

# Functional Analysis, assignment 1

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## Problem 1a

I will show the three properties of being a norm.

The triangle inequality:

$$\begin{aligned} \|x + y\|_0 &= \|x + y\|_X + \|T(x + y)\|_Y \\ &\leq \|x\|_X + \|y\|_X + \|Tx + Ty\|_Y \\ &\leq \|x\|_X + \|Tx\|_Y + \|y\|_X + \|Ty\|_Y \\ &= \|x\|_0 + \|y\|_0 \quad \forall x, y \in X \end{aligned}$$

First equality is by definition, second by T-linear. 

Scalar-multiplication:

$$\begin{aligned} \|\alpha x\|_0 &= \|\alpha x\|_X + \|T(\alpha x)\|_Y \\ &= \|\alpha x\|_X + \|\alpha Tx\|_Y \\ &= |\alpha| \|x\|_X + |\alpha| \|Tx\|_Y \\ &= |\alpha| (\|x\|_X + \|Tx\|_Y) \\ &= |\alpha| \|x\|_0 \end{aligned}$$

$\alpha \in \mathbb{K}, x \in X$  

Non-seminorm:


and  $\|x\|_0 = 0 \Rightarrow \|x\|_X + \|Tx\|_Y = 0$

So either  $\|x\|_X = 0$  or  $\|Tx\|_Y = 0$ , but  $\|x\|_X \geq 0$  and  $\|Tx\|_Y \geq 0$ .

So for  $\|x\|_X = 0$  we may have  $x = 0$  and if  $x = 0$  then we will get that

$$\|0\|_0 = \|0\|_X + \|T0\|_Y = \|0\|_X + \|0\|_Y = 0.$$

Hence  $\|x\|_0 = 0 \Leftrightarrow x = 0$

It can now be concluded that  $\|\cdot\|_0$  is a norm on  $X$ . 

Missing  $\| \cdot \|_0$  equivalent to  $\| \cdot \|_X$  iff  $T$  bounded.

## Problem 1b

I want to show that any linear map  $T : X \rightarrow Y$  is bounded, if  $X$  is finite dimensional.

I Assume that  $\dim V = n < \infty$  for a vector space  $V$ . I will now use theorem 1.6 which says that if  $X$  finite then any two norms on  $X$  is equivalent. I showed in a) that  $\|\cdot\|_0$  and  $\|\cdot\|_X$  are equivalent norms on the linear map  $T$ , and gives us that  $T$  will be bounded. Since  $T$  is an arbitrary linear map, I get that all linear maps must be bounded where it applies that  $\dim V = n < \infty$ .

✓

## Problem 1c

I will now assume that  $X$  is infinite dimensional vector space and show that there exist a linear map  $T : X \rightarrow Y$  which is not bounded.

Since it is given that  $X$  is infinite I start by taking a Hamel-basis  $B_X$ , and I will define the basis as  $B_X := \{b_i : i \in I\}$ .

I will now let  $b \in X$  such that the following applies:

$$T\left(\frac{b_i}{\|b_i\|}\right) = i \cdot y$$

*I is in general just some set so  $\mathbb{N} \neq I$ .*

where  $y \in Y$  but  $y \neq 0$  and  $i \in \mathbb{N}$ . If  $i \notin \mathbb{N}$  then I will have

$$T\left(\frac{b_i}{\|b_i\|}\right) = 0$$

I let  $N := \{b \in X : \|b\| \leq 1\}$  where  $\left\{\frac{b_i}{\|b_i\|}\right\}_{i \in I} \subseteq \{b \in X : \|b\| \leq 1\}$

I.e  $\left\{\frac{b_i}{\|b_i\|}\right\}_{i \in I} \subseteq N$

I also have that  $\sup_{x \in N} \|Tx\| \geq i\|y\| > 0$  for  $i \in I$ . All this gives us that  $T$  is not bounded.

*Does not make sense in general.*

(✓)

## Problem 1d

As before  $X$  is infinite. In c) I showed that  $T$  is not bounded, i.e  $X$  is not bounded. So if  $X$  is not bounded then I have from a) that the two norms  $\|\cdot\|_0$  and  $\|\cdot\|_X$  cannot be equivalent on  $X$ . I will now look at  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  to get  $\|x\|_X \leq \|x\|_0$ . If I just remove  $\|Tx\|_Y$  I will end up with having what I wanted:  $\|x\|_X \leq \|x\|_0$ . I will now conclude  $(X, \|\cdot\|_0)$  is not complete if  $(X, \|\cdot\|_X)$  is a Banach space. So I got that the two norms are not equivalent on  $X$  then by HW 3 P1 I have that  $X$  cannot be complete. So I will now assume that  $(X, \|\cdot\|_X)$  is a Banach space to see what happens. So if it applies it will be complete. But for  $(X, \|\cdot\|_0)$  to be complete it should apply that the norms

?

Correct, but  
with some  
odd formulations  
✓

should be equivalent, but I just earlier said that they are not. Hence  $(X, \|\cdot\|_0)$  is not complete if  $(X, \|\cdot\|_X)$  is a Banach space.

## Problem 1e

To give an example of a vector space  $X$  equipped with two inequivalent norms  $\|\cdot\|$  and  $\|\cdot\|'$  that satisfies  $\|x\|' \leq \|x\|$  for all  $x \in X$  such that  $(X, \|\cdot\|)$  is complete, while  $(X, \|\cdot\|')$  is not, I will start by taking  $\ell_1(\mathbb{N})$  with the norm  $\|\cdot\|_1$  and  $\|\cdot\|_\infty$

Then I have that  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is complete since  $(\ell_1(\mathbb{N}), \|\cdot\|_p)$  is complete for  $1 \leq p < \infty$  from lecture notes 1. I can now take a sequence  $(x_1, \dots, x_n)$  in  $\ell_1(\mathbb{N})$  then I will get that

$$\|x\|_1 = \sum_{i=1}^n |x_i| \geq x_1 + x_2 + \dots + x_n \geq \max_{i \in \{1, \dots, n\}} \{x_i\} = \|x\|_\infty$$

why does this extend  
from  $\ell_1(\mathbb{N})$  to  $\ell^\infty(\mathbb{N})$ ?

i.e I now have that  $\|x\|_\infty \leq \|x\|_1$ .

I let  $a_i = 1$  if  $i \leq k$  and take a sequence  $(a_n)_{n \in \mathbb{N}} = (a_1, a_2, \dots, a_k, 0, 0, \dots)$  then I will have that  $\|a_n\|_\infty = 1$  but  $\|a_n\|_1 = k$ , so therefore can we say that there do not exist a  $c$  such that  $k \leq c \cdot 1$  since I always can choose a  $k$  which is bigger. Hence The two norms are inequivalent.

Confusing notation.  
What is  $k$ ?

I will now show  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete, by taking a sequence of sequences, i.e.  $((x_n)(c))_{n \in \mathbb{N}} = \frac{1}{c}$  for  $1 \leq c \leq n$  and  $(x_n)(c) = 0$  for  $c > n$ . Since all  $x_n(c)$  have finite sum the one-norm I will get  $x_n(c) \in \ell_1$ . If I let  $x(c) = \frac{1}{c}$   $\forall c \in \mathbb{N}$  then I see that:

$$\|x_n(c) - x(c)\|_\infty = \sup\{|x_n(c) - x(c)|\} = \left|\frac{1}{n+1}\right| \rightarrow 0$$

for  $n \rightarrow \infty$ .

How? I can now see that it is a Cauchy sequence with respect to the infinity-norm, which gives us that  $\sum_{n=1}^{\infty} \left|\frac{1}{n+1}\right| \rightarrow \infty$  for  $n \rightarrow \infty$  i.e.  $x(c) \notin \ell_1$  hence  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete.

(✓)

## Problem 2a

I want to show that  $f$  is bounded, and to do this I let  $\alpha, \beta \in \mathbb{C}$ ,  $\gamma = (a_1, b_1, 0, 0, 0, \dots) \in M$  and  $\delta = (a_2, b_2, 0, 0, 0, \dots) \in M$ , then I will have:

$$\begin{aligned} f(\alpha \cdot \gamma + \beta \cdot \delta) &= f(\alpha a_1 + \beta a_2, \alpha b_1 + \beta b_2, 0, 0, \dots) \\ &= \alpha a_1 + \beta a_2 + \alpha b_1 + \beta b_2 = \alpha(a_1 + b_1) + \beta(a_2 + b_2) \\ &= \alpha f(\gamma) + \beta f(\delta) \end{aligned}$$

I do now have that  $f$  is linear.

I will now show that  $f$  is bounded. I will show that  $\exists c > 0$  such that it apply

$$\|a + b\|_1 \leq C \cdot \|(a, b, 0, 0, \dots)\|_p = C \cdot \|a, b\|_p$$

From lecture notes I have that  $\|a, b\|_p$  is a norm on  $\mathbb{C}^2$ . Furthermore I see that

$$\begin{aligned} \|a + b\|_1 &= |a + b| \leq |a| + |b| = \|(a + b)\|_1 \\ &\leq C \cdot \|a, b\|_p = C \|(a, b, 0, 0, \dots)\|_p \end{aligned} \quad \left. \vphantom{\|a + b\|_1} \right\} ?$$

The first inequality is by triangular inequality, the second one is because we have that  $\mathbb{C}^2$  is finite dimensional vector space. So then I will have that every norm in  $\mathbb{C}^2$  is equivalent. This means that there exists a  $c > 0$  such that the inequality will apply for all  $(a, b) \in \mathbb{C}^2$ .

I will now compute  $\|f\|$ :

I will claim that the following holds:  $\|f\| = 2^{1-\frac{1}{p}}$

I will now show it by defining  $d = \left( \frac{1}{2^{1/p}}, \frac{1}{2^{1/p}}, 0, 0, \dots \right) \in M$  where it apply that

$$\|d\|_p = 1$$

I also have that:

$$\begin{aligned} \|f\| &= \sup\{|a + b| : \|(a, b, 0, 0, \dots)\|_p = 1\} \\ &\geq \left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| = \frac{2}{2^{\frac{1}{p}}} = 2^{1-1/p} \end{aligned}$$

I.e I now have that  $\|f\| \geq 2^{1-1/p}$ .

The inequality applies because  $\left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| \in \{|a + b| : \|(a, b, 0, 0, \dots)\|_p = 1\}$ .

I will now show  $\|f\| \leq 2^{1-1/p}$ :

I have that

$$\begin{aligned} |a + b| &\leq |a| + |b| = \|(a, b, 0, 0, \dots)\|_1 \\ &= \|(a \cdot 1, b \cdot 1, 0, 0, \dots)\|_1 \leq \|(a, b, 0, 0, \dots)\|_p \cdot \|(1, 1, 0, 0, \dots)\|_q \end{aligned}$$

The inequality is by Hölder with  $\frac{1}{p} + \frac{1}{q} = 1$ , where I have that  $p$  is fixed, so I just look at  $q$ . I choose  $q = \frac{p}{p-1}$  such that  $\frac{1}{p} + \frac{1}{q} = 1$  applies.

I will now let  $\|(a, b, 0, 0, \dots)\|_p = 1$  and get

$$\begin{aligned} |a + b| &\leq \|(1, 1, 0, 0, \dots)\|_q \\ &= \left( \sum_{i=1}^2 |1|^q \right)^{\frac{1}{q}} = 2^{\frac{1}{q}} = 2^{1-\frac{1}{p}} \end{aligned}$$

The inequality will apply for all  $\|(a, b, 0, 0, \dots)\|_1$ , then by this I have

$$\|f\| = \sup\{|a + b| : \|(a, b, 0, 0, \dots)\|_p = 1\} \leq 2^{1-\frac{1}{p}}$$

Then for all  $|a + b|$  in the supremum set I will get  $\|a + b\| \leq 2^{1-\frac{1}{p}}$

Since I have shown that  $\|f\| \leq 2^{1-\frac{1}{p}}$  and  $\|f\| \geq 2^{1-\frac{1}{p}}$  I can conclude that  $\|f\| = 2^{1-\frac{1}{p}}$ .



## Problem 2b

I will start by showing that there exist a functional  $F$ . I have that  $(\ell_p(\mathbb{N}), \|\cdot\|_p)$  is a normed vector space, where  $M \subseteq (\ell_p(\mathbb{N}), \|\cdot\|_p)$ . From a) I have that  $f$  is both linear and bounded, i.e  $f \in M^*$ , hence I can use corollary 2.6 together with Hahn-Banach extension theorem to say that there exists  $F \in \ell_p(\mathbb{N})^*$  such that  $F|_M = f$  and that  $\|F\| = \|f\|$ .

I will now show uniqueness:

I start by recalling from HW 1 p 5 that  $(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$  where I notice that  $1 = \frac{1}{p} + \frac{1}{q}$ . I define a isometrically isomorphic function  $T : \ell_q(\mathbb{N}) \rightarrow (\ell_p(\mathbb{N}))^*$ ,  $T(x) = f_x$  and  $f : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  where I have that  $f_x(y) = \sum_{n \in \mathbb{N}} x_n y_n$  for  $x \in \ell_q(\mathbb{N})$  and  $y \in \ell_p(\mathbb{N})$ .

I will now let  $F : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  where I get  $F(x_1, x_2, x_3, \dots) = a + b$ . I can now see that this is a Hahn-Banach extension of  $f$ .

I can now assume  $F \neq \tilde{F}$  another Hahn-Banach extension, and then I will have that:

$\exists i \in \mathbb{N}, i > 2 : \tilde{F}(e_i) = c \neq 0$  where I notice that  $e_i = (0, 0, \dots, i, 0, \dots)$ .

Now I have that  $F \in \ell_p(\mathbb{N})^*$ , hence there exists an unique  $\xi \in \ell_q(\mathbb{N})$  such that  $T(\xi) = F$

Hence  $T(\xi) = (x_1, x_2, x_3, \dots) = F(x_1, x_2, x_3, \dots) = x_n + x_2$  Where I notice that  $(x_1, x_2, x_3, \dots) \in \ell_p(\mathbb{N})$

$T(\xi) = (x_1, x_2, x_3, \dots) = \sum_{n \in \mathbb{N}} x_n \xi_n$  where  $\xi = (\xi_1, \xi_2, \dots)$  so I get that  $\xi = (1, 1, 0, 0, \dots)$ .

Since I have that  $\tilde{F} \in (\ell_p(\mathbb{N}))^*$  then I can find a  $\phi \in \ell_q(\mathbb{N})$  such that  $T(\phi) = \tilde{F}$  and since  $T$  is bijective I have that  $\phi \neq \xi$ . So I will have  $T(\xi)(x_1, x_2, x_3, 0, \dots) = x_1 + x_2 = \sum_{n \in \mathbb{N}} x_n \phi_n$  because I have  $\tilde{F}|_M = f$ .

Hence

$$\phi = \xi + \sum_{i=4}^{\infty} \alpha_i e_i$$

for  $x_i \mathbb{C}$  and  $e_o = (0, 0, \dots, i, 0, \dots)$ .

But then I will get that

$$\|\phi\|_q = \left\| \sum_{i=4}^{\infty} \alpha_i e_i \right\|_q = (1 + 1 + \sum_{i=4}^{\infty} |\alpha_i e_i|^q)^{\frac{1}{q}} > \|\phi\|_q = 2^{\frac{1}{q}}$$

Which is a contradiction and I now have that there is a unique linear functional  $F$  on  $\ell_p(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

## Problem 2c

I will show that if  $p = 1$  then there are infinitely many linear functional  $F$  on  $\ell_1(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

So I start by letting  $p = 1$  as given and will define  $F_i : \ell_1(\mathbb{N}) \rightarrow \mathbb{C}$ . The functions is given by  $(x_1, x_2, \dots) \mapsto x_1 + x_2 + x_i$  for  $i > 2$ .

$F_i$  is an extension and linear functional on  $\ell_1(\mathbb{N})$ , as a result of  $F_i|_M(x) = x_1 + x_2 = f(x)$  where I have that  $x \in M$ . This means that  $F_i$  extends  $f$  and then  $\|F_i\| \geq \|f\| = 2^{1-1/p} = 2^{1-1/1} = 1$

I will now look at the 1-norm on  $F$ :

$$\begin{aligned} \|F_i\|_1 &= \sup\{|F_i x| : \|x\|_1 = 1\} \\ &= \sup\{|x_1 + x_2 + x_i| : \|x\|_1 = 1\} \\ &= \sup\{|x_1| + |x_2| + |x_i| : \|x\|_1 = 1\} \\ &\leq 1 \end{aligned}$$

Before I got  $\|F_i\| \geq 1$  and now I have  $\|F_i\| \leq 1$ , hence  $\|F_i\| = 1$ . This implies that  $\|F_i\|$  is linear functional extending  $f$ . I can now conclude that there are infinitely many linear functional  $F$  on  $\ell_1(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

## Problem 3a

I have to show that no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective. I will do the exercise by contradiction.

I start by assuming that the map  $F : X \rightarrow \mathbb{K}^n$  is injective.

Then I let  $x_1, \dots, x_{n+1}$  be linear independent in  $X$  and  $F(x_1), \dots, F(x_{n+1})$  is linear dependent.

There exists  $a_1, \dots, a_{n+1} \in \mathbb{K}$  and not all are equal to zero where I have  $a_1 F(x_1) + \dots + a_{n+1} F(x_{n+1}) = 0$  because we had  $F(x_1), \dots, F(x_{n+1})$  is linear dependent.

From linearity of  $F$  I will now get

$$0 = a_1 F(x_1) + \dots + a_{n+1} F(x_{n+1}) = F(a_1 x_1 + \dots + a_{n+1} x_{n+1}). \text{ This gives us}$$

that  $a_1x_1 + \dots + a_{n+1}x_{n+1} = 0$  since we at first assumed that the map  $F$  is injective. From this and by using that  $x_1, \dots, x_{n+1}$  be linear independent we now have that  $a_i = 0$ . This implies a contradiction because we earlier said that not all  $a$ 's are equal to zero, but at least one is and now we get that all  $a$  are equal to zero. So since I got to a contradiction I can now say that our map  $F : X \rightarrow \mathbb{K}^n$  is not injective. From this I conclude that no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective.

### Problem 3b

I will show  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ . I start by looking at the map  $F : X \rightarrow \mathbb{K}^n$  which is given by  $F(x) = (f_1(x), f_2(x), \dots, f_n(x)), x \in X$ .

We have from exercise a) that no linear map is injective, this implies that the map  $F : X \rightarrow \mathbb{K}^n$  given by  $F(x) = (f_1(x), f_2(x), \dots, f_n(x)), x \in X$  is not injective. This gives us that  $\ker(F) \neq \{0\} \Rightarrow \ker(f_1(x), f_2(x), \dots, f_n(x)) \neq \{0\}$

So because I have  $\ker(F) \neq \{0\}$  there exist a  $x \neq 0$  where it applies  $F(x) = (f_1(x), f_2(x), \dots, f_n(x)) = 0$  and then I will have that each of them also will be equal to zero as:  $f_1(x) = 0, f_2(x) = 0, \dots, f_n(x) = 0$   
Hence I can conclude  $\{0\} \neq \ker(F) = \bigcap_{j=1}^n \ker(f_j)$

### Problem 3c

I will show that there exists a  $y \in X$  such that  $\|y\| = 1$  and  $\|y - x_j\| \geq \|x_j\|$ .

From b) I have that  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ , hence I will now pick  $z$  non-zero in  $\bigcap_{j=1}^n \ker(f_j)$

From this I will now define  $y = \frac{z}{\|z\|}$  and look at  $f_j(y)$ .

$f_j(y) = f_j\left(\frac{z}{\|z\|}\right) = \frac{1}{\|z\|} f_j(z)$ . Since I chose a  $z \in \bigcap_{j=1}^n \ker(f_j)$  we will have that  $f_j(z) = 0$  so  $f_j(y) = 0$ . This implies  $y \in \bigcap_{j=1}^n \ker(f_j)$  and hence  $\|y\| = \left\| \frac{z}{\|z\|} \right\| = \frac{\|z\|}{\|z\|} = 1$ . Hence I now have that there exists  $y \in \bigcap_{j=1}^n \ker(f_j) \subseteq X$  such that  $\|y\| = 1$ .

I will now show  $\|y - x_j\| \geq \|x_j\|$ . I know that  $\|y - x_j\| = \|f_j\| \cdot \|y - x_j\|$  since  $\|f_j\| = 1$ , this applies because we have that  $X$  is infinite dimensional normed vector space and by theorem 2.7(b) where  $f_j \in X^*$ .

$\|y - x_j\| = \|f_j\| \cdot \|y - x_j\| \geq \|f_j(y - x_j)\| = |f_j(y - x_j)| = |f_j(y) - f_j(x_j)| = |0 - \|x_j\|| = \|x_j\|$ . The inequality applies by definition of the norm operator. The first equality after the inequality applies since the norm in  $\mathbb{K}$  the absolute value. The second equality is by linearity, the third is from problem 3b.  
Hence I can conclude  $\|y - x_j\| \geq \|x_j\|$ .

It is not clear where  $\|y - x_j\| = \|f_j\| \cdot \|y - x_j\|$  was used and where it was not.

NO!  
this is  
always true  
Thm 2.7(b)  
says "there exists"  
not that this holds  
for any  $f$

if not defined  
anywhere?

### Problem 3d

I will show that one cannot cover the unit sphere  $S = \{x \in X : \|x\| = 1\}$  with a finite family of closed balls in  $X$  such that none of the balls contains 0. I will call this closed balls  $B_i$ . I will show that  $S$  cannot be covered, i.e I will show that  $S \not\subseteq \bigcup_{i=1}^n B_i$ . In other words I take a  $x \in S$  and will now show that  $x \notin \bigcup_{i=1}^n B_i$  this means that we can take a  $x \in \bigcap_{j=1}^n \ker(f_j) \cap S \subseteq S \not\subseteq \bigcup_{i=1}^n B_i$

I will now show that  $B_i$  is convex to determine whether  $x$  lies in  $B_i$  or not. For every  $x, y \in B_i$  and for  $0 \leq \alpha \leq 1$  it applies that  $\alpha x + (1 - \alpha)y \in B_i$ .

$$\begin{aligned} \|\alpha x + (1 - \alpha)y - p\| &= \|\alpha x - \alpha p + (1 - \alpha)y - p + \alpha p\| \\ &= \|\alpha(x - p) + (1 - \alpha)(y - p)\| = \|\alpha(x - p) + (1 - \alpha)(y - p)\| \\ &\leq \|\alpha(x - p)\| + \|(1 - \alpha)(y - p)\| = |\alpha| \cdot \|x - p\| + |(1 - \alpha)| \cdot \|y - p\| \\ &= \alpha\|x - p\| + (1 - \alpha)\|y - p\| \leq \alpha r + (1 - \alpha)r = \alpha r + r - \alpha r = r \end{aligned}$$

I can now conclude that  $B_i$  is convex since I showed  $\|\alpha x + (1 - \alpha)y - p\| \leq r$ .

For  $x$  to lie in  $B_i$ , where I have that  $B_i$  is convex, it applies by Hahn-Banach that  $\operatorname{Re}(f_j(x)) \geq 1$ .

So these  $x$  which is in  $\bigcap_{j=1}^n \ker(f_j)$  do not lie in  $B_i$ , because if  $x \in \bigcap_{j=1}^n \ker(f_j)$  then I will have  $f_j(x) = 0$  and if this applies then I will get that  $\operatorname{Re}(f_j(x)) = 0$  which is different from 1 as I said earlier. Hence I can conclude that  $x \notin B_i$ .

From this I get  $\bigcap_{j=1}^n \ker(f_j) \cap B_i = \emptyset$  hence  $\bigcap_{j=1}^n \ker(f_j) \cap B_i \cap S = \emptyset$ . This means that if  $x \in \bigcap_{j=1}^n \ker(f_j) \cap B_i \cap S$  then  $x \notin \bigcup_{i=1}^n B_i$ .

### Problem 3e

I start by showing that  $S$  is not compact and will do it by contradiction.

I assume that  $S$  is compact then for any  $x \in S$  I will consider an open ball  $B_x = \{v \in X : \|x - v\| < \frac{1}{2}\}$ . So I take  $x \in S$  then I will get  $\|x - x\| = 0 < \frac{1}{2}$  so therefore by compactness  $\{B_x\}_{x \in S}$  is an open covering of  $S$ . This implies  $x \in B_x$  and  $x \in \bigcup\{B_x\}_{x \in S}$ , hence  $S \subseteq \bigcup\{B_x\}_{x \in S}$ .

Compactness of  $S$  applies that every open cover of this  $S$  will have a finite subcover, the same applies with the open balls  $\{B_x\}_{x \in S}$  will have a finite subcover  $\{B_{x_1}, \dots, B_{x_n}\}$  since  $\{B_x\}_{x \in S}$  is an open cover of  $S$ .

I have that  $\bigcup_{i=1}^n B_{x_i} \subseteq \bigcup_{i=1}^n \overline{B_{x_i}}$  since  $B_{x_i} \subseteq \overline{B_{x_i}}$ . So now I have that  $B_{x_i}$  is a finite subcover and will get that  $S \subseteq \bigcup_{i=1}^n B_{x_i}$ , and  $S \subseteq \bigcup_{i=1}^n \overline{B_{x_i}}$ . I now have that  $\{\overline{B_{x_1}}, \dots, \overline{B_{x_n}}\}$  is a closed ball covering of  $S$  and none of them will contain 0, because I have  $\overline{B_x} = \{v \in X : \|x - v\| \leq \frac{1}{2}\}$

This is never true!

most mean  $\operatorname{Re}(f_j(x)) \geq 1$

for what  $f_j$ ??

✓ Idea is good

↑ why non-empty?



Since  $x \in S$  I have  $\|x - 0\| = \|x\| = 1$ , but  $1 > \frac{1}{2}$  then  $0 \notin \overline{B_{x_i}}$ . I have now shown that there exist a family with closed balls which is covering  $S$  and contains 0. But this is a contradiction with problem 3d, where I showed that there do not exist a finite family of closed balls in  $X$  such that none of the balls will contain 0. In this exercise I just found such a family:  $\{\overline{B_{x_1}}, \dots, \overline{B_{x_n}}\}$ . So I can conclude that  $S$  is non-compact. ✓

I have that  $S \subseteq B$  and  $B$  is the closed unit ball. We have a property which says that if  $B$  is compact then  $S$  is compact since a closed subset of a compact space is again compact. But I will now use the contradiction of the statement. So since we showed earlier that  $S$  is not compact then I will have that the closed  $B$  in  $X$  is neither compact. ✓

## Problem 4a

To determine whether  $E_n \subset L_1([0, 1], m)$  is absorbing or not I first look at if it is convex or not. The definition of convex is that  $\forall f, g \in E_n$  and  $\forall 0 < \alpha < 1$  we have  $\alpha f + (1 - \alpha)g \in E_n$ . I will start by showing

$$\left( \int_{[0,1]} |\alpha f + (1 - \alpha)g|^3 dm \right) \leq n$$

To do that I will use Minkowski's inequality:

(triangle inequality?)

$$\begin{aligned} \left( \int_{[0,1]} |\alpha f + (1 - \alpha)g|^3 dm \right)^{\frac{1}{3}} &\leq \left( \int_{[0,1]} |\alpha f|^3 dm \right)^{\frac{1}{3}} + \left( \int_{[0,1]} |(1 - \alpha)g|^3 dm \right)^{\frac{1}{3}} \\ &= \left( \int_{[0,1]} \alpha^3 |f|^3 dm \right)^{\frac{1}{3}} + \left( \int_{[0,1]} (1 - \alpha)^3 |g|^3 dm \right)^{\frac{1}{3}} \\ &= \alpha \left( \int_{[0,1]} |f|^3 dm \right)^{\frac{1}{3}} + (1 - \alpha) \left( \int_{[0,1]} |g|^3 dm \right)^{\frac{1}{3}} \\ &\leq \alpha n^{\frac{1}{3}} + (1 - \alpha)n^{\frac{1}{3}} = n^{\frac{1}{3}} \end{aligned}$$

$f, g \in E_n$ .

I can now say that the following applies

$$\left( \int_{[0,1]} |\alpha f + (1 - \alpha)g|^3 dm \right) \leq n$$

and this gives us  $\alpha f + (1 - \alpha)g \in E_n$  hence  $E_n$  is convex.

I will now see if  $E_n$  is absorbing.

I have shown that  $E_n$  is convex, so now I will show whether the following holds:

$\forall f \in L_1([0, 1], m) \exists t > 0 : t^{-1}f \in E_n$

I let  $f(t) = t^{-\frac{1}{3}}$  and look at the following:

$$\|f\|_1 = \int_{[0,1]} f dm = \int_0^1 x^{-\frac{1}{3}} dx = \left[ \frac{1}{-\frac{1}{3}+1} x^{-\frac{1}{3}} \right]_0^1 = \frac{3}{2} < \infty$$

Hence  $f \in L_1([0, 1], m)$  where we note that  $f(t)$  is measurable.

I will now look at for any  $t > 0$

$$\int_{[0,1]} |f|^3 dm = \int_0^1 |f|^3 dm = \int_0^1 \frac{1}{x} dx \rightarrow \infty$$

Hence  $\int_0^1 \frac{1}{x} dx \approx \infty$ . This means that  $f \notin L_3([0, 1], m)$  and this gives us that there do not exist  $t > 0$  such that  $t^{-1}f \in E_n$

From  $\int_{[0,1]} |f|^3 dm \approx \infty$  I get that  $\int_{[0,1]} |t^{-1}f|^3 dm \approx \infty$ . Hence I now have that  $\int_{[0,1]} |t^{-1}f|^3 dm \not\leq n$ , so now can I conclude that  $E_n$  is not absorbing

justify why  
you can switch  
to improper  
Riemann

## Problem 4b

I want to show that  $E_n$  has empty interior in  $L_1([0, 1], m)$  for all  $n \geq 1$ . I will first look at the definition of a interior which says: The union of all open sets  $U \subset E \subset X$ , it is the largest open sets contained in  $E$ , and it is denoted as  $E^\circ$ .

I start by showing that  $E_n^\circ = \emptyset$  and doing it by contradiction, i.e I will assume  $E_n^\circ \neq \emptyset$ . Hence I have  $f \in E_n^\circ$  gives us the open ball

$$B(f, \epsilon) := \{g \in L_1([0, 1], m) : \|f - g\|_1 < \epsilon\} \subseteq E_n$$

for  $\epsilon > 0$ .

For  $0 \neq g \in L_1([0, 1], m)$  I get

$$\begin{aligned} \|f - (f + \frac{\epsilon}{2\|g\|_1} g)\|_1 &= \|f - f - \frac{\epsilon}{2\|g\|_1} g\|_1 = -\|\frac{\epsilon}{2\|g\|_1} g\|_1 = |\frac{\epsilon}{2\|g\|_1}| \|g\|_1 \\ &= \frac{\epsilon}{2\|g\|_1} \|g\|_1 = \frac{\epsilon}{2} < \epsilon \end{aligned}$$

I define  $h$  as  $h := f + \frac{\epsilon}{2\|g\|_1} g \in B(f, \epsilon)$ , from this I define  $g$ :

$$g = (h - f) \frac{2\|g\|_1}{\epsilon}$$

Since I have that  $h \in B(f, \epsilon) \subseteq E_n$  I will have  $h \in L_3([0, 1], m)$  because we have that any function in  $E_n$  also is in  $L_3([0, 1], m)$ . So then because  $f \in E_n$  I get  $f \in L_3([0, 1], m)$ . From these informations I now have, I can say that  $g \in L_3([0, 1], m)$ .

From all this I now get that  $L_1([0, 1], m) \subseteq L_3([0, 1], m)$ , but this is a contradiction by HW 2 and hence  $E_n^\circ = \emptyset$  so  $E_n$  have empty interior in  $L_1([0, 1], m)$ .

## Problem 4c

I will now show that  $E_n$  is closed in  $L_1([0, 1], m)$ . I start by taking a sequence  $(a_b)_{b \in \mathbb{N}}$  in  $E_n$  and will show that the limit of  $E_n$  will be in  $E_n$ . When I take a sequence  $(a_b)_{b \in \mathbb{N}} \subseteq E_n$  I have  $\|a_b - a\|_1 \rightarrow 0$  and I note by Bolzano-Weierstrass that the sequence  $(a_{n_b})_{n_b \in \mathbb{N}}$  converges pointwise. I now have:

$$\|f\|_3^3 = \int_{[0,1]} |f|^3 dm \leq \liminf_{n_b \rightarrow 0} \int_{[0,1]} |f_{n_b}|^3 dm \leq \liminf_{n_b \rightarrow 0} n = n$$

The first inequality is by Fatou's lemma, the second inequality is from  $a_{n_b} \in E_n$ . I can now see that  $\|f\|_3^3 \leq n$ , this means that  $f \in E_n$  and I can conclude that  $E_n$  is closed in  $L_1([0, 1], m)$ .

for seq.  
in  $\mathbb{R}^n$   
but still  
true.

## Problem 4d

I will now use b) and c) to say that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ . For something to be of first category I have by definition 3.12(ii) that there must exist a sequence of nowhere dense sets such that  $L_3([0, 1], m) = \bigcup_{n=1}^{\infty} E_n$ . To show this I have to show that  $\text{Int}(\overline{E_n}) = \emptyset$  and in exercise b) I showed that  $\text{Int}(E_n) = \emptyset$  and in c) I showed that  $E_n$  is closed. This implies  $E_n = \overline{E_n}$  and this will now apply that  $\emptyset = \text{Int}(E_n) = \text{Int}(\overline{E_n})$  hence I now get  $\text{Int}(\overline{E_n}) = \emptyset$  as wanted. I can now say that  $E_n$  is nowhere dense set and use it to show  $L_3([0, 1], m) = \bigcup_{n=1}^{\infty} E_n$ .

$$\begin{aligned} \bigcup_{n=1}^{\infty} E_n &= \bigcup_{n=1}^{\infty} \{f \in L_1([0, 1], m) : \int_{[0,1]} |f|^3 dm \leq n\} \\ &= \{f \in L_1([0, 1], m) : \int_{[0,1]} |f|^3 dm < \infty\} \\ &= \{f \in L_1([0, 1], m) : f \in L_3([0, 1], m)\} = L_3([0, 1], m) \end{aligned}$$

since it was given that  $L_3([0, 1], m) \subsetneq L_1([0, 1], m)$ . I can now finish the exercise with saying that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .

## Problem 5a

I suppose that  $x_n \rightarrow x$  in norm and will determine whether  $\|x_n\| \rightarrow \|x\|$ . I start by observing that

$$\|x\| = \|x - x_n + x_n\| \leq \|x - x_n\| + \|x_n\|$$

and we also observe that


$$\|x_n\| = \|x_n - x + x\| \leq \|x_n - x\| + \|x\|$$

I will now use the reverse triangle inequality and combine the two expressions:

$$|\|x\| - \|x_n\|| \leq \|x - x_n\|$$

Since it is given that  $x_n \rightarrow x$  for  $\epsilon > 0$  there will exist  $n_\epsilon \in \mathbb{N}$  such that  $n \geq n_\epsilon$  gives us

$$|\|x\| - \|x_n\|| \leq \|x - x_n\| < \epsilon$$

Hence I can conclude that  $\|x_n\| \rightarrow \|x\|$  as I wanted. 


## Problem 5b

I suppose that  $x_n \rightarrow x$  weakly and I will find out if  $\|x_n\| \rightarrow \|x\|$ .  
I will show it by a counterexample.

I let  $H = \ell_2(\mathbb{N})$  and  $x_n = e_n$ . I have that  $H$  is separable so I look at  $e_n$ , where I will notice that  $\langle e_n, e_m \rangle = \delta_{n,m} = \begin{cases} 1 & n = m \\ 0 & n \neq m \end{cases}$  I will now look at what  $e_n$  is going towards weakly, and for that I assume  $e_n \rightarrow 0$  weakly. I will start by taking a  $x \in H$  then I have by Bessel's inequality that  $\sum_n |\langle e_n, x \rangle|^2 \leq \|x\|^2 < \infty$  which converges.

From this I get  $|\langle e_n, x \rangle|^2 \rightarrow \langle 0, x \rangle = 0$  i.e.  $|\langle e_n, x \rangle|^2 \rightarrow 0$  and hence  $\langle e_n, x \rangle \rightarrow \langle 0, x \rangle$ . since I have a Hilbert space and a sequence I recall from HW 4  $\langle e_n, x \rangle \rightarrow \langle 0, x \rangle \Leftrightarrow e_n \rightarrow 0$  weakly. So I get that  $e_n \rightarrow 0$  weakly since I showed  $\langle e_n, x \rangle \rightarrow \langle 0, x \rangle$ .

But can I from all this conclude that  $\|e_n\| \rightarrow \|0\| = 0$

I know that  $\|e_n\| = 1$  for every  $n$ , it applies that  $\|e_n\| \not\rightarrow \|0\| = 0$ . So I can now conclude that for  $x_n \rightarrow x$  weakly it does not follow that  $\|x_n\| \rightarrow \|x\|$ . 

## Problem 5c

I notice that  $\|x_n\| \leq 1$  for all  $n \geq 1$  and  $x_n \rightarrow x$  weakly.

I will now find out if  $\|x\| \leq 1$ .

I start by looking at the property of a weak convergence, which says that the norm is sequentially weakly lower-semicontinuous, i.e.  $\|x\| \leq \lim_{n \rightarrow \infty} \inf \|x_n\|$ .

I know that  $x_n \rightarrow x$  weakly then it applies that  $\|x\| = \langle x, x \rangle = \lim_{n \rightarrow \infty} \langle x, x_n \rangle$  but I have that  $\langle x, x_n \rangle \leq \|x_n\|$ . So this implies

$\|x\| = \lim_{n \rightarrow \infty} \langle x, x_n \rangle \leq \lim_{n \rightarrow \infty} \inf \|x_n\|$ . I can now finish with saying that  $\|x\| \leq 1$  since  $\|x_n\| \leq 1$ .

This is false.

Correct idea, bad calculations.

