# Constructions of Vertex Operator Algebras and Their Modules

Johannes Flake<sup>1</sup>

Rutgers University

Graduate VOA Seminar, Nov 2016

<sup>&</sup>lt;sup>1</sup>Happy to hear your questions or comments!

### Meeting the family

We have heard a lot about VOAs and their modules<sup>2</sup>.

These are VOAs and their modules...

- 1 ... associated to the Virasoro algebra
- 2 ... associated to affine Lie algebras
- 3 ... associated to Heisenberg algebras

We will discuss [LL, 6.1-6.3] and relevant parts of other sections of the same book.

<sup>&</sup>lt;sup>2</sup>[LL, FLM]

#### Outline

VOAs and modules

- 1 ... associated to the Virasoro algebra
- 2 ... associated to affine Lie algebras
- 3 ... associated to Heisenberg algebras

#### The conformal element a.k.a. Virasoro vector

■ Virasoro algebra  $\mathcal{L} := \text{Lie}$  algebra with basis  $\{L_n\}_{n \in \mathbb{Z}} \cup \{\mathbf{c}\}$ , with  $\mathbf{c}$  central and with relations

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{m^3-m}{12}\delta_{m,-n}\mathbf{c}$$
.

- subalgebras:  $\mathbb{C}L(-1) \oplus \mathbb{C}L(0) \oplus \mathbb{C}L(1) \cong \mathfrak{sl}_2$ ,  $\mathbb{C}L_0 \oplus \mathbb{C}\mathbf{c}$  abelian,  $\bigoplus_{n \geq 1} \mathbb{C}L_{\pm n}$
- V a VOA  $\Rightarrow \exists$  conformal element  $\omega$ ,  $Y(\omega,z) =: \sum_{n \in \mathbb{Z}} L(n)z^{-n-2}$ , and central charge (rank)  $\ell \in \mathbb{C}$  such that  $L_n \mapsto L(n)$ ,  $\mathbf{c} \mapsto \ell \cdot \mathrm{id}_V$  is a representation of  $\mathcal{L}$  on V. creation property  $\Rightarrow L(n)\mathbf{1} = \omega_{n+1}\mathbf{1} = 0$  for  $n \ge -1$ .

### Look for modules of the Virasoro algebra!

- $\mathcal{L}$  is a graded Lie algebra with  $\mathcal{L}_{(0)} := \mathbb{C} L_0 \oplus \mathbb{C} \mathbf{c}$  and  $\mathcal{L}_{(\pm n)} := \mathbb{C} L_{\mp n}$  for  $n \ge 1$
- $\begin{array}{l} \blacksquare \ \mathbb{C}_{\ell,h} := \text{ one-dimensional } \mathcal{L}_{(\leq 0)}\text{-module,} \\ \mathbf{c}, L_0 \text{ act as } \ell, h \in \mathbb{C}, \ L_n \text{ acts as 0 for } n \geq 1 \\ \Rightarrow \mathbb{C}_{\ell,0} \text{ is a } \mathcal{L}_{(\leq 1)}\text{-module with } \mathcal{L}_{(1)} \text{ acting as 0} \\ \end{array}$
- $\blacksquare$   $\Rightarrow$  induced  $\mathcal{L}$ -modules

$$V_{Vir}(\ell,0) := U(\mathcal{L}) \otimes_{U(\mathcal{L}_{(\leq 1)})} \mathbb{C}_{\ell,0}$$
  
 $M_{Vir}(\ell,h) := U(\mathcal{L}) \otimes_{U(\mathcal{L}_{(\leq 0)})} \mathbb{C}_{\ell,h}$ 

with basis elements  $L(-m_1)\cdots L(-m_r)\mathbf{1}=L_{-m_1}\cdots L_{-m_r}\otimes 1$  for  $r\geq 0, m_1\geq \cdots \geq m_r\geq 2$  or  $\ldots \geq 1$ , resp., where L(n):= operator for  $L_n, \mathbf{1}:=1\otimes 1$ 

# Weight grading of $V_{Vir}(\ell,0)$ and $M_{Vir}(\ell,h)$

$$\begin{split} [L_0,L_{-m}] &= mL_{-m} \Rightarrow \\ L(0)L(-m_1)\cdots L(-m_r)\mathbf{1} &= (m_1+\cdots+m_r)L(-m_1)\cdots L(-m_r)\mathbf{1} \;. \\ &\Rightarrow \text{for every } k \in \mathbb{Z}, \; \{L(-m_1)\cdots L(-m_r)\mathbf{1}\}_{m_1+\cdots+m_r=k} \; \text{span the} \\ L(0)\text{-eigenspace with eigenvalue } k \; \text{or} \; k+h, \; \text{respectively.} \\ \text{This yields a } \mathbb{Z}\text{- or } \mathbb{C}\text{-grading } (\text{"by weight"}). \end{split}$$

#### We observe:

- each eigenspace is finite-dimensional
- for sufficiently negative eigenvalues, the eigenspaces are 0

(Recall: 
$$r \geq 0, m_1 \geq \cdots \geq m_r \geq 2 \text{ or } \ldots \geq 1.$$
)

⇔ "two grading restrictions"

# Look (no) further for modules of the Virasoro algebra!

- Universality of  $V_{Vir}(\ell,0)$ : For every  $\mathcal{L}$ -module M of central charge  $\ell$  with  $e \in M$  such that L(n)e = 0 for  $n \ge -1$ , there is a unique quotient map  $V_{Vir}(\ell,0) \to M$  sending  $\mathbf{1} \mapsto e$ .
  - (Recall: This is the case if M is a VOA due to the creation property.)
- Universality of  $M_{Vir}(\ell,h)$ : For every  $\mathcal{L}$ -module M of central charge  $\ell$  with  $e \in M$  such that L(0)e = he and L(n)e = 0 for  $n \geq 1$ , there is a unique quotient map  $M_{Vir}(\ell,0) \to M$  sending  $\mathbf{1} \mapsto e$ .

(Explicitly, these maps send 
$$L(-m_1)\cdots L(-m_r)\mathbf{1}\mapsto L(-m_1)\cdots L(-m_r)e$$
.)

# Recap ([LL, chapter 5])

W a vector space,  $\mathcal{E}(W) := \mathrm{Hom}(W, W((x)))$  is a weak VA (i.e., without the Jacobi identity) with  $Y_{\mathcal{E}}(a(x), x_0)b(x) := \mathrm{Res}_{x_1}(x_0^{-1}\delta(\frac{x_1-x}{x_0})a(x_1)b(x) - x_0^{-1}\delta(\frac{-x+x_1}{x_0})b(x)a(x_1))$  or  $a(x)_nb(x) := \mathrm{Res}_{x_1}((x_1-x)^na(x_1)b(x) - (-x+x_1)^nb(x)a(x_1))$  a, b local : $\Leftrightarrow (x_1-x_2)^k[a(x_1),b(x_2)] = 0$  for  $k \gg 0$ 

#### Theorem ([LL, 5.5.18])

 $S \subset \mathcal{E}(W)$  a set of mutually local weak vertex operators  $\Rightarrow \langle S \rangle$ , the weak VA generated by S, is a VA and equals span $\{a^{(1)}(x)_{n_1} \cdots a^{(r)}(x)_{n_r} 1_W\}$ .

In our situation:

W a  $\mathcal{L}$ -module is called *restricted* : $\Leftrightarrow \forall w \in W, L_n w = 0$  for  $n \gg 0$   $\Rightarrow L_W := \sum_{n \in \mathbb{Z}} L(n) x^{-n-2}$  lies in  $\mathcal{E}(W)$ 

 $L_W$  is self-local (Check!)  $\Rightarrow \langle L_W \rangle$  is a VA

 $\Rightarrow (x_1 - x_2)^4 [L_W(x_1), L_W(x_2)] = 0.$ 

# Scratch-work: $L_W$ is self-local ([LL])

W a restricted  $\mathcal{L}$ -module of central charge  $\ell$ ,  $L_W(x) := \sum_n L_W(n) x^{-n-1}$ .

$$x_2^{-1}\delta(\frac{x_1}{x_2}) = \sum_n x_1^n x_2^{-n-1} \qquad \partial_{x_1}^k x_2^{-1}\delta(\frac{x_1}{x_2}) = \sum_n n \cdots (n-k+1)x_1^{n-k} x_2^{-n-1}$$
$$\frac{(-1)^k}{k!} \partial_{x_1}^k x_2^{-1}\delta(\frac{x_1}{x_2}) = (x_1 - x_2)^{k-1} - (-x_2 + x_1)^{k-1}$$

$$\begin{split} [L_{W}(x_{1}), L_{W}(x_{2})] &= \sum_{m,n} [L_{W}(m)x_{1}^{-m-2}, L_{W}(n)x_{2}^{-n-2}] \\ &= \sum_{m,n} ((m-n)L(m+n) + \frac{\ell}{12}(m^{3}-m)\delta_{m,-n})x_{1}^{-m-2}x_{2}^{-n-2} \\ &= \sum_{m,n} (((-m-n-2) + (2m+2))L(m+n) + \frac{\ell}{12}(m^{3}-m)\delta_{m,-n})x_{1}^{-m-2}x_{2}^{m+1}x_{2}^{-m-n-3} \\ &= \sum_{m} (L'_{W}(x_{2}) + 2(m+1)L_{W}(x_{2}))x_{1}^{-m-2}x_{2}^{m+1} + \frac{\ell}{12}(m-1)m(m+1)x_{1}^{-m-2}x_{2}^{m-2} \\ &= L'_{W}(x_{2})x_{2}^{-1}\delta(\frac{x_{1}}{x_{2}}) - 2L_{W}(x_{2})\partial_{x_{1}}x_{2}^{-1}\delta(\frac{x_{1}}{x_{2}}) - \frac{\ell}{12}\partial_{x_{1}}^{3}x_{2}^{-1}\delta(\frac{x_{1}}{x_{2}}) \end{split}$$

### Scratch-work: $L_W$ is self-local (variation)

f a polynomial,  $a, b \in \mathbb{Z}$ .

$$(x_1 - x_2) \sum_{m} f(m) x_1^{-m+a} x_2^{m+b} = \sum_{m} f(m) (x_1^{-m+a+1} x_2^{m+b} - x_1^{-m+a} x_2^{m+b+1})$$

$$= \sum_{m} (\underbrace{f(m+1) - f(m)}_{=:g(m)}) x_1^{-m+a} x_2^{m+b+1} ,$$

but 
$$\deg g < \deg f \Rightarrow (x_1 - x_2)^k \sum_m f(m) x_1^{-m+a} x_2^{m+b} = 0$$
 for  $k > \deg f$ . Now 
$$[L_W(x_1), L_W(x_2)] = \sum_{m,n} [L_W(m) x_1^{-m-2}, L_W(n) x_2^{-n-2}]$$

$$= \sum_{m,n} ((m-n)L(m+n) + \frac{\ell}{12}(m^3 - m)\delta_{m,-n}) x_1^{-m-2} x_2^{-n-2} \qquad s := m+n$$

$$= \sum_{m,s} ((2m-s)L(s) + \frac{\ell}{12}(m^3 - m)\delta_{s,0}) x_1^{-m-2} x_2^{-s+m-2}$$

$$= \sum_{m} \left( 2m(\sum_s L(s) x_2^{-s}) - (\sum_s sL(s) x_2^{-s}) + \frac{\ell}{12}(m^3 - m) \right) x_1^{-m-2} x_2^{m-2}$$

$$\Rightarrow (x_1 - x_2)^4 [L_W(x_1), L_W(x_2)] = 0.$$

### $\mathcal{L}$ -modules as VOAs

#### Theorem ([LL, 6.1.5])

V an  $\mathcal{L}$ -module of central charge  $\ell \in \mathbb{C}$  generated by  $\mathbf{1} \in V$  such that  $L(n)\mathbf{1} = 0$  for  $n \geq -1 \implies V$  is "naturally" a VOA.

VOA	$\mathcal{L}$ -module
1	1
$\omega$	L(-2)1
$Y(L(n_1)\cdots L(n_r)1,x)$	$L_V(x)_{n_1+1}\cdots L_V(x)_{n_r+1}\operatorname{id}_V$ $L_V(x)$
$Y(\omega,x)$	$L_V(x)$

where 
$$L_V(x) := \sum_{n \in \mathbb{Z}} L(n) x^{-n-2} \in \mathcal{E}(V)$$

### Recall [LL, 5.7.1+4]

V a vector space (restricted  $\mathcal{L}$ -module),  $\mathbf{1} \in V$ ,  $d \in \operatorname{End}(V)$ ,  $d(\mathbf{1}) = 0$ ,  $T \subset V$ ,  $Y_0(\cdot, x) : T \to \mathcal{E}(V) = \operatorname{Hom}(V, V((x)))$ ,  $a \mapsto \sum_{n \in \mathbb{Z}} a_n x^{-n-1}$ , V spanned by  $\{a_{n_1}^{(1)} \cdots a_{n_r}^{(r)} \mathbf{1}\}$  for r > 0,  $a^{(i)} \in T$ ,  $n_i \in \mathbb{Z}$ .

Extend  $Y_0$  to V;  $Y(a_{n_1}^{(1)}\cdots a_{n_r}^{(r)}\mathbf{1},x):=a^{(1)}(x)_{n_1}\cdots a^{(r)}(x)_{n_r}\mathbf{1}_V.$ 

This yields VOA (with  $d(v) = v_{-2}\mathbf{1}$ ,  $\omega := L(-2)\mathbf{1}$ ) if

- vacuum + creation property hold for  $T, Y_0, \mathbf{1}$
- $Y_0(a,x), Y_0(b,x)$  for  $a,b \in T$  are mutually local
- $[d, Y_0(a, x)] = \frac{d}{dx} Y_0(a, x)$  for  $a \in T$
- L(-1) = d (as endomorphisms of V)
- $\omega \in T$ ,  $Y_0(\omega, x) = \sum_n L(n)x^{-n-2} (= L_V(x))$
- the two grading restrictions hold

### L-modules as VOAs

#### Theorem ([LL, 6.1.5])

V an  $\mathcal{L}$ -module of central charge  $\ell \in \mathbb{C}$  generated by  $\mathbf{1} \in V$  such that  $L(n)\mathbf{1} = 0$  for  $n \geq -1 \implies V$  is "naturally" a VOA.

#### Proof:

- By the universal property of  $V_{Vir}(\ell, 0)$ , V is a quotient of  $V_{Vir}(\ell, 0)$ , in particular, a restricted  $\mathcal{L}$ -module.
- Let d := L(-1),  $\omega := L(-2)\mathbf{1}$ ,  $T := \{\omega\} \subset V$ ,  $Y_0(\omega, x) := L_V(x)$ .

Then this extends to a VOA structure without the two grading restrictions by [LL, 5.7.4] if  $L_V$  is self-local,  $[L(-1), L_V(x)] = \frac{d}{dx}L_V(x)$  and  $[L(0), L_V(x)] = 2L_V(x) + x\frac{d}{dx}L_V(x)$ . (Check!)

■ Again as V is a quotient of  $\hat{V}_{Vir}(\ell,0)$ , we get the two grading restrictions.

# Recap [LL, 5.7.6]

V a VA generated by a local subset T, W a vector space,  $Y_W^0(\cdot,x)=\iota_W^0:T\to\mathcal{E}(W), a\mapsto a_W(x)$  can be extended to map  $Y_W(\cdot,x)=\iota_W:V\to\mathcal{E}(W)$  making W a V-module if

- $\bullet \iota_W(\mathbf{1}) = \mathsf{id}_W$
- $\bullet$   $\iota_W(a_nv) = a_W(x)_n\iota_W(v)$  for all  $a \in T, v \in V$

### $\mathcal{L}$ -modules as VA modules

#### Theorem ([LL, 6.1.7])

W a restricted  $\mathcal{L}$ -module of central charge  $\ell \in \mathbb{C}$   $\Rightarrow$  W is "naturally" a VA module of  $V_{Vir}(\ell,0)$ .

VOA module	$\mathcal{L}$ -module
$Y_W(L(n_1)\cdots L(n_r)1,x)$	$L_W(x)_{n_1+1}\cdots L_W(x)_{n_r+1}\operatorname{id}_W$
$Y_W(\omega,x)$	$L_W(x)$

#### Proof:

$$\begin{array}{l} U:= \operatorname{span}\{L_W(x)_{n_1}\cdots L_W(x)_{n_r}1_W: r\geq 0, n_i\in \mathbb{Z}\}\subset \mathcal{E}(W)\\ \Rightarrow U \text{ is an } \mathcal{L}\text{-module with } L_n \text{ acting as } L_W(x)_{n+1}, \ L_W(x)_n1_W=0\\ \text{for } n\geq 0\\ \text{universality of } V:=V_{Vir}(\ell,0)\Rightarrow \exists \ \psi: V\rightarrow U \ \mathcal{L}\text{-module map}\\ \text{such that } \mathbf{1}\mapsto \operatorname{id}_W, \ \psi(\omega)=L_W. \ \Rightarrow \psi(\omega_nv)=L_W(x)_n\psi(v)\\ T:=\{\omega\}\stackrel{\operatorname{LL},5.7.6}{\Longrightarrow} W \text{ a $V$-module} \end{array}$$

### L-modules as VOA modules

### Theorem ([LL, 6.1.8])

Restricted  $\mathcal{L}$ -modules of central charge  $\ell$  are just the VA modules of  $V_{Vir}(\ell,0)$ , in the respective "natural" interpretations. Under this correspondence, VOA modules of  $V_{Vir}(\ell,0)$  correspond to restricted  $\mathcal{L}$ -modules which

- are graded by L(0)-eigenvalues and
- have the two grading restrictions.
- $M := M_{Vir}(\ell, h).$
- $\Rightarrow M$  is a VOA module of  $V_{Vir}(\ell,0)$
- T := sum of proper submodules of M.
- $\Rightarrow L := L_{Vir}(\ell, h) := M/T$  is the unique irreducible quotient
- $\Rightarrow$  L is an irreducible VOA module of  $V_{Vir}(\ell,0)$  and those are all!

# Irreducible VOA modules of $V_{Vir}(\ell,0)$

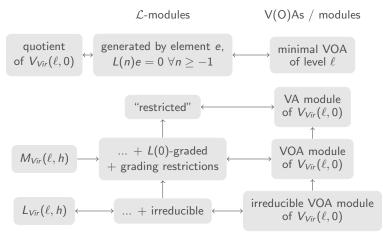
### Theorem ([LL, 6.1.12])

The irreducible VOA modules of  $V_{Vir}(\ell, 0)$  are just the  $L_{Vir}(\ell, h)$  for all h.

<u>Proof:</u> W any irreducible VOA module of  $V_{Vir}(\ell, 0)$ .

- Pick a non-zero element w in the lowest L(0)-weight space, set h := the weight of w.
- M, T, L as above. Universal property of  $M \Rightarrow \mathcal{L}$ -module map  $M \rightarrow W$  sending  $\mathbf{1}$  to w.
- W irreducible  $\Rightarrow$  map is onto and has kernel T.
- $W \cong L$  as  $\mathcal{L}$ -modules, and hence as VOA modules.

### Summary



(arrows mean "special case of")

#### Outline

#### VOAs and modules

- 1 ... associated to the Virasoro algebra
- 2 ... associated to affine Lie algebras
- 3 ... associated to Heisenberg algebras

### (Untwisted) Affine Lie algebras

f g a Lie algebra + invariant symmetric bilinear form  $\langle\cdot,\cdot\rangle$  We define the affine Lie algebra  $\hat{\mathfrak g}:=\mathfrak g\otimes\mathbb C[t,t^{-1}]\oplus\mathbb C\mathbf k$  with  $\mathbf k$  central and with

$$[a \otimes t^m, b \otimes t^n] := [a, b] \otimes t^{m+n} + m\langle a, b \rangle \delta_{m,-n} \mathbf{k}$$
.

■  $\hat{\mathfrak{g}}_{(0)} := \mathfrak{g} \oplus \mathbb{C}\mathbf{k}$ ,  $\hat{\mathfrak{g}}_{(n)} := \mathfrak{g} \otimes t^{-n}$  for  $n \neq 0$  $\Rightarrow \hat{\mathfrak{g}}_{(\pm)}$ ,  $\hat{\mathfrak{g}}_{(\leq 0)}$  subalgebras

### Locality of vertex operators

For 
$$a \in \mathfrak{g}$$
, write  $a(n) := a \otimes t^n \in \hat{\mathfrak{g}}$   
and  $a(x) := \sum_{n \in \mathbb{Z}} a(n) x^{-n-1} \in \hat{\mathfrak{g}}[[x, x^{-1}]].$ 

$$\Rightarrow [a(x_1), b(x_2)] = [a, b](x_2) x_2^{-1} \delta(\frac{x_1}{x_2}) - \langle a, b \rangle \, \partial_{x_1} x_2^{-1} \delta(\frac{x_1}{x_2}) \mathbf{k}$$
$$\Rightarrow (x_1 - x_2)^2 [a(x_1), b(x_2)] = 0$$

$$\Rightarrow$$
 For any restricted  $(\forall a, w : a(n)w = 0 \text{ if } n \gg 0) \hat{\mathfrak{g}}$ -module  $W$ ,  $S := \{a(x)\}_{a \in \mathfrak{g}} \subset \mathcal{E}(W) \text{ is local}$ 

$$\overset{\mathsf{LL},\,5,5.18}{\Rightarrow} \langle S \rangle$$
 is a VA spanned by  $\{a^{(1)}(x)_{n_1} \cdots a^{(r)}(x)_{n_r} 1_W\}$ .

### Scratch-work: Locality of vertex operators

Recall: 
$$f$$
 a polynomial,  $a, b \in \mathbb{Z}$   
 $\Rightarrow (x_1 - x_2)^{1 + \deg f} \sum_m f(m) x_1^{-m+a} x_2^{m+b} = 0.$ 

Now

$$[a(x_1), b(x_2)] = \sum_{m,n} [a(m), b(n)] x_1^{-m-1} x_2^{-n-1}$$

$$= \sum_{m,n} ([a, b](m+n) + m\langle a, b\rangle \delta_{m,-n} \mathbf{k}) x_1^{-m-1} x_2^{-n-1} \qquad s := m+n$$

$$= \sum_{m,s} ([a, b](s) + m\langle a, b\rangle \delta_{s,0} \mathbf{k}) x_1^{-m-1} x_2^{-s+m-1}$$

$$= \sum_{m} \left( \left( \sum_{s} [a, b](s) x_2^{-s} \right) + m\langle a, b\rangle \mathbf{k} \right) x_1^{-m-1} x_2^{m-1}$$

$$\Rightarrow (x_1 - x_2)^2 [a(x_1), b(x_2)] = 0.$$

### Recall [LL, 5.7.1+4]

V a vector space (restricted  $\mathcal{L}$ -module),  $\mathbf{1} \in V$ ,  $d \in \text{End}(V)$ ,  $d(\mathbf{1}) = 0$ ,  $T \subset V$ ,  $Y_0(\cdot, x) : T \to \mathcal{E}(V) = \text{Hom}(V, V((x)))$ ,  $a \mapsto \sum_{n \in \mathbb{Z}} a_n x^{-n-1}$ ,

V spanned by  $\{a_{n_1}^{(1)}\cdots a_{n_r}^{(r)}\mathbf{1}\}\$  for  $r\geq 0$ ,  $a^{(i)}\in T$ ,  $n_i\in\mathbb{Z}$ .

Extend  $Y_0$  to V;  $Y(a_{n_1}^{(1)}\cdots a_{n_r}^{(r)}\mathbf{1},x):=a^{(1)}(x)_{n_1}\cdots a^{(r)}(x)_{n_r}\mathbf{1}_V$ .

This yields VOA (with  $d(v) = v_{-2}\mathbf{1}$ ,  $\omega := L(-2)\mathbf{1}$ ) if

- vacuum + creation property hold for  $T, Y_0, \mathbf{1}$
- $Y_0(a,x), Y_0(b,x)$  for  $a,b \in T$  are mutually local
- $[d, Y_0(a, x)] = \frac{d}{dx} Y_0(a, x)$  for  $a \in T$
- L(-1) = d (as endomorphisms of V)
- $\omega \in T$ ,  $Y_0(\omega, x) = \sum_n L(n)x^{-n-2} (= L_V(x))$
- $\blacksquare \forall a \in T \exists m \in \mathbb{Z} : [L(0), a(x)] = ma(x) + x \frac{d}{dx} a(x)$
- the two grading restrictions hold

### A vertex algebra for every level

$$\begin{split} &\mathbb{C}_{\ell} := \hat{\mathfrak{g}}_{(\leq 0)}\text{-module such that } \mathbf{k} \text{ acts as } \ell, \text{ everything else as } 0 \\ &V_{\hat{\mathfrak{g}}}(\ell,0) := \operatorname{Ind}_{\hat{\mathfrak{g}}_{(\leq 0)}}^{\hat{\mathfrak{g}}} \mathbb{C}_{\ell} = U(\hat{\mathfrak{g}}) \otimes_{U(\hat{\mathfrak{g}}_{(\leq 0)})} \mathbb{C}_{\ell} \\ &\Rightarrow V_{\hat{\mathfrak{g}}}(\ell,0) \text{ spanned by } \{a^{(1)}(-m_1)\cdots a^{(r)}(-m_r)\mathbf{1}\} \\ &V_{\hat{\mathfrak{g}}}(\ell,0)_{(n)} := \operatorname{span}\{a^{(1)}(-m_1)\cdots a^{(r)}(-m_r)\mathbf{1} : m_1+\cdots+m_r=n\} \\ &d \text{ derivation on } V_{\hat{\mathfrak{g}}}(0,\ell) \text{ defined by } \mathbf{k} \mapsto 0, \ a(n) \mapsto -na(n-1) \\ &\Rightarrow [d,a(n)] = -na(n-1) \text{ as operators on } V_{\hat{\mathfrak{g}}}(\ell,0) \end{split}$$

### Theorem ([LL, 6.2.11])

There is a unique structure map Y such that  $(V_{\hat{\mathfrak{g}}}(\ell,0),Y,1)$  is a VA and such that Y(a,x)=a(x) for all  $a\in\mathfrak{g}$ . Explicitly,  $Y(a^{(1)}(n_1)\cdots a^{(r)}(n_r)\mathbf{1},x)=a^{(1)}(x)_{n_1}\cdots a^{(r)}(x)_{n_r}\mathbf{1}$ .

# $V_{\hat{\mathfrak{g}}}(\ell,0)$ -modules = restricted $\hat{\mathfrak{g}}$ -modules of level $\ell$

### Theorem ([LL, 6.2.13])

W a VA module of  $V_{\hat{\mathfrak{a}}}(\ell,0)$ 

 $\Rightarrow$  W a restricted  $\hat{\mathfrak{g}}$ -module of level  $\ell$  with  $a_W(x) = Y_W(a,x)$ .

W a restricted  $\hat{\mathfrak{g}}$ -module of level  $\ell$ 

 $\Rightarrow$  W a VA module of  $V_{\hat{\mathfrak{a}}}(\ell,0)$  with

$$Y_W(a^{(1)}(n_1)\cdots a^{(r)}(n_r)\mathbf{1},x)=a_W^{(1)}(x)_{n_1}\cdots a_W^{(r)}(x)_{n_r}\mathbf{1}_W$$
.

### Now for VOAs

Assume  $d := \dim \mathfrak{g} < \infty$ ,  $\langle \cdot, \cdot \rangle$  non-degenerate.

Pick an orthonormal basis  $(u^{(i)})_i$ .

 $\Rightarrow$  Casimir element  $\Omega := \sum_i u^{(i)} u^{(i)} \in U(\mathfrak{g})$  is central and independent of the choice of  $(u^{(i)})_i$ .

Assume  $\Omega$  acts as  $2h \in \mathbb{C}$  on  $\mathfrak{g}$  (under the adjoint action),  $\ell \neq -h$ .

$$\underline{\omega} := \frac{1}{2(\ell+h)} \sum_{i=1}^{d} u^{(i)}(-1) u^{(i)}(-1) \mathbf{1} \quad \in V_{\hat{\mathfrak{g}}}(\ell,0)_{(2)}$$

### Theorem ([LL, 6.2.15])

The components L(n) of  $Y(\omega,x)$  viewed as operators on any restricted  $\hat{\mathfrak{g}}$ -module of level  $\ell$  satisfy the Virasoro relations corresponding to the central charge  $d\ell/(\ell+h)$ . Furthermore, L(0)v=nv for all  $v\in V_{\hat{\mathfrak{g}}}(\ell,0)_{(n)}$  and  $L(-1)=\mathcal{D}$  on  $V_{\hat{\mathfrak{g}}}(\ell,0)$ .

### Now(!) for VOAs

$$\dots$$
}  $\Rightarrow$ 

### Theorem ([LL, 6.2.18])

If  $\mathfrak g$  is a d-dimensional Lie algebra with non-degenerate symmetric bilinear form such that  $\Omega$  acts on  $\mathfrak g$  as scalar 2h and  $\ell \neq -h$ , then  $V_{\widehat{\mathfrak g}}(\ell,0)$  is a VOA of central charge  $d\ell/(\ell+h)$  with conformal element  $\omega$  as above.

Furthermore, L(0)-eigenvalues are determined by the chosen  $\mathbb{Z}$ -grading and  $\mathfrak{g}=V_{\hat{\mathfrak{g}}}(\ell,0)_{(1)}$  generates  $V_{\hat{\mathfrak{g}}}(\ell,0)$  as VA.

# Irreducible modules of $V_{\hat{\mathfrak{g}}}(\ell,0)$

U a finite-dimensional  $\mathfrak{g}$ -module such that  $\Omega$  acts as  $h_U \in \mathbb{C}$   $\Rightarrow U$  a  $\hat{\mathfrak{g}}_{(\leq 0)}$ -module where  $\mathbf{k}$  acts as  $\ell$  and  $\hat{\mathfrak{g}}_{(-)}$  acts as 0

 $W:=\operatorname{Ind}_{\mathfrak{g}}^{\hat{\mathfrak{g}}}(U)=U(\hat{\mathfrak{g}})\otimes_{\hat{\mathfrak{g}}_{(\leq 0)}}U$  is a  $\hat{\mathfrak{g}}$ -module  $L_{\hat{\mathfrak{g}}}(\ell,U):=$  the unique irreducible quotient of W

### Theorem ([LL, 6.2.21])

W is a VOA module of  $V_{\hat{\mathfrak{g}}}(\ell,0)$ .

### Theorem ([LL, 6.2.23])

The irreducible VOA modules of  $V_{\hat{\mathfrak{g}}}(\ell,0)$  are just the modules  $L_{\hat{\mathfrak{g}}}(\ell,U)$  for all finite-dimensional irreducible  $\mathfrak{g}$ -modules U.

 $\Rightarrow$  [LL, 6.2.25]:  $L_{\hat{\mathfrak{a}}}(\ell,0):=L_{\hat{\mathfrak{a}}}(\ell,\mathbb{C})$  is a simple VOA.

#### Outline

#### VOAs and modules

- 1 ... associated to the Virasoro algebra
- 2 ... associated to affine Lie algebras
- 3 ... associated to Heisenberg algebras

### From affine Lie algebras to Heisenberg algebras

Specialize  $\mathfrak{g}$  to be a commutative Lie algebra and call it  $\mathfrak{h}$ .  $\hat{\mathfrak{h}} = \mathfrak{h} \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}\mathbf{k}$ ,  $\mathbf{k}$  central,

$$[\alpha \otimes t^m, \beta \otimes t^n] = \langle \alpha, \beta \rangle m \delta_{m,-n} \mathbf{k} .$$

 $\hat{\mathfrak{h}}_{(0)} = \mathfrak{h} \oplus \mathbb{C} \mathbf{k}$ ,  $\hat{\mathfrak{h}}_{(n)} = \mathfrak{h} \otimes t^{-n}$ ,  $\hat{\mathfrak{h}} = \mathfrak{h} \oplus \hat{\mathfrak{h}}_*$  with  $\hat{\mathfrak{h}}_* := \hat{\mathfrak{h}}_{(-)} \oplus \mathbb{C} \mathbf{k} \oplus \hat{\mathfrak{h}}_{(+)}$ , a *Heisenberg algebra* (i.e. it has a one-dimensional center which equals the commutator subalgebra).

For any  $\hat{\mathfrak{h}}$ -module W, the action of  $\alpha \otimes t^n$  is denoted by  $\alpha(n)$ , and  $\alpha_W(x) := \sum_n \alpha(n) x^{-n-1}$ .

### Action of the Casimir

Identify  $\mathfrak{h}$  with  $\mathfrak{h}^*$  using  $\langle \cdot, \cdot \rangle$ .

For any  $\alpha \in \mathfrak{h}$ , let  $\mathbb{C}_{\alpha}$  be the one-dimensional  $\mathfrak{h}$ -module with  $\beta \in \mathfrak{h}$  acting as  $\langle \beta, \alpha \rangle$ . Then

$$\Omega \cdot 1 = \sum_{i} u^{(i)} u^{(i)} \cdot 1 = \sum_{i} \langle u^{(i)}, \alpha \rangle^{2} 1 = \langle \alpha, \alpha \rangle 1.$$

Regard  $\mathbb{C}_{\alpha}$  as  $\hat{\mathfrak{h}}_{(\leq 0)}$ -module such that **k** acts as  $\ell$ ,  $\hat{\mathfrak{h}}_{(-)}$  acts as 0.

$$\begin{split} & \underline{\mathcal{M}(\ell,\alpha)} := \operatorname{Ind}_{\mathfrak{h}}^{\hat{\mathfrak{h}}}(\mathbb{C}_{\alpha}) = U(\hat{\mathfrak{h}}) \otimes_{U(\hat{\mathfrak{h}}_{(\leq 0)})} \mathbb{C}_{\alpha} \\ & \Rightarrow \mathcal{M}(\ell,0) = V_{\hat{\mathfrak{h}}}(\ell,0), \ \Omega \text{ acts as } 0 \ (\text{``= 2h''}). \end{split}$$

### Theorem ([LL, 6.3.2+3])

For  $\ell \neq 0$ ,  $V_{\hat{\mathfrak{h}}}(\ell,0) = M(\ell,0)$  is naturally a VOA. For any  $\alpha \in \mathfrak{h}$ ,  $M(\ell,\alpha)$  is naturally a module of  $V_{\hat{\mathfrak{h}}}(\ell,0)$ .

# A realization of $\hat{\mathfrak{h}}$ and $\hat{\mathfrak{h}}_*$

 $d := \dim \mathfrak{h}, (u^{(i)})_i$  an orthonormal basis of  $\mathfrak{h}, P(\ell, \alpha) := \mathbb{C}[x_{ij}]_{1 \le i,j \le d}.$  Define an action of  $\hat{\mathfrak{h}}$  on  $P(\ell, \alpha)$ : for n > 0,

- **k** acts as ℓ
- $\mathbf{u}^{(i)}(0) := \langle u^{(i)}, \alpha \rangle$
- $u^{(i)}(n) := n\ell \frac{d}{dx_{in}}$
- $u^{(i)}(-n) := x_{in}$  (left-multiplication in  $P(\ell, \alpha)$ ).

### Theorem ([LL, 6.3.4])

This makes  $P(\ell, \alpha)$  an irreducible  $\hat{\mathfrak{h}}$ -module and irreducible  $\hat{\mathfrak{h}}_*$ -module.

# $M(\ell, \alpha)$ and $P(\ell, \alpha)$

W an  $\hat{\mathfrak{h}}_*$ -module,  $w \in W$  is called *vacuum vector* if  $\hat{\mathfrak{h}}_{(-)}w = 0$ .

### Theorem ([LL, 6.3.8])

- $-M(\ell,\alpha)$  is an irreducible  $\hat{\mathfrak{h}}$ -module  $/\hat{\mathfrak{h}}_*$ -module.
- Any  $\hat{\mathfrak{h}}$ -submodule generated by a vacuum vector  $\cong M(\ell, \alpha)$ .
- $M(\ell,0)$  is the unique irreducible  $\hat{\mathfrak{h}}_*$ -module containing a vacuum vector.

#### Proof:

- $\mathbb{C} \subset P(\ell, \alpha)$  is equivalent to  $\mathbb{C}_{\alpha}$  universality of  $M(\ell, \alpha) \Rightarrow \exists$  module map  $M(\ell, \alpha) \rightarrow P(\ell, \alpha)$  irreducibility of  $P(\ell, \alpha) \Rightarrow M(\ell, \alpha) \cong P(\ell, \alpha)$  as  $\hat{\mathfrak{h}}$ -modules
- W an  $\hat{\mathfrak{h}}_*$ -module of level  $\ell$  generated by a vacuum vector w  $\Rightarrow W$  an  $\hat{\mathfrak{h}}$ -module with  $\mathfrak{h}$  acting as 0  $\Rightarrow \mathbb{C}w \cong \mathbb{C}_{\alpha}$  for  $\alpha = 0$ ,  $W \cong M(\ell, 0) \cong P(\ell, 0)$

### VOAs and modules, revisited

As a consequence, we get the following improvements:

### Theorem ([LL, 6.3.9])

For  $\ell \neq 0$ ,  $V_{\hat{\mathfrak{h}}}(\ell,0) = M(\ell,0)$  is a simple VOA. For any  $\alpha \in \mathfrak{h}$ ,  $M(\ell,\alpha)$  is one of its irreducible modules, and we obtain all irreducible modules in this way.

#### Theorem ([LL, 6.3.10])

For 
$$\ell \neq 0$$
,  $V_{\hat{\mathbf{h}}}(\ell,0) \cong V_{\hat{\mathbf{h}}}(1,0)$  as VOAs.

<u>Proof:</u>  $V_{\hat{\mathfrak{h}}}(\ell,0) \rightarrow V_{\hat{\mathfrak{h}}}(1,0)$ ,

$$\alpha^{(1)}(n_1)\cdots\alpha^{(r)}(n_r)\mathbf{1}\mapsto(\sqrt{\ell})^r\alpha^{(1)}(n_1)\cdots\alpha^{(r)}(n_r)\mathbf{1}$$
,

for any choice of  $\sqrt{\ell}$  is an isomorphism. (Check!)

#### References

[LL] J. Lepowsky, H. Li. Introduction to vertex operator algebras and their representations. Birkhäuser, Boston, 2004.

[FLM] I. Frenkel, J. Lepowsky, A. Meurman. Vertex operator algebras and the Monster. Vol. 134. Academic press, 1989.