# TDT4173 Report - Group 116

Johan Solbakken Department of Computer Science, NTNU Trondheim, Norway Email: johsol@stud.ntnu.no

Morten Tobias Rinde Sunde Department of Computer Science, NTNU Trondheim, Norway Email: mtsunde@stud.ntnu.no

December, 2024

# Contents

_		_		
1	Introduction	3		
2	2 Initial attempt			
3	Exploratory Analysis 3.1 Correlation Analysis	4 4 5 7 11		
4	4.1 Feature Engineering 4.2 The Model 4.3 The Code 4.4 Result 4.5 Integrating Cubic Spline Interpolation into LSTM	11 12 12 13 17 17 23		
5	5.1 Feature Engineering	23 24 24 24 24 26		
6	6.1 Feature Engineering 6.2 Feature Selection 6.3 The Model 6.4 Hyperparameter Tuning 6.5 The Code 6.6 Result	26 26 26 27 27 28 28		
7	Conclusions 3			

### 1 Introduction

This report summarizes the steps taken in our project for the TDT4173 course. We discuss our exploratory data analysis, the feature engineering process, the various models we explored, and reflections on their effectiveness.

Our team name was [116] Kvorum AS, and our efforts culminated in a score of 115.8 on Kaggle.

# 2 Initial attempt

For our first attempt, we focused on building a pipeline that would run end-to-end and produce predictions for submission on Kaggle. We conducted no exploratory data analysis or detailed feature engineering at this stage. The goal was to ensure that we had functional code capable of making predictions and obtaining a preliminary score in the competition. The following Python code outlines our initial approach:

```
import pandas as pd
     import numpy as np
from datetime import datetime
     from geopy.distance import geodesic
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.layers import LSTM, Dense
     from sklearn.model_selection import train_test_split
     def calculate_distance(lat1, lon1, lat2, lon2):
    return geodesic((lat1, lon1), (lat2, lon2)).kilometers
12
     def prepare_sequences(data, feature_cols, target_cols, sequence_length):
13
           X, y = [], []
           data_values = data[feature_cols + target_cols].values
14
           for i in range(len(data_values) - sequence_length):
15
                X.append(data_values[i:i+sequence_length, :len(feature_cols)])
16
                 y.append(data_values[i+sequence_length-1, len(feature_cols):])
18
           return np.array(X), np.array(y)
19
20
     def prepare_test_sequences(data, feature_cols, sequence_length):
21
           X = []
22
           data_values = data[feature_cols].values
           for i in range(len(data_values) - sequence_length + 1):
23
24
                X.append(data_values[i:i+sequence_length])
25
           return np.array(X)
26
     def prepare test data(ais test, vessels, vessel type categories):
27
           merged_test = pd.merge(ais_test, vessels[['vesselId',
                                                                                      'vesselType']], on='vesselId', how='left')
           merged_test['vesselType'].fillna('Unknown', inplace=True)
          merged_test['vesselType'].fillina( bikNown , inplace lase)
merged_test['time'] = pd.to_datetime(merged_test['time'])
merged_test['hour'] = merged_test['time'].dt.hour
merged_test['day_of_week'] = merged_test['time'].dt.dayofweek
merged_test['vesselType'] = pd.Categorical(merged_test['vesselType'],
31
32
33
           merged_test['vessel_type_encoded'] = merged_test['vesselType'].cat.codes
features = ['hour', 'day_of_week', 'vessel_type_encoded']
36
           merged_test[features] = merged_test[features].fillna(0)
37
           return merged_test, features
38
39
     # Load and prepare data
     ais_train = pd.read_csv('ais_train.csv', sep='|')
     ais_test = pd.read_csv('ais_test.csv')
41
42
     vessels = pd.read_csv('vessels.csv', sep='|')
43
     merged_data = pd.merge(ais_train, vessels[['vesselId', 'vesselType']], on='vesselId', how='left')
44
     merged_data['vesselType'].fillna('Unknown', inplace=True)
merged_data['time'] = pd.to_datetime(merged_data['time'])
45
     merged_data['thme'] - pu.to_datetime(merged_data['thme'],
merged_data['hour'] = merged_data['time'].dt.hour
merged_data['day_of_week'] = merged_data['time'].dt.dayofweek
merged_data['future_latitude'] = merged_data.groupby('vesselId')['latitude'].shift(-1)
merged_data['future_longitude'] = merged_data.groupby('vesselId')['longitude'].shift(-1)
merged_data.dropna(subset=['future_latitude', 'future_longitude'], inplace=True)
49
50
     merged_data['vesselType'] = merged_data['vesselType'].astype('category')
     vessel_type_categories = merged_data['vesselType'].cat.categories
     merged_data['vessel_type_encoded'] = merged_data['vesselType'].cat.codes
features = ['hour', 'day_of_week', 'vessel_type_encoded']
target = ['future_latitude', 'future_longitude']
55
     train_data, val_data = train_test_split(merged_data, test_size=0.2, shuffle=False)
     sequence_length = 5
     X_train, y_train = prepare_sequences(train_data, features, target, sequence_length)
61
     X_val, y_val = prepare_sequences(val_data, features, target, sequence_length)
```

```
# Build and train the model
65
    model = Sequential()
    model.add(LSTM(64, return_sequences=False, input_shape=(sequence_length, len(features))))
    model.add(Dense(2))
     model.compile(optimizer='adam', loss='mean_absolute_error')
    model.fit(X_train, y_train, epochs=1, validation_data=(X_val, y_val))
70
71
     # Predictions and error calculation
72
    predictions_val = model.predict(X_val)
74
     val_data = val_data.iloc[sequence_length:]
    val_data['pred_latitude'] = predictions_val[:, 0]
val_data['pred_longitude'] = predictions_val[:, 1]
val_data['error_distance'] = val_data.apply(lambda row: calculate_distance()
77
          row['future_latitude'], row['future_longitude'], row['pred_latitude'], row['pred_longitude']),
          \hookrightarrow axis=1)
    mean_error_distance = val_data['error_distance'].mean()
    print(f'Mean Geodetic Error: {mean_error_distance} km')
81
82
     # Prepare submission data
    merged_test, features = prepare_test_data(ais_test, vessels, vessel_type_categories)
83
               = prepare_test_sequences(merged_test, features, sequence_length)
    predictions_test = model.predict(X_test)
     # Save predictions
    prediction_indices = np.arange(sequence_length - 1, len(merged_test))
88
    submission_df = merged_test.iloc[prediction_indices].copy()
submission_df['longitude_predicted'] = predictions_test[:, 1]
     submission_df['latitude_predicted'] = predictions_test[:, 0]
submission_df['ID'] = ais_test.iloc[prediction_indices]['ID'].values
     submission_df = submission_df[['ID', 'longitude_predicted', 'latitude_predicted']]
submission_df.to_csv('submission.csv', index=False)
```

This code handled data preparation, model training, and prediction. It included functions for preparing sequences of features and targets and predicting future vessel locations based on past data. We utilized an LSTM model with a single layer and trained it on sequences of time and vessel-related features to predict latitude and longitude.

Although the primary objective of this attempt was to produce a working model, the results helped us understand the challenges and set a foundation for further improvements. The geodetic error calculated during validation gave us a rough measure of prediction accuracy, which provided valuable feedback for future iterations.

This model scored 600 on Kaggle, which we thought was a good start. However, it became clear that conducting an exploratory analysis and applying feature engineering would be necessary to create a model with better predictive performance.

# 3 Exploratory Analysis

We found that we had to do some exploratory analysis of the data. Our previous attempt suggested that there probably was a lot of noise in the data. To investigate this, we plotted the data using matplotlib to identify which parts of the dataset should be cleaned up.

### 3.1 Correlation Analysis

In the initial phase of our exploratory data analysis, we performed a correlation analysis on the ais\_train dataset. The code below illustrates our approach:

```
import pandas as pd
import seaborn as sns
import matplotlib.pyplot as plt

# Select only numeric columns for correlation matrix
numeric_columns = ais_train.select_dtypes(include=['float64', 'int64'])

# Calculate the correlation matrix
corr_matrix = numeric_columns.corr()

# Plot the heatmap
sns.heatmap(corr_matrix, annot=True, fmt='.2f', cmap='coolwarm')
plt.show()
```

This gave us a heatmap representation of the correlation between the numerical features in the dataset 1. From this, we could observe the following correlations:

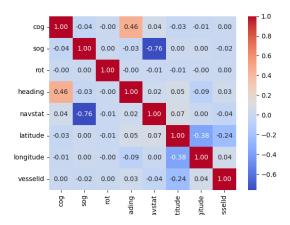


Figure 1: Correlation ais\_train.csv

- COG (Course Over Ground) and heading: A moderate positive correlation (0.46), which suggests that vessels with higher COG tend to have a higher heading, as expected, since these slight directional metrics.
- **SOG** (Speed Over Ground) and **NAVSTAT** (Navigation Status): A strong negative correlation (-0.76), which indicates that vessels with specific NAVSTAT values (e.g., underway vs. anchored) have significantly different speed patterns. Vessels with NAVSTAT as 0 (Underway) will have a positive SOG, while other statuses will have lower or zero SOG. This will be interesting to investigate.
- latitude and longitude: There is a slight negative correlation between latitude and longitude (-0.38), which could imply geographical characteristics of the data, where locations tend to have this inverse relationship in this dataset's geographic region.
- **NAVSTAT** and **heading**: A slight negative correlation between these two (0.02), which might indicate that certain navigation statuses only marginally impact vessel heading.

Most features show weak or no correlation, except for the abovementioned cases. **vesselId** doesn't show strong correlations with other variables, which is expected since it's more of a categorical identifier.

### 3.2 Plotting SOG by NAVSTAT

Based on the findings from the previous section, we decided to investigate the relationship between SOG and NAVSTAT. In the dataset, SOG is represented as a floating-point number, capturing precise speed measurements, while NAVSTAT is stored as integer codes corresponding to specific navigational states. To make this data more interpretable, we mapped the integer values of NAVSTAT to their respective descriptive labels, as illustrated in Listing 1. This mapping was based on api.vtexplorer.com's AIS (Automatic Identification System) documentation [1].

We thought a natural relationship between a vessel's SOG and NAVSTAT should exist. For example, if a ship is "At Anchor," it is logical to assume that its SOG would be zero, indicating that the vessel is stationary. Additionally, the problem description provided in the project mentioned that some ships might use more than one NAVSTAT code to describe their current activity. Specifically, most vessels tend to use code 0 ("Underway using engine") as their default but occasionally switch to code 8 ("Underway sailing") when transitioning to or from using sails. These assumptions suggested that plotting SOG against NAVSTAT could help us identify patterns or inconsistencies in the data. By visualizing the relationship between these two variables, we could understand how well the data aligns with our expectations. Listing 2 details the plot generating process based on the ais\_train dataset.

The data loading code in Listing 3 enabled us to generate Figure 2, which presents the relationship between Speed Over Ground (SOG) and Navigational Status (NAVSTAT) across the entire dataset. A noteworthy observation from this plot is the presence of vessels with speeds reaching up to 100 knots while underway. Since container ships typically operate within the range of 16 to 24 knots [2], we classi-

```
navstat_mapping = {
        0: 'Under way using engine',
        1: 'At anchor',
3
        2: 'Not under command',
        3: 'Restricted maneuverability',
5
        4: 'Constrained by her draught',
        5: 'Moored',
        6: 'Aground',
        7: 'Engaged in Fishing',
        8: 'Under way sailing',
10
        9: 'Reserved for future amendment of Navigational Status for HSC',
11
        10: 'Reserved for future amendment of Navigational Status for WIG',
12
        11: 'Reserved for future use',
14
        12: 'Reserved for future use',
        13: 'Reserved for future use',
15
        14: 'AIS-SART is active',
16
        15: 'Not defined (default)'
17
```

Listing 1: NAVSTAT mapping

```
import matplotlib.pyplot as plt
import seaborn as sns

# load the ais_train

# Create a box plot to visualize the distribution of sog for each navstat description
plt.figure(figsize=(12, 6))
sns.boxplot(x='navstat_description', y='sog', data=filtered_data)
plt.xlabel('Navigation Status')
plt.ylabel('Speed Over Ground (sog)')
plt.title('Distribution of Speed Over Ground by Navigation Status')
plt.xticks(rotation=45, ha='right') # Rotate labels for better readability
plt.tight_layout() # Adjust layout to prevent label overlap
plt.show()
```

Listing 2: Plotting ais\_train for SOG and NAVSTAT

fied these high-speed instances as noise. Furthermore, by inspecting the vessels.csv dataset, we observed that the recorded maximum, minimum, and average speeds for vessels with non-null maxSpeed values were 23.3, 16.7, and approximately 21.7 knots, respectively. This reinforced our assumption that the original speed data contained unrealistic values, likely due to sensor errors or misreporting.

Listing 3: Loading the dataset

A second significant observation was that vessels reported as being "Moored" or "At Anchor" had non-zero speeds in several instances. Based on the assumption that moored or anchored ships should be stationary, with zero speed, we decided to clean the dataset by removing any records that violated this assumption. Specifically, we pruned the data to exclude instances where vessels were moored or anchored but had a reported speed greater than zero. Moreover, we identified several outliers where vessels were labeled as "Not Under Command" yet had SOG values exceeding 5 knots, which seemed implausible. These outliers were also removed as part of our data-cleaning process.

Furthermore, given that NAVSTAT code 8 ("Underway sailing") effectively conveys the same information as NAVSTAT code 0 ("Underway using engine"), we unified these categories by reassigning all data points with NAVSTAT 8 to NAVSTAT 0. This helped reduce redundancy in the dataset and ensured that our model would not be confused by the same maritime status represented by two different codes. We also removed NAVSTAT codes 6 through 15, which correspond to statuses like "On the ground," "Fishing," and "Reserved for future use," as these statuses were irrelevant to our analysis. The code used to perform this data pruning is shown in Listing 4. Figure 3 illustrates the improved plot after applying these pruning steps, with the SOG values for the "Underway using the engine," "At anchor," and "Moored" statuses now appearing much more reasonable.

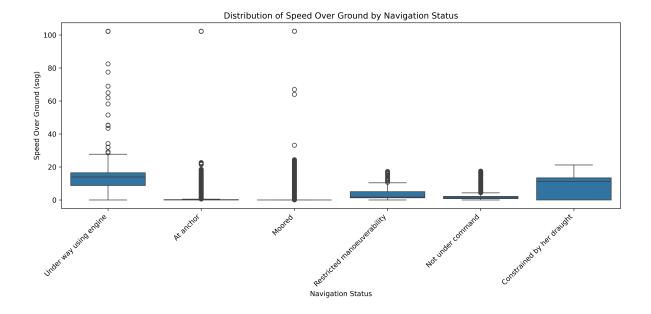


Figure 2: SOG over NAVSTAT plot of the entire dataset

Listing 4: Loading data, pruning SOG and NAVSTAT outliers

### 3.3 Interpolating Vessel Routes

One challenge with the dataset was that the time intervals between recorded data points were highly inconsistent. Some data points were recorded only 5 seconds apart, while others were separated by as much as 13 days. Such significant discrepancies in time intervals posed a problem for trajectory analysis, as having evenly spaced data points is crucial for creating reliable predictions and models. To address this issue, we aimed to ensure that each vessel in the dataset had at least one recorded data point per day. This regularization of the time intervals would provide a more stable foundation for modeling. Inspired by the work in [3], we experimented with cubic spline interpolation to fill in the gaps between irregularly spaced data points. Cubic splines allow for smooth interpolation between known data points, making them suitable for creating a continuous trajectory from our discrete data.

To illustrate this, as an example, let us consider a specific vessel, identified by 61e9f3bfb937134a3c4bfe9f. We can visualize the trajectory of this vessel using Python's plotly library, which allows for interactive data plotting. The code provided below demonstrates how we performed this visualization.

```
import pandas as pd
import numpy as np
import plotly.graph_objects as go

# Read ais_train.csv
ais_train = pd.read_csv("ais_train.csv", sep='|')

# Temporal features
ais_train['time'] = pd.to_datetime(ais_train['time'])
ais_train['elapsed_time'] = (ais_train['time'] - pd.Timestamp("1970-01-01")) // pd.Timedelta('ls')
ais_train['day_of_week'] = ais_train['time'].dt.dayofweek # Monday=0, Sunday=6
ais_train['hour_of_day'] = ais_train['time'].dt.hour
ais_train = pd.get_dummies(ais_train, columns=['day_of_week', 'hour_of_day'], drop_first=True)
```

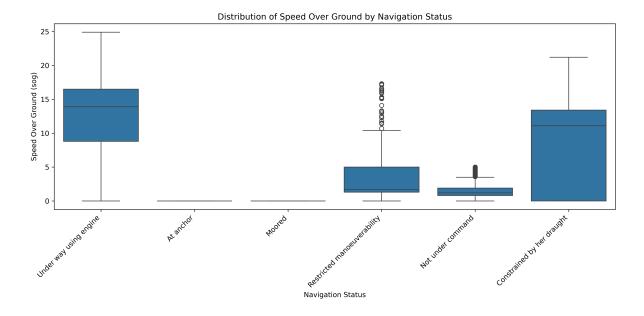


Figure 3: SOG over NAVSTAT after pruning

```
# Filter out unrealistic speeds
16
      ais_train = ais_train[ais_train['sog'] < 25]</pre>
17
      # Map 'navstat' values
18
     ais_train['navstat'] = ais_train['navstat'].replace(8, 0)  # Under way sailing -> Under way using
19
          enginė
20
      ais_train = ais_train[~((ais_train['navstat'].isin([1, 5])) & (ais_train['sog'] > 0))]
      ais_train = ais_train[~((ais_train['navstat'] == 2) & (ais_train['sog'] > 5))]
21
22
      # One-hot encode 'navstat'
23
     ais_train = pd.get_dummies(ais_train, columns=['navstat'])
24
25
      # Split cyclic values into x and y
     ais_train('cog_sin') = np.sin(np.radians(ais_train['cog']))
ais_train['cog_cos'] = np.cos(np.radians(ais_train['cog']))
ais_train['heading_sin'] = np.sin(np.radians(ais_train['heading']))
ais_train['heading_cos'] = np.cos(np.radians(ais_train['heading']))
27
28
29
30
31
32
     # Merge with vessel data
vessels = pd.read_csv("vessels.csv", sep='|')[['shippingLineId', 'vesselId']]
vessels['new_id'] = range(len(vessels))
vessel_id_to_new_id = dict(zip(vessels['vesselId'], vessels['new_id']))
ais_train = pd.merge(ais_train, vessels, on='vesselId', how='left')
33
34
35
36
37
38
      vesselId = "61e9f3bfb937134a3c4bfe9f"
39
     # Filter the data for the selected vesselId and sort by 'time'
vessel_data = ais_train[ais_train['vesselId'] == vesselId].sort_values('time').reset_index(drop=True)
40
41
42
      # Clean vessel data
43
      vessel_data = vessel_data.dropna(subset=['latitude', 'longitude'])
44
45
      # Ensure that 'time' is datetime and sorted
46
47
      vessel_data = vessel_data.sort_values('time')
48
      # Convert 'time' to numeric format for interpolation (seconds since epoch)
vessel_data['time_numeric'] = vessel_data['time'].astype(np.int64) // 10**9
49
50
      # Ensure that 'time_numeric' is strictly increasing
52
     vessel_data = vessel_data.drop_duplicates(subset='time_numeric')
vessel_data = vessel_data.sort_values('time_numeric').reset_index(drop=True)
53
54
      plotting_data = vessel_data.sort_values('time').reset_index(drop=True)
55
56
      # Remove the last row (as it has NaN bearing)
58
     plotting_data = plotting_data[:-1]
59
     # Create the map figure
fig = go.Figure()
60
61
62
      # Add the trajectory line
```

```
fig.add_trace(go.Scattermapbox(
    mode='lines+markers',
65
          lon=plotting_data['longitude'],
66
          lat=plotting_data['latitude'],
67
         marker=dict(size=6, color='blue'),
69
          line=dict(width=2, color='blue'),
70
         name='Trajectory
71
72
73
     fig.update_layout(
74
         mapbox={
              'style': "open-street-map",
'zoom': 1 if interpolate else 4,
75
76
77
               'center': {'lon': plotting_data['longitude'].mean(), 'lat': plotting_data['latitude'].mean()}
78
79
          title="Trajectory of vessel {vesselId} with Direction Arrows"
80
81
     fig.show()
82
```

This script begins by reading the ais\_train.csv file, which contains multiple vessels' raw AIS (Automatic Identification System) data. The first step is to convert the time column from its original format into Python's datetime format, making it easier to manipulate and plot time-series data. We then extracted the features necessary for our analysis, a process we had already refined in earlier stages of our project. Next, the script filters the dataset to isolate the data points corresponding to the vessel with vesselId = "61e9f3bfb937134a3c4bfe9f". To avoid redundancy, we drop duplicate entries before plotting the vessel's trajectory using a map plot.

Figure 4 displays the result of plotting the uninterpolated data points for this vessel. Several key observations can be made from this plot. Firstly, there are instances where some data points are clustered closely together near land, reflecting periods where the vessel was likely stationary or moving slowly. In contrast, other data points are located far from each other, reflecting instances where the ship moved over large distances without frequent AIS updates. For example, the points with the longest distance between them were recorded ten days apart, which creates significant gaps in the vessel's trajectory. These gaps hinder our ability to accurately track the vessel's movements over time, especially for modeling purposes.

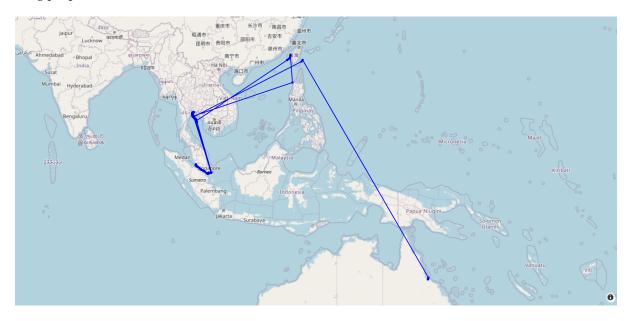


Figure 4: Uninterpolated trajectory of vesselId=61e9f3bfb937134a3c4bfe9f

To address this, we applied cubic spline interpolation using Python's scipy library. This method allowed us to create smooth interpolated paths between the known data points, effectively filling in the missing data while maintaining the continuity of the vessel's trajectory. Cubic spline interpolation is particularly useful for this type of data because it generates smooth curves that pass through the known points while accounting for the natural fluctuations in the vessel's movement over time.

1 from scipy.interpolate import CubicSpline

```
# ... read and preprocess data
    # Create a new time index with daily frequency
    start_time = vessel_data['time'].min().normalize()
    end_time = vessel_data['time'].max().normalize()
    new_time_index = pd.date_range(start=start_time, end=end_time, freq='1D')
     # Convert 'new_time_index' to numeric format
10
    new_time_numeric = new_time_index.astype(np.int64) // 10**9
13
    # Prepare original data for interpolation
    original_times = vessel_data['time_numeric'].values original_latitudes = vessel_data['latitude'].values
14
15
    original_longitudes = vessel_data['longitude'].values
16
17
     # Convert latitude and longitude to radians
19
    lat_rad = np.radians(original_latitudes)
    lon_rad = np.radians(original_longitudes)
20
21
    # Convert to 3D Cartesian coordinates on a unit sphere
22
    x = np.cos(lat_rad) * np.cos(lon_rad)
23
    y = np.cos(lat_rad) * np.sin(lon_rad)
25
    z = np.sin(lat_rad)
    \# Create cubic spline interpolators for x, y, z
27
    cs_x = CubicSpline(original_times, x)
28
    cs_y = CubicSpline(original_times, y)
29
    cs_z = CubicSpline(original_times, z)
    # Interpolate at new time points within the range of original_times
32
    t_min = original_times.min()
t_max = original_times.max()
33
34
35
    valid_mask = (new_time_numeric >= t_min) & (new_time_numeric <= t_max)</pre>
    interp_times_valid = new_time_numeric[valid_mask]
    if len(interp_times_valid) == 0:
         print("No valid interpolation times within the range of original data.")
39
         plotting_data = vessel_data
40
    else:
41
        x_interp = cs_x(interp_times_valid)
42
         y_interp = cs_y(interp_times_valid)
44
         z_interp = cs_z(interp_times_valid)
45
         # Normalize the interpolated coordinates to lie on the unit sphere
46
         norm = np.sqrt(x_interp**2 + y_interp**2 + z_interp**2)
47
         x_interp /= norm
48
         y_interp /= norm
         z_interp /= norm
51
         # Convert back to latitude and longitude
52
53
         lat interp = np.degrees(np.arcsin(z interp))
54
         lon_interp = np.degrees(np.arctan2(y_interp, x_interp))
55
         # Create interpolated DataFrame
        vessel_data_interp = pd.DataFrame({
    'time_numeric': interp_times_valid,
    'latitude': lat_interp,
    'longitude': lon_interp
57
58
59
60
61
         # Convert 'time_numeric' back to datetime
63
         vessel_data_interp['time'] = pd.to_datetime(vessel_data_interp['time_numeric'], unit='s')
65
         # Use the interpolated data for plotting
66
         plotting_data = vessel_data_interp.sort_values('time').reset_index(drop=True)
67
    # ... plot data
```

Figure 5 shows the plot using cubic spline interpolation. In this plot, there is a noticeable improvement in the regularity of the data, given that the amount of data has increased by 140%, as now there is at least one data point for each day. The interpolation has filled the gaps between the previously uneven time intervals, creating a smoother trajectory for the vessel. However, despite this improvement, we needed to address some potential sources of error. Specifically, we observed that the interpolated data points occasionally took abrupt or unrealistic turns, with some interpolated paths even crossing over land, which cargo vessels obviously cannot do.

These unrealistic segments in the trajectory are likely due to the nature of cubic spline interpolation, which, while effective for smoothing data, does not account for geographical constraints such as coastlines or landmasses. Cubic splines interpolate based purely on the mathematical relationship between data points without considering the real-world implications of a vessel's path. As a result, the spline

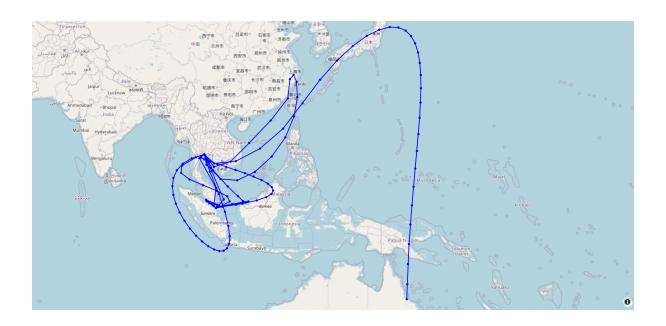


Figure 5: Interpolated trajectory of vesselId=61e9f3bfb937134a3c4bfe9f

interpolation can generate curves that deviate from expected sea routes, especially when there are significant gaps between the original data points or when the vessel's movement near land involves sharp directional changes.

This might be because some data points correspond to periods when the vessel was moored or anchored, meaning the ship was stationary. Interpolating movement data for these periods would be inappropriate, as the boat was not in motion.

## 3.4 Distribution of Time Gaps Between Consecutive AIS Records

We also wanted to investigate the time intervals in the dataset, as we observed that AIS timestamps were inconsistent. To give ourselves an overview, we plotted the distribution of time gaps between consecutive AIS records (in seconds) on a logarithmic frequency scale 6. From this, we noticed the following:

**Dominance of Small Gaps**: The most significant number of time differences is concentrated around tiny gaps (near zero). This suggests that, for many records, the data points are closely spaced in time.

**Exponential Decline**: As the time difference increases, the frequency of occurrence decreases exponentially. This suggests that significant time gaps between consecutive AIS records are less common but still exist.

**Long Tail Distribution**: There is a long tail towards significant time gaps, reaching as high as 6 million seconds (approximately 69 days). While less frequent, there are still notable occurrences of considerable gaps in the dataset.

This observation clearly shows that the time difference between data points needs to be accounted for. This allows us to create a model that effectively handles data points nearby and those with larger intervals.

# 4 Improved LSTM Model

The next step in our project was to implement our findings from the exploratory analysis into our initial LSTM model.

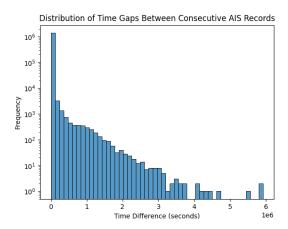


Figure 6: Distribution of Time Gaps Between Consecutive AIS Records

# 4.1 Feature Engineering

Blant others: This involved preprocessing the data:

- Temporal features were extracted from the AIS timestamps, including the elapsed time in seconds, day of the week, and hour of the day.
- Speed Over Ground (SOG) values were filtered to remove unrealistic speeds, and navigation status ('navstat') values were mapped to handle specific cases.
- 'cog' (course over ground) was transformed into cyclic features using sine and cosine encoding.
- One-hot encoding was applied to categorical features, and Min-Max scaling was used to normalize input and target features.

We also put much effort into transforming the raw AIS data into a structured format better suited for the model. First, we focused on extracting useful time-based features. We converted the time column into datetime objects and calculated elapsed\_time as the number of seconds since the epoch to track vessel movements over time. We also pulled out day\_of\_week and hour\_of\_day from the timestamp and one-hot encoded them to capture weekly and daily patterns in vessel activity. For the COG feature, which is directional, we used sine and cosine transformations to avoid issues with its circular nature.

We mapped each to a unique index for the categorical variables, like **vesselId** and NAVSTAT. We used one-hot encoding to turn them into numbers that the model could understand. We cleaned up the data by filtering out combinations of NAVSTAT and SOG values that were unrealistic to co-occur. We also normalized all inputs and outputs with MinMaxScaler to help the model learn more effectively during training.

We added extra information by merging the dataset with external vessel data, including shipping-LineId. Finally, we organized the data into sequential time steps for each vessel so the LSTM model could detect patterns over time.

To capture temporal dependencies, each vessel was assigned ten time-step sequences. This allowed the model to learn from sequences of consecutive historical data points. Each sequence was structured to predict the vessel's location at the next time step. The data was then split into training and validation sets.

#### 4.2 The Model

The model consists of two bidirectional LSTM layers with 512 and 256 hidden units, respectively, followed by a dropout layer and a fully connected output layer. The bidirectional layers enable the model to learn patterns from forward and backward temporal data. The final output layer predicts the latitude and longitude coordinates.

• **Input Size:** Determined by the number of input features.

- Hidden Layers: Two bidirectional LSTM layers with 512 and 256 hidden units.
- Output Size: Set to 2, corresponding to latitude and longitude predictions.

We used a custom Haversine loss function, which calculates the distance between predicted and actual coordinates on the Earth's surface. This distance-based metric ensures that the model minimizes geodetic errors in location predictions, providing a more meaningful evaluation than traditional regression loss functions.

The model was trained for 100 epochs with early stopping to avoid overfitting. The Adam optimizer was used to update model weights based on the Haversine loss.

#### 4.3 The Code

```
#!/usr/bin/env python3
    import pandas as pd
    import numpy as np
    from sklearn.preprocessing import MinMaxScaler
    from sklearn.model_selection import train_test_split
    import torch
    import torch.nn as nn
    import torch.optim as optim
    from torch.utils.data import TensorDataset, DataLoader
11
    import copy
12
    print("PyTorch version:", torch.__version__)
14
     # Set device preference: MPS > CUDA > CPU
15
    if torch.backends.mps.is_available():
17
         device = torch.device("mps")
         print("Using MPS device")
    elif torch.cuda.is_available():
19
        device = torch.device("cuda")
20
        print("Using CUDA device")
21
    else:
       device = torch.device("cpu")
23
24
        print ("Using CPU device")
25
26
    Load and Preprocess Data
27
28
30
    # Read ais_train.csv
    ais_train = pd.read_csv("ais_train.csv", sep='|')
31
33
    vessel_mapping = {vessel: idx for idx, vessel in enumerate(ais_train['vesselId'].unique())}
34
36
    ais_train['time'] = pd.to_datetime(ais_train['time'])
ais_train['elapsed_time'] = (ais_train['time'] - pd.Timestamp("1970-01-01")) // pd.Timedelta('1s')
37
38
39
    # Filter out unrealistic speeds
40
    ais_train = ais_train[ais_train['sog'] < 25]</pre>
43
    # Map 'navstat' values
   ais_train['navstat'] = ais_train['navstat'].replace(8, 0) # Under way sailing -> Under way using
44
     → engine
45
    ais_train = ais_train[ ((ais_train['navstat'].isin([1, 5])) & (ais_train['sog'] > 0))]
    ais_train = ais_train[~((ais_train['navstat'] == 2) & (ais_train['sog'] > 5))]
     # One-hot encode 'navstat'
49
    ais_train = pd.get_dummies(ais_train, columns=['navstat'])
    # Merge with vessel data
51
    vessels = pd.read_csv("vessels.csv", sep='|')[['shippingLineId', 'vesselId']]
    vessels['new_id'] = range(len(vessels))
54
    vessel_id_to_new_id = dict(zip(vessels['vesselId'], vessels['new_id']))
    ais_train = pd.merge(ais_train, vessels, on='vesselId', how='left')
56
    # Temporal features
57
    ais_train['day_of_week'] = ais_train['time'].dt.dayofweek
    ais_train['hour_of_day'] = ais_train['time'].dt.hour
    ais_train = pd.get_dummies(ais_train, columns=['day_of_week', 'hour_of_day'], drop_first=True)
61
62
     # Handle cyclic features for 'cog'
    # nandte cyclic leatures for cog
ais_train['cog_sin'] = np.sin(np.radians(ais_train['cog']))
ais_train['cog_cos'] = np.cos(np.radians(ais_train['cog']))
63
    # Merge with vessels and ports data
    ais_train = pd.merge(ais_train, vessels, on='vesselId', how='left') ais_train['vesselId'] = ais_train['vesselId'].map(vessel_mapping)
68
```

```
# Define input and target features
 70
         input_features = [
                 'latitude', 'longitude', 'sog', 'cog_sin', 'cog_cos', 'elapsed_time',
 73
                 'vesselId'
 74
        1
 75
         input_features.extend([col for col in ais_train.columns if 'day_of_week_' in col])
input_features.extend([col for col in ais_train.columns if 'hour_of_day_' in col])
 76
 77
         target_columns = ['latitude', 'longitude']
 79
 80
 81
         # Initialize scalers
         scaler input = MinMaxScaler()
 82
         scaler_output = MinMaxScaler()
 83
 84
          # Drop rows with NaN values in input features
 86
         ais_train = ais_train.dropna(subset=input_features + target_columns)
 87
         # Scale input and output features
input_data = scaler_input.fit_transform(ais_train[input_features])
 88
 89
         output_data = scaler_output.fit_transform(ais_train[target_columns])
 91
         # Add scaled features back to DataFrame
 92
         ais_train[input_features] = input_data
ais_train[target_columns] = output_data
 93
 94
 95
 96
         Create Sequences for Model Training
 97
 98
 99
100
          # Function to create sequences per vessel
         def create_sequences_per_vessel(df, time_steps):
101
102
                X, y = [], []
                 vessel_ids = df['vesselId'].unique()
103
                 for vessel_id in vessel_ids:
105
                         vessel_data = df[df['vesselId'] == vessel_id].sort_values('elapsed_time')
                         inputs = vessel_data[input_features].values
106
                        if len(inputs) < time_steps:</pre>
107
108
                                continue # Skip sequences shorter than time_steps
109
                         for i in range(len(inputs) - time_steps):
110
                                X.append(inputs[i:i + time_steps])
y.append(targets[i + time_steps])
111
112
113
                return np.array(X), np.array(y)
114
         # Create sequences
115
         time_step =
117
         X, y = create_sequences_per_vessel(ais_train, time_step)
118
119
         # Split into training and validation sets
         X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2, shuffle=True)
120
121
122
          # Convert to PyTorch tensors
         X_train = torch.from_numpy(X_train).float()
123
         y_train = torch.from_numpy(y_train).float()
124
125
         X_val = torch.from_numpy(X_val).float()
        y_val = torch.from_numpy(y_val).float()
126
127
128
          # Create TensorDatasets and DataLoaders
         batch_size = 128
129
130
         train_dataset = TensorDataset(X_train, y_train)
131
         val_dataset = TensorDataset(X_val, y_val)
         train_loader = DataLoader(train_dataset, batch_size=batch_size, shuffle=True)
132
         val_loader = DataLoader(val_dataset, batch_size=batch_size, shuffle=False)
133
134
135
         Haversine Loss Function
136
137
138
         def haversine_loss(y_true, y_pred):
139
                 R = 6371.0 # Earth radius in kilometers
140
                # Ensure constants are tensors of the same dtype and device as y_true
pi_over_180 = torch.tensor(np.pi / 180.0, dtype=y_true.dtype, device=y_true.device)
142
143
144
                 lat true = v true[:, 0] * pi over 180
145
                 late_true = y_true[:, 0] * pi_over_180
lat_pred = y_pred[:, 0] * pi_over_180
146
147
                lon_pred = y_pred[:, 1] * pi_over_180
148
149
                dlat = lat_pred - lat_true
dlon = lon_pred - lon_true
150
151
152
                a = torch.sin(dlat / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_true
153
                 c = 2 * torch.atan2(torch.sqrt(a), torch.sqrt(1 - a))
154
                distance = R * c
155
```

```
156
           # Return mean distance over the batch
157
158
          return torch.mean(distance)
159
160
      Define and Train the Model
161
162
163
     class LSTMModel(nn.Module):
164
          def __init__(self, input_size, hidden_size1, hidden_size2, output_size):
165
                super(LSTMModel, self).__init__()
166
167
                # Set bidirectional=True and adjust hidden sizes accordingly
168
                self.lstm1 = nn.LSTM(
                    input_size, hidden_size1, batch_first=True, bidirectional=True
169
170
171
               self.lstm2 = nn.LSTM(
                    hidden_size1 * 2, hidden_size2, batch_first=True, bidirectional=True
173
174
               self.dropout = nn.Dropout(0.2)
               # Adjust the input size of the fully connected layer
self.fc = nn.Linear(hidden_size2 * 2, output_size)
175
176
177
178
          def forward(self, x):
               out, _ = self.lstm1(x)
out, _ = self.lstm2(out)
179
               out, _
180
               out = self.dropout(out[:, -1, :]) # Get the last time step
181
               out = self.fc(out)
182
               return out
183
185
      # Initialize the model
     input_size = X_train.shape[2]
hidden_size1 = 512
hidden_size2 = 256
186
187
188
189
     output_size = y_train.shape[1]
     model = LSTMModel(input_size, hidden_size1, hidden_size2, output_size).to(device)
190
192
      # Define optimizer
     optimizer = optim.Adam(model.parameters())
193
194
      # Training loop
195
     num_epochs = 100
196
197
     best_val_loss = float('inf')
198
     patience = 5
199
      counter = 0
     best_model_wts = copy.deepcopy(model.state_dict())
200
201
      for epoch in range(num_epochs):
202
203
204
          model.train()
205
          train_losses = []
206
          for batch_X, batch_y in train_loader:
    batch_X = batch_X.to(device)
207
208
               batch_y = batch_y.to(device)
209
210
211
                optimizer.zero_grad()
               outputs = model(batch_X)
212
                loss = haversine_loss(batch_y, outputs)
213
                loss.backward()
214
215
                optimizer.step()
216
                train_losses.append(loss.item())
217
218
          avg_train_loss = np.mean(train_losses)
219
           # Validation
220
          model.eval()
221
           val_losses = []
222
223
          with torch.no_grad():
               for batch_X, batch_y in val_loader:
    batch_X = batch_X.to(device)
224
225
                    batch_y = batch_y.to(device)
226
                    outputs = model(batch_X)
227
                     loss = haversine_loss(batch_y, outputs)
229
                    val_losses.append(loss.item())
230
          avg_val_loss = np.mean(val_losses)
231
          avg_val_loss = \(\text{linear(val_losses)}\)
print(f"\(\text{Epoch}\) (\(\text{[epoch} + 1\) / (\(\text{num_epochs}\)), \(\text{Train Loss: } \{\text{avg_train_loss:.4f}\}\), \(\text{Val Loss:} \(\text{\text{\text{\text{avg_val_loss:.4f}}}\)\)
232
233
234
           # Early stopping
          if avg_val_loss < best_val_loss:</pre>
235
               best_val_loss = avg_val_loss
best_model_wts = copy.deepcopy(model.state_dict())
236
237
238
               counter = 0
239
           else:
240
                counter += 1
241
               if counter >= patience:
```

```
print("Early stopping")
242
243
                   break
244
     # Load best model weights
245
     model.load_state_dict(best_model_wts)
246
247
248
     Prepare Test Data and Make Predictions
249
250
252
     # Load test data
     ais_test = pd.read_csv("ais_test.csv")
ais_test['time'] = pd.to_datetime(ais_test['time'])
ais_test['elapsed_time'] = (ais_test['time'] - pd.Timestamp("1970-01-01")) // pd.Timedelta('1s')
253
254
255
     ais_test['new_id'] = ais_test['vesselId'].map(vessel_id_to_new_id)
256
257
     ais_test['vesselId'] = ais_test['vesselId'].map(vessel_mapping)
ais_test['day_of_week'] = ais_test['time'].dt.dayofweek
ais_test['hour_of_day'] = ais_test['time'].dt.hour
259
260
261
      # One-hot encode
262
     ais_test = pd.get_dummies(ais_test, columns=['day_of_week', 'hour_of_day'], drop_first=True)
263
264
265
     # Merge with vessels and ports data
     ais_test = pd.merge(ais_test, vessels, on='vesselId', how='left')
266
267
268
      # Ensure all columns in ais test match those in input features
     for col in input_features:
269
          if col not in ais_test.columns:
              ais_test[col] = 0
271
272
273
      # Scale the test data using the same scaler
     input_data_test = scaler_input.transform(ais_test[input_features])
274
     ais_test_scaled = ais_test.copy()
275
276
     ais_test_scaled[input_features] = input_data_test
278
      # Prepare sequences for each vessel in the test set
     def create_sequences_for_test(df_train, df_test, time_steps):
279
280
         X_{test} = []
          test_ids = []
281
282
          for idx, row in df_test.iterrows():
              vessel_id = row['vesselId']
283
284
              current_time = row['elapsed_time']
285
              # Get the historical data for this vessel up to the current_time
286
              vessel_train_data = df_train[df_train['vesselId'] == vessel_id]
287
              vessel_test_data = df_test[df_test['vesselId'] == vessel_id]
288
               # Combine and sort
290
291
              vessel_data = pd.concat([vessel_train_data, vessel_test_data], ignore_index=True)
292
              vessel_data = vessel_data.sort_values('elapsed_time')
293
               # Select data up to the current_time (excluding the current row)
294
              historical_data = vessel_data[vessel_data['elapsed_time'] < current_time]</pre>
295
              # Get the last 'time_steps' entries
historical_sequence = historical_data.tail(time_steps)[input_features].values
297
298
299
              if len(historical_sequence) < time_steps:</pre>
300
301
                   # Pad with zeros if not enough historical data
                   padding = np.zeros((time_steps - len(historical_sequence), len(input_features)))
302
303
                   historical_sequence = np.vstack([padding, historical_sequence])
304
305
              X_test.append(historical_sequence)
              test_ids.append(row['ID']) # Assuming 'ID' is unique per test row
306
307
308
         return np.array(X_test), test_ids
309
310
311
      # Create sequences for each test row
     X_test, test_ids = create_sequences_for_test(ais_train, ais_test_scaled, time_step)
312
313
      # Convert to PyTorch tensor
314
     X_test = torch.from_numpy(X_test).float()
315
316
     # Create a DataLoader for test data
317
     test_dataset = TensorDataset(X_test)
test_loader = DataLoader(test_dataset, batch_size=128, shuffle=False)
318
319
320
321
     # Make predictions in batches
322
     predictions = []
323
     model.eval()
324
325
     with torch.no_grad():
         for batch_X in test_loader:
326
              batch_X = batch_X[0].to(device) # batch_X is a tuple
327
              outputs = model(batch_X)
328
```

```
329
              predictions.append(outputs.cpu().numpy())
330
      # Concatenate all batch predictions
331
     y_pred = np.concatenate(predictions, axis=0)
332
333
     # Inverse transform predictions
334
     y_pred_inverse = scaler_output.inverse_transform(y_pred)
335
336
337
338
      # Prepare submission
     submission_df = pd.DataFrame({
339
340
          'ID': test_ids,
          'longitude_predicted': y_pred_inverse[:, target_columns.index('longitude')],
341
          'latitude_predicted': y_pred_inverse[:, target_columns.index('latitude')]
342
343
344
     # Ensure the submission file has the required columns
submission_df = submission_df[['ID', 'longitude_predicted', 'latitude_predicted']]
346
347
348
     # Save submission file
     submission_df.to_csv("submission.csv", index=False)
349
350
351
     # Display submission
352
     print(submission_df.head())
353
     print(f"Submission DataFrame shape: {submission_df.shape}")
354
     print(f"Number of predictions: {len(y_pred_inverse)}")
print(f"Number of test IDs: {len(test_ids)}")
355
356
     assert len(y_pred_inverse) == len(test_ids), "Mismatch between predictions and test IDs"
```

#### 4.4 Result

After implementing the steps described in this section, we reduced our Kaggle score from 600 to 170.

## 4.5 Integrating Cubic Spline Interpolation into LSTM

As we discovered in our exploratory analysis, cubic spline interpolation could potentially smooth irregularities and fill in gaps within the dataset. Below is our implementation of the cubic spline interpolation integration.

```
import pandas as pd
    import numpy as np
    from sklearn.preprocessing import MinMaxScaler
    from sklearn.model_selection import train_test_split
    import torch
    import torch.nn as nn
    import torch.optim as optim
    from torch.utils.data import TensorDataset, DataLoader
    import copy
    from scipy.interpolate import CubicSpline
11
    from tqdm import tqdm
12
    print("PyTorch version:", torch.__version__)
13
14
     # Set device preference: MPS > CUDA > CPU
15
    if torch.backends.mps.is_available():
        device = torch.device("mps")
print("Using MPS device")
17
18
    elif torch.cuda.is_available():
19
        device = torch.device("cuda")
20
        print("Using CUDA device")
21
23
         device = torch.device("cpu")
         print ("Using CPU device")
24
25
26
    Load and Preprocess Data
27
29
30
    # Read ais_train.csv
    ais_train = pd.read_csv("ais_train.csv", sep='|')
31
32
33
34
    vessel_mapping = {vessel: idx for idx, vessel in enumerate(ais_train['vesselId'].unique())}
35
36
    ais_train['time'] = pd.to_datetime(ais_train['time'])
ais_train['elapsed_time'] = (ais_train['time'] - pd.Timestamp("1970-01-01")) // pd.Timedelta('1s')
37
38
39
     # Filter out unrealistic speeds
    ais_train = ais_train[ais_train['sog'] < 25]</pre>
```

```
# Map 'navstat' values
     ais_train['navstat'] = ais_train['navstat'].replace(8, 0) # Under way sailing -> Under way using
44
          engine
      ais_train = ais_train[~((ais_train['navstat'].isin([1, 5])) & (ais_train['sog'] > 0))]
      ais_train = ais_train[~((ais_train['navstat'] == 2) & (ais_train['sog'] > 5)))]
47
      # One-hot encode 'navstat'
48
     ais_train = pd.get_dummies(ais_train, columns=['navstat'])
49
50
      # Merge with vessel data
      vessels = pd.read_csv("vessels.csv", sep='|')[['shippingLineId', 'vesselId']]
     vessels['new_id'] = range(len(vessels))
vessel_id_to_new_id = dict(zip(vessels['vesselId'], vessels['new_id']))
53
54
     ais_train = pd.merge(ais_train, vessels, on='vesselId', how='left')
55
56
57
     ports = pd.read_csv("ports.csv", sep='|')[['portId', 'latitude', 'longitude']]
ports = ports.rename(columns={'latitude': 'port_latitude', 'longitude': 'port_longitude'})
ais_train = pd.merge(ais_train, ports, on='portId', how='left')
59
60
     ais_train = ais_train['ais_train['portId'].isnull()] # Remove rows with null ports
61
62
      def haversine_distance(lat1, lon1, lat2, lon2):
63
           # Earth radius in nautical miles
           R = 3440.065
65
          lat1, lon1, lat2, lon2 = map(np.radians, [lat1, lon1, lat2, lon2]) dlat = lat2 - lat1 dlon = lon2 - lon1
66
67
68
          a = np.sin(dlat / 2.0) ** 2 + np.cos(lat1) * np.cos(lat2) * np.sin(dlon / 2.0) ** 2
69
           return 2 * R * np.arcsin(np.sqrt(a))
71
      def calculate_bearing(lat1, lon1, lat2, lon2):
72
          lat1_rad, lat2_rad = np.radians(lat1), np.radians(lat2)
diff_long = np.radians(lon2 - lon1)
73
74
          y = np.cos(lat1_rad) * np.cos(lat2_rad) y = np.cos(lat1_rad) * np.sin(lat1_rad) * np.cos(lat2_rad) * np.cos(diff_long))
75
76
           initial_bearing = np.arctan2(x, y)
78
          return (np.degrees(initial_bearing) + 360) % 360
79
80
      Cubic Spline Interpolation for Each Vessel
81
82
84
      # List to store processed trajectories
85
     processed_trajectories = []
86
      # Group data by vesselId
87
      vessel_ids = ais_train['vesselId'].unique()
88
      for vessel_id in tqdm(vessel_ids, desc="Interpolating Vessels"):
91
           vessel_data = ais_train[ais_train['vesselId'] == vessel_id].sort_values('elapsed_time')
92
           # Ensure at least two data points
93
94
          if len(vessel_data) < 2:</pre>
95
               continue
 96
97
           # Prepare data for interpolation
          # Prepare data for Interpolation
times = vessel_data['elapsed_time'].values
latitudes = vessel_data['latitude'].values
longitudes = vessel_data['longitude'].values
98
99
100
101
           # Remove duplicates in times
102
103
           times, unique_indices = np.unique(times, return_index=True)
          latitudes = latitudes[unique_indices]
longitudes = longitudes[unique_indices]
104
105
106
          if len(times) < 2:</pre>
107
               continue
108
109
110
           # Convert lat/lon to radians
          lat_rad = np.radians(latitudes)
lon_rad = np.radians(longitudes)
111
112
113
           # Convert to 3D Cartesian coordinates
114
          x = np.cos(lat_rad) * np.cos(lon_rad)
y = np.cos(lat_rad) * np.sin(lon_rad)
115
116
           z = np.sin(lat_rad)
117
118
           # Create new time points for interpolation (every hour)
119
           start_time = times.min()
120
121
           end_time = times.max()
122
           new_times = np.arange(start_time, end_time + 1, 3600) # Every hour in seconds
          # Ensure new_times are within the original times
new_times = new_times[(new_times >= times.min()) & (new_times <= times.max())]</pre>
123
124
125
           # Interpolate x, y, z using cubic splines
126
           cs_x = CubicSpline(times, x)
127
          cs_y = CubicSpline(times, y)
128
```

```
129
          cs z = CubicSpline(times, z)
130
           x_interp = cs_x(new_times)
131
          y_interp = cs_y(new_times)
132
133
           z_interp = cs_z(new_times)
134
           # Normalize to unit sphere
135
          norm = np.sqrt(x_interp**2 + y_interp**2 + z_interp**2)
136
           x interp /= norm
137
           y_interp /= norm
138
139
           z_interp /= norm
140
141
           # Convert back to lat/lon
           lat_interp = np.degrees(np.arcsin(z_interp))
142
           lon_interp = np.degrees(np.arctan2(y_interp, x_interp))
143
144
           # Handle longitude wrap-around
          lon_interp = (lon_interp + 360) % 360
# Adjust longitudes > 180 to negative values (from -180 to 180)
lon_interp[lon_interp > 180] -= 360
146
147
148
149
           # Create interpolated DataFrame
150
151
           interp_df = pd.DataFrame({
152
                 'vesselId': vessel_id,
                'elapsed_time': new_times,
'latitude': lat_interp,
153
154
                'longitude': lon_interp,
155
156
157
           # Assign 'portId' using merge_asof
158
159
           vessel_port_data = vessel_data[['elapsed_time',
           → 'portId']].drop_duplicates().sort_values('elapsed_time')
           interp_df = pd.merge_asof(
160
                interp_df.sort_values('elapsed_time'),
161
162
                vessel_port_data,
                on='elapsed_time'
164
                direction='nearest'
165
166
           # Recalculate 'sog' and 'cog'
167
           lat_prev = np.roll(lat_interp, 1)
168
           lon_prev = np.roll(lon_interp, 1)
169
170
           time_prev = np.roll(new_times, 1)
          distances = haversine_distance(lat_prev, lon_prev, lat_interp, lon_interp)
time_diffs = (new_times - time_prev) / 3600  # Convert time difference to hours
time_diffs[0] = np.nan  # First element has no previous point
sog = distances / time_diffs  # Speed in knots
171
172
173
174
           cog = calculate_bearing(lat_prev, lon_prev, lat_interp, lon_interp)
175
176
           cog[0] = np.nan # First element has no previous point
177
          # Assign 'sog' and 'cog' to interp_df
interp_df['sog'] = sog
interp_df['cog'] = cog
178
179
180
181
           # Drop the first row as it has NaN values
182
183
           interp_df = interp_df.iloc[1:].reset_index(drop=True)
184
           # Convert 'elapsed_time' back to 'time'
185
          interp_df['time'] = pd.to_datetime(interp_df['elapsed_time'], unit='s')
186
187
           # Append to processed_trajectories
188
189
          processed_trajectories.append(interp_df)
190
      # Combine all interpolated data
191
     ais_train_interpolated = pd.concat(processed_trajectories, ignore_index=True)
192
193
      print("ais_train", len(ais_train))
195
      print("ais_train_interpolated", len(ais_train_interpolated))
196
197
      Continue Preprocessing with Interpolated Data
198
199
201
     ais_train_interpolated['day_of_week'] = ais_train_interpolated['time'].dt.dayofweek ais_train_interpolated['hour_of_day'] = ais_train_interpolated['time'].dt.hour
202
203
      ais_train_interpolated = pd.get_dummies(ais_train_interpolated, columns=['day_of_week',
204
           'hour_of_day'], drop_first=True)
205
      # Handle cyclic features for 'cog'
206
      ais_train_interpolated['cog_sin'] = np.sin(np.radians(ais_train_interpolated['cog']))
207
      ais_train_interpolated['cog_cos'] = np.cos(np.radians(ais_train_interpolated['cog']))
208
209
210
      # Merge with vessels and ports data
     ais_train_interpolated = pd.merge(ais_train_interpolated, vessels, on='vesselId', how='left') ais_train_interpolated = pd.merge(ais_train_interpolated, ports, on='portId', how='left')
211
212
```

```
# Calculate 'distance_to_port' and 'bearing_to_port'
214
     ais_train_interpolated['distance_to_port'] = haversine_distance(
    ais_train_interpolated['latitude'], ais_train_interpolated['longitude'],
215
216
          ais_train_interpolated['port_latitude'], ais_train_interpolated['port_longitude']
217
218
     ais_train_interpolated['bearing_to_port'] = calculate_bearing(
    ais_train_interpolated['latitude'], ais_train_interpolated['longitude'],
219
220
          ais_train_interpolated['port_latitude'], ais_train_interpolated['port_longitude']
221
222
     ais_train_interpolated['vesselId'] = ais_train['vesselId'].map(vessel_mapping)
224
225
226
      # Define input and target features
227
     input features = [
           latitude', 'longitude', 'sog', 'cog_sin', 'cog_cos', 'elapsed_time',
228
          'distance_to_port', 'bearing_to_port', 'vesselId'
229
     input_features.extend([col for col in ais_train_interpolated.columns if 'day_of_week_' in col]) input_features.extend([col for col in ais_train_interpolated.columns if 'hour_of_day_' in col])
231
232
233
     target_columns = ['latitude', 'longitude']
234
235
      # Initialize scalers
236
237
     scaler_input = MinMaxScaler()
238
     scaler_output = MinMaxScaler()
239
     # Drop rows with NaN values in input features
240
     ais_train_interpolated = ais_train_interpolated.dropna(subset=input_features + target_columns)
241
243
     # Scale input and output features
     input_data = scaler_input.fit_transform(ais_train_interpolated[input_features])
output_data = scaler_output.fit_transform(ais_train_interpolated[target_columns])
244
245
246
247
      # Add scaled features back to DataFrame
     ais_train_interpolated[input_features] = input_data
248
     ais_train_interpolated[target_columns] = output_data
250
251
      Create Sequences for Model Training
252
253
254
      # Function to create sequences per vessel
255
     def create_sequences_per_vessel(df, time_steps):
256
257
          X, y = [], []
          vessel_ids = df['vesselId'].unique()
258
          for vessel_id in vessel_ids:
259
               vessel_data = df[df['vesselId'] == vessel_id].sort_values('elapsed_time')
260
               inputs = vessel_data[input_features].values
262
               targets = vessel_data[target_columns].values
              if len(inputs) < time_steps:
    continue # Skip sequences shorter than time_steps</pre>
263
264
               for i in range(len(inputs) - time_steps):
265
                   X.append(inputs[i:i + time_steps])
y.append(targets[i + time_steps])
266
          return np.array(X), np.array(y)
269
270
     # Create sequences
271
     time step = 10
     X, y = create_sequences_per_vessel(ais_train_interpolated, time_step)
272
273
     # Split into training and validation sets
274
275
     X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2, shuffle=True)
276
      # Convert to PvTorch tensors
277
     X_train = torch.from_numpy(X_train).float()
278
     y_train = torch.from_numpy(y_train).float()
279
      X_val = torch.from_numpy(X_val).float()
     y_val = torch.from_numpy(y_val).float()
281
282
283
      # Create TensorDatasets and DataLoaders
     batch size = 128
284
     train_dataset = TensorDataset(X_train, y_train)
285
     val_dataset = TensorDataset(X_val, y_val)
     train_loader = DataLoader(train_dataset, batch_size=batch_size, shuffle=True)
287
     val_loader = DataLoader(val_dataset, batch_size=batch_size, shuffle=False)
288
289
     Haversine Loss Function
290
291
292
293
294
     def haversine_loss(y_true, y_pred):
295
          R = 6371.0 # Earth radius in kilometers
296
297
          # Ensure constants are tensors of the same dtype and device as y_true
          pi_over_180 = torch.tensor(np.pi / 180.0, dtype=y_true.dtype, device=y_true.device)
298
          lat_true = y_true[:, 0] * pi_over_180
```

```
lon_true = y_true[:, 1] * pi_over_180
lat_pred = y_pred[:, 0] * pi_over_180
lon_pred = y_pred[:, 1] * pi_over_180
301
302
303
304
                 dlat = lat_pred - lat_true
dlon = lon_pred - lon_true
305
306
307
                  a = torch.sin(dlat / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_pred) * torch.sin(dlon / 2) ** 2 + torch.cos(lat\_true) * torch.cos(lat\_true
308
                       = 2 * torch.atan2(torch.sqrt(a), torch.sqrt(1 - a))
309
310
311
312
                   # Return mean distance over the batch
313
                  return torch.mean(distance)
314
315
                Define and Train the Model
316
317
318
319
          class LSTMModel(nn.Module):
                 def __init__(self, input_size, hidden_size1, hidden_size2, output_size):
    super(LSTMModel, self).__init__()
320
321
                           # Set bidirectional=True and adjust hidden sizes accordingly
322
323
                           self.lstm1 = nn.LSTM(
324
                                   input_size, hidden_size1, batch_first=True, bidirectional=True
325
                          self.lstm2 = nn.LSTM(
326
                                  hidden_size1 * 2, hidden_size2, batch_first=True, bidirectional=True
327
328
                          self.dropout = nn.Dropout(0.2)
330
                           # Adjust the input size of the fully connected layer
331
                          self.fc = nn.Linear(hidden_size2 * 2, output_size)
332
                  def forward(self, x):
333
                          out, _ = self.lstm1(x)
334
                          out, _ = self.lstm2(out)
335
                           out = self.dropout(out[:, -1, :]) # Get the last time step
                          out = self.fc(out)
337
338
                          return out
339
         # Initialize the model
340
          input_size = X_train.shape[2]
341
         hidden_size1 = 512
342
343
         hidden_size2 = 256
344
         output_size = y_train.shape[1]
         model = LSTMModel(input_size, hidden_size1, hidden_size2, output_size).to(device)
345
346
          # Define optimizer
347
         optimizer = optim.Adam(model.parameters())
349
350
         # Training loop
351
         num\_epochs = 100
         best_val_loss = float('inf')
352
         patience = 5
353
354
355
         best_model_wts = copy.deepcopy(model.state_dict())
356
357
          for epoch in range (num_epochs):
358
                  # Training
                  model.train()
359
360
                  train_losses = []
361
362
                  for batch_X, batch_y in train_loader:
                          batch_X = batch_X.to(device)
batch_y = batch_y.to(device)
363
364
365
                          optimizer.zero_grad()
366
367
                           outputs = model(batch_X)
368
                           loss = haversine_loss(batch_y, outputs)
369
                          loss.backward()
370
                          optimizer.step()
                          train_losses.append(loss.item())
371
372
                  avg_train_loss = np.mean(train_losses)
373
374
                  # Validation
375
                  model.eval()
376
                  val losses = []
377
                  with torch.no_grad():
378
                          for batch_X, batch_y in val_loader:
380
                                   batch_X = batch_X.to(device)
381
                                   batch_y = batch_y.to(device)
                                   outputs = model(batch_X)
382
                                   loss = haversine_loss(batch_y, outputs)
383
                                   val_losses.append(loss.item())
384
385
                  avg_val_loss = np.mean(val_losses)
```

```
387
          print(f"Epoch [{epoch + 1}/{num_epochs}], Train Loss: {avg_train_loss:.4f}, Val Loss:
           388
           # Early stopping
           if avg_val_loss < best_val_loss:</pre>
390
               best_val_loss = avg_val_loss
best_model_wts = copy.deepcopy(model.state_dict())
391
392
               counter = 0
393
          else:
394
               if counter >= patience:
396
397
                    print("Early stopping")
398
                    break
399
      # Load best model weights
400
     model.load_state_dict(best_model_wts)
401
403
      Prepare Test Data and Make Predictions
404
405
406
407
      # Load test data
     ais_test = pd.read_csv("ais_test.csv")
ais_test['time'] = pd.to_datetime(ais_test['time'])
ais_test['elapsed_time'] = (ais_test['time'] - pd.Timestamp("1970-01-01")) // pd.Timedelta('ls')
ais_test['new_id'] = ais_test['vesselId'].map(vessel_id_to_new_id)
409
410
411
412
      ais_test['vesselId'] = ais_test['vesselId'].map(vessel_mapping)
413
     ais_test['dey_of_week'] = ais_test['time'].dt.dayofweek
ais_test['hour_of_day'] = ais_test['time'].dt.hour
415
416
     # One-hot encode
ais_test = pd.get_dummies(ais_test, columns=['day_of_week', 'hour_of_day'], drop_first=True)
417
418
419
420
      # Merge with vessels and ports data
421
      ais_test = pd.merge(ais_test, vessels, on='vesselId', how='left')
422
423
      # Ensure all columns in ais_test match those in input_features
     for col in input_features:
    if col not in ais_test.columns:
424
425
               ais_test[col] = 0
426
427
428
      # Scale the test data using the same scaler
     input_data_test = scaler_input.transform(ais_test[input_features])
ais_test_scaled = ais_test.copy()
429
430
      ais_test_scaled[input_features] = input_data_test
431
432
      # Prepare sequences for each vessel in the test set
433
434
      def create_sequences_for_test(df_train, df_test, time_steps):
          X_test = []
435
           test_ids = []
436
          for idx, row in df_test.iterrows():
437
                vessel_id = row['vesselId']
438
               current_time = row['elapsed_time']
439
440
               # Get the historical data for this vessel up to the current_time
vessel_train_data = df_train[df_train['vesselId'] == vessel_id]
441
442
               vessel_test_data = df_test[df_test['vesselId'] == vessel_id]
443
444
445
                # Combine and sort
               vessel_data = pd.concat([vessel_train_data, vessel_test_data], ignore_index=True)
446
447
                vessel_data = vessel_data.sort_values('elapsed_time')
448
                # Select data up to the current_time (excluding the current row)
449
               historical_data = vessel_data[vessel_data['elapsed_time'] < current_time]
450
451
                # Get the last 'time_steps' entries
452
453
               historical_sequence = historical_data.tail(time_steps)[input_features].values
454
455
               if len(historical_sequence) < time_steps:</pre>
                    # Pad with zeros if not enough historical data
padding = np.zeros((time_steps - len(historical_sequence), len(input_features)))
456
457
                    historical_sequence = np.vstack([padding, historical_sequence])
459
460
               X_test.append(historical_sequence)
test_ids.append(row['ID']) # Assuming 'ID' is unique per test row
461
462
          return np.array(X_test), test_ids
463
464
465
466
      # Create sequences for each test row
     \textbf{X\_test, test\_ids} = \texttt{create\_sequences\_for\_test(ais\_train\_interpolated, ais\_test\_scaled, time\_step)}
467
468
469
       Convert to PyTorch tensor
     X_test = torch.from_numpy(X_test).float()
470
     # Create a DataLoader for test data
```

```
473
     test_dataset = TensorDataset(X_test)
     test_loader = DataLoader(test_dataset, batch_size=128, shuffle=False)
474
475
     # Make predictions in batches
477
    predictions = []
478
     model.eval()
479
     \begin{tabular}{ll} \textbf{with} & \texttt{torch.no\_grad():} \\ \end{tabular}
480
         for batch_X in test_loader:
481
               batch_X = batch_X[0].to(device) # batch_X is a tuple
               outputs = model(batch_X)
484
              predictions.append(outputs.cpu().numpy())
485
     # Concatenate all batch predictions
486
487
     y_pred = np.concatenate(predictions, axis=0)
488
      # Inverse transform predictions
     y_pred_inverse = scaler_output.inverse_transform(y_pred)
490
491
492
     # Prepare submission
493
     submission_df = pd.DataFrame({
494
          'ID': test_ids,
          'longitude_predicted': y_pred_inverse[:, target_columns.index('longitude')],
496
          'latitude_predicted': y_pred_inverse[:, target_columns.index('latitude')]
497
498
     })
499
     # Ensure the submission file has the required columns
submission_df = submission_df[['ID', 'longitude_predicted', 'latitude_predicted']]
500
     # Save submission file
503
     submission_df.to_csv("submission.csv", index=False)
504
505
     # Display submission
506
507
    print(submission_df.head())
     print (f"Submission DataFrame shape: {submission df.shape}")
509
510
     print(f"Number of predictions: {len(y_pred_inverse)}")
     print(f"Number of test IDs: {len(test_ids)}")
assert len(y_pred_inverse) == len(test_ids), "Mismatch between predictions and test IDs"
511
```

This yielded a Kaggle score of 1347, which was quite a poor result. This indicated that we either needed a more advanced interpolation technique or that interpolation might not be the right approach for handling stationary intervals.

#### 4.6 BiGRU

We also tried variations where we changed the LSTM layers with GRU in combinations with and without bidirectional layers. LSTM proved to be the best in all cases.

# 5 Darts and LightGBM

After spending considerable time on deep learning models, we explored time-series models. Specifically, we chose to try the Darts library with LightGBM.

### 5.1 Feature Engineering

For the Darts model, a slightly different feature engineering approach was used.

A critical step in feature engineering here is data resampling to an hourly frequency. By aggregating the latitude and longitude coordinates using the mean and applying cubic interpolation, the code addresses irregular time intervals and fills in missing values, resulting in uniformly spaced data points that enhance the model's ability to detect patterns. Creating Darts TimeSeries objects encapsulates these processed features, facilitating seamless integration with the LightGBMModel for time series forecasting.

To maintain consistency and relevance, the code filters the data to include only those vessel IDs in the training and testing sets, thereby focusing the model on vessels with available historical and predictive data. Grouping the training data by <code>vesselId</code> allows for vessel-specific processing, where each vessel's trajectory is sorted chronologically and set with <code>time</code> as the index.

Additionally, the code computes the forecasting horizon by calculating the time differences between

the last training timestamp and each test timestamp in hours. This enables the model to predict future positions based on the temporal distance from the previously known data point. Using lag features, specifically setting lags=48, allows the LightGBM model to consider the past 24 hours of data when making predictions, capturing both short-term and longer-term dependencies in the vessel movements.

Moreover, by iterating over each vessel and fitting individual models, the feature engineering process ensures that vessel-specific characteristics and behaviors are accounted for, leading to more accurate and personalized predictions.

#### 5.2 The Model

The primary model used for prediction was the XGBoost regressor, which was trained to predict longitude and latitude. Various hyperparameters were fine-tuned to improve the model's performance, including:

- **n\_estimators:** Number of boosting rounds was 700.
- **learning\_rate:** The learning rate was reduced to 0.02 to allow for more fine-grained updates to the model.
- max\_depth: The maximum depth of trees was set to 9 to balance model complexity and overfitting.
- **regularization:** L1 (alpha) and L2 (lambda) regularization parameters were increased to 0.4 and 2.0, respectively, to control overfitting.

The model was evaluated using the Mean Squared Error (MSE) metric on the validation set. The following results were obtained:

- XGBoost Validation MSE: 1367.17 after hyperparameter tuning.
- **Shuffled Cross-Validation MSE:** 1488.46, indicating consistent performance across different data splits.
- LightGBM Validation MSE: 1824.10, showing that XGBoost outperformed LightGBM in this task.

#### 5.3 Hyperparameter tuning

Here, we first tried lags=24, then lags=48 and lags=96. We also played with setting learning\_rate to 0.1 and 0.01 and n\_estimators to 50 and 500. In the end, the default values for learning\_rate, n\_estimators, and lags=48 worked out the best.

#### 5.4 The Code

We decided to revisit using LightGBM in combination with Darts for our analysis. The central strategy involved creating individual time series for each vessel with minimal data preprocessing. This approach ultimately yielded a score of 159.8.

```
import pandas as pd
      import numpy as np
      from darts import TimeSeries
      from darts.models import LightGBMModel
      import lightgbm as lgb
      # Load ais_train.csv with separator '/'
     train_df = pd.read_csv('ais_train.csv', sep='|')
train_df['time'] = pd.to_datetime(train_df['time'])
      # Load ais_test.csv with separator ','
11
     test_df = pd.read_csv('ais_test.csv', sep=',')
test_df['time'] = pd.to_datetime(test_df['time'])
12
13
      # Use 'vesselId' instead of 'vessel_id'
     # Select only vessel IDs that are in both train and test datasets
common_vessel_ids = set(train_df['vesselId']).intersection(set(test_df['vesselId']))
train_df = train_df[train_df['vesselId'].isin(common_vessel_ids)]
16
17
18
      # Group the training data by vesselId
     groups = train_df.groupby('vesselId')
     # Initialize dictionaries to store TimeSeries objects and last training times
```

```
timeseries_dict = {}
last_train_time = {}
25
      # Process each vesselId group
27
     for vessel_id, group_df in groups:
28
          # Sort on time
29
         group_df = group_df.sort_values('time')
30
          # Set index to time
31
         group_df = group_df.set_index('time')
32
            Select features (latitude and longitude)
          features_df = group_df[['latitude', 'longitude']]
# Resample data to hourly frequency with mean and linear interpolation
34
35
          features_df = features_df.resample('H').mean().interpolate(method='cubic')
 36
          # Create Darts TimeSeries object
37
         ts = TimeSeries.from_dataframe(features_df, value_cols=['latitude', 'longitude'])
38
          # Store the TimeSeries object and last training time
39
          timeseries_dict[vessel_id] = ts
          last_train_time[vessel_id] = features_df.index.max()
41
42
     # Initialize a dictionary to store predictions
predictions = {}
43
44
45
     # Fit LightGBM models and predict for each TimeSeries object
47
     for vessel_id, ts in timeseries_dict.items():
48
          # Get the last training time
          last_time = last_train_time[vessel_id]
49
50
          # Get test times for this vessel
          vessel_test_df = test_df[test_df['vesselId'] == vessel_id]
51
 52
          test_times = vessel_test_df['time']
          # Compute the time differences in hours
53
         time_diffs = (test_times - last_time).dt.total_seconds() / 3600
# Get the maximum forecast horizon needed
54
55
         max_n = int(np.ceil(time_diffs.max()))
 56
57
         if max_n <= 0:
             continue # Skip if no future times to predict
 58
 59
          # Initialize LightGBM model with lag parameters
60
         model = LightGBMModel(lags=48)
61
          # Fit the model
         model.fit(ts)
62
          # Predict up to the maximum horizon needed
63
          forecast = model.predict(max_n)
64
          # Store the forecast and last time
66
         predictions[vessel_id] = (forecast, last_time)
67
     # Initialize a list to store submission rows
68
     submission_rows = []
69
70
71
      # Generate predictions for the submission file
72
     for idx, row in test_df.iterrows():
         vessel_id = row['vesselId']
test_time = row['time']
73
74
         test_id = row['ID'] # Assuming 'ID' column exists in test_df
75
76
            Check if predictions are available for this vessel_id
77
          if vessel_id in predictions:
              forecast_ts, last_time = predictions[vessel_id]
time_diff = (test_time - last_time).total_seconds() / 3600
 78
79
              index = int(np.round(time_diff)) - 1  # Adjust index since forecast starts from last_time + 1
80
              → hour
# Convert forecast_ts to DataFrame
81
 82
              forecast_df = forecast_ts.pd_dataframe()
               # Check if index is within forecast horizon
84
              if 0 <= index < len(forecast_df):</pre>
                   predicted_lat = forecast_df['latitude'].iloc[index]
predicted_lon = forecast_df['longitude'].iloc[index]
85
86
87
              else:
                  predicted_lat = np.nan
88
                   predicted_lon = np.nan
90
         else:
              predicted_lat = np.nan
predicted_lon = np.nan
91
92
          # Append the prediction to the submission list
 93
 94
          submission_rows.append({
              'ID': test_id,
96
              'longitude_predicted': predicted_lon,
97
              'latitude_predicted': predicted_lat
98
          })
99
     # Create a submission DataFrame from the list
100
     submission_df = pd.DataFrame(submission_rows)
101
102
103
      # Save the submission file
     submission_df.to_csv('submission.csv', index=False)
104
105
     print(submission_df)
106
```

#### 5.5 Result

The model performed reasonably well, representing our best results with LightGBM. However, incorporating the additional preprocessing steps in our exploratory analysis worsened the model's performance. In particular, adding features such as speed over ground (SOG) and course over ground (COG) led to a decline in predictive accuracy. Furthermore, we found that cubic interpolation provided better results than linear interpolation when data processing. This was quite surprising.

### 6 Random Forest

After seeing an improvement in our score with a simpler model using Darts, we decided to experiment with a more traditional tree-based model: Random Forest.

## 6.1 Feature Engineering

We chose a slightly different approach for our feature engineering in our Random Forest implementation. The new features we created to enhance the model's predictive capabilities were:

- previous\_lat: Latitude at the previous timestamp.
- previous\_lon: Longitude at the previous timestamp.
- delta\_time: Time difference in seconds between the current and previous timestamps.

These features were generated using group-wise operations:

```
# Create 'previous_lat', 'previous_lon', and 'delta_time' in the training set
train['previous_lat'] = train.groupby('vesselId')['latitude'].shift(1)
train['previous_lon'] = train.groupby('vesselId')['longitude'].shift(1)
train['delta_time'] = train.groupby('vesselId')['time'].diff().dt.total_seconds()
```

We then removed any rows with missing values that result from the shift operation:

```
1  # Drop rows with missing values resulting from the shift operation
2  train = train.dropna(subset=['previous_lat', 'previous_lon', 'delta_time'])
```

For the test set, we initialized the new features with NaN values, which are populated during the prediction phase:

```
1  # Initialize 'previous_lat', 'previous_lon', and 'delta_time' in the test set
2  test['previous_lat'] = np.nan
3  test['previous_lon'] = np.nan
4  test['delta_time'] = np.nan
```

#### 6.2 Feature Selection

To ensure the model focuses on the most relevant features, we performed feature selection by choosing the newly engineered features that capture the essential temporal and spatial information:

- vesselId: Encoded identifier for each vessel.
- previous\_lat and previous\_lon: Provide spatial context based on the vessel's last known position.
- delta\_time: Captures the temporal interval between observations.

We then extracted each vessel's last known positions and timestamps from the training data, which serves as the starting point for making predictions in the test set:

#### 6.3 The Model

Separate Random Forest Regressors are trained for latitude and longitude predictions using the engineered features and selected targets:

We iterate over each vessel in the test data to generate predictions. For each vessel, the process involves:

- 1. Verifying the vessel exists in the training data.
- 2. Retrieving the last known position and time.
- 3. For each timestamp in the test data:
  - Calculating the time difference from the last known time.
  - Preparing the feature vector for prediction.
  - Predicting the latitude and longitude.
  - Updating the previous position and time with the new predictions.
  - Storing the predictions.

## 6.4 Hyperparameter Tuning

We first tried with lags=1, n\_estimators of 50. This proved to give us the highest score overall. We also tried n\_estimators of 100 and lags=2, but these combinations worsened the model.

#### 6.5 The Code

```
import pandas as pd
      import numpy as np
      from sklearn.ense
                              mble import RandomForestRegressor
      # Load training data
     train = pd.read_csv('ais_train.csv', sep='|')
      # Load test data
     test = pd.read_csv('ais_test.csv', sep=',')
     # Convert 'time' column to datetime
11
     train['time'] = pd.to_datetime(train['time'])
12
     test['time'] = pd.to_datetime(test['time'])
14
      # Map 'vesselId' to unique integers
15
     from sklearn.preprocessing import LabelEncoder
     le = LabelEncoder()
17
     train['vesselId'] = le.fit_transform(train['vesselId'])
test['vesselId'] = le.transform(test['vesselId'])
18
19
20
      # Sort datasets by 'vesselId' and 'time'
21
     train = train.sort_values(by=['vesselId', 'time'])
test = test.sort_values(by=['vesselId', 'time'])
24
     # Create 'previous_lat', 'previous_lon', and 'delta_time' in the training set
train['previous_lat'] = train.groupby('vesselId')['latitude'].shift(1)
train['previous_lon'] = train.groupby('vesselId')['longitude'].shift(1)
train['delta_time'] = train.groupby('vesselId')['time'].diff().dt.total_seconds()
25
26
27
     # Drop rows with missing values resulting from the shift operation
train = train.dropna(subset=['previous_lat', 'previous_lon', 'delta_time'])
31
     # Prepare training features and targets
X_train = train[('vesselId', 'previous_lat', 'previous_lon', 'delta_time']]
y_train_lat = train['latitude']
33
34
      y_train_lon = train['longitude']
36
37
      # Initialize 'previous_lat', 'previous_lon', and 'delta_time' in the test set
38
     test['previous_lat'] = np.nan
test['previous_lon'] = np.nan
39
40
      test['delta_time'] = np.nan
43
      # Retrieve last known positions from the training set
     # Netrieve last whom positions from the thinking set last_positions = train.groupby('vesselId').apply(lambda x: x.iloc[-1])[['vesselId', 'latitude', 

'longitude', 'time']]
last_positions = last_positions.set_index('vesselId')
44
45
      # Train separate Random Forest models for latitude and longitude
48
     model_lat = RandomForestRegressor(n_estimators=50, random_state=42)
     model_lat.fit(X_train, y_train_lat)
49
     model_lon = RandomForestRegressor(n_estimators=50, random_state=42)
51
     model_lon.fit(X_train, y_train_lon)
53
54
      # Prepare a list to collect the prediction results
55
     submission_rows = []
      # Loop over each vessel in the test data
```

```
for vessel_id in test['vesselId'].unique():
    vessel_test_data = test[test['vesselId'] == vessel_id].copy()
59
         vessel_test_data = vessel_test_data.sort_values(by='time')
60
          # Check if the vessel_id exists in the last_positions
         if vessel_id in last_positions.index:
63
              prev_lat = last_positions.loc[vessel_id, 'latitude']
64
              prev_lon = last_positions.loc[vessel_id, 'longitude']
65
              last_time = last_positions.loc[vessel_id, 'time']
66
67
              # If vessel_id is not in the training data, skip prediction
69
              continue
70
          # Iterate over each record for the vessel
71
72
         for idx, row in vessel_test_data.iterrows():
             delta_time = (row['time'] - last_time).total_seconds()
73
75
              # Prepare the feature vector
76
             X_test_row = pd.DataFrame({
                  'vesselId': [vessel_id],
'previous_lat': [prev_lat],
77
78
79
                  'previous_lon': [prev_lon],
                  'delta_time': [delta_time]
81
82
             # Predict latitude and longitude
83
             predicted_lat = model_lat.predict(X_test_row)[0]
84
             predicted_lon = model_lon.predict(X_test_row)[0]
85
              # Update previous values for the next iteration
             prev_lat = predicted_lat
prev_lon = predicted_lon
88
89
             last_time = row['time']
90
91
92
              # Append the prediction to the submission list
              submission_rows.append({
94
                  'ID': row['ID'],
                  'longitude_predicted': predicted_lon,
95
                  'latitude_predicted': predicted_lat
96
97
     # Create a submission DataFrame from the list
100
     submission_df = pd.DataFrame(submission_rows)
101
     # Merge the predictions with the test data based on 'ID'
102
     final_submission = test[['ID']].merge(submission_df, on='ID', how='left')
103
104
     # Save the submission file
     final_submission.to_csv('submission.csv', index=False)
```

#### 6.6 Result

This model proved to be our best-scoring model, with a Kaggle score of 115.8. Of course, this was nice, but we also think it is a bit strange that this very simple model would outperform our more elaborate models. However, as discussed in the following section, we recognize that this model may be overly simplistic, as it assumes that future vessel movements depend solely on the immediate previous position and time interval. We acknowledge that this simplification may overlook more complex navigational patterns.

### 6.7 Feature Importance For Random Forest Model

This section explores the importance of features in our Random Forest models used to predict latitude and longitude. Two separate Random Forest models were trained to predict each of these target variables individually, and the feature importance scores were computed for each model to identify the contribution of each input feature.

The tables below summarize the importance of the features for the models predicting latitude and longitude. The model prioritizes past latitude and longitude values (previous\_lat and previous\_lon) along with delta\_time, which captures the time difference between observations. The vesselId feature, which identifies the unique vessel, has a lower importance score, indicating that the historical positional data and time intervals are the primary drivers of the model's predictive capabilities.

The results indicate that previous\_lat and previous\_lon are the most influential features for predicting latitude and longitude. This suggests that a vessel's previous positions strongly indicate its current location. Though less influential, the delta\_time feature still contributes to the model's under-

Featur	Feature Importance for Latitude Prediction Model				
Rank	Feature	Importance			
1	previous_lat	0.995888			
2	delta_time	0.003003			
3	previous_lon	0.000875			
4	vesselId	0.000234			

Feature Importance for Longitude Prediction Model				
Rank	Feature	Importance		
1	previous_lon	0.995079		
2	delta_time	0.004414		
3	previous_lat	0.000389		
4	vesselId	0.000117		

Table 1: Feature Importance for Latitude and Longitude Prediction Models

standing by providing the time elapsed since the last recorded position, allowing the model to account for temporal changes in location.

In contrast, vesselId, which denotes the specific vessel, has the lowest importance score in both models. This outcome implies that while the vessel's unique identifier may provide some context, it does not significantly impact predictions. This finding aligns with the notion that a vessel's historical path, rather than its specific vessel ID, holds the most predictive power in forecasting its location.

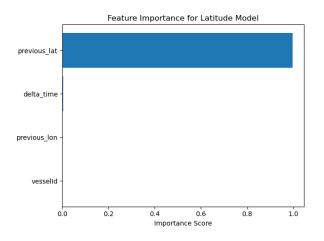


Figure 7: Feature importance for Latitude Prediction Model

Figures 7 and 8 visually illustrate the feature importance for each model. These bar charts confirm that 'previous\_lat' and 'previous\_lon' overwhelmingly dominate the feature contributions in their respective models, underscoring the importance of recent positional data in location prediction tasks. Future model improvements could focus on refining temporal and spatial feature representations and exploring additional factors that may influence the vessel's movement patterns.

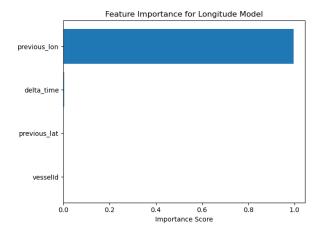


Figure 8: Feature importance for Longitude Prediction Model

# 7 Conclusions

In this project, we explored various models and feature engineering techniques to improve vessel position predictions. We achieved our best score of 115.8 on Kaggle with a Random Forest model. Our analysis highlighted the importance of recent positional data and time intervals, though more complex models incorporating additional features did not perform as well.

# References

- [1] "AIS Navigation Status AIS Data VT Explorer." [Online]. Available: https://api.vtexplorer.com/docs/ref-navstat.html
- [2] Cristi, "How fast can large cargo ships go? What is the maximum speed that they can safely travel at?" Jun. 2024. [Online]. Available: https://medium.com/@crisri/how-fast-can-large-cargo-ships-go-what-is-the-maximum-speed-that-they-can-safely-travel-at-ae6b1f2c0155
- [3] X. Liu, W. He, J. Xie, and X. Chu, "Predicting the Trajectories of Vessels Using Machine Learning," in 2020 5th International Conference on Control, Robotics and Cybernetics (CRC), Oct. 2020, pp. 66–70. [Online]. Available: https://ieeexplore.ieee.org/abstract/document/9253496/figures#figures