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Problem 1

(a) $T: X \rightarrow Y$ a linear map.

WTS $\|x\|_0 = \|x\|_X + \|Tx\|_Y$ is a norm on X .

$$\begin{aligned}(1) \quad \|x+y\|_0 &= \|x+y\|_X + \|T(x+y)\|_Y \\ &\leq \|x\|_X + \|y\|_X + \|Tx + Ty\|_Y \\ &\leq \|x\|_X + \|Tx\|_Y + \|y\|_X + \|Ty\|_Y = \|x\|_0 + \|y\|_0.\end{aligned}$$

$$\begin{aligned}(2) \quad \|\alpha(x+y)\|_0 &= \|\alpha(x+y)\|_X + \|T(\alpha(x+y))\|_Y \\ &= |\alpha| \|x+y\|_X + |\alpha| \|T(x+y)\|_Y \\ &= |\alpha| \|x+y\|_0.\end{aligned}$$

$$\begin{aligned}(3) \quad \|x\|_0 = 0 &\iff \|x\|_X + \|Tx\|_Y = 0 \iff \|x\|_X = 0 \text{ and } \|Tx\|_Y = 0. \quad \begin{array}{l} \text{since} \\ \|x\|_X \geq 0 \\ \|Tx\|_Y \geq 0 \end{array} \\ &\iff x = 0.\end{aligned}$$

To show $\|\cdot\|_X, \|\cdot\|_0$ equivalent $\iff T$ is bounded

" \Rightarrow " If $\|\cdot\|_X, \|\cdot\|_0$ are equivalent $\exists C > 0$.
 $C\|\cdot\|_0 \leq \|\cdot\|_X \leq \|\cdot\|_0$. Then for all $x \in X$.

$$C\|x\|_0 \leq \|x\|_X.$$

$$C(\|x\|_X + \|Tx\|_Y) \leq \|x\|_X.$$

$$\|Tx\|_Y \leq \frac{1-C}{C} \|x\|_X.$$

$$\|T\| = \sup \{ \|Tx\|_Y : \|x\|_X = 1 \} \leq \frac{1-C}{C}.$$

So T is bounded

" \Leftarrow " If T is bounded then $\exists C$ for all $x \in X$.

$$\|Tx\|_Y \leq C\|x\|_X.$$

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \leq (1+C)\|x\|_X.$$

$$\frac{\|x\|_0}{1+C} \leq \|x\|_X \leq \|x\|_0.$$

then $\|\cdot\|_X, \|\cdot\|_0$ are equivalent.

(b). Show any linear map $T: X \rightarrow Y$ is bounded, if X is finite dimensional.

By theorem 1.6. any two norm are equivalent. Take $\|\cdot\|_\infty$ on X .

And choose a basis of X . $\{e_1, \dots, e_n\}$.

$$Tx = \sum a_i Te_i$$

$$\|Tx\|_Y \leq \left\| \sum_i a_i Te_i \right\| \leq \sum_i |a_i| \|Te_i\|_Y.$$

$$\text{Let } C = \sup \{ \|Te_i\|_Y \}.$$

$$\|Tx\|_Y \leq \sum_i |a_i| \|Te_i\|_Y \leq \sum_i |a_i| C \leq n \max \{ |a_i| \mid i=1, \dots, n \} C = nC \|x\|_\infty$$

□

(c). Suppose that X is infinite dimensional.

WTS $\exists T: X \rightarrow Y$ which is not bounded.

Then we can take a Hamel basis for X . $\{e_i\}_{i \in I}$ where

I is infinite. then we can take a countable subset M of I

Choose $y \in Y$ define map $T: X \rightarrow Y$. $T(e_i) = iy \quad i \in \mathbb{N}$.

So $\nexists r \quad T(\overline{B(0,1)_X}) \subseteq \overline{B(0,r)_Y}$ then T is not bounded.

(d) Suppose that X is infinite dimensional. By (a) and (c) we define $\|\cdot\|_0$ by $\|x\|_0 = \|x\|_X + \|Tx\|_Y$ where $T(e_i) = iy \quad y \in Y, i \in \mathbb{N}$.

$$\|x\|_X \leq \|x\|_0 + \|Tx\|_Y \quad \text{Since } T \text{ is not bounded so } \nexists C$$

$$\|Tx\|_Y \leq C \|x\|_X. \quad \text{then } \nexists M \quad M \|x\|_0 \leq \|x\|_X.$$

Moreover if $(X, \|\cdot\|_X)$ is a Banach space, WTS $(X, \|\cdot\|_0)$ is not a Banach space.

if $(x_i)_{i \in \mathbb{N}}$ is a Cauchy sequence in $(X, \|\cdot\|_0)$ then

for $\forall \varepsilon > 0 \exists N \in \mathbb{N}$ which $\forall m, n > N \quad \|x_m - x_n\|_X \leq \|x_m - x_n\|_0 < \varepsilon$.

So $(x_i)_{i \in \mathbb{N}}$ is a Cauchy sequence in $(X, \|\cdot\|_0)$

If x is a limit point of the Cauchy sequence $(x_i)_{i \in \mathbb{N}}$ in $(X, \|\cdot\|_0)$

then $\lim_{n \rightarrow \infty} \|x_n - x\|_x \leq \lim_{n \rightarrow \infty} \|x_n - x\|_0 = 0$.

So x is also the limit point of $(x_i)_{i \in \mathbb{N}}$ in $(X, \|\cdot\|_x)$.

Construct a Cauchy sequence by $x_n = \frac{e_n}{n}$ where $\{e_i\}_{i \in \mathbb{N}}$ is the subset of Hamel basis of X with norm 1.

$$\begin{aligned} \|x_m - x_n\|_0 &= \|x_m - x_n\|_x + \|T(x_m - x_n)\|_Y \\ &= \|x_m - x_n\|_x + \left\| \frac{my}{m} - \frac{ny}{n} \right\|_Y \\ &= \|x_m - x_n\|_x \\ &\leq \|x_m\|_x + \|x_n\|_x = \frac{1}{n} + \frac{1}{m} \end{aligned}$$

$\forall \varepsilon > 0$. take $N > \frac{2}{\varepsilon}$ $N \in \mathbb{N}$ $\|x_m - x_n\|_0 \leq \frac{1}{n} + \frac{1}{m} \leq \frac{2}{N} < \varepsilon \quad \forall m, n > N$.

So it is a Cauchy sequence in both $(X, \|\cdot\|_0)$, $(X, \|\cdot\|_x)$.

In $(X, \|\cdot\|_x)$ we have $x_n \rightarrow 0 \quad n \rightarrow \infty$

$$\lim_{n \rightarrow \infty} \|x_n - 0\| = \lim_{n \rightarrow \infty} \left\| \frac{e_n}{n} \right\| = 0.$$

So if $(X, \|\cdot\|_0)$ is complete then 0 is also the limit point of $(x_i)_{i \in \mathbb{N}}$ in $(X, \|\cdot\|_0)$

$$\lim_{n \rightarrow \infty} \|x_n\|_0 = \lim_{n \rightarrow \infty} \left\| \frac{e_n}{n} \right\| + \lim_{n \rightarrow \infty} \left\| T\left(\frac{e_n}{n}\right) \right\|_Y = \|y\|_Y.$$

$$\|0\|_0 = 0 \neq \|y\|_Y$$

So 0 is not the limit point of $(x_i)_{i \in \mathbb{N}}$. So $(X, \|\cdot\|_0)$ is not complete

(e). Take $\|\cdot\|'$ in $(X, \|\cdot\|) = (\ell_1(\mathbb{N}), \|\cdot\|_1)$.

$$\|\cdot\|' = \sum_n \frac{|x_n|}{n} \quad e_i = (0, \dots, \underset{i\text{-th}}{1}, \dots, 0, \dots)$$

$$\text{Indeed } \|\cdot\|' \leq \|\cdot\|_1 \quad \forall c > 0 \quad \exists i > \frac{1}{c} \quad \|e_i\|' \leq c \|e_i\|_1$$

So $\|\cdot\|'$ is not equivalent to $\|\cdot\|_1$

If x is the limit point of a Cauchy sequence in $(\ell_1(\mathbb{N}), \|\cdot\|_1)$

$$\|x_m - x_n\|' \leq \|x_m - x_n\|_1, \quad \|x_n - x\|' \leq \|x_n - x\|_1$$

x should also be the limit point of the same Cauchy sequence in $(\ell_1(\mathbb{N}), \|\cdot\|')$

2.

$$(a) \quad M = \{(a, b, 0, \dots) \mid a, b \in \mathbb{C}\} \subseteq (\ell_p(\mathbb{N}), \|\cdot\|_p)$$

$$f(a, b, 0, \dots) = a + b. \quad \text{let } b \neq 0 \quad a > b$$

$$\|f\| = \sup \left\{ \frac{\|f(x)\|}{\|x\|} \right\} = \sup \frac{|a+b|}{(|a|^p + |b|^p)^{\frac{1}{p}}}$$

By Hölder's inequality we have

$$\sum_{k=1}^n |x_k y_k| \leq \left(\sum_{k=1}^n |x_k|^p \right)^{\frac{1}{p}} \left(\sum_{k=1}^n |y_k|^q \right)^{\frac{1}{q}}$$

$$y_1 = 1 \quad y_2 = 1 \quad x_1 = a \quad x_2 = b$$

$$|a| + |b| \leq (|a|^p + |b|^p)^{\frac{1}{p}} (1 + 1)^{\frac{1}{q}}$$

$$\text{So } \|f\| = \sup \frac{|a+b|}{(|a|^p + |b|^p)^{\frac{1}{p}}} \leq \sup \frac{|a| + |b|}{2^{\frac{1}{q}} (|a| + |b|)} \leq (1+1)^{\frac{1}{q}} = 2^{1-\frac{1}{p}}$$

$\|f\|$ is bounded

We can take $a = b$

$$\|f\| \geq \frac{|2a|}{(|a|^p + |a|^p)^{\frac{1}{p}}} = \frac{|2a|}{2^{\frac{1}{p}} |a|} = 2^{1-\frac{1}{p}}$$

$$\text{So } \|f\| = 2^{1-\frac{1}{p}}$$

(2) $1 < p < \infty$ $f \in \mathcal{L}(M, K)$. by cor 2.6 $\exists F$ on $\ell_p(\mathbb{N})$

Set $F|_M = f$ $\|F\| = \|f\|$. Then we have to show the

uniqueness we naturally have one $F(a, b, c, \dots) = a + b$.

Claim $F' = F + \delta_3 + \delta_4 + \dots$ δ_i is the dual basis

$$\text{defined by } \delta_i(e_m) = \delta_{im} = \begin{cases} 1 & m=i \\ 0 & m \neq i \end{cases}$$

$\|F'\| > \|f\|$ if $\exists \alpha_i \neq 0$. we just prove $\alpha_3 \neq 0$.

$$\|F'\| = \|a\delta_1 + b\delta_2 + \alpha_3\delta_3\|$$

$$= \sup \frac{|a+b+\alpha_3|}{(|a|^p + |b|^p + |\alpha_3|^p)^{\frac{1}{p}}}$$

$$= \sup \frac{|a+b+\alpha_3|}{(a^p + b^p + (\frac{1}{p})^{\frac{1}{p}}(\alpha_3^q + 1 + 1)^{\frac{1}{q}})^{\frac{1}{p}}}$$

$$\leq \sup \frac{|a| + |b| + |\alpha_3|}{(\frac{1}{2^q + \alpha_3^q})(|a| + |b| + |\alpha_3|)} = 2^q + \alpha_3^q \quad \leftarrow \text{by holder inequality.} \quad q = \frac{p-1}{p}$$

take $a=b=\alpha_3 \quad a+b+\alpha_3=1$

we get $F'(a, b, \alpha_3, 0, \dots) = 2^q + \alpha_3^q$

$$\|F'\| = 2^q + \alpha_3^q > \|f\|.$$

In general $\|F'\|_p > \|f\|_p$ when $\exists \alpha_i \neq 0$.

(3)

we know that when $p=1 \quad \|F\|=1$

$$\|F'\|_1 = \|a\delta_1 + b\delta_2 + \alpha_3\delta_3 + \dots + \alpha_n\delta_n + \dots\|_1 = \| \delta_1 + \delta_2 \|_1 = 1 \quad \forall \alpha_i \in \mathbb{C}$$

$$\|F'\| = \sup \frac{|a+b+\alpha_3+\dots|}{|a|+|b|+|\alpha_3|+\dots} \leq \sup \frac{|a|+\dots+}{|a|+\dots+} = 1$$

take $a=b=\alpha_3 = \dots$

we have $\|F'\| \geq 1$

so $\|F'\| = 1 = \|f\|.$

□

3.

(a) X is an infinite dimensional normed vector space over K .

We can take $(n+1)$ linearly independent elements x_1, \dots, x_{n+1} from X . Let $S = \{x_1, \dots, x_{n+1}\}$ $M = \text{Span } S$.

$T|_M : X \rightarrow K^n$. Suppose T is injective. then $T|_M$ is also injective. M is finite dimensional. then we have.

$$\dim M = \dim \text{Im } T|_M + \dim \ker T|_M$$

$$T|_M \text{ injective} \Rightarrow \dim \ker T|_M = 0.$$

$$\dim M = \dim \text{Im } T|_M = n+1 > \dim K^n = n, \quad (K = \mathbb{R})$$

for $K = \mathbb{C}$ we just choose $2n+1$ elements for S .

(b) $f_1, \dots, f_n \in X^*$. WTS. $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$

Consider $F(x) = (f_1(x), f_2(x), \dots, f_n(x))$. $x \in X$

X infinite dimension $\Rightarrow F: X \rightarrow K^n$ is not injective

So $\ker F \neq \{0\}$. $x \in \ker F$ $F(x) = (f_1(x), \dots, f_n(x)) = 0$.

$$x \in \bigcap_{i=1}^n \ker f_i$$

$$\Rightarrow \bigcap_{i=1}^n \ker f_i \neq \{0\}.$$

(c) Let $x_1, \dots, x_n \in X$.

For each x_i . By thm 2.7 (b).

we can find a map $f_i \in X^*$.

$\|f_i\| = 1$ $f_i(x) = \|x_i\|$ we have if $y \in \ker f_i$

$$\|y - x_i\| = \|f_i\| \|y - x_i\| = \|f_i(y - x_i)\| = \| -f_i(x_i) \| = \|x_i\|,$$

then we choose y from $\ker F$ (F is defined in (b) for f_i).

$$y \in \ker F \Rightarrow y \in \bigcap_{i=1}^n \ker f_i \Rightarrow \|y - x_i\| \geq \|x_i\|$$

$\ker F$ is a subspace of X .

So we can take $\|y\| = 1$.

(d). WTS One can not cover the unit sphere $S = \{x \in X : \|x\| = 1\}$ with a finite family of closed balls in X s.t. none of the balls contain 0.

Suppose \exists finite closed ball $\{B(x_i, r_i) : r_i < \|x_i\|\}_{i \in I}$ By (c) we can find $y \in S$. $\|y - x_i\| \geq \|x_i\| > r_i$ $y \notin B(x_i, r) \cap S$.

$y \notin \bigcup_i (B(x_i, r) \cap S)$ so $\{B(x_i, r) : r_i < \|x_i\|\}_{i \in I}$ can not cover S

(e). WTS S is non-compact. We choose point step by step.

Take arbitrary $x_1 \in S$. use (c) find x_2 . $x_2 \in S$. $\|x_2 - x_1\| \geq \|x_1\| = 1$.

And then choose $x_3, x_4, \dots \in S$. for any finite sequence x_1, \dots, x_n we can always choose x_{n+1} .

Therefore we get an infinite sequence $(x_i)_{i \in \mathbb{N}}$ which satisfies $\forall m, n \in \mathbb{N}$
 $\|x_m - x_n\| \geq \|x_n\| = 1$

for all $x \in S$ take a neighborhood of x on S

$N_x(x, \frac{1}{2}) = B(x, \frac{1}{2}) \cap S$ if $\exists x_m \in (x_i)_{i \in \mathbb{N}}$ $x_m \in N_x(x, \frac{1}{2})$

for all $x_n \in (x_i)_{i \in \mathbb{N}}$ $n \neq m$.

$\|x_n - x\| \geq \|x_n - x_m\| - \|x_m - x\| \geq 1 - \frac{1}{2} = \frac{1}{2}$ so $x_n \notin N_x(x, \frac{1}{2})$

so $\forall x \in S$ is not the limit point of any subsequence of $(x_i)_{i \in \mathbb{N}}$.

$(x_i)_{i \in \mathbb{N}}$ is an infinite sequence that has not convergent subsequence.

so S is non-compact.

For closed unit ball use the same construction of the sequence

and choose neighborhood for $\forall x \in \overline{B(0,1)}$ $N_x(x, \frac{1}{2}) = B(x, \frac{1}{2}) \cap \overline{B(0,1)}$

we still have $\|x_n - x\| \geq \|x_n - x_m\| - \|x_m - x\| \geq \frac{1}{2}$

so $\overline{B(0,1)}$ is non-compact.

$$(4) E_n = \left\{ f \in L_1([0,1], m) : \int_{[0,1]} |f|^3 dm \leq n \right\}.$$

(a) $n \geq 1$ Claim E_n is convex but not absorbing.

$$\begin{aligned} f_1, f_2 \in E_n \quad \| \alpha f_1 + (1-\alpha) f_2 \|_p^p &\leq (\| \alpha f_1 \|_p^p + \| (1-\alpha) f_2 \|_p^p)^p \\ &\leq (\alpha^p \sqrt[n]{n} + (1-\alpha)^p \sqrt[n]{n})^p \\ &= (\alpha^p + (1-\alpha)^p) n \\ &= (\alpha^3 + (1-\alpha)^3) n \leq n. \end{aligned}$$

Suppose E_n is absorbing

$$\exists t > 0. \quad \forall x \in L_1([0,1], m) \quad t x \in E_n.$$

$$\text{for } f \in L_1([0,1], m) \setminus E_n \quad \int_{[0,1]} |f| dm < \infty. \quad \int_{[0,1]} |f|^3 dm > n.$$

$$\text{we have } \int_{[0,1]} |tf|^3 dm \leq n. \text{ let } f' = \frac{f}{t}$$

$$\text{we find that } \int_{[0,1]} |f'| dm = \frac{1}{t} \int_{[0,1]} |f| dm < \infty. \quad f' \in L_1$$

$$\text{But } \int_{[0,1]} |tf'|^3 dm = \int_{[0,1]} |f|^3 dm \geq n \quad tf' \notin E_n.$$

$\Rightarrow E_n$ is not absorbing.

(b) Show that E_n has empty interior in $L_1([0,1], m)$ for all $n \geq 1$.

It is equivalent to show that $\forall \varepsilon > 0 \quad \forall f \in E_n \quad \exists f' \in L_1 \setminus E_n.$

$$\|f - f'\| < \varepsilon.$$

$$\text{To construct } f' \quad \text{let } f' - f = \begin{cases} \frac{6\sqrt{n}}{\sqrt{\varepsilon}} & [0, \frac{\sqrt[3]{\varepsilon^3}}{8\sqrt{n}}] \\ 0 & (\frac{\sqrt[3]{\varepsilon^3}}{8\sqrt{n}}, 1] \end{cases}$$

$$\int |f' - f| dm = \frac{6\sqrt{n}}{\sqrt{\varepsilon}} \cdot \frac{\sqrt[3]{\varepsilon^3}}{8\sqrt{n}} = \frac{3}{4}\varepsilon < \varepsilon.$$

$$\int |f' - f|^3 dm = \left(\frac{6\sqrt{n}}{\sqrt{\varepsilon}}\right)^3 \cdot \frac{\sqrt[3]{\varepsilon^3}}{8\sqrt{n}} = 27n.$$

$$\|f' - f\|_3 = \sqrt[3]{27n}$$

$$\|f\|_3 \leq \sqrt[3]{n}$$

$$\|f'\|_3 \geq \|f' - f\|_3 - \|f\|_3 \geq \sqrt[3]{n}$$

$$\|f'\|_3^3 \geq 8n.$$

so $f' \notin E_n$.

(c) Show that E_n is closed in $L_1([0,1], m)$ all $n \geq 1$

$f_n \in E_n$ $(x_n)_{n \in \mathbb{N}}$ is a convergent Cauchy sequence in $L_1([0,1], m)$ converge to $\{f_{n_k}\}$

take a subsequence \wedge converge pointwise to f -a.e.

By Fatou's lemma.

$$\int_{[0,1]} \liminf |f_{n_k}|^3 dm = \int_{[0,1]} |f|^3 dm < \liminf \int_{[0,1]} |f_{n_k}|^3 dm$$

$\in \mathbb{N}$

So f is in E_n .

(d) $L_3([0,1], m)$ is of the first category in $L_1([0,1], m)$

$E_n \subset L_3([0,1], m)$. $\bar{E}_n = E_n \Leftarrow E_n$ closed in L_1 .

By (b) E_n has empty interior $\text{Int}(\bar{E}_n) = \text{Int}(E_n) = \emptyset$

$$L_3([0,1], m) = \bigcup_{i=1}^{\infty} E_n \quad \forall f \in L_3 \quad \exists M \in \mathbb{N} \|f\|_3 < M.$$

$f \in E_M$ $L_3([0,1], m) \subseteq \bigcup_{i=1}^{\infty} E_n$ so $L_3([0,1], m)$ is of the first category in $L_1([0,1], m)$.

Problem 5.

H is an infinite dimensional separable Hilbert space.

(a) $x_n \rightarrow x$ in norm. it follows that $\|x_n\| \rightarrow \|x\|$.

as $n \rightarrow \infty$

Pf: $\lim_{n \rightarrow \infty} \|x_n - x\| = 0$

$$\|x\| \leq \|x_n - x\| + \|x_n\|.$$

$$\|x_n\| \leq \|x\| + \|x_n - x\|.$$

which implies $\lim_{n \rightarrow \infty} \|x_n\| \rightarrow \|x\|$.

(b) $x_n \rightarrow x$ weakly. It does not follow that

$$\|x_n\| \rightarrow \|x\| \text{ as } n \rightarrow \infty.$$

By Homework 4. Pr2 and Pr3.

$$x_n \rightarrow x \text{ weakly} \iff$$

$$\langle x_n, y \rangle \rightarrow \langle x, y \rangle \text{ for all } y \in H. \text{ as } n \rightarrow \infty.$$

$$\iff$$

$$\langle x_n, e_i \rangle \rightarrow \langle x, e_i \rangle \text{ for all } e_i \in \{e_i\}_{i \in \mathbb{N}}$$

$$\text{Choose } x_i = e_i. \quad x = 0.$$

$$\langle x_n, e_i \rangle \rightarrow 0 = \langle x, e_i \rangle.$$

$$\|x_n\| = \|e_i\| = 1. \text{ which does not converge to } \|x\| = 0.$$

(c). It is true

By proposition 2.7 (b)

$$0 \neq x \in X \ni f \in X^* \quad f(x) = \|x\| \quad \|f\| = 1.$$

Then the weak convergence of $x_n \rightarrow x$.

$$\|x\| = f(x) = \lim_{n \rightarrow \infty} f(x_i) = \lim_{n \rightarrow \infty} |f(x_i)| \leq \sup \|f\| \|x_i\| = \sup \|x_i\| = 1.$$

\uparrow weak conv. \uparrow conv. \Rightarrow abs. conv.