Mandatory Assignment 1 Functional Analysis

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Problem 1 Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be normed vector spaces over K, where $K = \mathbb{R}$ or \mathbb{C} .

(a) Let $T: X \to Y$ be a linear map. Set $||x||_0 = ||x||_X + ||Tx||_Y$, for all $x \in X$. We wish to show, that $||x||_0$ is a norm on X.

If $||x||_0$ is a norm on X, then the following holds

- a. $||u+v||_0 \le ||u||_0 + ||v||_0$, $u, v \in X$.
- b. $\|\alpha u\|_0 = |\alpha| \|u\|_0, \alpha \in K, u \in X.$
- c. $||u||_0 = 0$ if and only if u = 0.

First, we check a) (the triangle inequality). For $u, v \in X$ we have

$$||u + v||_0 = ||u + v||_X + ||T(u + v)||_Y.$$

Since *T* is linear, we have

$$||u + v||_0 = ||u + v||_X + ||Tu + Tv||_Y.$$

Since we know, that $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ are normed vector spaces, we have

$$||u + v||_0 \le ||u||_X + ||v||_X + ||Tu||_Y + ||Tu||_Y.$$

If we relocate the joints, we have

$$||u+v||_0 \le ||u||_X + ||Tu||_Y + ||v||_X + ||Tu||_Y.$$

Thus, we have

$$\|u+v\|_0 \leq \|u\|_0 + \|v\|_0,$$

and the triangle inequality holds.

Now, we check b) (homogeneity). For $u \in X$ we have

$$\|\alpha u\|_0 = \|\alpha u\|_X + \|T(\alpha u)\|_Y.$$

Since T is linear, we have

$$\|\alpha u\|_0 = \|\alpha u\|_X + \|\alpha T u\|_Y.$$

Since we know, that $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ are normed vector spaces, we have

$$\|\alpha u\|_0 = |\alpha| \|u\|_X + |\alpha| \|Tu\|_Y.$$

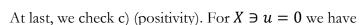
If we factorize, we have

$$\|\alpha u\|_0 = |\alpha|(\|u\|_X + \|Tu\|_Y).$$

Thus, we have

$$\|\alpha u\|_0 = |\alpha| \|u\|_0$$

and the homogeneity holds.



$$||0||_0 = ||0||_X + ||T(0)||_Y$$
.

Since T is linear, we have

$$||0||_0 = ||0||_X + ||0||_Y.$$

Since we know, that $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ are normed vector spaces, we have

$$\|0\|_0 = 0 + 0.$$

Thus, we have

$$||0||_0 = 0.$$

For the converse, suppose that for $u \in X$, we have

$$||u||_0 = 0.$$

Hence,

$$||u||_X + ||Tu||_Y = 0.$$

Since we know, that $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ are normed vector spaces, and T is linear, we have

$$u=0$$
,

Now, we wish to show, that the two norms $\|\cdot\|_X$ and $\|\cdot\|_0$ are equivalent if and only if T is bounded. Since $||x||_0 = ||x||_X + ||Tx||_Y$ for all $x \in X$, we have

$$\|\cdot\|_X \leq \|\cdot\|_0$$
. Do the calculation.

Suppose that T is bounded. Thus, there exists $\mathcal C$ with

$$\|\cdot\|_0 \leq C\|\cdot\|_x$$

and the two norms are equivalent.

Suppose the two norms are equivalent. Then there exists C_1 and C_2 such that

$$C_1 \| \cdot \|_X \le \| \cdot \|_0 \le C_2 \| \cdot \|_x$$
.

If $\|\cdot\|_0 \le C_2 \|\cdot\|_x$, then T is bounded.

(b) We wish to show that any linear map $T: X \to Y$ is bounded, if X is finite dimensional. Assume that X is finite dimensional, and that $\dim(X) = n$. Then there exists a basis $\{e_1, \dots, e_n\}$ of X such that every element of X is a linear combination of the form

$$x = \alpha_1 e_1 + \alpha_2 e_2 + \dots + \alpha_n e_n,$$

where $\alpha_1, ..., \alpha_n \in K$.

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For each $x \in X$ we have that

$$||Tx||_{Y} = ||T(\alpha_{1}e_{1} + \alpha_{2}e_{2} + \dots + \alpha_{n}e_{n})||_{Y}$$

$$= ||\alpha_{1}Te_{1} + \alpha_{2}Te_{2} + \dots + \alpha_{n}Te_{n}||_{Y}$$

$$\leq \sum_{k=1}^{n} |\alpha_{k}| ||Te_{k}||_{Y}.$$

Define M as follows

$$M = \left(\sum_{k=1}^{n} \|Te_k\|^2\right)^{\frac{1}{2}}.$$

Then by the Cauchy-Schwartz inequality we have that

$$||Tx||_{Y} \leq \left(\sum_{k=1}^{n} |\alpha_{k}|^{2}\right)^{\frac{1}{2}} \left(\sum_{k=1}^{n} ||Te_{k}||_{Y}^{2}\right)^{\frac{1}{2}}$$

$$\leq \left(\sum_{k=1}^{n} |\alpha_{k}|^{2}\right)^{\frac{1}{2}} \cdot M.$$

From Theorem 1.6 (lecture notes) we have

$$||Tx||_Y \leq M||x||_*.$$

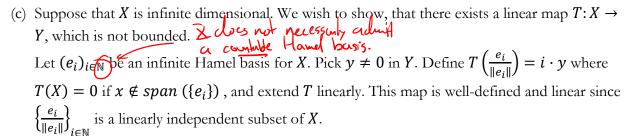
Since $\|\cdot\|_X$ and $\|\cdot\|_*$ are equivalent norms, by Definition 1.4 (lecture notes) there exists $0 < C_1 \le C_2 < \infty$ such that for every $x \in X$ we have

$$C_1 ||x||_X \le ||x||_* \le C_2 ||x||_X$$

Hence, for every $x \in X$ we have

$$||Tx||_Y \leq MC_2 ||x||_X,$$

thus, *T* is bounded.



Since

$$\left\{\frac{e_i}{\|e_i\|}\right\}_{i\in\mathbb{N}}\subseteq\left\{x\in X:\;\|x\|\leq 1\right\}$$

and

$$\sup_{\{x \in X: \, ||x|| \le 1\}} ||T(x)|| \ge n||y|| > 0$$

for each $n \in \mathbb{N}$, T is not bounded.



(d) Suppose again that X is infinite dimensional. We wish to argue that there exists a norm $\|\cdot\|_0$ on X that is not equivalent to the given norm $\|x\|_X$, and which satisfies $\|x\|_X \le \|x\|_0$, for all $x \in X$.

Define
$$T: X \to Y$$
 as above. We have $||x||_0 = ||x||_X + ||Tx||_Y$ for all $x \in X$, thus $||\cdot||_X \le ||\cdot||_0$,

for all $x \in X$.

Since T is not bounded there exists no $0 < C < \infty$ such that $\|\cdot\|_0 \le C\|\cdot\|_X$. Hence the two norms are not equivalent. This means, that the identity from $(X, \|\cdot\|_X)$ to $(X, \|\cdot\|_0)$ is not a homeomorphism. Thus, if they were both Banach spaces, the identity $(X, \|\cdot\|_0)$ to $(X, \|\cdot\|_X)$ would be open, by the open mapping theorem, but since the identity the other way around is not continuous, it is not. Hence, $(X, \|\cdot\|_0)$ is not a Banach space if $(X, \|\cdot\|_X)$ is.

(e) We wish to give an example of a vector space X equipped with two inequivalent norms $\|\cdot\|$ and $\|\cdot\|'$ satisfying $\|x\|' \le \|x\|$ for all $x \in X$, such that $(X, \|\cdot\|)$ is complete, while $(X, \|\cdot\|')$ is not.

If we take $(X, \|\cdot\|) = (\ell_1(\mathbb{N}), \|\cdot\|_1)$ and $(X, \|\cdot\|') = (\ell_1(\mathbb{N}), \|\cdot\|_{\infty})$, where

$$||x||_1 = \sum_{i=1}^{\infty} |x_i|$$

and

$$||x||_{\infty} = \sup\{|x_i| : i \ge 1\}.$$

For all $x \in X$ we have

$$||x||_{\infty} \le ||x||_{1}$$
.

The two norms are inequivalent since there exists no $0 < C < \infty$ such that $\|\cdot\|_1 \le C \|\cdot\|_\infty$. $(\ell_1(\mathbb{N}), \|\cdot\|_1)$ is a Banach space and $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$ is not. Need procks of these claying.

Problem 2 Let $1 \le p < \infty$ be fixed, and consider the subspace M of the Banach space $((\ell_p(\mathbb{N}), \|\cdot\|_p), \text{ considered as a vector space over } \mathbb{C}, \text{ given by}$

$$M = \{(a, b, 0, 0, \dots) : a, b \in \mathbb{C}\}.$$

Let $f: M \to \mathbb{C}$ be given by f(a, b, 0, 0, ...) = a + b, for all $a, b \in \mathbb{C}$.

(a) We wish to show that f is bounded on $(M, \|\cdot\|_p)$.

f is bounded if there exists some C > 0 such that $||fx||_p \le C||x||_p$.

Let
$$x = (x_1, x_2, 0, 0, \dots) \in M$$
. As $\frac{1}{p} + \frac{1}{p-1} = 1$ we get by Hölders inequality that
$$|fx| \le |x_1| + |x_2|$$

$$= \sum_{i=1}^{2} |x_i \cdot 1|$$

$$\le \left(\sum_{i=1}^{2} |x_i|^{\frac{1}{p}}\right) \left(\sum_{i=1}^{2} |1|^{\frac{p}{p-1}}\right)^{1-\frac{1}{p}}$$

$$\le \left(\sum_{i=1}^{2} |x_i|^{\frac{1}{p}}\right) \cdot 2^{1-\frac{1}{p}}$$

$$= \|x\|_p \cdot 2^{1 - \frac{1}{p}}.$$

Thus, f is bounded on $(M, \|\cdot\|_p)$.

Now, we wish to compute ||f||.

By the above we have for every $1 \le p < \infty$ that

$$|fx| \le 2^{1-\frac{1}{p}} \cdot ||x||_p.$$

Thus, $2^{1-\frac{1}{p}} \in \{C > 0 : |fx| \le C ||x||_p\}$, hence

$$||f|| = \inf\{C > 0 : |fx| \le C||x||_p\} \le 2^{1-\frac{1}{p}}.$$

Now let $x' = \left(\frac{1}{\frac{1}{2^p}}, \frac{1}{\frac{1}{2^p}}, 0, 0, ...\right)$ then

$$||x'|| = \left(\left|\frac{1}{\frac{1}{2^{\frac{1}{p}}}}\right|^p + \left|\frac{1}{\frac{1}{2^{\frac{1}{p}}}}\right|^p\right)^{\frac{1}{p}} = \left(\frac{1}{2} + \frac{1}{2}\right)^{\frac{1}{p}} = 1,$$

and since

$$|fx'| = \left| \frac{1}{2^{\frac{1}{p}}} + \frac{1}{2^{\frac{1}{p}}} \right| = 2 \frac{1}{2^{\frac{1}{p}}} = 2^{1 - \frac{1}{p}},$$

we have $2^{1-\frac{1}{p}} \in \{|fx|: ||x||_p = 1\}$. Thus,

$$2^{1-\frac{1}{p}} \le \sup\{|fx|: ||x||_p = 1\} = ||f||.$$

Hence, we can conclude $||f|| = 2^{1-\frac{1}{p}}$.



(b) We wish to show that if 1 , then there is a unique linear functional <math>F on $\ell_p(\mathbb{N})$ extending f and satisfying ||F|| = ||f||.

Let $1 . Since <math>f \in M^*$, we know by Corollary 2.6 (lecture notes), that there exists a linear functional $F \in \left(\ell_p(\mathbb{N})\right)^*$, such that $F|_M = f$ and ||F|| = ||f||.

By problem 5 in HW1, we know that if $\frac{1}{p} + \frac{1}{q} = 1$, then we have an isometric isomorphism $\left(\ell_p(\mathbb{N})\right)^* \cong \ell_q(\mathbb{N})$. Hence, we may write $F(x) = \sum_{n=1}^{\infty} x_n y_n$ for $y = (y_n)_{n \ge 1} \in \ell_q(\mathbb{N})$ and $x = (x_n)_{n \ge 1} \in \ell_p(\mathbb{N})$.

By (a) we know that $2^{\frac{1}{q}} = 2^{1-\frac{1}{p}} = ||f|| = ||F||$, and as F is represented by $y \in \ell_q(\mathbb{N})$, we must also have $||y||_q = 2^{\frac{1}{q}}$.

We see that $F|_{M}(x) = f(x) = x_1 + x_2$ so $y = (1, 1, y_3, y_4, \dots)$. Furthermore we get that

$$||y||_q = \left(\sum_{i=1}^{\infty} |y_i|^q\right)^{\frac{1}{q}} = (|1|^q + |1|^q + |y_3|^q + \cdots)^{\frac{1}{q}} = 2^{\frac{1}{q}}.$$

So this forces $y_3, y_4, ... = 0$ and we may conclude y = (1, 1, 0, 0, ...), whereas $F(x) = x_1 + x_2$.

Now assume that $F' \in (\ell_p(\mathbb{N}))^*$ another linear functional, such that $F'|_M = f$ and ||F'|| = ||f||. Then we would get $F'(x) = x_1 + x_2$ by the same argument as above. But this means F(x) = F'(x) which shows that a linear functional extending f and having equal operator norm is unique.

(c) We wish to show that if p = 1, then there are infinitely many linear functionals F on $\ell_1(\mathbb{N})$ extending f and satisfying ||F|| = ||f||.

Let p=1 and define $F_i: \ell_1(\mathbb{N}) \to K$ given by $(x_1, x_2, x_3, ...) \mapsto x_1 + x_2 + x_i$ for i > 2. This is clearly a linear functional on $\ell_1(\mathbb{N})$. Furthermore, we see that $F_i|_M(x) = x_1 + x_2 = f(x)$ for $x \in M$, hence an extension of f.

Now since F_i extends f, we must have that $||F_i|| \ge ||f|| = 2^{1-\frac{1}{1}} = 1$, as supremum is true to inclusions. For the other inequality notice that per definition $||\cdot||_1$ we have

$$\begin{split} \|F_i\|_1 &= \sup\{|F_ix|: \ \|x\|_1 = 1\} \\ &= \sup\{|x_1 + x_2 + x_i|: \ \|x\|_1 = 1\} \\ &\leq \sup\{|x_1| + |x_2| + |x_i|: \ \|x\|_1 = 1\} \\ &\leq 1. \end{split}$$

Thus, we have $||F_i|| = 1$.

Hence F_i is a linear functional extending f and having equal operator norm, and since we would define F_i for any i > 2, we can conclude that there are infinitely many functionals on $\ell_1(\mathbb{N})$ extending f and having equal operator norms.

Problem 3 Let X be an infinite dimensional normed vector space over K, where $K = \mathbb{R}$ or \mathbb{C} .

(a) Let $n \ge 1$ be an integer. We wish to show that no linear map $F: X \to K^n$ is injective. Suppose F is injective. Let $x_1, x_2, ..., x_{n+1}$ be linearly independent in X. $f(x_1), f(x_2), ..., f(x_{n+1})$ linearly dependent in K^n . Thus, there exists $\alpha_1, \alpha_2, ..., \alpha_{n+1}$ not all zero such that

$$\sum_{i=1}^{n+1} \alpha_i F(x_{n+1}) = 0.$$

But since F is linear, we have

$$\sum_{i=1}^{n+1} \alpha_i F(x_{n+1}) = \sum_{i=1}^{n+1} F(\alpha_i x_{n+1}),$$

and $x_1, x_2, ..., x_{n+1}$ linearly independent in X, we have $\alpha_1, \alpha_2, ..., \alpha_{n+1} = 0$, which is a contradiction.

(b) Let $n \geq 1$ be an integer and let $f_1, f_2, ..., f_n \in X^*$. We wish to show that

$$\bigcap_{j=1}^{n} \ker(f_j) \neq \{0\}.$$

For $n \ge 1$ let $f_1, f_2, ..., f_n \in X^*$. If we consider the map $F: X \to K^n$ given by

$$F(x) = (f_1(x), f_2(x), ..., f_n(x)),$$

then

$$\ker f = \bigcap_{j=1}^{n} \ker f_{j}.$$

Thus, if $\bigcap_{j=1}^n \ker f_j = \{0\}$ we would have that f is an injective linear map from an infinite-dimensional space X to a finite dimensional space K^n . This is a contradiction, hence $\bigcap_{j=1}^n \ker f_j \neq \{0\}$.

(c) Let $x_1, x_2, ..., x_n \in X$. We wish to show that there exists $y \in X$ such that ||y|| = 1 and $||y - x_j|| \ge ||x_j||$ for all j = 1, 2, ..., n.

For $1 \le j \le n$ let $f_j \in X^*$ be a bounded functional such that $f_j(x_j) = ||x_j||$ and $||f_j|| = 1$, which exists by Theorem 2.7 (b) (lecture notes). Then the intersection of kernels

$$\bigcap_{j=1}^{n} \ker(f_j)$$

is a non-trivial subspace of X. Define a linear map $f: X \to K^n$ as in (b). Then we know $\bigcap_{i=1}^n \ker f_i \neq \{0\}.$

Pick $y \in \bigcap_{j=1}^n \ker f_j$ suck that ||y|| = 1 and notice $||y - x_j|| = ||f_j|| ||y - x_j|| \ge |f_j(y - x_j)| = |f_j(y) - f_j(x_j)| = |1 - ||x_j|| = ||x_j||.$ Thus, $||y - x_j|| \ge ||x_j||$ for all j = 1, 2, ..., n.



- (d) We wish to show that one cannot cover the unit sphere $S = \{x \in X : ||x|| = 1\}$ with a finite family of closed balls in X such that none of the balls contains zero.
- (e) We wish to show that S is non-compact and deduce further that the closed unit ball in X is non-compact.

We can show, that S is non-compact, by constructing a sequence with Riesz's lemma, that has no convergent subsequence. Take the sequence of points (1,0,0,...), (0,1,0,...), (0,0,1,...)on the unit sphere. This sequence has no convergent subsequence since the distance of any two points is $\sqrt{2}$. Hence, S is non-compact. Since Xis metric space.

in what space X is abstract normed space

Problem 4 Let $L_1([0,1],m)$ and $L_3([0,1],m)$ be the Lebesgue spaces on [0,1]. We recall from HW2 that $L_3([0,1],m) \subsetneq L_1([0,1],m)$. For $n \geq 1$, define

$$E_n := \left\{ f \in L_1([0,1],m) : \int_{[0,1]} |f|^3 dm \le n \right\}.$$

(a) Given $n \ge 1$, we wish to show, that the set $E_n \subset L_1([0,1],m)$ is not absorbing. Let $f \in L_1([0,1],m) \setminus L_3([0,1],m)$ and let t > 0. Then

$$\int_{[0,1]} |t^{-1}f|^3 dm = t^{-3} \int_{[0,1]} |f|^3 dm = \infty.$$

Thus, $t^{-1}f \notin E_n$ for any t > 0, hence E_n is not absorbing.

(b) We wish to show that E_n has empty interior in $L_1([0,1],m)$, for all $n \ge 1$.

Assume for contradiction that there exists some $n \ge 1$ such that E_n does not have empty interior, and let $f_0 \in E_n$. Then there exists an open ball $B(f_0,r)$ around f_0 such that $B(f_0,r) \subseteq E_n$. Let $f \in L_1([0,1],m)$ be arbitrary and define $h := f_0 + \frac{r}{2\|f\|}$. Then

$$||h - f_0|| = \left\| \frac{r}{2} \frac{f}{||f||} \right\| = \frac{r}{2}$$

hence $h \in B(f_0, r) \subseteq E_n$. Thus, we have $f_0, h \in E_n \subseteq L_3([0, 1], m)$ and since we can write f as a linear combination of elements in $L_3([0, 1], m)$, namely $f = \frac{2\|f\|(h-f_0)}{r}$, it follows by the fact that $L_3([0, 1], m)$ is a vector space that $f \in L_3([0, 1], m)$. Thus, we have shown that $L_3([0, 1], m) = L_1([0, 1], m)$ which is a contradiction, hence E_n must have empty interior.

(c) We wish to show that E_n is closed in $L_1([0,1],m)$, for all $n \ge 1$. Let $(f_k)_{k\ge 1} \subseteq E_n$ be a sequence such that $f_k \to f$ as $k \to \infty$ for some $f \in L_1([0,1],m)$. We wish to show that $f \in E_n$. By corollary 2.32 (Folland) there exists a convergent subsequence $\left(f_{k_q}\right)_{q\ge 1}$ such that $f_{k_q} \to f$ as $q \to \infty$ pointwise almost everywhere. It follows that $\left|f_{k_q}\right|^3 \to |f|^3$ pointwise almost everywhere since $|\cdot|^3$ is continuous. By corollary 2.19 (Folland) it follows that

$$\begin{split} \int_{[0,1]} |f|^3 \, dm &\leq \liminf_{q \to \infty} \int_{[0,1]} \left| f_{k_q} \right|^3 dm \\ &\leq \liminf_{q \to \infty} n \\ &= n. \end{split}$$

Thus, $f \in E_n$ and E_n is closed in $L_1([0,1], m)$.

(d) By (b) and (c) we have that $\overline{E_n} = E_n$ and E_n has empty interior, hence E_n is nowhere dense and since $L_3([0,1],m) = \bigcup_n E_n$, a countable union of nowhere dense sets, it follows that $L_3([0,1],m)$ is of first category in $L_1([0,1],m)$.

Problem 5 Let H be an infinite dimensional Hilbert space with associated norm $\|\cdot\|$, let $(x_n)_{n\geq 1}$ be a sequence in H, and let $x\in H$.

(a) Suppose that $x_n \to x$ in norm, as $n \to \infty$. We wish to find out whether it follows that $||x_n|| \to ||x||$, as $n \to \infty$, or not.

By the triangle inequality, we have

$$||x|| = ||x - x_n + x_n|| \le ||x - x_n|| + ||x_n||$$

and

$$||x_n|| = ||x_n - x + x|| \le ||x - x_n|| + ||x||.$$

Thus, we have

$$|||x|| - ||x_n||| \le ||x - x_n||.$$

Let $\epsilon>0$. By the fact that $x_n\to x$, there exists $n_\epsilon\in\mathbb{N}$ such that for $n\geq n_\epsilon$ we have

$$|||x|| - ||x_n||| \le ||x - x_n|| \le \epsilon.$$

Thus, we have

$$||x_n|| \rightarrow ||x||$$

ss $n \to \infty$.

(b) Suppose that $x_n \to x$ weakly, as $n \to \infty$. We wish to show that it does not follow, that $||x_n|| \to ||x||$, as $n \to \infty$.

Consider the sequence $(e_n)_{n\geq 1}\subseteq H$, where $(e_n)_{n\geq 1}$ is an orthonormal basis for H. Since we have $\dim H=\infty$, this basis exists, and we have $\|e_n\|=1$. We wish to show that $e_n\to 0$ weakly, as $n\to\infty$, that is, we need to show that $f(e_n)\to f(0)=0$ for all $f\in H^*$, as $n\to\infty$. Let $f\in H^*$. By Riesz's representation theorem there exists $y\in H$ such that $f(x)=\langle x,y\rangle$ for all $x\in H$. By Bessels inequality it follows that

$$\sum_{n\in\mathbb{N}} |\langle e_n, y \rangle| < \infty.$$

Hence $\sum_{n\in\mathbb{N}} |\langle y,e_n\rangle|$ converges and for all $\epsilon>0$ there exists some $N\in\mathbb{N}$ such that

$$|f(e_n)| = |\langle e_n, y \rangle| < \epsilon$$

for all $n \ge N$. Thus $e_n \to 0$ weakly as $n \to \infty$ and $\|0\| = 0 \ne 1 = \|e_n\|$. Hence, it doesn't follow, that $\|x_n\| \to \|x\|$, as $n \to \infty$.

(c) Suppose that $||x_n|| \le 1$ for all $n \ge 1$, and that $x_n \to x$ weakly, as $n \to \infty$. Using Theorem 5.7 (lecture notes) from the lecture notes, we wish to show that $||x|| \le 1$. Let A be the set of $x_n \in H$ such that $||x|| \le 1$. Then A is convex and closed. Since $x_n \to x$ weakly, we have that $x \in A^{\tau w}$. By Theorem 5.7 (lecture notes) we have that $A^{\tau w} = A^{\|\cdot\|} = A$. Thus, $x \in A$ and $\|x\| \le 1$.