

# Mandatory assignment - FunkAn

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# Problem 1

a)

To show that  $\|\cdot\|_0$  is a norm on  $X$ , we show that it satisfies all the conditions of definition 1.1 in the lecture notes.

$$\begin{aligned}\|x+y\|_0 &= \|x+y\|_X + \|T(x+y)\|_Y = \|x+y\|_X + \|Tx+Ty\|_Y \leq \|x\|_X + \|y\|_X + \|Tx\|_Y + \|Ty\|_Y \\ &= (\|x\|_X + \|Tx\|_Y) + (\|y\|_X + \|Ty\|_Y) = \|x\|_0 + \|y\|_0\end{aligned}$$

for  $x, y \in X$ . The inequality holds because  $\|\cdot\|_X$ , and  $\|\cdot\|_Y$  are norms on  $X$  and  $Y$  respectively, and since  $T : X \rightarrow Y$  then we have  $Tx, Ty \in Y$ , for  $x, y \in X$ . Now we've shown that the first condition is satisfied.

Now we show that the second condition is satisfied:

$$\|\alpha x\|_0 = \|\alpha x\|_X + \|T\alpha x\|_Y = \|\alpha x\|_X + \|\alpha Tx\|_Y = |\alpha|\|x\|_X + |\alpha|\|Tx\|_Y = |\alpha|(\|x\|_X + \|Tx\|_Y) = |\alpha|\|x\|_0$$

For  $\alpha \in \mathbb{K}$  and  $x \in X$ . Now we've shown the second condition.

Now we're gonna show that the third condition is satisfied. we assume  $0 = \|x\|_0 = \|x\|_X + \|Tx\|_Y \Leftrightarrow \|x\|_X = -\|Tx\|_Y$ , and the only way this is possible is if  $\|x\|_X = -\|Tx\|_Y = 0$ , because  $\|x\|_X \geq 0$  per definition, and since we have  $\|\cdot\|_X$  is a norm on  $X$ , we have  $\|x\|_X = 0 \Leftrightarrow x = 0$ , so now we've shown  $\|x\|_0 = 0 \Leftrightarrow x = 0$ . So now we've shown that the third condition is satisfied. So now we've shown that  $\|\cdot\|_0$  is a norm on  $X$ .

Now we want to show  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent  $\Leftrightarrow T$  is bounded. We start by showing  $\Rightarrow$ . We assume  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent, so we have from definition 1.4 from the lecture notes that there exists  $0 < C_1 < C_2$  such that:

$$C_1\|x\|_X \leq \|x\|_0 \leq C_2\|x\|_X$$

We have

$$\|x\|_0 \leq C_2\|x\|_X \Leftrightarrow \|x\|_X + \|Tx\|_Y \leq C_2\|x\|_X \Leftrightarrow \|Tx\|_Y \leq C_2\|x\|_X - \|x\|_X \leq C_2\|x\|_X$$

So there exists  $C = C_2 > 0$  such that  $\|Tx\|_Y \leq C\|x\|_X$  for all  $x \in X$ , so  $T$  is bounded. Now we're gonna show the converse statement  $\Leftarrow$ . We assume  $T$  is bounded, and we want to show that the two norms  $\|\cdot\|_X$ , and  $\|\cdot\|_0$  are equivalent, i.e we want to show that there exists  $0 < C_1 \leq C_2 < \infty$  such that:

$$C_1\|x\|_X \leq \|x\|_0 \leq C_2\|x\|_X \quad , x \in X$$

Since we have that  $T$  is bounded then we have that there exists  $C > 0$  such that  $\|Tx\|_Y \leq C\|x\|_X$ , so we have:

$$\begin{aligned} \|x\|_0 &= \|x\|_X + \|Tx\|_Y \leq \|x\|_X + C\|x\|_X = (C+1)\|x\|_X \\ \|x\|_X &= \|x\|_0 - \|Tx\|_Y \leq \|x\|_0 \end{aligned}$$

We have the inequality because  $\|Tx\|_Y \geq 0$  So we have that there exists  $0 < C_1 \leq C_2 < \infty$ , such that

$$C_1\|x\| \leq \|x\|_0 \leq C_2\|x\|_X$$

Where  $C_1 = 1$ , and  $C_2 = C + 1$ , where  $C > 0$ , so we have from definition 1.4 in the lecture notes that  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent norms on  $X$ .

b)

If we have that  $X$  is finite dimensional, then we have that any two norms on  $X$  are equivalent by theorem 1.8 in the lecture notes, so  $\|\cdot\|_X$  and  $\|\cdot\|_0$  may be equivalent norms, since they are both norms on  $X$ , and then we have from problem 1a, that  $T$  is bounded.

c)

We assume that  $X$  is infinite, and we want to show that there exists a linear map  $T : X \rightarrow Y$  which is not bounded. Since we have assumed that  $X$  is infinite we have as a consequence of Zorn's lemma that  $X$  admits a Hamel basis defined as  $B_x = (e_i)_{i \in I}$  where  $I$  is an index set and  $e_i$  for  $i \in I$  are elements in  $X$ . We now define a linear map  $T : X \rightarrow Y$ , and shows that it is not bounded. We let every element in  $X$  be normalized so we have:

$$T\left(\frac{e_i}{\|e_i\|}\right) = i \cdot y$$

For  $0 \neq y \in Y, i \in \mathbb{N}$ , where  $y$  is fixed. if we have that  $i \notin \mathbb{N}$ , then we let:

$$T\left(\frac{e_i}{\|e_i\|}\right) = 0$$

This is well-defined because we have that  $\left\{\frac{e_i}{\|e_i\|}\right\} \subseteq X$  is linearly independent, which is because  $\left\{\frac{e_i}{\|e_i\|}\right\}$  in  $B_X$

$$\left\{\frac{e_i}{\|e_i\|}\right\}_{i \in I} \subseteq \{x \in X : \|x\| \leq 1\} = A$$

So we have:

$$T\left\{\frac{e_i}{\|e_i\|}\right\}_{i \in I} \subseteq TA$$

So we'll have:

$$0 < \|Ty\| \leq \sup_{x \in A} \|Tx\|$$

For each  $i \in I$ , so there exists a linear map  $T : X \rightarrow Y$  which is not bounded.

d)

We assume again  $X$  is infinite, then we have from problem 1c, that there exists a linear map  $T : X \rightarrow Y$  which is not bounded, and then we have from problem 1a that there exists a norm  $\|\cdot\|_0$  on  $X$  which is not equivalent to the given norm  $\|\cdot\|_X$ . We have that this norm  $\|\cdot\|_X$  satisfies:

$$\|x\|_X \leq \|x\|_X + \|Tx\|_Y = \|x\|_0$$

for all  $x \in X$ . we have the inequality because  $\|Tx\|_Y \geq 0$ .

We have that  $\|\cdot\|_0$ , and  $\|\cdot\|_X$  are norms on the vector space  $X$  such that  $\|\cdot\|_X \leq \|\cdot\|_0$ . So we have from HW 3 problem 1, that since the two norms aren't equivalent, then  $X$  can't be complete with respect to both norms. So if we have that  $(X, \|\cdot\|_X)$  is a Banach space, then  $(X, \|\cdot\|_X)$  is complete, so we have that  $(X, \|\cdot\|_0)$  can't be complete.

e)

We set  $X = \ell_1(\mathbb{N})$  equipped with the 2 norms  $\|\cdot\|_1$ -norm and  $\|\cdot\|_\infty$ . We start by showing that these two norms are inequivalent. We do this by taking a finite sequence

$(y_n)_{n \in \mathbb{N}} \subset \ell_1(\mathbb{N})$ . we then have:

$$\|y\|_1 = \sum_{i=1}^n |y_i| \geq \max_{i=1, \dots, n} \{|y_i|\} = \|y\|_\infty$$

We want to show that the two norms are inequivalent, so we want to look at a sequence  $(a_n)_{n \in \mathbb{N}}$  for which it holds that there does not exist a  $C > 0$  such that  $\|a_n\|_1 \leq C\|a_n\|_\infty$ . We look at the sequence:

$$(a_n)_{n \in \mathbb{N}} = (a_1, \dots, a_k, 0, 0, \dots, 0) = (1, 1, \dots, 1, 0, 0, \dots, 0)$$

We then have that :

$$\|a_n\|_1 = \sum_{i=1}^k |1| = \sum_{i=1}^k 1 = k$$

And we have that:

$$\|a_n\|_\infty = \max_{i \in \mathbb{N}} \{|a_i|\} = 1$$

And we have that we can for every  $C > 0$  find a  $k > C$ , so there does not exist a  $C > 0$  such that  $\|a_n\|_1 \leq C\|a_n\|_\infty$ , hence  $\|\cdot\|_1$  and  $\|\cdot\|_\infty$  are inequivalent norms.

From the Riesz-Fischer theorem we have that  $(\ell_p(\mathbb{N}), \|\cdot\|_p)$  is a Banach space for  $1 \leq p < \infty$ , so we have  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is a Banach space, and Banach space is complete, so  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is complete.

Now we want to show that  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete, so we find a cauchy sequence which has points in  $\ell_1(\mathbb{N})$ , but it's limit is not in  $\ell_1(\mathbb{N})$ . We look at the sequence of sequences,  $(y_n(k))_{n \in \mathbb{N}}$ . Where we have that  $y_n(k) = \frac{1}{k}$  when  $1 \leq k \leq n$ , and  $y_n(k) = 0$  when  $k > n$ . Since we have that  $y_n(k)$  is finite wrt  $\|\cdot\|_1$  for all n for each k, then we have that  $(y_n(k))_{n \in \mathbb{N}} \subseteq \ell_1(\mathbb{N})$  for all n and k. We claim that  $y(k) = \frac{1}{k}$  for all  $k \in \mathbb{N}$ , and we show this:

$$\|y_n(k) - y(k)\|_\infty = \max_{n \in \mathbb{N}} \{|y_n(k) - y(k)|\} = \left| \frac{1}{n+1} \right| \rightarrow 0$$

So we have that  $(y_n(k))_{n \in \mathbb{N}}$  is a Cauchy sequence wrt  $\|\cdot\|_\infty$ -norm. But since we have that  $\sum_{n=1}^{\infty} \left| \frac{1}{n+1} \right| \rightarrow \infty$ , then we have that  $y(k) \notin \ell_1(\mathbb{N})$ , so we have that  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete.

## Problem 2

a)

To show that  $f$  is bounded, we want to start by showing that  $f$  is linear. We let  $\alpha, \beta \in \mathbb{C}, (a_1, b_1, 0, 0, \dots, 0), (a_2, b_2, 0, 0, \dots, 0) \in M$ . Then we have:

$$\begin{aligned} f(\alpha(a_1, b_1, 0, 0, \dots, 0) + \beta(a_2, b_2, 0, 0, \dots, 0)) &= f((\alpha a_1 + \beta a_2, \alpha b_1 + \beta b_2, 0, 0, \dots, 0)) \\ &= \alpha a_1 + \beta a_2 + \alpha b_1 + \beta b_2 = \alpha(a_1 + b_1) + \beta(a_2 + b_2) = \alpha f(a_1, b_1, 0, 0, \dots, 0) + \beta f(a_2, b_2, 0, 0, \dots, 0) \end{aligned}$$

So we have that  $f$  is linear.

So now to show that  $f$  is bounded we show that there exists  $C > 0$  such that  $\|f(a, b, 0, 0, \dots)\| \leq C\|(a, b, 0, 0, \dots)\|_p$  for all  $(a, b, 0, 0, \dots) \in M$  where  $a, b \in \mathbb{C}$ . We have that.

$$\|f(a, b, 0, 0, \dots)\| = |f(a, b, 0, 0, \dots)| = |a + b| \leq |a| + |b| = \|(a, b)\|_1$$

Since we have that  $\mathbb{C}^2$  is a finite-dimensional vector space then we have from theorem 1.6 from the lecture notes, that any two norms on  $\mathbb{C}^2$  are equivalent. Hence we have that  $\|\cdot\|_1$  and  $\|\cdot\|_p$  are equivalent. Hence we have from definition 1.4 that there exists  $0 < C < \infty$  such that  $\|(a, b)\|_1 \leq C\|(a, b)\|_p$ , where both  $\|(a, b)\|_1$  and  $\|(a, b)\|_p$  are norms on  $\mathbb{C}^2$ , so we have:

$$\|(a, b)\|_1 \leq C\|(a, b)\|_p = C\sqrt[p]{|a|^p + |b|^p + |0|^p + |0|^p + \dots} = C\|(a, b, 0, 0, \dots)\|_p$$

So now we've shown that there exists  $C > 0$  such that  $\|f(a, b, 0, 0, \dots)\| \leq C\|(a, b, 0, 0, \dots)\|_p$  for all  $(a, b, 0, 0, \dots) \in \mathbb{C}$  hence we've shown that  $f$  is bounded.

Now we want to compute  $\|f\|$ . I claim that  $\|f\| = 2^{1-\frac{1}{p}}$ , and I prove this, by first proving  $\|f\| \geq 2^{1-\frac{1}{p}}$ . We let  $b = \left(\frac{1}{2^{\frac{1}{p}}}, \frac{1}{2^{\frac{1}{p}}}, 0, 0, \dots\right)$ , then we have:

$$\|b\|_p = \left\| \left( \frac{1}{2^{\frac{1}{p}}}, \frac{1}{2^{\frac{1}{p}}}, 0, 0, \dots \right) \right\|_p = \left( \left| \frac{1}{2^{\frac{1}{p}}} \right|^p + \left| \frac{1}{2^{\frac{1}{p}}} \right|^p \right)^{\frac{1}{p}} = \left( \frac{1}{2} + \frac{1}{2} \right)^{\frac{1}{p}} = 1$$

And we have

$$\|f\| = \sup \left\{ |a + b| \mid \|(a, b, 0, 0, \dots)\|_p = 1 \right\} \geq \left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right|$$

We have the inequality because  $\left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| \in \left\{ |a + b| \mid \|(a, b, 0, 0, \dots)\|_p = 1 \right\}$

We have  $\left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| = \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} = 2 \frac{1}{2^{\frac{1}{p}}} = 2^{1-\frac{1}{p}}$

So now we've shown that  $\|f\| \geq 2^{1-\frac{1}{p}}$ . And now we want to show  $\|f\| \leq 2^{1-\frac{1}{p}}$

$$|a+b| \leq |a|+|b| = \|(a, b, 0, 0, \dots)\|_1 = \|(a \cdot 1, b \cdot 1, 0, 0, \dots)\|_1 \leq \|(a, b, 0, 0, \dots)\|_p \|(1, 1, 0, 0, \dots)\|_q$$

Where we have the second inequality from Hölder's inequality where  $1 = \frac{1}{p} + \frac{1}{q}$ . We let  $\|(a, b, 0, 0, \dots)\|_p = 1$ , so we'll have:

$$|a+b| \leq \|(1, 1, 0, 0, \dots)\|_q = (|1|^q + |1|^q)^{\frac{1}{q}} = 2^{\frac{1}{q}}$$

But since we have that Hölder's inequality holds for p and q which satisfies  $\frac{1}{p} + \frac{1}{q} = 1$ , and we fix p, then we have that  $q = \frac{p}{p-1}$ , so:

$$|a+b| \leq 2^{\frac{1}{q}} = 2^{\frac{p-1}{p}} = 2^{1-\frac{1}{p}}$$

Since we have that this equality holds for all  $|a+b|$  for which it holds  $\|(a, b, 0, 0, \dots)\|_p = 1$ , then we have:

$$\|f\| = \sup \left\{ |a+b| \mid \|(a, b, 0, 0, \dots)\|_p = 1 \right\} \leq 2^{1-\frac{1}{p}}$$

Hence we've shown  $\|f\| \leq 2^{1-\frac{1}{p}}$ , so now we've shown  $\|f\| = 2^{1-\frac{1}{p}}$ .

b)

We want to show that if  $1 < p < \infty$ , then there is a unique linear functional F on  $\ell_p(\mathbb{N})$  extending f and satisfying  $\|F\| = \|f\|$ . We start by showing the existence of a linear functional F on  $\ell_p(\mathbb{N})$  extending f and satisfying  $\|F\| = \|f\|$ . So now we start by assuming  $1 < p < \infty$ .

We've shown in problem 2a that f is linear, and we have that it is bounded hence it is continuous, so we have that  $f \in M^*$ , so we have from corollary 2.6 in the lecture notes that there must exist  $F \in (\ell_p(\mathbb{N}))^*$ , such that  $F|_M = f$  and  $\|F\| = \|f\|$

Now we want to show the uniqueness of a linear functional F on  $\ell_p(\mathbb{N})$  extending f, and satisfying  $\|F\| = \|f\|$ . We start by assuming that we have two linear functionals F and F' on  $\ell_p(\mathbb{N})$  extending f and satisfying  $\|F\| = \|f\|$ , and then we want to show that  $F = F'$ .

We have from problem 5 in HW 1 that if  $\frac{1}{p} + \frac{1}{q} = 1$ , then we'll have:

$$(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$$

for  $1 < p < \infty$ . We define this with the linear function  $T : \ell_q(\mathbb{N}) \rightarrow (\ell_p(\mathbb{N}))^*$ , with:

$$Tx = f(x)$$

Where we have that  $f : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  is given by:

$$fx(y) = \sum_{n \in \mathbb{N}}$$

For a given  $x \in \ell_q(\mathbb{N})$ , and for any  $y \in \ell_p(\mathbb{N})$  we let  $F : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  be given by:

$$F(a_1, a_2, a_3, \dots) = a + b$$

This is seen to be a Hahn Banach extension of  $f$ . To show uniqueness we want to show that there exist another Hahn-Banach extension  $F'$  which satisfies  $\|F'\| = \|f\|$ , for which it holds  $F' = F$ . We show this by contradiction, so we assume  $F' \neq F$ . I couldn't finish this up but then the idea was to conclude  $\|F\| \neq \|F'\|$ . Since both  $\|F'\| = \|f\|$ , and  $\|F\| = \|f\|$ , then we should have  $\|F\| = \|F'\|$ , so since we had  $\|F\| \neq \|F'\|$ , then we would have a contradiction, and hence  $F = F'$ . Hence there is a unique functional  $F$  on  $\ell_p(\mathbb{N})$  extending  $f$  and satisfying  $\|f\| = \|F\|$ .

c)

## Problem 3

a)

We show that no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective by contradiction. So we assume that a linear map  $F : X \rightarrow \mathbb{K}^n$  is injective. We let  $x_1, \dots, x_{n+1}$  be linearly independent, and then we'll have that  $F(x_1), \dots, F(x_{n+1})$  is linearly dependent, because in  $\mathbb{K}^n$  we can have at most  $n$  linearly independent vectors. Since  $F(x_1), \dots, F(x_{n+1})$  are linearly dependent we have that there exists  $\alpha_1, \dots, \alpha_{n+1}$ , where at least one of them is non-zero, such that

$$F(\alpha_1 x_1 + \dots + \alpha_{n+1} x_{n+1}) = \alpha_1 F(x_1) + \dots + \alpha_{n+1} F(x_{n+1}) = 0$$

Where the first equality comes from linearity of  $F$ . Since  $F$  is injective we have  $\ker(F) = \{0\}$ , so since we have  $F(\alpha_1 x_1 + \dots + \alpha_{n+1} x_{n+1}) = 0$ , then:



$$\alpha_1 x_1 + \dots + \alpha_{n+1} x_{n+1} = 0$$

Since  $x_1, \dots, x_{n+1}$  are linearly independent, then we have that  $\alpha_1, \dots, \alpha_{n+1} = 0$ , so we have a contradiction, and hence  $F : X \rightarrow \mathbb{K}^n$  is not injective, so no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective.

b)

To show that  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$  we start by considering the linear map  $F : X \rightarrow \mathbb{K}^n$  given by:

$$F(x) = (f_1(x), \dots, f_n(x)), \quad x \in X$$

We have in problem 3a shown that no linear map is so we have that the linear map  $F : X \rightarrow \mathbb{K}^n$  given by  $F(x) = (f_1(x), \dots, f_n(x))$ ,  $x \in X$  isn't injective either, hence we have:

$$\ker(F) \neq \{0\}$$

This means that there exists  $0 \neq x \in X$ , such that  $(f_1(x), \dots, f_n(x)) = F(x) = 0$ . This means that there exists  $0 \neq x \in X$  such that  $f_j(x) = 0$  for all  $j = 1, \dots, n$ , so we have:

$$\bigcap_{j=1}^n \ker(f_j) = \ker(F) \neq \{0\}$$

Which is what we wanted to show.

c)

We can pick a non-zero  $z \in \bigcap_{j=1}^n \ker(f_j)$ , and then we define  $y = \frac{z}{\|z\|}$ , then  $y \in \bigcap_{j=1}^n \ker(f_j)$ , because:

$$f_j(y) = f_j\left(\frac{z}{\|z\|}\right) = \frac{f_j(z)}{\|z\|} = 0$$

And since  $z$  is non-zero  $y$  is non-zero as well, and we have  $\|y\| = \left\|\frac{z}{\|z\|}\right\| = \frac{\|z\|}{\|z\|} = 1$ , and we have:

$$\|y - x_j\| = \|f_j\| \|y - x_j\| \geq \|f_j(y - x_j)\| = |f_j(y - x_j)| = |f_j(y) - f_j(x_j)| = |0 - f_j(x_j)| = | -f_j(x_j) | = \|x_j\|$$

We have the first equality from theorem 2.7 (b) from the lecture notes, since  $f_j \in X^*$  for  $j = 1, \dots, n$  we have that  $\|f_j\| = 1$ , and the second last equality comes from theorem 2.7 (b) in the notes as well, since  $0 \neq x_j \in X$ , we then have that  $f_j(x_j) = \|x_j\|$ . So now we've shown that there exists  $y \in \bigcap_{j=1}^n \ker(f_j) \subset X$  such that  $\|y\| = 1$ , and  $\|y - x_j\| \geq \|x_j\|$ , which is what we wanted to show.

d)

We start by denoting the finite family of closed balls not containing 0 by  $\{B_i\}_{i=1, \dots, n}$ . To show that one cannot cover the unit sphere  $S = \{x \in X : \|x\| = 1\}$  with a finite family of closed balls such that none of the balls contains 0, we show  $S \not\subset \bigcup_{i=1}^n B_i$ . So we have to show that  $\exists x \in S$  such that  $x \notin \bigcup_{i=1}^n B_i$ .

We do this by starting with showing that  $B_i$  is convex. If we take  $x, y \in B_i$  then we have:

$$\begin{aligned} \|\alpha x + (1 - \alpha)y - p\| &= \|\alpha x - \alpha p + (1 - \alpha)y - p + \alpha p\| = \|\alpha(x - p) + (1 - \alpha)y - p(1 - \alpha)\| \\ &= \|\alpha(x - p) + (1 - \alpha)(y - p)\| \leq \|\alpha(x - p)\| + \|(1 - \alpha)(y - p)\| = |\alpha|\|x - p\| + |1 - \alpha|\|y - p\| \\ &= \alpha\|x - p\| + (1 - \alpha)\|y - p\| \leq \alpha r + (1 - \alpha)r = \alpha r + r - \alpha r = r \end{aligned}$$

So now we have shown that for  $x, y \in B_i$  we have  $\alpha x + (1 - \alpha)y \in B_i$ , so  $B_i$  is convex. so we have from a corollary to the Hahn Banach theorem that if  $x \in B_i$  then  $\operatorname{Re} \lambda_i(x) \geq 1$ , where  $\lambda_i$  is a linear functional.

We have that if we take  $x \in V = \bigcap_{i=1}^n \ker(\lambda_i)$ , then  $\lambda_i(x) = 0$  for all  $i = 1, \dots, n$ , but for  $x \in B_i$  we have that  $\operatorname{Re} \lambda_i(x) \geq 1$ , so none of the  $x \in V$  is in any of the  $B_i$ , hence  $V \cap B_i = \emptyset$ . So now if you take  $x \in V \cap S \subset S$ , then  $x \notin B_i$ , because  $V \cap S \cap B_i = V \cap (B_i \cap S) = V \cap \emptyset$ . So we have shown that  $\exists x \in S \Rightarrow x \notin B_i$  for all  $i = 1, \dots, n$  hence  $S \not\subset B_i$  for all  $i = 1, \dots, n$ , hence  $S \not\subset \bigcup_{i=1}^n B_i$ , so one cannot cover the unit sphere  $S$  with a finite family of closed balls such that none of the balls contains 0.

e)

We show that  $S$  is non-compact by contradiction. We assume that  $S$  is compact, and then we have that every open cover of  $S$  has a finite subcover. So if we for any  $x \in S$  consider:

$$B_x = \{v \in X \mid \|x - v\| < \frac{1}{2}\}$$

then we have that if we take  $x \in S$ , we see that  $\|x - x\| = 0 < \frac{1}{2}$ , so this  $x \in B_x \subset \bigcup_{x \in S} B_x$ , hence we have  $S \subset \bigcup_{x \in S} B_x$ , hence  $\{B_x\}_{x \in S}$  is an open cover of  $S$ . So we have that  $\{B_x\}_{x \in S}$  has to contain a finite subcover  $\{B_{x_i}\}_{x_i \in S}$  of  $S$  for  $i = 1, \dots, n$ .

Since we have that  $\{B_{x_i}\}_{x_i \in S}$  is a finite subcover of  $S$  for  $i = 1, \dots, n$ , we have that  $S \subset \bigcup_{x_i \in S} B_{x_i}$  for  $i = 1, \dots, n$ . Since we have that  $B_{x_i} \subset \overline{B_{x_i}}$  because the closure of  $B_{x_i}$  is the smallest set containing  $B_{x_i}$ . So we'll have:

$$S \subset \bigcup_{x_i \in S} B_{x_i} \subset \bigcup_{x_i \in S} \overline{B_{x_i}}$$

So we'll have that  $\{\overline{B_{x_i}}\}_{x_i \in S}$  is a family of closed balls (the closure of an open ball with radius  $\frac{1}{2}$  is a closed ball with radius  $\frac{1}{2}$ ) which covers  $S$  such that none of them contains 0. The reason why none of these balls contains 0, is because when  $x \in S$  we have that  $\|x\| = 1$ , so  $\|x - 0\| = \|x\| = 1 \geq \frac{1}{2}$ . This contradicts with problem 3d, so we have that  $S$  may be non-compact.

We can from this deduce that the closed unit ball in  $X$  is non-compact. We denote the closed unit ball by:

$$B = \{x \in X \mid \|x\| \leq 1\}$$

We have that  $S \subset B$ . And we have that a closed subset of a compact space is compact, but since  $S$  is non-compact, then  $B$  is non-compact.

## Problem 4

a)

To be able to talk about whether  $E_n$  is absorbing or not we first have to show that  $E_n$  is convex. To show that  $E_n$  is convex we start by taking  $f, g \in E_n$ , since we have that  $f, g \in E_n$  then we have that  $\int_{[0,1]} |f|^3 dm \leq n$  and  $\int_{[0,1]} |g|^3 dm \leq n$  for  $n \geq 1$ , so we have that  $f$  and  $g$  are measurable, and we have  $\|f\|_3 < \infty$ , and  $\|g\|_3 < \infty$ , so we have that  $f, g \in L_3([0, 1], m)$ , hence we have from minkowski's inequality:

$$\begin{aligned} \left( \int_{[0,1]} |\alpha f + (1 - \alpha)g|^3 dm \right)^{\frac{1}{3}} &\leq \left( \int_{[0,1]} |\alpha f|^3 dm \right)^{\frac{1}{3}} + \left( \int_{[0,1]} |(1 - \alpha)g|^3 dm \right)^{\frac{1}{3}} \\ &= \alpha \left( \int_{[0,1]} |f|^3 dm \right)^{\frac{1}{3}} + (1 - \alpha) \left( \int_{[0,1]} |g|^3 dm \right)^{\frac{1}{3}} \leq \alpha n^{\frac{1}{3}} + (1 - \alpha)n^{\frac{1}{3}} = n^{\frac{1}{3}} \end{aligned}$$

for all  $0 \leq \alpha \leq 1$  So we have:

$$\int_{[0,1]} |\alpha f + (1 - \alpha)g|^3 dm \leq n$$

Furthermore we have  $\alpha f + (1 - \alpha)g \in L_1([0, 1], m)$ . Hence we have that  $\alpha f + (1 - \alpha)g \in E_n$ , so  $E_n$  is convex. Given  $n \geq 1$  the set  $E_n \subset L_1([0, 1], m)$  is not absorbing, because for  $E_n$  to be able to be absorbing it has hold that for all  $0 \neq f \in L_1([0, 1], m)$  there has to exist  $t > 0$  such that  $t^{-1}f \in E_n$ . But this does not hold for all  $f \in L_1([0, 1], m)$ , because if we look at:

$$f(x) = x^{-\frac{1}{3}}$$

Then we have:

$$\|f\|_1 = \int_{[0,1]} x^{\frac{1}{3}} dm = \int_0^1 x^{\frac{1}{3}} dx = \frac{3}{2} < \infty$$

Hence  $f \in L_1([0, 1], m)$ . And we have for any  $t > 0$ :

$$\int_{[0,1]} |t^{-1}f|^3 dm = t^{-3} \int_0^1 \frac{1}{x} dx \approx \infty$$

so we have that  $t^{-1}f \notin E_n$ , hence  $E_n$  is not absorbing.

b)

To show that  $E_n$  has empty interior in  $L_1([0, 1], m)$ , for all  $n \geq 1$  we show that  $\text{Int}(E_n) = \emptyset$  for all  $n \geq 1$ , but we show this by contradiction, so we assume  $\text{Int}(E_n) \neq \emptyset$  for some  $n \geq 1$ . If we have that  $\text{Int}(E_n) \neq \emptyset$ , then we have that there exists  $f \in \text{Int}(E_n)$ , so we have the open ball:

$$B(f, \varepsilon) = \{g \in L_1([0, 1], m) : \|f - g\| < \varepsilon\} \subseteq E_n$$

for some  $\varepsilon > 0$ . For  $0 \neq g \in L_1([0, 1], m)$  we let  $h = f + \frac{\varepsilon}{2\|g\|_1}g$ , and we have :

$$\left\| f - \left( f + \frac{\varepsilon}{2\|g\|_1}g \right) \right\|_1 = \left\| -\frac{\varepsilon}{2\|g\|_1}g \right\|_1 = \left| \frac{\varepsilon}{2\|g\|_1} \right| \|g\|_1 = \frac{\varepsilon}{2\|g\|_1} \|g\|_1 = \frac{\varepsilon}{2} < \varepsilon$$

So we have  $h = f + \frac{\varepsilon}{2\|g\|_1}g \in B(f, \varepsilon) \subseteq E_n$ , so we have:

$$g = (h - f) \frac{2\|g\|_1}{\varepsilon} \in L_3([0, 1], m)$$

Since  $h \in E_n$ , and since any function in  $E_n$  is in  $L_3([0, 1], m)$  as well we have that  $h \in L_3([0, 1], m)$ , and  $f \in L_3([0, 1], m)$ . so now we've shown that  $g \in L_1([0, 1], m) \Rightarrow g \in L_3([0, 1], m)$ , so we have  $L_1([0, 1], m) \subseteq L_3([0, 1], m)$ , but we have from HW2 that  $L_3([0, 1], m) \subsetneq L_1([0, 1], m)$ , so we have a contradiction, hence  $\text{Int}(E_n) = \emptyset$

c)

To show that  $E_n$  is closed we start by taking a sequence  $(f_k)_{k \in \mathbb{N}} \subset E_n$  for which it holds  $\|f_n - f\|_1 \rightarrow 0$ . We have from the Bolzano-Weierstrass property that there is a subsequence  $(f_{n_k})_{n_k \in \mathbb{N}}$  which converges pointwise in  $E_n$ , so we have:

$$\int_{[0,1]} |f|^3 dm \leq \liminf_{n_k \rightarrow \infty} \int_{[0,1]} |f_{n_k}|^3 dm \leq \liminf_{n_k \rightarrow \infty} n = n$$

Where we have that the first inequality comes from Fatou's lemma, and we have the second inequality because  $f_{n_k} \in E_n$ , so we have that  $f \in E_n$ , so we have that  $E_n$  is closed in  $L_1([0, 1], m)$

d)

We have from definition 3.12(ii) from the lecture notes that to show that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$  then we have to show that there exists a sequence  $(E_n)_{n \geq 1}$  where  $E_n$  for  $n \geq 1$  are nowhere dense sets, and:

$$L_3([0, 1], m) = \bigcup_{n=1}^{\infty} E_n$$

From 4b we have that  $\text{Int}(E_n) = \emptyset$ , for all  $n \geq 1$  and from 4c we have the  $E_n$  is closed for all  $n \geq 1$ , so  $\overline{E_n} = E_n$  hence  $\text{Int}(\overline{E_n}) = \text{Int}(E_n) = \emptyset$ , so we have that  $E_n$  for  $n \geq 1$  are nowhere dense sets. We have:

$$\begin{aligned} \bigcup_{n=1}^{\infty} E_n &= \bigcup_{n=1}^{\infty} \left\{ f \in L_1([0, 1], m) : \int_{[0,1]} |f|^3 dm \leq n \right\} = \left\{ f \in L_1([0, 1], m) : \int_{[0,1]} |f|^3 dm < \infty \right\} \\ &= \{ f \in L_1([0, 1], m) : f \in L_3([0, 1], m) \} = L_3([0, 1], m) \end{aligned}$$

Where we have the last equality because  $L_3([0, 1], m) \subsetneq L_1([0, 1], m)$ . Now we have shown that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$

## Problem 5

a)

From the reverse triangular inequality we have  $|\|x\| - \|x_n\|| \leq \|x - x_n\|$ . Since we have that  $x_n \rightarrow x$  in norm as  $n \rightarrow \infty$ , then we have for all  $\epsilon > 0$  there exists  $N \in \mathbb{N}$  such that  $n \geq N$  for which it holds:

$$|\|x\| - \|x_n\|| \leq \|x - x_n\| < \epsilon$$

So we have that  $\|x_n\| \rightarrow \|x\|$  for  $n \rightarrow \infty$ .

b)

We define  $H = \ell_2(\mathbb{N})$ . Since  $H$  is separable we can consider  $(e_n)_{n \geq 1}$ , so we let  $x_n = e_n$ . We have that  $(e_n)_{n \geq 1}$  is a normal orthonormal basis for  $H$ . We let  $x \in H$ , and then from Bessel's inequality we'll have:

$$\sum_{n \in \mathbb{N}} |\langle e_n, x \rangle|^2 \leq \|x\|^2 < \infty$$

Since  $\sum_{n \in \mathbb{N}} |\langle e_n, x \rangle|^2 < \infty$ , then we have that  $\sum_{n \in \mathbb{N}} |\langle e_n, x \rangle|^2$  converges, so we have that the corresponding sequence  $|\langle e_n, x \rangle|^2$  converges to 0, hence  $\langle e_n, x \rangle \rightarrow 0$ . We have that a Hilbert space is a Banach space as well, then we have that  $H$  is a Banach space, since it is a Hilbert space. Furthermore we have that  $(e_n)_{n \geq 1}$  is a sequence, then it is a net, since every sequence is a net, so we have from HW 4 problem 2a that since  $\langle e_n, x \rangle \rightarrow 0$ , then we have  $e_n \rightarrow 0$ :

Furthermore we have  $\|e_n\| = 1$ , since  $(e_n)_{n \geq 1}$  is an orthonormal basis. So we have  $\|e_n\| = 1 \rightarrow 1 \neq 0 = \|0\|$ , so if we suppose that  $x_n \rightarrow x$  weakly, then it doesn't hold that  $\|x_n\| \rightarrow \|x\|$ .

c)

We assume that  $\|x_n\| \leq 1$  for all  $n \geq 1$ , and that  $x_n \rightarrow x$  weakly, as  $n \rightarrow \infty$ . Since we have that  $x_n \rightarrow x$  weakly, then we have:

$$\|x\| = \langle x, x \rangle = \lim_{n \rightarrow \infty} \langle x, x_n \rangle$$

And furthermore we have  $\langle x, x_n \rangle \leq \|x_n\|$ , so we have:

$$\|x\| = \langle x, x \rangle = \lim_{n \rightarrow \infty} \langle x, x_n \rangle \leq \liminf_{n \rightarrow \infty} \|x_n\|$$

And now since we have that  $\|x_n\| \leq 1$  for all  $n \geq 1$ , then we have  $\|x\| \leq 1$ .