# CoCo - Assignment 5

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## 7.7

We show that NP is closed under union and concatination.

*Proof.* We start by considering union. Let  $A, B \in \text{NP}$  and consider  $L = A \cup B$ . We construct a polynomial time verifier for L. We know that there exist polynomial time verifiers  $V_A$  and  $V_B$  for A and B. Now Consider the verifier V that on input  $\langle w, c \rangle$ , run  $V_A$  and  $V_B$  on  $\langle w, c \rangle$  and if one of them accepts, V accepts, otherwise it rejects. This is clearly polynomial in time, since it is just two polynomial time verifiers in series. Also V accepts w for some c if and only if  $w \in A$  or  $w \in B$  or equivalently if and only if  $w \in L = A \cup B$ .

For the concatenation, let L' = AB. We construct polynomial time verifier V' such that V' verifies L'. A certificate, c, in this case, is an encoding of three things: Where to split the input w, a certificate for  $V_A$  on the left part, and a certificate for  $V_B$  on the right part. Given such a  $c = (\langle k \rangle, c_A, c_B)$ , where  $\langle k \rangle$  denotes a suitable encoding of the number k, and input  $w = w_1...w_n$ , V' acts as following on input  $\langle w, c \rangle$ : V' splits the input, w, at the kth position, according to the certificate, c. It then runs  $V_A$  on  $w_1...w_k$  with certificate  $c_A$  and  $V_B$  on  $w_{k+1}...w_n$  with  $c_B$ . If both accept V' accepts. Clearly, V' accepts w for some c if  $w \in L' = AB$ . On the other hand, if V' accepts w for some c there exist a splitting of w such that the left part is in A and the right part is in B or equivalently,  $w \in L' = AB$ 

#### 7.9

We show that  $TRAINGLE = \{\langle G \rangle \mid G \text{ is an undirected graph that contains a 3-clique}\}$  is in P.

*Proof.* We consider the following algorithm. D = "On input  $\langle G \rangle$ 

- 1. check that  $\langle G \rangle$  encodes an udirected graph. (say with vertices  $v_1, ..., v_n$ )
- 2. For i = 1 to n 2 select  $v_i$  and:
- 3. For j = i + 1 to n 1 select  $v_j$  and:
- 4. For k = j + 1 to n select  $v_k$  and:

5. Check that  $\{v_i, v_j, v_k\}$  forms a 3-clique. If true accepts. If false and i = n-2, j = n-1 and k = n, reject."

Since there are  $\frac{n(n-1)(n-3)}{6} = O(n^3)$  ways to choose 3 vertices out of n and each selection process if polynomial in time, this algorithm is clearly polynomial in time. It is also clear that the algorithm accepts if and only if G contains a 3-clique (Triangle).

Notice that we use that for any reasonable encoding of a  $\langle G \rangle$  the input length is polynomial in the number of vertices.

#### 7.10

We show that  $ALL_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \Sigma^* \}$  is in P.

*Proof.* We show this, by noting that  $ALL_{DFA}$  can be solved with the TM, M from the proof that PATH is in P. Simply construct the TM, M' ="On input  $\langle A \rangle$ 

- 1. Construct the state diagram, G of A, vieved as a directed graph.
- 2. For all states  $q \in Q \setminus F$  (F is the set of accepts states of A), run M on  $\langle G, q_{\text{start}}, q \rangle$ , if it accepts for some q, reject if it rejects for all q, accept.

Evidently, M' accepts exactly those DFAs that can never reach a non-accepts state, *i.e.* those that accept the language  $\Sigma^*$ . Since PATH is in P, M runs in polynomial time,  $\langle G, q_{\text{start}}, q \rangle$  is polynomial in the input length,  $|\langle A \rangle|$ , and since the set Q is polynomial in the input length, we conclude that M' is a polynomial time TM that accepts  $ALL_{DFA}$ .

## 7.42

We show that P is closed under the star operation.

*Proof.* Let A be any language in P. We follow the hint, and construct a polynomial time TM that accepts  $A^*$ . Let D be the polynomial time TM that accepts A, and let M be the polynomial TM that accepts PATH. Consider then, M' = O input M' = O i

- 1. Build  $n \times n$ -table, with entries  $T_{i,j}$ , for i, j = 1, ..., n, by the following procedure: For i = 1 to n
- 2. For j = i to n
- 3. Run D on  $y_i...y_j$ , if it accepts, set  $T_{ij} = 1$ , if it rejects set  $T_{ij} = 0$ . For i > j set  $T_{ij} = 0$ .
- 4. Let G be the directed graph with adjacency matrix T, i.e. view  $\langle T_{ij} \rangle_{i,j=1}^n$  as an encoding of the graph G (we label the vertices of G by 1, ..., n).
- 5. Run M on  $\langle \langle T_{i,j} \rangle_{i,j=1}^n, 1, n \rangle$ , if it accepts, accept, if it rejects, reject.

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By design, we that M' accepts y if and only if there is a path  $1 \to i_1 \to i_2 \to ... \to i_l \to n$  such that  $T_{1,i_1} = T_{i_j,i_{j+1}} = T_{i_l,n} = 1$  for all j = 1,...,l, which is equivalent to  $y_1...y_{i_1} \in A$ ,  $y_{i_j}...y_{i_j+1} \in A$ , and  $y_{i_l}...y_n \in A$  for all j = 1,...,l, or equivalently that  $y \in A^*$ . Furthermore, we see that all steps are polynomial time the input length, and the number of steps is also polynomial in the input length from which we conclude that M' is a polynomial time TM that accepts  $A^*$ .

#### 7.44

Let UNARY-SSUM be the subset sum problem where all numbers are represented in unary numbers.

Proof. We describe an algorithm that accepts UNARY-SUM in polynomial time. Let M be an algorithm that solves the SUBSET-SUM problem in exponential time, which is known to exist, since SUBSET - SUM is in NP by Theorem 7.25, and by Theorem 7.20 there then exist a non-deterministic TM that decides it in polynomial time  $O(n^m)$  for some  $m \geq 1$ , and then by Theorem 7.11 there exist a deterministic single tape TM that desides it in exponential time  $(2^{O(n^m)})$ . Consider D = on input  $\langle \{i_1, ..., i_n\}, t \rangle$  where  $i_1, ..., i_n, t$  are unary numbers

- 1. For all j=1 to n: Encode  $i_j$  as a binary number,  $b_j$ , by straightforwardly starting with  $b_j=0$  and then updating  $b_j$  while reading across  $i_j$ . e.g.  $i_j=1111$  we would have  $(b_j=0, 1111) \rightarrow (b_j=1, 1111) \rightarrow (b_j=10, 1111) \rightarrow (b_j=10, 1111) \rightarrow (b_j=100, 1111)$ , where the dot, symbolises the current position of, say the tape head in a TM.
- 2. Encode t as a binary  $\tilde{t}$  by same proceedure as in step 1.
- 3. For each subset of  $\{b_1,...b_n\}$ , I, calculate the sum of I, and check if it equals  $\tilde{t}$ .

Notice that the input length of M in the above algorithm  $\left|\langle\{b_1,...,b_n\},\tilde{t}\rangle\right| = O(\log(\left|\langle\{i_1,...,i_n\},t\rangle\right|))$ . Thus M will run in time  $2^{O(\log(n)^m)} \leq 2^{c\log(n)^m} \leq n^c$  for some c, thus it runs in polynomial time. Clearly converting unary to binary is also polynomial in time. Thus D runs in polynomial time, and accepts exactly UNARY-SSUM.

Proof. We describe an algorithm that accepts UNARY-SUM in polynomial time. Let A be any language in P, and let  $M_A^*$  be the algorithm from 7.42, that desides  $A^*$  in polynomial time. Now given an input  $\langle \{i_1, ..., i_n\}, t \rangle$  where  $i_1, ..., i_n, t$  are unary, we notice that  $\{i_1, ..., i_n\}$  is trivially a language in P, e.g. because membership can be checked by trial an error or because it is context free. Now consider the algorithm D =" on input  $\langle A, t \rangle$  where  $A = \{i_1, ..., i_n\}$ , and  $i_1, ..., i_n, t$  are unary

1. Run  $M_{A^*}$  on t, if it accepts, accept, if it rejects, reject.

Clearly, D accepts  $\langle \{i_1, ..., i_n\}, t \rangle$  if and only if t = i

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Let UNARY-SSUM be the subset sum problem where all numbers are represented in unary numbers. We show that UNARY-SSUM is in P.

*Proof.* Consider the CFG, G:

$$S \rightarrow A|B$$
 
$$A \rightarrow 1A1|\#B|\$$$
 
$$B \rightarrow 1B|\#B|\#A|\$$$

By inspection, it is clear that this CFG can produce strings of the form  $u_1\#...\#u_m\$u$  where for some  $1 \le i_1 < ... < i_k \le m$ , we have  $u_{i_1}...u_{i_k} = u$ , e.g. 111#11#11111#1\$1111. This is easily seen from the fact that we can produce a 1 to the right of \$ only by producing one to the left of \$ as well, furthermore, one can only stop producing 1s to the right of \$ by putting down a # on the left. However, this language is clearly equivalent to UNARY-SSUM, by the bijective the map  $\langle \{u_1,...,u_m\},u\rangle \mapsto \langle u_1\#...\#u_m\$u\rangle$  which is clearly both polynomial time compatible and its inverse is also polynomial time computable. Thus we conclude that UNARY-SSUM  $\le_P L(G)$ , but by theorem 7.16 L(G) is in P, and thus by Theorem 7.31 UNARY-SSUM is in P.

# Exam 2019, Question 3

#### 3.1

 $i\text{-}RSP = \{\langle G \rangle \mid G \text{ is a graph and there exist tree subgraph } T \subset G \text{ such that } V(T) = V(G),$ and for any vertex in T the degree is  $0, 1, \text{ or } i\}$ 

#### 3.2

We show that 3-RSP is NP-complete.

*Proof.* We do this by reducing the NP-complete problem UHAMPATH to 3-RSP. Consider the algorithm, D = "On input  $\langle G, s, t \rangle$  where G is a graph and s, t are vertices in G

1. Construct the graph G' such that  $G \subset G'$  by adding one vertex  $b_i$  to G for every vertex  $v_i \in G \setminus s, t$  where we identify  $V(G) = \{v_1, ..., v_m, s, t\}$ , and add one line between each  $v_i$  and  $b_i$ .

this is clearly polynomial in time. Furthermore, notice that if G has a Hamiltonian path from s to t, then G' has a 3-regular spanning tree, since the path from s to t go through all  $v_i$  exactly once, and by adding the lines from  $v_i$  to  $b_i$  we see that the vertices  $\{v_1, ..., v_m, b_1, ..., b_m, s, t\}$  with the lines given by the Hamiltonian path from s to t and the lines from  $v_i$  to  $b_i$  forms a 3-regular spanning tree, such that  $v_i$  has degree 3 for all i=1,...,m and  $\{s,t,b_1,...,b_m\}$  are the leaves of degree 1. On the contrary, if G' has a 3-regular spanning tree, we see that  $\{b_1,...,b_m\}$  must be leaves since they have degree 1. Hence  $\{v_1,...,v_m\}$  has degree 3, but since s,t only connects to  $\{v_1,...,v_n\}$  they must themselves be leaves. Notice then that s and t actually must be at the

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bottom of the tree, since any  $v_i$  exept the bottom one can have at most 1 leaf, since they have degree 3. Thus a Hamiltonian path from s to t exist by going from s up the tree all the way to the root, and down the other branch all the way down to t at the bottom. This path goes through every vertex  $v_1, ..., v_m$  exactly once. Therefore, we see that D maps UHAMPATH to 3RSP and  $\overline{UHAMPATH}$  to  $\overline{3RSP}$ . Thus the map  $G \mapsto G'$  is a polynomial time computable function, and we conclude that  $UHAMPATH \leq_P 3RSP$  by Theorem 7.36 that 3RSP is NP-complete.

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