

# FunkAn - Mandatory 1

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## Problem 1

(a) We want to show that  $\|\cdot\|_0$  is a norm on  $X$ .

First of all, the map  $\|\cdot\|_0$  takes values in  $[0, \infty)$  by the formula  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$ ,  $x \in X$ , since both  $\|\cdot\|_X, \|\cdot\|_Y$  are norms. The following three conditions, which a norm by definition needs to satisfy, follows from the facts that  $\|\cdot\|_X, \|\cdot\|_Y$  are norms (hence satisfy the same three conditions) and that  $T$  is linear, i.e.  $T(\alpha x + \beta y) = \alpha Tx + \beta Ty$  for all  $\alpha, \beta \in \mathbb{K}$ ,  $x, y \in X$ .

(i) Triangle inequality. For all  $x, y \in X$  we have

$$\|x + y\|_0 = \|x + y\|_X + \|T(x + y)\|_Y \leq \|x\|_X + \|y\|_X + \|Tx\|_Y + \|Ty\|_Y = \|x\|_0 + \|y\|_0.$$

(ii) Absolutely homogeneous. Let  $\alpha \in \mathbb{K}$  and  $x \in X$ . Then

$$\|\alpha x\|_0 = \|\alpha x\|_X + \|T(\alpha x)\|_Y = \|\alpha x\|_X + \|\alpha Tx\|_Y = |\alpha| \|x\|_X + |\alpha| \|Tx\|_Y = |\alpha| \|x\|_0.$$

(iii) Positive definite. We have that  $x = 0$  if and only if both  $\|x\|_X = 0$  and  $\|Tx\|_Y = 0$  (since  $T(0) = 0$ ) if and only if  $\|x\|_0 = 0$ .

We want to show that the two norms  $\|\cdot\|_0$  and  $\|\cdot\|_X$  are equivalent if and only if  $T$  is bounded.

Assume  $T$  is bounded. Observe first that  $\|x\|_X \leq \|x\|_0$  for all  $x \in X$  by definition of  $\|\cdot\|_0$ . By Prop. 1.10 in the notes, since  $T$  is assumed bounded, there exists  $C > 0$  such that  $\|Tx\|_Y \leq C\|x\|_X$  (\*) for all  $x \in X$ . Then for  $x \in X$

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \leq \|x\|_X + C\|x\|_X = (1 + C)\|x\|_X \quad (**).$$

Combining the two inequalities (\*) and (\*\*) yields

$$\frac{1}{1 + C} \|x\|_0 \leq \|x\|_X \leq \|x\|_0 \quad \text{for all } x \in X.$$

Hence the two norms are equivalent.

Assume that the two norms are equivalent, i.e. that there exists  $0 < C \leq D < \infty$  such that for all  $x \in X$

$$C\|x\|_X \leq \|x\|_0 \leq D\|x\|_X.$$

Then  $\|Tx\|_Y = \|x\|_0 - \|x\|_X \leq \|x\|_0 \leq D\|x\|_X$  for all  $x \in X$ . Hence, again by Prop. 1.10,  $T$  is bounded.

(b) We want to show that any linear map  $T : X \rightarrow Y$  is bounded, if  $X$  is finite dimensional.

Let  $T : X \rightarrow Y$  be a linear map. By Theorem 1.6 any two norms on  $X$  are equivalent, when  $X$  is finite dimensional. Hence in particular the norms  $\|\cdot\|_0$  and  $\|\cdot\|_X$  on  $X$  are equivalent, so by Problem 1 (a)  $T$  is bounded.

(c) We want to show that if  $X$  is infinite dimensional, there exists a linear map  $T : X \rightarrow Y$  which is not bounded.

Let  $(e_i)_{i \in I}$  be a Hamel basis for  $X$ , i.e. for every  $x \in X$  there is a unique family  $(\lambda_i)_{i \in I}$  in  $\mathbb{K}$  for which the set  $\{i \in I : \lambda_i \neq 0\}$  is finite and  $x = \sum_{i \in I} \lambda_i e_i$ . Then  $(e_i / \|e_i\|_X)_{i \in I}$  is also a Hamel basis, since  $x = \sum_{i \in I} (\lambda_i \|e_i\|_X) (e_i / \|e_i\|_X)$  and  $\{i \in I : \lambda_i \|e_i\|_X \neq 0\}$  is finite. So we can choose the Hamel basis  $(e_i)_{i \in I}$  such that  $\|e_i\|_X = 1$  for every  $i \in I$ .

Now let  $(y_i)_{i \in I}$  be a family in  $Y$  satisfying that  $\|y_i\|_Y \rightarrow \infty$  as  $i \rightarrow \infty$  (such a family does exist; choose

e.g. the family  $(i \cdot y_i / \|y_i\|_Y)_{i \in I}$ . Then it follows from the fact that  $X$  is infinite dimensional, hence  $I$  contains infinitely many elements). There exists a unique linear map  $T : X \rightarrow Y$  such that  $T(e_i) = y_i$ . If  $T$  is bounded, there exists  $C > 0$  such that

$$\|Tx\|_Y \leq C\|x\|_X \quad \text{for all } x \in X.$$

But since  $(y_i)_{i \in I}$  was chosen such that  $\|y_i\|_Y \rightarrow \infty$  as  $i \rightarrow \infty$ , there exists  $i_0 \in I$  such that

$$\|y_i\|_Y > C \quad \text{for all } i \geq i_0.$$

But then

$$\|T(e_i)\|_Y = \|y_i\|_Y > C = C\|e_i\|_X \quad \text{for all } i \geq i_0.$$

This proves that  $T$  cannot be bounded.

(d) Suppose  $X$  is infinite dimensional. Let  $T : X \rightarrow Y$  be a linear map, which is not bounded – such a map exists by Problem 1 (c). Let  $\|\cdot\|_0$  be the norm associated to  $T$  as in Problem 1 (a). By the same problem, the two norms  $\|\cdot\|_0$  and  $\|\cdot\|_X$  cannot be equivalent, since  $T$  is not bounded. Furthermore, we have that for all  $x \in X$

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \geq \|x\|_X.$$

If  $(X, \|\cdot\|_X)$  is a Banach space, then  $(X, \|\cdot\|_0)$  is not complete. Indeed, assume by contradiction that  $(X, \|\cdot\|_0)$  is complete. The identity map  $\text{id} : (X, \|\cdot\|_0) \rightarrow (X, \|\cdot\|_X)$  sending  $x \mapsto x$  is bijective, so by Corollary 3.17 to The Open Mapping Theorem, the inverse identity map  $\text{id}^{-1} = \text{id}$  is bounded, i.e. there exists  $C > 0$  such that

$$\|x\|_X = \|\text{id}(x)\|_X \geq C\|x\|_0$$

for all  $x \in X$ . Hence we have that

$$C\|x\|_0 \leq \|x\|_X \leq \|x\|_0$$

for all  $x \in X$ . But this contradicts the fact, that the two norms are inequivalent. Hence  $X$  is not complete with respect to  $\|\cdot\|_0$ .

(e) We want to give an example of a vector space  $X$  equipped with two inequivalent norms  $\|\cdot\|$  and  $\|\cdot\|'$  such that  $\|x\|' \leq \|x\|$  for all  $x \in X$ . Consider the normed vector space  $(X, \|\cdot\|) = (l_1(\mathbb{N}), \|\cdot\|_1)$ . This space is complete (Remark 1.8). Consider also the norm  $\|\cdot\|' = \|\cdot\|_\infty$  on  $l_1(\mathbb{N})$ . The two norms satisfy

$$\|x\|_\infty = \sup\{|x_n| : n \in \mathbb{N}\} \leq \sum_{n=1}^{\infty} |x_n| = \|x\|_1$$

for all  $x = (x_n)_{n \geq 1} \in l_1(\mathbb{N})$ . Furthermore, the two norms are not equivalent: consider the sequence  $(x_i)_{i \geq 1} \subset l_1(\mathbb{N})$  where  $x_{i_n} = \begin{cases} 1, & n \leq i \\ 0, & n > i \end{cases}$ , for each  $i \geq 1$ . Then

$$\|x_i\|_1 = \sum_{n=1}^{\infty} |x_{i_n}| = \sum_{n=1}^i 1 = i,$$

and

$$\|x_i\|_\infty = \sup\{|x_{i_n}| : n \in \mathbb{N}\} = 1.$$

But there can exist no  $C > 0$  such that

$$C\|x_i\|_1 = C \cdot i \leq 1 = \|x_i\|_\infty \quad \text{for all } i \geq 1.$$

Hence the two norms  $\|\cdot\|_1$  and  $\|\cdot\|_\infty$  are inequivalent, and by Problem 1 (d) the normed vector space  $(l_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete.

## Problem 2

(a) We want to show that  $f$  is bounded on  $(M, \|\cdot\|_p)$  and compute  $\|f\|$ .  
The operator norm  $\|f\|$  is defined as

$$\begin{aligned}\|f\| &= \sup\{|f((a, b, 0, \dots))| : \|(a, b, 0, \dots)\|_p = 1, (a, b, 0, \dots) \in M\} \\ &= \sup\{|a + b| : (|a|^p + |b|^p)^{1/p} = 1, a, b \in \mathbb{C}\}\end{aligned}$$

By Hölder's inequality we have that for  $a, b \in \mathbb{C}$

$$\begin{aligned}|a + b| &\leq |a| + |b| = \|(a, b, 0, \dots) \cdot (1, 1, 0, \dots)\|_1 \\ &\leq \|(a, b, 0, \dots)\|_p \cdot \|(1, 1, 0, \dots)\|_q,\end{aligned}$$

where  $1/p + 1/q = 1$ . So when  $\|(a, b, 0, \dots)\|_p = 1$ , we have

$$\begin{aligned}|a + b| &\leq \|(a, b, 0, \dots)\|_p \cdot \|(1, 1, 0, \dots)\|_q \\ &= (1^q + 1^q)^{1/q} \\ &= 2^{1/q} \\ &= 2^{1-1/p}.\end{aligned}$$

This proves that

$$\|f\| \leq 2^{1-1/p}.$$

Furthermore, we have  $|a + b| = 2^{1-1/p}$  when  $a = b = 1/2^{1/p}$ :

$$|a + b| = \frac{1}{2^{1/p}} + \frac{1}{2^{1/p}} = 2^{1-1/p}.$$

And the sequence  $(1/2^{1/p}, 1/2^{1/p}, 0, \dots) \in l_1(\mathbb{N})$  belongs to the set over which we take the supremum in  $\|f\|$ , since it has 1-norm

$$\left(\frac{1}{2^{1/p}}^p + \frac{1}{2^{1/p}}^p\right)^{1/p} = \left(\frac{1}{2} + \frac{1}{2}\right)^{1/p} = 1.$$

This proves that in fact  $\|f\| = 2^{1-1/p}$ ,  $1 \leq p < \infty$ , and furthermore, by Remark 1.11

$$|f((a, b, 0, \dots))| \leq \|f\| \|(a, b, 0, \dots)\|_p = 2^{1-1/p} \|(a, b, 0, \dots)\|_p,$$

for all  $(a, b, 0, \dots) \in M$ . So  $f$  is bounded on  $(M, \|\cdot\|_p)$ .

(b) We want to show that if  $1 < p < \infty$ , then there is a unique linear functional  $F$  on  $l_p(\mathbb{N})$  extending  $f$  and satisfying  $\|F\| = \|f\|$ .

Since we in Problem 2 (a) proved that  $f \in M^*$ , Corollary 2.6 ensures the existence of  $F \in X^*$  satisfying  $F|_M = f$  and  $\|F\| = \|f\|$ . In Problem 5 HW1 we proved that  $(l_p(\mathbb{N}))^*$  is isometrically isomorphic to  $l_q(\mathbb{N})$ , where  $1/p + 1/q = 1$ , and that there exists  $y = (y_n)_{n \geq 1} \in l_q(\mathbb{N})$  such that

$$F(x) = \sum_{n=1}^{\infty} x_n y_n, \quad \text{for all } x = (x_n)_{n \geq 1} \in l_p(\mathbb{N}).$$

We see that

$$\begin{aligned}y_1 &= F((1, 0, 0, \dots)) = f((1, 0, 0, \dots)) = 1, \\ y_2 &= F((0, 1, 0, \dots)) = f((0, 1, 0, \dots)) = 1,\end{aligned}$$

since  $(1, 0, 0, \dots), (0, 1, 0, \dots) \in M$ . Furthermore, by Problem 2 (a) we have that  $\|F\| = \|f\| = 2^{1-1/p}$ , so since  $1/p + 1/q = 1$  and the isomorphism is isometric, we have that

$$\|y\|_q = \|F\| = 2^{1-1/p} = 2^{1/q}.$$

I.e.

$$2 = \|y\|_q^q = 2 + \sum_{n=3}^{\infty} |y_n|^q.$$

So  $\sum_{n=3}^{\infty} |y_n|^q = 0$  and hence we must have  $y_n = 0$  for all  $n \geq 3$ . We therefore see that  $y = (1, 1, 0, 0, \dots) \in l_q(\mathbb{N})$  is the unique corresponding element to  $F$ , and hence  $F \in (l_p(\mathbb{N}))^*$  is also unique with respect to the relevant properties.

(c) We want to prove that if  $p = 1$  there exists infinitely many linear functionals  $F$  on  $l_1(\mathbb{N})$  extending  $f$  satisfying  $\|F\| = \|f\|$ .

As in Problem 2 (b) the existence is ensured by Corollary 2.6. Now, for  $k \geq 2$  define maps  $F_k : l_1(\mathbb{N}) \rightarrow \mathbb{K}$  by  $F_k(x) = \sum_{n=1}^k x_n$ , for  $x = (x_n)_{n \geq 1} \in l_1(\mathbb{N})$ . The maps  $F_k$  are clearly linear. The operator norm satisfies

$$\begin{aligned} \|F_k\| &= \sup \{ |F_k(x)| : \|x\|_1 \leq 1, x = (x_n)_{n \geq 1} \in l_1(\mathbb{N}) \} \\ &= \sup \left\{ \left| \sum_{n=1}^k x_n \right| : \sum_{n=1}^{\infty} |x_n| \leq 1 \right\} \\ &= 1. \end{aligned}$$

Indeed, for every  $k \geq 2$  and every  $x = (x_n)_{n \geq 1} \in l_1(\mathbb{N})$  with  $\|x\|_1 \leq 1$ , we have

$$\left| \sum_{n=1}^k x_n \right| \leq \sum_{n=1}^k |x_n| \leq \sum_{n=1}^{\infty} |x_n| \leq 1.$$

So  $\|F_k\| \leq 1$ , and we have equality, since  $x = (1, 0, 0, \dots) \in l_1(\mathbb{N})$  satisfies  $\sum_{n=1}^k x_n = \|x\|_1 = 1$ . By Remark 1.11, then  $\|F_k(x)\| \leq \|F_k\| \|x\|_1 = \|x\|_1$  for all  $x \in l_1(\mathbb{N})$ , so  $F_k \in (l_1(\mathbb{N}))^*$ . Furthermore,  $F_k$  extends  $f$  and  $\|F_k\| = \|f\|$  for every  $k \geq 2$ , since

$$F_k((a, b, 0, \dots)) = a + b = f((a, b, 0, \dots)) \quad \text{for all } (a, b, 0, \dots) \in M,$$

and by Problem 2 (a),

$$\|f\| = 2^{1-1/1} = 1 = \|F_k\|.$$

This proves that there are infinitely many linear functionals  $F_k$  on  $l_1(\mathbb{N})$  extending  $f$  and satisfying  $\|F_k\| = \|f\|$ .

### Problem 3

(a) We want to prove that no linear map  $F : X \rightarrow \mathbb{K}^n$ ,  $n \geq 1$ , where  $X$  is infinite dimensional, is injective.

Let  $(u_i)_{i \in I}$  be an infinite linearly independent set in  $X$ . Assume by contradiction that there exists a linear map  $F : X \rightarrow \mathbb{K}^n$  which is injective. We know from the theory of advanced vector spaces, that the image of a linearly independent set under an injective linear map is linearly independent, i.e.  $(Fu_i)_{i \in I}$  is a linearly independent set in  $\mathbb{K}^n$ . The set consists of infinitely many elements, since  $F$  is injective, but this is impossible, since  $\mathbb{K}^n$  is finitely dimensional and can only contain linearly independent sets of at most  $n$  elements. Hence no linear map  $F : X \rightarrow \mathbb{K}^n$  is injective.

(b) We want to show that

$$\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$$

for  $n \geq 1$  and  $f_1, \dots, f_n \in X^*$ .

Consider the map  $F : X \rightarrow \mathbb{K}^n$  given by  $F(x) = (f_1(x), \dots, f_n(x))$ ,  $x \in X$ . The map is linear, since every coordinate  $f_j$ ,  $j = 1, \dots, n$ , is linear. So by Problem 2 (a),  $F$  cannot be injective, i.e.  $\ker F \neq \{0\}$ . But the kernel of  $F$  is exactly the intersection  $\bigcap_{j=1}^n \ker(f_j)$ , so this proves the claim.

(c) Let  $x_1, \dots, x_n \in X$ . We want to show that there exists  $y \in X$  such that  $\|y\| = 1$  and  $\|y - x_j\| \geq \|x_j\|$  for all  $j = 1, \dots, n$ .

If  $x_j = 0$  for every  $j$ , then the claim is trivial. Just choose  $y \in X$  with  $\|y\| = 1$  (this is always possible:

for arbitrary  $y \in X$ , the element  $y/\|y\|$  is in  $X$  and has norm 1). Then  $\|y - x_j\| = \|y\| = 1 \geq 0 = \|x_j\|$  for every  $j = 1, \dots, n$ .

We can therefore assume that  $x_j$  is non-zero for every  $j$  (if  $x_j = 0$  for some  $j$ , just cast them away and adjust the value of  $n$  in accordance with the number of non-zero elements  $x_j$ ). Theorem 2.7 (b) then says that there exists  $f_1, \dots, f_n \in X^*$  such that  $\|f_j\| = 1$  and  $f_j(x_j) = \|x_j\|$  for every  $j = 1, \dots, n$ . By Problem 2 (b) there exists  $0 \neq y' \in X$  such that  $f_j(y') = 0$  for all  $j$ . Set  $y = y'/\|y'\| \neq 0$ . This element also satisfies that  $f_j(y) = f_j(y')/\|y'\| = 0$  for all  $j$ . Furthermore,  $\|y\| = \|y'\|/\|y'\| = 1$ .

Now, since the  $f_j$ 's are bounded linear functionals on  $X$ , there exists  $c_1, \dots, c_n > 0$  such that  $|f_j(x)| \leq c_j\|x\|$  for all  $x \in X$  (Prop. 1.10). Then for every  $j = 1, \dots, n$ ,

$$c_j\|y - x_j\| \geq |f_j(y - x_j)| = |f_j(y) - f_j(x_j)| = |f_j(x_j)| = \|x_j\|.$$

By Remark 1.11,  $\|f_j\| = \inf\{C > 0 : |f_j(x)| \leq C\|x\|, x \in X\}$ , so since  $\|f_j\| = 1$ , we can choose  $c_j = 1$  for every  $j$ . Hence we proved the existence of a  $y \in X$  with  $\|y\| = 1$  such that  $\|y - x_j\| \geq \|x_j\|$  for all  $j = 1, \dots, n$ .

(d) We want to show that one cannot cover the unit sphere  $S = \{x \in X : \|x\| = 1\}$  with a finite family of closed balls in  $X$  such that none of the balls contains 0.

Let  $x_1, \dots, x_n \in X$  be finitely many elements in  $X$  and assume that the closed balls  $\overline{B}_X(x_i, r_i)$ ,  $r_i \geq 0$ ,  $i = 1, \dots, n$ , cover  $S$ . We want to prove that at least one of the balls contains 0. By Problem 3 (c) there exists  $y \in X$  such that  $\|y\| = 1$ , i.e.  $y \in S$ , and  $\|y - x_i\| \geq \|x_i\|$  for all  $i$ . Since the balls cover  $S$ , there exists  $i_0 \in \{1, \dots, n\}$  such that  $y \in \overline{B}_X(x_{i_0}, r_{i_0})$ , i.e.  $\|y - x_{i_0}\| \leq r_{i_0}$ . Then it is impossible that  $r_{i_0} < \|x_{i_0}\|$ , since otherwise

$$\|y - x_{i_0}\| \geq \|x_{i_0}\| > r_{i_0} \geq \|y - x_{i_0}\|.$$

Hence  $r_{i_0} \geq \|x_{i_0}\|$ . But this means that  $0 \in \overline{B}_X(x_{i_0}, r_{i_0})$ , since  $\|x_{i_0} - 0\| = \|x_{i_0}\| \leq r_{i_0}$ . This proves the statement.

(e) We want to show that  $S$  is non-compact and deduce that the closed unit ball in  $X$  is non-compact. Assume by contradiction that  $S$  is compact and consider the open cover  $\mathcal{U} = \{B_X(x, 1/2) : x \in S\}$  consisting of open balls of radius  $1/2$  around every point  $x \in S$ . Then there exists a finite subcover of  $\mathcal{U}$ , i.e. there exists  $N \in \mathbb{N}$  such that  $\{B_X(x_i, 1/2) : x_i \in S, i = 1, \dots, N\}$  covers  $S$ . Then also the set of closed balls  $\mathcal{V} = \{\overline{B}_X(x_i, 1/2) : x_i \in S, i = 1, \dots, N\}$  covers  $S$ . But this contradicts the fact proven in Problem 3 (d), since none of the closed balls in  $\mathcal{V}$  contains 0 (for  $i = 1, \dots, N$ ,  $\|x_i - 0\| = 1 > 1/2$ ). Hence  $S$  cannot be compact.

Let  $D = \{x \in X : \|x\| \leq 1\}$  be the closed unit ball in  $X$ . Then the unit circle  $S$  is a closed subset of  $D$ , since the complement  $D \setminus S$  is open. Indeed, given  $\varepsilon > 0$ , then for every  $x \in D \setminus S$ , the open ball  $B(x, \delta)$  where  $\delta = \text{dist}(x, S) = \inf\{\|x - y\| : y \in S\}$  is contained in  $D \setminus S$ . If  $D$  was compact, then the closed subset  $S$  of  $D$  would also be compact, but this is not the case. So  $D$  is also non-compact.

## Problem 4

(a) Given  $n \geq 1$ , the set  $E_n = \left\{f \in L_1([0, 1], m) : \int_{[0, 1]} |f|^3 dm \leq n\right\} \subseteq L_1([0, 1], m)$  is not absorbing. By Problem 2 HW2,  $L_3([0, 1], m)$  is a proper subspace of  $L_1([0, 1], m)$ , so we can pick  $f \in L_1([0, 1], m)$  such that the integral

$$\left(\int_{[0, 1]} |f|^3 dm\right)^{1/3}$$

is divergent. If there exists  $t > 0$  such that  $tf \in E_n$ , i.e. such that

$$\int_{[0, 1]} |tf|^3 dm \leq n,$$

then

$$\left(\int_{[0, 1]} |f|^3 dm\right)^{1/3} = \left(t^{-3} \int_{[0, 1]} |tf|^3 dm\right)^{1/3} \leq (nt^{-3})^{1/3},$$

contradicting the fact, that the integral was not convergent. Hence there exists no such  $t$ , and  $E_n$  is not absorbing.

(b) We want to show that  $E_n$  has empty interior in  $L_1([0, 1], m)$  for all  $n \geq 1$ .

Assume by contradiction that the interior of  $E_n$  is non-empty and let  $f \in \text{Int}(E_n)$ . The interior is defined as the union of all open sets in  $L_1([0, 1], m)$  containing  $E_n$ , so there is an open set containing  $f$ .  $L_1([0, 1], m)$  is a metric space, so there exists  $\varepsilon > 0$  such that

$$f \in B(f, \varepsilon) = \{g \in L_1([0, 1], m) : \|f - g\|_1 < \varepsilon\} \subseteq E_n.$$

Now let  $0 \neq g \in L_1([0, 1], m)$ . Then  $g' = f + \frac{\varepsilon}{2\|g\|_1}g \in B(f, \varepsilon) \subseteq E_n$ , since

$$\|g' - f\|_1 = \left\| f + \frac{\varepsilon}{2\|g\|_1}g - f \right\|_1 = \frac{\varepsilon}{2\|g\|_1}\|g\|_1 = \frac{\varepsilon}{2} < \varepsilon.$$

$E_n$  is contained in  $L_3([0, 1], m)$ , so  $f, g' \in L_3([0, 1], m)$ . Hence also  $g = \frac{2\|g\|_1}{\varepsilon}(g' - f) \in L_3([0, 1], m)$ . So  $L_1([0, 1], m) \subseteq L_3([0, 1], m)$ , which contradicts the fact that  $L_3([0, 1], m)$  is a proper subspace of  $L_1([0, 1], m)$  (Problem 2 HW2). Hence  $E_n$  has empty interior.

(c) We want to show that  $E_n$  is closed in  $L_1([0, 1], m)$  for all  $n \geq 1$ .

Let  $(f_i)_{i \geq 1}$  be a sequence in  $E_n$  and assume that  $\lim_{i \rightarrow \infty} \|f_i - f\|_1 = 0$  for some  $f \in L_1([0, 1], m)$ . Then, by Fatou's Lemma, we have

$$\int_{[0,1]} |f|^3 dm = \int_{[0,1]} \lim_{i \rightarrow \infty} |f_i|^3 dm \leq \lim_{i \rightarrow \infty} \int_{[0,1]} |f_i|^3 dm \leq n.$$

So  $f \in E_n$ . This proves that  $E_n$  is closed in  $L_1([0, 1], m)$ .

(d) From Problem 4 (b) and (c) we get that  $\text{Int}(E_n) = \text{Int}(\overline{E_n}) = \emptyset$ , which means that  $E_n$  is nowhere dense,  $n \geq 1$ . Observe also that  $L_3([0, 1], m) = \bigcup_{n \geq 1} E_n$ , so  $L_3([0, 1], m)$  can be written as a union of nowhere dense sets. This means exactly that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$ .

## Problem 5

(a) Suppose  $x_n \rightarrow x$  in norm as  $n \rightarrow \infty$ . We want to prove that  $\|x_n\| \rightarrow \|x\|$  as  $n \rightarrow \infty$ . Given  $\varepsilon > 0$ , by assumption there exists  $N \in \mathbb{N}$  such that

$$\|x_n - x\| < \varepsilon \quad \text{for all } n > N.$$

By the triangle inequality we have that

$$|\|x_n\| - \|x\|| \leq \|x_n - x\| < \varepsilon \quad \text{for all } n > N.$$

Hence  $\|x_n\| \rightarrow \|x\|$  as  $n \rightarrow \infty$ .

(b) We want to show that if  $x_n \rightarrow x$  as  $n \rightarrow \infty$ , it does not necessarily imply that  $\|x_n\| \rightarrow \|x\|$  as  $n \rightarrow \infty$ , by proposing a counterexample.

Let the sequence be given by a countable orthonormal basis  $(e_n)_{n \geq 1}$  for  $H$  (which do exist by Prop. 5.29 in Folland, since  $X$  is separable). Let  $f \in H^*$ . By the Riesz Representation Theorem (Problem 1 HW2) there exists  $y \in H$  such that  $f(x) = \langle x, y \rangle$  for all  $x \in H$ . Bessel's inequality tells us, since  $(e_n)_{n \geq 1}$  is an orthonormal set, that

$$\sum_{n=1}^{\infty} |\langle e_n, y \rangle|^2 = \sum_{n=1}^{\infty} |\langle y, e_n \rangle|^2 \leq \|y\|^2,$$

so the sum is convergent, because  $y$  is fixed. Thus we must have that the terms tend to zero, i.e.  $|\langle e_n, y \rangle|^2 \rightarrow 0$  as  $n \rightarrow \infty$ , so also  $f(e_n) = \langle e_n, y \rangle \rightarrow 0 = f(0)$  as  $n \rightarrow \infty$ . Since  $f \in H^*$  was chosen arbitrarily, Problem 2

(a) HW4 implies that  $e_n \rightarrow 0$  weakly as  $n \rightarrow \infty$ . But  $\|e_n\| = 1$  for every  $n \geq 1$ , so  $\|e_n\| \rightarrow 1$  as  $n \rightarrow \infty$ . So  $\|e_n\| \not\rightarrow \|0\| = 0$ , which proves the claim.

(c) We want to prove that if  $\|x_n\| \leq 1$  for all  $n \geq 1$  and  $x_n \rightarrow x$  weakly as  $n \rightarrow \infty$ , then  $\|x\| \leq 1$ . Note first that if  $x = 0$ , then  $\|x\| = 0 \leq 1$ , so we can assume that  $x \neq 0$ . Then by Theorem 2.7 (b) there exists  $f \in X^*$  such that  $\|f\| = 1$  and  $f(x) = \|x\|$ . By Remark 1.11 we have that

$$|f(x_n)| \leq \|f\| \|x_n\| = \|x_n\| \leq 1.$$

By Problem 2 HW4 we have that  $f(x_n) \rightarrow f(x)$  as  $n \rightarrow \infty$ . So

$$\|x\| = |f(x)| = \lim_{n \rightarrow \infty} |f(x_n)| \leq \lim_{n \rightarrow \infty} \|x_n\| \leq 1.$$

Hence  $\|x\| \leq 1$ .