

# Mandatory Assignment 1, Functional Analysis

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**Problem 1** Let  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be (non-zero) normed vector spaces over  $\mathbb{K}$ , where  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ .

(a) Let  $T : X \rightarrow Y$  be a linear map. Set  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$ , for all  $x \in X$ . Show that  $\|\cdot\|_0$  is a norm on  $X$ . Show next that the two norms  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent if and only if  $T$  is bounded.

*Proof.* For  $x, y \in X$ , since  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  are normed vector spaces, we have  $\|x+y\|_X \leq \|x\|_X + \|y\|_X$  and  $\|Tx + Ty\|_Y \leq \|Tx\|_Y + \|Ty\|_Y$ . Hence,

$$\begin{aligned}\|x+y\|_0 &= \|x+y\|_X + \|Tx+Ty\|_Y \\ &\leq \|x\|_X + \|y\|_X + \|Tx\|_Y + \|Ty\|_Y \\ &= (\|x\|_X + \|Tx\|_Y) + (\|y\|_X + \|Ty\|_Y) \\ &= \|x\|_0 + \|y\|_0.\end{aligned}$$

For  $\alpha \in \mathbb{K}$  and  $x \in X$ ,

$$\begin{aligned}\|\alpha x\|_0 &= \|\alpha x\|_X + \|T(\alpha x)\|_Y \\ &= \alpha \|x\|_X + \alpha \|Tx\|_Y \\ &= \alpha (\|x\|_X + \|Tx\|_Y) \\ &= \alpha \|x\|_0.\end{aligned}$$

Should be  $|\alpha|$   
(✓)

For every  $x \in X$

$$\begin{aligned}\|x\|_0 = 0 &\Leftrightarrow \|x\|_X + \|Tx\|_Y = 0 \\ &\Leftrightarrow \|x\|_X = 0 \text{ and } \|Tx\|_Y = 0, \text{ since } \|x\|_X \geq 0 \text{ and } \|Tx\|_Y \geq 0. \\ &\Leftrightarrow x = 0.\end{aligned}$$

Therefore,  $\|\cdot\|_0$  is a norm on  $X$ .

Since  $\|Tx\|_Y \leq \|T\|\|x\|_X$ ,  $\|x\|_0 = \|x\|_X + \|Tx\|_Y \leq \|x\|_X + \|T\|\|x\|_X = (1 + \|T\|)\|x\|_X$ . Put  $c = 1$  and  $C = 1 + \|T\|$ .

$$T \text{ is bounded} \Leftrightarrow \|T\| < \infty \Leftrightarrow C = 1 + \|T\| < \infty.$$

Hence,  $T$  is bounded  $\Leftrightarrow$  there exist  $0 < c \leq C < \infty$  such that  $c\|x\|_X \leq \|x\|_0 \leq C\|x\|_X \Leftrightarrow \|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent.  $\square$

✓  
This only shows  
 $T$  bounded  $\Rightarrow$  norms are equivalent.

(b) Show that any linear map  $T : X \rightarrow Y$  is bounded, if  $X$  is finite dimensional.

*Proof.* Suppose that  $X$  is finite dimensional,  $\dim X = n$ . Consider a basis of  $X$ , denoted as

$\{e_1, e_2, \dots, e_n\}$ . Then every  $x \in X$  can be written as

$$x = \sum_{i=1}^n a_i e_i, \quad a_i \in \mathbb{K}.$$

So

$$Tx = \sum_{i=1}^n a_i T e_i, \quad a_i \in \mathbb{K}.$$

Then we have

$$\|Tx\|_Y = \left\| \sum_{i=1}^n a_i T e_i \right\|_Y \leq \sum_{i=1}^n |a_i| \|T e_i\|_Y.$$

Since  $X$  is a finite vector space, then any two norms on  $X$  are equivalent. Therefore,  $\|\cdot\|_\infty$  and  $\|\cdot\|_X$  are equivalent. It follows that there exist  $0 < c_1 \leq c_2 < \infty$  such that

$$c_1 \|x\|_X \leq \|x\|_\infty \leq c_2 \|x\|_X.$$

Let  $M = \max_i \|T e_i\|_Y$ . Then

$$\|Tx\|_Y \leq M \sum_{i=1}^n |a_i| = M \|x\|_\infty \leq M c_2 \|x\|_X.$$

$$\|T\| = \sup_{x \in X, x \neq 0} \frac{\|Tx\|_Y}{\|x\|_X} \leq M c_2.$$

Therefore,  $T$  is bounded. □

(c) Suppose that  $X$  is infinite dimensional. Show that there exists a linear map  $T : X \rightarrow Y$ , which is not bounded (= not continuous).

*Proof.* Take a linearly independent sequence  $\{e_i\} \subseteq X$ . Define a linear map  $S : X \rightarrow \mathbb{K}$  by  $S(e_i) = i \|e_i\|$ , where  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ . We can extend this sequence of linearly independent vectors to a Hamel basis of  $X$ , then every vector in  $X$  can be written as

$$x = \sum_i \lambda_i e_i, \quad \lambda_i \in \mathbb{K}.$$

Define  $S$  at the other vectors in the basis to be 0. For  $x \in X$ ,

$$S(x) = T \left( \sum_i \lambda_i e_i \right) = \sum_i \lambda_i T(e_i) = \sum_i \lambda_i i \|e_i\|.$$

Assume that  $\|e_i\| = 1$  for each  $i$ , then  $S(e_i) = i \|e_i\| = i$ . Therefore,  $S(e_i)$  is not bounded, which means  $S$  is not bounded.

Define a linear map  $T : X \rightarrow Y$  by  $T(x) = y S(x)$ , where  $0 \neq y \in Y$ . Then  $T$  is a linear map from  $X$  to  $Y$  which is not bounded. □

(d) Suppose again that  $X$  is infinite dimensional. Argue that there exists a norm  $\|x\|_0$  on  $X$ , which is not equivalent to the given norm  $\|x\|_X$ , and which satisfies  $\|x\|_X \leq \|x\|_0$  for all  $x \in X$ . Conclude that  $(X, \|\cdot\|_0)$  is not complete if  $(X, \|\cdot\|_X)$  is a Banach space.

*Proof.* Suppose that  $X$  is a Banach space. Take  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  as is stated in (a), where  $T : X \rightarrow Y$  defined the same as it in (c).

Let  $(x_n)_{n \geq 1}$  be a sequence in  $(X, \|\cdot\|_0)$ , where  $x_i = \frac{1}{i}e_i$ . Then

$$\begin{aligned}\|x_m - x_n\|_0 &= \|x_m - x_n\|_X + \|Tx_m - Tx_n\|_Y \\ &= \|x_m - x_n\|_X + \left\| \underbrace{yi \frac{1}{i} - yi \frac{1}{i}} \right\|_Y \\ &= \|x_m - x_n\|_X \\ &= \left\| \frac{1}{m}e_m - \frac{1}{n}e_n \right\|_X \\ &\leq \left\| \frac{1}{m}e_m \right\|_X + \left\| \frac{1}{n}e_n \right\|_X \\ &= \frac{1}{m} + \frac{1}{n} \rightarrow 0, \text{ as } m, n \rightarrow \infty.\end{aligned}$$

This implication is not true (that  $x_n$  does converge to 0 in  $\|\cdot\|_0$ ).

Then  $(x_n)_{n \geq 1}$  is Cauchy in  $(X, \|\cdot\|_0)$  and  $(X, \|\cdot\|_X)$ . Since  $(X, \|\cdot\|_X)$  is complete, the Cauchy sequence  $(x_n)_{n \geq 1}$  has a limit, i.e.  $\|x_n\|_X \rightarrow 0$  as  $n \rightarrow \infty$ .

In  $(X, \|\cdot\|_0)$ ,  $x_n = \frac{1}{n}e_n \rightarrow 0$  as  $n \rightarrow \infty$ , while

$$\|x_n - 0\|_0 = \left\| \frac{1}{n}e_n \right\|_X + \|y\|_Y \rightarrow \|y\|_Y, \text{ as } n \rightarrow \infty.$$

The idea seems right, but it is a bit imprecisely written.

Hence, the Cauchy sequence  $(x_n)_{n \geq 1}$  is not convergent in  $(X, \|\cdot\|_0)$ . Therefore  $(X, \|\cdot\|_0)$  is not complete.  $\square$

(e) Give an example of a vector space  $X$  equipped with two inequivalent norms  $\|\cdot\|$  and  $\|\cdot\|'$  satisfying  $\|x\|' \leq \|x\|$ , for all  $x \in X$ , such that  $(X, \|\cdot\|)$  is complete, while  $(X, \|\cdot\|')$  is not.

*Proof.* Take  $(X, \|\cdot\|) = (\ell_1(\mathbb{N}), \|\cdot\|)$  and  $\|\cdot\|_1$ ?

$$\|x\|' = \sum_n \frac{|x_n|}{n} \text{ for } x \in \ell_1(\mathbb{N}).$$

It is clear that  $\|x\|' \leq \|x\|$ , for all  $x \in \ell_1(\mathbb{N})$ . Consider a sequence  $(\delta_j)_{j \geq 1}$ , where  $j$ -th term is 1 and others are 0.  $\|\delta_j\| = 1$  for all  $j$  and  $\|\delta_j\|' = \frac{1}{j}$ . We cannot find  $0 < c_1 \leq c_2 < \infty$  such that  $c_1\|\delta_j\|' \leq \|\delta_j\| \leq c_2\|\delta_j\|'$ , hence  $\|\cdot\|'$  is not equivalent to  $\|\cdot\|$ . Since  $(\ell_1(\mathbb{N}), \|\cdot\|)$  is a Banach space,  $(\ell_1(\mathbb{N}), \|\cdot\|')$  cannot be complete. Therefore, we have found  $\|\cdot\|'$  such that for every  $x \in \ell_1(\mathbb{N})$ ,  $\|x\|' \leq \|x\|$  but  $(\ell_1(\mathbb{N}), \|\cdot\|')$  is not complete. How so?  $\square$

need a  $\frac{1}{j}$  quantifier here.

(✓)

**Problem 2** Let  $1 \leq p < \infty$  be fixed, and consider the subspace  $M$  of the Banach space  $(\ell_p(\mathbb{N}), \|\cdot\|_p)$ , considered as a vector space over  $M$ , given by

$$M = \{(a, b, 0, 0, 0, \dots) : a, b \in \mathbb{C}\}.$$

Let  $f : M \rightarrow \mathbb{C}$  be given by  $f(a, b, 0, 0, 0, \dots) = a + b$ , for all  $a, b \in \mathbb{C}$ .

(a) Show that  $f$  is bounded on  $(M, \|\cdot\|_p)$  and compute  $\|f\|$ . (Answer depends on  $p$ .)

*Proof.*

$$\begin{aligned}|f(a, b, 0, 0, 0, \dots)| &= |a + b| \leq |a| + |b| \\ &\leq (|a|^p + |b|^p)^{\frac{1}{p}} (1 + 1)^{1 - \frac{1}{p}} \\ &= 2^{\frac{p-1}{p}} \|(a, b, 0, 0, 0, \dots)\|_p.\end{aligned}$$

What are you using here?

It follows that

$$\|f\| = \sup_{0 \neq a, b \in \mathbb{C}} \frac{|f(a, b, 0, 0, 0, \dots)|}{\|(a, b, 0, 0, 0, \dots)\|_p} \leq 2^{\frac{p-1}{p}}. \quad (1)$$

Therefore,  $f$  is bounded on  $(M, \|\cdot\|_p)$ .

Set  $a = b = \frac{1}{2^{\frac{1}{p}}}$ . Then  $\|(a, b, 0, 0, 0, \dots)\|_p = 1$ . We also have

$$\|f\| = \sup_{\|(a, b, 0, 0, 0, \dots)\|_p = 1} |f(a, b, 0, 0, 0, \dots)| \geq \frac{2}{2^{\frac{1}{p}}} = 2^{\frac{p-1}{p}}. \quad (2)$$

According to (1) and (2), we conclude that  $\|f\| = 2^{\frac{p-1}{p}}$ . (✓)

(b) Show that if  $1 < p < \infty$ , then there is a unique linear functional  $F$  on  $\ell_p(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

*Proof.* Suppose  $F : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  is a linear functional and  $\|F\| = \|f\| = 2^{\frac{p-1}{p}}$ . Let  $(e_i)_{i \geq 1}$  be an orthonormal basis of  $\ell_p(\mathbb{N})$ . Take  $x = (a, b, x_3, x_4, \dots) \in \ell_p(\mathbb{N})$ , then

$$x = e_1 a + e_2 b + e_3 x_3 + e_4 x_4 + \dots$$

Let  $F(e_i) = \alpha_i$ . For all  $i \geq 3$ ,

$$\begin{aligned} |F(x)| &= |F(e_1 a + e_2 b + e_i c_i)| = |a + b + \sum_{i \geq 3} \alpha_i c_i| = |1 \cdot a + 1 \cdot b + \sum_{i \geq 3} \alpha_i c_i| \\ &\leq (|a|^p + |b|^p + \sum_{i \geq 3} |c_i|^p)^{\frac{1}{p}} (1 + 1 + \sum_{i \geq 3} |\alpha_i|^{\frac{p}{p-1}})^{\frac{p-1}{p}} \\ &= (2 + \sum_{i \geq 3} |\alpha_i|^{\frac{p}{p-1}})^{\frac{p-1}{p}} \|x\|_p. \end{aligned}$$

Since equality can be obtained, How?

$$\|F\| = \sup_{x \in \ell_p(\mathbb{N})} \frac{|F(x)|}{\|x\|_p} = (2 + \sum_{i \geq 3} |\alpha_i|^{\frac{p}{p-1}})^{\frac{p-1}{p}}.$$

Since  $\|F\| = 2^{\frac{p-1}{p}}$ ,  $\alpha_i = 0$ , for every  $i \geq 2$ . Therefore, there exists a unique linear functional  $F : \ell_p(\mathbb{N}) \rightarrow \mathbb{C}$  defined by  $F = a + b$ . i23 (✓)

(c) Show that if  $p = 1$ , then there are infinitely many linear functional  $F$  on  $\ell_1(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

*Proof.* When  $p = 1$ ,  $\|f\| = 1$ . Let  $(e_i)_{i \geq 1}$  be an orthonormal basis of  $\ell_1(\mathbb{N})$ . Take  $x = (a, b, x_3, x_4, \dots) \in \ell_1(\mathbb{N})$ , then

$$x = e_1 a + e_2 b + e_3 x_3 + e_4 x_4 + \dots$$

Define  $F : \ell_1(\mathbb{N}) \rightarrow \mathbb{C}$  satisfying

$$F(e_i) = \alpha_i, \quad |\alpha_i| \leq 1 \text{ for all } i \geq 3 \quad (3)$$

and

$$F(x) = a + b + \sum_{i=3}^{\infty} \alpha_i x_i. \quad (4)$$

should be  $\sum_{i=3}^{\infty} |\alpha_i x_i|$

Then

$$\|F\| = \sup_{x \in \ell_p(\mathbb{N})} \frac{|a| + |b| + \sum_{i=3}^{\infty} |\alpha_i x_i|}{|a| + |b| + \sum_{i=3}^{\infty} |x_i|} \leq \sup_{x \in \ell_p(\mathbb{N})} \frac{|a| + |b| + \sum_{i=3}^{\infty} |\alpha_i| |x_i|}{|a| + |b| + \sum_{i=3}^{\infty} |x_i|} \leq 1.$$

Take  $x = (1, 0, 0, 0, \dots)$ , then  $\|x\| = 1$ .

$$\|F\| = \sup_{\|x\|=1} |F(x)| \geq 1 + 0 + \sum_{i=3}^{\infty} 0 = 1.$$

Hence,  $\|F\| = 1$ . Therefore,  $F$  defined above satisfying (3) and (4) is as required and there are infinitely many such  $F$ .  $\square$

**Problem 3** Let  $X$  be an infinite dimensional normed vector space over  $\mathbb{K}$ , where  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ .

(a) Let  $n \geq 1$  be an integer. Show that no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective.

*Proof.* Assume that there is an injective linear map  $F : X \rightarrow \mathbb{K}^n$ . Since  $X$  is finite dimensional and  $\mathbb{K}^n$  is  $n$ -dimensional,  $F$  is surjective. Take a linearly independent sequence  $\{x_1, x_2, \dots, x_{n+1}\} \subseteq X$ . Then  $F(x_1), F(x_2), \dots, F(x_{n+1})$  are linearly dependent in  $\mathbb{K}^n$  since  $\mathbb{K}^n$  is  $n$ -dimensional. Hence there exist  $\alpha_1, \alpha_2, \dots, \alpha_{n+1}$  (not all zero), such that

$$\alpha_1 F(x_1) + \alpha_2 F(x_2) + \dots + \alpha_{n+1} F(x_{n+1}) = 0.$$

I.e.

$$F(\alpha_1 x_1 + \alpha_2 x_2 + \dots + \alpha_{n+1} x_{n+1}) = 0.$$

As assumed,  $F$  is injective. So  $\alpha_1 x_1 + \alpha_2 x_2 + \dots + \alpha_{n+1} x_{n+1} = 0$ . However, it is contradict to the fact that  $x_1, x_2, \dots, x_{n+1}$  are linearly independent. Therefore, no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective.  $\square$

(b) Let  $n \geq 1$  be an integer and let  $f_1, f_2, \dots, f_n \in X^*$ . Show that

$$\bigcap_{j=1}^n \ker(f_j) \neq \{0\}.$$

*Proof.* Define a linear map  $F : X \rightarrow \mathbb{K}^n$  by  $F(x) = (f_1(x), f_2(x), \dots, f_n(x))$ . Then

$$\ker(F) = \bigcap_{j=1}^n \ker(f_j).$$

Show this maybe

Assume that  $\bigcap_{j=1}^n \ker(f_j) = \{0\}$ , i.e.  $\ker(F) = \{0\}$ , which means that  $F$  is an injective linear map from  $X$  to  $\mathbb{K}^n$ . However, this is contradict to the conclusion of Problem 3 (a). Therefore,  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ .  $\square$

(c) Let  $x_1, x_2, \dots, x_n \in X$ . Show that there exists  $y \in X$  such that  $\|y\| = 1$  and  $\|y - x_j\| \geq \|x_j\|$  for all  $j = 1, 2, \dots, n$ .

*Proof.* From (b) we know that  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ . Then choose  $z \in \bigcap_{j=1}^n \ker(f_j)$ . Let  $y = \frac{z}{\|z\|}$ , so  $\|y\| = 1$ . For  $0 \neq x_j \in X, j = 1, 2, \dots, n$ , there exists  $f_j \in X^*$  such that  $\|f_j\| = 1$  and

$f_j(x_j) = \|x_j\|$ . Then we have

$$\begin{aligned}\|y - x_j\| &= \|f_j\| \|y - x_j\| \\ &\geq |f_j(y - x_j)| \\ &= |f_j y - f_j x_j| \\ &= |0 - \|x_j\|| = \|x_j\|.\end{aligned}$$

□

(d) Show that one cannot cover the unit sphere  $S = \{x \in X : \|x\| = 1\}$  with a finite family of closed balls in  $X$  such that none of the balls contains 0.

*Proof.* Suppose that there is a finite family of closed balls  $\{B_j(x_j, \delta_j)\}$  ( $j = 1, 2, \dots, n$ ), none of which contains 0. Denote

$$M := \bigcap_{j=1}^n \ker(f_j).$$

Define

$$f_j(x) = \frac{\|x - x_j\|}{\|x_j\|} \quad (x_j \text{ are the centers of } B_j).$$

As is proved in (c), if  $x \in M$ , then

$$f_j(x) = \frac{\|x - x_j\|}{\|x_j\|} \geq 1, \text{ for all } j = 1, 2, \dots, n.$$

Since  $0 \notin B_j(x_j, \delta_j)$ , for each  $x \in B_j(x_j, \delta_j)$ ,

$$f_j(x) = \frac{\|x - x_j\|}{\|x_j\|} < 1.$$

Therefore,  $M \cap B_j = \emptyset$ , for every  $j$ . Since  $M \neq \{0\}$ , we can find  $0 \neq v \in M$ . Take  $w = \frac{v}{\|v\|}$ , then  $\|w\| = 1$ , so  $w \in S \cap M$ . However,

$$w \notin \bigcup_{j=1}^n B_j(x_j, \delta_j).$$

Therefore,  $S$  cannot be covered by a finite family of closed balls.

□

(e) Show that  $S$  is non-compact and deduce further that the closed unit ball in  $X$  is non-compact.

*Proof.* Assume that  $S$  is compact. For any  $x \in S$ , we consider

$$B_x = \{y \in X \mid \|x - y\| < \frac{1}{2}\}.$$

Then  $\{B_x\}_{x \in S}$  is an open cover of  $S$ . Since  $S$  is compact as assumed, there exists a finite subcover  $\{B_{x_1}, B_{x_2}, \dots, B_{x_n}\}$  of  $S$ . Take the closures of each  $B_{x_i}$ , then  $\{\overline{B_{x_1}}, \overline{B_{x_2}}, \dots, \overline{B_{x_n}}\}$  is a finite family of closed balls covering  $S$ . This is contradict to the conclusion of (d). Therefore,  $S$  is non-compact.

□

**Problem 4** Let  $L_1([0, 1], m)$  and  $L_3([0, 1], m)$  be the Lebesgue spaces on  $[0, 1]$ . Recall from HW2 that  $L_3([0, 1], m) \subsetneq L_1([0, 1], m)$ . For  $n \geq 1$ , define

$$E_n := \left\{ f \in L_1([0, 1], m) : \int_{[0, 1]} |f|^3 dm \leq n \right\}.$$

(a) Given  $n \geq 1$ , is the set  $E_n \subset L_1([0, 1], m)$  absorbing? Justify.

*Proof.*  $E_n$  is not absorbing.

Firstly prove that  $E_n$  is a convex set. Let  $0 \leq \alpha \leq 1$  and  $f, g \in E_n$ .

$$\begin{aligned}\alpha f + (1 - \alpha)g &= \int_{[0,1]} |\alpha f|^3 dm + \int_{[0,1]} |(1 - \alpha)g|^3 dm \\ &= \alpha^3 \int_{[0,1]} |f|^3 dm + (1 - \alpha)^3 \int_{[0,1]} |g|^3 dm \\ &\leq \alpha^3 n + (1 - \alpha)^3 n = (1 - 3\alpha + 3\alpha^2)n \leq n.\end{aligned}$$

Hence,  $E_n$  is a convex set.

$\forall t > 0, \exists h = tn^{\frac{1}{3}} + 1 \in L_1([0, 1], m)$ , such that

$$\int_{[0,1]} |t^{-1}h|^3 dm = \int_{[0,1]} |t^{-1}tn^{\frac{1}{3}} + 1|^3 dm = \int_{[0,1]} |n^{\frac{1}{3}} + 1|^3 dm \geq n.$$

Therefore,  $E_n$  is not absorbing in  $L_1([0, 1], m)$ .

(b) Show that  $E_n$  has empty interior in  $L_1([0, 1], m)$ , for all  $n \geq 1$ .

*Proof.*

(c) Show that  $E_n$  is closed in  $L_1([0, 1], m)$ , for all  $n \geq 1$ .

*Proof.*

(d) Conclude from (b) and (c) that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .

*Proof.* According to (b) and (c),  $E_n$  is closed and  $E_n$  has empty interior in  $L_1([0, 1], m)$ , so  $\overline{E_n} = E_n$  is nowhere dense. And note that

$$L_3([0, 1], m) = \bigcup_{n=1}^{\infty} E_n.$$

I.e.  $L_3([0, 1], m)$  can be expressed as the countable union of subsets which are nowhere dense in  $L_1([0, 1], m)$ . Therefore,  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .  $\square$

**Problem 5** Let  $H$  be an infinite dimensional separable Hilbert space with associated norm  $\|\cdot\|$ , let  $(x_n)_{n \geq 1}$  be a sequence in  $H$ , and let  $x \in H$ .

(a) Suppose that  $x_n \rightarrow x$  in norm, as  $n \rightarrow \infty$ . Does it follow that  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ ? Give a proof or a counterexample.

*Proof.* Yes.

Since  $x_n \rightarrow x$  in norm,  $\forall \varepsilon > 0$  there exists  $N \in \mathbb{N}$ , such that for every  $n > N$ ,  $\|x_n - x\| < \varepsilon$ . Notice that

$$\|x_n\| = \|(x_n - x) + x\| \leq \|x_n - x\| + \|x\|.$$

Thus,

$$\|x_n\| - \|x\| \leq \|x_n - x\| < \varepsilon.$$

It follows that  $\forall \varepsilon > 0$  there exists  $N \in \mathbb{N}$ , such that for every  $n > N$ ,  $\|x_n\| - \|x\| < \varepsilon$ . Therefore,  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ .  $\square$

(b) Suppose that  $x_n \rightarrow x$  weakly, as  $n \rightarrow \infty$ . Does it follow that  $\|x_n\| \rightarrow \|x\|$ , as  $n \rightarrow \infty$ ? Give a proof or a counterexample.

*Proof. Counterexample:* Take an orthonormal basis  $(e_n)_{n \geq 1}$  in  $(\ell_2(\mathbb{N}), \langle \cdot, \cdot \rangle)$ . Note that  $\ell_2(\mathbb{N}) \cong \ell_2(\mathbb{N})^*$ . For every  $y \in \ell_2(\mathbb{N})^*$ ,

This does not make sense without more justification.

$$y = (\eta_1, \eta_2, \dots), \text{ where } \sum_{i=1}^{\infty} |\eta_i|^2 < \infty,$$

then we have

$$\langle e_n, y \rangle = \eta_n \rightarrow 0 \text{ as } n \rightarrow \infty.$$

Therefore,  $(e_n)_{n \geq 1}$  converges weakly to 0. However,  $\|e_n\| = 1, \forall n = 1, 2, \dots$ , so  $\|e_n\| \not\rightarrow 0$  as  $n \rightarrow \infty$ . Here you implicitly use that  $H^* = \{\langle \cdot, y \rangle \mid y \in H\}$ . (✓) □

(c) Suppose that  $\|x_n\| \leq 1$ , for all  $n \geq 1$ , and that  $x_n \rightarrow x$  weakly, as  $n \rightarrow \infty$ . Is it true that  $\|x\| \leq 1$ ? Give a proof or a counterexample.

*Proof.* Suppose that  $x_n \rightarrow x$  weakly and  $\|x_n\| \leq 1$ . Then we have

$$\left| \left\langle \frac{x}{\|x\|}, x_n \right\rangle \right| \leq \|x_n\|.$$

Since  $x_n \rightarrow x$  weakly,

Once again uses  $H^*$ -identification without reference.

$$\left| \left\langle \frac{x}{\|x\|}, x_n \right\rangle \right| \rightarrow \left| \left\langle \frac{x}{\|x\|}, x \right\rangle \right| = \|x\|, \text{ as } n \rightarrow \infty.$$

Thus,

$$\|x\| \leq \lim_{n \rightarrow \infty} \|x_n\|.$$

Since  $\|x_n\| \leq 1$ , then  $\|x\| \leq 1$ . □ ✓