

## Problem 1

a)

To show  $\|\cdot\|_0$  is a norm, we just need to show the three conditions from **definition 1.1**, as we clearly see its a function defined on vektor space, into the positive real line:

a) For  $x, y \in X$  we have

$$\begin{aligned}\|x + y\|_0 &= \|x + y\|_X + \|T(x + y)\|_Y = \|x + y\|_X + \|T(x) + T(y)\|_Y \leq \\ &\|x\|_X + \|y\|_X + \|T(x)\|_Y + \|T(y)\|_Y = \|x\|_0 + \|y\|_0\end{aligned}$$

where we have used that  $T$  is linear and that  $\|\cdot\|_X$  and  $\|\cdot\|_Y$ , are norms and therefore satisfies the triangle inequality.

b) For  $x \in X$  and  $a \in \mathbb{K}$  we have

$$\|ax\|_0 = \|ax\|_X + \|T(ax)\|_Y = |a|\|x\|_X + |a|\|T(x)\|_Y = |a|\|x\|_0$$

where we again have used the  $T$  is linear, and that  $\|\cdot\|_X$  and  $\|\cdot\|_Y$  are norms.

c) For  $x \in X$  we have

$$\|x\|_0 = \|x\|_X + \|T(x)\|_Y \Leftrightarrow x = 0$$

where we have used that  $T(0) = 0$  as it is linear, and that  $\|\cdot\|_X$  and  $\|\cdot\|_Y$  are norms.

Assume first that the two norms  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent, and let us show  $T$  is bounded. From assumption we have that there exists  $C > 0$  such that:

$$\|x\|_0 \leq C\|x\|_X \Leftrightarrow \|x\|_X + \|T(x)\|_Y \leq C\|x\|_X \Leftrightarrow \|T(x)\|_Y \leq (C - 1)\|x\|_X$$

But actually we have that  $C > 1$ , since we know  $1 \cdot \|x\|_X \leq \|x\|_0$  as  $\|T(x)\|_Y \geq 0$ . Hence  $C - 1 > 0$  and  $T$  fulfills the definition of being bounded.

Assume now that  $T$  is bounded with the intent to prove that  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent. Notice as before that  $1 \cdot \|x\|_X \leq \|x\|_0$ , as  $\|T(x)\|_Y \geq 0$ . Further as  $T$  is bounded we can find  $C > 0$  such that  $\|T(x)\|_Y \leq C\|x\|_X$ , and have for  $x \in X$ :

$$1 \cdot \|x\|_X \leq \|x\|_0 = \|x\|_X + \|T(x)\|_Y \leq \|x\|_X + C\|x\|_X = (1 + C)\|x\|_X$$

hence the norms are equivalent.

b)

Given any linear map  $T : X \rightarrow Y$ , we can define a norm on  $X$  by:  $\|x\|_0 = \|x\|_X + \|T(x)\|_Y$ , for any  $x \in X$ . As  $X$  is finite dimensional it follows from **theorem 1.6** that any two norms on  $X$  are equivalent. Hence  $\|\cdot\|_X$  and  $\|x\|_0$  are equivalent, and it follows from a) that  $T$  is bounded.

c)

Note that for any infinite index set  $I$ , we can find a surjective function  $f : I \rightarrow \mathbb{N}$ , as  $\text{card}(I) \geq \text{card}(\mathbb{N})$ . Now for a fixed non-zero  $y \in Y$  consider a family  $(y_{f(i)})_{i \in I}$  in  $Y$ , with the property that  $y_{f(i)} = f(i) \cdot y$  for all  $i \in I$ . We can now use the hint to say  $X$  admits a Hamel basis, meaning, there exists a family  $(e_i)_{i \in I}$  in  $X$ , with  $\|e_i\|_X = 1$  for all  $i \in I$ , and a linear map  $T : X \rightarrow Y$ , satisfying  $T(e_i) = y_{f(i)} = f(i) \cdot y$  for all  $i \in I$ . But we see that  $\|T(e_i)\|_Y = f(i) \cdot \|y\|_Y$ , can be made arbitrarily large as  $f(i)$  is surjective into  $\mathbb{N}$  and  $y \neq 0$ . But  $\|e_i\|$  is always equal to 1, and hence  $T$  cannot be bounded.

d)

Take a linear map  $T : X \rightarrow Y$  which is not bounded, as we know such map exists from c). Define again the now well known norm on  $X$ :  $\|x\|_0 = \|x\|_X + \|T(x)\|_Y$ , for any  $x \in X$ . Since  $T$  is not bounded we know these norms are not equivalent from a), and further  $\|\cdot\|_X \leq \|\cdot\|_0$  as  $\|\cdot\|_Y \geq 0$ .

From **problem 1 HW3** it follows that if both  $(X, \|\cdot\|_X)$  and  $(X, \|\cdot\|_0)$  are complete, and  $\|\cdot\|_X \leq \|\cdot\|_0$  then the norms are equivalent. But since we know  $\|\cdot\|_X \leq \|\cdot\|_0$  and that they are *not* equivalent, we can not have  $(X, \|\cdot\|_0)$  being complete, if  $(X, \|\cdot\|_X)$  is.

e)

Take the space  $\ell_1(\mathbb{N})$ , equipped with the two norms  $\|\cdot\|_1$  and  $\|\cdot\|_\infty$ . From An2 we know that  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is complete, and further we know  $\|\cdot\|_\infty \leq \|\cdot\|_1$ . These two norms are not equivalent. Consider for an example the sequence  $(x_n)_{n \in \mathbb{N}} \subset \ell_1(\mathbb{N})$ , where:

$$x_n(k) = \begin{cases} \frac{1}{k} & \text{if } k \leq n \\ 0 & \text{else} \end{cases}$$

We see  $\|x_n\|_\infty = 1$  for all  $n \in \mathbb{N}$ , but  $\|x_n\|_1$  can be arbitrarily large, as  $\sum_{k=1}^{\infty} \frac{1}{k} \rightarrow \infty$  as  $n \rightarrow \infty$ , hence they are not equivalent norms.

We now wish to show that  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete. Consider again the same  $(x_n)_{n \in \mathbb{N}} \subset \ell_1(\mathbb{N})$  sequence as before. We see that this sequence is Cauchy, as for any  $n, m \in \mathbb{N}$  we have

$\lim_{n, m \rightarrow \infty} \|x_n - x_m\|_\infty = \lim_{n, m \rightarrow \infty} \frac{1}{n+1} = 0$ , where we without loss of generality have assumed  $n < m$ . But for the sequence  $(a_k)_{k \in \mathbb{N}} = \frac{1}{k}$  (which is not in  $\ell_1(\mathbb{N})$ ), we have  $\lim_{n \rightarrow \infty} \|x_n - a_k\|_\infty = \lim_{n \rightarrow \infty} \frac{1}{n+1} = 0$ , meaning  $(x_n)_{n \in \mathbb{N}}$  is not convergent in  $\ell_1(\mathbb{N})$ .

## Problem 2

a)

We want to show  $f$  satisfies **Proposition 1.10 (3)**. Observe that for  $m = (a, b, 0, 0, \dots) \in M$  we have

$$\|f(m)\|^p = |a + b|^p \leq 2^p \max\{|a|^p, |b|^p\} \leq 2^p(|a|^p + |b|^p) = 2^p \|m\|_p^p$$

hence we have for all  $m \in M$  that

$$\|f(m)\| \leq 2 \|m\|_p$$

and  $f$  is bounded.

In order to compute  $\|f\|$  observe first that for  $m = ((\frac{1}{2})^{1/p}, (\frac{1}{2})^{1/p}, 0, 0, \dots) \in M$  we have  $\|m\|_p = (\frac{1}{2} + \frac{1}{2})^{1/p} = 1$  and  $\|f(x)\| = (\frac{1}{2})^{1/p} + (\frac{1}{2})^{1/p} = 2 \cdot (\frac{1}{2})^{1/p}$ . Hence  $\|f\| \geq 2 \cdot (\frac{1}{2})^{1/p}$ .

In order to prove the reverse inequality, observe first that for any element  $m = (a, b, 0, \dots) \in M \subset \ell_p(\mathbb{N})$ , and  $x = (1, 1, 0, \dots) \in \ell_q(\mathbb{N})$ , where  $\frac{1}{p} + \frac{1}{q} = 1$ , then we have by Hölders inequality (**Schilling Theorem 13.2**)

$$|a + b| = \sum_{n=1}^{\infty} |m_n x_n| \leq \|m\|_p \cdot \|x\|_q = \|m\|_p \cdot (1 + 1)^{\frac{1}{q}} = \|m\|_p \cdot 2^{\frac{p-1}{p}} = \|m\|_p \cdot 2 \cdot (\frac{1}{2})^{\frac{1}{p}}$$

and actually this holds even when  $p = 1$ , where we then use norm  $\infty$ -norm in place of our  $q$ -norm, and get the same inequality.

But now it follows

$$\|f\| = \sup\{|a + b| : \|m\|_p = 1\} \leq 2 \cdot (\frac{1}{2})^{\frac{1}{p}}$$

and we can conclude  $\|f\| = 2 \cdot (\frac{1}{2})^{\frac{1}{p}}$

**b)**

Note that whenever  $1 < p < \infty$ , and  $\frac{1}{p} + \frac{1}{q} = 1$ , then we know from **Problem 5 HW1** that  $(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$ . This means that we bijectively can identify every element  $x \in \ell_q(\mathbb{N})$ , with a functional  $f_x : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  given by

$$f_x(y) = \sum_{n=1}^{\infty} x_n y_n, \quad \text{for fixed } (x_n)_{n \in \mathbb{N}} \in \ell_q(\mathbb{N}), \text{ and for all } (y_n)_{n \in \mathbb{N}} \in \ell_p(\mathbb{N})$$

and further  $\|f_x\| = \|x\|_q$ , for every  $x \in \ell_q(\mathbb{N})$ . Now let us choose an  $x$ , such that  $f_x$  extends  $f$  and has  $\|f_x\| = \|f\|$ . (This exists from Hahn-Banach extension). Consider  $x = (1, 1, 0, 0, \dots) \in \ell_q(\mathbb{N})$ , and observe that for any  $m \in M$  we have  $f_x(m) = a + b = f(m)$ , and further  $\|f_x\| = \|x\|_q = 2^{\frac{1}{q}} = 2 \cdot (\frac{1}{2})^{\frac{1}{p}} = \|f\|$ .

But actually this is the only  $x \in \ell_q(\mathbb{N})$  satisfying this construction. Since in order for  $f_x$  to extend  $f$  the first two terms of the  $x$  sequence must be 1. But the rest of the sequence must be 0's, as otherwise  $\|f_x\| = \|x\|_q > \|1 + 1\|_q = \|f\|$ . Hence our  $x = (1, 1, 0, 0, \dots)$  was the unique  $x \in \ell_q(\mathbb{N})$  giving a linear functional on  $\ell_p(\mathbb{N})$ , with the desired properties, hence this functional is unique.

**c)**

Similarly as in b) we know from **Problem 5 HW1** that  $(\ell_1(\mathbb{N}))^* \cong \ell_{\infty}(\mathbb{N})$ . Consider now the sequence  $(y_n)_{n \in \mathbb{N}} \subset \ell_{\infty}(\mathbb{N})$ , where  $y_n = (1, 1, 0, \dots, 0, 1, 0, \dots)$  has two 1's in the beginning and then a 1 on the  $n$ 'th place. Each of the functionals  $f_{y_n}$  on  $\ell_1(\mathbb{N})$ , extends  $f$  on  $M$  from similarly arguments as in b), and further for all  $n \in \mathbb{N}$  we have:  $\|f_{y_n}\| = \|y_n\|_{\infty} = 1 = \|f\|$  (as here  $p = 1$ ). Hence there exists infinitely many functionals on  $\ell_1(\mathbb{N})$  with the desired properties.

## Problem 3

**a)**

Given  $n \geq 1$  consider a Hamel base for  $F$   $(e_i)_{i \in \mathbb{N}}$ , and the restriction of  $F$  to  $\text{span}\{e_1, e_2, \dots, e_{n+1}\}$  given by  $F' : \text{span}\{e_1, e_2, \dots, e_{n+1}\} \rightarrow \mathbb{K}^n$ , where  $F' = F$ . We know the general finite dimension formula:

$\dim(f) = \dim(\ker(f)) + \dim(\text{im}(f))$ , which in our case of  $F'$  amounts to

$$n + 1 = \dim(F') = \dim(\ker(F')) + \dim(\text{im}(F'))$$

and as  $\dim(\text{im}(F')) \leq n$ , this means  $\dim(\ker(F')) \geq 1$ , hence  $F'$  is not injective and therefore  $F$  cannot be either.

**b)**

Consider the map  $F : X \rightarrow \mathbb{K}^n$  given by  $F(x) = (f_1(x), f_2(x), \dots, f_n(x))$  for  $x \in X$ . This map is linear, as each of  $f_j, j = 1, \dots, n$  is linear. Hence we know from a) that  $F$  is not injective, meaning its kernel contains an element different from 0, and we get

$$\bigcap_{j=1}^n \ker(f_j) = \{x \in X \mid f_1(x) = f_2(x) = \dots = f_n(x) = 0\} = \ker F \neq \{0\}$$

as desired.

**c)**

The claim holds for any  $y \in X$  with  $\|y\| = 1$ , if  $x_j = 0$ , so for a start assume none of  $x_1, x_2, \dots, x_n \in X$  are zero. From 2.7(b) we get that there exists  $f_1, \dots, f_n \in X^*$  such that for any  $j = 1, \dots, n$  we have  $\|f_j\| = 1$  and  $f_j(x_j) = \|x_j\|$ .

From b) we know we can take  $y' \in \bigcap_{j=1}^n \ker f_j$  where  $y' \neq 0$ , and observe now that  $y = \frac{y'}{\|y'\|}$  is in  $\bigcap_{j=1}^n \ker f_j$  and has  $\|y\| = 1$ . We can now use that  $f_j$  is bounded to see:

$$\|y - x_j\| = \|y - x_j\| \|f_j\| \geq \|f_j(y - x_j)\| = \|f_j(y) - f_j(x_j)\| = \| - f_j(x_j) \| = \|x_j\|$$

for any  $j = 1, \dots, n$ .

**d)**

Assume for contradiction that we have a family of closed balls in  $X$  covering  $S$ , and not containing 0:  $(\overline{B(x_i, r_i)})_{i \in I}$ , where  $x_i \in X, i \in I$  and  $r_i \in \mathbb{K}, i \in I$ , for some finite index set  $I$ . As none of the balls contain 0, it must hold that  $\|x_i\| > r_i$  for all  $i \in I$ . But if we take a  $y \in X$  as in c), we know that  $\|y - x_i\| \geq \|x_i\| > r_i$  for all  $i \in I$ , but this means that  $y$  is not contained in any of the balls in our family. This is a contradiction as  $\|y\| = 1 \Rightarrow y \in S$ , which the family of balls is supposed to cover. We conclude the unit sphere cannot be covered with a finite family of closed balls not containing 0.

**e)**

Assume for contradiction that  $S$  was compact. Then we can take an open subcover of  $S$ , given by:  $\bigcup_{x \in S} B(x, \frac{1}{2})$ , and since  $S$  is compact, this can be thinned to a finite open cover  $\bigcup_{x \in I} B(x, \frac{1}{2})$ , which still contains  $S$  and where  $I$  is some finite set. But then we must have that  $S \subset \bigcup_{x \in I} \overline{B(x, \frac{1}{2})}$ , which we know from d) is a contradiction as  $\bigcup_{x \in I} \overline{B(x, \frac{1}{2})}$  does not contain 0. Hence  $S$  cannot be compact.

Now it follows from **Folland proposition 4.22**, that the closed unit ball in  $X$  cannot be compact, as  $S$  is a closed subset of the closed unit ball, and hence  $S$  would be compact if the closed unit ball was.

## Problem 4

a)

Consider  $f : [0, 1] \rightarrow \mathbb{R}$ , given by

$$f(x) = \begin{cases} x^{-1/3} & x \in (0, 1] \\ 0 & x = 0 \end{cases}$$

this is an element in  $L_1([0, 1], m)$  as  $\int_{[0,1]} f(x) dm(x) = 3/2 < \infty$ .

But for any  $a > 0$  we have

$$\int_{[0,1]} |af(x)|^3 dm(x) = a^3 \int_{[0,1]} |f(x)|^3 dm(x) = \infty$$

so given an  $n$ , we can never scale  $f$  to be in  $E_n$ , hence  $E_n$  is not absorbing.

b)

We start off by noticing that for any  $n \geq 1$  we have  $E_n \subset L_3([0, 1], m)$ , hence it suffices to show that  $L_3([0, 1], m)$  has empty interior in  $L_1([0, 1], m)$ . Assume for contradiction that  $L_3([0, 1], m)$  has non-empty interior in  $L_1([0, 1], m)$ . Then there exists an element  $g \in L_3([0, 1], m)$ , where we can choose  $\epsilon > 0$  so small that  $B(g, \epsilon) \subset L_3([0, 1], m)$ . Now for any  $f \in L_1([0, 1], m)$  we have  $h = g + \frac{\epsilon}{2} \frac{f}{\|f\|_1} \in B(g, \epsilon)$ , since  $\|g - h\|_1 = \frac{\epsilon}{2} \|\frac{f}{\|f\|_1}\|_1 = \frac{\epsilon}{2} < \epsilon$ .

But since  $L_3([0, 1], m)$  is a subspace, this implies  $f = \frac{2}{\epsilon \|f\|_1} (h - g) \in L_3([0, 1], m)$ . But if this holds for any  $f \in L_1([0, 1], m)$  it contradicts the fact that  $L_3([0, 1], m)$  is a proper subset of  $L_1([0, 1], m)$ . Hence we conclude  $L_3([0, 1], m)$  must have empty interior, and further  $E_n$  must have empty interior.

c)

We want to show  $E_n$  is closed in  $L_1([0, 1], m)$ , so we start off by taking a sequence  $(f_k)_{k \in \mathbb{N}} \subset E_n$ , where  $\lim_{k \rightarrow \infty} \|f_k - f\|_1 = 0$ , and wish to show that  $f \in E_n$ . Note first that  $\lim_{k \rightarrow \infty} \|f_k - f\|_1 = 0$  implies there is a subsequence  $(f_{k_i})_{i \in \mathbb{N}}$  which converges pointwise almost everywhere to  $f$  (**Schilling Corollary 13.8**). Then we get by Fatou's lemma (**Schilling Theorem 9.11**)

$$\int_{[0,1]} |f|^3 dm = \int_{[0,1]} \liminf_{i \rightarrow \infty} |f_{k_i}|^3 dm \leq \liminf_{i \rightarrow \infty} \int_{[0,1]} |f_{k_i}|^3 dm \leq n$$

hence  $f \in E_n$ , hence  $A_n$  is closed.

d)

We have shown in b) combined with c), that for any  $n \geq 1$   $E_n$  is nowhere dense, as it has no interior points and is equal to its closure. Further we see that  $\bigcup_{n=1}^{\infty} E_n = L_3([0, 1], m)$ , as for any  $f \in L_3([0, 1], m)$ , we can find an  $n$  large enough that  $f \in E_n$ . Now we can conclude from **definition 3.12 (ii)** that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .

## Problem 5

a)

The statement is *true*. As  $x_n \rightarrow x$  it follows from the reverse triangle inequality that

$$0 \leq ||x_n|| - ||x|| \leq ||x_n - x|| \rightarrow 0$$

as  $n \rightarrow \infty$ , implying  $||x_n|| \rightarrow ||x||$ .

b)

The statement is *false*, counterexample: Consider an orthonormal basis  $(e_n)_{n \in \mathbb{N}}$ , which we know exists since  $H$  is separable. We see that  $||e_n|| = 1$  for all  $n \in \mathbb{N}$  and hence  $||e_n|| \rightarrow 1$  as  $n \rightarrow \infty$ . We now wish to show that  $e_n \rightarrow 0$  weakly. From **Problem 2 HW4** we know that this is equivalent to showing  $f(e_n) \rightarrow f(0) = 0$  for every  $f \in H^*$ . Recall **Problem 1 HW2** - Riesz representation theorem, which gives us that for every  $f \in H^*$  there exists  $y \in H$  such that  $f(x) = \langle x, y \rangle$  for any  $x \in H$ . Recall further that from Bessels inequality (**Schilling Theorem 26.19**), that for any  $y \in H$ , and a orthonormal basis  $(e_n)_{n \in \mathbb{N}}$

$$\sum_{n=1}^{\infty} |\langle y, e_n \rangle|^2 \leq ||y||^2$$

and since  $||y|| < \infty$  this means  $|\langle y, e_n \rangle|^2 \rightarrow 0$ , and further  $\overline{\langle y, e_n \rangle} \rightarrow 0$  as  $n \rightarrow \infty$ . We now see that for any  $f \in H^*$

$$f(e_n) = \langle e_n, y \rangle = \overline{\langle y, e_n \rangle} \rightarrow 0 = f(0),$$

as we set out to prove.

c)

The statement is *true*. If  $x = 0$  the statement holds trivially, so assume first that  $x \neq 0$ . Then from **Theorem 2.7 (b)** we know there exists  $f \in H^*$  such that  $||f|| = 1$  and  $f(x) = ||x||$ . Further we know from **Problem 2 HW4**, that  $x_n \rightarrow x$  weakly, implies  $f(x_n) \rightarrow f(x)$  for any  $f \in H^*$ , and in particular for our chosen  $f$ . Now we see that

$$||x|| = |f(x)| = \liminf_{n \rightarrow \infty} |f(x_n)| \leq \liminf_{n \rightarrow \infty} ||f|| ||x_n|| = \liminf_{n \rightarrow \infty} ||x_n|| \leq \liminf_{n \rightarrow \infty} 1 = 1$$

where we have used the standard inequality for bounded linear maps (equation (1.8) in the notes).