our program: Before doing any further calculations with them, the velocities and forces get clipped to a configurable maximum magnitude to avoid instabilities for too high step-sizes or too strong force parameters.

Without this, the simulation of some agents might get out of control if they get too close to walls or to other agents and behave in unphysical ways.

This function also checks whether some agents have entered a non-full exit zone (a rescue boat) and if the exit got full from them, it closes that one. What exactly happens then is explained in the next section.

### 5.2.6 Removing Filled Exits

In our model we have several exit zones, each with a maximum capacity (since they are rescue boats with limited size). Because of that the simulation needs to take it into account when one of them gets filled: It needs to remove the exit and update the vector fields for the desired velocities which the agents use to find the nearest exit. For this update we modeled and implemented two different approaches which can be chosen in the configuration file.

The first one simply recalculates the entire vector field when an exit is closed with the Fast Sweeping method, just like in the initialization, but with the full exits removed. The update is instantaneous, so every agent on the entire ship reacts to it immediately. This is somewhat unrealistic, which is why we came up with the second method.

Instead of updating the entire vector field right away, we can just calculate the updated field and use that to update growing regions. To be exact, when an exit closes, a circle starts growing around at a configurable rate, and in this area the new destination vector field is used. That way, at first only agents close to that exit react to the change, then over time the more distant agents also “notice” the filled exit and go for a different one.

The circle-shaped destination field update is implemented in `progressDestFields`.

## 5.3 Output and Plotting

Our program has two plotting functions:

`plotFloor` draws the ship’s layout and all the agents on it in the current simulation state. It is called from `simulate` in every loop iteration and the resulting picture is saved to `code/frames/`, but only if the option for saving frames has been enabled in the configuration file.

`plotExitedAgents` is called at the end of the simulation and creates time series plots of the rescue boat occupations.

Also the program saves its entire state data object to a file in `code/frames/` at the end, which includes other information as well, such as the time needed for all agents to reach the exits and the time series of the total escaped agents.

## 6 Simulation Results and Discussion

## 7 Summary and Outlook

## 8 References

- [1] <http://www.bbc.co.uk/news/world-europe-16563562>, 9.12.2012
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