

# 1

**a)** Positivity of  $\|\cdot\|_0$  follows from positivity of  $\|\cdot\|_X, \|\cdot\|_Y$ . We have  $\|x\|_0 = 0 \implies \|x\|_X = 0 \implies x = 0$  since  $\|\cdot\|_X$  is a norm. Since  $T$  is linear  $Tx = 0 \implies \|Tx\|_Y = 0$  which implies  $\|x\|_0 = 0$ . So  $\|x\|_0 = 0 \implies x = 0 \implies \|x\|_0 = 0$ . This means  $\|x\|_0 = 0 \iff x = 0$  and we can move on to the triangle inequality. By linearity of  $T$ ,  $\|x+x'\|_0 = \|x+x'\|_X + \|T(x+x')\|_Y \leq \|x\|_X + \|Tx\|_Y + \|x'\|_X + \|Tx'\|_Y = \|x\|_0 + \|x'\|_0$ , where we also use that  $\|\cdot\|_X, \|\cdot\|_Y$  are norms. Linearity of  $T$  also means that  $\|T(\alpha x)\|_Y = \|\alpha Tx\|_Y = |\alpha| \|Tx\|_Y$ , so the last property follows as well since  $\|\alpha x\|_0 = |\alpha| \cdot (\|x\|_X + \|Tx\|_Y) = |\alpha| \|x\|_0$ .

Assume  $T$  is bounded. That means  $\|Tx\|_Y \leq C\|x\|_X, \forall x \in X$ . So  $\|x\|_0 \leq (C+1)\|x\|_X$ .  $\|x\|_X \leq \|x\|_0$  is trivial, so they are equivalent. On the other hand if they are equivalent we have  $\|x\|_0 \leq C\|x\|_X$  and subtracting  $\|x\|_X$  yields  $\|Tx\|_Y \leq (C-1)\|x\|_X$  so  $T$  is bounded.

**b)** We proved this in AdVec, and this is here my strategy comes from. Theorem 1.6 in the lecture notes states that any two norms on a finite dimensional vector space are equivalent, so we will prove that any  $T$  is bounded with a particular norm. It follows then it is bounded with any norm on  $X$ . Let  $e_1, \dots, e_n$  be a basis on  $X$  and define a norm on  $X$  by  $\| \sum_{i=1}^n a_i e_i \|_X = \max\{|a_i| : i = 1, \dots, n\}$ . This is well defined since the coordinates of  $x \in X$  wrt a basis exists and are unique. Positivity is immediate and  $\|x\|_X = 0 \iff a_1, \dots, a_n = 0 \iff x = 0$ . The triangle inequality holds since  $\max\{|a_i + b_i|, i = 1, \dots, n\} \leq \max\{|a_i| + |b_i|, i = 1, \dots, n\} \leq \max\{|a_i|, i = 1, \dots, n\} + \max\{|b_i|, i = 1, \dots, n\}$  and  $|\alpha a_i| = |\alpha| \cdot |a_i|$  proves the last property required to be a norm.

For any  $x = \sum_{i=1}^n a_i e_i$  with  $\|x\|_X \leq 1$  we have  $\|Tx\|_Y \leq \sum_{i=1}^n |a_i| \|Te_i\|_Y \leq \sum_{i=1}^n \|Te_i\|_Y < \infty$ , where we use  $|a_i| \leq \|x\|_X \leq 1$  to get the second inequality. So  $T$  is bounded with this and therefore any norm on  $X$ .

**c)** Let  $(e_i)_{i \in I}$  be a basis for  $X$ . We can define another basis  $\hat{e}_i = e_i / \|e_i\|_X$  and may therefore assume that every  $e_i$  has norm one. Choose an injection  $\varphi : \mathbb{N} \rightarrow I$  and let  $(y_i)_{i \in I}$  be any family in  $Y$  so  $\|y_{\varphi(n)}\|_Y = n$ . For example we could let  $y_{\varphi(n)} = n \cdot y_{\varphi(1)}$  and  $y_{\varphi(1)}$  be some unit vector. Then there exists a linear map  $T$  so  $Te_i = y_i$  (by the comments below the exercise). This map is unbounded since  $\|e_{\varphi(n)}\|_X = 1$  but  $\|Te_{\varphi(n)}\|_Y = \|y_{\varphi(n)}\|_Y = n$  can be arbitrarily large. Since it is not bounded it is not continuous.

**d)** Choose  $T : X \rightarrow Y$  linear but not continuous, this is possible by c). Then  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  is not equivalent to  $\|x\|_X$  by a) and clearly satisfies  $\|\cdot\|_0 \geq \|\cdot\|_X$ . By problem 1 in Homework 3, if  $X$  is complete wrt both norms they are equivalent. So if  $(X, \|\cdot\|_X)$  is a Banach space,  $X$  is complete wrt  $\|\cdot\|_X$  and since they are not equivalent,  $X$  cannot be complete wrt  $\|\cdot\|_0$ .

**e)**  $X = \ell_1(\mathbb{N})$  is complete with the  $\|\cdot\|_1$  norm which is stronger than the  $\|\cdot\|_\infty$  norm. Clearly if  $x = 0$  we have  $\|x\|_1 \geq \|x\|_\infty = 0$ . Since  $x \in \ell_1$  it must have limit zero, so after a finite number of elements all the subsequent elements in  $x$  have smaller norm than the first non-zero element. Therefore  $\|x\|_\infty$  is really a max over a finite set of real numbers, which is bounded by their sum which is bounded by  $\|x\|_1$  as there are more terms in this sum.

To see  $X$  is not complete with this norm consider the sequence of sequences  $x_i(n) = 1_{\{1, \dots, i\}}(n)/n$  that converges to  $1/n$  in  $\ell_\infty(\mathbb{N})$ . But since the limit is not in  $X$ , here it is a Cauchy sequence without a limit proving  $X$  is not complete with the  $\infty$ -norm.

## 2

a) Notice  $\|x\|_p = \sqrt[p]{|x_1|^p + |x_2|^p} \leq 1 \iff |x_1|^p + |x_2|^p \leq 1$  so we must have  $|x_1|, |x_2| \leq 1$  so clearly  $|f(x)| \leq |x_1| + |x_2| \leq 2$ . So  $f$  is bounded. Notice there is no reason to consider other values of  $x_1, x_2$  than those that are real and positive. If  $x = (x_1, x_2, 0, \dots)$  has norm less than 1 then so too  $x' = (|x_1|, |x_2|, 0, \dots)$ . And  $|f(x)| \leq |x_1| + |x_2| = f(x')$ .

This reduces the problem to finding  $x_1, x_2 \geq 0$  that maximizes  $x_1 + x_2$  subject to the restriction  $x_1^p + x_2^p \leq 1$ . Notice that  $f(x)$  increases as  $x_1, x_2$  increases so if  $x$  has norm strictly less than 1 we can find  $x'$  with  $f(x) < f(x')$  by increasing  $x_1$  a little, but not so much that  $\|x\|_p \leq 1$  is no longer true. Therefore the problem reduces further to finding  $x_1, x_2$  maximizing  $x_1 + x_2$  subject to the restriction  $|x_1|^p + |x_2|^p = 1$ . Now notice if  $x_1 > x_2$  then  $px_1^{p-1} \geq px_2^{p-1}$ , since  $y^{p-1}$  is an increasing function since  $p-1 \geq 0$ . So the derivative of  $\|x\|_p^p$  with respect to  $x_1$  is bigger than that with respect to  $x_2$ . So if we decrease  $x_1$  a little we can increase  $x_2$  slightly more, getting a bigger value of  $f$  while still satisfying the boundary condition. The opposite is true when  $x_2 > x_1$ , so therefore choosing  $x_1 = x_2 > 0$  such that  $x_1^p + x_2^p = 1$  is optimal. This means that  $x_1 = \sqrt[p]{1/2}$  and so  $\|f\| = f(x) = 2\sqrt[p]{1/2} = \sqrt[p]{2^{p-1}} = 2^{(p-1)/p}$ .

b) First note that  $F(x) = x_1 + x_2$  is an extension of  $f$  with the same operator norm. There is no point in considering  $x \notin M$  since the terms past  $x_2$  contribute to  $\|x\|_p$  without contributing to  $|F(x)|$ . When considering  $x \in M$  all the above apply and we conclude  $\|f\| = \|F\|$ .

I will prove uniqueness by showing any other extension  $F'$  of  $f$  will have strictly greater norm. Since  $F \neq F'$  they must disagree on some  $x'$  and since they agree on  $M$ ,  $x' \notin M$ . Since they agree on  $M$ , they must also disagree on  $x = x' - (x'_1, x'_2, 0, \dots) \in \ker F$  so  $F'(x) \neq 0$  and scaling and then multiplication with a unit we may assume that  $\|x\|_p = 1$  and  $F'(x) = y > 0$ . The idea now is to find a vector in  $\text{Span}\{1_{\{1\}}, 1_{\{2\}}, x\}$  proving that  $\|F'\| > \|f\|$ . We already know that  $x_1 = x_2 = \sqrt[p]{1/2}$  satisfies the boundary condition and  $F(x_1, x_2, 0, \dots) = F(y) = 2^{(p-1)/p}$ . For very small  $\varepsilon$ , if we decrease them both by  $\varepsilon$  then  $\|y\|_p^p$  decreases approximately  $2\varepsilon p 2^{(1-p)/p} = \varepsilon 2^{1/p}$  (since  $\Delta f(x) \approx f'(x)\Delta x$ ) while  $F'(y)$  of course decreases by  $2\varepsilon$ . So adding  $(\sqrt[p]{\varepsilon 2^{1/p}}) \cdot x$  gives us a vector that still satisfies the boundary condition. Clearly  $F'((\sqrt[p]{\varepsilon 2^{1/p}}) \cdot x) = y \sqrt[p]{\varepsilon 2^{1/p}}$  which goes to zero slower than  $2\varepsilon$  as  $\varepsilon \rightarrow 0$ , since the same is true for  $\sqrt[p]{\varepsilon}$  and  $\varepsilon$ . So for any given  $y$  the contribution from adding a small multiple of  $x$  is eventually bigger than the  $2\varepsilon$  we lose for making room for it. Therefore we have produced a vector so  $|F'(y)| > \|f\|$  proving  $F$  is unique. In the preceding argument it is absolutely critical that  $p > 1$  since otherwise  $\sqrt[p]{\varepsilon}$  only goes to zero as fast as  $\varepsilon$ .

c) Consider  $F'(x) = \sum_{i=1}^3 x_i$ , which is clearly an extension of  $f$ . We have  $\|f\| = 2^{(1-1)/1} = 1$ , and for any  $x$  with norm 1  $|F(x)| \leq |x_1| + |x_2| + |x_3| \leq \|x\|_1 \leq 1$  which means  $\|f\| = \|F'\|$  since  $\|f\| \leq \|F'\|$  is trivial since it is an extension. Notice this argument also works for  $F'(x) = \sum_{i=1}^N x_i$  and since there are

infinitely many natural numbers there are infinitely many generalizations. We could also multiply  $x_3$  by  $\alpha \leq 1$  instead of or in addition to adding more terms.

### 3

**a)** Let  $Y$  be an  $n+1$  dimensional subspace of  $X$  with basis  $y_i$ . In AdVec (lemma 2.7) we learned that a linear map  $A : Y \rightarrow \mathbb{K}^n$  being injective is equivalent with  $A(y_i) \neq A(y_j), i \neq j$  and linear independence of  $A(\{y_1, \dots, y_{n+1}\})$  which in particular means the existence of  $n+1$  linearly independent vectors in  $\mathbb{K}^n$ . This is impossible and therefore there cannot exist a linear, injective map  $F : Y \rightarrow \mathbb{K}^n$ . A linear, injective map from  $X$  to  $\mathbb{K}^n$  would restrict to a linear, injective map from  $Y$  to  $\mathbb{K}^n$  so such a map can also not exist.

**b)** Define  $F : X \rightarrow \mathbb{K}^n$  by  $F(x) = (f_1(x), \dots, f_n(x))$ . By a) it is not injective so it has a nontrivial kernel. Since  $\ker F$  is precisely  $\cap_{i=1}^n \ker f_i$  this intersection is not zero.

**c)** Note that if some  $x_i$  is zero, then it is trivially true for any  $y \in X$  that  $\|y - x_i\| \geq \|x_i\| = 0$  since norms are positive. Therefore we can safely ignore those  $x$ 's that are zero, and we will now assume none of them are zero. By theorem 2.7 b there is  $f_i \in X^*$  with norm 1 and  $f_i(x_i) = \|x_i\|$ . By b) we know that  $\cap_{i=1}^n \ker f_i$  is not zero, and from AdVec we know the kernel of a linear function ( $F$  as defined above) is a subspace. Therefore we can take  $0 \neq y \in \cap_{i=1}^n \ker f_i$  and scale it to have norm 1. For every  $x_j$  we have

$$\|x_j\| = f_j(x_j) = f_j(x_j - y) \leq \|f\| \|x_j - y\| = \|y - x_j\|$$

where the second equality is  $y \in \ker f_j$  and the last one is using the operator norm of  $f$  is 1.

**d)** Suppose we have  $n$  closed balls that cover  $S$  and let  $x_1, \dots, x_n$  denote their centres. By c) we can find  $y$  with norm 1 so  $\|y - x_j\| \geq \|x_j\|$  for all  $j$ . Since it has norm 1,  $y \in S$  so one of balls must contain  $y$ . But  $y \in \overline{B(x_j, r_j)} \implies \|y - x_j\| \leq r_j$  and since  $\|x_j\| \leq \|y - x_j\|$  we must have  $0 \in \overline{B(x_j, r_j)}$ .

So whenever we have a finite family of closed balls covering  $S$ , one of them will contain 0. Therefore covering the unit sphere with a finite family of closed ball without one of them containing 0 cannot be done.

**e)** Consider the open covering consisting of the sets  $U_x = B(x, 1/2), x \in S$ . Since  $0 \notin \overline{B(x, 1/2)}, x \in S$ , for any finite subset of the open covering  $U_1, \dots, U_n$  we have  $S \not\subseteq \cup_{i=1}^n \overline{B(x_i, 1/2)}$  by d) since it would constitute a finite covering of  $S$  by closed balls, none of which contain 0. And since  $B(x, 1/2) \subseteq \overline{B(x, 1/2)}$  it follows  $S \not\subseteq \cup_{i=1}^n B(x_i, 1/2)$ . So since this particular open covering cannot be "thinned" to a finite open covering,  $S$  is not compact. If we add the open unit ball to this open covering, we get an open covering of the closed unit ball. Since  $B(0, 1) \cap S = \emptyset$  this added set does not help us cover  $S$ . So since we could not thin the previous open covering to a finite open covering of  $S$ , we cannot thin this open covering to a finite covering of the closed unit ball. Therefore it is not compact.

## 4

Let  $I = [0, 1]$ ,  $X = L_1(I, \lambda)$ ,  $Y = L_3(I, \lambda)$  where  $\lambda$  is the Lebesgue measure.

a) Absorbing implies that for every  $f \in X$  and some  $t > 0$  we have  $tf \in E_n$ . This is impossible since for any  $t > 0$ ,  $f \in X \setminus Y$  we have  $\int_I |tf|^3 d\lambda = t^3 \int_I |f|^3 d\lambda = \infty$ . Note that  $E_n \subseteq Y \subsetneq X$ , so such an  $f$  definitely exists.

b) Let  $f(x) = \frac{2}{3}x^{-1/3}$ . It is integrable over  $I$  with integral 1 (its anti-derivative is  $x^{2/3}$ ). Let  $g \in E_n$  and notice that  $g + \varepsilon f \in B(g, 2\varepsilon) : \|g - g - \varepsilon f\|_X = \varepsilon\|f\|_X = \varepsilon$ . So if  $g + \varepsilon f \notin E_n$  for any  $\varepsilon$  we see that arbitrarily close to any  $g \in E_n$  there is a function not in  $E_n$  and then we are done, since any  $g$  cannot be an interior point. Using the reverse triangle inequality we see  $|\|\varepsilon f\|_Y - \|g\|_Y| \leq \|\varepsilon f + g\|_Y$ . But  $\int_I |\varepsilon f|^3 d\lambda = \varepsilon^3 (2/3)^3 \int_I x^{-1} d\lambda(x) = \infty$ , so  $\|\varepsilon f\|_Y = \infty$  and the same holds for  $\|\varepsilon f + g\|_Y$ . So since  $\|\varepsilon f + g\|_Y^3 = \int_I |\varepsilon f + g|^3 d\lambda = \infty > n$ ,  $\varepsilon f + g \notin E_n$ .

c) After trying to prove  $E_n$  is closed for more than a day and in my desperation typing up an argument involving a proof of a weaker version of Egorov's theorem, I find it is much easier proving that  $X \setminus E_n$  is open. Such is life.

Take  $f \notin E_n, g \in E_n$ . I will bound  $\|f - g\|_1$  in a way that does not depend on  $g$ . Assume there exists  $\tilde{g} \in E_n : |f - \tilde{g}| \leq \min\{1, |f - g|\}$  almost everywhere. This is justified since it makes the problem harder. Since  $|f - \tilde{g}| \leq 1, |f - \tilde{g}|^3 \leq |f - \tilde{g}|$  and we have

$$\int_I |f - g| d\lambda \geq \int_I |f - \tilde{g}| d\lambda \geq \int_I |f - \tilde{g}|^3 d\lambda = \|f - \tilde{g}\|_3^3 \geq \|f\|_3^3 - \|\tilde{g}\|_3^3,$$

where we use the reverse triangle inequality in the last step. Since  $\tilde{g} \in E_n$  and  $f \notin E_n$ ,  $\|f\|_3 > \sqrt[3]{n} \geq \|\tilde{g}\|_3$ . So  $\|f\|_3 - \|\tilde{g}\|_3 \geq \|f\|_3 - \sqrt[3]{n}$  and we can use  $(\|f\|_3 - \sqrt[3]{n})^3 > 0$  as a lower bound on  $\|f - g\|_1$  for any  $g \in E_n$ . So in a ball around any  $f \in X \setminus E_n$  there are no elements from  $E_n$  and so  $E_n^c$  is open which means  $E_n$  is closed in  $X$ .

d) By c) and then b)  $\text{Int}(\overline{E_n}) = \text{Int}(E_n) = \emptyset$ . So  $Y = \cup_{n=1}^{\infty} E_n$  is a countable union of nowhere dense sets. So  $Y$  is of the first category in  $X$ .

## 5

a) By the reverse triangle inequality  $|\|x_n\|_X - \|x\|_X| \leq \|x_n - x\|_X \rightarrow 0$  by convergence in norm. But this means  $\|x_n\|_X \rightarrow \|x\|_X$ .

b) I will find a counterexample. Let  $X$  be  $\ell_2(\mathbb{N})$  and  $x_n = 1_{\{n\}}$ . By HW4 weak convergence is equivalent with  $f(x_n) \rightarrow f(x), \forall f \in X^*$ , and I will use this to show weak convergence to 0. Let  $f \in X^*$  by RF representation theorem  $f(x) = \langle x, y \rangle$  for some  $y \in X$ . But note  $\langle x_n, y \rangle = \overline{y(n)}$  (the conjugate of the  $n$ 'th term in the sequence  $y$ ). Since  $y \in \ell_2(\mathbb{N})$  we must have  $y(n) \rightarrow 0$ . So for every  $f \in X^*$  we have

$f(x_n) \rightarrow f(0)$ , proving weak convergence to 0. But clearly we do not have convergence in norm, every  $x_n$  has norm one, and 0 has norm 0.

**c)** Given  $x$  (and Choice!) we can define an orthonormal basis  $(e_i)_{i \in I}$  for  $X$  where  $x = \alpha e_1$ . Then consider the functional  $f(y) = \langle y, e_1 \rangle$  which is bounded since it is given by an inner product. By thm 5.27 in Folland we have  $1 \geq \|x_n\|_X = \sqrt{\sum_{i \in I} |\langle x_n, e_i \rangle|^2} \geq |\langle x_n, e_1 \rangle|$ . And since  $f(x - x_n) \rightarrow 0$  we have  $|\alpha - \langle x_n, e_1 \rangle| \rightarrow 0$  so by the reverse triangle inequality  $||\alpha| - |\langle x_n, e_1 \rangle|| \rightarrow 0$ . So if  $\|x\|_X = |\alpha| > 1$  we could not have convergence since  $|\alpha| - |\langle x_n, e_1 \rangle| \geq |\alpha| - \|x_n\|_X = |\alpha| - 1 > 0$  would not go to zero. It follows  $x$  must have norm less than 1.