

# Mandatory Assignment 1, Functional Analysis

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## Problem 1

### Part (a)

We first show that  $\|\cdot\|_0$  satisfies Lecture notes **definition 1.1** for being a norm, using that  $\|\cdot\|_X$  and  $\|\cdot\|_Y$  are norms and that  $T : X \rightarrow Y$  is a linear map. Using **Definition 1.1(a)** for  $\|\cdot\|_X$  and  $\|\cdot\|_Y$  we see for all  $x, y \in X$  that

$$\begin{aligned}\|x + y\|_0 &= \|x + y\|_X + \|T(x + y)\|_Y = \|x + y\|_X + \|T(x) + T(y)\|_Y \\ &\leq \|x\|_X + \|y\|_X + \|T(x)\|_Y + \|T(y)\|_Y \leq \|x\|_0 + \|y\|_0\end{aligned}$$

Again using linearity of  $T$  and **Definition 1.1(b)** for the two norms, we see that for all  $\alpha \in \mathbb{K}$  and all  $x \in X$  we have that

$$\|\alpha x\|_0 = \|\alpha x\|_X + \|T(\alpha x)\|_Y = \|\alpha x\|_X + \|\alpha T(x)\|_Y = |\alpha| \|x\|_X + |\alpha| \|T(x)\|_Y = |\alpha| \|x\|_0$$

Lastly using linearity of  $T$ , so  $T(0) = 0$ , and **Definition 1.1(c)** for the two norms, we find that

$$\|0\|_0 = \|0\|_X + \|T(0)\|_Y = \|0\|_X + \|0\|_Y = 0$$

If  $\|x\|_0 = 0$  we see that since  $\|x\|_X > 0$  if and only if  $x \neq 0$  and  $\|T(x)\|_Y \geq 0$  for all  $x \in X$  we can therefore conclude  $x = 0$  and then we have proved that  $\|x\|_0 = 0$  if and only if  $x = 0$ . We have now showed that  $\|\cdot\|_0$  is a norm.

Assume that  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent. Then there per **Definition 1.4** exist  $0 < C_1 \leq C_2 < \infty$  such that  $C_1 \|x\|_X \leq \|x\|_0 \leq C_2 \|x\|_X$ , holds for all  $x \in X$ . We can therefore see that

$$\begin{aligned}\|x\|_X + \|T(x)\|_Y &= \|x\|_0 \leq C_2 \|x\|_X \Rightarrow \\ \|T(x)\|_Y &\leq (C_2 - 1) \|x\|_X\end{aligned}$$

Which means that  $T$  satisfies **Proposition 1.10(3)**, so that means  $T$  is bounded. Assume now that  $T$  is bounded, then per **Proposition 1.10(c)** we have that there exist a  $C > 0$  such that  $\|T(x)\|_Y \leq C \|x\|_X$  for all  $x \in X$ . Therefore we get that

$$\|x\|_X \leq \|x\|_X + \|T(x)\|_Y \leq \|x\|_X + C \|x\|_X = (C + 1) \|x\|_X$$

So we have that  $\|\cdot\|_X$  and  $\|\cdot\|_0$  are equivalent.

### Part (b)

For a given linear map,  $T : X \rightarrow Y$ , we have that since  $X$  is finite dimensional we can use **Theorem 1.6** to say that any two norm on  $X$ , in particular  $\|\cdot\|_X$  and  $\|\cdot\|_0$ , are equivalent. Then it follows from **Mandatory problem 1(a)** that  $T$  is bounded.

### Part (c)

For a  $X$  that is infinite dimensional we know that there exists a normalized Hamel basis,  $(e_i)_{i \in I}$  with  $\|e_i\|_X = 1$  for all  $i \in I$ . We also know that  $I$  has infinite elements, which means that  $\text{card}(I) \geq \text{card}(\mathbb{N})$ . Hence there exists a surjective function,  $f : I \rightarrow \mathbb{N}$ . Since  $Y$  is a non-zero normed vector space, choose  $0 \neq y \in Y$  and let  $y_i = f(i)y$  for all  $i \in I$ . We now have (from the

hint) that there exists precisely one linear map  $T : X \rightarrow Y$  such that  $T(e_i) = y_i$  for all  $i \in I$ . For a given  $C > 0$  let  $\lceil \frac{C+1}{\|y\|} \rceil = N_C \in \mathbb{N}$  then since  $f$  is surjective there exists a  $i_0 \in I$  such that  $f(i_0) = N_C y$ . We now have that

$$C \|e_{i_0}\|_X = C < N_C \cdot \|y\|_Y = \|N_C y\|_Y = \|f(i_0)y\| = \|T(e_{i_0})\|$$

Hence the linear map  $T : X \rightarrow Y$  cannot be bounded by any constant  $C > 0$ .

### Part (d)

let  $Y = \mathbb{K}$  (so either  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ ) and let  $\|\cdot\|_Y = |\cdot|$  (modulus). Then we have a non-zero normed vector space over  $\mathbb{K}$ . Define  $T : X \rightarrow \mathbb{K}$  using **Mandatory problem 1(c)** so  $T$  is a linear map that is not bounded. Let  $\|x\|_0 = \|x\|_X + \|T(x)\|_Y$  (a norm per Mandatory problem 1(a)). We have trivially that  $\|x\|_X \leq \|x\|_0$  for all  $x \in X$ . Since  $T$  is not bounded it follows that for all  $C > 0$  there exists a  $x \in X$  such that  $\|T(x)\|_Y > C \|x\|_X$ , so therefore we have that the two norms cannot be equivalent. If  $(X, \|\cdot\|_X)$  is a Banach space then it follows from contraposition of **Homework week 3, problem 1** that  $(X, \|\cdot\|_0)$  cannot be complete.

### Part (e)

Take  $(X, \|\cdot\|) = (\ell_1(\mathbb{N}), \|\cdot\|_1)$  and let  $\|\cdot\|' = \|\cdot\|_\infty = \sup\{|x(k)| : k \geq 1\}$ . Then we know from Riesz-fischer completeness theorem (**Schilling, Theorem 13.7**) that  $(\ell_1(\mathbb{N}), \|\cdot\|_1)$  is complete. We also note that  $\|x\|_\infty \leq \|x\|_1$  for all  $x \in \ell_1(\mathbb{N})$  and therefore we also have that  $\|x\|_\infty < \infty$  for all  $x \in (\ell_1(\mathbb{N}))$ , so it is a norm on  $(\ell_1(\mathbb{N}))$ . Consider the sequence in  $(x_n)_{n \in \mathbb{N}} \subset (\ell_1(\mathbb{N}))$  given by  $x_n = (\frac{1}{1}, \frac{1}{2}, \dots, \frac{1}{n}, 0, 0, \dots)$ . Then for all  $\epsilon > 0$  we can choose  $N_\epsilon = \lceil \frac{1}{\epsilon} \rceil$  such that for all  $n, m \geq N_\epsilon$  and

$$\|x_n - x_m\|_\infty = \sup\{|x_n(k) - x_m(k)| : k \geq 1\} = \frac{1}{\min\{m, n\} + 1} \leq \frac{1}{N_\epsilon + 1} < \epsilon$$

So we have that  $(x_n)_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\|\cdot\|_\infty$ . But we also know that  $x_n \rightarrow x$  where  $x = (1, \frac{1}{2}, \frac{1}{3}, \dots)$  and

$$\|x\|_1 = \sum_{k=1}^{\infty} |x(k)| = \sum_{k=1}^{\infty} \frac{1}{k} = \infty$$

So we have that  $x \notin \ell_1(\mathbb{N})$  and the sequence can therefore not converge in  $\ell_1(\mathbb{N})$ . We can now conclude that  $(\ell_1(\mathbb{N}), \|\cdot\|_\infty)$  is not complete.

## Problem 2

### Part (a)

We first note that  $f : M \rightarrow \mathbb{C}$  is a linear map and the norm on  $\mathbb{C}$  is modulus. We have that since  $|\cdot|$  satisfies the triangle inequality we have for all  $m = (a, b, 0, 0, \dots) \in M$

$$|f(m)|^p = |a + b|^p \leq (|a| + |b|)^p \leq (2 \max\{|a|, |b|\})^p \leq 2^p (|a|^p + |b|^p) = 2^p \|m\|_p^p$$

So  $|f(m)| \leq 2 \|m\|_p$  for all  $m \in M$  and therefore  $f$  is bounded. Let  $m = (a, b, 0, 0, \dots) \in M \subset \ell_p(\mathbb{N})$  and let  $y = (1, 1, 0, 0, \dots) \in \ell_q(\mathbb{N})$  where  $q = \frac{p-1}{p}$  for  $p > 1$  and  $q = \infty$  for  $p = 1$ . Then we have that  $f_y(m) = \sum_{k=1}^{\infty} y(k)m(k) = m(1) + m(2) = a + b = f(m)$  for all  $m \in M$ . Using Hölders inequality (**Schilling, Theorem 13.2**) we have that

$$|f(m)| = |f_y(m)| = \left| \sum_{k=1}^{\infty} y(k)m(k) \right| \leq \|m\|_p \|y\|_q$$

Where we have that  $\|y\|_q = (\sum_{n=1}^{\infty} |y_n|^q)^{\frac{1}{q}} = (1+1)^{\frac{1}{q}} = 2^{\frac{p-1}{p}}$ , which holds for all  $p \in [1, \infty)$ , since for  $p = 1$  we have that  $\|y\|_{\infty} = 1 = 2^0$ . We now see per **Remark 1.11** that

$$\|f\| = \sup \left\{ |f(m)| : \|m\|_p \leq 1 \right\} \leq \sup \left\{ \|m\|_p \|y\|_q : \|m\|_p \leq 1 \right\} \leq \|y\|_q = 2^{\frac{p-1}{p}}$$

For all  $p \in [1, \infty)$  we have that if  $m_1 = \left( \left(\frac{1}{2}\right)^{\frac{1}{p}}, \left(\frac{1}{2}\right)^{\frac{1}{p}}, 0, 0, \dots \right)$  then we see that  $\|m_1\|_p = \left( \left(\left(\frac{1}{2}\right)^{\frac{1}{p}}\right)^p + \left(\left(\frac{1}{2}\right)^{\frac{1}{p}}\right)^p \right)^{\frac{1}{p}} = \left(\frac{1}{2} + \frac{1}{2}\right)^{\frac{1}{p}} = 1$ . We calculate that  $|f(m_1)| = \left|\left(\frac{1}{2}\right)^{\frac{1}{p}} + \left(\frac{1}{2}\right)^{\frac{1}{p}}\right| = 2\left(\frac{1}{2}\right)^{\frac{1}{p}} = 2^{\frac{p-1}{p}}$ . Since  $\|f\| = \sup \left\{ |f(m)| : \|m\|_p \leq 1 \right\} \geq |f(m_1)|$  we can therefore conclude that  $\|f\| \geq 2^{\frac{p-1}{p}}$ . Combining this with the other inequality we have that  $\|f\| = 2^{\frac{p-1}{p}}$  for all  $p \in [1, \infty)$ .

### Part (b)

Using **Homework week 1, problem 5** we have that for all  $p \in (1, \infty)$  that  $(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$  where  $q = \frac{p}{p-1}$ . We also have from **Homework week 1, problem 5** that all linear functionals  $F \in (\ell_p(\mathbb{N}))^*$  can be given by  $F(y) = f_x(y) = \sum_{k=1}^{\infty} x(k)y(k)$  for all  $y \in \ell_p(\mathbb{N})$ , where  $x \in \ell_q(\mathbb{N})$ .

We want to show that  $f_x|_M = f$  if and only if  $x(1) = 1$  and  $x(2) = 1$ . If  $x(1) = 1$  and  $x(2) = 1$  we have that for all  $m = (a, b, 0, 0, \dots) \in M$  that  $f_x(m) = \sum_{k=1}^{\infty} x(k)m(k) = x(1)m(1) + x(2)m(2) = a + b = f(m)$  and therefore  $f_x|_M = f$ . If  $x(1) \neq 1$  choose  $m_1 = (1, 0, 0, \dots) \in M$ , then we have that  $f_x(m_1) = \sum_{k=1}^{\infty} x(k)m_1(k) = x(1) \neq 1 = f(m_1)$  and hence  $f_x|_M \neq f$ . The argument for  $x(2) \neq 1$  follows with the same idea where  $m_2 = (0, 1, 0, 0, \dots) \in M$  and then  $f_x(m_2) = x(2) \neq 1 = f(m_2)$ .

If we look at  $x_1 = (1, 1, 0, 0, \dots) \in \ell_q(\mathbb{N})$  we must have that  $f_{x_1}|_M = f$  and that  $\|x_1\|_q = (|1|^q + |1|^q)^{1/q} = 2^{\frac{p-1}{p}}$ . Since  $(\ell_p(\mathbb{N}))^* \cong \ell_q(\mathbb{N})$  we have that  $\|f_{x_1}\| = \|x_1\|_q = 2^{\frac{p-1}{p}} = \|f\|$ . Hence the linear functional  $f_{x_1}$  on  $\ell_p(\mathbb{N})$  is an extension of  $f$  and satisfies  $\|f_{x_1}\| = \|f\|$ . Let  $x_2 \in \ell_q(\mathbb{N})$  such that  $f_{x_2}|_M = f$  and assume that  $x_2 \neq x_1$ . Then we have that  $x_2(1) = 1$  and  $x_2(2) = 1$  but there must exist a  $n \in \mathbb{N}$  such that  $x_2(n) \neq 0 = x_1(n)$ , otherwise  $x_1 = x_2$ . Hence we have that

$$\|f\| = 2^{\frac{p-1}{p}} = 2^{\frac{1}{q}} < (1 + 1 + |x(n)|^q)^{\frac{1}{q}} \leq \left( \sum_{k=1}^{\infty} |x_2(k)|^q \right)^{\frac{1}{q}} = \|x\|_q = \|f_{x_2}\|$$

Therefore any linear functional  $F$  on  $\ell_p(\mathbb{N})$  extending  $f$  that is different from  $f_{x_1}$  has  $\|F\| > \|f\|$  and we now conclude that  $f_{x_1}$  must be the unique extension where  $\|f_{x_1}\| = \|f\|$ .

### Part (c)

Again using **Homework week 1, problem 5** we have that  $(\ell_1(\mathbb{N}))^* \cong \ell_{\infty}(\mathbb{N})$  and again we use that all linear functionals  $F \in (\ell_1(\mathbb{N}))^*$  can be given by  $F(y) = f_x(y) = \sum_{k=1}^{\infty} x(k)y(k)$  for all  $y \in \ell_1(\mathbb{N})$ , where  $x \in \ell_{\infty}(\mathbb{N})$ . Let  $x_n \in \ell_{\infty}(\mathbb{N})$  be given by  $x_n = (1, 1, \dots, 1, 0, 0, \dots)$  where the first  $n$  places are ones and the rest are zero. Then we have that  $\|x_n\|_{\infty} = 1$  for all  $n \in \mathbb{N}$ . For  $n \geq 2$  we see that for any  $m = (a, b, 0, 0, \dots) \in M$  we get  $f_{x_n}(m) = \sum_{k=1}^{\infty} x_n(k)m(k) = a + b = f(m)$ . Since we have that  $(\ell_1(\mathbb{N}))^* \cong \ell_{\infty}(\mathbb{N})$  we get that for all  $n \geq 2$  we find that  $\|f_{x_n}\| = \|x_n\|_{\infty} = 1 = \|f\|$  and since  $f_{x_n}|_M = f$  we have infinitely many linear functionals  $f_{x_n}$  on  $\ell_1(\mathbb{N})$  extending  $f$  and satisfying  $\|f_{x_n}\| = \|f\|$ .

### Problem 3

#### Part (a)

Let  $(e_i)_{i \in I}$  be a hamel basis for  $X$ . We have that  $\text{card}(\{1, 2, \dots, n+1\}) \leq \text{card}(I)$  and hence there exists an injective map  $F : \{1, 2, \dots, n+1\} \rightarrow I$ . Now define the subset  $\{e_1, e_2, \dots, e_{n+1}\} \subset (e_i)_{i \in I}$  by  $e_k = e_{f(k)}$  for  $k = 1, 2, \dots, n+1$ . We now set  $\text{span}\{e_1, e_2, \dots, e_n, e_{n+1}\} = X_{n+1} \subset X$  so let  $F_{n+1} : X_{n+1} \rightarrow \mathbb{K}^n$  be the restriction of  $F$  to the set  $X_{n+1}$ . Then  $F_{n+1}$  is also a linear map and it holds that  $\ker(F_{n+1}) \subset \ker(F)$ . Using results from basic linear algebra we have that  $n+1 = \dim(X_{n+1}) = \dim(\ker(F_{n+1})) + \dim(F_{n+1}(X_{n+1}))$ . Since  $\dim(F_{n+1}(X_{n+1})) \leq \dim(\mathbb{K}^n) = n$  this means that  $\dim(\ker(F_{n+1})) \geq 1$  so especially  $\ker(F_{n+1}) \neq \{0\}$  and therefore  $\ker(F) \neq \{0\}$ . We know that a linear map,  $F$ , is injective if and only if  $\ker(F) = \{0\}$ , which means that  $F$  cannot be injective.

#### Part (b)

For a given  $n \in \mathbb{N}$  define  $F : X \rightarrow \mathbb{K}^n$  by  $F(x) = (f_1(x), f_2(x), \dots, f_n(x))$  for all  $x \in X$ . We have that  $F$  is linear since we can use linearity of the  $f_i$ 's to get that for all  $\alpha, \beta \in \mathbb{K}$  and all  $x, y \in X$ :

$$\begin{aligned} F(\alpha x + \beta y) &= (f_1(\alpha x + \beta y), \dots, f_n(\alpha x + \beta y)) = (\alpha f_1(x) + \beta f_1(y), \dots, \alpha f_n(x) + \beta f_n(y)) \\ &= \alpha (f_1(x), \dots, f_n(x)) + \beta (f_1(y), \dots, f_n(y)) = \alpha F(x) + \beta F(y) \end{aligned}$$

It now follows from **Mandatory problem 3(a)** that  $F$  cannot be injective which for a linear map is equivalent to  $\ker(F) \neq \{0\}$ . Since  $F(x) = 0$  if and only if  $f_i(x) = 0$  for all  $i = 1, \dots, n$  we have that  $\bigcap_{j=1}^n \ker(f_j) = \ker(F)$  and therefore  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ .

#### Part (c)

If  $x_i = 0$  then it is trivial that  $\|y - x_i\| = \|y\| \geq 0 = \|x_i\|$ , so assume that  $x_1, \dots, x_n \in X$  are all different from 0. Then it follows from **Theorem 2.7(b)** that for all  $x_j, j = 1, 2, \dots, n$  there exists  $f_j \in X^*$  such that  $\|f_j\| = 1$  and  $f_j(x_j) = \|x_j\|$ . Since per **Mandatory problem 3(b)**  $\bigcap_{j=1}^n \ker(f_j) \neq \{0\}$ , we can find  $0 \neq y' \in \bigcap_{j=1}^n \ker(f_j) = \ker(F)$ . Since  $\ker(F)$  is a subspace we can normalize  $y'$  and still be in  $\ker(F)$ , so let  $y = \frac{y'}{\|y'\|} \in \bigcap_{j=1}^n \ker(f_j) = \ker(F)$  with  $\|y\| = 1$ . We now have for all  $j = 1, 2, \dots, n$

$$\|x_j\| = f_j(x_j) = f_j(x_j) - 0 = f_j(x_j) - f_j(y) = f_j(x_j - y) \leq \|f_j\| \cdot \|x_j - y\| = \|y - x_j\|$$

Where we used linearity of  $f_j$ , that  $y \in \ker(f_j)$  and the properties of  $f_j$  that  $f_j(x_j) = \|x_j\|$  and  $\|f_j\| = 1$

#### Part(d)

Given a finite family of closed ball  $\overline{B(x_j, r_j)}$  index form  $j = 1, 2, \dots, n$  with center  $x_j$  and radius  $r_j$  and where none of them contain 0. This means that  $r_j < \|x_j\|$  because otherwise  $\|x_j - 0\| = \|x_j\| \leq r_j$  and then  $0 \in \overline{B(x_j, r_j)}$ . Since  $x_j$  cannot be equal to 0 for any  $j = 1, \dots, n$ , let  $y$  be from **Mandatory problem 3(c)**. Then we have that  $\|y\| = 1$  so  $y \in S = \{x \in X : \|x\| = 1\}$ , but for all  $j = 1, 2, \dots, n$  we have that  $r_j < \|x_j\| \leq \|y - x_j\|$  so  $y \notin \overline{B(x_j, r_j)}$  and therefore  $S = \{x \in X : \|x\| = 1\} \not\subset \bigcup_{j=1}^n \overline{B(x_j, r_j)}$

### Part (e)

Assume for contradiction that  $S$  is compact. Let  $B(x, r = \frac{\|x\|}{2})$  be the ball centered at  $x$  with radius  $\frac{\|x\|}{2}$ , then  $0 \notin \overline{B(x, \frac{\|x\|}{2})}$  if  $x \neq 0$ . We have that  $S \subset \cup_{x \in S} B(x, \frac{\|x\|}{2})$  so from assumption of compactness we have that there exists a finite set,  $A \subset S$ , such that  $S \subset \cup_{x \in A} B(x, \frac{\|x\|}{2})$  (**Folland, Section 4.4**). Then since  $\overline{B(x, \frac{\|x\|}{2})} \subset \overline{B(x, \frac{\|x\|}{2})}$  we have that  $S \subset \cup_{x \in A} B(x, \frac{\|x\|}{2}) \subset \cup_{x \in A} \overline{B(x, \frac{\|x\|}{2})}$ . But we have that  $0 \notin B(x, r = \frac{\|x\|}{2})$  for all  $x \in S$  so especially for all  $x \in A \subset S$ . Then we have a contradiction with **Mandatory problem 3(d)** and therefore  $S$  is non-compact. Since  $S$  is a closed set of the closed unit ball in  $X$  it follows from contraposition of **Folland, proposition 4.22** that the closed unit ball cannot be compact.

## Problem 4

### Part (a)

For a given  $n \in \mathbb{N}$  let  $f(x) = x^{-\frac{1}{3}} 1_{(0,1]}$ . Then we have that  $f \in L_1([0, 1], m)$  since  $\int_{[0,1]} |f| dm = \int_0^1 x^{-\frac{1}{3}} dx = \frac{3}{2} < \infty$  but for all  $t > 0$  we have that  $\int_{[0,1]} |t^{-1} f|^3 dm = t^{-3} \int_0^1 x^{-1} dx = \infty$  and hence  $t^{-1} f \notin E_n$  for all  $n \in \mathbb{N}$ . It now follows from the notes that  $E_n$  cannot be absorbing even if it is convex.

### Part(b)

We are going to prove it by contradiction. So for all  $n \in \mathbb{N}$  assume that  $(E_n)^\circ \neq \emptyset$  then there exists a  $f \in (E_n)^\circ$  so we can construct an open ball around  $f$  with radius  $\epsilon > 0$  contained in  $E_n$ , so  $B(f, \epsilon) = \{g \in L_1([0, 1], m) : \|f - g\|_1 < \epsilon\} \subset E_n$ . For a given  $g \in L_1([0, 1], m)$  different from 0 we have that  $f + \frac{g}{\|g\|_1} \frac{\epsilon}{2} \in B(f, \epsilon)$  since  $\left\|f - \left(f + \frac{g}{\|g\|_1} \frac{\epsilon}{2}\right)\right\|_1 = \frac{\epsilon}{2} \left\|\frac{g}{\|g\|_1}\right\|_1 = \frac{\epsilon}{2} < \epsilon$ . So since  $B(f, \epsilon) \subset E_n \subset L_3([0, 1], m)$  we can use linearity of  $L_3([0, 1], m)$  to get that  $f + \frac{g}{\|g\|_1} \frac{\epsilon}{2} - f = \frac{g}{\|g\|_1} \frac{\epsilon}{2} \in L_3([0, 1], m)$  and then  $\frac{g}{\|g\|_1} \frac{\epsilon}{2} \cdot \frac{2}{\epsilon} \|g\|_1 = g \in L_3([0, 1], m)$  so we get that  $L_1([0, 1], m) \subset L_3([0, 1], m)$  which is a contradiction with **Homework week 2, problem 2(b)** and therefore  $(E_n)^\circ = \emptyset$  for all  $n \in \mathbb{N}$ .

### Part (c)

For a given  $n \in \mathbb{N}$  let  $(f_k)_{k \in \mathbb{N}}$  be a given convergent sequence in  $E_n$ ,  $f_k \rightarrow f$  we have that since  $E_n$  is a subset of  $L_1([0, 1], m)$  this means that  $f_k \xrightarrow{L_1} f$ . We now know (**Schilling, Corollary 13.8**) that there exists a subsequence  $(f_{k_p})_{p \in \mathbb{N}}$  such that  $f_{k_p}(x) \rightarrow f(x)$   $m$ -almost everywhere. Then we also have that  $\lim_{p \rightarrow \infty} |f_{k_p}(x)|^3 = \limsup_{p \rightarrow \infty} |f_{k_p}(x)|^3 = |f(x)|^3$   $m$ -almost everywhere. So we get that

$$\int_{[0,1]} |f|^3 dm = \int_{[0,1]} \liminf_{p \rightarrow \infty} |f_{k_p}|^3 dm \leq \liminf_{p \rightarrow \infty} \int_{[0,1]} |f_{k_p}|^3 dm \leq \liminf_{p \rightarrow \infty} n = n$$

Where we used Fatou's lemma (**Schilling, Theorem 9.11**) and lastly used that  $f_{k_p} \in E_n$ . Then we see that  $f \in E_n$  for all convergent sequences  $f_n \rightarrow f$  and hence  $E_n$  is closed.

### Part (d)

We have trivially that for all  $n \in \mathbb{N}$  it holds that  $E_n \subset L_3([0, 1], m)$ , since  $n < \infty$ . Therefore we get  $\cup_{n=1}^{\infty} E_n \subset L_3([0, 1], m)$ . For a given  $f \in L_3([0, 1], m)$  there exists a  $C > 0$  such that  $\int_{[0,1]} |f|^3 dm \leq C$ . Let  $N_C = \lceil C \rceil$ , then we have that all  $f \in E_{N_C}$  and therefore also that

$f \in \cup_{n=1}^{\infty} E_n$ . Hence we have that  $L_3([0, 1], m) \subset \cup_{n=1}^{\infty} E_n$  and therefore  $L_3([0, 1], m) = \cup_{n=1}^{\infty} E_n$ . It now follows from **Definition 3.12(ii)** that since  $E_n$  is a sequence of nowhere dense and closed such that  $L_3([0, 1], m) = \cup_{n=1}^{\infty} E_n$ , then  $L_3([0, 1], m)$  is of first category in  $L_1([0, 1], m)$

## Problem 5

### Part (a)

If  $x_n \rightarrow x$  in norm, as  $n \rightarrow \infty$  then it holds that  $\|x_n - x\| \rightarrow 0$ . Using the reverse triangle inequality we get that for all  $n \in \mathbb{N}$  we have that

$$0 \leq |\|x_n\| - \|x\|| \leq \|x_n - x\|$$

Since  $\|x_n - x\| \rightarrow 0$  we get that  $|\|x_n\| - \|x\|| \rightarrow 0$  which is convergens in  $\mathbb{R}$ . So we have that  $\|x_n\| \rightarrow \|x\|$  for  $n \rightarrow \infty$

### Part (b)

A counterexample is to look at an ortonormal basis  $(e_n)_{n \in \mathbb{N}}$  for  $H$ . We have from **Homework week 2, problem 1** that for all  $f \in H^*$  there exists a  $y \in H$  such that  $f(x) = \langle x, y \rangle$  for all  $x \in H$ . For a given  $y \in H$  we have from Bessel's inequality (**Schilling, Theorem 26.19(iii)**) that  $\sum_{n=1}^{\infty} |\langle y, e_n \rangle|^2 \leq \|y\|^2 < \infty$ . Since it is a convergent sum we must have that  $|\langle y, e_n \rangle|^2 \rightarrow 0$  for  $n \rightarrow \infty$ , which only happens if  $\langle y, e_n \rangle \rightarrow 0$ , which again is equivalent to  $\langle e_n, y \rangle = \overline{\langle y, e_n \rangle} \rightarrow 0$ . Since it holds for all  $y \in H$  that  $\langle e_n, y \rangle$  goes to 0, then it holds that  $f(e_n)$  converges to  $0 = f(0)$  for all  $f \in H^*$ . We have from **Homework week 4, problem 2(a)** that a sequence  $x_n$  converges weakly to  $x$  if and only if  $f(x_n)$  converges to  $f(x)$  for all  $f \in H^*$ . So we see that  $e_n$  converges weakly to 0, but it does not hold for its norm. Since it is a orthonormal basis we have that  $\|e_n\| = 1$  for all  $n \in \mathbb{N}$ . Which means that  $\|e_n\| \rightarrow 1 \neq 0 = \|0\|$  concluding the counterexample.

### Part (c)

Assume that  $x \neq 0$  (since  $\|x\| = 0 \leq 1$  if  $x = 0$ ) then it follows from **Theorem 2.7(b)** that there exists  $f \in H^*$  such that  $\|f\| = 1$  and  $f(x) = \|x\|$ . Since we have that  $x_n$  converges weakly to  $x$  for  $n \rightarrow \infty$  we know from **Homework week 4, problem 2(a)** that then  $f(x_n)$  converges to  $f(x)$ , so it also holds that  $|f(x_n)| \rightarrow |f(x)|$ . We now see that

$$\|x\| = |\|x\|| = |f(x)| = \lim_{n \rightarrow \infty} |f(x_n)| \leq \lim_{n \rightarrow \infty} 1 = 1$$

Where we use that  $|f(x_n)| \leq \|f\| \|x_n\| \leq 1 \cdot 1 = 1$  for all  $n \in \mathbb{N}$