

## 5 On estimating the effective sensitivity of a TOF-PET 6 system for a particular imaging task

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11 **ABSTRACT:** Comparing positron emission tomography (PET) systems which have different features  
12 is not straightforward. To address this, we propose to image the same object with all considered PET  
13 systems using a fixed scan time, and reconstruct from each scan an image at the same predefined  
14 spatial resolution. With such resolution matched reconstructions, the images should be identical  
15 except for their noise. Therefore, the PET system that produces the image with the lowest variance  
16 has the best performance. An analytical model is described to compute this variance, assuming  
17 that the PET system is (approximately) cylindrical, the imaged object is a uniform cylinder centered  
18 in the field of view, and the variance is only computed at the center of the reconstructed image.  
19 The model takes into account the solid angle covered by the detectors, the detector stopping power,  
20 the time-of-flight (TOF) resolution, the scatter fraction and the spatial resolution of the system,  
21 the attenuation and diameter of the cylinder and the desired spatial resolution of the reconstructed  
22 image. The inverse of this variance can be considered as the effective sensitivity of the system. This  
23 effective sensitivity can be calibrated based on the NEMA line source sensitivity. As a performance  
24 metric for scanning long objects, the minimum sensitivity achieved over the object is computed,  
25 with an optimal number of bed positions and optimal overlap between them. The influence of the  
26 spatial and TOF resolution on the effective sensitivity is verified with simulations.

27 **KEYWORDS:** PET, TOF, image reconstruction, instrument optimisation

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## 37 1 Introduction

38 Current commercial PET systems include TOF systems with time resolutions between 600 and 170  
39 ps, non-TOF systems, systems based on LYSO or BGO crystals and using different crystal sizes  
40 and thicknesses. The systems have different diameters and their axial extent ranges from 20 to 200  
41 cm. The ongoing detector research pushing for still better timing resolution is expected to further  
42 increase this diversity. We propose an analytical model to estimate and compare the performance of  
43 different PET systems for imaging a uniform cylinder. For comparing systems, one has to specify  
44 the diameter and attenuation of the cylinder, the axial length of the region to be imaged, and the  
45 required spatial resolution of the reconstructed image, because the relative system performance  
46 depends on these parameters. This work has recently also been presented at the IEEE MIC 2023  
47 conference [1].

## 48 2 Theory

### 49 2.1 Effective sensitivity along the scanner axis

50 The proposed method assumes that the PET system can be well modeled as a cylinder, possibly  
51 with gaps, and estimates the effective sensitivity (to coincident photon pairs) along the axis of the  
52 system, for imaging at a given spatial resolution. The effective sensitivity is defined here as the  
53 inverse of the variance in the center of the reconstructed image, where this reconstructed image has  
54 a predefined point spread function (spatial resolution). This definition agrees with the conventional  
55 sensitivity, because if systems A and B are identical, except that system A detects twice as many  
56 counts for the same activity in the field of view, then the effective sensitivity of A will indeed equal

twice that of B. However, effective sensitivity also incorporates other parameters that affect the variance in the reconstructed images, such a spatial resolution and time-of-flight accuracy.

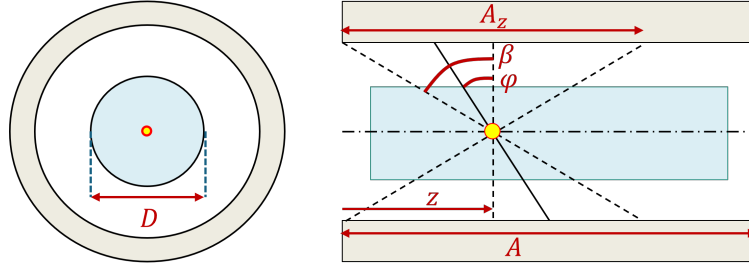
By imposing a predefined reconstruction point spread function, all PET systems are forced to produce the same signal in the final image, but they may do so with a different amount of noise. Consequently, their signal to noise ratios (SNR) will be different: they have the same signal, but a different noise variance. It follows that the square of the SNR obtained with this approach is inversely proportional to the variance in the reconstructed image:

$$\text{effective sensitivity} \sim \text{SNR}^2 \sim \frac{1}{\text{VAR}} \quad (2.1)$$

The effective sensitivity can be written as

$$S_{\text{eff}} \simeq S_{\Omega, \mu} S_{\text{det}} S_{\text{TOF}} S_{\text{scat}} S_{\sigma_{\text{sysax}}, \sigma_{\text{systrans}}, \sigma_{\text{recon}}}, \quad (2.2)$$

where  $S_{\Omega, \mu}$  combines the effects of the solid angle and the attenuation,  $S_{\text{det}}$  is the detector efficiency,  $S_{\text{TOF}}$  is the variance reduction (or effective sensitivity gain) achieved by TOF,  $S_{\text{scat}}$  is the effect of the scatter and  $S_{\sigma_{\text{sysax}}, \sigma_{\text{systrans}}, \sigma_{\text{recon}}}$  denotes the effects of the detector resolution (axially and transaxially) and the resolution of the final reconstructed image.



**Figure 1.** Illustration of the PET parameters defined in the text and used in equation (2.3).

The geometrical sensitivity at point  $z$  on the axis is computed as the solid angle of the cylindrical PET system, accounting for the sensitivity loss due to the gaps between the detectors:

$$S_{\Omega, \mu}(z) = S_{\text{gaps}} \int_0^\beta \cos(\varphi) e^{-\frac{\mu D}{\cos(\varphi)}} d\varphi \quad (2.3)$$

$$\beta = \sin^{-1} \left( \frac{A_z}{\sqrt{A_z^2 + \phi^2}} \right) \quad \text{and} \quad A_z = \min(2z, 2(A - z))$$

$$S_{\text{gaps}} = \left( \frac{\text{DetectorArea}}{\pi \phi A} \right)^2$$

where  $\phi$  is the diameter of the PET system,  $\mu$  is the linear attenuation coefficient of the cylinder,  $D$  is its diameter,  $A$  is the axial extent of the scanner and  $z \in [0, A]$  is the position on the axis.  $A_z$  is the extent of the scanner that contributes to detection. These variables are illustrated in figure 1.  $S_{\text{gaps}}$  corrects for the presence of gaps between the detectors.  $S_{\Omega, \mu}(z)$  is zero at  $z = 0$  and  $z = A$ , and reaches its maximum at  $z = A/2$ . For TOF-PET, (2.3) must be updated by inserting (2.7) in the integrand.

75 The detector efficiency is computed as

$$S_{\text{det}} = \epsilon_{\text{cal}}(1 - e^{-\mu_{cp}T}) \quad (2.4)$$

76 where  $\mu_{cp}$  is the attenuation from Compton and photoelectric interactions in the crystal and  $T$  is  
 77 the crystal thickness. Because the factor between brackets assumes that any Compton interaction in  
 78 the crystal results in a successful photon detection, and therefore overestimates the stopping power,  
 79 the calibration factor  $\epsilon_{\text{cal}}$  is introduced. This factor must be determined with a measurement; e.g.  
 80 by requiring agreement between the predicted and reported NEMA line source sensitivity for each  
 81 PET system.

The sensitivity gain contributed by TOF was computed for Gaussian and arbitrary TOF-kernels  
 in [2] and [3]:

$$S_{\text{TOF},k} = c_T \int_{-\infty}^{\infty} \frac{k^2(x)}{\int_{-\infty}^{\infty} B(\xi)k(x-\xi)d\xi} dx \quad (2.5)$$

82 where  $c_T$  is a constant,  $k(x)$  is the TOF-kernel and  $B(x) = 1$  for  $x$  inside the cylinder and  $B(x) = 0$   
 83 for  $x$  outside. For a system with Gaussian TOF-kernel with standard deviation  $\sigma_T$  and for a non-TOF  
 84 system this equation reduces to [3]:

$$S_{\text{gaussTOF}} = \frac{c_T}{2\sqrt{\pi}\sigma_T} \quad \text{and} \quad S_{\text{nonTOF}} = \frac{c_T \cos(\varphi)}{D} \quad (2.6)$$

85 where  $\varphi$  is the angle between the line of response and the transaxial plane. Factor  $\cos(\varphi)$  accounts  
 86 for the fact that more oblique lines have a longer intersection with the cylinder. Consequently, the  
 87 TOF-induced sensitivity gain equals

$$S_{\text{TOF}} = \frac{S_{\text{gaussTOF}}}{S_{\text{nonTOF}}} = \frac{D}{2\sqrt{\pi}\sigma_T \cos(\varphi)} \simeq \frac{0.664 D}{\text{FWHM}_T \cos(\varphi)} \quad (2.7)$$

88 where  $\text{FWHM}_T$  is the full width at half maximum of the Gaussian TOF kernel in units of length.  
 89 Equation (2.7) is inserted into (2.3) for the integration over  $\varphi$  (which means that the sensitivity  
 90 components  $S_{\Omega,\mu}$  and  $S_{\text{TOF}}$  cannot really be separated as suggested in (2.2)).

91 The scatter can roughly be accounted for by using

$$S_{\text{scat}} = \frac{N_{\text{true}}}{N_{\text{true}} + N_{\text{scatter}}} = 1 - \text{SF}. \quad (2.8)$$

92 where  $N_{\text{true}}$  and  $N_{\text{scatter}}$  are the number of trues and scatters. It is assumed that the scatter fraction  
 93 SF is known. Ideally, the scatter fraction should be determined for each LOR obliqueness  $\varphi$  and for  
 94 the chosen uniform cylinder, taking into account its attenuating material and its diameter. If that is  
 95 not possible, one could use the NEMA scatter fraction as a (crude) surrogate, assuming that it is  
 96 proportional to the true scatter fraction with approximately the same coefficient of proportionality  
 97 for all PET systems.

98 The final factor quantifies the effects of the spatial resolution of the PET detectors in axial  
 99 ( $\sigma_{\text{sysax}}$ ) and transaxial ( $\sigma_{\text{systrans}}$ ) direction, in combination with the desired spatial resolution of  
 100 the reconstructed images ( $\sigma_{\text{recon}}$ ). We assume that all corresponding point spread functions are  
 101 Gaussians. It is known that in 2D PET, the variance in the reconstructed image is proportional to

102  $1/\sigma_p^3$ , where  $\sigma_p$  is the standard deviation of a 2D Gaussian postsmoothing mask (see e.g. [2, 3]).  
 103 Smoothing a set of parallel slices in the plane direction further reduces the variance with factor  
 104  $1/\sigma_p$ , producing a factor of  $1/\sigma_p^4$  for 3D imaging with a 2D PET system (with septa). Extending  
 105 the work of [2] to fully 3D PET with complete  $4\pi$  angular coverage, one also finds this dependence  
 106 on  $1/\sigma_p^4$ , but in this case the variance reduction is a factor  $\pi^2/8 \approx 1.23$  higher. This same factor was  
 107 found for non-TOF PET in [4]. Since real PET systems do not have full  $4\pi$  angular coverage, using  
 108 the 2D PET + axial smoothing expression should produce an error smaller than 23%. Consequently,  
 109 if the system has a transaxial resolution of  $\sigma_{\text{systrans}}$  and an axial resolution of  $\sigma_{\text{sysax}}$ , we have to  
 110 apply 2D smoothing with  $\sqrt{\sigma_{\text{recon}}^2 - \sigma_{\text{systrans}}^2}$  in the transaxial plane and with  $\sqrt{\sigma_{\text{recon}}^2 - \sigma_{\text{sysax}}^2}$  in the  
 111 axial direction to obtain a 3D Gaussian point spread function with standard deviation  $\sigma_{\text{recon}}$  in the  
 112 final image. Consequently

$$S_{\sigma_{\text{sysax}}, \sigma_{\text{systrans}}, \sigma_{\text{recon}}} = (\sigma_{\text{recon}}^2 - \sigma_{\text{systrans}}^2)^{1.5} (\sigma_{\text{recon}}^2 - \sigma_{\text{sysax}}^2)^{0.5} \quad (2.9)$$

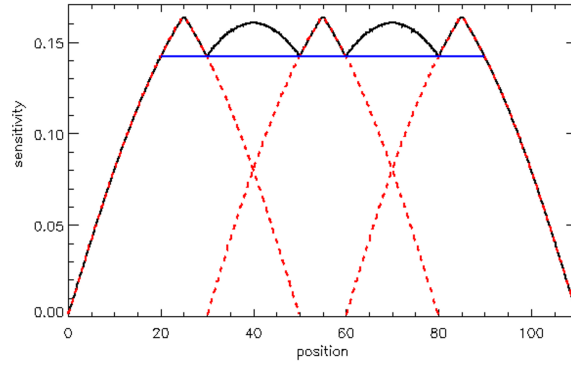
113 which confirms that PET systems with higher resolution have a higher effective sensitivity, in  
 114 particular when aiming for image reconstruction with high spatial resolution. Equation (2.9) is only  
 115 valid if  $\sigma_{\text{TOF}} \gg \sigma_{\text{sys}}$ , which is the case for current PET systems. The system resolution can be  
 116 derived from point source sinograms or listmode data, or estimated from the corresponding FBP  
 117 reconstructions.

## 118 2.2 Minimum sensitivity over a (long) object

119 For scanning a long object, we consider scanning the object in  $N$  bed positions with an overlap  $V$   
 120 between consecutive bed positions. The scan time per bed position equals  $T/N$ , where  $T$  is the  
 121 total acquisition time. This implies that the time for moving the bed is negligible, but if that time  
 122 is known it can easily be accounted for. For scanning an object with a particular PET system,  $N$   
 123 and  $V$  are optimized by maximizing the minimum sensitivity achieved over the object, as illustrated  
 124 with the blue line in figure 2. The sensitivity for a single bed position is computed as outlined in  
 125 the previous section, and the optimization was done by incrementing  $N$ , numerically optimizing  
 126  $V$ , and selecting the combination  $(N, V)$  that achieved the highest minimum sensitivity. We use  
 127 this highest possible minimum sensitivity as the performance metric for imaging an object of a  
 128 particular length.

## 129 3 Numerical verification

130 A systematic evaluation with 3D simulations is still to be done. Equation (2.5) has been verified  
 131 in [3]. Here we compare the analytical prediction for three 2D PET systems with different TOF-  
 132 resolutions (15 and 30 mm, or 100 and 200 ps) and different transaxial detector resolutions (2, 3  
 133 and 6 mm), for imaging a uniform disk (20 cm diameter) at different spatial resolutions. For the  
 134 simulations and reconstructions, we used an image of  $200 \times 200$  pixels and a pixel size of 1.33  
 135 mm  $\times$  1.33 mm. All image reconstructions were done with 40 MLEM iterations (which results in  
 136 good convergence for these excellent TOF-resolutions), without detector resolution compensation.  
 137 The image resolution was varied with Gaussian postsmoothing. From noise-free simulations of the  
 138 disk with and without the addition of a local impulse (10% activity increase in a central pixel), the



**Figure 2.** Acquisition with 3 bed positions. Red dashed lines show the sensitivity from each bed position, the solid black line shows the overall sensitivity and the blue line indicates the minimum sensitivity obtained along the scanned object.

image resolution was determined. From 40 noisy sinograms for each system, the variance in the reconstructed image was determined, by computing the mean pixel variance in a central region with 6 cm diameter.

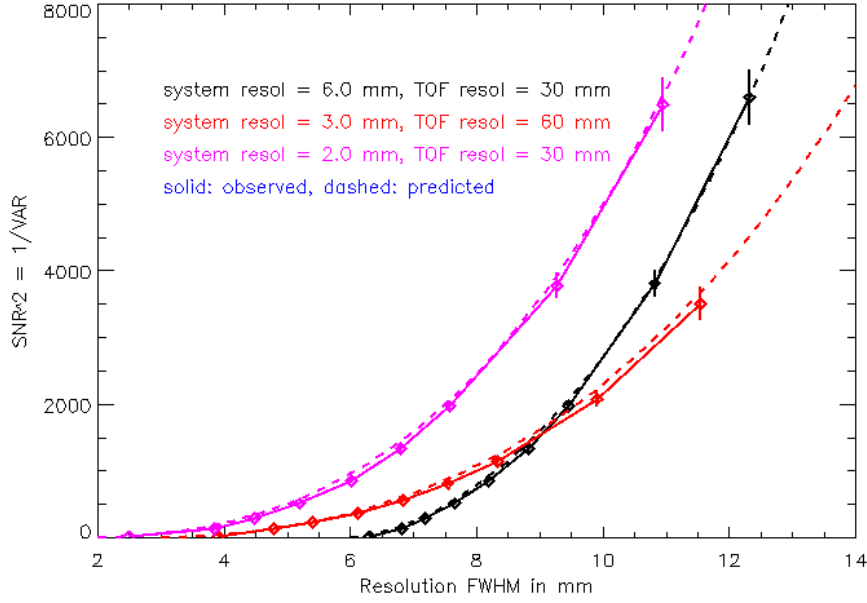
## 4 Results

Fig. 3 compares the effective sensitivities observed in the simulations to those predicted by the proposed model, as a function of the spatial resolution in the reconstructed image. The system with the best detector resolution (2 mm) and the best TOF resolution (30 mm) outperforms the other two systems as expected (pink curves in fig. 3). For high resolution imaging, the system with 3 mm detector resolution (red curves) has a higher effective sensitivity than the system with 6 mm resolution (black curves). However, for imaging at lower resolution, the system with 6 mm detector resolution performs better, because of its superior TOF resolution. These two systems have equal sensitivity for imaging at a resolution of 9 mm FWHM. A single scale factor was fitted to scale the three predictions to the three observed  $\text{SNR}^2$  curves. For all systems, an excellent agreement between the predictions and simulations is obtained.

## 5 Discussion

The proposed analytical model estimates the effective sensitivity of a particular PET system. The model has obviously several limitations. The most important limitation is that it only holds for the center of a uniform cylinder. The diameter and the attenuation of the cylinder can be chosen, but it is assumed that the activity is uniform. The model is useful under the assumption that, if a PET system is superior for imaging uniform cylinders of various diameters, it is very likely to be also superior for imaging non-uniform attenuating objects, including tracer distributions in patients.

The model relies on cylindrical symmetry of the PET system, which is reasonable for most current clinical PET systems. However, there is an increasing interest in organ-dedicated PET systems, which are often not cylindrical. Moreover, the continuously improving time-of-flight resolution reduces the need for complete angular sampling, which makes various non-conventional PET geometries increasingly attractive. Extending the model for non-cylindrical systems will make the mathematics more complicated, and possibly intractable for some geometries of interest.



**Figure 3.** Plot of  $1/\text{variance}$  ( $= \text{SNR}^2$ ) as a function of the resolution of the reconstructed image, as obtained from the simulation study (solid lines). The dashed lines show the corresponding analytical predictions. The error bars correspond to  $\pm 2$  standard deviations.

On the other hand, thanks to these simplifications, an analytical model can be derived, which provides insight and requires a negligible computation time. We believe it is a useful complement to Monte Carlo simulation, which requires more computation time and produces more accurate results but less insight.

A systematic validation for 3D PET with septa and fully 3D PET is still to be done. As mentioned above, an approximation was introduced which makes the current model less accurate for PET systems with higher angular coverage. However, this and some other approximations can be avoided if one is willing to accept the increased complexity. The development and validation of more accurate analytical models for the effective sensitivity is ongoing.

Using the results of [2, 3], the effect of TOF on the effective sensitivity could be included. Interestingly, these same equations also include the effect of the reconstruction point spread function, which produced, after introducing some approximations, equation (2.9). This shows that improving the detector resolution increases the effective sensitivity, even when a less good resolution is required in the final images (figure 3). This relation between detector resolution and effective sensitivity agrees with results in [5, 6], where it was found that if the system resolution increases, the same final image quality can be obtained with fewer counts (shorter scan time or reduced dose).

## 6 Conclusion

An analytical model for the effective sensitivity of PET-systems is proposed, which is based on the imaging performance for a uniform (radioactive) cylinder. The model takes into account the contributions of the solid angle covered by the detectors, the detector stopping power, the time-of-flight resolution, the scatter fraction, the spatial resolution of the system, the attenuation and diameter of the uniform cylinder, and the axial extent and the spatial resolution of the reconstructed

188 image. With this model, the performance of very different PET systems can be compared for a  
189 particular imaging task.

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