

# Mandatory Assignment 1

## Functional Analysis

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**Problem 1** Let  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be normed vector spaces over  $K$ , where  $K = \mathbb{R}$  or  $\mathbb{C}$ .

- (a) Let  $T: X \rightarrow Y$  be a linear map. Set  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$ , for all  $x \in X$ . We wish to show, that  $\|x\|_0$  is a norm on  $X$ .

If  $\|x\|_0$  is a norm on  $X$ , then the following holds

- $\|u + v\|_0 \leq \|u\|_0 + \|v\|_0, u, v \in X$ .
- $\|\alpha u\|_0 = |\alpha| \|u\|_0, \alpha \in K, u \in X$ .
- $\|u\|_0 = 0$  if and only if  $u = 0$ .

First, we check a) (the triangle inequality). For  $u, v \in X$  we have

$$\|u + v\|_0 = \|u + v\|_X + \|T(u + v)\|_Y.$$

Since  $T$  is linear, we have

$$\|u + v\|_0 = \|u + v\|_X + \|Tu + Tv\|_Y.$$

Since we know, that  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are normed vector spaces, we have

$$\|u + v\|_0 \leq \|u\|_X + \|v\|_X + \|Tu\|_Y + \|Tv\|_Y.$$

If we relocate the joints, we have

$$\|u + v\|_0 \leq \|u\|_X + \|Tu\|_Y + \|v\|_X + \|Tv\|_Y.$$

Thus, we have

$$\|u + v\|_0 \leq \|u\|_0 + \|v\|_0,$$

and the triangle inequality holds.

Now, we check b) (homogeneity). For  $u \in X$  we have

$$\|\alpha u\|_0 = \|\alpha u\|_X + \|T(\alpha u)\|_Y.$$

Since  $T$  is linear, we have

$$\|\alpha u\|_0 = \|\alpha u\|_X + \|\alpha Tu\|_Y.$$

Since we know, that  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are normed vector spaces, we have

$$\|\alpha u\|_0 = |\alpha| \|u\|_X + |\alpha| \|Tu\|_Y.$$

If we factorize, we have

$$\|\alpha u\|_0 = |\alpha| (\|u\|_X + \|Tu\|_Y).$$

Thus, we have

$$\|\alpha u\|_0 = |\alpha| \|u\|_0,$$

and the homogeneity holds.

At last, we check c) (positivity). For  $X \ni u = 0$  we have

$$\|0\|_0 = \|0\|_X + \|T(0)\|_Y.$$

Since  $T$  is linear, we have

$$\|0\|_0 = \|0\|_X + \|0\|_Y.$$

Since we know, that  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are normed vector spaces, we have

$$\|0\|_0 = 0 + 0.$$

Thus, we have

$$\|0\|_0 = 0.$$

For the converse, suppose that for  $u \in X$ , we have

$$\|u\|_0 = 0.$$

Hence,

$$\|u\|_X + \|Tu\|_Y = 0.$$

Since we know, that  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are normed vector spaces, and  $T$  is linear, we have

$$u = 0,$$

and the positivity holds.

Now, we wish to show, that the two norms  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent if and only if  $T$  is bounded. Since  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  for all  $x \in X$ , we have

$$\|\cdot\|_X \leq \|\cdot\|_0.$$

Suppose that  $T$  is bounded. Thus, there exists  $C$  with

$$\|\cdot\|_0 \leq C \|\cdot\|_X,$$

and the two norms are equivalent.

Suppose the two norms are equivalent. Then there exists  $C_1$  and  $C_2$  such that

$$C_1 \|\cdot\|_X \leq \|\cdot\|_0 \leq C_2 \|\cdot\|_X.$$

If  $\|\cdot\|_0 \leq C_2 \|\cdot\|_X$ , then  $T$  is bounded.

(b) We wish to show that any linear map  $T: X \rightarrow Y$  is bounded, if  $X$  is finite dimensional.

Assume that  $X$  is finite dimensional, and that  $\dim(X) = n$ . Then there exists a basis  $\{e_1, \dots, e_n\}$  of  $X$  such that every element of  $X$  is a linear combination of the form

$$x = \alpha_1 e_1 + \alpha_2 e_2 + \dots + \alpha_n e_n,$$

where  $\alpha_1, \dots, \alpha_n \in K$ .

For each  $x \in X$  we have that

$$\begin{aligned}\|Tx\|_Y &= \|T(\alpha_1 e_1 + \alpha_2 e_2 + \cdots + \alpha_n e_n)\|_Y \\ &= \|\alpha_1 T e_1 + \alpha_2 T e_2 + \cdots + \alpha_n T e_n\|_Y \\ &\leq \sum_{k=1}^n |\alpha_k| \|T e_k\|_Y.\end{aligned}$$

Define  $M$  as follows

$$M = \left( \sum_{k=1}^n \|T e_k\|^2 \right)^{\frac{1}{2}}.$$

Then by the Cauchy-Schwartz inequality we have that

$$\begin{aligned}\|Tx\|_Y &\leq \left( \sum_{k=1}^n |\alpha_k|^2 \right)^{\frac{1}{2}} \left( \sum_{k=1}^n \|T e_k\|_Y^2 \right)^{\frac{1}{2}} \\ &\leq \left( \sum_{k=1}^n |\alpha_k|^2 \right)^{\frac{1}{2}} \cdot M.\end{aligned}$$

From Theorem 1.6 (lecture notes) we have

$$\|Tx\|_Y \leq M \|x\|_*.$$

Since  $\|\cdot\|_X$  and  $\|\cdot\|_*$  are equivalent norms, by Definition 1.4 (lecture notes) there exists  $0 < C_1 \leq C_2 < \infty$  such that for every  $x \in X$  we have

$$C_1 \|x\|_X \leq \|x\|_* \leq C_2 \|x\|_X.$$

Hence, for every  $x \in X$  we have

$$\|Tx\|_Y \leq M C_2 \|x\|_X,$$

thus,  $T$  is bounded.

- (c) Suppose that  $X$  is infinite dimensional. We wish to show, that there exists a linear map  $T: X \rightarrow Y$ , which is not bounded.

Let  $(e_i)_{i \in \mathbb{N}}$  be an infinite Hamel basis for  $X$ . Pick  $y \neq 0$  in  $Y$ . Define  $T\left(\frac{e_i}{\|e_i\|}\right) = i \cdot y$  where  $T(X) = 0$  if  $x \notin \text{span}(\{e_i\})$ , and extend  $T$  linearly. This map is well-defined and linear since  $\left\{\frac{e_i}{\|e_i\|}\right\}_{i \in \mathbb{N}}$  is a linearly independent subset of  $X$ .

Since

$$\left\{ \frac{e_i}{\|e_i\|} \right\}_{i \in \mathbb{N}} \subseteq \{x \in X : \|x\| \leq 1\}$$

and

$$\sup_{\{x \in X : \|x\| \leq 1\}} \|T(x)\| \geq n \|y\| > 0$$

for each  $n \in \mathbb{N}$ ,  $T$  is not bounded.

- (d) Suppose again that  $X$  is infinite dimensional. We wish to argue that there exists a norm  $\|\cdot\|_0$  on  $X$  that is not equivalent to the given norm  $\|\cdot\|_X$ , and which satisfies  $\|x\|_X \leq \|x\|_0$ , for all  $x \in X$ .

Define  $T: X \rightarrow Y$  as above. We have  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  for all  $x \in X$ , thus

$$\|\cdot\|_X \leq \|\cdot\|_0,$$

for all  $x \in X$ .

Since  $T$  is not bounded there exists no  $0 < C < \infty$  such that  $\|\cdot\|_0 \leq C\|\cdot\|_X$ . Hence the two norms are not equivalent. This means, that the identity from  $(X, \|\cdot\|_X)$  to  $(X, \|\cdot\|_0)$  is not a homeomorphism. Thus, if they were both Banach spaces, the identity  $(X, \|\cdot\|_0)$  to  $(X, \|\cdot\|_X)$  would be open, by the open mapping theorem, but since the identity the other way around is not continuous, it is not. Hence,  $(X, \|\cdot\|_0)$  is not a Banach space if  $(X, \|\cdot\|_X)$  is.

- (e) We wish to give an example of a vector space  $X$  equipped with two inequivalent norms  $\|\cdot\|$  and  $\|\cdot\|'$  satisfying  $\|x\|' \leq \|x\|$  for all  $x \in X$ , such that  $(X, \|\cdot\|)$  is complete, while  $(X, \|\cdot\|')$  is not.

If we take  $(X, \|\cdot\|) = (\ell_1(\mathbb{N}), \|\cdot\|_1)$  and  $(X, \|\cdot\|') = (\ell_1(\mathbb{N}), \|\cdot\|_\infty)$ , where

$$\|x\|_1 = \sum_{i=1}^{\infty} |x_i|$$

and

$$\|x\|_\infty = \sup\{|x_i| : i \geq 1\}.$$

For all  $x \in X$  we have

$$\|x\|_\infty \leq \|x\|_1.$$

The two norms are inequivalent since there exists no  $0 < C < \infty$  such that  $\|\cdot\|_1 \leq C\|\cdot\|_\infty$ .  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is a Banach space and  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not.

**Problem 2** Let  $1 \leq p < \infty$  be fixed, and consider the subspace  $M$  of the Banach space  $((\ell_p(\mathbb{N}), \|\cdot\|_p))$ , considered as a vector space over  $\mathbb{C}$ , given by

$$M = \{(a, b, 0, 0, \dots) : a, b \in \mathbb{C}\}.$$

Let  $f: M \rightarrow \mathbb{C}$  be given by  $f(a, b, 0, 0, \dots) = a + b$ , for all  $a, b \in \mathbb{C}$ .

(a) We wish to show that  $f$  is bounded on  $(M, \|\cdot\|_p)$ .

$f$  is bounded if there exists some  $C > 0$  such that  $\|fx\|_p \leq C\|x\|_p$ .

Let  $x = (x_1, x_2, 0, 0, \dots) \in M$ . As  $\frac{1}{p} + \frac{1}{p-1} = 1$  we get by Hölders inequality that

$$\begin{aligned} |fx| &\leq |x_1| + |x_2| \\ &= \sum_{i=1}^2 |x_i \cdot 1| \\ &\leq \left( \sum_{i=1}^2 |x_i|^{\frac{1}{p}} \right) \left( \sum_{i=1}^2 |1|^{\frac{p}{p-1}} \right)^{1-\frac{1}{p}} \\ &\leq \left( \sum_{i=1}^2 |x_i|^{\frac{1}{p}} \right) \cdot 2^{1-\frac{1}{p}} \\ &= \|x\|_p \cdot 2^{1-\frac{1}{p}}. \end{aligned}$$

Thus,  $f$  is bounded on  $(M, \|\cdot\|_p)$ .

Now, we wish to compute  $\|f\|$ .

By the above we have for every  $1 \leq p < \infty$  that

$$|fx| \leq 2^{1-\frac{1}{p}} \cdot \|x\|_p.$$

Thus,  $2^{1-\frac{1}{p}} \in \{C > 0 : |fx| \leq C\|x\|_p\}$ , hence

$$\|f\| = \inf\{C > 0 : |fx| \leq C\|x\|_p\} \leq 2^{1-\frac{1}{p}}.$$

Now let  $x' = \left(\frac{1}{2^{\frac{1}{p}}}, \frac{1}{2^{\frac{1}{p}}}, 0, 0, \dots\right)$  then

$$\|x'\| = \left( \left| \frac{1}{2^{\frac{1}{p}}} \right|^p + \left| \frac{1}{2^{\frac{1}{p}}} \right|^p \right)^{\frac{1}{p}} = \left( \frac{1}{2} + \frac{1}{2} \right)^{\frac{1}{p}} = 1,$$

and since

$$|fx'| = \left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| = 2 \frac{1}{2^{\frac{1}{p}}} = 2^{1-\frac{1}{p}},$$

we have  $2^{1-\frac{1}{p}} \in \{|fx| : \|x\|_p = 1\}$ . Thus,

$$2^{1-\frac{1}{p}} \leq \sup\{|fx| : \|x\|_p = 1\} = \|f\|.$$

Hence, we can conclude  $\|f\| = 2^{1-\frac{1}{p}}$ .

- (b) We wish to show that if  $1 < p < \infty$ , then there is a unique linear functional  $F$  on  $\ell_p(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

Let  $1 < p < \infty$ . Since  $f \in M^*$ , we know by Corollary 2.6 (lecture notes), that there exists a linear functional  $F \in (\ell_p(\mathbb{N}))^*$ , such that  $F|_M = f$  and  $\|F\| = \|f\|$ .

By problem 5 in HW1, we know that if  $\frac{1}{p} + \frac{1}{q} = 1$ , then we have an isometric isomorphism

$(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$ . Hence, we may write  $F(x) = \sum_{n=1}^{\infty} x_n y_n$  for  $y = (y_n)_{n \geq 1} \in \ell_q(\mathbb{N})$  and  $x = (x_n)_{n \geq 1} \in \ell_p(\mathbb{N})$ .

By (a) we know that  $2^{\frac{1}{q}} = 2^{1-\frac{1}{p}} = \|f\| = \|F\|$ , and as  $F$  is represented by  $y \in \ell_q(\mathbb{N})$ , we must also have  $\|y\|_q = 2^{\frac{1}{q}}$ .

We see that  $F|_M(x) = f(x) = x_1 + x_2$  so  $y = (1, 1, y_3, y_4, \dots)$ . Furthermore we get that

$$\|y\|_q = \left( \sum_{i=1}^{\infty} |y_i|^q \right)^{\frac{1}{q}} = (|1|^q + |1|^q + |y_3|^q + \dots)^{\frac{1}{q}} = 2^{\frac{1}{q}}.$$

So this forces  $y_3, y_4, \dots = 0$  and we may conclude  $y = (1, 1, 0, 0, \dots)$ , whereas  $F(x) = x_1 + x_2$ .

Now assume that  $F' \in (\ell_p(\mathbb{N}))^*$  another linear functional, such that  $F'|_M = f$  and  $\|F'\| = \|f\|$ . Then we would get  $F'(x) = x_1 + x_2$  by the same argument as above. But this means  $F(x) = F'(x)$  which shows that a linear functional extending  $f$  and having equal operator norm is unique.

- (c) We wish to show that if  $p = 1$ , then there are infinitely many linear functionals  $F$  on  $\ell_1(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

Let  $p = 1$  and define  $F_i: \ell_1(\mathbb{N}) \rightarrow K$  given by  $(x_1, x_2, x_3, \dots) \mapsto x_1 + x_2 + x_i$  for  $i > 2$ . This is clearly a linear functional on  $\ell_1(\mathbb{N})$ . Furthermore, we see that  $F_i|_M(x) = x_1 + x_2 = f(x)$  for  $x \in M$ , hence an extension of  $f$ .

Now since  $F_i$  extends  $f$ , we must have that  $\|F_i\| \geq \|f\| = 2^{1-\frac{1}{1}} = 1$ , as supremum is true to inclusions. For the other inequality notice that per definition  $\|\cdot\|_1$  we have

$$\begin{aligned} \|F_i\|_1 &= \sup\{|F_i x| : \|x\|_1 = 1\} \\ &= \sup\{|x_1 + x_2 + x_i| : \|x\|_1 = 1\} \\ &\leq \sup\{|x_1| + |x_2| + |x_i| : \|x\|_1 = 1\} \\ &\leq 1. \end{aligned}$$

Thus, we have  $\|F_i\| = 1$ .

Hence  $F_i$  is a linear functional extending  $f$  and having equal operator norm, and since we would define  $F_i$  for any  $i > 2$ , we can conclude that there are infinitely many functionals on  $\ell_1(\mathbb{N})$  extending  $f$  and having equal operator norms.

**Problem 3** Let  $X$  be an infinite dimensional normed vector space over  $K$ , where  $K = \mathbb{R}$  or  $\mathbb{C}$ .

- (a) Let  $n \geq 1$  be an integer. We wish to show that no linear map  $F: X \rightarrow K^n$  is injective.  
Suppose  $F$  is injective. Let  $x_1, x_2, \dots, x_{n+1}$  be linearly independent in  $X$ .  
 $f(x_1), f(x_2), \dots, f(x_{n+1})$  linearly dependent in  $K^n$ . Thus, there exists  $\alpha_1, \alpha_2, \dots, \alpha_{n+1}$  not all zero such that

$$\sum_{i=1}^{n+1} \alpha_i F(x_{n+1}) = 0.$$

But since  $F$  is linear, we have

$$\sum_{i=1}^{n+1} \alpha_i F(x_{n+1}) = \sum_{i=1}^{n+1} F(\alpha_i x_{n+1}),$$

and  $x_1, x_2, \dots, x_{n+1}$  linearly independent in  $X$ , we have  $\alpha_1, \alpha_2, \dots, \alpha_{n+1} = 0$ , which is a contradiction.

- (b) Let  $n \geq 1$  be an integer and let  $f_1, f_2, \dots, f_n \in X^*$ . We wish to show that

$$\bigcap_{j=1}^n \ker(f_j) \neq \{0\}.$$

For  $n \geq 1$  let  $f_1, f_2, \dots, f_n \in X^*$ . If we consider the map  $F: X \rightarrow K^n$  given by

$$F(x) = (f_1(x), f_2(x), \dots, f_n(x)),$$

then

$$\ker f = \bigcap_{j=1}^n \ker f_j.$$

Thus, if  $\bigcap_{j=1}^n \ker f_j = \{0\}$  we would have that  $f$  is an injective linear map from an infinite-dimensional space  $X$  to a finite dimensional space  $K^n$ . This is a contradiction, hence  $\bigcap_{j=1}^n \ker f_j \neq \{0\}$ .

- (c) Let  $x_1, x_2, \dots, x_n \in X$ . We wish to show that there exists  $y \in X$  such that  $\|y\| = 1$  and  $\|y - x_j\| \geq \|x_j\|$  for all  $j = 1, 2, \dots, n$ .

For  $1 \leq j \leq n$  let  $f_j \in X^*$  be a bounded functional such that  $f_j(x_j) = \|x_j\|$  and  $\|f_j\| = 1$ , which exists by Theorem 2.7 (b) (lecture notes). Then the intersection of kernels

$$\bigcap_{j=1}^n \ker(f_j)$$

is a non-trivial subspace of  $X$ . Define a linear map  $f : X \rightarrow K^n$  as in (b). Then we know  $\bigcap_{j=1}^n \ker f_j \neq \{0\}$ .

Pick  $y \in \bigcap_{j=1}^n \ker f_j$  such that  $\|y\| = 1$  and notice

$$\|y - x_j\| = \|f_j\| \|y - x_j\| \geq |f_j(y - x_j)| = |f_j(y) - f_j(x_j)| = |1 - \|x_j\|| = \|x_j\|.$$

Thus,  $\|y - x_j\| \geq \|x_j\|$  for all  $j = 1, 2, \dots, n$ .

- (d) We wish to show that one cannot cover the unit sphere  $S = \{x \in X : \|x\| = 1\}$  with a finite family of closed balls in  $X$  such that none of the balls contains zero.
- (e) We wish to show that  $S$  is non-compact and deduce further that the closed unit ball in  $X$  is non-compact.

We can show, that  $S$  is non-compact, by constructing a sequence with Riesz's lemma, that has no convergent subsequence. Take the sequence of points  $(1, 0, 0, \dots), (0, 1, 0, \dots), (0, 0, 1, \dots) \dots$  on the unit sphere. This sequence has no convergent subsequence since the distance of any two points is  $\sqrt{2}$ . Hence,  $S$  is non-compact.



**Problem 4** Let  $L_1([0, 1], m)$  and  $L_3([0, 1], m)$  be the Lebesgue spaces on  $[0, 1]$ . We recall from HW2 that  $L_3([0, 1], m) \subsetneq L_1([0, 1], m)$ . For  $n \geq 1$ , define

$$E_n := \left\{ f \in L_1([0, 1], m) : \int_{[0,1]} |f|^3 dm \leq n \right\}.$$

- (a) Given  $n \geq 1$ , we wish to show, that the set  $E_n \subset L_1([0, 1], m)$  is not absorbing.

Let  $f \in L_1([0, 1], m) \setminus L_3([0, 1], m)$  and let  $t > 0$ . Then

$$\int_{[0,1]} |t^{-1}f|^3 dm = t^{-3} \int_{[0,1]} |f|^3 dm = \infty.$$

Thus,  $t^{-1}f \notin E_n$  for any  $t > 0$ , hence  $E_n$  is not absorbing.

- (b) We wish to show that  $E_n$  has empty interior in  $L_1([0, 1], m)$ , for all  $n \geq 1$ .

Assume for contradiction that there exists some  $n \geq 1$  such that  $E_n$  does not have empty interior, and let  $f_0 \in E_n$ . Then there exists an open ball  $B(f_0, r)$  around  $f_0$  such that

$B(f_0, r) \subseteq E_n$ . Let  $f \in L_1([0, 1], m)$  be arbitrary and define  $h := f_0 + \frac{r}{2\|f\|}f$ . Then

$$\|h - f_0\| = \left\| \frac{r}{2\|f\|}f \right\| = \frac{r}{2},$$

hence  $h \in B(f_0, r) \subseteq E_n$ . Thus, we have  $f_0, h \in E_n \subseteq L_3([0, 1], m)$  and since we can write  $f$  as a linear combination of elements in  $L_3([0, 1], m)$ , namely  $f = \frac{2\|f\|(h-f_0)}{r}$ , it follows by the fact that  $L_3([0, 1], m)$  is a vector space that  $f \in L_3([0, 1], m)$ . Thus, we have shown that  $L_3([0, 1], m) = L_1([0, 1], m)$  which is a contradiction, hence  $E_n$  must have empty interior.

- (c) We wish to show that  $E_n$  is closed in  $L_1([0, 1], m)$ , for all  $n \geq 1$ .

Let  $(f_k)_{k \geq 1} \subseteq E_n$  be a sequence such that  $f_k \rightarrow f$  as  $k \rightarrow \infty$  for some  $f \in L_1([0, 1], m)$ . We wish to show that  $f \in E_n$ . By corollary 2.32 (Folland) there exists a convergent subsequence

$(f_{k_q})_{q \geq 1}$  such that  $f_{k_q} \rightarrow f$  as  $q \rightarrow \infty$  pointwise almost everywhere. It follows that  $|f_{k_q}|^3 \rightarrow |f|^3$  pointwise almost everywhere since  $|\cdot|^3$  is continuous. By corollary 2.19 (Folland) it follows that

$$\begin{aligned} \int_{[0,1]} |f|^3 dm &\leq \liminf_{q \rightarrow \infty} \int_{[0,1]} |f_{k_q}|^3 dm \\ &\leq \liminf_{q \rightarrow \infty} n \\ &= n. \end{aligned}$$

Thus,  $f \in E_n$  and  $E_n$  is closed in  $L_1([0, 1], m)$ .

- (d) By (b) and (c) we have that  $\overline{E_n} = E_n$  and  $E_n$  has empty interior, hence  $E_n$  is nowhere dense and since  $L_3([0, 1], m) = \bigcup_n E_n$ , a countable union of nowhere dense sets, it follows that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .

**Problem 5** Let  $H$  be an infinite dimensional Hilbert space with associated norm  $\|\cdot\|$ , let  $(x_n)_{n \geq 1}$  be a sequence in  $H$ , and let  $x \in H$ .

- (a) Suppose that  $x_n \rightarrow x$  in norm, as  $n \rightarrow \infty$ . We wish to find out whether it follows that  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ , or not.

By the triangle inequality, we have

$$\|x\| = \|x - x_n + x_n\| \leq \|x - x_n\| + \|x_n\|$$

and

$$\|x_n\| = \|x_n - x + x\| \leq \|x - x_n\| + \|x\|.$$

Thus, we have

$$|\|x\| - \|x_n\|| \leq \|x - x_n\|.$$

Let  $\epsilon > 0$ . By the fact that  $x_n \rightarrow x$ , there exists  $n_\epsilon \in \mathbb{N}$  such that for  $n \geq n_\epsilon$  we have

$$|\|x\| - \|x_n\|| \leq \|x - x_n\| \leq \epsilon.$$

Thus, we have

$$\|x_n\| \rightarrow \|x\|$$

as  $n \rightarrow \infty$ .

- (b) Suppose that  $x_n \rightarrow x$  weakly, as  $n \rightarrow \infty$ . We wish to show that it does not follow, that  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ .

Consider the sequence  $(e_n)_{n \geq 1} \subseteq H$ , where  $(e_n)_{n \geq 1}$  is an orthonormal basis for  $H$ . Since we have  $\dim H = \infty$ , this basis exists, and we have  $\|e_n\| = 1$ . We wish to show that  $e_n \rightarrow 0$  weakly, as  $n \rightarrow \infty$ , that is, we need to show that  $f(e_n) \rightarrow f(0) = 0$  for all  $f \in H^*$ , as  $n \rightarrow \infty$ . Let  $f \in H^*$ . By Riesz's representation theorem there exists  $y \in H$  such that  $f(x) = \langle x, y \rangle$  for all  $x \in H$ . By Bessels inequality it follows that

$$\sum_{n \in \mathbb{N}} |\langle e_n, y \rangle|^2 < \infty.$$

Hence  $\sum_{n \in \mathbb{N}} |\langle y, e_n \rangle|^2$  converges and for all  $\epsilon > 0$  there exists some  $N \in \mathbb{N}$  such that

$$|f(e_n)| = |\langle e_n, y \rangle| < \epsilon$$

for all  $n \geq N$ . Thus  $e_n \rightarrow 0$  weakly as  $n \rightarrow \infty$  and  $\|0\| = 0 \neq 1 = \|e_n\|$ . Hence, it doesn't follow, that  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ .

- (c) Suppose that  $\|x_n\| \leq 1$  for all  $n \geq 1$ , and that  $x_n \rightarrow x$  weakly, as  $n \rightarrow \infty$ . Using Theorem 5.7 (lecture notes) from the lecture notes, we wish to show that  $\|x\| \leq 1$ . Let  $A$  be the set of  $x_n \in H$  such that  $\|x\| \leq 1$ . Then  $A$  is convex and closed. Since  $x_n \rightarrow x$  weakly, we have that  $x \in \bar{A}^{\tau w}$ . By Theorem 5.7 (lecture notes) we have that  $\bar{A}^{\tau w} = \bar{A}^{\|\cdot\|} = A$ . Thus,  $x \in A$  and  $\|x\| \leq 1$ .