

# FunAn assignment 1

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## Problem 1

(a)

We start of by showing that  $\|x\|_0$  is a norm. First we check the triangle inequality:

$$\begin{aligned}\|x + y\|_0 &= \|x + y\|_X + \|Tx + Ty\|_Y \leq \\ &\|x\|_X + \|y\|_X + \|Tx\|_Y + \|Ty\|_Y = \|x\|_0 + \|y\|_0\end{aligned}$$

Now we check if  $\|\lambda x\|_X + \|T(\lambda x)\|_Y = \|\lambda x\|_0 = |\lambda| \cdot \|x\|_0$

$$\|\lambda x\|_0 = \|\lambda x\|_X + \|\lambda Tx\|_Y = |\lambda| \cdot \|x\|_X + |\lambda| \cdot \|Tx\|_Y = |\lambda| \cdot \|x\|_0$$

So it's a semi norm. Now we just have to check if  $\|x\|_0 = 0 \Rightarrow x = 0$

$$\|x\|_0 = 0 \Rightarrow \|x\|_X = -\|Tx\|_Y \Rightarrow \|x\|_X = \|Tx\|_Y = 0 \Rightarrow x = 0$$

So we have now shown that  $\|x\|_0$  is a norm.

Next we show that  $\|x\|_0$  and  $\|x\|_X$  are equivalent iff  $T$  is bounded. Assume that the norms are equivalent then we have for  $C > 1$ :

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \leq C\|x\|_X \Rightarrow \|Tx\|_Y \leq (C - 1)\|x\|_X$$

But this means exactly that  $T$  is bounded.

Now assume that  $T$  is bounded:  $\|Tx\|_Y \leq C\|x\|_X$  so we have:

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \leq \|x\|_X + C\|x\|_X = \|x\|_X(1+C) \Rightarrow \frac{1}{1+C}\|x\|_0 \leq \|x\|_X$$

But we also have that  $\|x\|_0 = \|x\|_X + \|Tx\|_Y$  and since the norms are either 0 or positive we get that  $\|x\|_X \leq \|x\|_0$ . So we have shown that the norms are equivalent.

(b)

From Theorem 1.6<sup>1</sup> we have that if  $X$  is a finite dimensional vector space, then any two norms on  $X$  are equivalent. From (a) we then have that  $T$  is bounded, when  $X$  is finite dimensional.

<sup>1</sup>Every reference is to the FuncAn notes. If a referee to something else i note it.

*It is just some arbitrary set, not necessarily the*

(c)

we can choose a Hamel basis  $(e_n)_{n \in I}$  s.t.  $\|e_n\| = 1$ . We then pick a family in  $Y$  s.t.  $\|y_n\| \rightarrow \infty$  as  $n \rightarrow \infty$ . We then let  $T : X \rightarrow Y$  be the unique map s.t.  $T(e_n) = y_n$ . Let  $N \in \mathbb{N}$  be given. Then we have that  $\exists i \in I$  s.t.  $\|y_n\| > N, \forall n \geq i$  and hence:

$$\|Te_n\| = \|y_n\| > N = N\|e_n\|$$

But this means that  $T$  isn't bounded.

*(✓)*

(d)

Since  $X$  is infinite dimensional, we have from (c) a linear map  $T : X \rightarrow Y$  that isn't bounded. The norms  $\|\cdot\|_0$  and  $\|\cdot\|_X$  are not equivalent for this  $T$ . Furthermore we have that

$$\|x\|_0 = \|x\|_X + \|Tx\|_Y \geq \|x\|_X$$

If  $(X, \|\cdot\|_X)$  is a Banach space, we have that it's complete. Assume that  $(X, \|\cdot\|_0)$  is also complete, then we have from Problem 1 from HW3, that the norms are equivalent, but this is a contradiction, so  $(X, \|\cdot\|_0)$  isn't complete.

*✓*

(e)

Let  $(X, \|\cdot\|) = (\ell_1(\mathbb{N}, \|\cdot\|_1))$  and  $(X, \|\cdot\|') = (\ell_1(\mathbb{N}, \|\cdot\|_\infty))$ . From an2 we know that  $(\ell_1(\mathbb{N}, \|\cdot\|_1))$  is complete and from HW2 we have that  $\|\cdot\|_1 \leq \|\cdot\|_\infty$ . We have to find a sequence of sequences in  $\ell_1$  that is Cauchy with  $\|\cdot\|_\infty$  but converges to something not in  $\ell_1$ . We look at  $(x_n)^i$  given by

*What is it?*

*Converges in what space?*

*other way around. Remember to observe the norms are inequivalent.*

$$x_n^i = \begin{cases} 1/n & n \leq i \\ 0 & n > i \end{cases}$$

Each  $x_n$  is in  $\ell_1$ . Furthermore we have that it's Cauchy since for  $j > i$  we get:  $\|(x_n)^k - (x_n)^i\|_\infty = \frac{1}{i+1}$  and for any  $\epsilon > 0$  we can pick  $i > \frac{1-\epsilon}{\epsilon}$  thus  $\|(x_n)^k - (x_n)^i\|_\infty = \frac{1}{i+1} < \epsilon$ . But we have that  $\lim_{i \rightarrow \infty} (x_n)^i = \frac{1}{n} \notin \ell_1$ , hence  $(\ell_1(\mathbb{N}, \|\cdot\|_\infty))$  is not complete.

*This is not proper notation*

*✓*

## Problem 2

(a)

We will show this for  $p = 1$  and  $p > 1$ . Let  $p = 1$ , then by the triangle inequality we get:

$$|f(a, b, 0, 0, \dots)| = |a + b| \leq |a| + |b| = \|(a, b, 0, 0, \dots)\|_1$$

So  $\|f\| \leq 1$  and since  $|f(1, 1, 0, 0, \dots)| = 2 = |1| + |1| = \|(1, 1, 0, 0, \dots)\|_1 \Rightarrow \|f\| = 1$  for  $p = 1$ .

*✓*

Now we assume  $p > 1$ . We start off by noting that  $\varphi : x \rightarrow |x|^p$  is convex since  $\frac{d^2}{dx^2} \varphi(x) = p(p-1)x^{p-2} \geq 0$ . We can then use Jensen's inequality (Schilling Thm 13.13):

$$\frac{1}{2^p} \varphi(a+b) = \left| \frac{a+b}{2} \right|^p = \left| \frac{1}{2}a + \frac{1}{2}b \right|^p \leq \frac{1}{2}|a|^p + \frac{1}{2}|b|^p = \frac{1}{2}(|a|^p + |b|^p)$$

But this means that  $|a + b|^p \leq 2^{p-1}(|a|^p + |b|^p)$ . We now take the  $p$ th root and get:

$$|f(a, b, 0, 0, \dots)| = |a + b| \leq 2^{\frac{p-1}{p}}(|a|^p + |b|^p)^{\frac{1}{p}} = 2^{\frac{p-1}{p}} \|(a, b, 0, 0, \dots)\|_p$$

So we have  $\|f\| \leq 2^{\frac{p-1}{p}}$  and we also have

$$|f(1, 1, 0, 0, \dots)| = 2 = 2^{\frac{p-1}{p}} \cdot 2^{\frac{1}{p}} = 2^{\frac{p-1}{p}}(|1|^p + |1|^p)^{\frac{1}{p}} = 2^{\frac{p-1}{p}} \|(1, 1, 0, 0, \dots)\|_p$$

So we have that  $\|f\| = 2^{\frac{p-1}{p}}$

(b)

We know that a map  $F$  that extends  $f$  and with  $\|F\| = \|f\|$  exists because of Corollary 2.6. We just have to show that it is unique. Assume that there exists two different extensions of  $f$  with this property;  $F_\alpha, F_\beta$ . From HW1 Problem 5 we have that  $(\ell_p)^*$  is isometrically isomorphic to  $\ell_q$  where  $1/q + 1/p = 1$ . The isometry is given as  $T : \ell_q \rightarrow (\ell_p)^*$ , with  $T(x) = g_x$ ,  $g_x(y) = \sum_{n=1}^{\infty} x_n y_n$ , for  $y = (y_n)_{n \geq 1} \in \ell_p$  and  $x = (x_n)_{n \geq 1} \in \ell_q$ . Now let  $x, x'$  be the elements in  $\ell_q$  that correspond to  $F_\alpha, F_\beta \in (\ell_p)^*$ . Since the two spaces are isometric isomorphic we have that:

$$\|f\| = 2^{\frac{p-1}{p}} = \|F_\alpha\| = \|F_\beta\| = \|x\|_q = \|x'\|_q$$

We have that  $F_\alpha, F_\beta$  and  $f$  are equal on  $M$ , so we let  $(a, b, 0, 0, \dots)$  and by using the isometry we get:

$$\begin{aligned} a + b &= F_\alpha(a, b, 0, 0, \dots) = T(x)(a, b, 0, 0, \dots) = g_x(a, b, 0, 0, \dots) = x_1 a + x_2 b \\ a + b &= F_\beta(a, b, 0, 0, \dots) = T(x')(a, b, 0, 0, \dots) = g_{x'}(a, b, 0, 0, \dots) = x'_1 a + x'_2 b \end{aligned}$$

Where  $x_1 = g_x(1, 0, 0, \dots) = F(1, 0, 0, \dots) = f(1, 0, 0, \dots) = 1$  and the same argument for  $x_2$  but with the first entry 0 and the second with a 1, this also holds for  $x'_1$  and  $x'_2$ . But this means that  $x_1 = x_2 = x'_1 = x'_2 = 1$ . Furthermore we have that norm of  $x$  in  $\ell_q$  is:

$$\|x\|_q = (1^q + 1^q + \sum_{n=3}^{\infty} |x_n|^q)^{\frac{1}{q}} \geq (1^q + 1^q + 0) = 2^{\frac{1}{q}} = 2^{\frac{p-1}{p}}$$

But we have from 2 (a) that  $\|x\| = 2^{\frac{p-1}{p}}$ , so we have  $x_i = 0$  for  $i \geq 3$ . We also see by the same calculation and argument that all  $x'_i = 0$  for  $i \geq 3$ ; so we have that  $x = x' \Rightarrow F_\alpha = F_\beta$ , so  $F$  is unique.

(c)

We now have to show that there exists infinitely many linear functionals  $F$  on  $\ell_1(\mathbb{N})$  extending  $f$  and with  $\|F\| = \|f\|$ . We construct infinitely many of these  $F$ . Let  $(x_n)_{n \geq 1} \in \ell_1$ , we then define  $F_i = \sum_{n=1}^i x_n$ ,  $\forall i \geq 2$ . The norm is given by:

$$\|F_i(x)\| = \left| \sum_{n=1}^i x_n \right| \leq \sum_{n=1}^{\infty} |x_n| = \|x\|_1 \Rightarrow \|F_i\| \leq 1$$

*Be careful with the notation*

Given  $\alpha_j \in \ell_1$  where  $\alpha_j = (\alpha_1, \alpha_2, \dots, \alpha_j, 0, \dots)$ , with  $\alpha_n = 0 \forall n \in \mathbb{N}$ , we get

$$\|F_i(\alpha_j)\| = \left\| \sum_{n=1}^i \alpha_n e_n \right\| = \sum_{n=1}^i |\alpha_n| = \|\alpha_j\|_1 \Rightarrow \|F_i\| \geq 1$$

So we get that  $\|F_i\| = 1 = \|f\|$  for all  $i$ , and we also see that  $F_i(a, b, 0, 0, \dots) = a + b = f(a, b, 0, 0, \dots)$ ; so each  $F_i$  is an extension of  $f$  with the same norm. ✓

### Problem 3

(a)

*Does it also deal with  $\dim X = \infty$ ?*

We will use Lemma 2.7 from Henrik Schlichtkrull's notes from AdVec. Let  $B$  be a basis for  $X$ , then we have that  $\text{Span}(B) = X$ . The Lemma then says that  $T_{\text{Span}(B)} = T_X$  is injective iff  $T_B$  is injective and  $T(B)$  is a linear independent set. But since  $\#B > n$ , we have that  $T(B)$  can't be linear independent; so no linear map from  $X$  to  $\mathbb{K}^n$  can be injective. ✓

(b)

We follow the hint and consider  $F : X \rightarrow \mathbb{K}^n$  given by:

$$F(x) = (f_1(x), f_2(x), \dots, f_n(x))$$

We start off by showing, that  $F$  is a linear map:

$$\begin{aligned} F(\alpha x + y) &= (f_1(\alpha x + y), f_2(\alpha x + y), \dots, f_n(\alpha x + y)) \\ &= (\alpha f_1(x) + f_1(y), \alpha f_2(x) + f_2(y), \dots, \alpha f_n(x) + f_n(y)) \\ &= \alpha F(x) + F(y) \end{aligned}$$

Since it's a linear map we have from (a), that it can't be injective. But this means:

$$\{0\} \neq \ker(F) = \bigcap_{j=1}^n \ker(f_j)$$

since the kernel of  $F$  is exactly the kernel of  $\bigcap_{j=1}^n f_j$ , since each coordinate of  $F$  needs to be zero for it to be zero. ✓

(c)

Let  $y' \in \ker(F)$ , where  $F$  is as in (b). We pick our  $y$  as  $y = \frac{y'}{\|y'\|}$ , so  $\|y\| = 1$ . If  $x_i = 0$  then the inequality is trivial. So we assume that  $x_i \neq 0, \forall x_i$ , and use theorem 2.7 (b). For each  $x_i$  we then get a  $f_i$  s.t.  $\|f_i\| = 1$  and  $f_i(x_i) = \|x_i\|$ . We then get:

$$\|y - x_j\| \geq \|f_j(y - x_j)\| = \|f_j(y) - f_j(x_j)\| = \|f_j(y) - \|x_j\| e_j\| = \|x_j\|$$

Where the inequality follows because  $\|f_j x\| \leq \|x\|$  for all  $f_j$ , since each  $f_j$  is bounded by  $C = 1$ .

*A little backwards defining  $y$  from  $f_i$  before  $f_i$  is defined.* ✓

(d)

Assume that we have a cover of closed balls which don't contain 0, and let  $x_1, x_2, \dots, x_n \in X$  be the centers of these balls. From (c) we then have functionals  $f_i$  corresponding to each  $x_i$ . We now pick  $0 \neq y \in \ker(F) = \bigcap_{j=1}^n \ker(f_j)$ , with  $\|y\| = 1$  (we can do this because of (c)). Without loss of generality we assume that  $y \in \overline{B(x_j, r)}$ , then we have  $\|y - x_j\| \geq \|x_j\|$ . But then we have that this  $\overline{B(x_j, r)}$  have  $r \geq \|x_j\|$ , and therefore  $0 \in \overline{B(x_j, r)}$ , but this is a contradiction with that none of the balls contains 0, and therefore such a cover doesn't exist.

(e)

Assume for contradiction that  $S$  is compact, therefore we can cover it with open balls, with radius  $r$  s.t 0 isn't in any of these balls, where the center of each ball is a point in  $S$ . Since  $S$  is compact we can take a finite subcover of open balls, where 0 isn't in any of these ball. We can then take the closure of these balls, where 0 still isn't in any of them. This is a contradiction with (d), hence  $S$  is non-compact.

Furthermore, since the unit-sphere is a closed subset of the closed unit-ball, and it's non-compact we must have that the closed unit-ball is non-compact, since every closed subset of compact space is compact.

## Problem 4

(a)

The set  $E_n$  is convex because for  $f, g \in E_n$  we have:

$$\|\alpha f + (1 - \alpha)g\|^3 \leq (|\alpha|\|f\| + (1 - \alpha)\|g\|)^3 \leq (|\alpha|\sqrt[n]{n} + \sqrt[n]{n} - |\alpha|\sqrt[n]{n})^3 = n$$

but the set isn't absorbing because if  $f \in L_1([0, 1], m)$  but  $f \notin L_3([0, 1], m)$  then  $\|f\|_3 \not\leq \infty \Rightarrow \int_{[0,1]} |f|^3 dm \not\leq \infty$ . For any given constant  $t^{-1}$  we have then have that

$$\int_{[0,1]} |t^{-1}f|^3 dm = t^{-3} \int_{[0,1]} |f|^3 dm \not\leq \infty$$

But this means that  $E_n$  isn't absorbing.

(b)

Assume that  $E_n$  don't have an empty interior. Let  $f \in E_n$  be given then there exists a ball around  $f$  i.e

$$B(f, r) = \{g \in L_1([0, 1], m) : \|f - g\|_1 < r\} \subseteq E_n$$

Since  $|-f| = |f|$  we get that  $B(-f, r) \in E_n$  but this implies that  $B(0, r) \in E_n$ , since  $E_n$  is convex. Let  $g \in L_1([0, 1], m)$  then  $g = ch$  for  $h \in B(0, r)$  and  $c \in \mathbb{R}$  since the ball is an absorbing set, and therefore we have that  $g \in E_n$  since  $\|g\|_3^3 = |c|^3 \|h\|_3^3$ . Since  $g$  was an arbitrary function in  $L_1([0, 1], m)$  we get that  $L_1([0, 1], m) \subseteq L_3([0, 1], m)$ , but this is in contradiction with HW2 Problem 2, so we get that  $\text{Int}(E_n) = \emptyset$

(c)

Let  $(f_k)_{k \geq 1} \in E_n$  and assume that  $f_k \rightarrow f$  in  $L_1([0, 1], m)$ ; i.e.  $\|f_k - f\|_1 \rightarrow 0$ . So we have that  $|f_n| \rightarrow |f|$  and thus  $|f_n|^3 \rightarrow |f|^3$ , from Corollary 13.8 Schilling we have that there exist a subsequence  $|f_{n_j}|^3$  that converges almost everywhere to  $|f|^3$ , so by Fatou's lemma (9.11 Schilling) we have that:

$$\int_{[0,1]} |f|^3 dm = \int_{[0,1]} \liminf |f_k|^3 dm \leq \liminf \int_{[0,1]} |f_k|^3 dm \leq n$$

But this means that  $E_n$  contains all its limit points so it's closed.

(d)

Since we have that the  $E_n$  is closed we have that  $\bar{E}_n = E_n$ , but this means that  $\text{Int}(\bar{E}_n) = \emptyset$ , but from Definition 3.12 (i) this means that  $E_n$  is nowhere dense. But we have that  $L_3([0, 1], m) = \bigcup_{n \geq 1} E_n$  so by Definition 3.12 (ii) we have that  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$

## Problem 5

(a)

From prop 5.21 from Folland we get that  $\langle x_n, x_n \rangle \rightarrow \langle x, x \rangle$ , since  $x_n \rightarrow x$ , but this also means that  $\|x_n\| = \sqrt{\langle x_n, x_n \rangle} \rightarrow \sqrt{\langle x, x \rangle} = \|x\|$

(b)

We find a counterexample. We pick  $(e_n)_{n \geq 1}$  as an orthonormal countable basis for  $H$ . We use the HW4.2a, and look at the  $f \in H^*$ . Let  $f \in H^*$ , then by HW2.1 we have  $\exists! y \in H : f(e_n) = \langle y, e_n \rangle$ , for each  $e_n$  in our basis. By Bessel's inequality (5.26 in Folland)<sup>2</sup> we then have that  $\sum_n |\langle y, e_n \rangle|^2 \leq \|y\|^2$ , but this means that  $|f(e_n)|^2 \rightarrow 0$  for  $n \rightarrow \infty$  for  $f \in H^*$ , so  $e_n$  converges weakly to 0. But we have that  $\|e_n\| = 1 \not\rightarrow 0 = \|x\|$ , for  $n \rightarrow \infty$

(c)

*weakly!* *where does f live?* *You do not need this.*  
If  $x_n \rightarrow x = 0$  the result is trivial. So assume  $x \neq 0$  and  $x_n \neq 0$ , then by theorem 2.7 (b) we have that there  $\exists f$   $\|f\| = 1$  and  $f(x) = \|x\| \leq 1$ , for each  $x$ . Since  $x_n \rightarrow x$  weakly we have that  $\|x\| = |f(x)| = \lim_{n \rightarrow \infty} |f(x_n)|$ . But we have that  $|f(x_n)| \leq \|f\| \cdot \|x_n\| \leq 1$  for each  $x_n$ . But this means that we have  $\|x\| \leq 1$

<sup>2</sup>we can also use the property from 5.27 b from Folland of an orthonormal Hilbert space basis