# The ground state energy of dilute 1d many-body quantum systems

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## Overview

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## Background

The scattering length

Theorem 1

For  $B_R \subset \mathbb{R}^d$  with  $R > R_0 \coloneqq \mathsf{range}(v)$ , let  $\phi \in H^1(B_R)$  satisfy

$$-\Delta\phi + \frac{1}{2}v\phi = 0, \quad \text{on } B_R, \tag{1}$$

with boundary condition  $\phi(x) = 1$  for |x| = R. Then  $\phi(x) = f(|x|)$  for some  $f:(0,R] \to [0,\infty)$ , and for  $\mathrm{range}(v) < r < R$ , we have

$$f(r) = \begin{cases} (r-a)/(R-a) & \text{for } d = 1\\ \ln(r/a)/\ln(R/a) & \text{for } d = 2\\ (1-ar^{2-d})/(1-aR^{2-d}) & \text{for } d \ge 3, \end{cases}$$
 (2)

with some constant a called the (s-wave) scattering length.





### Model

We consider a many-body system of Bosons that interacts via a repulsive pair potential  $v_{ij}=v(|x_i-x_j|)$ 

$$\mathcal{E}(\psi) = \int_{\Lambda_L} \left( \sum_{i=1}^N |\nabla_i \psi|^2 + \sum_{i < j} v_{ij} |\psi|^2 \right) \quad \text{on } L^2(\mathbb{R}^d)^{\otimes_{\text{sym}} N}.$$
 (3)

The ground state energy is defined by

$$E(N, L) := \inf_{\psi \in \mathcal{D}(\mathcal{E}), \ \|\psi\|^2 = 1} \mathcal{E}(\psi).$$



## Previous results

For 
$$\Lambda_L = [0, L]^d$$
, let  $e(\rho) \coloneqq \lim_{\substack{L \to \infty \\ N/L^d \to \rho}} E(N, L)/L^d$ .

Theorem 2 (d = 3 result, Lee-Huang-Yang)

$$e(\rho) = 4\pi\rho^2 a \left( 1 + \frac{128}{15\sqrt{\pi}} \sqrt{(\rho a)^3} + o(\sqrt{\rho a}^3) \right).$$
 (4)

Theorem 3 (d=2 result)

$$e(\rho) = 4\pi\rho^2 \left( \left| \ln(\rho a^2) \right|^{-1} + o(\left| \ln(\rho a^2) \right|^{-1}) \right).$$
 (5)



## Main result

For the remaning of the talk, d = 1.

Theorem 4 (A., R. Reuvers, J. P. Solovej, 2022)

Let  $v \in L^1 + h.c.p$  with  $v \ge 0$  and  $\operatorname{range}(v) = R_0$ . Let  $R = \max(2|a|, R_0)$ , then for  $\rho R \ll 1$  and  $N^{-1} = \mathcal{O}(\rho R)^{6/5}$  we have

$$E(N,L) = E_0 \left( 1 + 2\rho a + \mathcal{O}\left( (\rho R)^{6/5} \right) \right), \tag{6}$$

where  $E_0$  is the free Fermi ground state energy

$$E_0 = N \frac{\pi^2}{3} \rho^2 \left( 1 + \mathcal{O}(N^{-1}) \right). \tag{7}$$



## Examples

The hard core gas

Behaves like free fermi gas in volume L-NR, i.e.

$$E_{\text{hard core}}(N, L) = N \frac{\pi^2}{3} \rho^2 (1 - NR/L)^{-2} \approx E_0 (1 + 2\rho R).$$
 (8)

Scattering length is a = R.

Lieb Liniger model

Behaves asymptotically like

$$E_{LL}(N, L, c) = N \frac{\pi^2}{3} \rho^2 \left( 1 - 4\rho/c + \mathcal{O}\left((\rho/c)^2\right) \right),$$
 (9)

with scattering length  $a = -\frac{2}{c}$ .





# Variational principle

To obtain an upper bound, we use the variational principle, i.e.

$$E(N,L) \leq rac{\mathcal{E}(\Psi)}{\left\|\Psi
ight\|^2}, \quad ext{for any } \Psi \in \mathcal{D}(\mathcal{E}).$$



#### Trial state

Trial state has to encapture free Fermi energy, as well as correction due to scattering processes. Hence we consider

$$\Psi(x) = \begin{cases} \omega(\mathcal{R}(x)) \frac{\Psi_F(x)}{\mathcal{R}(x)} & \text{if } \mathcal{R}(x) < b \\ \tilde{\Psi}_F(x) & \text{if } \mathcal{R}(x) \ge b, \end{cases}$$

where  $\omega$  is the suitably normalized solution to the two-body scattering equation,  $\tilde{\Psi}_F \coloneqq |\Psi_F|$ , and  $\mathcal{R}(x) \coloneqq \min_{i < j} (|x_i - x_j|)$  is uniquely defined a.e.



# One-particle reduced density matrix

For the free Fermi gas we have

$$\gamma^{(1)}(x,y) = \frac{2}{L} \sum_{j=1}^{N} \sin\left(\frac{\pi}{L}jx\right) \sin\left(\frac{\pi}{L}jy\right)$$

$$= \frac{\pi}{L} \left( D_N \left(\pi \frac{x-y}{L}\right) + D_N \left(\pi \frac{x+y}{L}\right) \right),$$
(10)

where  $D_N(x)=\frac{1}{2\pi}\sum_{k=-N}^N \mathrm{e}^{ikx}=\frac{\sin((N+1/2)x)}{2\pi\sin(x/2)}$  is the Dirichlet kernel.

By Wick's theorem all derivatives of reduced density matrices are bounded by a constant times an appropriate power of  $\rho$ .



## Some useful bounds

#### Lemma 1

$$\rho^{(2)}(x_1, x_2) \le \left(\frac{\pi^2}{3}\rho^4 + f(x_2)\right)(x_1 - x_2)^2 + \mathcal{O}(\rho^6(x_1 - x_2)^4),$$
 with  $\int f(x_2) \, \mathrm{d}x_2 \le \text{ const. } \rho^3 \log(N).$ 

#### Lemma 2

We have the following bounds

$$\rho^{(3)}(x_1, x_2, x_3) \le \text{const. } \rho^9(x_1 - x_2)^2(x_2 - x_3)^2(x_1 - x_3)^2,$$

$$\rho^{(4)}(x_1, x_2, x_3, x_4) \le \text{const. } \rho^8(x_1 - x_2)^2(x_3 - x_4)^2,$$

$$\left| \sum_{i=1}^{2} \partial_{y_{i}}^{2} \gamma^{(2)}(x_{1}, x_{2}; y_{1}, y_{2}) \right|_{y=x} \le \text{const. } \rho^{6}(x_{1} - x_{2})^{2},$$





# Collecting everything

Upper bound

$$E \le N \frac{\pi^2}{3} \rho^2 \frac{\left(1 + 2\rho a \frac{b}{b-a} + \text{const. } \left[\frac{1}{N} + N(b\rho)^3 \left(1 + \rho b^2 \int v_{\text{reg}}\right)\right]\right)}{\|\Psi\|^2},\tag{11}$$

where  $v_{\text{reg}} \in L^1$  is v with any hard core removed. By lemma 1 we know  $\|\Psi\|^2 \geq 1 - \text{const. } N(\rho b)^3$ .

#### Localization

Divide into M smaller boxes with  $\tilde{N}=N/M$  particles in each, and make distance b between boxes (no interaction between boxes), and choose M such that  $\tilde{N}=(\rho b)^{-3/2}\gg 1$ .



## Upper Bound

After localization

$$E(N,L) \le N \frac{\pi^2}{3} \rho^2 \frac{\left(1 + 2\rho a \frac{b}{b-a} + \text{const. } \frac{M}{N} + \text{const. } \tilde{N}(b\rho)^3 \left(1 + \rho b^2 \int v_{\text{reg}}\right)\right)}{1 - \tilde{N}(\tilde{\rho}b)^3}.$$

$$(12)$$

Optimizing in M and choosing  $b = \max(\rho^{-1/5} |a|^{4/5}, R_0)$  we find

$$E(N,L) \le E_0 \left( 1 + 2\rho a + \mathcal{O}\left( \left[ (\rho |a|)^{6/5} + (\rho R_0)^{3/2} \right] \left( 1 + \rho b^2 \int v_{\text{reg}} \right) \right) \right).$$
(13)



#### Lower bound

#### Proof of lower bound consists of the following steps:

- Use Dyson's lemma to reduce to a nearest neighbor double delta-barrier potential.
- Reduce to the Lieb Liniger model by discarding a small part of the wave function.
- 3 Use a known lower bound for the Lieb Liniger model.



# The Lieb-Liniger (LL) model

$$H_{LL} = -\sum_{i=1}^{n} \Delta_i + 2c \sum_{i < j} \delta(x_i - x_j).$$
 (14)

Behavior in thermodynamic limit:  $\lim_{\substack{\ell \to \infty, \\ n/\ell \to \rho}} E_{LL}(n,\ell,c)/\ell = \rho^3 e(\gamma)$ 

with  $\gamma = c/\rho$ .

Lemma 3 (Lieb Liniger lower bound)

Let  $\gamma > 0$ , then

$$e(\gamma) \ge \frac{\pi^2}{3} \left(\frac{\gamma}{\gamma+2}\right)^2 \ge \frac{\pi^2}{3} \left(1 - \frac{4}{\gamma}\right).$$





## Reducing to the LL model

Lemma 4 (Dyson)

Let  $R>R_0=\operatorname{range}(v)$  and  $\varphi\in H^1(\mathbb{R})$ , then for any interval  $\mathcal{I}\ni 0$ 

$$\int_{\mathcal{T}} |\partial \varphi|^2 + \frac{1}{2} v |\varphi|^2 \ge \int_{\mathcal{T}} \frac{2}{R - a} \left( \delta_R + \delta_{-R} \right) |\varphi|^2, \qquad (16)$$

where a is the s-wave scattering length.

Hence we have, denoting  $\mathfrak{r}_i(x) = \min_j (|x_i - x_j|)$ 

$$\int \sum_{i} |\partial_{i}\Psi|^{2} + \sum_{i \neq j} \frac{1}{2} v_{ij} |\Psi|^{2} \ge$$

$$\int \sum_{i} |\partial_{i}\Psi|^{2} \chi_{\mathfrak{r}_{i}(x)>R} + \sum_{i} \frac{2}{R-a} \delta(\mathfrak{r}_{i}(x)-R) |\Psi|^{2}.$$





## Reducing to the LL model

Define  $\psi \in L^2([0, \ell - (n-1)R]^n)$  by

$$\psi(x_1, x_2, ..., x_n) = \Psi(x_1, R + x_2, ..., (n-1)R + x_n),$$

for  $x_1 \leq x_2 \leq ... \leq x_n$  and symmetrically extended.

Then

$$\mathcal{E}(\Psi) \ge E_{LL}^N(n, \ell - (n-1)R, 2/(R-a)) \langle \psi | \psi \rangle$$

$$\ge n \frac{\pi^2}{3} \rho^2 \left( 1 + 2\rho(a - \mathcal{R}) + 2\rho \mathcal{R} - \text{const. } \frac{1}{N^{2/3}} \right) \langle \psi | \psi \rangle.$$
(18)



# Lower bound for mass of $\boldsymbol{\psi}$

Lemma 5

Let  $\psi$  be defined as above, then

$$1 - \langle \psi | \psi \rangle \le \text{const.} \quad \left( R^2 \sum_{i < j} \int_{B_{ij}} |\partial_i \Psi|^2 + R(R - a) \sum_{i < j} \int v_{ij} |\Psi|^2 \right). \tag{19}$$

Combining lemmas 4 and 5 we have the following lemma:

Lemma 6

Let C denote the constant in lemma 5. For  $n(\rho R)^2 \leq \frac{3}{16\pi^2}C$ ,  $\rho R \ll 1$  and  $R>2\,|a|$  we have

$$\langle \psi | \psi \rangle \ge 1 - \text{const.} \left( n(\rho R)^3 + n^{1/3} (\rho R)^2 \right).$$
 (20)



#### Lower bound

By the reduction to the LL model we find

#### Proposition 1

For assumptions as in lemma 6 we have

$$E^{N}(n,\ell) \ge n \frac{\pi^{2}}{3} \rho^{2} \left( 1 + 2\rho a + \text{const.} \left( \frac{1}{n^{2/3}} + n(\rho R)^{3} + n^{1/3} (\rho R)^{2} \right) \right). \tag{21}$$

#### Corollary 1

For  $n = \text{const.} \ (\rho R)^{-9/5}$  we have

$$E^{N}(n,\ell) \ge n\frac{\pi^{2}}{3}\rho^{2}\left(1 + 2\rho a - \text{const.}\left((\rho R)^{6/5} + (\rho R)^{7/5}\right)\right).$$
 (22)



### Lower bound localization

To prove the lower bound, we localize, as in the upper bound, to smaller boxes.

#### Lemma 7

Let  $\Xi \geq 4$  be fixed and let  $n=m\Xi\rho\ell+n_0$  with  $n_0\in[0,\Xi\rho\ell)$  for some  $m\in\mathbb{N}$  with  $n^*:=\rho\ell=\mathcal{O}(\rho R)^{-9/5}$ . Furthermore, assume that  $\rho R\ll 1$  and let  $\mu=\pi^2\rho^2\left(1+\frac{8}{3}\rho a\right)$ , then

$$E^{N}(n,\ell) - \mu n \ge E^{N}(n_0,\ell) - \mu n_0.$$
 (23)

Theorem 5 (Lower bound)

Let  $E^N(N,L)$  denote the ground state energy of  $\mathcal E$  with Neumann boundary conditions. Then for  $\rho R\ll 1$ 

$$E^{N}(N,L) \ge N \frac{\pi^{2}}{3} \rho^{2} \left( 1 + 2\rho a - \mathcal{O}\left( (\rho R)^{6/5} \right) \right).$$
 (24)



#### **Fermions**

For fermions,  $\tilde{\Psi}_F=\Psi_F$ ,  $\omega$  is the p-wave scattering solution, and a in Dyson's lemma is replaced by  $a_p$ , *i.e.* the p-wave scattering length. Hence we find the following theorem:

Theorem 6 (Fermions)

Let  $v \in L^1 + h.c.p$  with range $(v) = R_0$ . Let  $R = \max(2a_p, R_0)$ , then for  $\rho R \ll 1$  and  $N^{-1} = \mathcal{O}(\rho R)^{6/5}$  we have

$$E_F(N, L) = E_0 \left( 1 + 2\rho a_p + \mathcal{O}\left((\rho R)^{6/5}\right) \right),$$
 (25)

This is consistent with lower bound  $E_F(N,L) \ge E_0$ , since  $a_p \ge 0$ .



Thanks for your attention!

