

CoCo - Assignment 4

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6.23

Let t be the computable function that, in a TM description interchange the accept and reject states. We give a concrete example of a fixed point of t . We notice that the proof fixed point version of the recursion theorem (Theorem 6.8) gives a construction of a fixed point, F , which obtain its own description and then simulate the TM described by $t(\langle F \rangle)$. However, in this specific example of t , might actually conclude that F is the Turing machine that neither accepts nor rejects any string. This is easily seen by the fact that if w is string such that F accepts w , then the TM, G , described by $T(\langle F \rangle)$ will reject w . But since F simulates exactly G , we conclude that F also rejects w which is a contradiction. Thus F cannot accept any string. Swapping *accept* and *reject* in the previous argument shows the F also cannot reject any string. Conversely, if F is a TM such that it neither accept nor reject any state, $t(\langle \text{text} \rangle)$ obviously describes an equivalent TM. Thus any TM, F , which never halts on any string is a fixed point of t .

Therefore we describe F by $F = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accepts}}, q_{\text{reject}})$, where $Q = \{q_0, q_{\text{accepts}}, q_{\text{reject}}\}$. $\Sigma = \{1\}$, $\Gamma = \{\sqcup, 1\}$, $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ is defined by $\delta(q_0, 1) = (q_0, 1, R)$ and $\delta(q_0, \sqcup) = (q_0, \sqcup, L)$, and δ need not be defined, or rather could be defined to be anything, on the accept and reject state, since the machine halts there anyway. Thus, F loops forever by going to the last 1 in any input string and then going back and forth between \sqcup and 1 at the end of the string. On the empty string it loops by trying to move left on the tape, but stays at the first tape position. This TM is a fixed point of t by the above argument.

6.6

For each $m > 1$ let $\mathcal{Z}_m = \{0, 1, 2, \dots, m-1\}$, and let $\mathcal{F}_m = (\mathcal{Z}_m, +, \times)$ be the model whose universe is \mathcal{Z}_m and that has relations corresponding to the $+$ and \times relations modulo m . We show in the following that $\text{Th}(\mathcal{F}_m)$ is decidable.

Proof. We construct an *TM* that decides $\text{Th}(\mathcal{F}_m)$. We know from Theorem 6.12 that $\text{Th}(\mathcal{N}, +)$ is decidable. Let S be the TM that decides $\text{Th}(\mathcal{N}, +)$. We can clearly use this TM to show that $\text{Th}(\mathcal{Z}_m, +)$ is decidable. We can design, M_1 , to be a TM that has S as a subroutine, such that M_1 can add modulo m . For any formula ϕ , M_1 simply does the following:

1. identify all substrings of ϕ of the form $x_1 + \dots + x_n = y$.
2. Generate a new substring $\tilde{\phi}$ by replacing every substring of ϕ of the form $x_1 + \dots + x_n = y$, by $\bigvee_{i=1}^n (x_1 + \dots + x_n = y + \underbrace{m + m + \dots + m}_{i \text{ terms}})$, where $\bigvee_{i=1}^n \varphi(i) = \varphi(1) \vee \dots \vee \varphi(n)$, for any formula $\varphi(i)$ depending on i .
3. Run S on $\tilde{\phi}$, if true *accept*. If false *reject*

Thus M_1 accepts a formula if and only if it is true modulo m . Clearly we can never have $z - m \geq x_1 + \dots + x_n \geq z + \underbrace{m + m + \dots + m}_{n \text{ terms}}$ for $x, y \in \mathcal{Z}_m$, so this TM decides $\text{Th}(\mathcal{Z}_m, +)$. Thus we have shown that $\text{Th}(\mathcal{Z}_m, +)$ is reducible to $\text{Th}(\mathcal{N}, +)$, so from Theorem 6.12 it follows that $\text{Th}(\mathcal{Z}_m, +)$ is decidable. Now that $\text{Th}(\mathcal{Z}_m, +, \times)$ is decidable can be shown by the fact that $\text{Th}(\mathcal{Z}_m, +, \times)$ reduces to $\text{Th}(\mathcal{Z}_m, +)$. Given a decider M_1 for $\text{Th}(\mathcal{Z}_m, +)$, we design the TM, M that decides $\text{Th}(\mathcal{Z}_m, +, \times)$ it can be described as: On formula φ follows

1. Identify all substrings of φ of the form, $x \times y$ where y is a free variable, and rewrite the substring to $\underbrace{x + x + x + \dots}_{y \text{ times}}$.
2. Identify any formula that has a substring of the form, $x \times y$ where y is not a free variable. Then we consider two cases:

- (a) φ contains a substring of the form $\forall y$, i.e. can be written $\forall y[\phi(y)]\Phi$, for some formula $\phi(y)$ depending on y and some string Φ , which is just the rest of φ . In this case M discards the quantifier of y and generate the formula

$$\bigwedge_{i=0}^{m-1} \phi(i)\Phi, \quad (0.1)$$

. where $\bigwedge_{i=1}^N \phi(i) = \phi(1) \wedge \phi(2) \wedge \dots \wedge \phi(N)$

- (b) φ contains a substring of the form $\exists y$, i.e. can be written $\exists y[\phi(y)]\Phi$, for some formula $\phi(y)$ depending on y and some string Φ , which is just the rest of φ , in which case M discards the quantifier of y and generate the formula

$$\bigvee_{i=0}^{m-1} \phi(i)\Phi, \quad (0.2)$$

. where $\bigvee_{i=1}^N \phi(i) = \phi(1) \vee \phi(2) \vee \dots \vee \phi(N)$

this process is repeated iteratively til no products are left.

3. Run M_1 on the generated formula, if true *accept*, else *reject*.

Now since \mathcal{Z}_m is finite, there are always only finitely many terms in the above description. Thus M will always halt, making it a decider. Furthermore, it is obvious by construction, that

M accepts any formula if and only if it is true in the usual model of \mathcal{Z}_m with addition and multiplication modulo m . \square

Proof. From Theorem 6.12 we may infer the existence of a Turing machine T deciding $\text{Th}(\mathcal{N}, +)$. Define a Turing machine $T_{m,+}$ for deciding $\text{Th}(\mathcal{Z}_m, +)$ as follows:

- 1) Given a formula $\psi = Q_1x_1 \dots Q_kx_k R_1y_1 \dots R_ly_l[x_1 + \dots + x_n = y_1 + \dots y_l]$ construct the formula

$$\begin{aligned} \tilde{\phi} = & \left(\bigvee_{i=0}^{m-1} \left(x_1 + \dots + x_n + \underbrace{m + \dots + m}_{i \text{ terms}} = y_1 + \dots y_l \right) \right) \\ & \vee \left(\bigvee_{i=0}^{m-1} \left(x_1 + \dots + x_n = y_1 + \dots y_l + \underbrace{m + \dots + m}_{i \text{ terms}} \right) \right) \end{aligned}$$

- 2) Run T on $Q_1x_1 \dots Q_kx_k R_1y_1 \dots R_ly_l[\tilde{\phi}]$ and return the outcome of T .

Evidently, the Turing machine above decides $\text{Th}(\mathcal{Z}_m, +)$, and so we move on to constructing a decider for $\text{Th}(\mathcal{Z}_m, +, \times)$.

- 1) Given a formula $Q_1x_1 \dots Q_kx_k R_1y_1 \dots R_ly_l[\psi]$, identify a substring of the form $x_i \times y_j$. If there are no such substrings, go to 4)
- 2) If $Q_i = \forall$, replace $x_i \times y_j$ by

$$\bigwedge_{i=0}^{m-1} \underbrace{(y_j + \dots y_j)}_{i \text{ terms}},$$

and return to 1).

- 3) If $Q_i = \exists$, replace $x_i \times y_j$ by

$$\bigvee_{i=0}^{m-1} \underbrace{(y_j + \dots y_j)}_{i \text{ terms}},$$

and return to 1).

- 4) Run $T_{m,+}$ on $R_1y_1 \dots R_ly_l[\psi]$ and return the outcome of $T_{m,+}$.

It is clear the Turing machine T_m halts, and likewise it is constructed to accept exactly $\text{Th}(\mathcal{Z}_m, +, \times)$. \square

6.7

Let A and B be any two languages, we show that then there exist a language J such that $A \leq_T J$ and $B \leq_T J$.

Proof. Let \aleph be a special symbol that is not in the alphabet of A or B . Construct the language $\aleph A$ i.e. all string of the form $\aleph w$ for $w \in A$. Define the language $J = \aleph A \cup B$. We then construct an oracle TM, M_A^J with an oracle for J that decides A . M_A^J acts as follows in input w .

1. Construct the string $\aleph w$.
2. Query the oracle for J if $\aleph w \in J$, if true, *accept*, if false, *reject*.

Clearly M_A^J accepts w if and only if $\aleph w \in J$ but since $\aleph w \notin B$, we conclude that $\aleph w \in J$ if and only if $\aleph w \in \aleph A$ which can be true if and only if $w \in A$. Thus M_A^J decides A , and A is reducible relative to J , i.e. $A \leq_T J$.

We now proceed by also constructing an oracle TM, M_B^J , that decides B . M_B^J acts as follows on input $w = w_1 \dots$

1. Check if $w_1 = \aleph$, if true, *reject*.
2. Query the oracle for J if $w \in J$, if true, *accept*, if false, *reject*.

Clearly M_B^J accept if and only if $w \in J$ but since $w \notin \aleph A$ we conclude that $w \in J$ if and only if $w \in B$. So M_B^J decides B , and B is reducible relative to J , i.e. $B \leq_T J$. This concludes the proof. \square

6.11

We show that the complement of EQ_{TM} is recognizable by a TM with an oracle for A_{TM} .

Proof. First we define the oracle TM, $S_{\langle G, F \rangle}^{A_{TM}}$, that, given two TMs G and F , decides the language $L(G) \Delta L(F) = (L(G) \cap L(F)^c) \cup (L(G)^c \cap L(F))$, i.e. the symmetric difference of the languages. Let $S_{\langle G, F \rangle}^{A_{TM}}$ be the oracle TM that acts as follows: On input w

1. Query the oracle of A_{TM} if G accepts w , and store the result.
2. Query the oracle of A_{TM} if F accepts w , and store the result.
3. If exactly one of step 1 and step 2 returned True, *accept*. If both or neither of step 1 and step 2 returned True, *reject*.

Clearly $S_{\langle G, F \rangle}^{A_{TM}}$ is a decider, and accept w if and only if $w \in L(G) \Delta L(F)$. Now we define the oracle TM $M^{A_{TM}}$ which recognizes EQ_{TM} . On input $\langle G, F \rangle$, where G and F are TMs, $M^{A_{TM}}$ acts as follows:

1. Check that F and G have the same input alphabet, Σ , if not *accept*.
2. Construct the oracle TM $S_{\langle G, F \rangle}^{A_{TM}}$ using the oracle of A_{TM} , as described above.
3. Run $S_{\langle G, F \rangle}^{A_{TM}}$ on w for each string in $w \in \Sigma^*$, say in lexicographical ordering. If for some string $\tilde{w} \in \Sigma^*$, $S_{\langle F, G \rangle}^{A_{TM}}$ accepts, *accept*.

It is easily seen that $M^{A_{TM}}$ accepts if and only if $L(G)$ and $L(F)$ does not have the same alphabet, *or* they have the same alphabet Σ and there exist a string w in Σ^* such that $w \in L(G)\Delta(F)$ which is again true if and only if $L(G) \neq L(F)$. Thus $M^{A_{TM}}$ accepts input $\langle G, F \rangle$ if and only if $L(G) \neq L(F)$ which is equivalent to $L(M^{A_{TM}}) = EQ_{TM}^L$, as desired. \square

Proof. We construct a Turing machine T with access to an oracle deciding A_{TM} as follows.

- 1) On input $\langle M_1, M_2 \rangle$, accept if the input alphabets are distinct.
- 2) Query the oracle for A_{TM} with $\langle M_1 \rangle w$ and $\langle M_2 \rangle w$ for all strings $w \in \Sigma^*$ in lexicographical order.
- 3) If the query results for some string w are distinct, then accept.

\square

6.14

Given a string x , we show how to compute the descriptive complexity $K(x)$, given an oracle for A_{TM} . Given a string, x , over the alphabet $\Sigma = \{0, 1\}$ we simply check all strings in Σ^* one by one, in lexicographical ordering. Given a string $v \in \Sigma^*$ we split it in all possible ways $v = v_1 v_2$ and query the oracle if v_1 as a descriptions of a TM accepts v_2 , if so, we run the TM that v_1 describes on v_2 and read of the tape after acceptance. We then check whether the read off tape matches x if so, $K(x) = |v|$, where v is the first string in lexicographical ordering that successfully could be split in a description of a TM and an input such that the TM run on the input printed x . Clearly this can be done, since we never encounter any loops, so we are guaranteed to finish this procedure after finitely many steps, especially because of Theorem 6.24 that bounds $K(x) \leq |x| + b$.

Notice that there is a subtlety in the above argument, namely that in a general encoding $\langle M \rangle w$ of x , M need not *accept* w , but only *halt*. However, if there is a TM M that *rejects* w with x on its tape, we can describe the corresponding TM, \bar{M} with q_{accepts} and q_{reject} by a string $\langle \bar{M} \rangle$ such that $|\langle \bar{M} \rangle| = |\langle M \rangle|$, and thus we can restrict to encodings TMs that accept the input, without increasing $K(x)$. It is clear by definition of $K(x)$ that we are also *not* decreasing $K(x)$ by restricting the TM.

Alternatively one might argue that an oracle for A_{TM} gives us an oracle for $HALT_{TM}$, by reducibility, and then use this oracle instead.

PCP and E_{TM}

We show that $PCP \leq_T E_{TM}$ and that $E_{TM} \leq_T PCP$. We use in the following the proof of Theorem 5.15 (that PCP is undecidable) and Example 6.19 in the book. By the proof of Theorem 5.15, we have that $A_{TM} \leq_m MPCP \leq_m PCP$, (as also noted in example 5.25) which implies $A_{TM} \leq_T PCP$ by transitivity of \leq_m and by the fact that $A \leq_m B$ implies $A \leq_T B$. By

Example 6.19 we have that $E_{TM} \leq_T A_{TM}$. By transitivity of \leq_T we thus only need to show $A_{TM} \leq_T PCP$ and $E_{TM} \leq_T A_{TM}$...

We show that $PCP \leq_T E_{TM}$ and that $E_{TM} \leq_T PCP$.

Proof. We start by showing that $PCP \leq_T E_{TM}$. Given an oracle for E_{TM} and an instance of the PCP , we simply construct a TM, S , such that S has non-empty language only if there is a match in the given instance of the PCP . Let $P = \left\{ \left[\frac{t_1}{b_1} \right], \left[\frac{t_2}{b_2} \right], \dots, \left[\frac{t_k}{b_k} \right] \right\}$ be an instance of the PCP . We design S_P to have an input alphabet a_1, \dots, a_k . S_P also contain the PCP instance, P , in the sense, that it associates to every a_i the domino $\left[\frac{t_i}{b_i} \right]$. We may describe S_P in the following way: On input w , S_P does the following

1. Check that $w \in \{a_1, a_2, \dots, a_k\}^+$ i.e. $w = a_{\sigma(1)} \dots a_{\sigma(m)}$ for some function $\sigma : \{1, \dots, m\} \rightarrow \{1, \dots, k\}$ and some $m \geq 1$. If not, S_P rejects.
2. Rewrite a_i on the tape as $[t_i/b_i]$, for every $i = 1, \dots, k$, where $[$, $/$, and $]$ are tape letters. (This will require to rearrange the tape in order to make room for the extra letters).
3. Check if $t_{\sigma(1)} \dots t_{\sigma(m)} = b_{\sigma(1)} \dots b_{\sigma(m)}$, if true, S_P accepts, if false, S_P rejects.

Clearly S accepts w if and only if w corresponds via the bijective map $a_i \mapsto \left[\frac{t_i}{b_i} \right]$, to a match of P .

Now given an oracle for E_{TM} is clear that we can decide PCP defined by

$PCP = \{ \langle P \rangle \mid P \text{ is an instance of } PCP \text{ with a match} \}$ with the following oracle TM, $M^{E_{TM}}$.

On input $\langle P \rangle$, $M^{E_{TM}}$ does the following

1. Check that $\langle P \rangle$ encodes an instance of the PCP .
2. Construct S_P as described above.
3. Query the oracle for E_{TM} , whether $L(S_P) = \emptyset$, if true $M^{E_{TM}}$ rejects, if false, $M^{E_{TM}}$ accepts.

Thus $M^{E_{TM}}$ accepts input $\langle P \rangle$ if and only if P is an instance of PCP and S_P has non-empty language, which is again true if and only if P has a match. This shows that PCP is Turing reducible to E_{TM} i.e. $PCP \leq_T E_{TM}$.

We show now that $E_{TM} \leq_T PCP$, this can be seen in the following way. We take inspiration from the proof of Theorem 5.15, and modify it slightly. Given a TM description $\langle M \rangle$, we construct an instance of the PCP , P_M , such that P_M has a match if and only if $\langle M \rangle \in E_{TM}$. Let P_M have all the same dominos as P in the proof of theorem 5.15, except for the first domino. instead we include the dominos $\left[\frac{**\mathbb{N}}{**\mathbb{N}**\mathbb{N}**} \right]$, $\left[\frac{**\mathbb{N}}{\mathbb{N}**\mathbb{N}**} \right]$, $\left[\frac{**\mathbb{N}}{a*} \right]$, $\left[\frac{**\mathbb{N}*}{\#*} \right]$ for every a in the alphabet of M . It is clear that any match must start with $\left[\frac{**\mathbb{N}*}{**\mathbb{N}**\mathbb{N}**} \right]$, since this is the only domino that has upper string matches the first part of the lower string. Also since we have more \mathbb{N} s in the

