Topic 3: Future Pathways for Adaption, Mitigation and Sustainable Development

Adaptation and mitigation are complementary strategies for reducing and managing the risks of climate change. Substantial emissions reductions over the next few decades can reduce climate risks in the 21st century and beyond, increase prospects for effective adaptation, reduce the costs and challenges of mitigation in the longer term and contribute to climate-resilient pathways for sustainable development.

Adaptation and mitigation are two complementary strategies for responding to climate change. Adaptation is the process of adjustment to actual or expected climate and its effects in order to either lessen or avoid harm or exploit beneficial opportunities. Mitigation is the process of reducing emissions or enhancing sinks of greenhouse gases (GHGs), so as to limit future climate change. Both adaptation and mitigation can reduce and manage the risks of climate change impacts. Yet adaptation and mitigation can also create other risks, as well as benefits. Strategic responses to climate change involve consideration of climate-related risks along with the risks and co-benefits of adaptation and mitigation actions. *{WGII SPM A-3, SPM C, Glossary, WGIII SPM.2, 4.1, 5.1, Glossary}*

Mitigation, adaptation and climate impacts can all result in transformations to and changes in systems. Depending on the rate and magnitude of change and the vulnerability and exposure of human and natural systems, climate change will alter ecosystems, food systems, infrastructure, coastal, urban and rural areas, human health and livelihoods. Adaptive responses to a changing climate require actions that range from incremental changes to more fundamental, transformational changes[34]. Mitigation can involve fundamental changes in the way that human societies produce and use energy services and land. *{WGII B, C, TS C, Box TS.8, Glossary, WGIII SPM.4}*

Topic 3 of this report examines the factors that influence the assessment of mitigation and adaptation strategies. It considers the benefits, risks, incremental changes and potential transformations from different combinations of mitigation, adaptation and residual climate-related impacts. It considers how responses in the coming decades will influence options for limiting long-term climate change and opportunities for adapting to it. Finally, it considers factors—including uncertainty, ethical considerations and links to other societal goals—that may influence choices about mitigation and adaptation. Topic 4 then assesses the prospects for mitigation and adaptation on the basis of current knowledge of tools, options and policies.

3.1 Foundations of decision-making about climate change

Effective decision-making to limit climate change and its effects can be informed by a wide range of analytical approaches for evaluating expected risks and benefits, recognizing the importance of governance, ethical dimensions, equity, value judgments, economic assessments and diverse perceptions and responses to risk and uncertainty.

Sustainable development and equity provide a basis for assessing climate policies. Limiting the effects of climate change is necessary to achieve sustainable development and equity, including poverty eradication. Countries' past and future contributions to the accumulation of GHGs in the atmosphere are different, and countries also face varying challenges and circumstances and have different capacities to address mitigation and adaptation. Mitigation and adaptation raise issues of equity, justice and fairness and are necessary to achieve sustainable development and poverty eradication. Many of those most vulnerable to climate change have contributed and contribute little to GHG emissions. Delaying mitigation shifts burdens from the present to the future, and insufficient adaptation responses to emerging impacts are already eroding the basis for sustainable development. Both adaptation and mitigation can have distributional effects locally, nationally and internationally, depending on who pays and who benefits. The process of decision-making about climate change, and the degree to which it respects the rights and views of all those affected, is also a concern of justice. *{WGII 2.2, 2.3, 13.3, 13.4, 17.3, 20.2, 20.5, WGIII SPM.2, 3.3, 3.10, 4.1.2, 4.2, 4.3, 4.5, 4.6, 4.8}*

Effective mitigation will not be achieved if individual agents advance their own interests independently. Climate change has the characteristics of a collective action problem at the global scale, because most GHGs accumulate over time and mix globally, and emissions by any agent (e.g., individual, community, company, country) affect other agents. Cooperative responses, including international cooperation, are therefore required to effectively mitigate GHG emissions and address other climate change issues. The effectiveness of adaptation can be enhanced through complementary actions across levels, including international cooperation. The evidence suggests that outcomes seen as equitable can lead to more effective cooperation. *{WGII 20.3.1, WGIII SPM.2, TS.1, 1.2, 2.6, 3.2, 4.2, 13.2, 13.3}*

Decision-making about climate change involves valuation and mediation among diverse values and may be aided by the analytic methods of several normative disciplines. Ethics analyses the different values involved and the relations between them. Recent political philosophy has investigated the question of responsibility for the effects of emissions. Economics and decision analysis provide

[34] Transformation is used in this report to refer to a change in the fundamental attributes of a system (see Glossary). Transformations can occur at multiple levels; at the national level, transformation is considered most effective when it reflects a country's own visions and approaches to achieving sustainable development in accordance with its national circumstances and priorities. *{WGII SPM C-2, 2–13, 20.5, WGIII SPM, 6–12}*

quantitative methods of valuation which can be used for estimating the social cost of carbon (see Box 3.1), in cost–benefit and cost-effectiveness analyses, for optimization in integrated models and elsewhere. Economic methods can reflect ethical principles, and take account of non-marketed goods, equity, behavioural biases, ancillary benefits and costs and the differing values of money to different people. They are, however, subject to well-documented limitations. *{WGII 2.2, 2.3, WGIII SPM.2, Box TS.2, 2.4, 2.5, 2.6, 3.2–3.6, 3.9.4}*

Analytical methods of valuation cannot identify a single best balance between mitigation, adaptation and residual climate impacts. Important reasons for this are that climate change involves extremely complex natural and social processes, there is extensive disagreement about the values concerned, and climate change impacts and mitigation approaches have important distributional effects. Nevertheless, information on the consequences of emissions pathways to alternative climate goals and risk levels can be a useful input into decision-making processes. Evaluating responses to climate change involves assessment of the widest possible range of impacts, including low-probability outcomes with large consequences. *{WGII 1.1.4, 2.3, 2.4, 17.3, 19.6, 19.7, WGIII 2.5, 2.6, 3.4, 3.7, Box 3-9}*

Effective decision-making and risk management in the complex environment of climate change may be iterative: strategies can often be adjusted as new information and understanding develops during implementation. However, adaptation and mitigation choices in the near term will affect the risks of climate change throughout the 21st century and beyond, and prospects for climate-resilient pathways for sustainable development depend on what is achieved through mitigation. Opportunities to take advantage of positive synergies between adaptation and mitigation may decrease with time, particularly if mitigation is delayed too long. Decision-making about climate change is influenced by how individuals and organizations perceive risks and uncertainties and take them into account. They sometimes use simplified decision rules, overestimate or underestimate risks and are biased towards the status quo. They differ in their degree of risk aversion and the relative importance placed on near-term versus long-term ramifications of specific actions. Formalized analytical methods for decision-making under uncertainty can account accurately for risk, and focus attention on both short- and long-term consequences. *{WGII SPM A-3, SPM C-2, 2.1–2.4, 3.6, 14.1–14.3, 15.2–15.4, 17.1–17.3, 17.5, 20.2, 20.3, 20.6, WGIII SPM.2, 2.4, 2.5, 5.5, 16.4}*

3.2 Climate change risks reduced by adaptation and mitigation

Without additional mitigation efforts beyond those in place today, and even with adaptation, warming by the end of the 21st century will lead to high to very high risk of severe, widespread and irreversible impacts globally (*high confidence*). Mitigation involves some level of co-benefits and of risks due to adverse side effects, but these risks do not involve the same possibility of severe, widespread and irreversible impacts as risks from climate change, increasing the benefits from near-term mitigation efforts.

The risks of climate change, adaptation and mitigation differ in nature, timescale, magnitude and persistence (*high confidence*). Risks from adaptation include maladaptation and negative ancillary impacts. Risks from mitigation include possible adverse side effects of large-scale deployment of low-carbon technology options and economic costs. Climate change risks may persist for millennia and can involve very high risk of severe impacts and the presence of significant irreversibilities combined with limited adaptive capacity. In contrast, the stringency of climate policies can be adjusted much more quickly in response to observed consequences and costs and create lower risks of irreversible consequences (3.3, 3.4, 4.3). *{WGI SPM E.8, 12.4, 12.5.2, 13.5, WGII 4.2, 17.2, 19.6, WGIII TS.3.1.4, Table TS.4, Table TS.5, Table TS.6, Table TS.7, Table TS.8, 2.5, 6.6}*

Mitigation and adaptation are complementary approaches for reducing risks of climate change impacts. They interact with one another and reduce risks over different timescales (*high confidence*). Benefits from adaptation can already be realized in addressing current risks and can be realized in the future for addressing emerging risks. Adaptation has the potential to reduce climate change impacts over the next few decades, while mitigation has relatively little influence on climate outcomes over this timescale. Near-term and longer-term mitigation and adaptation, as well as development pathways, will determine the risks of climate change beyond mid-century. The potential for adaptation differs across sectors and will be limited by institutional and capacity constraints, increasing the long-term benefits of mitigation (*high confidence*). The level of mitigation will influence the rate and magnitude of climate change, and greater rates and magnitude of climate change increase the likelihood of exceeding adaptation limits (*high confidence*) (*3.3*). *{WGI 11.3, 12.4, WGII SPM A-3, SPM B-2, SPM C-2, 1.1.4.4, 2.5, 16.3–16.6, 17.3, 19.2, 20.2.3, 20.3, 20.6}*

Without additional mitigation efforts beyond those in place today, and even with adaptation, warming by the end of the 21st century will lead to high to very high risk of severe, widespread and irreversible impacts globally (*high confidence*) (Topic 2 and Figure 3.1a). Estimates of warming in 2100 without additional climate mitigation efforts are from 3.7°C to 4.8°C compared with pre-industrial levels (median climate response); the range is 2.5°C to 7.8°C when using the 5th to 95th percentile range of the median climate response (Figure 3.1). The risks associated with temperatures at or above 4°C include severe and widespread impacts on unique and threatened systems, substantial species extinction, large risks to global and regional food security, consequential constraints on common human activities, increased likelihood of triggering tipping points (critical thresholds) and limited potential for adaptation in some cases (*high confidence*). Some risks of climate change, such as risks to unique and threatened systems and risks associated with extreme weather events, are moderate to high at temperatures 1°C to 2°C above pre-industrial levels. *{WGII SPM B-1, SPM C-2, WGIII SPM.3}*

Substantial cuts in GHG emissions over the next few decades can substantially reduce risks of climate change by limiting warming in the second half of the 21st century and beyond (*high confidence*). Global mean surface warming is largely determined by cumulative emissions, which are, in turn, linked to emissions over different timescales (Figure 3.1). Limiting risks across Reasons For Concern would imply a limit for cumulative emissions of CO_2.

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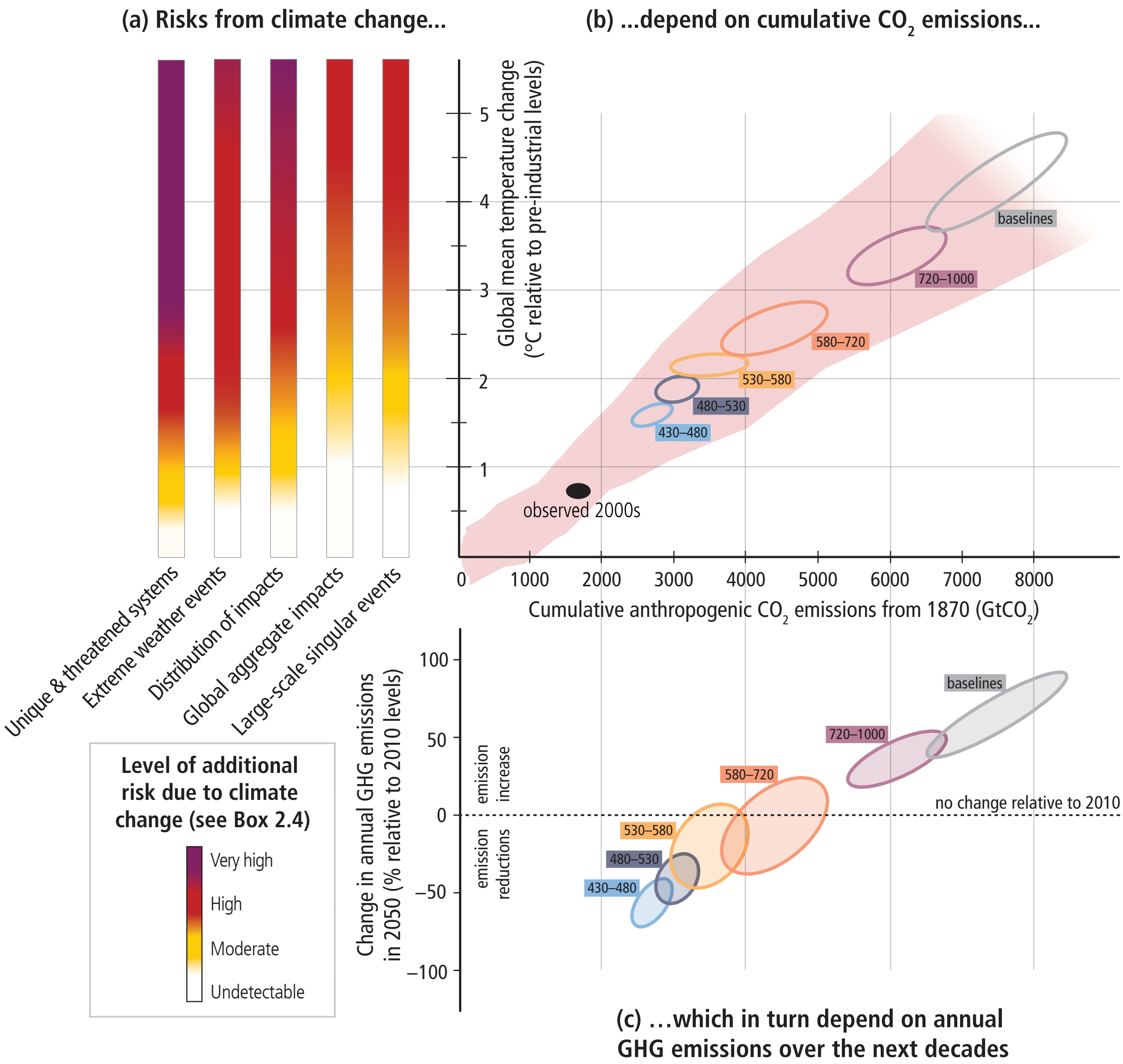

Figure 3.1 | The relationship between risks from climate change, temperature change, cumulative carbon dioxide (CO_2) emissions and changes in annual greenhouse gas (GHG) emissions by 2050. Limiting risks across Reasons For Concern **(a)** would imply a limit for cumulative emissions of CO_2 **(b)**, which would constrain annual emissions over the next few decades **(c)**. **Panel a** reproduces the five Reasons For Concern (Box 2.4). **Panel b** links temperature changes to cumulative CO_2 emissions (in $GtCO_2$), from 1870. They are based on Coupled Model Intercomparison Project Phase 5 (CMIP5) simulations (pink plume) and on a simple climate model (median climate response in 2100) for the baselines and five mitigation scenario categories (six ellipses). Details are provided in Figure 2.3. **Panel c** shows the relationship between the cumulative CO_2 emissions (in $GtCO_2$) of the scenario categories and their associated change in annual GHG emissions by 2050, expressed in percentage change (in percent $GtCO_2$-eq per year) relative to 2010. The ellipses correspond to the same scenario categories as in Panel b, and are built with a similar method (see details in Figure 2.3).

Such a limit would require that global net emissions of CO_2 eventually decrease to zero (Figure 3.1a,b) (*high confidence*). Reducing risks of climate change through mitigation would involve substantial cuts in GHG emissions over the next few decades (Figure 3.1c). But some risks from residual damages are unavoidable, even with mitigation and adaptation (*very high confidence*). A subset of relevant climate change risks has been estimated using aggregate economic indicators. Such economic estimates have important limitations and are therefore a useful but insufficient basis for decision-making on long-term mitigation targets (see Box 3.1). *{WGII 19.7.1, WGIII SPM.3, Figure 3.1}*

Mitigation involves some level of co-benefits and risks, but these risks do not involve the same possibility of severe, widespread and irreversible impacts as risks from climate change (*high confidence*). Scenarios that are *likely* to limit warming to below 2°C or even 3°C compared with pre-industrial temperatures involve large-scale changes in energy systems and potentially land use over the coming decades (3.4). Associated risks include those linked to large-scale deployment of technology options for producing low-carbon energy, the potential for high aggregate economic costs of mitigation and impacts on vulnerable countries and industries. Other risks and co-benefits are associated with human health, food security, energy security, poverty reduction, biodiversity conservation, water availability, income distribution, efficiency of taxation systems, labour supply and employment, urban sprawl, fossil fuel export revenues and the economic growth of developing countries (Table 4.5). *{WGIII SPM.4.1, SPM.4.2, TS.3.1.4, Table TS.4, Table TS.5, Table TS.6, Table TS.7, Table TS.8, 6.6}*

Inertia in the economic and climate systems and the possibility of irreversible impacts from climate change increase the benefits of near-term mitigation efforts (*high confidence*). The actions taken today affect the options available in the future to reduce emissions, limit temperature change and adapt to climate change. Near-term choices can create, amplify or limit significant elements of lock-in that are important for decision-making. Lock-ins and irreversibilities occur in the climate system due to large inertia in some of its components such as heat transfer from the ocean surface to depth leading to continued ocean warming for centuries regardless of emission scenario and the irreversibility of a large fraction of anthropogenic climate change resulting from CO_2 emissions on a multi-century to millennial timescale unless CO_2 were to be removed from the atmosphere through large-scale human interventions over a sustained period (see also Box 3.3). Irreversibilities in socio-economic and biological systems also result from infrastructure development and long-lived products and from climate change impacts, such as species extinction. The larger potential for irreversibility and pervasive impacts from climate change risks than from mitigation risks increases the benefit of short-term mitigation efforts. Delays in additional mitigation or constraints on technological options limit the mitigation options and increase the long-term mitigation costs as well as other risks that would be incurred in the medium to long term to hold climate change impacts at a given level (Table WGIII SPM.2, blue segment). *{WGI SPM E-8, WGII SPM B-2, 2.1, 19.7, 20.3, Box 20-4, WGIII SPM.4.1, SPM.4.2.1, 3.6, 6.4, 6.6, 6.9}*

3.3 Characteristics of adaptation pathways

Adaptation can reduce the risks of climate change impacts, but there are limits to its effectiveness, especially with greater magnitudes and rates of climate change. Taking a longer-term perspective, in the context of sustainable development, increases the likelihood that more immediate adaptation actions will also enhance future options and preparedness.

Adaptation can contribute to the well-being of current and future populations, the security of assets and the maintenance of ecosystem goods, functions and services now and in the future. Adaptation is place- and context-specific, with no single approach for reducing risks appropriate across all settings (*high confidence*). Effective risk reduction and adaptation strategies consider vulnerability and exposure and their linkages with socio-economic processes, sustainable development, and climate change. Adaptation research since the IPCC Fourth Assessment Report (AR4) has evolved from a dominant consideration of engineering and technological adaptation pathways to include more ecosystem-based, institutional and social measures. A previous focus on cost–benefit analysis, optimization and efficiency approaches has broadened with the development of multi-metric evaluations that include risk and uncertainty dimensions integrated within wider policy and ethical frameworks to assess trade-offs and constraints. The range of specific adaptation measures has also expanded (4.2, 4.4.2.1), as have the links to sustainable development (3.5). There are many studies on local and sectoral adaptation costs and benefits, but few global analyses and *very low confidence*

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Box 3.1 | The Limits of the Economic Assessment of Climate Change Risks

A subset of climate change risks and impacts are often measured using aggregate economic indicators, such as gross domestic product (GDP) or aggregate income. Estimates, however, are partial and affected by important conceptual and empirical limitations. These incomplete estimates of global annual economic losses for temperature increases of ~2.5°C above pre-industrial levels are between 0.2 and 2.0% of income (*medium evidence, medium agreement*). Losses are *more likely than not* to be greater, rather than smaller, than this range (*limited evidence, high agreement*). Estimates of the incremental aggregate economic impact of emitting one more tonne of carbon dioxide (the social cost of carbon) are derived from these studies and lie between a few dollars and several hundreds of dollars per tonne of carbon in 2000 to 2015 (*robust evidence, medium agreement*). These impact estimates are incomplete and depend on a large number of assumptions, many of which are disputable. Many estimates do not account for the possibility of large-scale singular events and irreversibility, tipping points and other important factors, especially those that are difficult to monetize, such as loss of biodiversity. Estimates of aggregate costs mask significant differences in impacts across sectors, regions, countries and communities, and they therefore depend on ethical considerations, especially on the aggregation of losses across and within countries (*high confidence*). Estimates of global aggregate economic losses exist only for limited warming levels. These levels are exceeded in scenarios for the 21st century unless additional mitigation action is implemented, leading to additional economic costs. The total economic effects at different temperature levels would include mitigation costs, co-benefits of mitigation, adverse side effects of mitigation, adaptation costs and climate damages. As a result, mitigation cost and climate damage estimates at any given temperature level cannot be compared to evaluate the costs and benefits of mitigation. Very little is known about the economic cost of warming above 3°C relative to the current temperature level. Accurately estimating climate change risks (and thus the benefits of mitigation) takes into account the full range of possible impacts of climate change, including those with high consequences but a low probability of occurrence. The benefits of mitigation may otherwise be underestimated (*high confidence*). Some limitations of current estimates may be unavoidable, even with more knowledge, such as issues with aggregating impacts over time and across individuals when values are heterogeneous. In view of these limitations, it is outside the scope of science to identify a single best climate change target and climate policy (3.1, 3.4). *{WGII SPM B-2, 10.9.2, 10.9.4, 13.2, 17.2–17.3, 18.4, 19.6, WGIII 3.6}* in their results. *{WGII SPM C-1, Table SPM.1, 14.1, 14.ES, 15.2, 15.5, 17.2, 17.ES}*

Adaptation planning and implementation at all levels of governance are contingent on societal values, objectives and risk perceptions (*high confidence*). Recognition of diverse interests, circumstances, social-cultural contexts and expectations can benefit decision-making processes. Indigenous, local and traditional knowledge systems and practices, including indigenous peoples' holistic view of community and environment, are a major resource for adapting to climate change, but these have not been used consistently in existing adaptation efforts. Integrating such forms of knowledge into practices increases the effectiveness of adaptation as do effective decision support, engagement and policy processes (4.4.2). *{WGII SPM C-1}*

Adaptation planning and implementation can be enhanced through complementary actions across levels, from individuals to governments (*high confidence*). National governments can coordinate adaptation efforts of local and sub-national governments, for example by protecting vulnerable groups, by supporting economic diversification and by providing information, policy and legal frameworks and financial support (*robust evidence, high agreement*). Local government and the private sector are increasingly recognized as critical to progress in adaptation, given their roles in scaling up adaptation of communities, households and civil society and in managing risk information and financing (*medium evidence, high agreement*). *{WGII SPM C-1}*

A first step towards adaptation to future climate change is reducing vulnerability and exposure to present climate variability (*high confidence*), but some near-term responses to climate change may also limit future choices. Integration of adaptation into planning, including policy design, and decision-making can promote synergies with development and disaster risk reduction. However, poor planning or implementation, overemphasizing short-term outcomes or failing to sufficiently anticipate consequences can result in maladaptation, increasing the vulnerability or exposure of the target group in the future or the vulnerability of other people, places or sectors (*medium evidence, high agreement*). For example, enhanced protection of exposed assets can lock in dependence on further protection measures. Appropriate adaptation options can be better assessed by including co-benefits and mitigation implications (3.5 and 4.2). *{WGII SPM C-1}*

Numerous interacting constraints can impede adaptation planning and implementation (*high confidence*). Common constraints on implementation arise from the following: limited financial and human resources; limited integration or coordination of governance; uncertainties about projected impacts; different perceptions of risks; competing values; absence of key adaptation leaders and advocates; and limited tools to monitor adaptation effectiveness. Other constraints include insufficient research, monitoring and observation and the financial and other resources to maintain them. Underestimating the complexity of adaptation as a social process can create unrealistic expectations about achieving intended adaptation outcomes (see Sections 4.1 and 4.2 for details in relation to implementation). *{WGII SPM C-1}*

Greater rates and magnitude of climate change increase the likelihood of exceeding adaptation limits (*high confidence*). Limits to adaptation occur when adaptive actions to avoid intolerable risks for an actor's objectives or for the needs of a system are not possible or are not currently available. Value-based judgments of what constitutes an intolerable risk may differ. Limits to adaptation emerge from the interaction among climate change and biophysical and/or socio-economic constraints. Opportunities to take advantage of positive synergies between adaptation and mitigation may decrease with time, particularly if limits to adaptation are exceeded. In some parts of the world, insufficient responses to emerging impacts are already eroding the basis for sustainable development. For most regions and sectors, empirical evidence is not sufficient to quantify magnitudes of climate change that would constitute a future adaptation limit. Furthermore, economic development, technology and cultural norms and values can change over time to enhance or reduce the capacity of systems to avoid limits. As a consequence, some limits are 'soft' in that they may be alleviated over time. Other limits are 'hard' in that there are no reasonable prospects for avoiding intolerable risks. *{WGII SPM C-2, TS}*

Transformations in economic, social, technological and political decisions and actions can enhance adaptation and promote sustainable development (*high confidence*). Restricting adaptation responses to incremental changes to existing systems and structures without considering transformational change may increase costs and losses and miss opportunities. For example, enhancing infrastructure to protect other built assets can be expensive and ultimately not defray increasing costs and risks, whereas options such as relocation or using ecosystem services to adapt may provide a range of benefits now and in the future. Transformational adaptation can include introduction of new technologies or practices, formation of new financial structures or systems of governance, adaptation at greater scales or magnitudes and shifts in the location of activities. Planning and implementation of transformational adaptation could reflect strengthened, altered or aligned paradigms and consequently may place new and increased demands on governance structures to reconcile different goals and visions for the future and to address possible equity and ethical implications: transformational adaptation pathways are enhanced by iterative learning, deliberative processes, and innovation. At the national level, transformation is considered most effective when it reflects a country's own visions and approaches to achieving sustainable development in accordance with its national circumstances and priorities. *{WGII SPM C-2, 1.1, 2.5, 5.5, 8.4, 14.1, 14.3, 16.2-7, 20.3.3, 20.5, 25.10, Table 14-4, Table 16-3, Box 16.1, Box 16.4, Box 25.1}*

Building adaptive capacity is crucial for effective selection and implementation of adaptation options (*robust evidence, high agreement*). Successful adaptation requires not only identifying adaptation options and assessing their costs and benefits, but also increasing the adaptive capacity of human and natural systems (*medium evidence, high agreement*). This can involve complex governance challenges and new institutions and institutional arrangements. (4.2) *{WGII 8.1, 12.3, 14.1-3, 16.2, 16.3, 16.5, 16.8}*

Significant co-benefits, synergies and trade-offs exist between mitigation and adaptation and among different adaptation responses; interactions occur both within and across regions (*very high confidence*). Increasing efforts to mitigate and adapt to climate

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change imply an increasing complexity of interactions, particularly at the intersections among water, energy, land use and biodiversity, but tools to understand and manage these interactions remain limited. Examples of actions with co-benefits include (i) improved energy efficiency and cleaner energy sources, leading to reduced emissions of health-damaging, climate-altering air pollutants; (ii) reduced energy and water consumption in urban areas through greening cities and recycling water; (iii) sustainable agriculture and forestry; and (iv) protection of ecosystems for carbon storage and other ecosystem services. *{WGII SPM C-1}*

3.4 Characteristics of mitigation pathways

There are multiple mitigation pathways that are *likely* to limit warming to below 2°C relative to pre-industrial levels. These pathways would require substantial emissions reductions over the next few decades and near zero emissions of CO_2 and other long-lived greenhouse gases by the end of the century. Implementing such reductions poses substantial technological, economic, social and institutional challenges, which increase with delays in additional mitigation and if key technologies are not available. Limiting warming to lower or higher levels involves similar challenges but on different timescales.

Without additional efforts to reduce GHG emissions beyond those in place today, global emission growth is expected to persist driven by growth in global population and economic activities (*high confidence*) (Figure 3.2). Global GHG emissions under most scenarios without additional mitigation (baseline scenarios) are between about 75 $GtCO_2$-eq/yr and almost 140 $GtCO_2$-eq/yr in 2100[35] which is approximately between the 2100 emission levels in the RCP6.0 and RCP8.5 pathways (Figure 3.2)[36]. Baseline scenarios exceed 450 ppm CO_2-eq by 2030 and reach CO_2-eq concentration levels between about 750 ppm CO_2-eq and more than 1300 ppm CO_2-eq by 2100. Global mean surface temperature increases in 2100 range from about 3.7°C to 4.8°C above the average for 1850–1900 for a median climate response. They range from 2.5°C to 7.8°C when including climate uncertainty (5th to 95th percentile range)[37]. The future scenarios do not account for possible changes in natural forcings in the climate system (see Box 1.1). *{WGIII SPM.3, SPM.4.1, TS.2.2, TS.3.1, 6.3, Box TS.6}*

Many different combinations of technological, behavioural and policy options can be used to reduce emissions and limit temperature change (*high confidence*). To evaluate possible pathways to long-term climate goals, about 900 mitigation scenarios were collected for this assessment, each of which describes different technological, socio-economic and institutional changes. Emission reductions under these scenarios lead to concentrations in 2100 from 430 ppm CO_2-eq to above 720 ppm CO_2-eq which is comparable to the 2100 forcing levels between RCP2.6 and RCP6.0. Scenarios with concentration levels of below 430 ppm CO_2-eq by 2100 were also assessed. *{WGIII SPM.4.1, TS3.1, 6.1, 6.2, 6.3, Annex II}*

Scenarios leading to CO_2-eq concentrations in 2100 of about 450 ppm or lower are *likely* to maintain warming below 2°C over the 21st century relative to pre-industrial levels (*high confidence*). Mitigation scenarios reaching concentration levels of about 500 ppm CO_2-eq by 2100 are *more likely than not* to limit warming to less than 2°C relative to pre-industrial levels, unless concentration levels temporarily exceed roughly 530 ppm CO_2-eq before 2100. In this case, warming is *about as likely as not* to remain below 2°C relative to pre-industrial levels. Scenarios that exceed about 650 ppm CO_2-eq by 2100 are *unlikely* to limit warming to below 2°C relative to pre-industrial levels. Mitigation scenarios in which warming is *more likely than not* to be less than 1.5°C relative to pre-industrial levels by 2100 are characterized by concentration levels by 2100 of below 430 ppm CO_2-eq. In these scenarios, temperature peaks during the century and subsequently declines (Table 3.1). *{WGIII SPM.4.1, Table SPM.1, TS.3.1, Box TS.6, 6.3}*

Mitigation scenarios reaching about 450 ppm CO_2-eq in 2100 (consistent with a *likely* chance to keep warming below 2°C relative to pre-industrial level) typically involve temporary overshoot[38] of atmospheric concentrations, as do many scenarios reaching about 500 ppm CO_2-eq to about 550 ppm CO_2-eq by 2100 (Table 3.1). Depending on the level of overshoot, overshoot scenarios typically rely on the availability and widespread deployment of bioenergy with carbon dioxide capture and storage (BECCS) and afforestation in the second half of the century (*high confidence*). The availability and scale of these and other Carbon Dioxide Removal (CDR) technologies and methods are uncertain, and CDR technologies and methods are, to varying degrees, associated with challenges and risks (see Box 3.3)[39]. CDR is also prevalent in many scenarios without overshoot to compensate for residual emissions from sectors where mitigation is more expensive. *{WGIII SPM.4.1, Table SPM.1, TS.3.1, 6.3, 6.9.1, Figure 6.7, 7.11, 11.13}*

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[35] Unless otherwise noted, scenario ranges cited in Topic 3 and Topic 4 refer to the 10th to 90th percentile ranges (see Table 3.1).

[36] For a discussion on CO2-equivalent (CO2-eq) emissions and concentrations, see Box 3.2 on GHG metrics and mitigation pathways and the Glossary.

[37] The range quoted here is based on the warming results of a simple climate model for the emissions of around 300 baseline scenarios, expressed compared to the 1850–1900 period. The warming results quoted in Section 2.2 are obtained by prescribing future concentrations of GHG in CMIP5 Earth System Models. This results in a mean warming of 1.0°C (5th to 95th percentile range: 0.3°C to 1.7°C) for RCP2.6, and a mean warming of 3.7°C (2.6°C to 4.8°C) for RCP8.5 relative to the period 1986–2005. For the same concentration-driven experiments, the simple climate model approach gives consistent results. The median warming is 0.9°C (0.5°C to 1.6°C) for RCP2.6 and 3.7°C (2.5°C to 5.9°C) for RCP8.5 relative to the period 1986–2005. However, the high-end of the CMIP5 ESMs range is more constrained. In addition, the baseline temperature increase quoted here is wider than that of the concentration-driven RCP8.5 experiments mentioned above as it is based on a wider set of scenarios, includes carbon cycle response uncertainty, and uses a different base year (2.2, 3.4).

[38] In concentration 'overshoot' scenarios, concentrations peak during the century and then decline.

[39] CDR methods have biogeochemical and technological limitations to their potential on the global scale. There is insufficient knowledge to quantify how much CO2 emissions could be partially offset by CDR on a century timescale. CDR methods may carry side effects and long-term consequences on a global scale.

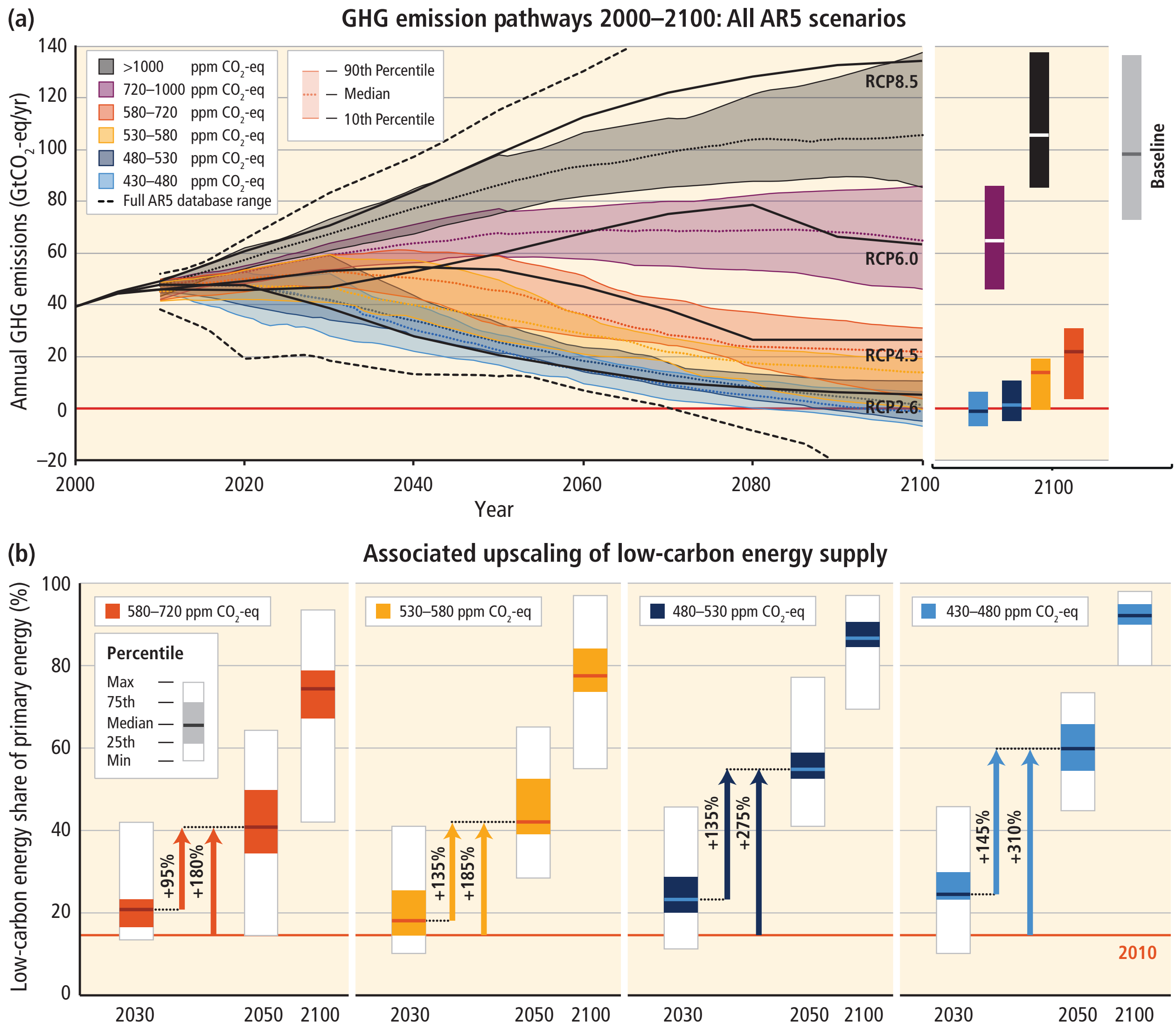


Figure 3.2 | Global greenhouse gas (GHG) emissions (gigatonne of CO_2-equivalent per year, $GtCO_2$-eq/yr) in baseline and mitigation scenarios for different long-term concentration levels **(a)** and associated scale-up requirements of low-carbon energy (% of primary energy) for 2030, 2050 and 2100, compared to 2010 levels, in mitigation scenarios **(b)**. *{WGIII SPM.4, Figure 6.7, Figure 7.16}* [Note: CO_2-eq emissions include the basket of Kyoto gases (carbon dioxide (CO_2), methane (CH_4), nitrous oxide (N_2O) as well as fluorinated gases) calculated based on 100-year Global Warming Potential (GWP_{100}) values from the IPCC Second Assessment Report.]

Limiting warming with a *likely* chance to less than 2°C relative to pre-industrial levels would require substantial cuts in anthropogenic GHG emissions[40] by mid-century through large-scale changes in energy systems and possibly land use. Limiting warming to higher levels would require similar changes but less quickly. Limiting warming to lower levels would require these changes more quickly (*high confidence*). Scenarios that are *likely* to maintain warming at below 2°C are characterized by a 40 to 70% reduction in GHG emissions by 2050, relative to 2010 levels, and emissions levels near zero or below in 2100 (Figure 3.2, Table 3.1). Scenarios with higher emissions in 2050 are characterized by a greater reliance on CDR technologies beyond mid-century, and vice versa. Scenarios that are *likely* to maintain warming at below 2°C include more rapid improvements in energy efficiency and a tripling to nearly a quadrupling of the share of zero- and low-carbon energy supply from renewable energy, nuclear energy and fossil energy with carbon dioxide capture and storage (CCS) or BECCS by the year 2050 (Figure 3.2b). The scenarios describe a wide range of changes in land use, reflecting

40 This range differs from the range provided for a similar concentration category in AR4 (50 to 85% lower than in 2000 for CO_2 only). Reasons for this difference include that this report has assessed a substantially larger number of scenarios than in AR4 and looks at all GHGs. In addition, a large proportion of the new scenarios include CDR technologies. Other factors include the use of 2100 concentration levels instead of stabilization levels and the shift in reference year from 2000 to 2010. Scenarios with higher emission levels by 2050 are characterized by a greater reliance on CDR technologies beyond mid-century.

Table 3.1 | Key characteristics of the scenarios collected and assessed for WGIII AR5. For all parameters the 10th to 90th percentile of the scenarios is shown[a].

<table>
<tr><th rowspan="2">CO_2-eq Concentrations in 2100 (ppm CO_2-eq)[f]

Category label (conc. range)</th><th rowspan="2">Subcategories</th><th rowspan="2">Relative position of the RCPs[d]</th><th colspan="2">Change in CO_2-eq emissions compared to 2010 (in %)[c]</th><th colspan="4">Likelihood of staying below a specific temperature level over the 21st century (relative to 1850–1900)[d, e]</th></tr>
<tr><th>2050</th><th>2100</th><th>1.5°C</th><th>2°C</th><th>3°C</th><th>4°C</th></tr>
<tr><td><430</td><td colspan="8">Only a limited number of individual model studies have explored levels below 430 ppm CO_2-eq[j]</td></tr>
<tr><td>450
(430 to 480)</td><td>Total range[a, g]</td><td>RCP2.6</td><td>–72 to –41</td><td>–118 to –78</td><td>More unlikely than likely</td><td>Likely</td><td rowspan="6">Likely</td><td rowspan="8">Likely</td></tr>
<tr><td rowspan="2">500
(480 to 530)</td><td>No overshoot of 530 ppm CO_2-eq</td><td></td><td>–57 to –42</td><td>–107 to –73</td><td rowspan="6">Unlikely</td><td>More likely than not</td></tr>
<tr><td>Overshoot of 530 ppm CO_2-eq</td><td></td><td>–55 to –25</td><td>–114 to –90</td><td>About as likely as not</td></tr>
<tr><td rowspan="2">550
(530 to 580)</td><td>No overshoot of 580 ppm CO_2-eq</td><td></td><td>–47 to –19</td><td>–81 to –59</td><td rowspan="3">More unlikely than likely[i]</td></tr>
<tr><td>Overshoot of 580 ppm CO_2-eq</td><td></td><td>–16 to 7</td><td>–183 to –86</td></tr>
<tr><td>(580 to 650)</td><td>Total range</td><td rowspan="2">RCP4.5</td><td>–38 to 24</td><td>–134 to –50</td></tr>
<tr><td>(650 to 720)</td><td>Total range</td><td>–11 to 17</td><td>–54 to –21</td><td rowspan="2">Unlikely</td><td>More likely than not</td></tr>
<tr><td>(720 to 1000)[b]</td><td>Total range</td><td>RCP6.0</td><td>18 to 54</td><td>–7 to 72</td><td rowspan="2">Unlikely[h]</td><td>More unlikely than likely</td></tr>
<tr><td>>1000[b]</td><td>Total range</td><td>RCP8.5</td><td>52 to 95</td><td>74 to 178</td><td>Unlikely[h]</td><td>Unlikely</td><td>More unlikely than likely</td></tr>
</table>

Notes:

[a] The 'total range' for the 430 to 480 ppm CO_2-eq concentrations scenarios corresponds to the range of the 10th to 90th percentile of the subcategory of these scenarios shown in Table 6.3 of the Working Group III report.

[b] Baseline scenarios fall into the >1000 and 720 to 1000 ppm CO_2-eq categories. The latter category also includes mitigation scenarios. The baseline scenarios in the latter category reach a temperature change of 2.5°C to 5.8°C above the average for 1850–1900 in 2100. Together with the baseline scenarios in the >1000 ppm CO_2-eq category, this leads to an overall 2100 temperature range of 2.5°C to 7.8°C (range based on median climate response: 3.7°C to 4.8°C) for baseline scenarios across both concentration categories.

[c] The global 2010 emissions are 31% above the 1990 emissions (consistent with the historic greenhouse gas emission estimates presented in this report). CO_2-eq emissions include the basket of Kyoto gases (carbon dioxide (CO_2), methane (CH_4), nitrous oxide (N_2O) as well as fluorinated gases).

[d] The assessment here involves a large number of scenarios published in the scientific literature and is thus not limited to the Representative Concentration Pathways (RCPs). To evaluate the CO_2-eq concentration and climate implications of these scenarios, the Model for the Assessment of Greenhouse Gas Induced Climate Change (MAGICC) was used in a probabilistic mode. For a comparison between MAGICC model results and the outcomes of the models used in WGI, see WGI 12.4.1.2, 12.4.8 and WGIII 6.3.2.6.

[e] The assessment in this table is based on the probabilities calculated for the full ensemble of scenarios in WGIII using MAGICC and the assessment in WGI of the uncertainty of the temperature projections not covered by climate models. The statements are therefore consistent with the statements in WGI, which are based on the Coupled Model Intercomparison Project Phase 5 (CMIP5) runs of the RCPs and the assessed uncertainties. Hence, the likelihood statements reflect different lines of evidence from both WGs. This WGI method was also applied for scenarios with intermediate concentration levels where no CMIP5 runs are available. The likelihood statements are indicative only *{WGIII 6.3}* and follow broadly the terms used by the WGI SPM for temperature projections: likely 66–100%, more likely than not >50–100%, about as likely as not 33–66%, and unlikely 0–33%. In addition the term more unlikely than likely 0–<50% is used.

[f] The CO_2-equivalent concentration (see Glossary) is calculated on the basis of the total forcing from a simple carbon cycle/climate model, MAGICC. The CO_2-equivalent concentration in 2011 is estimated to be 430 ppm (uncertainty range 340 to 520 ppm). This is based on the assessment of total anthropogenic radiative forcing for 2011 relative to 1750 in WGI, i.e., 2.3 W/m^2, uncertainty range 1.1 to 3.3 W/m^2.

[g] The vast majority of scenarios in this category overshoot the category boundary of 480 ppm CO_2-eq concentration.

[h] For scenarios in this category, no CMIP5 run or MAGICC realization stays below the respective temperature level. Still, an *unlikely* assignment is given to reflect uncertainties that may not be reflected by the current climate models.

[i] Scenarios in the 580 to 650 ppm CO_2-eq category include both overshoot scenarios and scenarios that do not exceed the concentration level at the high end of the category (e.g., RCP4.5). The latter type of scenarios, in general, have an assessed probability of *more unlikely than likely* to stay below the 2°C temperature level, while the former are mostly assessed to have an *unlikely* probability of staying below this level.

[j] In these scenarios, global CO_2-eq emissions in 2050 are between 70 to 95% below 2010 emissions, and they are between 110 to 120% below 2010 emissions in 2100.

different assumptions about the scale of bioenergy production, afforestation and reduced deforestation. Scenarios leading to concentrations of 500 ppm CO_2-eq by 2100 are characterized by a 25 to 55% reduction in GHG emissions by 2050, relative to 2010 levels. Scenarios that are *likely* to limit warming to 3°C relative to pre-industrial levels reduce emissions less rapidly than those limiting warming to 2°C. Only a limited number of studies provide scenarios that are *more likely than not* to limit warming to 1.5°C by 2100; these scenarios are characterized by concentrations below 430 ppm CO_2-eq by 2100 and 2050 emission reduction between 70 and 95% below 2010. For a comprehensive overview of the characteristics of emissions scenarios, their CO_2-equivalent concentrations and their likelihood to keep warming to below a range of temperature levels, see Table 3.1. *{WGIII SPM.4.1, TS.3.1, 6.3, 7.11}*

3

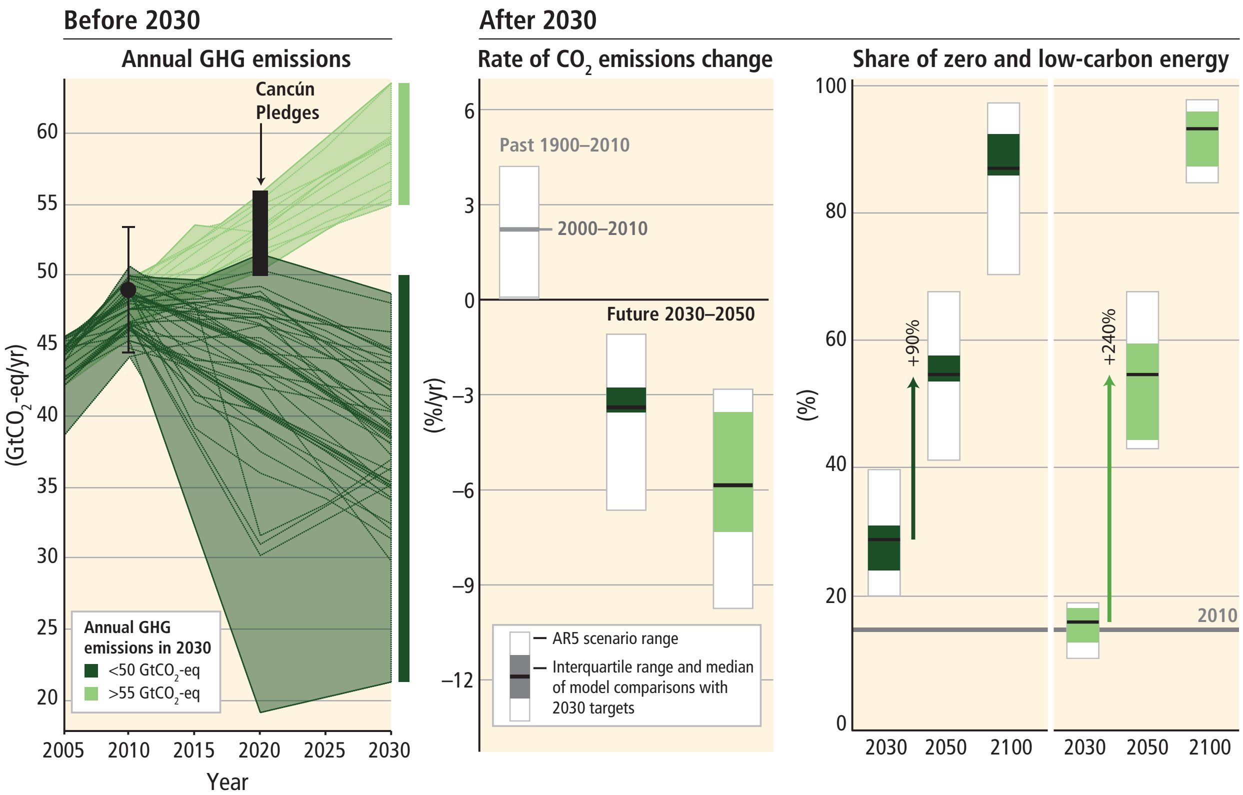


Figure 3.3 | The implications of different 2030 greenhouse gas (GHG) emissions levels for the rate of carbon dioxide (CO_2) emission reductions and low-carbon energy upscaling in mitigation scenarios that are at least *about as likely as not* to keep warming throughout the 21st century below 2°C relative to pre-industrial levels (2100 CO_2-eq concentrations 430 to 530 ppm). The scenarios are grouped according to different emissions levels by 2030 (coloured in different shades of green). The left panel shows the pathways of GHG emissions ($GtCO_2$-eq/yr) leading to these 2030 levels. Black dot with whiskers gives historic GHG emission levels and associated uncertainties in 2010 as reported in Figure 1.6. The black bar shows the estimated uncertainty range of GHG emissions implied by the Cancún Pledges. The middle panel denotes the average annual CO_2 emission reduction rates for the 2030–2050 period. It compares the median and interquartile range across scenarios from recent intermodel comparisons with explicit 2030 interim goals to the range of scenarios in the Scenario Database for WGIII AR5. Annual rates of historical emission changes (sustained over a period of 20 years) are shown as well. The arrows in the right panel show the magnitude of zero and low-carbon energy supply upscaling from between 2030 and 2050, subject to different 2030 GHG emission levels. Zero- and low-carbon energy supply includes renewable energy, nuclear energy and fossil energy with carbon dioxide capture and storage (CCS) or bioenergy with CCS (BECCS). Only scenarios that apply the full, unconstrained mitigation technology portfolio of the underlying models (default technology assumption) are shown. Scenarios with large net negative global emissions (>20 $GtCO_2$-eq/yr), scenarios with exogenous carbon price assumptions, and scenarios with 2010 emission levels that are significantly outside the historical range are excluded. *{WGIII Figure SPM.5, Figure 6.32, Figure 7.16, 13.13.1.3}*

Reducing emissions of non-CO_2 climate forcing agents can be an important element of mitigation strategies. Emissions of non-CO_2 gases (methane (CH_4), nitrous oxide (N_2O), and fluorinated gases) contributed about 27% to the total emissions of Kyoto gases in 2010. For most non-CO_2 gases, near-term, low-cost options are available to reduce their emissions. However, some sources of these non-CO_2 gases are difficult to mitigate, such as N_2O emissions from fertilizer use and CH_4 emissions from livestock. As a result, emissions of most non-CO_2 gases will not be reduced to zero, even under stringent mitigation scenarios (see Figure 4.1). The differences in radiative properties and lifetimes of CO_2 and non-CO_2 climate forcing agents have important implications for mitigation strategies (see also Box 3.2). *{WGIII 6.3.2}*

All current GHG emissions and other climate forcing agents affect the rate and magnitude of climate change over the next few decades. Reducing the emissions of certain short-lived climate forcing agents can reduce the rate of warming in the short term but will have only a limited effect on long-term warming, which is driven mainly by CO_2 emissions. There are large uncertainties related to the climate impacts of some of the short-lived climate forcing agents. Although the effects of CH_4 emissions are well understood, there are large uncertainties related to the effects of black carbon. Co-emitted components with cooling effects may further complicate and reduce the climate impacts of emission reductions. Reducing emissions of sulfur dioxide (SO_2) would cause warming. Near-term reductions in short-lived climate forcing agents can have a relatively fast impact on climate change and possible co-benefits for air pollution. *{WGI 8.2.3, 8.3.2, 8.3.4, 8.5.1, 8.7.2, FAQ 8.2, 12.5, WGIII 6.6.2.1}*

Delaying additional mitigation to 2030 will substantially increase the challenges associated with limiting warming over the 21st century to below 2°C relative to pre-industrial levels (*high confidence*). GHG emissions in 2030 lie between about 30 $GtCO_2$-eq/yr and 50 $GtCO_2$-eq/yr in cost-effective scenarios that are *likely* to *about as likely as not* to limit warming to less than 2°C this century relative to pre-industrial levels (2100 atmospheric concentration

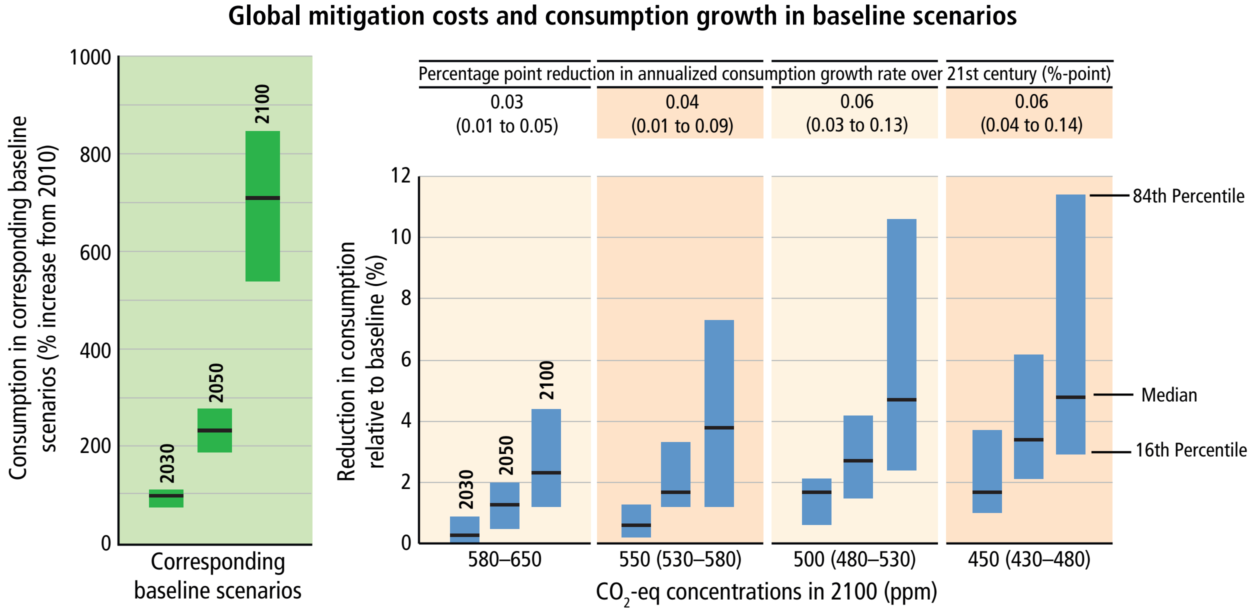


Figure 3.4 | Global mitigation costs in cost-effective scenarios at different atmospheric concentrations levels in 2100 (right panel) and growth in economic consumption in the corresponding baseline scenarios (those without additional mitigation) (left panel). The table at the top shows percentage points of annualized consumption growth reductions relative to consumption growth in the baseline of 1.6 to 3% per year (e.g., if the reduction is 0.06 percentage points per year due to mitigation, and baseline growth is 2.0% per year, then the growth rate with mitigation would be 1.94% per year). Cost-effective scenarios assume immediate mitigation in all countries and a single global carbon price, and they impose no additional limitations on technology relative to the models' default technology assumptions. Consumption losses are shown relative to a baseline development without climate policy. Cost estimates shown in this table do not consider the benefits of reduced climate change nor co-benefits and adverse side effects of mitigation. Estimates at the high end of these cost ranges are from models that are relatively inflexible to achieve the deep emissions reductions that would be required in the long run to meet these goals and/or include assumptions about market imperfections that would raise costs. *{WGIII Table SPM.2, Figure TS.12, 6.3.6, Figure 6.21}*

levels of about 450 ppm CO_2-eq to about 500 ppm CO_2-eq) (Figure 3.3, left panel). Scenarios with GHG emission levels of above 55 $GtCO_2$-eq/yr require substantially higher rates of emissions reductions between 2030 and 2050 (median estimate of 6%/yr as compared to 3%/yr in cost-effective scenarios; Figure 3.3, middle panel); much more rapid scale-up of zero and low-carbon energy over this period (more than a tripling compared to a doubling of the low-carbon energy share relative to 2010; Figure 3.3, right panel); a larger reliance on CDR technologies in the long term; and higher transitional and long-term economic impacts (Table 3.2). (3.5, 4.3) *{WGIII SPM.4.1, TS.3.1, 6.4, 7.11}*

Estimated global emission levels by 2020 based on the Cancún Pledges are not consistent with cost-effective long-term mitigation trajectories that are at least *about as likely as not* to limit warming to below 2°C relative to pre-industrial levels (2100 concentration levels of about 500 ppm CO_2-eq or below), but they do not preclude the option to meet this goal (*high confidence*). The Cancún Pledges are broadly consistent with cost-effective scenarios that are *likely* to limit temperature change to below 3°C relative to pre-industrial levels. *{WGIII SPM.4.1, 6.4, 13.13, Figure TS.11}*

Estimates of the aggregate economic costs of mitigation vary widely depending on methodologies and assumptions but increase with the stringency of mitigation (*high confidence*). Scenarios in which all countries of the world begin mitigation immediately, in which there is a single global carbon price, and in which all key technologies are available have been used as a cost-effective benchmark for estimating macroeconomic mitigation costs (Figure 3.4). Under these assumptions, mitigation scenarios that are *likely* to limit warming to below 2°C through the 21st century relative to pre-industrial levels entail losses in global consumption—not including benefits of reduced climate change (3.2) as well as co-benefits and adverse side effects of mitigation (3.5, 4.3)—of 1 to 4% (median: 1.7%) in 2030, 2 to 6% (median: 3.4%) in 2050, and 3% to 11% (median: 4.8%) in 2100, relative to consumption in baseline scenarios that grows anywhere from 300% to more than 900% over the century[41]. These numbers correspond to an annualized reduction of consumption growth by 0.04 to 0.14 (median: 0.06) percentage points over the century relative to annualized consumption growth in the baseline that is between 1.6% and 3% per year (Figure 3.4). In the absence or under limited availability of mitigation technologies (such as bioenergy, CCS, and their combination BECCS, nuclear, wind and solar), mitigation costs can increase substantially depending on the technology considered (Table 3.2). Delaying additional mitigation reduces near-term costs but increases mitigation costs in the medium- to long-term (Table 3.2). Many models could not limit *likely* warming to below 2°C over the 21st century relative to pre-industrial levels, if additional mitigation is considerably delayed, or if availability of key technologies, such as bioenergy, CCS and their combination (BECCS) are limited (*high confidence*) (Table 3.2). *{WGIII SPM.4.1, Table SPM.2, Table TS.2, TS.3.1, 6.3, 6.6}*

[41] Mitigation cost ranges cited here refer to the 16th to 84th percentile of the underlying sample (see Figure 3.4).

Mitigation efforts and associated cost are expected to vary across countries. The distribution of costs can differ from the distribution of the actions themselves (*high confidence*). In globally cost-effective scenarios, the majority of mitigation efforts takes place in countries with the highest future GHG emissions in baseline scenarios. Some studies exploring particular effort-sharing frameworks, under the assumption of a global carbon market, have estimated substantial global financial flows associated with mitigation in scenarios that are *likely* to *more unlikely than likely* to limit warming during the 21st century to less than 2°C relative to pre-industrial levels. *{WGIII SPM.4.1, TS.3.1, Box 3.5, 4.6, 6.3.6, Table 6.4, Figure 6.9, Figure 6.27, Figure 6.28, Figure 6.29, 13.4.2.4}*

Table 3.2 | Increase in global mitigation costs due to either limited availability of specific technologies or delays in additional mitigation [a] relative to cost-effective scenarios [b]. The increase in costs is given for the median estimate and the 16th to 84th percentile range of the scenarios (in parentheses). The sample size of each scenario set is provided in the coloured symbols [c]. The colours of the symbols indicate the fraction of models from systematic model comparison exercises that could successfully reach the targeted concentration level. *{WGIII Table SPM.2, Table TS.2, Figure TS.13, Figure 6.24, Figure 6.25}*

	Mitigation cost increases in scenarios with limited availability of technologies [d] *[% increase in total discounted [e] mitigation costs (2015–2100) relative to default technology assumptions]*				**Mitigation cost increases due to delayed additional mitigation until 2030** *[% increase in mitigation costs relative to immediate mitigation]*	
2100 concentrations (ppm CO_2-eq)	no CCS	nuclear phase out	limited solar/wind	limited bioenergy	medium term costs (2030–2050)	long term costs (2050–2100)
450 (430 to 480)	138% (29 to 297%) 4	7% (4 to 18%) 8	6% (2 to 29%) 8	64% (44 to 78%) 8	44% (2 to 78%) 29	37% (16 to 82%) 29
500 (480 to 530)	not available (n.a.)	n.a.	n.a.	n.a.		
550 (530 to 580)	39% (18 to 78%) 11	13% (2 to 23%) 10	8% (5 to 15%) 10	18% (4 to 66%) 12	15% (3 to 32%)	16% (5 to 24%)
580 to 650	n.a.	n.a.	n.a.	n.a.		

Symbol legend—fraction of models successful in producing scenarios (numbers indicate the number of successful models)

: all models successful

: between 80 and 100% of models successful

: between 50 and 80% of models successful

: less than 50% of models successful

Notes:

[a] Delayed mitigation scenarios are associated with greenhouse gas emission of more than 55 $GtCO_2$-eq in 2030, and the increase in mitigation costs is measured relative to cost-effective mitigation scenarios for the same long-term concentration level.

[b] Cost-effective scenarios assume immediate mitigation in all countries and a single global carbon price, and impose no additional limitations on technology relative to the models' default technology assumptions.

[c] The range is determined by the central scenarios encompassing the 16th to 84th percentile range of the scenario set. Only scenarios with a time horizon until 2100 are included. Some models that are included in the cost ranges for concentration levels above 530 ppm CO_2-eq in 2100 could not produce associated scenarios for concentration levels below 530 ppm CO_2-eq in 2100 with assumptions about limited availability of technologies and/or delayed additional mitigation.

[d] No CCS: carbon dioxide capture and storage is not included in these scenarios. Nuclear phase out: no addition of nuclear power plants beyond those under construction, and operation of existing plants until the end of their lifetime. Limited Solar/Wind: a maximum of 20% global electricity generation from solar and wind power in any year of these scenarios. Limited Bioenergy: a maximum of 100 EJ/yr modern bioenergy supply globally (modern bioenergy used for heat, power, combinations and industry was around 18 EJ/yr in 2008). EJ = Exajoule = 10^{18} Joule.

[e] Percentage increase of net present value of consumption losses in percent of baseline consumption (for scenarios from general equilibrium models) and abatement costs in percent of baseline gross domestic product (GDP, for scenarios from partial equilibrium models) for the period 2015–2100, discounted at 5% per year.

Box 3.2 | Greenhouse Gas Metrics and Mitigation Pathways

This box focuses on emission-based metrics that are used for calculating CO_2-equivalent emissions for the formulation and evaluation of mitigation strategies. These emission metrics are distinct from the concentration-based metric used in SYR (CO_2-equivalent concentration). For an explanation of CO_2-equivalent emissions and CO_2-equivalent concentrations, see Glossary.

Emission metrics facilitate multi-component climate policies by allowing emissions of different greenhouse gases (GHGs) and other climate forcing agents to be expressed in a common unit (so-called 'CO_2-equivalent emissions'). The Global Warming Potential (GWP) was introduced in the IPCC First Assessment Report, where it was also used to illustrate the difficulties in comparing components with differing physical properties using a single metric. The 100-year GWP (GWP_{100}) was adopted by the United Nations Framework Convention on Climate Change (UNFCCC) and its Kyoto Protocol and is now used widely as the default metric. It is only one of several possible emission metrics and time horizons. *{WGI 8.7, WGIII 3.9}*

The choice of emission metric and time horizon depends on type of application and policy context; hence, no single metric is optimal for all policy goals. All metrics have shortcomings, and choices contain value judgments, such as the climate effect considered and the weighting of effects over time (which explicitly or implicitly discounts impacts over time), the climate policy goal and the degree to which metrics incorporate economic or only physical considerations. There are significant uncertainties related to metrics, and the magnitudes of the uncertainties differ across metric type and time horizon. In general, the uncertainty increases for metrics along the cause–effect chain from emission to effects. *{WGI 8.7, WGIII 3.9}*

The weight assigned to non-CO_2 climate forcing agents relative to CO_2 depends strongly on the choice of metric and time horizon (*robust evidence, high agreement*). GWP compares components based on radiative forcing, integrated up to a chosen time horizon. Global Temperature change Potential (GTP; see Glossary) is based on the temperature response at a specific point in time with no weight on temperature response before or after the chosen point in time. Adoption of a fixed horizon of, for example, 20, 100 or 500 years for these metrics will inevitably put no weight on climate outcomes beyond the time horizon, which is significant for CO_2 as well as other long-lived gases. The choice of time horizon markedly affects the weighting especially of short-lived climate forcing agents, such as methane (CH_4) (see Box 3.2, Table 1; Box 3.2, Figure 1a). For some metrics (e.g., the dynamic GTP; see Glossary), the weighting changes over time as a chosen target year is approached. *{WGI 8.7, WGIII 3.9}*

Box 3.2, Table 1 | Examples of emission metric values from WGI [a].

		GWP		GTP	
	Lifetime (yr)	Cumulative forcing over 20 years	Cumulative forcing over 100 years	Temperature change after 20 years	Temperature change after 100 years
CO_2	[b]	1	1	1	1
CH_4	12.4	84	28	67	4
N_2O	121.0	264	265	277	234
CF_4	50,000.0	4880	6630	5270	8040
HFC-152a	1.5	506	138	174	19

Notes:

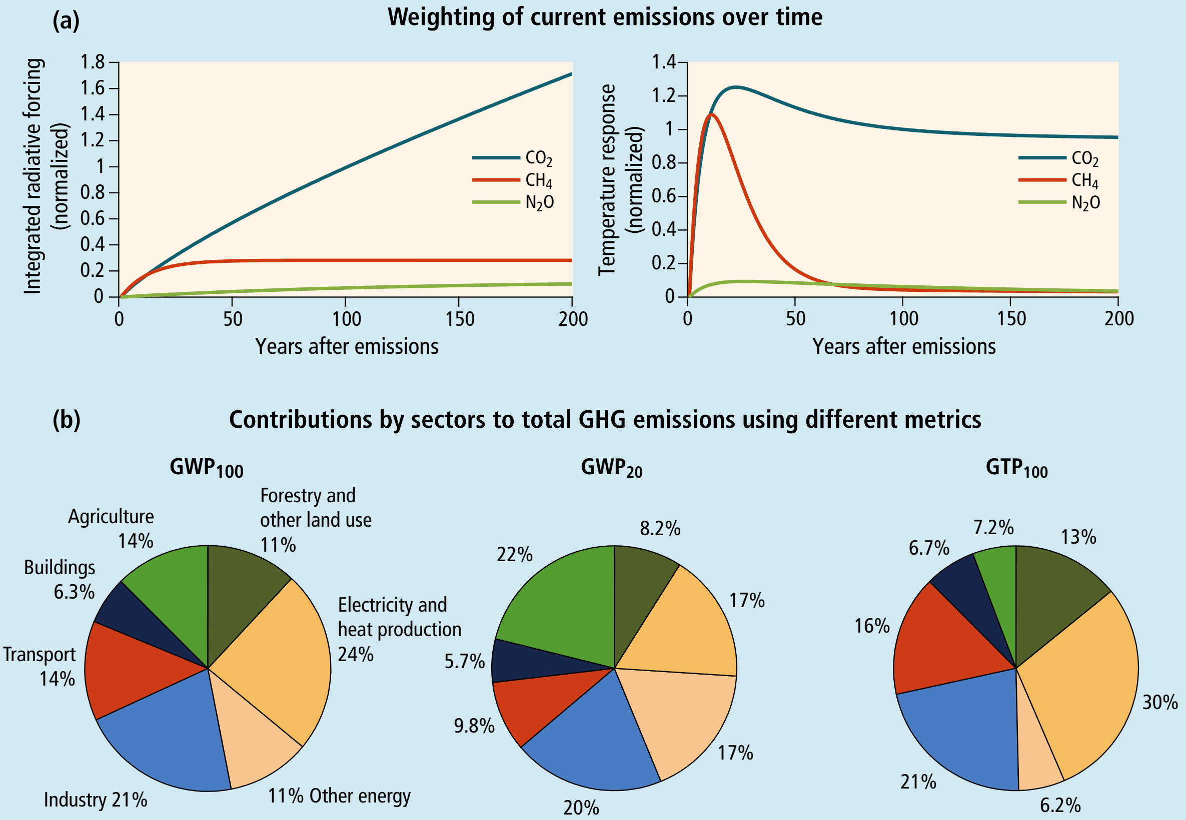
[a] Global Warming Potential (GWP) values have been updated in successive IPCC reports; the AR5 GWP_{100} values are different from those adopted for the Kyoto Protocol's First Commitment Period which are from the IPCC Second Assessment Report (SAR). Note that for consistency, equivalent CO_2 emissions given elsewhere in this Synthesis Report are also based on SAR, not AR5 values. For a comparison of emissions using SAR and AR5 GWP_{100} values for 2010 emissions, see Figure 1.6.

[b] No single lifetime can be given for CO_2. *{WGI Box 6.1, 6.1.1, 8.7}*

The choice of emission metric affects the timing and emphasis placed on abating short- and long-lived climate forcing agents. For most metrics, global cost differences are small under scenarios of global participation and cost-minimizing mitigation pathways, but implications for some individual countries and sectors could be more significant (*medium evidence, high agreement*). Different metrics and time horizons significantly affect the contributions from various sources/sectors and components, particularly short-lived climate forcing agents (Box 3.2, Figure 1b). A fixed time independent metric that gives less weight to short-lived agents such as CH_4 (e.g., using GTP_{100} instead of GWP_{100}) would require earlier and more stringent CO_2 abatement to achieve the same climate outcome for 2100. Using a time-dependent metric, such as a dynamic GTP, leads to less CH_4 mitigation

Box 3.2 (continued)

in the near term but to more in the long term as the target date is being approached. This implies that for some (short-lived) agents, the metric choice influences the choice of policies and the timing of mitigation (especially for sectors and countries with high non-CO_2 emission levels). *{WGI 8.7, WGIII 6.3}*



Box 3.2, Figure 1 | Implications of metric choices on the weighting of greenhouse gas (GHG) emissions and contributions by sectors for illustrative time horizons. Panel **(a)**: integrated radiative forcing (left panel) and warming resulting at a given future point in time (right panel) from global net emissions of carbon dioxide (CO_2), methane (CH_4) and nitrous oxide (N_2O) in the year 2010 (and no emissions thereafter), for time horizons of up to 200 years. Integrated radiative forcing is used in the calculation of Global Warming Potentials (GWP), while the warming at a future point in time is used in the calculation of Global Temperature change Potentials (GTP). Radiative forcing and warming were calculated based on global 2010 emission data from WGIII 5.2 and absolute GWPs and absolute GTPs from WGI 8.7, normalized to the integrated radiative forcing and warming, respectively, after 100 years, due to 2010 net CO_2 emissions. Panel **(b)**: Illustrative examples showing contributions from different sectors to total metric-weighted global GHG emissions in the year 2010, calculated using 100-year GWP (GWP_{100}, left), 20-year GWP (GWP_{20}, middle) or 100-year GTP (GTP_{100}, right) and the WGIII 2010 emissions database. *{WGIII 5.2}* Note that percentages differ slightly for the GWP_{100} case if values from the IPCC Second Assessment Report are used; see Topic 1, Figure 1.7. See WGIII for details of activities resulting in emissions in each sector.

Box 3.3 | Carbon Dioxide Removal and Solar Radiation Management Geoengineering Technologies—Possible Roles, Options, Risks and Status

Geoengineering refers to a broad set of methods and technologies operating on a large scale that aim to deliberately alter the climate system in order to alleviate the impacts of climate change. Most methods seek to either reduce the amount of absorbed solar energy in the climate system (Solar Radiation Management, SRM) or increase the removal of carbon dioxide (CO_2) from the atmosphere by sinks to alter climate (Carbon Dioxide Removal, CDR, see Glossary). Limited evidence precludes a comprehensive assessment of feasibility, cost, side effects and environmental impacts of either CDR or SRM. *{WGI SPM E.8, 6.5, 7.7, WGII 6.4, Table 6-5, Box 20-4, WGIII TS.3.1.3, 6.9}*

CDR plays a major role in many mitigation scenarios. Bioenergy with carbon dioxide capture and storage (BECCS) and afforestation are the only CDR methods included in these scenarios. CDR technologies are particularly important in scenarios that temporarily overshoot atmospheric concentrations, but they are also prevalent in many scenarios without overshoot to compensate for residual emissions from sectors where mitigation is more expensive. Similar to mitigation, CDR would need to be deployed on a large scale and over a long time period to be able to significantly reduce CO_2 concentrations (see Section 3.1). *{WGII 6.4, WGIII SPM 4.1, TS.3.1.2, TS 3.1.3, 6.3, 6.9}*

Several CDR techniques could potentially reduce atmospheric greenhouse gas (GHG) levels. However, there are biogeochemical, technical and societal limitations that, to varying degrees, make it difficult to provide quantitative estimates of the potential for CDR. The emission mitigation from CDR is less than the removed CO_2, as some CO_2 is released from that previously stored in oceans and terrestrial carbon reservoirs. Sub-sea geologic storage has been implemented on a regional scale, with no evidence to date of ocean impact from leakage. The climatic and environmental side effects of CDR depend on technology and scale. Examples are associated with altered surface reflectance from afforestation and ocean de-oxygenation from ocean fertilization. Most terrestrial CDR techniques would involve competing demands for land and could involve local and regional risks, while maritime CDR techniques may involve significant risks for ocean ecosystems, so that their deployment could pose additional challenges for cooperation between countries. *{WGI 6.5, FAQ 7.3, WGII 6.4, Table 6.5, WGIII 6.9}*

SRM is untested, and is not included in any of the mitigation scenarios, but, if realisable, could to some degree offset global temperature rise and some of its effects. It could possibly provide rapid cooling in comparison to CO_2 mitigation. There is *medium confidence* that SRM through stratospheric aerosol injection is scalable to counter radiative forcing from a twofold increase in CO_2 concentrations and some of the climate responses associated with warming. Due to insufficient understanding there is no consensus on whether a similarly large negative counter radiative forcing could be achieved from cloud brightening. Land albedo change does not appear to be able to produce a large counter radiative forcing. Even if SRM could counter the global mean warming, differences in spatial patterns would remain. The scarcity of literature on other SRM techniques precludes their assessment. *{WGI 7.7, WGIII TS.3.1.3, 6.9}*

If it were deployed, SRM would entail numerous uncertainties, side effects, risks and shortcomings. Several lines of evidence indicate that SRM would itself produce a small but significant decrease in global precipitation (with larger differences on regional scales). Stratospheric aerosol SRM is *likely* to modestly increase ozone losses in the polar stratosphere. SRM would not prevent the CO_2 effects on ecosystems and ocean acidification that are unrelated to warming. There could also be other unanticipated consequences. For all future scenarios considered in AR5, SRM would need to increase commensurately, to counter the global mean warming, which would exacerbate side effects. Additionally, if SRM were increased to substantial levels and then terminated, there is *high confidence* that surface temperatures would rise very rapidly (within a decade or two). This would stress systems that are sensitive to the rate of warming. *{WGI 7.6–7.7, FAQ 7.3, WGII 19.5, WGIII 6.9}*

SRM technologies raise questions about costs, risks, governance and ethical implications of development and deployment. There are special challenges emerging for international institutions and mechanisms that could coordinate research and possibly restrain testing and deployment. Even if SRM would reduce human-made global temperature increase, it would imply spatial and temporal redistributions of risks. SRM thus introduces important questions of intragenerational and intergenerational justice. Research on SRM, as well as its eventual deployment, has been subject to ethical objections. In spite of the estimated low potential costs of some SRM deployment technologies, they will not necessarily pass a benefit–cost test that takes account of the range of risks and side effects. The governance implications of SRM are particularly challenging, especially as unilateral action might lead to significant effects and costs for others. *{WGIII TS.3.1.3, 1.4, 3.3, 6.9, 13.4}*

3.5 Interaction among mitigation, adaptation and sustainable development

Climate change is a threat to equitable and sustainable development. Adaptation, mitigation and sustainable development are closely related, with potential for synergies and trade-offs.

Climate change poses an increasing threat to equitable and sustainable development (*high confidence*). Some climate-related impacts on development are already being observed. Climate change is a threat multiplier. It exacerbates other threats to social and natural systems, placing additional burdens particularly on the poor and constraining possible development paths for all. Development along current global pathways can contribute to climate risk and vulnerability, further eroding the basis for sustainable development. *{WGII SPM B-2, 2.5, 10.9, 13.1–13.3, 20.1, 20.2, 20.6, WGIII SPM.2, 4.2}*

Aligning climate policy with sustainable development requires attention to both adaptation and mitigation (*high confidence*). Interaction among adaptation, mitigation and sustainable development occurs both within and across regions and scales, often in the context of multiple stressors. Some options for responding to climate change could impose risks of other environmental and social costs, have adverse distributional effects and draw resources away from other development priorities, including poverty eradication. *{WGII 2.5, 8.4, 9.3, 13.3–13.4, 20.2–20.4, 21.4, 25.9, 26.8, WGIII SPM.2, 4.8, 6.6}*

Both adaptation and mitigation can bring substantial co-benefits (*medium confidence*). Examples of actions with co-benefits include (i) improved air quality (see Figure 3.5); (ii) enhanced energy security, (iii) reduced energy and water consumption in urban areas through greening cities and recycling water; (iv) sustainable agriculture and forestry; and (v) protection of ecosystems for carbon storage and other ecosystem services. *{WGII SPM C-1, WGIII SPM.4.1}*

Strategies and actions can be pursued now that will move towards climate-resilient pathways for sustainable development, while at the same time helping to improve livelihoods, social and economic well-being and effective environmental management (*high confidence*). Prospects for climate-resilient pathways are related fundamentally to what the world accomplishes with climate change mitigation (*high confidence*). Since mitigation reduces the rate as well as the magnitude of warming, it also increases the time available for adaptation to a particular level of climate change, potentially by several decades. Delaying mitigation actions may reduce options for climate-resilient pathways in the future. *{WGII SPM C-2, 20.2, 20.6.2}*

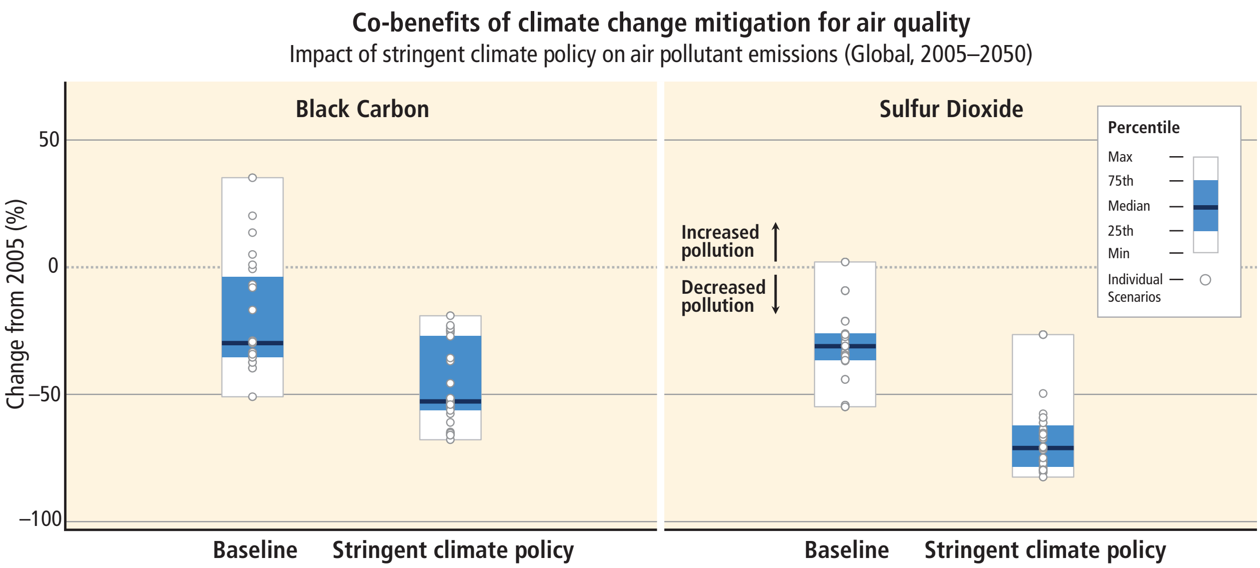


Figure 3.5 | Air pollutant emission levels of black carbon (BC) and sulfur dioxide (SO_2) by 2050, relative to 2005 (0 = 2005 levels). Baseline scenarios without additional efforts to reduce greenhouse gas (GHG) emissions beyond those in place today are compared to scenarios with stringent mitigation policies, which are consistent with reaching about 450 to about 500 (430 to 530) ppm CO_2-eq concentration levels by 2100. *{WGIII SPM.6, TS.14, Figure 6.33}*

Box 3.4 | Co-benefits and Adverse Side effects

A government policy or a measure intended to achieve one objective often affects other objectives, either positively or negatively. For example, mitigation policies can influence local air quality (see Figure 3.5). When the effects are positive they are called 'co-benefits', also referred to as 'ancillary benefits'. Negative effects are referred to as 'adverse side effects'. Some measures are labelled 'no or low regret' when their co-benefits are sufficient to justify their implementation, even in the absence of immediate direct benefits. Co-benefits and adverse side effects can be measured in monetary or non-monetary units. The effect of co-benefits and adverse side effects from climate policies on overall social welfare has not yet been quantitatively examined, with the exception of a few recent multi-objective studies. Many of these have not been well quantified, and effects can be case and site-specific as they will depend on local circumstances. *{WGII 11.9, 16.3.1, 17.2, 20.4.1, WGIII Box TS.11, 3.6, 5.7}*

Co-benefits of mitigation could affect achievement of other objectives, such as those related to energy security, air quality, efforts to address ecosystem impacts, income distribution, labour supply and employment and urban sprawl (see Table 4.2 and Table 4.5). In the absence of complementary policies, however, some mitigation measures may have adverse side effects (at least in the short term), for example on biodiversity, food security, energy access, economic growth and income distribution. The co-benefits of adaptation policies may include improved access to infrastructure and services, extended education and health systems, reduced disaster losses, better governance and others. *{WGII 4.4.4, 11.9, 15.2, 17.2, 20.3.3, 20.4.1, WGIII Box TS.11, 6.6}*

Comprehensive strategies in response to climate change that are consistent with sustainable development take into account the co-benefits, adverse side effects and risks that may arise from both adaptation and mitigation options. The assessment of overall social welfare impacts is complicated by this interaction between climate change response options and pre-existing non-climate policies. For example, in terms of air quality, the value of the extra tonne of sulfur dioxide (SO_2) reduction that occurs with climate change mitigation through reduced fossil fuel combustion depends greatly on the stringency of SO_2 control policies. If SO_2 policy is weak, the value of SO_2 reductions may be large, but if SO_2 policy is stringent, it may be near zero. Similarly, in terms of adaptation and disaster risk management, weak policies can lead to an adaptation deficit that increases human and economic losses from natural climate variability. 'Adaptation deficit' refers to the lack of capacity to manage adverse impacts of current climate variability. An existing adaptation deficit increases the benefits of adaptation policies that improve the management of climate variability and change. *{WGII 20.4.1, WGIII Box TS.11, 6.3}*

4 Adaptation and Mitigation

Topic 4: Adaptation and Mitigation

Many adaptation and mitigation options can help address climate change, but no single option is sufficient by itself. Effective implementation depends on policies and cooperation at all scales and can be enhanced through integrated responses that link mitigation and adaptation with other societal objectives.

Topic 3 demonstrates the need and strategic considerations for both adaptation and global-scale mitigation to manage risks from climate change. Building on these insights, Topic 4 presents near-term response options that could help achieve such strategic goals. Near-term adaptation and mitigation actions will differ across sectors and regions, reflecting development status, response capacities and near- and long-term aspirations with regard to both climate and non-climate outcomes. Because adaptation and mitigation inevitably take place in the context of multiple objectives, particular attention is given to the ability to develop and implement integrated approaches that can build on co-benefits and manage trade-offs.

4.1 Common enabling factors and constraints for adaptation and mitigation responses

Adaptation and mitigation responses are underpinned by common enabling factors. These include effective institutions and governance, innovation and investments in environmentally sound technologies and infrastructure, sustainable livelihoods and behavioural and lifestyle choices.

Innovation and investments in environmentally sound infrastructure and technologies can reduce greenhouse gas (GHG) emissions and enhance resilience to climate change (*very high confidence*). Innovation and change can expand the availability and/or effectiveness of adaptation and mitigation options. For example, investments in low-carbon and carbon-neutral energy technologies can reduce the energy intensity of economic development, the carbon intensity of energy, GHG emissions, and the long-term costs of mitigation. Similarly, new technologies and infrastructure can increase the resilience of human systems while reducing adverse impacts on natural systems. Investments in technology and infrastructure rely on an enabling policy environment, access to finance and technology and broader economic development that builds capacity (Table 4.1, Section 4.4). *{WGII SPM C-2, Table SPM.1, Table TS.8, WGIII SPM.4.1, Table SPM.2, TS.3.1.1, TS 3.1.2, TS.3.2.1}*

Adaptation and mitigation are constrained by the inertia of global and regional trends in economic development, GHG emissions, resource consumption, infrastructure and settlement patterns, institutional behaviour and technology (*medium evidence, high agreement*). Such inertia may limit the capacity to reduce GHG emissions, remain below particular climate thresholds or avoid adverse impacts (Table 4.1). Some constraints may be overcome through new technologies, financial resources, increased institutional effectiveness and governance or changes in social and cultural attitudes and behaviours. *{WGII SPM C-1, WGIII SPM.3, SPM.4.2, Table SPM.2}*

Vulnerability to climate change, GHG emissions, and the capacity for adaptation and mitigation are strongly influenced by livelihoods, lifestyles, behaviour and culture (*medium evidence, medium agreement*) (Table 4.1). Shifts toward more energy-intensive lifestyles can contribute to higher energy and resource consumption, driving greater energy production and GHG emissions and increasing mitigation costs. In contrast, emissions can be substantially lowered through changes in consumption patterns (see 4.3 for details). The social acceptability and/or effectiveness of climate policies are influenced by the extent to which they incentivize or depend on regionally appropriate changes in lifestyles or behaviours. Similarly, livelihoods that depend on climate-sensitive sectors or resources may be particularly vulnerable to climate change and climate change policies. Economic development and urbanization of landscapes exposed to climate hazards may increase the exposure of human settlements and reduce the resilience of natural systems. *{WGII SPM A-2, SPM B-2, Table SPM.1, TS A-1, TS A-2, TS C-1, TS C-2, 16.3.2.7, WGIII SPM.4.2, TS.2.2, 4.2}*

For many regions and sectors, enhanced capacities to mitigate and adapt are part of the foundation essential for managing climate change risks (*high confidence*). Such capacities are place- and context-specific and therefore there is no single approach for reducing risk that is appropriate across all settings. For example, developing nations with low income levels have the lowest financial, technological and institutional capacities to pursue low-carbon, climate-resilient development pathways. Although developed nations generally have greater relative capacity to manage the risks of climate change, such capacity does not necessarily translate into the implementation of adaptation and mitigation options. *{WGII SPM B-1, SPM B-2, TS B-1, TS B-2, 16.3.1.1, 16.3.2, 16.5, WGIII SPM.5.1, TS.4.3, TS.4.5, 4.6}*

Improving institutions as well as enhancing coordination and cooperation in governance can help overcome regional constraints associated with mitigation, adaptation and disaster risk reduction (*very high confidence*). Despite the presence of a wide array of multilateral, national and sub-national institutions focused on adaptation and mitigation, global GHG emissions continue to increase and identified adaptation needs have not been adequately addressed. The implementation of effective adaptation and mitigation options may necessitate new institutions and institutional arrangements that span multiple scales (*medium confidence*) (Table 4.1). *{WGII SPM B-2, TS C-1, 16.3.2.4, 16.8, WGIII SPM.4.2.5, SPM.5.1, SPM.5.2, TS.1, TS.3.1.3, TS.4.1, TS.4.2, TS.4.4}*

Table 4.1 | Common factors that constrain the implementation of adaptation and mitigation options

Constraining Factor	Potential Implications for Adaptation	Potential Implications for Mitigation
Adverse externalities of population growth and urbanization	Increase exposure of human populations to climate variability and change as well as demands for, and pressures on, natural resources and ecosystem services *{WGII 16.3.2.3, Box 16-3}*	Drive economic growth, energy demand and energy consumption, resulting in increases in greenhouse gas emissions *{WGIII SPM.3}*
Deficits of knowledge, education and human capital	Reduce national, institutional and individual perceptions of the risks posed by climate change as well as the costs and benefits of different adaptation options *{WGII 16.3.2.1}*	Reduce national, institutional and individual risk perception, willingness to change behavioural patterns and practices and to adopt social and technological innovations to reduce emissions *{WGIII SPM.3, SPM.5.1, 2.4.1, 3.10.1.5, 4.3.5, 9.8, 11.8.1}*
Divergences in social and cultural attitudes, values and behaviours	Reduce societal consensus regarding climate risk and therefore demand for specific adaptation policies and measures *{WGII 16.3.2.7}*	Influence emission patterns, societal perceptions of the utility of mitigation policies and technologies, and willingness to pursue sustainable behaviours and technologies *{WGIII SPM.2, 2.4.5, 2.6.6.1, 3.7.2.2, 3.9.2, 4.3.4, 5.5.1}*
Challenges in governance and institutional arrangements	Reduce the ability to coordinate adaptation policies and measures and to deliver capacity to actors to plan and implement adaptation *{WGII 16.3.2.8}*	Undermine policies, incentives and cooperation regarding the development of mitigation policies and the implementation of efficient, carbon-neutral and renewable energy technologies *{WGIII SPM.3, SPM.5.2, 4.3.2, 6.4.3, 14.1.3.1, 14.3.2.2, 15.12.2, 16.5.3}*
Lack of access to national and international climate finance	Reduces the scale of investment in adaptation policies and measures and therefore their effectiveness *{WGII 16.3.2.5}*	Reduces the capacity of developed and, particularly, developing nations to pursue policies and technologies that reduce emissions. *{WGIII TS.4.3, 12.6.2, 16.2.2.2}*
Inadequate technology	Reduces the range of available adaptation options as well as their effectiveness in reducing or avoiding risk from increasing rates or magnitudes of climate change *{WGII 16.3.2.1}*	Slows the rate at which society can reduce the carbon intensity of energy services and transition toward low-carbon and carbon-neutral technologies *{WGIII TS.3.1.3, 4.3.6, 6.3.2.2, 11.8.4}*
Insufficient quality and/or quantity of natural resources	Reduce the coping range of actors, vulnerability to non-climatic factors and potential competition for resources that enhances vulnerability *{WGII 16.3.2.3}*	Reduce the long-term sustainability of different energy technologies *{WGIII 4.3.7, 4.4.1, 11.8.3}*
Adaptation and development deficits	Increase vulnerability to current climate variability as well as future climate change *{WGII TS A-1, Table TS 5, 16.3.2.4}*	Reduce mitigative capacity and undermine international cooperative efforts on climate owing to a contentious legacy of cooperation on development *{WGIII 4.3.1, 4.6.1}*
Inequality	Places the impacts of climate change and the burden of adaptation disproportionately on the most vulnerable and/or transfers them to future generations *{WGII TS B-2, Box TS 4, Box 13-1, 16.7}*	Constrains the ability for developing nations with low income levels, or different communities or sectors within nations, to contribute to greenhouse gas mitigation *{WGIII 4.6.2.1}*

4.2 Response options for adaptation

Adaptation options exist in all sectors, but their context for implementation and potential to reduce climate-related risks differs across sectors and regions. Some adaptation responses involve significant co-benefits, synergies and trade-offs. Increasing climate change will increase challenges for many adaptation options.

People, governments and the private sector are starting to adapt to a changing climate. Since the IPCC Fourth Assessment Report (AR4), understanding of response options has increased, with improved knowledge of their benefits, costs and links to sustainable development. Adaptation can take a variety of approaches depending on its context in vulnerability reduction, disaster risk management or proactive adaptation planning. These include (see Table 4.2 for examples and details):

- Social, ecological asset and infrastructure development
- Technological process optimization
- Integrated natural resources management
- Institutional, educational and behavioural change or reinforcement
- Financial services, including risk transfer
- Information systems to support early warning and proactive planning

There is increasing recognition of the value of social (including local and indigenous), institutional, and ecosystem-based measures and of the extent of constraints to adaptation. Effective strategies and actions consider the potential for co-benefits and opportunities within wider strategic goals and development plans. *{WGII SPM A-2, SPM C-1, TS A-2, 6.4, 8.3, 9.4, 15.3}*

Opportunities to enable adaptation planning and implementation exist in all sectors and regions, with diverse potential and approaches depending on context. The need for adaptation along with associated challenges is expected to increase with climate change (*very high confidence*). Examples of key adaptation approaches for particular sectors, including constraints and limits, are summarized below. *{WGII SPM B, SPM C, 16.4, 16.6, 17.2, 19.6, 19.7, Table 16.3}*

Table 4.2 | Approaches for managing the risks of climate change through adaptation. These approaches should be considered overlapping rather than discrete, and they are often pursued simultaneously. Examples are presented in no specific order and can be relevant to more than one category. *{WGII Table SPM.1}*

Overlapping Approaches	Category	Examples	WGII References
Vulnerability & Exposure Reduction through development, planning & practices including many low-regrets measures; **Adaptation** including incremental & transformational adjustments	Human development	Improved access to education, nutrition, health facilities, energy, safe housing & settlement structures, & social support structures; Reduced gender inequality & marginalization in other forms.	8.3, 9.3, 13.1-3, 14.2-3, 22.4
	Poverty alleviation	Improved access to & control of local resources; Land tenure; Disaster risk reduction; Social safety nets & social protection; Insurance schemes.	8.3-4, 9.3, 13.1-3
	Livelihood security	Income, asset & livelihood diversification; Improved infrastructure; Access to technology & decision-making fora; Increased decision-making power; Changed cropping, livestock & aquaculture practices; Reliance on social networks.	7.5, 9.4, 13.1-3, 22.3-4, 23.4, 26.5, 27.3, 29.6, Table SM24-7
	Disaster risk management	Early warning systems; Hazard & vulnerability mapping; Diversifying water resources; Improved drainage; Flood & cyclone shelters; Building codes & practices; Storm & wastewater management; Transport & road infrastructure improvements.	8.2-4, 11.7, 14.3, 15.4, 22.4, 24.4, 26.6, 28.4, Box 25-1, Table 3-3
	Ecosystem management	Maintaining wetlands & urban green spaces; Coastal afforestation; Watershed & reservoir management; Reduction of other stressors on ecosystems & of habitat fragmentation; Maintenance of genetic diversity; Manipulation of disturbance regimes; Community-based natural resource management.	4.3-4, 8.3, 22.4, Table 3-3, Boxes 4-3, 8-2, 15-1, 25-8, 25-9 & CC-EA
	Spatial or land-use planning	Provisioning of adequate housing, infrastructure & services; Managing development in flood prone & other high risk areas; Urban planning & upgrading programs; Land zoning laws; Easements; Protected areas.	4.4, 8.1-4, 22.4, 23.7-8, 27.3, Box 25-8
	Structural/physical	***Engineered & built-environment options***: Sea walls & coastal protection structures; Flood levees; Water storage; Improved drainage; Flood & cyclone shelters; Building codes & practices; Storm & wastewater management; Transport & road infrastructure improvements; Floating houses; Power plant & electricity grid adjustments.	3.5-6, 5.5, 8.2-3, 10.2, 11.7, 23.3, 24.4, 25.7, 26.3, 26.8, Boxes 15-1, 25-1, 25-2 & 25-8
		Technological options: New crop & animal varieties; Indigenous, traditional & local knowledge, technologies & methods; Efficient irrigation; Water-saving technologies; Desalinisation; Conservation agriculture; Food storage & preservation facilities; Hazard & vulnerability mapping & monitoring; Early warning systems; Building insulation; Mechanical & passive cooling; Technology development, transfer & diffusion.	7.5, 8.3, 9.4, 10.3, 15.4, 22.4, 24.4, 26.3, 26.5, 27.3, 28.2, 28.4, 29.6-7, Boxes 20-5 & 25-2, Tables 3-3 & 15-1
		Ecosystem-based options: Ecological restoration; Soil conservation; Afforestation & reforestation; Mangrove conservation & replanting; Green infrastructure (e.g., shade trees, green roofs); Controlling overfishing; Fisheries co-management; Assisted species migration & dispersal; Ecological corridors; Seed banks, gene banks & other *ex situ* conservation; Community-based natural resource management.	4.4, 5.5, 6.4, 8.3, 9.4, 11.7, 15.4, 22.4, 23.6-7, 24.4, 25.6, 27.3, 28.2, 29.7, 30.6, Boxes 15-1, 22-2, 25-9, 26-2 & CC-EA
		Services: Social safety nets & social protection; Food banks & distribution of food surplus; Municipal services including water & sanitation; Vaccination programs; Essential public health services; Enhanced emergency medical services.	3.5-6, 8.3, 9.3, 11.7, 11.9, 22.4, 29.6, Box 13-2
	Institutional	***Economic options***: Financial incentives; Insurance; Catastrophe bonds; Payments for ecosystem services; Pricing water to encourage universal provision and careful use; Microfinance; Disaster contingency funds; Cash transfers; Public-private partnerships.	8.3-4, 9.4, 10.7, 11.7, 13.3, 15.4, 17.5, 22.4, 26.7, 27.6, 29.6, Box 25-7
		Laws & regulations: Land zoning laws; Building standards & practices; Easements; Water regulations & agreements; Laws to support disaster risk reduction; Laws to encourage insurance purchasing; Defined property rights & land tenure security; Protected areas; Fishing quotas; Patent pools & technology transfer.	4.4, 8.3, 9.3, 10.5, 10.7, 15.2, 15.4, 17.5, 22.4, 23.4, 23.7, 24.4, 25.4, 26.3, 27.3, 30.6, Table 25-2, Box CC-CR
		National & government policies & programs: National & regional adaptation plans including mainstreaming; Sub-national & local adaptation plans; Economic diversification; Urban upgrading programs; Municipal water management programs; Disaster planning & preparedness; Integrated water resource management; Integrated coastal zone management; Ecosystem-based management; Community-based adaptation.	2.4, 3.6, 4.4, 5.5, 6.4, 7.5, 8.3, 11.7, 15.2-5, 22.4, 23.7, 25.4, 25.8, 26.8-9, 27.3-4, 29.6, Boxes 25-1, 25-2 & 25-9, Tables 9-2 & 17-1
	Social	***Educational options***: Awareness raising & integrating into education; Gender equity in education; Extension services; Sharing indigenous, traditional & local knowledge; Participatory action research & social learning; Knowledge-sharing & learning platforms.	8.3-4, 9.4, 11.7, 12.3, 15.2-4, 22.4, 25.4, 28.4, 29.6, Tables 15-1 & 25-2
		Informational options: Hazard & vulnerability mapping; Early warning & response systems; Systematic monitoring & remote sensing; Climate services; Use of indigenous climate observations; Participatory scenario development; Integrated assessments.	2.4, 5.5, 8.3-4, 9.4, 11.7, 15.2-4, 22.4, 23.5, 24.4, 25.8, 26.6, 26.8, 27.3, 28.2, 28.5, 30.6, Table 25-2, Box 26-3
		Behavioural options: Household preparation & evacuation planning; Migration; Soil & water conservation; Storm drain clearance; Livelihood diversification; Changed cropping, livestock & aquaculture practices; Reliance on social networks.	5.5, 7.5, 9.4, 12.4, 22.3-4, 23.4, 23.7, 25.7, 26.5, 27.3, 29.6, Table SM24-7, Box 25-5
Transformation	Spheres of change	***Practical***: Social & technical innovations, behavioural shifts, or institutional & managerial changes that produce substantial shifts in outcomes.	8.3, 17.3, 20.5, Box 25-5
		Political: Political, social, cultural & ecological decisions & actions consistent with reducing vulnerability & risk & supporting adaptation, mitigation & sustainable development.	14.2-3, 20.5, 25.4, 30.7, Table 14-1
		Personal: Individual & collective assumptions, beliefs, values & worldviews influencing climate-change responses.	14.2-3, 20.5, 25.4, Table 14-1

Freshwater resources

Adaptive water management techniques, including scenario planning, learning-based approaches and flexible and low-regret solutions, can help adjust to uncertain hydrological changes due to climate change and their impacts (*limited evidence, high agreement*). Strategies include adopting integrated water management, augmenting supply, reducing the mismatch between water supply and demand, reducing non-climate stressors, strengthening institutional capacities and adopting more water-efficient technologies and water-saving strategies. *{WGII SPM B-2, Assessment Box SPM.2 Table 1, SPM B-3, 3.6, 22.3–22.4, 23.4, 23.7, 24.4, 27.2–27.3, Box 25-2}*

Terrestrial and freshwater ecosystems

Management actions can reduce but not eliminate risks of impacts to terrestrial and freshwater ecosystems due to climate change (*high confidence*). Actions include maintenance of genetic diversity, assisted species migration and dispersal, manipulation of disturbance regimes (e.g., fires, floods) and reduction of other stressors. Management options that reduce non-climatic stressors, such as habitat modification, overexploitation, pollution and invasive species, increase the inherent capacity of ecosystems and their species to adapt to a changing climate. Other options include improving early warning systems and associated response systems. Enhanced connectivity of vulnerable ecosystems may also assist autonomous adaptation. Translocation of species is controversial and is expected to become less feasible where whole ecosystems are at risk. *{WGII SPM B-2, SPM B-3, Figure SPM.5, Table TS.8, 4.4, 25.6, 26.4, Box CC-RF}*

Coastal systems and low-lying areas

Increasingly, coastal adaptation options include those based on integrated coastal zone management, local community participation, ecosystems-based approaches and disaster risk reduction, mainstreamed into relevant strategies and management plans (*high confidence*). The analysis and implementation of coastal adaptation has progressed more significantly in developed countries than in developing countries (*high confidence*). The relative costs of coastal adaptation are expected to vary strongly among and within regions and countries. *{WGII SPM B-2, SPM B-3, 5.5, 8.3, 22.3, 24.4, 26.8, Box 25-1}*

Marine systems and oceans

Marine forecasting and early warning systems as well as reducing non-climatic stressors have the potential to reduce risks for some fisheries and aquaculture industries, but options for unique ecosystems such as coral reefs are limited (*high confidence*). Fisheries and some aquaculture industries with high-technology and/or large investments have high capacities for adaptation due to greater development of environmental monitoring, modelling and resource assessments. Adaptation options include large-scale translocation of industrial fishing activities and flexible management that can react to variability and change. For smaller-scale fisheries and nations with limited adaptive capacities, building social resilience, alternative livelihoods and occupational flexibility are important strategies. Adaptation options for coral reef systems are generally limited to reducing other stressors, mainly by enhancing water quality and limiting pressures from tourism and fishing, but their efficacy will be severely reduced as thermal stress and ocean acidification increase. *{WGII SPM B-2, SPM Assessment Box SPM.2 Table 1, TS B-2, 5.5, 6.4, 7.5, 25.6.2, 29.4, 30.6-7, Box CC-MB, Box CC-CR}*

Food production system/Rural areas

Adaptation options for agriculture include technological responses, enhancing smallholder access to credit and other critical production resources, strengthening institutions at local to regional levels and improving market access through trade reform (*medium confidence*). Responses to decreased food production and quality include: developing new crop varieties adapted to changes in CO_2, temperature, and drought; enhancing the capacity for climate risk management; and offsetting economic impacts of land use change. Improving financial support and investing in the production of small-scale farms can also provide benefits. Expanding agricultural markets and improving the predictability and reliability of the world trading system could result in reduced market volatility and help manage food supply shortages caused by climate change. *{WGII SPM B-2, SPM B-3, 7.5, 9.3, 22.4, 22.6, 25.9, 27.3}*

Urban areas/Key economic sectors and services

Urban adaptation benefits from effective multi-level governance, alignment of policies and incentives, strengthened local government and community adaptation capacity, synergies with the private sector and appropriate financing and institutional development (*medium confidence*). Enhancing the capacity of low-income groups and vulnerable communities and their partnerships with local governments can also be an effective urban climate adaptation strategy. Examples of adaptation mechanisms include large-scale public-private risk reduction initiatives and economic diversification and government insurance for the non-diversifiable portion of risk. In some locations, especially at the upper end of projected climate changes, responses could also require transformational changes such as managed retreat. *{WGII SPM B-2, 8.3–8.4, 24.4, 24.5, 26.8, Box 25-9}*

Human health, security and livelihoods

Adaptation options that focus on strengthening existing delivery systems and institutions, as well as insurance and social protection strategies, can improve health, security and livelihoods in the near term (*high confidence*). The most effective vulnerability reduction measures for health in the near term are programmes that implement and improve basic public health measures such as provision of clean water and sanitation, secure essential health care including vaccination and child health services, increase capacity for disaster preparedness and response and alleviate poverty (*very high confidence*). Options to address heat related mortality include health warning systems linked to response strategies, urban planning and improvements to the built environment to reduce heat stress. Robust institutions can manage many transboundary impacts of climate change to reduce risk of conflicts over shared natural resources. Insurance programmes, social protection measures and disaster risk management may enhance long-term livelihood resilience among the poor and marginalized people, if policies address multi-dimensional poverty. *{WGII SPM B-2, SPM B-3, 8.2, 10.8, 11.7–11.8, 12.5–12.6, 22.3, 23.9, 25.8, 26.6, Box CC-HS}*

Table 4.3 | Examples of potential trade-offs associated with an illustrative set of adaptation options that could be implemented by actors to achieve specific management objectives. *{WGII Table 16-2}*

Sector	Actor's adaptation objective	Adaptation option	Real or perceived trade-off
Agriculture	Enhance drought and pest resistance; enhance yields	Biotechnology and genetically modified crops	Perceived risk to public health and safety; ecological risks associated with introduction of new genetic variants to natural environments
	Provide financial safety net for farmers to ensure continuation of farming enterprises	Subsidized drought assistance; crop insurance	Creates moral hazard and distributional inequalities if not appropriately administered
	Maintain or enhance crop yields; suppress opportunistic agricultural pests and invasive species	Increased use of chemical fertilizer and pesticides	Increased discharge of nutrients and chemical pollution to the environment; adverse impacts of pesticide use on non-target species; increased emissions of greenhouse gases; increased human exposure to pollutants
Biodiversity	Enhance capacity for natural adaptation and migration to changing climatic conditions	Migration corridors; expansion of conservation areas	Unknown efficacy; concerns over property rights regarding land acquisition; governance challenges
	Enhance regulatory protections for species potentially at risk due to climate and non-climatic changes	Protection of critical habitat for vulnerable species	Addresses secondary rather than primary pressures on species; concerns over property rights; regulatory barriers to regional economic development
	Facilitate conservation of valued species by shifting populations to alternative areas as the climate changes	Assisted migration	Difficult to predict ultimate success of assisted migration; possible adverse impacts on indigenous flora and fauna from introduction of species into new ecological regions
Coasts	Provide near-term protection to financial assets from inundation and/or erosion	Sea walls	High direct and opportunity costs; equity concerns; ecological impacts to coastal wetlands
	Allow natural coastal and ecological processes to proceed; reduce long-term risk to property and assets	Managed retreat	Undermines private property rights; significant governance challenges associated with implementation
	Preserve public health and safety; minimize property damage and risk of stranded assets	Migration out of low-lying areas	Loss of sense of place and cultural identity; erosion of kinship and familial ties; impacts to receiving communities
Water resources management	Increase water resource reliability and drought resilience	Desalination	Ecological risk of saline discharge; high energy demand and associated carbon emissions; creates disincentives for conservation
	Maximize efficiency of water management and use; increase flexibility	Water trading	Undermines public good/social aspects of water
	Enhance efficiency of available water resources	Water recycling/reuse	Perceived risk to public health and safety

Significant co-benefits, synergies and trade-offs exist between adaptation and mitigation and among different adaptation responses; interactions occur both within and across regions and sectors (*very high confidence*). For example, investments in crop varieties adapted to climate change can increase the capacity to cope with drought, and public health measures to address vector-borne diseases can enhance the capacity of health systems to address other challenges. Similarly, locating infrastructure away from low-lying coastal areas helps settlements and ecosystems adapt to sea level rise while also protecting against tsunamis. However, some adaptation options may have adverse side effects that imply real or perceived trade-offs with other adaptation objectives (see Table 4.3 for examples), mitigation objectives or broader development goals. For example, while protection of ecosystems can assist adaptation to climate change and enhance carbon storage, increased use of air conditioning to maintain thermal comfort in buildings or the use of desalination to enhance water resource security can increase energy demand, and therefore, GHG emissions. *{WGII SPM B-2, SPM C-1, 5.4.2, 16.3.2.9, 17.2.3.1, Table 16-2}*

4.3 Response options for mitigation

Mitigation options are available in every major sector. Mitigation can be more cost-effective if using an integrated approach that combines measures to reduce energy use and the greenhouse gas intensity of end-use sectors, decarbonize energy supply, reduce net emissions and enhance carbon sinks in land-based sectors.

A broad range of sectoral mitigation options is available that can reduce GHG emission intensity, improve energy intensity through enhancements of technology, behaviour, production and resource efficiency and enable structural changes or changes in activity. In addition, direct options in agriculture, forestry and other land use (AFOLU) involve reducing CO_2 emissions by reducing deforestation, forest degradation and forest fires; storing carbon in terrestrial systems (for example, through afforestation); and providing bioenergy feedstocks. Options to reduce non-CO_2 emissions exist across all sectors but most notably in agriculture, energy supply and

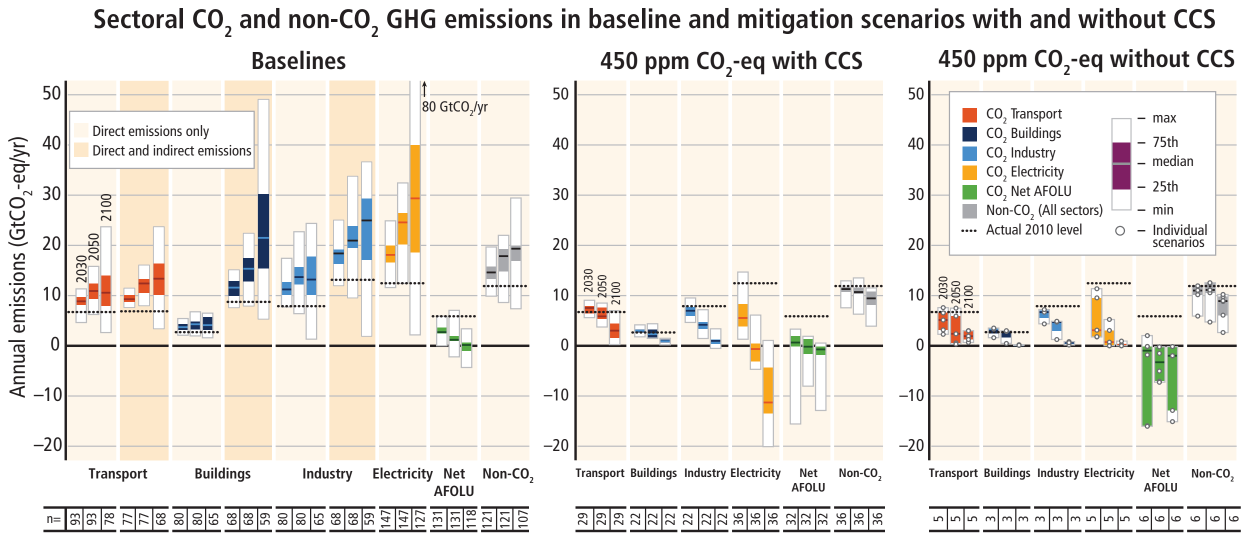


Figure 4.1 | Carbon dioxide (CO_2) emissions by sector and total non-CO_2 greenhouse gas (GHG) emissions (Kyoto gases) across sectors in baseline (left panel) and mitigation scenarios that reach about 450 (430 to 480) ppm CO_2-eq (*likely* to limit warming to 2°C above pre-industrial levels) with carbon dioxide capture and storage (CCS, middle panel) and without CCS (right panel). Light yellow background denotes direct CO_2 and non-CO_2 GHG emissions for both the baseline and mitigation scenarios. In addition, for the baseline scenarios, the sum of direct and indirect emissions from the energy end-use sectors (transport, buildings and industry) is also shown (dark yellow background). Mitigation scenarios show direct emissions only. However, mitigation in the end-use sectors leads also to indirect emissions reductions in the upstream energy supply sector. Direct emissions of the end-use sectors thus do not include the emission reduction potential at the supply-side due to, for example, reduced electricity demand. Note that for calculating the indirect emissions only electricity emissions are allocated from energy supply to end-use sectors. The numbers at the bottom of the graphs refer to the number of scenarios included in the range, which differs across sectors and time due to different sectoral resolution and time horizon of models. Note that many models cannot reach concentrations of about 450 ppm CO_2-eq by 2100 in the absence of CCS, resulting in a low number of scenarios for the right panel. Negative emissions in the electricity sector are due to the application of bioenergy with carbon dioxide capture and storage (BECCS). 'Net' agriculture, forestry and other land use (AFOLU) emissions consider afforestation, reforestation as well as deforestation activities. *{WGIII Figure SPM.7, Figure TS.15}*

industry. An overview of sectoral mitigation options and potentials is provided in Table 4.4. *{WGIII TS 3.2.1}*

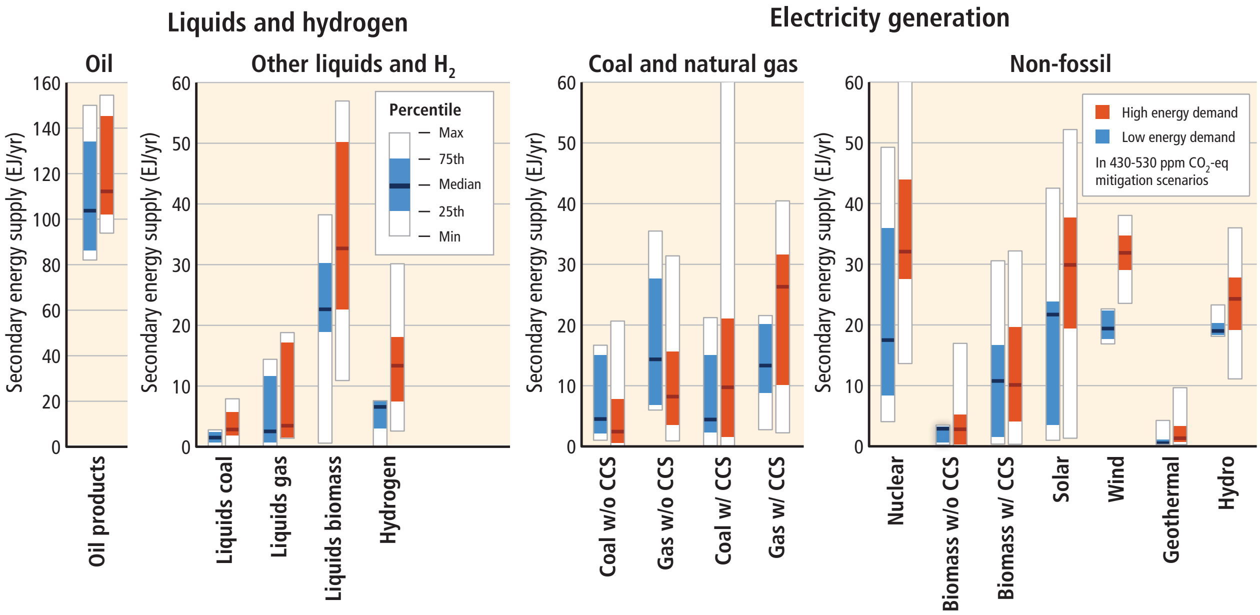
Well-designed systemic and cross-sectoral mitigation strategies are more cost-effective in cutting emissions than a focus on individual technologies and sectors with efforts in one sector affecting the need for mitigation in others (*medium confidence*). In baseline scenarios without new mitigation policies, GHG emissions are projected to grow in all sectors, except for net CO_2 emissions in the AFOLU sector (Figure 4.1, left panel). Mitigation scenarios reaching around 450 ppm CO_2-eq[42] concentration by 2100[43] (*likely* to limit warming to 2°C above pre-industrial levels) show large-scale global changes in the energy supply sector (Figure 4.1, middle and right panel). While rapid decarbonization of energy supply generally entails more flexibility for end-use and AFOLU sectors, stronger demand reductions lessen the mitigation challenge for the supply side of the energy system (Figures 4.1 and 4.2). There are thus strong interdependencies across sectors and the resulting distribution of the mitigation effort is strongly influenced by the availability and performance of future technologies, particularly BECCS and large scale afforestation (Figure 4.1, middle and right panel). The next two decades present a window of opportunity for mitigation in urban areas, as a large portion of the world's urban areas will be developed during this period. *{WGIII SPM.4.2, TS.3.2}*

Decarbonizing (i.e., reducing the carbon intensity of) electricity generation is a key component of cost-effective mitigation strategies in achieving low stabilization levels (of about 450 to about 500 ppm CO_2-eq, at least *about as likely as not* to limit warming to 2°C above pre-industrial levels) (*medium evidence, high agreement*). In most integrated modelling scenarios, decarbonization happens more rapidly in electricity generation than in the industry, buildings and transport sectors. In scenarios reaching 450 ppm CO_2-eq concentrations by 2100, global CO_2 emissions from the energy supply sector are projected to decline over the next decade and are characterized by reductions of 90% or more below 2010 levels between 2040 and 2070. *{WGIII SPM.4.2, 6.8, 7.11}*

Efficiency enhancements and behavioural changes, in order to reduce energy demand compared to baseline scenarios without compromising development, are a key mitigation strategy in scenarios reaching atmospheric CO_2-eq concentrations of about 450 to about 500 ppm by 2100 (*robust evidence, high agreement*). Near-term reductions in energy demand are an important

[42] See Glossary for definition of CO_2-eq concentrations and emissions; also Box 3.2 for metrics to calculate the CO_2-equivalence of non-CO_2 emissions and their influence on sectoral abatement strategies.

[43] For comparison, the CO_2-eq concentration in 2011 is estimated to be 430 [340 to 520] ppm.



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High energy demand scenarios show higher levels of oil supply.	In high energy demand scenarios, alternative liquid and hydrogen technologies are scaled up more rapidly.	High energy demand scenarios show a more rapid up-scaling of CCS technologies but a more rapid phase-out of unabated fossil fuel conversion technologies.	In high energy demand scenarios non-fossil electricity generation technologies are scaled up more rapidly.

Figure 4.2 | Influence of energy demand on the deployment of energy supply technologies in 2050 in mitigation scenarios reaching about 450 to about 500 ppm CO_2-eq concentrations by 2100 (at least *about as likely as not* to limit warming to 2°C above pre-industrial levels). Blue bars for 'low energy demand' show the deployment range of scenarios with limited growth in final energy demand of <20% in 2050 compared to 2010. Red bars show the deployment range of technologies in a case of 'high energy demand' (>20% growth in 2050 compared to 2010). For each technology, the median, interquartile and full deployment range is displayed. Notes: Scenarios assuming technology restrictions are excluded. Ranges include results from many different integrated models. Multiple scenario results from the same model were averaged to avoid sampling biases. *{WGIII Figure TS.16}*

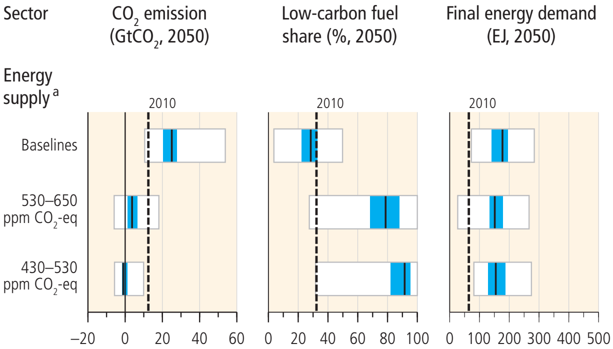
element of cost-effective mitigation strategies, provide more flexibility for reducing carbon intensity in the energy supply sector, hedge against related supply-side risks, avoid lock-in to carbon-intensive infrastructures and are associated with important co-benefits (Figure 4.2, Table 4.4). Emissions can be substantially lowered through changes in consumption patterns (e.g., mobility demand and mode, energy use in households, choice of longer-lasting products) and dietary change and reduction in food wastes. A number of options including monetary and non-monetary incentives as well as information measures may facilitate behavioural changes. *{WGIII SPM.4.2}*

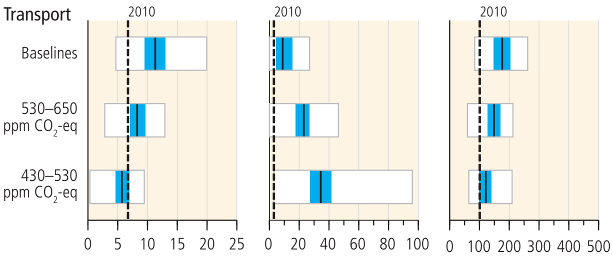
Decarbonization of the energy supply sector (i.e., reducing the carbon intensity) requires upscaling of low- and zero-carbon electricity generation technologies (*high confidence*). In the majority of low-concentration stabilization scenarios (about 450 to about 500 ppm CO_2-eq , at least *about as likely as not* to limit warming to 2°C above pre-industrial levels), the share of low-carbon electricity supply (comprising renewable energy (RE), nuclear and CCS, including BECCS) increases from the current share of approximately 30% to more than 80% by 2050 and 90% by 2100, and fossil fuel power generation without CCS is phased out almost entirely by 2100. Among these low-carbon technologies, a growing number of RE technologies have achieved a level of maturity to enable deployment at significant scale since AR4 (*robust evidence, high agreement*) and nuclear energy is a mature low-GHG emission source of baseload power, but its share of global electricity generation has been declining (since 1993). GHG emissions from energy supply can be reduced significantly by replacing current world average coal-fired power plants with modern, highly efficient natural gas combined-cycle power plants or combined heat and power plants, provided that natural gas is available and the fugitive emissions associated with extraction and supply are low or mitigated. *{WGIII SPM.4.2}*

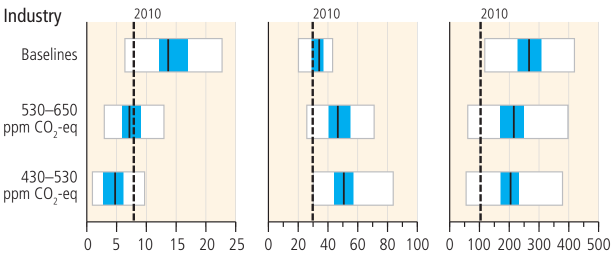
Behaviour, lifestyle and culture have a considerable influence on energy use and associated emissions, with high mitigation potential in some sectors, in particular when complementing technological and structural change (*medium evidence, medium agreement*). In the transport sector, technical and behavioural mitigation measures for all modes, plus new infrastructure and urban redevelopment investments, could reduce final energy demand significantly below baseline levels (*robust evidence, medium agreement*) (Table 4.4). While opportunities for switching to low-carbon fuels exist, the rate of decarbonization in the transport sector might be constrained by challenges associated with energy storage and the relatively low

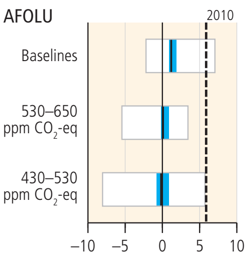
Table 4.4 | Sectoral carbon dioxide (CO_2) emissions, associated energy system changes and examples of mitigation measures (including for non-CO_2 gases; see Box 3.2 for metrics regarding the weighting and abatement of non-CO_2 emissions). *{WGIII SPM.7, Figure SPM.8, Table TS.2, 7.11.3, 7.13, 7.14}*

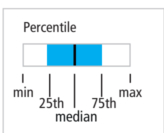
Sectoral CO_2 emissions and related energy system changes











Examples for sectoral mitigation measures

Sector	Key low-carbon energy options	Key energy saving options	Other options
Energy supply	Renewables (wind, solar bioenergy, geothermal, hydro, etc.), nuclear, CCS, BECCS, fossil fuel switching	Energy efficiency improvements of energy supply technologies, improved transmission and distribution, CHP and cogeneration	Fugitive CH_4 emissions control
Transport	Fuel switching to low-carbon fuels (e.g., hydrogen/electricity from low-carbon sources), biofuels	Efficiency improvements (engines, vehicle design, appliances, lighter materials), modal shift (e.g., from LDVs to public transport or from aviation to HDVs to rail), eco-driving, improved freight logistics, journey avoidance, higher occupancy rates	Transport (infrastructure) planning, urban planning
Building	Building integrated RES, fuel switching to low-carbon fuels (e.g., electricity from low-carbon sources, biofuels)	Device efficiency (heating/cooling systems, water heating, cooking, lighting, appliances), systemic efficiency (integrated design, low/zero energy buildings, district heating/cooling, CHP, smart meters/grids), behavioural and lifestyle changes (e.g., appliance use, thermostat setting, dwelling size)	Urban planning, building lifetime, durability of building components and appliances, low energy/GHG intensive construction and materials
Industry	Process emissions reductions, use of waste and CCS in industry, fuel switching among fossil fuels and switch to low-carbon energy (e.g., electricity) or biomass	Energy efficiency and BAT (e.g., furnace/boilers, steam systems, electric motors and control systems, (waste) heat exchanges, recycling), reduction of demand for goods, more intensive use of goods (e.g., improve durability or car sharing)	HFC replacement and leak repair, material efficiency (e.g., process innovation, re-using old materials, product design, etc.)

Emissions reduction measures: Methane (e.g., livestock management), nitrous oxide (e.g., fertilizer use), conservation of existing carbon pools (sustainable forest management, reduced deforestation and forest degradation, fire prevention, agroforestry), reduction in emissions intensity

Sequestration options: Increasing existing carbon pools (e.g., afforestation, reforestation, integrated systems, carbon sequestration in soils)

Substitution options: Use of biological products instead of fossil/GHG intensive products (e.g., bioenergy, insulation products)

Demand-side measures: Reduction of loss and waste of food, changes in human diets, use of long-lived wood products

[a] CO_2 emissions, low carbon fuel shares, and final energy demand are shown for electricity generation only

energy density of low-carbon transport fuels (*medium confidence*). In the building sector, recent advances in technologies, know-how and policies provide opportunities to stabilize or reduce global energy use to about current levels by mid-century. In addition, recent improvements in performance and costs make very low energy construction and retrofits of buildings economically attractive, sometimes even at net negative costs (*robust evidence, high agreement*). In the industry sector, improvements in GHG emission efficiency and in the efficiency of material use, recycling and reuse of materials and products, and overall reductions in product demand (e.g., through a more intensive use of products) and service demand could, in addition to energy efficiency, help reduce GHG emissions below the baseline level. Prevalent approaches for promoting energy efficiency in industry include information programmes followed by economic instruments, regulatory approaches and voluntary actions. Important options for mitigation in waste management are waste reduction, followed by re-use, recycling and energy recovery (*robust evidence, high agreement*). *{WGIII SPM.4.2, Box TS.12, TS.3.2}*

The most cost-effective mitigation options in forestry are afforestation, sustainable forest management and reducing deforestation, with large differences in their relative importance across regions. In agriculture, the most cost-effective mitigation options are cropland management, grazing land management and restoration of organic soils (*medium evidence, high agreement*). About a third of mitigation potential in forestry can be achieved at a cost <20 USD/tCO_2-eq emission. Demand-side measures, such as changes in diet and reductions of losses in the food supply chain, have a significant, but uncertain, potential to reduce GHG emissions from food production (*medium evidence, medium agreement*). *{WGIII SPM 4.2.4}*

Bioenergy can play a critical role for mitigation, but there are issues to consider, such as the sustainability of practices and the efficiency of bioenergy systems (*robust evidence, medium agreement*). Evidence suggests that bioenergy options with low lifecycle emissions, some already available, can reduce GHG emissions; outcomes are site-specific and rely on efficient integrated 'biomass-to-bioenergy systems', and sustainable land use management and governance. Barriers to large-scale deployment of bioenergy include concerns about GHG emissions from land, food security, water resources, biodiversity conservation and livelihoods. *{WGIII SPM.4.2}*

Mitigation measures intersect with other societal goals, creating the possibility of co-benefits or adverse side-effects. These intersections, if well-managed, can strengthen the basis for undertaking climate mitigation actions (*robust evidence, medium agreement*). Mitigation can positively or negatively influence the achievement of other societal goals, such as those related to human health, food security, biodiversity, local environmental quality, energy access, livelihoods and equitable sustainable development (see also Section 4.5). On the other hand, policies towards other societal goals can influence the achievement of mitigation and adaptation objectives. These influences can be substantial, although sometimes difficult to quantify, especially in welfare terms. This multi-objective perspective is important in part because it helps to identify areas where support for policies that advance multiple goals will be robust. Potential co-benefits and adverse side effects of the main sectoral mitigation measures are summarized in Table 4.5. Overall, the potential for co-benefits for energy end-use measures outweigh the potential for adverse side effects, whereas the evidence suggests this may not be the case for all energy supply and AFOLU measures. *{WGIII SPM.2}*

4.4 Policy approaches for adaptation and mitigation, technology and finance

Effective adaptation and mitigation responses will depend on policies and measures across multiple scales: international, regional, national and sub-national. Policies across all scales supporting technology development, diffusion and transfer, as well as finance for responses to climate change, can complement and enhance the effectiveness of policies that directly promote adaptation and mitigation.

4.4.1 International and regional cooperation on adaptation and mitigation

Because climate change has the characteristics of a collective action problem at the global scale (see 3.1), effective mitigation will not be achieved if individual agents advance their own interests independently, even though mitigation can also have local co-benefits. Cooperative responses, including international cooperation, are therefore required to effectively mitigate GHG emissions and address other climate change issues. While adaptation focuses primarily on local to national scale outcomes, its effectiveness can be enhanced through coordination across governance scales, including international cooperation. In fact, international cooperation has helped to facilitate the creation of adaptation strategies, plans, and actions at national, sub-national, and local levels. A variety of climate policy instruments have been employed, and even more could be employed, at international and regional levels to address mitigation and to support and promote adaptation at national and sub-national scales. Evidence suggests that outcomes seen as equitable can lead to more effective cooperation. *{WGII SPM C-1, 2.2, 15.2, WGIII 13.ES, 14.3, 15.8, SREX SPM, 7.ES}*

The United Nations Framework Convention on Climate Change (UNFCCC) is the main multilateral forum focused on addressing climate change, with nearly universal participation. UNFCCC activities since 2007, which include the 2010 Cancún Agreements and the 2011 Durban Platform for Enhanced Action, have sought to enhance actions under the Convention, and have led to an increasing number of institutions and other arrangements for international climate change cooperation. Other institutions organized at different levels of governance have resulted in diversifying international climate change cooperation. *{WGIII SPM.5.2, 13.5}*

Existing and proposed international climate change cooperation arrangements vary in their focus and degree of centralization and coordination. They span: multilateral agreements, harmonized national policies and decentralized but coordinated national policies, as well as regional and regionally-coordinated policies (see Figure 4.3). *{WGIII SPM.5.2}*

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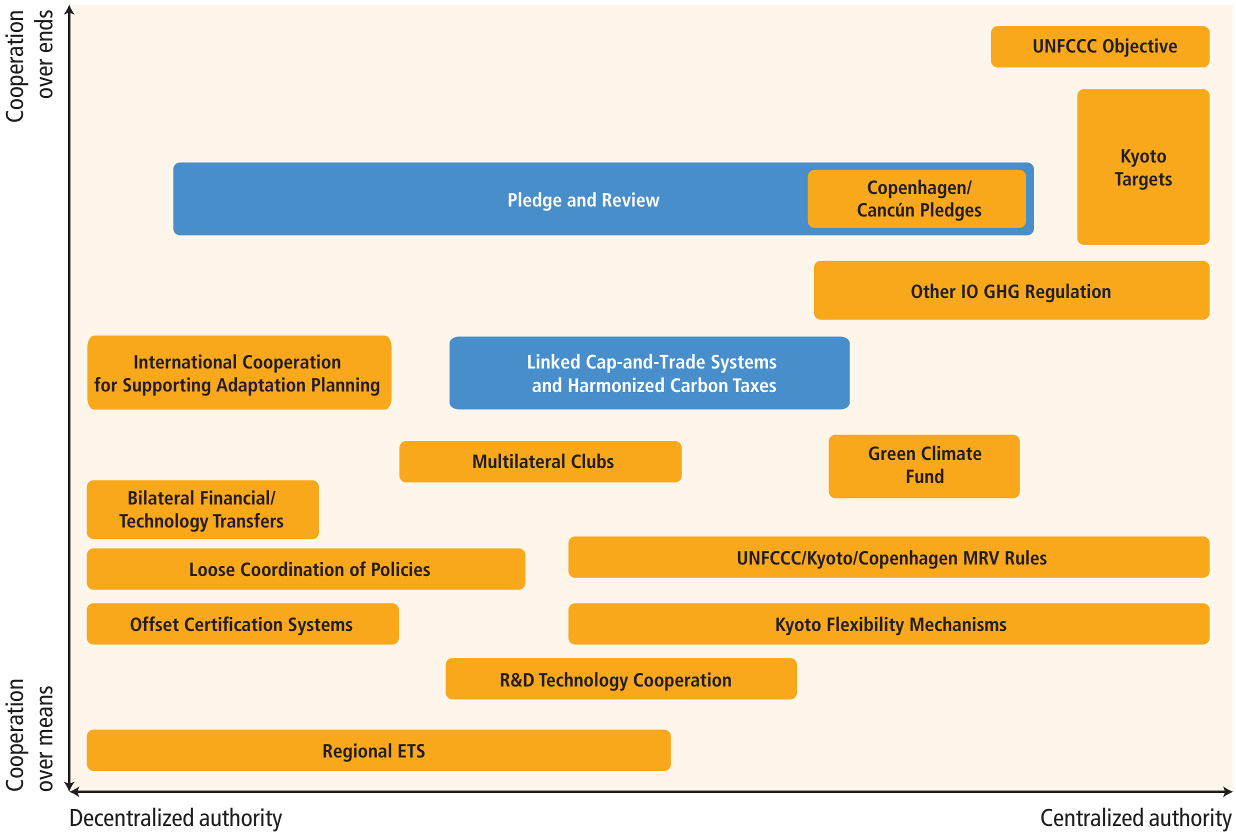
Table 4.5 | Potential co-benefits (blue text) and adverse side effects (red text) of the main sectoral mitigation measures. Co-benefits and adverse side effects, and their overall positive or negative effect, all depend on local circumstances as well as on the implementation practice, pace and scale. For an assessment of macroeconomic, cross-sectoral effects associated with mitigation policies, see Section 3.4. The uncertainty qualifiers between brackets denote the level of evidence and agreement on the respective effect. Abbreviations for evidence: l = limited, m = medium, r = robust; for agreement: l = low, m = medium, h = high. *{WGIII Table TS.3, Table TS.4, Table TS.5, Table TS.6, Table TS.7, Table 6.7}*

Sectoral mitigation measures	Effect on additional objectives/concerns		
	Economic	Social	Environmental
Energy Supply	*For possible upstream effects of biomass supply for bioenergy, see AFOLU.*		
Nuclear replacing coal power	Energy security (reduced exposure to fuel price volatility) (***m/m***); local employment impact (but uncertain net effect) (***l/m***); legacy/cost of waste and abandoned reactors (***m/h***)	Mixed health impact via reduced air pollution and coal mining accidents (***m/h***), nuclear accidents and waste treatment, uranium mining and milling (***m/l***); safety and waste concerns (***r/h***); proliferation risk (***m/m***)	Mixed ecosystem impact via reduced air pollution (***m/h***) and coal mining (***l/h***), nuclear accidents (***m/m***)
Renewable energy (wind, PV, CSP, hydro, geothermal, bioenergy) replacing coal	Energy security (***r/m***); local employment (but uncertain net effect) (***m/m***); water management (for some hydro energy) (***m/h***); extra measures to match demand (for PV, wind, some CSP) (***r/h***); higher use of critical metals for PV and direct drive wind turbines (***r/m***)	Reduced health impact via reduced air pollution (except bioenergy) (***r/h***) and coal mining accidents (***m/h***); contribution to (off-grid) energy access (***m/l***); threat of displacement (for large hydro installations) (***m/h***)	Mixed ecosystem impact via reduced air pollution (except bioenergy) (***m/h***) and coal mining (***l/h***), habitat impact (for some hydro energy) (***m/m***), landscape and wildlife impact (***m/m***); lower/higher water use (for wind, PV (***m/m***); bioenergy, CSP, geothermal and reservoir hydro (***m/h***))
Fossil energy with CCS replacing coal	Preservation vs. lock-in of human and physical capital in the fossil industry (***m/m***); long-term monitoring of CO_2 storage (***m/h***)	Health impact via risk of CO_2 leakage (***m/m***) and additional upstream supply-chain activities (***m/h***); safety concerns (CO_2 storage and transport) (***m/h***)	Ecosystem impact via additional upstream supply-chain activities (***m/m***) and higher water use (***m/h***)
CH_4 leakage prevention, capture or treatment	Energy security (potential to use gas in some cases) (***l/h***)	Reduced health impact via reduced air pollution (***m/m***); occupational safety at coal mines (***m/m***)	Reduced ecosystem impact via reduced air pollution (***l/m***)
Transport	*For possible upstream effects of low-carbon electricity, see Energy Supply. For biomass supply, see AFOLU.*		
Reduction of carbon intensity of fuel	Energy security (diversification, reduced oil dependence and exposure to oil price volatility) (***m/m***); technological spillovers (***l/l***)	Mixed health impact via increased/reduced urban air pollution by electricity and hydrogen (***r/h***), diesel (***l/m***); road safety concerns (***l/l***) but reduced health impact via reduced noise (***l/m***) of electric LDVs	Mixed ecosystem impact of electricity and hydrogen via reduced urban air pollution (***m/m***) and material use (unsustainable mining) (***l/l***)
Reduction of energy intensity	Energy security (reduced oil dependence and exposure to oil price volatility) (***m/m***)	Reduced health impact via reduced urban air pollution (***r/h***); road safety (crash-worthiness depending on the design of the standards) (***m/m***)	Reduced ecosystem and biodiversity impact via reduced urban air pollution (***m/h***)
Compact urban form and improved transport infrastructure Modal shift	Energy security (reduced oil dependence and exposure to oil price volatility) (***m/m***); productivity (reduced urban congestion and travel times, affordable and accessible transport) (***m/h***)	Mixed health impact for non-motorized modes via increased physical activity (***r/h***), potentially higher exposure to air pollution (***r/h***), reduced noise (via modal shift and travel reduction) (***r/h***); equitable mobility access to employment opportunities (***r/h***); road safety (via modal shift) (***r/h***)	Reduced ecosystem impact via reduced urban air pollution (***r/h***) and land use competition (***m/m***)
Journey distance reduction and avoidance	Energy security (reduced oil dependence and exposure to oil price volatility) (***r/h***); productivity (reduced urban congestion/travel times, walking) (***r/h***)	Reduced health impact (for non-motorized transport modes) (***r/h***)	Mixed ecosystem impact via reduced urban air pollution (***r/h***), new/shorter shipping routes (***r/h***); reduced land use competition from transport infrastructure (***r/h***)
Buildings	*For possible upstream effects of fuel switching and RES, see Energy Supply.*		
Reduction of GHG emissions intensity (e.g., fuel switching, RES incorporation, green roofs)	Energy security (***m/h***); employment impact (***m/m***); lower need for energy subsidies (***l/l***); asset values of buildings (***l/m***)	Fuel poverty alleviation via reduced energy demand (***m/h***); energy access (for higher energy cost) (***l/m***); productive time for women/children (for replaced traditional cookstoves) (***m/h***)	Reduced health impact in residential buildings and ecosystem impact (via reduced fuel poverty (***r/h***), indoor/outdoor air pollution (***r/h***) and UHI effect) (***l/m***); urban biodiversity (for green roofs) (***m/m***)
Retrofits of existing buildings Exemplary new buildings Efficient equipment	Energy security (***m/h***); employment impact (***m/m***); productivity (for commercial buildings) (***m/h***); less need for energy subsidies (***l/l***); asset value of buildings (***l/m***); disaster resilience (***l/m***)	Fuel poverty alleviation via reduced energy demand (for retrofits and efficient equipment) (***m/h***); energy access (higher housing cost) (***l/m***); thermal comfort (***m/h***); productive time for women and children (for replaced traditional cookstoves) (***m/h***)	Reduced health and ecosystem impact (e.g., via reduced fuel poverty (***r/h***), indoor/outdoor air pollution (***r/h***), UHI effect (***l/m***), improved indoor environmental conditions (***m/h***)); health risk via insufficient ventilation (***m/m***); reduced water consumption and sewage production (***l/l***)

continue on next page

Table 4.5 (continued)

Sectoral mitigation measures	Effect on additional objectives/concerns		
	Economic	**Social**	**Environmental**
Behavioural changes reducing energy demand	Energy security (***m/h***); less need for energy subsidies (***l/l***)		Reduced health and ecosystem impact (e.g., via improved indoor environmental conditions (***m/h***) and less outdoor air pollution (***r/h***))
Industry	*For possible upstream effects of low-carbon energy supply (incl. CCS), see Energy Supply and of biomass supply, see AFOLU.*		
Reduction of CO_2/non-CO_2 GHG emission intensity	Competitiveness and productivity (***m/h***)	Reduced health impact via reduced local air pollution and better working conditions (PFC from aluminium) (***m/m***)	Reduced ecosystem impact (via reduced local air and water pollution) (***m/m***); water conservation (***l/m***)
Technical energy efficiency improvements via new processes/technologies	Energy security (via lower energy intensity) (***m/m***); employment impact (***l/l***); competitiveness and productivity (***m/h***); technological spillovers in DCs (***l/l***)	Reduced health impact via reduced local pollution (***l/m***); new business opportunities (***m/m***); increased water availability and quality (***l/l***); improved safety, working conditions and job satisfaction (***m/m***)	Reduced ecosystem impact via reduced fossil fuel extraction (***l/l***) and reduced local pollution and waste (***m/m***)
Material efficiency of goods, recycling	Decreased national sales tax revenue in the medium term (***l/l***); employment impact (waste recycling) (***l/l***); competitiveness in manufacturing (***l/l***); new infrastructure for industrial clusters (***l/l***)	Reduced health impacts and safety concerns (***l/m***); new business opportunities (***m/m***) and reduced local conflicts (reduced resource extraction) (***l/m***)	Reduced ecosystem impact via reduced local air and water pollution and waste material disposal (***m/m***); reduced use of raw/virgin materials and natural resources implying reduced unsustainable resource mining (***l/l***)
Product demand reductions	Decreased national sales tax revenue in the medium term (***l/l***)	Increased wellbeing via diverse lifestyle choices (***l/l***)	Reduced post-consumption waste (***l/l***)
AFOLU	*Note: co-benefits and adverse side effects depend on the development context and the scale of the intervention (size).*		
<u>Supply side</u>: forestry, land-based agriculture, livestock, integrated systems and bioenergy <u>Demand side:</u> reduced losses in the food supply chain, changes in human diets and in demand for wood and forestry products	Mixed employment impact via entrepreneurship development (***m/h***), use of less labour-intensive technologies in agriculture (***m/m***); diversification of income sources and access to markets (***r/h***); additional income to sustainable landscape management (***m/h***); income concentration (***m/m***); energy security (resource sufficiency) (***m/h***); Innovative financing mechanisms for sustainable resource management (***m/h***); technology innovation and transfer (***m/m***)	Increased food-crops production through integrated systems and sustainable agriculture intensification (***r/m***); decreased food production (locally) due to large-scale monocultures of non-food crops (***r/l***); increased cultural habitats and recreational areas via (sustainable) forest management and conservation (***m/m***); improved human health and animal welfare (e.g., through less use of pesticides, reduced burning practices and agroforestry and silvo-pastoral systems) (***m/h***); human health impact related to burning practices (in agriculture or bioenergy) (***m/m***); mixed impacts on gender, intra- and inter-generational equity via participation and fair benefit sharing (***r/h***) and higher concentration of benefits (***m/m***)	Mixed impact on ecosystem services via large-scale monocultures (***r/h***), ecosystem conservation, sustainable management as well as sustainable agriculture (***r/h***); increased land use competition (***r/m***); increased soil quality (***r/h***); decreased erosion (***r/h***); increased ecosystem resilience (***m/h***); albedo and evaporation (***r/h***) Institutional aspects: mixed impact on tenure and use rights at the local level (for indigenous people and local communities) (***r/h***) and on access to participative mechanisms for land management decisions (***r/h***); enforcement of existing policies for sustainable resource management (***r/h***)
Human Settlements and Infrastructure	*For compact urban form and improved transport infrastructure, see also Transport.*		
Compact development and infrastructure	Increased innovation and efficient resource use (***r/h***); higher rents and property values (***m/m***)	Improved health from increased physical activity: *see Transport*	Preservation of open space (***m/m***)
Increased accessibility	Commute savings (***r/h***)	Improved health from increased physical activity: *see Transport*; increased social interaction and mental health (***m/m***)	Improved air quality and reduced ecosystem and health impacts (***m/h***)
Mixed land use	Commute savings (***r/h***); higher rents and property values (***m/m***)	Improved health from increased physical activity (***r/h***); social interaction and mental health (***l/m***)	Improved air quality and reduced ecosystem and health impacts (***m/h***)



Loose coordination of policies: examples include transnational city networks and Nationally Appropriate Mitigation Actions (NAMAs); R&D technology cooperation: examples include the Major Economies Forum on Energy and Climate (MEF), Global Methane Initiative (GMI), or Renewable Energy and Energy Efficiency Partnership (REEEP); Other international organization (IO) GHG regulation: examples include the Montreal Protocol, International Civil Aviation Organization (ICAO), International Maritime Organization (IMO); See WGIII Figure 13.1 for the details of these examples.

Figure 4.3 | Alternative forms of international cooperation. The figure represents a compilation of existing and possible forms of international cooperation, based upon a survey of published research, but is not intended to be exhaustive of existing or potential policy architectures, nor is it intended to be prescriptive. Examples in orange are existing agreements. Examples in blue are structures for agreements proposed in the literature. The width of individual boxes indicates the range of possible degrees of centralization for a particular agreement. The degree of centralization indicates the authority an agreement confers on an international institution, not the process of negotiating the agreement. *{WGIII Figure 13.2}*

While a number of new institutions are focused on adaptation funding and coordination, adaptation has historically received less attention than mitigation in international climate policy (*robust evidence, medium agreement*). Inclusion of adaptation is increasingly important to reduce the risk from climate change impacts and may engage a greater number of countries. *{WGIII 13.2, 13.3.3, 13.5.1.1, 13.14}*

The Kyoto Protocol offers lessons towards achieving the ultimate objective of the UNFCCC, particularly with respect to participation, implementation, flexibility mechanisms, and environmental effectiveness (*medium evidence, low agreement*). The Protocol was the first binding step toward implementing the principles and goals provided by the UNFCCC. According to national GHG inventories through 2012 submitted to the UNFCCC by October 2013, Annex B Parties with quantified emission limitations (and reduction obligations) in aggregate may have bettered their collective emission reduction target in the first commitment period,[44] but some emissions reductions that would have occurred even in its absence were also counted. The Protocol's Clean Development Mechanism (CDM) created a market for emissions offsets from developing countries, the purpose being two-fold: to help Annex I countries fulfill their commitments and to assist non-Annex I countries achieve sustainable development. The CDM generated Certified Emission Reductions (offsets) equivalent to emissions of over 1.4 $GtCO_2$-eq[42] by October 2013, led to significant project investments, and generated investment flows for a variety of functions, including the UNFCCC Adaptation Fund. However, its environmental effectiveness has been questioned by some, particularly

[44] The final conclusion regarding compliance of Annex B Parties remains subject to the review process under the Kyoto Protocol as of October 2014.

in regard to its early years, due to concerns about the additionality of projects (that is, whether projects bring about emissions that are different from business as usual (BAU) circumstances), the validity of baselines, and the possibility of emissions leakage (*medium evidence, medium agreement*). Such concerns about additionality are common to any emission-reduction-credit (offset) program, and are not specific to the CDM. Due to market forces, the majority of single CDM projects have been concentrated in a limited number of countries, while Programmes of Activities, though less frequent, have been more evenly distributed. In addition, the Kyoto Protocol created two other 'flexibility mechanisms': Joint Implementation and International Emissions Trading. *{WGIII SPM.5.2, Table TS.9, 13.7, 13.13.1.1, 14.3}*

Several conceptual models for effort-sharing have been identified in research. However, realized distributional impacts from actual international cooperative agreements depend not only on the approach taken but also on criteria applied to operationalize equity and the manner in which developing countries' emissions reduction plans are financed. *{WGIII 4.6, 13.4}*

Policy linkages among regional, national and sub-national climate policies offer potential climate change mitigation benefits (*medium evidence, medium agreement*). Linkages have been established between carbon markets and in principle could also be established between and among a heterogeneous set of policy instruments including non-market-based policies, such as performance standards. Potential advantages include lower mitigation costs, decreased emission leakage and increased market liquidity. *{WGIII SPM.5.2, 13.3, 13.5, 13.6, 13.7, 14.5}*

Regional initiatives between national and global scales are being developed and implemented, but their impact on global mitigation has been limited to date (*medium confidence*). Some climate policies could be more environmentally and economically effective if implemented across broad regions, such as by embodying mitigation objectives in trade agreements or jointly constructing infrastructures that facilitate reduction in carbon emissions. *{WGIII Table TS.9, 13.13, 14.4, 14.5}*

International cooperation for supporting adaptation planning and implementation has assisted in the creation of adaptation strategies, plans and actions at national, sub-national and local levels (*high confidence*). For example, a range of multilateral and regionally targeted funding mechanisms have been established for adaptation; UN agencies, international development organizations and non-governmental organisations (NGOs) have provided information, methodologies and guidelines; and global and regional initiatives supported and promoted the creation of national adaptation strategies in both developing and developed countries. Closer integration of disaster risk reduction and climate change adaptation at the international level, and the mainstreaming of both into international development assistance, may foster greater efficiency in the use of resources and capacity. However, stronger efforts at the international level do not necessarily lead to substantive and rapid results at the local level. *{WGII 15.2, 15.3, SREX SPM, 7.4, 8.2, 8.5}*

4.4.2 National and sub-national policies

4.4.2.1 Adaptation

Adaptation experience is accumulating across regions in the public and private sector and within communities (*high confidence*). Adaptation options adopted to date (see Table 4.6) emphasize incremental adjustments and co-benefits and are starting to emphasize flexibility and learning (*medium evidence, medium agreement*). Most assessments of adaptation have been restricted to impacts, vulnerability and adaptation planning, with very few assessing the processes of implementation or the effects of adaptation actions (*medium evidence, high agreement*). *{WGII SPM A-2, TS A-2}*

Table 4.6 | Recent adaptation actions in the public and private sector across regions. *{WGII SPM A-2}*

Region	Example of actions
Africa	Most national governments are initiating governance systems for adaptation. Disaster risk management, adjustments in technologies and infrastructure, ecosystem-based approaches, basic public health measures and livelihood diversification are reducing vulnerability, although efforts to date tend to be isolated.
Europe	Adaptation policy has been developed across all levels of government, with some adaptation planning integrated into coastal and water management, into environmental protection and land planning and into disaster risk management.
Asia	Adaptation is being facilitated in some areas through mainstreaming climate adaptation action into sub-national development planning, early warning systems, integrated water resources management, agroforestry and coastal reforestation of mangroves.
Australasia	Planning for sea level rise, and in southern Australia for reduced water availability, is becoming adopted widely. Planning for sea level rise has evolved considerably over the past two decades and shows a diversity of approaches, although its implementation remains piecemeal.
North America	Governments are engaging in incremental adaptation assessment and planning, particularly at the municipal level. Some proactive adaptation is occurring to protect longer-term investments in energy and public infrastructure.
Central and South America	Ecosystem-based adaptation including protected areas, conservation agreements and community management of natural areas is occurring. Resilient crop varieties, climate forecasts and integrated water resources management are being adopted within the agricultural sector in some areas.
The Arctic	Some communities have begun to deploy adaptive co-management strategies and communications infrastructure, combining traditional and scientific knowledge.
Small Islands	Small islands have diverse physical and human attributes; community-based adaptation has been shown to generate larger benefits when delivered in conjunction with other development activities.
The Ocean	International cooperation and marine spatial planning are starting to facilitate adaptation to climate change, with constraints from challenges of spatial scale and governance issues.

National governments play key roles in adaptation planning and implementation (*robust evidence, high agreement*). There has been substantial progress since the AR4 in the development of national adaptation strategies and plans. This includes National Adaptation Programmes of Action (NAPAs) by least developed countries, the National Adaptation Plan (NAP) process, and strategic frameworks for national adaptation in Organisation for Economic Co-operation and Development (OECD) countries. National governments can coordinate adaptation efforts of local and sub-national governments, for example by protecting vulnerable groups, by supporting economic diversification, and by providing information, policy and legal frameworks and financial support. *{WGII SPM C-1, 15.2}*

While local government and the private sector have different functions, which vary regionally, they are increasingly recognized as critical to progress in adaptation, given their roles in scaling up adaptation of communities, households and civil society and in managing risk information and financing (*medium evidence*, *high agreement*). There is a significant increase in the number of planned adaptation responses at the local level in rural and urban communities of developed and developing countries since the AR4. However, local councils and planners are often confronted by the complexity of adaptation without adequate access to guiding information or data on local vulnerabilities and potential impacts. Steps for mainstreaming adaptation into local decision-making have been identified but challenges remain in their implementation. Hence, scholars stress the important role of linkages with national and sub-national levels of government as well as partnerships among public, civic and private sectors in implementing local adaptation responses. *{WGII SPM A-2, SPM C-1, 14.2, 15.2}*

Institutional dimensions of adaptation governance, including the integration of adaptation into planning and decision-making, play a key role in promoting the transition from planning to implementation of adaptation (*robust evidence, high agreement*). The most commonly emphasized institutional barriers or enablers for adaptation planning and implementation are: 1) multilevel institutional co-ordination between different political and administrative levels in society; 2) key actors, advocates and champions initiating, mainstreaming and sustaining momentum for climate adaptation; 3) horizontal interplay between sectors, actors and policies operating at similar administrative levels; 4) political dimensions in planning and implementation; and 5) coordination between formal governmental, administrative agencies and private sectors and stakeholders to increase efficiency, representation and support for climate adaptation measures. *{WGII 15.2, 15.5, 16.3, Box 15-1}*

Existing and emerging economic instruments can foster adaptation by providing incentives for anticipating and reducing impacts (*medium confidence*). Instruments include public-private finance partnerships, loans, payments for environmental services, improved resource pricing, charges and subsidies, norms and regulations and risk sharing and transfer mechanisms. Risk financing mechanisms in the public and private sector, such as insurance and risk pools, can contribute to increasing resilience, but without attention to major design challenges, they can also provide disincentives, cause market failure and decrease equity. Governments often play key roles as regulators, providers or insurers of last resort. *{WGII SPM C-1}*

4.4.2.2 Mitigation

There has been a considerable increase in national and sub-national mitigation plans and strategies since AR4. In 2012, 67% of global GHG emissions[42] were subject to national legislation or strategies versus 45% in 2007. However, there has not yet been a substantial deviation in global emissions from the past trend. These plans and strategies are in their early stages of development and implementation in many countries, making it difficult to assess their aggregate impact on future global emissions (*medium evidence, high agreement*). *{WGIII SPM.5.1}*

Since AR4, there has been an increased focus on policies designed to integrate multiple objectives, increase co-benefits and reduce adverse side effects (*high confidence*). Governments often explicitly reference co-benefits in climate and sectoral plans and strategies. *{WGIII SPM.5.1}*

Sector-specific policies have been more widely used than economy-wide policies (Table 4.7) (*medium evidence*, *high agreement*). Although most economic theory suggests that economy-wide policies for mitigation would be more cost-effective than sector-specific policies, administrative and political barriers may make economy-wide policies harder to design and implement than sector-specific policies. The latter may be better suited to address barriers or market failures specific to certain sectors and may be bundled in packages of complementary policies *{WGIII SPM.5.1}*

In principle, mechanisms that set a carbon price, including cap and trade systems and carbon taxes, can achieve mitigation in a cost-effective way, but have been implemented with diverse effects due in part to national circumstances as well as policy design. The short-run environmental effects of cap and trade systems have been limited as a result of loose caps or caps that have not proved to be constraining (*limited evidence, medium agreement*). In some countries, tax-based policies specifically aimed at reducing GHG emissions—alongside technology and other policies—have helped to weaken the link between GHG emissions and gross domestic product (GDP) (*high confidence*). In addition, in a large group of countries, fuel taxes (although not necessarily designed for the purpose of mitigation) have had effects that are akin to sectoral carbon taxes (*robust evidence, medium agreement*). Revenues from carbon taxes or auctioned emission allowances are used in some countries to reduce other taxes and/or to provide transfers to low-income groups. This illustrates the general principle that mitigation policies that raise government revenue generally have lower social costs than approaches which do not. *{WGIII SPM.5.1}*

Economic instruments in the form of subsidies may be applied across sectors, and include a variety of policy designs, such as tax rebates or exemptions, grants, loans and credit lines. An increasing number and variety of RE policies including subsidies—motivated by many factors—have driven escalated growth of RE technologies in recent years. Government policies play a crucial role in accelerating the deployment of RE technologies. Energy access and social and economic development have been the primary drivers in most developing countries whereas secure energy supply and environmental concerns have been most important in developed countries. The focus of policies is

Table 4.7 | Sectoral Policy Instruments. *{WGIII Table 15.2}*

Policy Instruments	Energy	Transport	Buildings	Industry	AFOLU	Human Settlements and Infrastructure
Economic Instruments – Taxes (carbon taxes may be economy-wide)	- Carbon tax (e.g., applied to electricity or fuels)	- Fuel taxes - Congestion charges, vehicle registration fees, road tolls - Vehicle taxes	- Carbon and/or energy taxes (either sectoral or economy-wide)	- Carbon tax or energy tax - Waste disposal taxes or charges	- Fertilizer or nitrogen taxes to reduce nitrous oxide (N_2O)	- Sprawl taxes, Impact fees, exactions, split-rate property taxes, tax increment finance, betterment taxes, congestion charges
Economic Instruments – Tradable Allowances (may be economy-wide)	- Emission trading - Emission credits under the Clean Development Mechanism (CDM) - Tradable Green Certificates	- Fuel and vehicle standards	- Tradable certificates for energy efficiency improvements (white certificates)	- Emission trading - Emission credits under CDM - Tradable Green Certificates	- Emission credits under CDM - Compliance schemes outside Kyoto protocol (national schemes) - Voluntary carbon markets	- Urban-scale cap and trade
Economic Instruments – Subsidies	- Fossil fuel subsidy removal - Feed in tariffs (FITs) for renewable energy	- Biofuel subsidies - Vehicle purchase subsidies - Feebates	- Subsidies or tax exemptions for investment in efficient buildings, retrofits and products - Subsidized loans	- Subsidies (e.g., for energy audits) - Fiscal incentives (e.g., for fuel switching)	- Credit lines for low-carbon agriculture, sustainable forestry	- Special Improvement or Redevelopment Districts
Regulatory Approaches	- Efficiency or environmental performance standards - Renewable Portfolio Standards (RPS) for renewable energy (RE) - Equitable access to electricity grid - Legal status of long-term CO_2 storage	- Fuel economy performance standards - Fuel quality standards - Greenhouse gas (GHG) emission performance standards - Regulatory restrictions to encourage modal shifts (road to rail) - Restriction on use of vehicles in certain areas - Environmental capacity constraints on airports - Urban planning and zoning restrictions	- Building codes and standards - Equipment and appliance standards - Mandates for energy retailers to assist customers invest in energy efficiency	- Energy efficiency standards for equipment - Energy management systems (also voluntary) - Voluntary agreements (where bound by regulation) - Labelling and public procurement regulations	- National policies to support REDD+ including monitoring, reporting and verification - Forest laws to reduce deforestation - Air and water pollution control GHG precursors - Land use planning and governance	- Mixed use zoning - Development restrictions - Affordable housing mandates - Site access controls - Transfer development rights - Design codes - Building codes - Street codes - Design standards
Information Programmes		- Fuel labelling - Vehicle efficiency labelling	- Energy audits - Labelling programmes - Energy advice programmes	- Energy audits - Benchmarking - Brokerage for industrial cooperation	- Certification schemes for sustainable forest practices - Information policies to support REDD+ including monitoring, reporting and verification	
Government Provision of Public Goods or Services	- Research and development - Infrastructure expansion (district heating/cooling or common carrier)	- Investment in transit and human powered transport - Investment in alternative fuel infrastructure - Low-emission vehicle procurement	- Public procurement of efficient buildings and appliances	- Training and education - Brokerage for industrial cooperation	- Protection of national, state, and local forests. - Investment in improvement and diffusion of innovative technologies in agriculture and forestry	- Provision of utility infrastructure, such as electricity distribution, district heating/cooling and wastewater connections, etc. - Park improvements - Trail improvements - Urban rail
Voluntary Actions			- Labelling programmes for efficient buildings - Product eco-labelling	- Voluntary agreements on energy targets, adoption of energy management systems, or resource efficiency	- Promotion of sustainability by developing standards and educational campaigns	

broadening from a concentration primarily on RE electricity to include RE heating and cooling and transportation. *{SRREN SPM.7}*

The reduction of subsidies for GHG-related activities in various sectors can achieve emission reductions, depending on the social and economic context (*high confidence*). While subsidies can affect emissions in many sectors, most of the recent literature has focused on subsidies for fossil fuels. Since AR4 a small but growing literature based on economy-wide models has projected that complete removal of subsidies to fossil fuels in all countries could result in reductions in global aggregate emissions by mid-century (*medium evidence, medium agreement*). Studies vary in methodology, the type and definition of subsidies and the time frame for phase out considered. In particular, the studies assess the impacts of complete removal of all fossil fuel subsides without seeking to assess which subsidies are wasteful and inefficient, keeping in mind national circumstances. *{WGIII SPM.5.1}*

Regulatory approaches and information measures are widely used and are often environmentally effective (*medium evidence, medium agreement*). Examples of regulatory approaches include energy efficiency standards; examples of information programmes include labelling programmes that can help consumers make better-informed decisions. *{WGIII SPM.5.1}*

Mitigation policy could devalue fossil fuel assets and reduce revenues for fossil fuel exporters, but differences between regions and fuels exist (*high confidence*). Most mitigation scenarios are associated with reduced revenues from coal and oil trade for major exporters. The effect on natural gas export revenues is more uncertain. The availability of CCS would reduce the adverse effect of mitigation on the value of fossil fuel assets (*medium confidence*). *{WGIII SPM.5.1}*

Interactions between or among mitigation policies may be synergistic or may have no additive effect on reducing emissions (*medium evidence, high agreement*). For instance, a carbon tax can have an additive environmental effect to policies such as subsidies for the supply of RE. By contrast, if a cap and trade system has a sufficiently stringent cap to affect emission-related decisions, then other policies have no further impact on reducing emissions (although they may affect costs and possibly the viability of more stringent future targets) (*medium evidence, high agreement*). In either case, additional policies may be needed to address market failures relating to innovation and technology diffusion. *{WGIII SPM.5.1}*

Sub-national climate policies are increasingly prevalent, both in countries with national policies and in those without. These policies include state and provincial climate plans combining market, regulatory and information instruments, and sub-national cap-and-trade systems. In addition, transnational cooperation has arisen among sub-national actors, notably among institutional investors, NGOs seeking to govern carbon offset markets, and networks of cities seeking to collaborate in generating low-carbon urban development. *{WGIII 13.5.2, 15.2.4, 15.8}*

Co-benefits and adverse side effects of mitigation could affect achievement of other objectives such as those related to human health, food security, biodiversity, local environmental quality, energy access, livelihoods and equitable sustainable development: *{WGIII SPM.2}*

- Mitigation scenarios reaching about 450 or 500 ppm CO_2-equivalent by 2100 show reduced costs for achieving air quality and energy security objectives, with significant co-benefits for human health, ecosystem impacts and sufficiency of resources and resilience of the energy system. *{WGIII SPM.4.1}*

- Some mitigation policies raise the prices for some energy services and could hamper the ability of societies to expand access to modern energy services to underserved populations (*low confidence*). These potential adverse side effects can be avoided with the adoption of complementary policies such as income tax rebates or other benefit transfer mechanisms (*medium confidence*). The costs of achieving nearly universal access to electricity and clean fuels for cooking and heating are projected to be between USD 72 to 95 billion per year until 2030 with minimal effects on GHG emissions (*limited evidence, medium agreement*) and multiple benefits in health and air pollutant reduction (*high confidence*). *{WGIII SPM.5.1}*

Whether or not side effects materialize, and to what extent side effects materialize, will be case- and site-specific, and depend on local circumstances and the scale, scope and pace of implementation. Many co-benefits and adverse side effects have not been well-quantified. *{WGIII SPM.4.1}*

4.4.3 Technology development and transfer

Technology policy (development, diffusion and transfer) complements other mitigation policies across all scales from international to sub-national, but worldwide investment in research in support of GHG mitigation is small relative to overall public research spending (*high confidence*). Technology policy includes technology-push (e.g., publicly-funded R&D) and demand-pull (e.g., governmental procurement programmes). Such policies address a pervasive market failure because, in the absence of government policy such as patent protection, the invention of new technologies and practices from R&D efforts has aspects of a public good and thus tends to be under-provided by market forces alone. Technology support policies have promoted substantial innovation and diffusion of new technologies, but the cost-effectiveness of such policies is often difficult to assess. Technology policy can increase incentives for participation and compliance with international cooperative efforts, particularly in the long run. *{WGIII SPM.5.1, 2.6.5, 3.11, 13.9, 13.12, 15.6.5}*

Many adaptation efforts also critically rely on diffusion and transfer of technologies and management practices, but their effective use depends on a suitable institutional, regulatory, social and cultural context (*high confidence*). Adaptation technologies are often familiar and already applied elsewhere. However, the success of technology transfer may involve not only the provision of finance and information, but also strengthening of policy and regulatory environments and capacities to absorb, employ and improve technologies appropriate to local circumstances. *{WGII 15.4}*

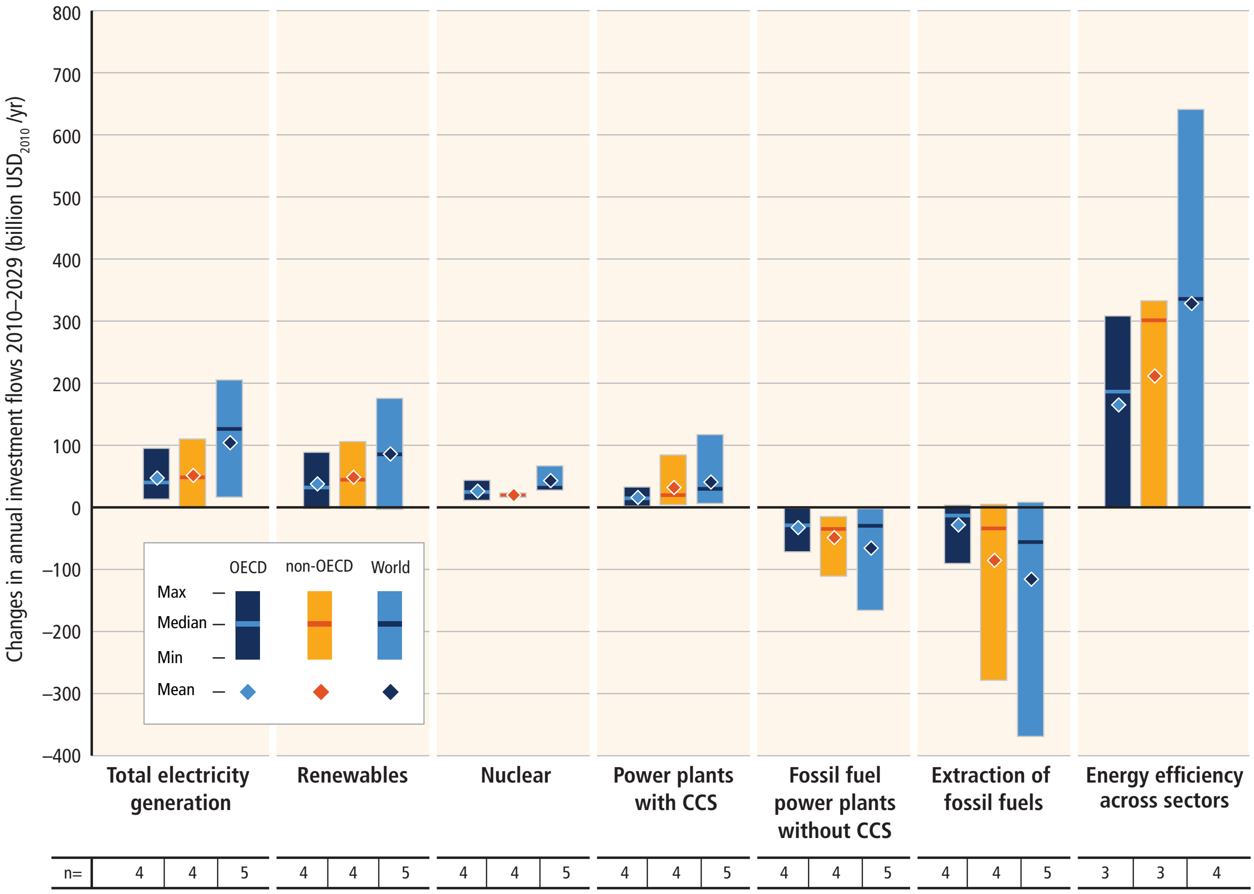


Figure 4.4 | Change in annual investment flows from the average baseline level over the next two decades (2010 to 2029) for mitigation scenarios that stabilize concentrations (without overshoot) within the range of approximately 430 to 530 ppm CO_2-eq by 2100. Total electricity generation (leftmost column) is the sum of renewable and nuclear energy, power plants with CCS, and fossil-fuel power plants without CCS. The vertical bars indicate the range between the minimum and maximum estimate; the horizontal bar indicates the median. The numbers in the bottom row show the total number of studies in the literature used in the assessment. Individual technologies shown are found to be used in different model scenarios in either a complementary or a synergistic way, depending largely on technology-specific assumptions and the timing and ambition level of the phase-in of global climate policies. *{WGIII Figure SPM.9}*

4.4.4 Investment and finance

Substantial reductions in emissions would require large changes in investment patterns (*high confidence*). Mitigation scenarios in which policies stabilize atmospheric concentrations (without overshoot) in the range from 430 to 530 ppm CO_2-eq by 2100[45] lead to substantial shifts in annual investment flows during the period 2010–2029 compared to baseline scenarios. Over the next two decades (2010–2029), annual investments in conventional fossil fuel technologies associated with the electricity supply sector are projected to decline in the scenarios by about USD 30 (2 to 166) billion (median: –20% compared to 2010) while annual investment in low carbon electricity supply (i.e., renewables, nuclear and electricity with CCS) is projected to rise in the scenarios by about USD 147 (31 to 360) billion (median: +100% compared to 2010) (*limited evidence, medium agreement*). In addition, annual incremental energy efficiency investments in transport, industry and buildings is projected to rise in the scenarios by about USD 336 (1 to 641) billion. Global total annual investment in the energy system is presently about USD 1,200 billion. This number includes only energy supply of electricity and heat and respective upstream and downstream activities. Energy efficiency investment or underlying sector investment is not included (Figure 4.4). *{WGIII SPM.5.1, 16.2}*

There is no widely agreed definition of what constitutes climate finance, but estimates of the financial flows associated with climate change mitigation and adaptation are available. See Figure 4.5 for an overview of climate finance flows. Published assessments of all current annual financial flows whose expected effect is to reduce net GHG emissions and/or to enhance resilience to climate change and climate variability show USD 343 to 385 billion per year

[45] This range comprises scenarios that reach 430 to 480 ppm CO_2-eq by 2100 (*likely* to limit warming to 2°C above pre-industrial levels) and scenarios that reach 480 to 530 ppm CO_2-eq by 2100 (without overshoot: *more likely than not* to limit warming to 2°C above pre-industrial levels).

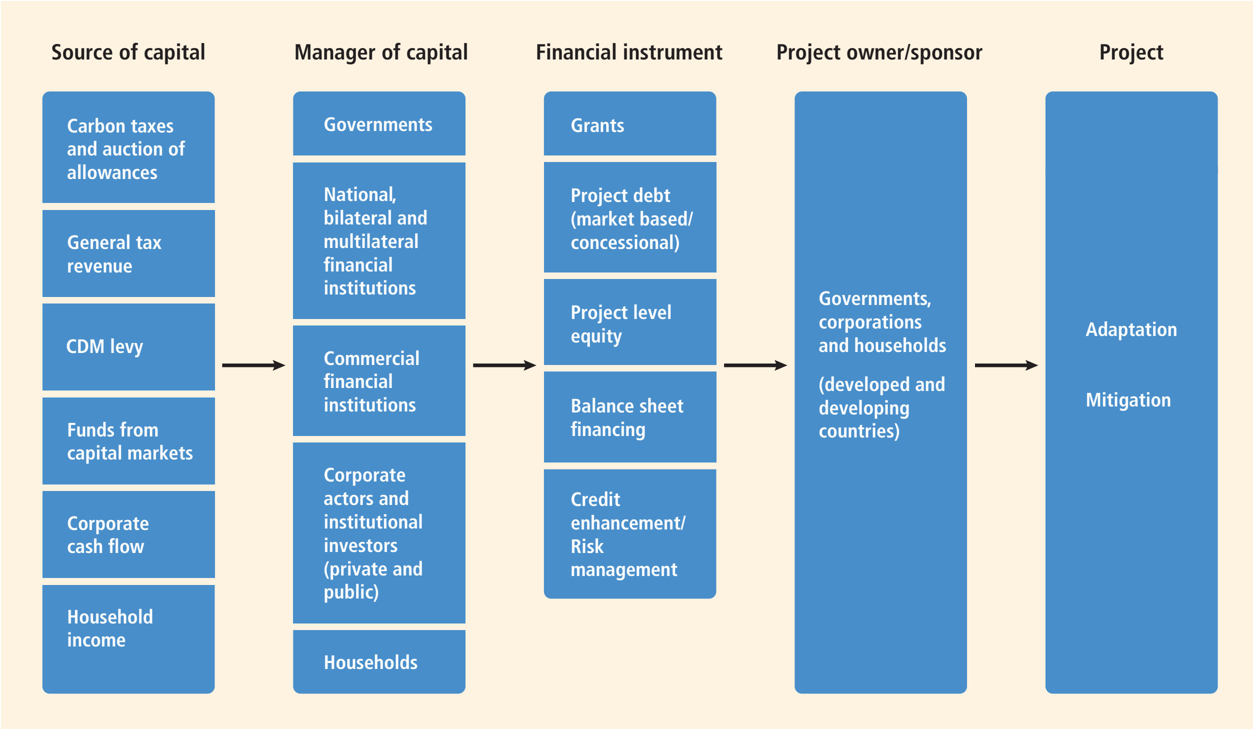


Figure 4.5 | Overview of climate finance flows. Note: Capital should be understood to include all relevant financial flows. The size of the boxes is not related to the magnitude of the financial flow. *{WGIII Figure TS.40}*

globally (*medium confidence*). Out of this, total public climate finance that flowed to developing countries is estimated to be between USD 35 and 49 billion per year in 2011 and 2012 (*medium confidence*). Estimates of international private climate finance flowing to developing countries range from USD 10 to 72 billion per year including foreign direct investment as equity and loans in the range of USD 10 to 37 billion per year over the period of 2008–2011 (*medium confidence*). *{WGIII SPM.5.1}*

In many countries, the private sector plays central roles in the processes that lead to emissions as well as to mitigation and adaptation. Within appropriate enabling environments, the private sector, along with the public sector, can play an important role in financing mitigation and adaptation (*medium evidence, high agreement*). The share of total mitigation finance from the private sector, acknowledging data limitations, is estimated to be on average between two-thirds and three-fourths on the global level (2010–2012) (*limited evidence, medium agreement*). In many countries, public finance interventions by governments and international development banks encourage climate investments by the private sector and provide finance where private sector investment is limited. The quality of a country's enabling environment includes the effectiveness of its institutions, regulations and guidelines regarding the private sector, security of property rights, credibility of policies and other factors that have a substantial impact on whether private firms invest in new technologies and infrastructures. Dedicated policy instruments and financial arrangements, for example, credit insurance, feed-in tariffs, concessional finance or rebates provide an incentive for mitigation investment by improving the return adjusted for the risk for private actors. Public-private risk reduction initiatives (such as in the context of insurance systems) and economic diversification are examples of adaptation action enabling and relying on private sector participation. *{WGII SPM B-2, SPM C-1, WGIII SPM.5.1}*

Financial resources for adaptation have become available more slowly than for mitigation in both developed and developing countries. Limited evidence indicates that there is a gap between global adaptation needs and the funds available for adaptation (*medium confidence*). Potential synergies between international finance for disaster risk management and adaptation to climate change have not yet been fully realized (*high confidence*). There is a need for better assessment of global adaptation costs, funding and investment. Studies estimating the global cost of adaptation are characterized by shortcomings in data, methods and coverage (*high confidence*). *{WGII SPM C-1, 14.2, SREX SPM}*

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4.5 Trade-offs, synergies and integrated responses

There are many opportunities to link mitigation, adaptation and the pursuit of other societal objectives through integrated responses (*high confidence*). Successful implementation relies on relevant tools, suitable governance structures and enhanced capacity to respond (*medium confidence*).

A growing evidence base indicates close links between adaptation and mitigation, their co-benefits and adverse side effects, and recognizes sustainable development as the overarching context for climate policy (see Sections 3.5, 4.1, 4.2 and 4.3). Developing tools to address these linkages is critical to the success of climate policy in the context of sustainable development (see also Sections 4.4 and 3.5). This section presents examples of integrated responses in specific policy arenas, as well as some of the factors that promote or impede policies aimed at multiple objectives.

Increasing efforts to mitigate and adapt to climate change imply an increasing complexity of interactions, encompassing connections among human health, water, energy, land use and biodiversity (*very high confidence*). Mitigation can support the achievement of other societal goals, such as those related to human health, food security, environmental quality, energy access, livelihoods and sustainable development, although there can also be negative effects. Adaptation measures also have the potential to deliver mitigation co-benefits, and vice versa, and support other societal goals, though trade-offs can also arise. *{WGII SPM C-1, SPM C-2, 8.4, 9.3–9.4, 11.9, Box CC-WE, WGIII Table TS.3, Table TS.4, Table TS.5, Table TS.6, Table TS.7}*

Integration of adaptation and mitigation into planning and decision-making can create synergies with sustainable development (*high confidence*). Synergies and trade-offs among mitigation and adaptation policies and policies advancing other societal goals can be substantial, although sometimes difficult to quantify especially in welfare terms (see also Section 3.5). A multi-objective approach to policy-making can help manage these synergies and trade-offs. Policies advancing multiple goals may also attract greater support. *{WGII SPM C-1, SPM C-2, 20.3, WGIII 1.2.1, 3.6.3, 4.3, 4.6, 4.8, 6.6.1}*

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Effective integrated responses depend on suitable tools and governance structures, as well as adequate capacity (*medium confidence*). Managing trade-offs and synergies is challenging and requires tools to help understand interactions and support decision-making at local and regional scales. Integrated responses also depend on governance that enables coordination across scales and sectors, supported by appropriate institutions. Developing and implementing suitable tools and governance structures often requires upgrading the human and institutional capacity to design and deploy integrated responses. *{WGII SPM C-1, SPM C-2, 2.2, 2.4, 15.4, 15.5, 16.3, Table 14-1, Table 16-1, WGIII TS.1, TS.3, 15.2}*

An integrated approach to energy planning and implementation that explicitly assesses the potential for co-benefits and the presence of adverse side effects can capture complementarities across multiple climate, social and environmental objectives (*medium confidence*). There are strong interactive effects across various energy policy objectives, such as energy security, air quality, health and energy access (see Figure 3.5) and between a range of social and environmental objectives and climate mitigation objectives (see Table 4.5). An integrated approach can be assisted by tools such as cost-benefit analysis, cost-effectiveness analysis, multi-criteria analysis and expected utility theory. It also requires appropriate coordinating institutions. *{WGIII Figure SPM.6, TS.1, TS.3}*

Explicit consideration of interactions among water, food, energy and biological carbon sequestration plays an important role in supporting effective decisions for climate resilient pathways (*medium evidence, high agreement*). Both biofuel-based power generation and large-scale afforestation designed to mitigate climate change can reduce catchment run-off, which may conflict with alternative water uses for food production, human consumption or the maintenance of ecosystem function and services (see also Box 3.4). Conversely, irrigation can increase the climate resilience of food and fibre production but reduces water availability for other uses. *{WGII Box CC-WE, Box TS.9}*

An integrated response to urbanization provides substantial opportunities for enhanced resilience, reduced emissions and more sustainable development (*medium confidence*). Urban areas account for more than half of global primary energy use and energy-related CO_2 emissions (*medium evidence, high agreement*) and contain a high proportion of the population and economic activities at risk from climate change. In rapidly growing and urbanizing regions, mitigation strategies based on spatial planning and efficient infrastructure supply can avoid the lock-in of high emission patterns. Mixed-use zoning, transport-oriented development, increased density and co-located jobs and homes can reduce direct and indirect energy use across sectors. Compact development of urban spaces and intelligent densification can preserve land carbon stocks and land for agriculture and bioenergy. Reduced energy and water consumption in urban areas through greening cities and recycling water are examples of mitigation actions with adaptation benefits. Building resilient infrastructure systems can reduce vulnerability of urban settlements and cities to coastal flooding, sea level rise and other climate-induced stresses. *{WGII SPM B-2, SPM C-1, TS B-2, TS C-1, TS C-2, WGIII SPM.4.2.5, TS.3}*