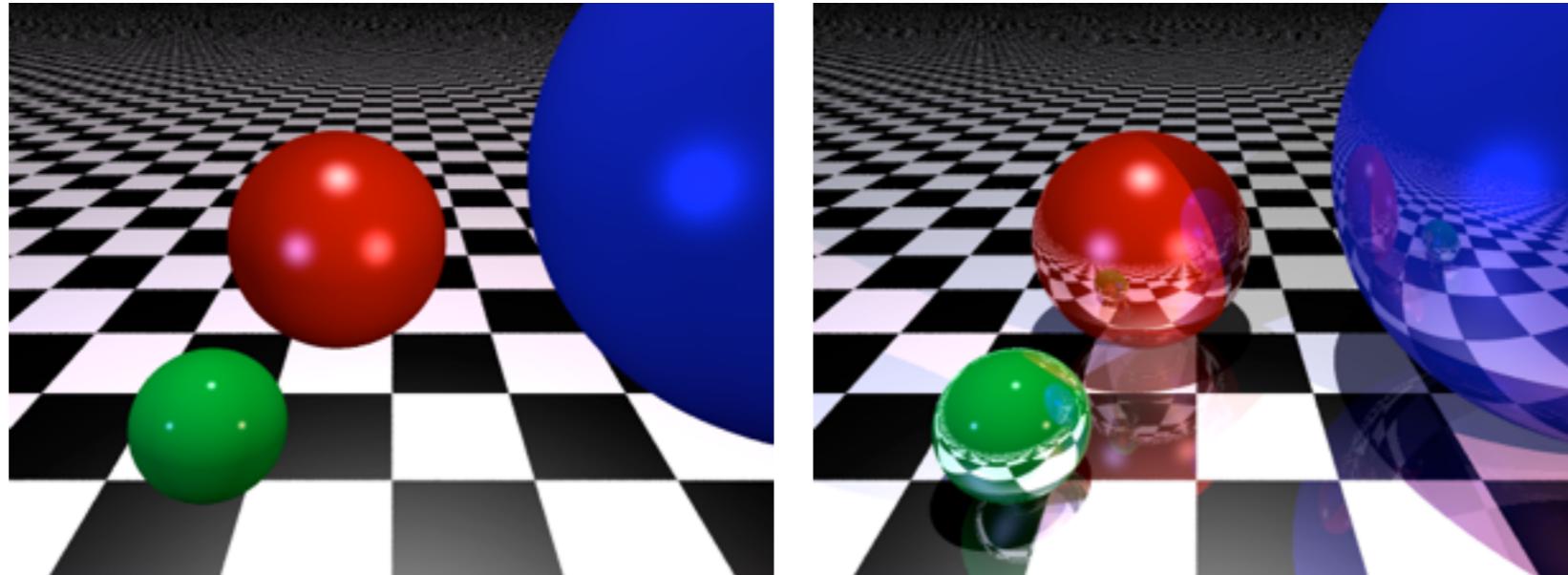


# Introduction to Computer Graphics

## *Colors & Lighting*



Prof. Dr. Mario Botsch  
Computer Graphics & Geometry Processing

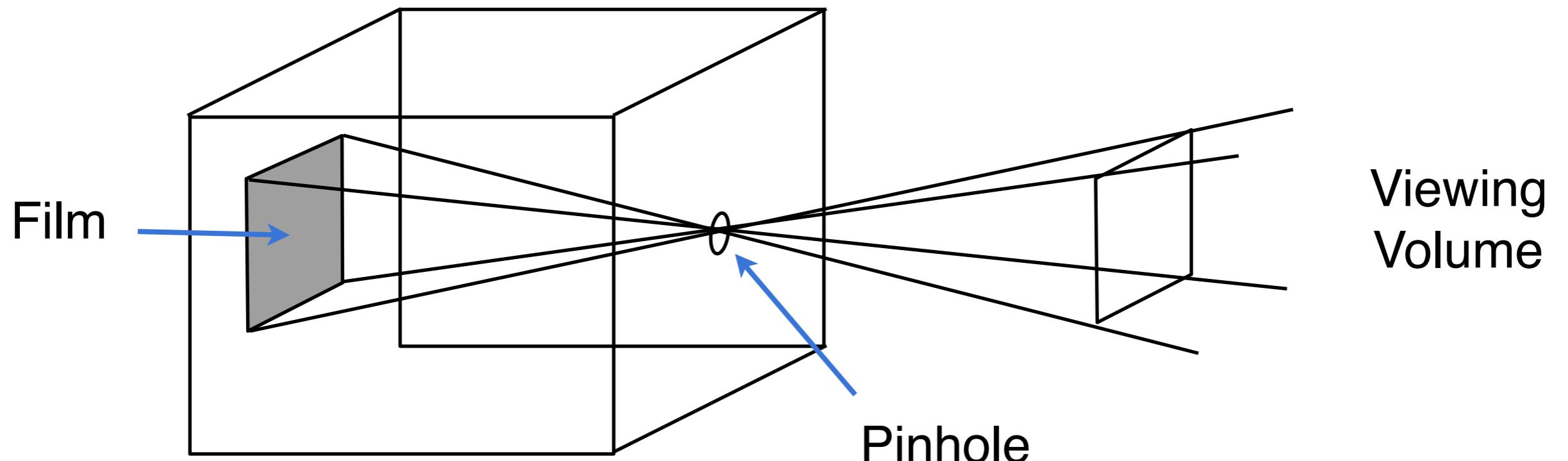


# Last time

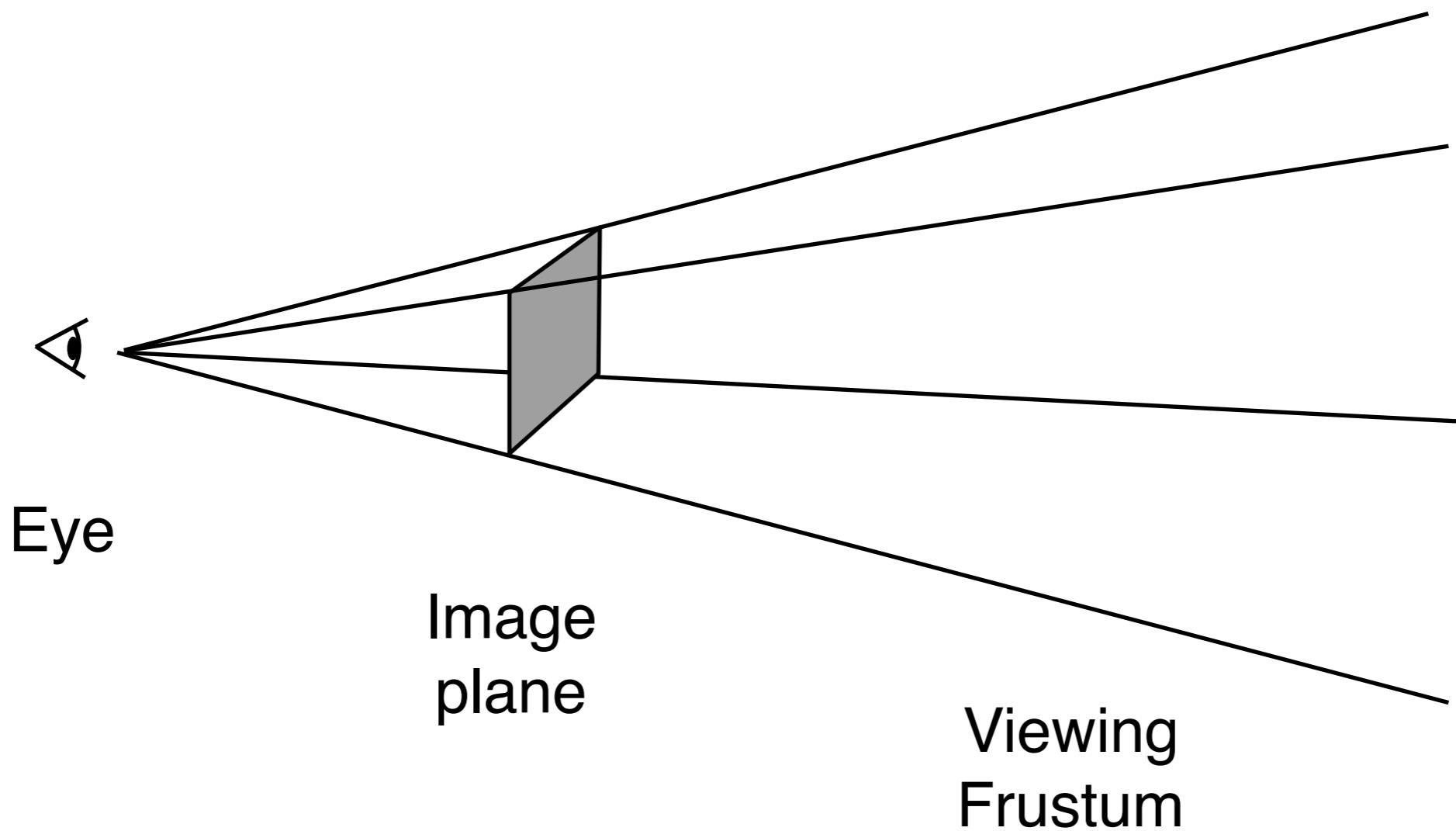


- What is light?
- How is light propagated?
- How does a camera work?
- How does light interact with objects?
- What is a color?

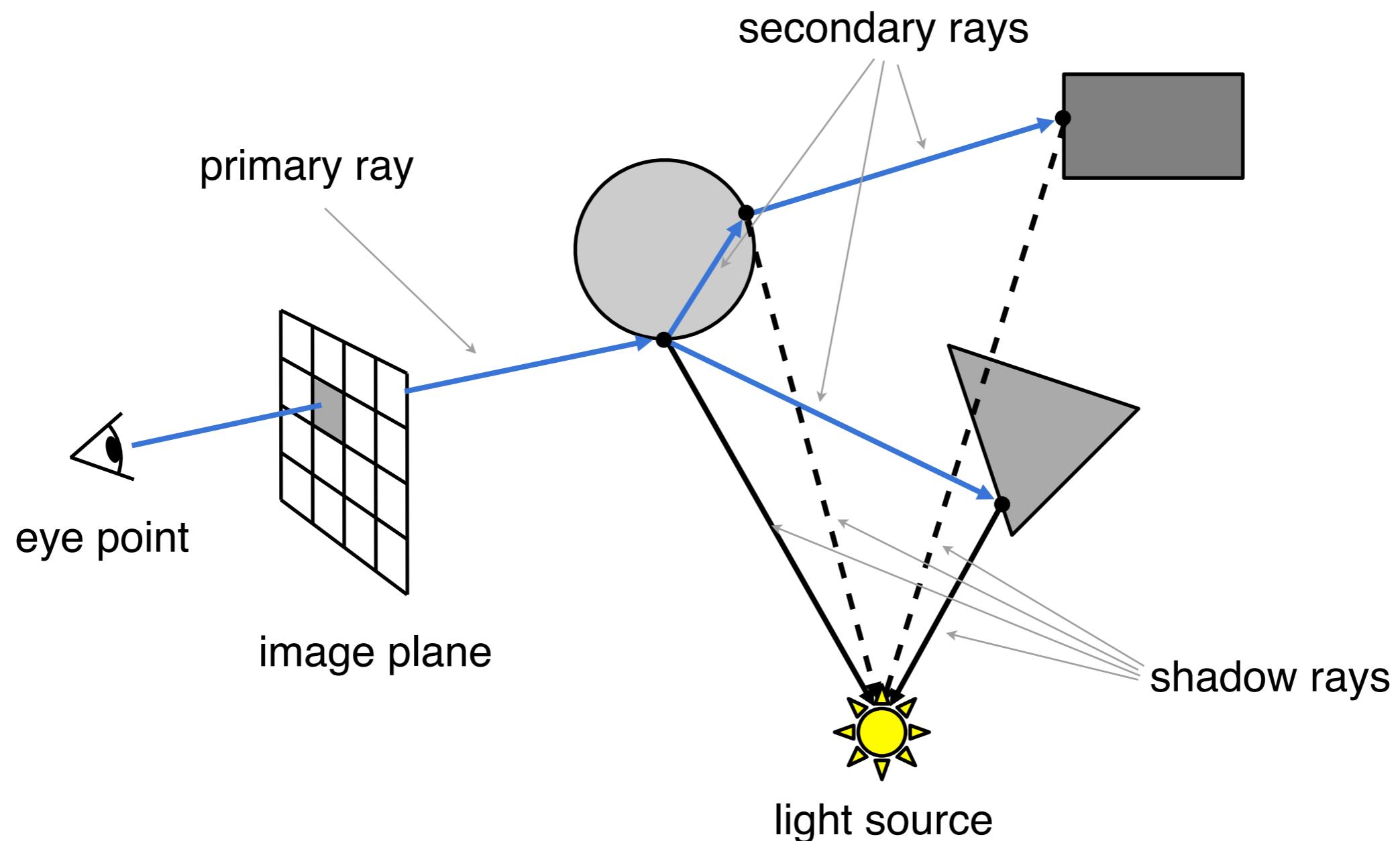
# Pinhole Camera



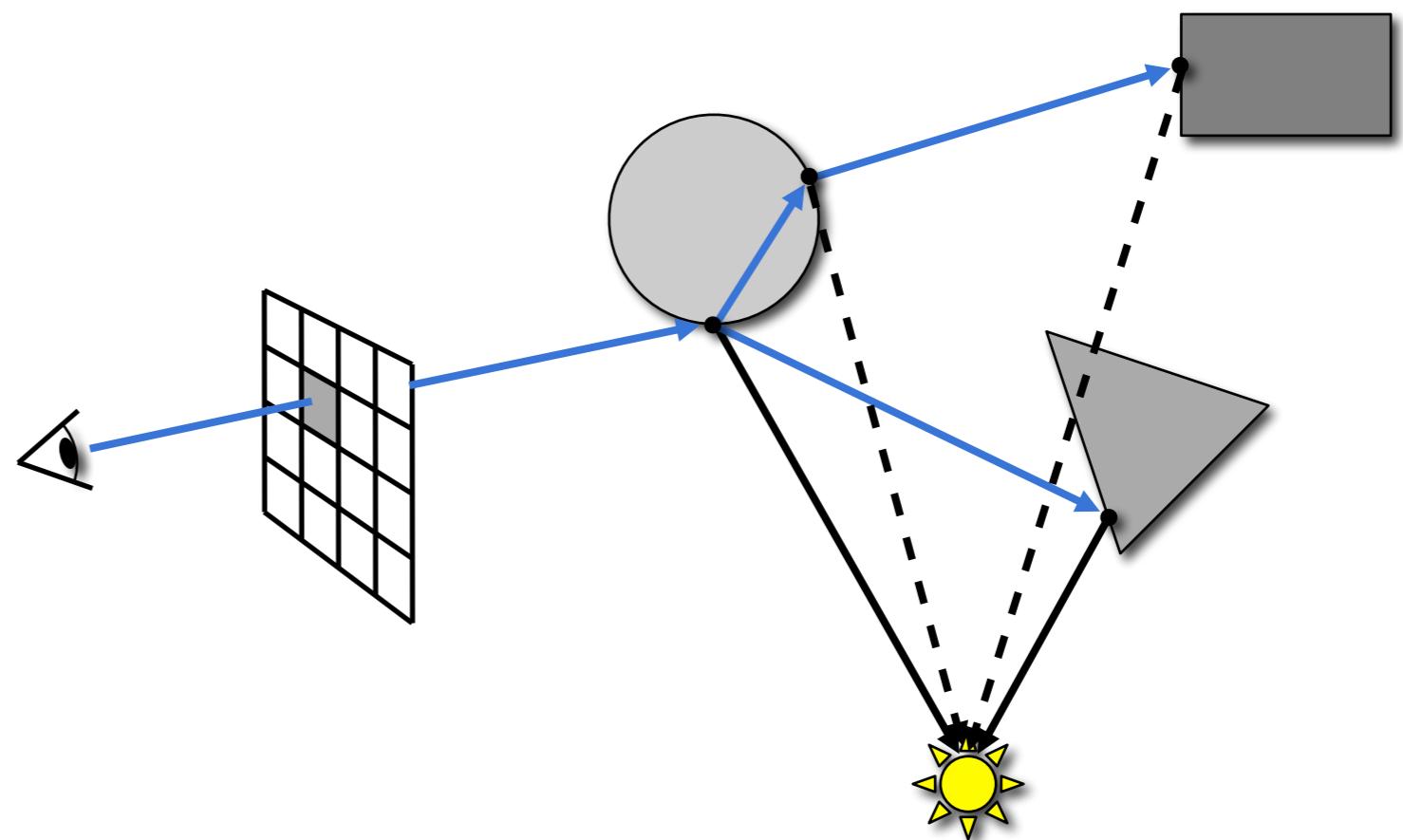
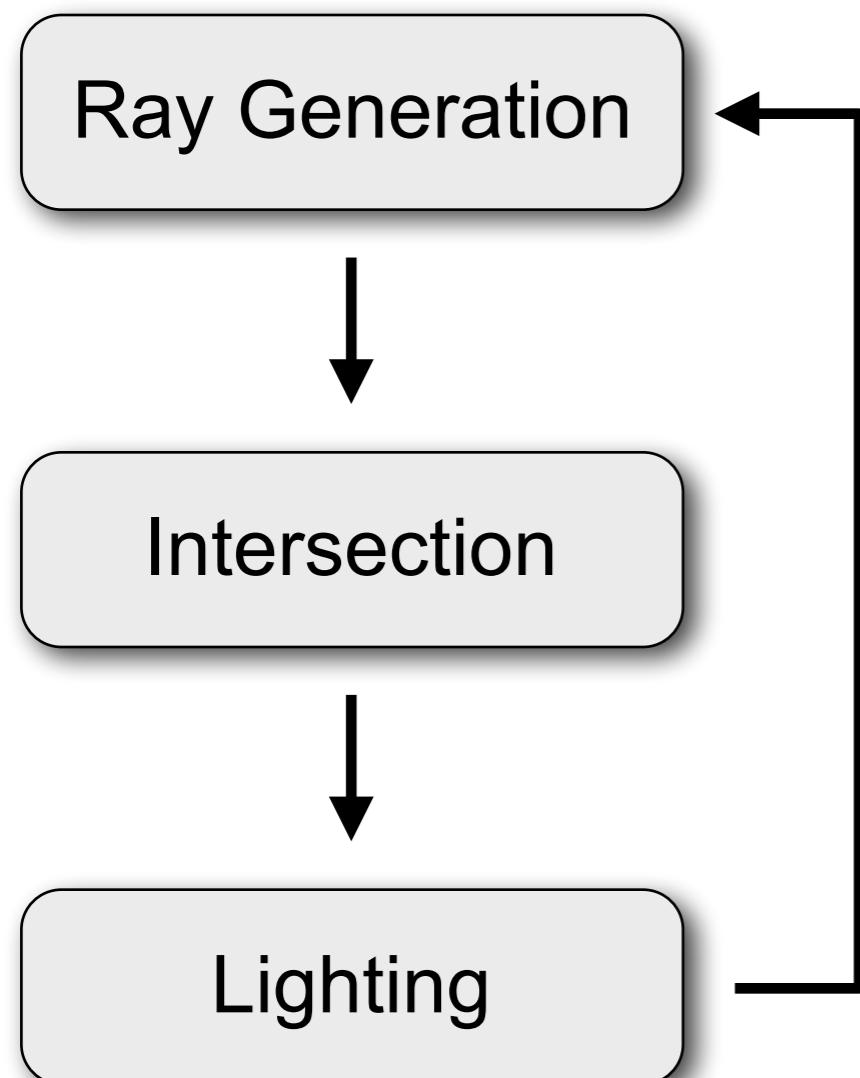
# Pinhole Camera



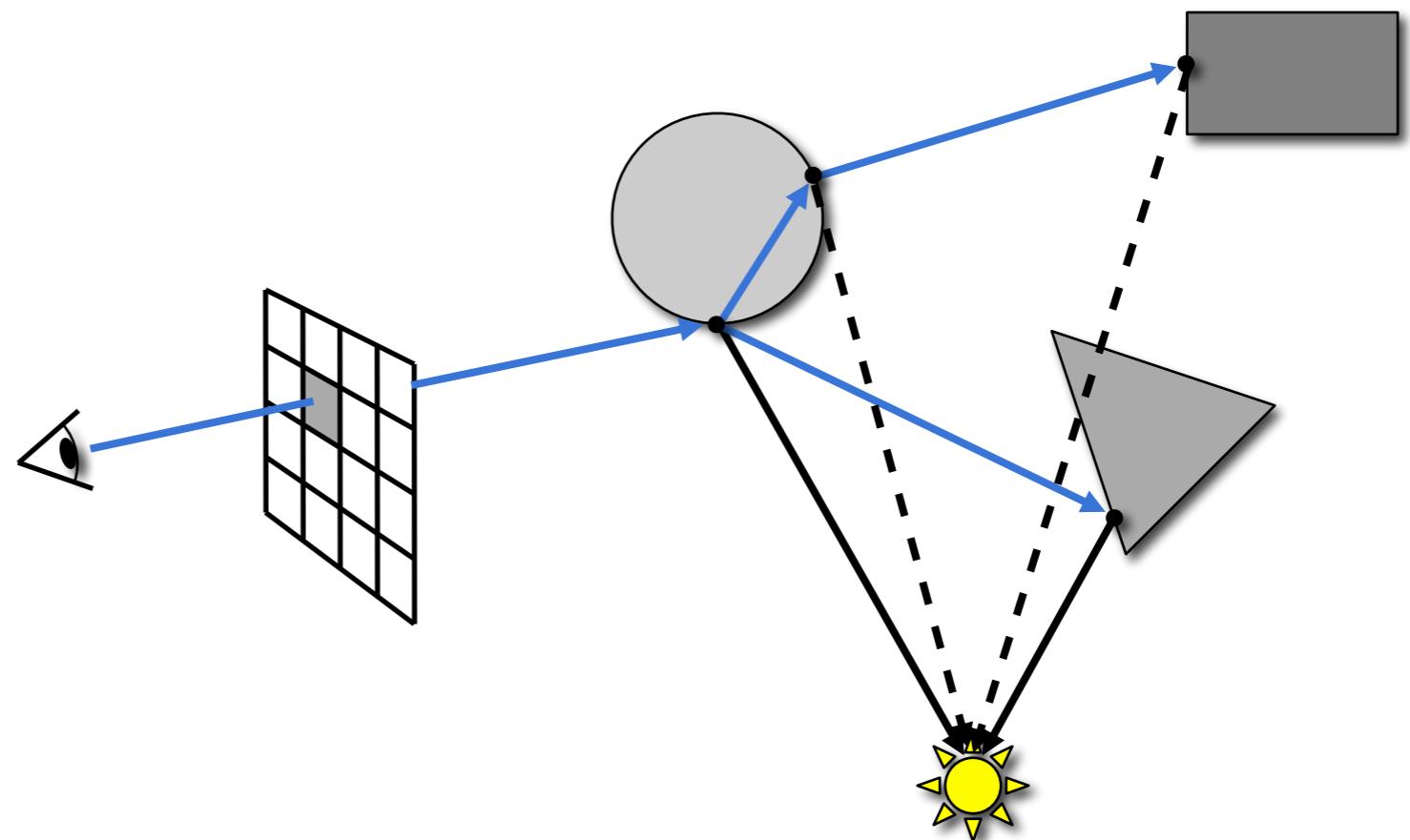
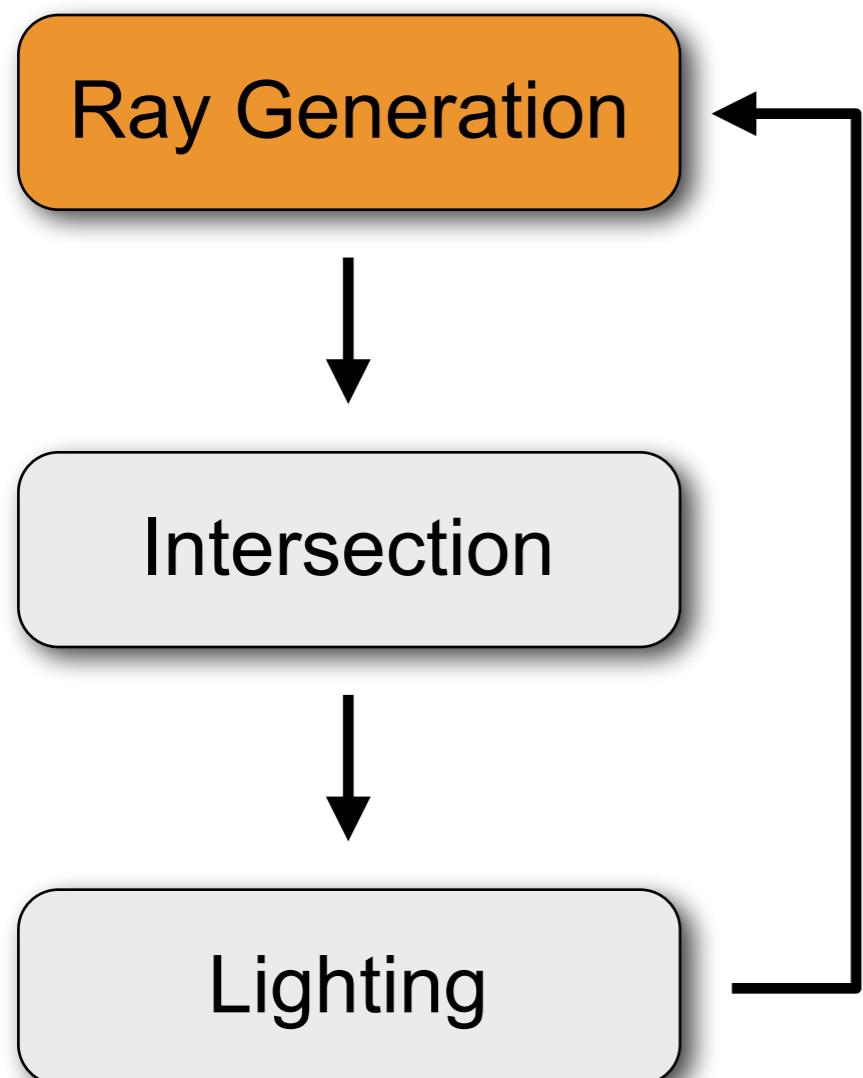
# Backward Ray Tracing



# Backward Ray Tracing



# Ray Generation

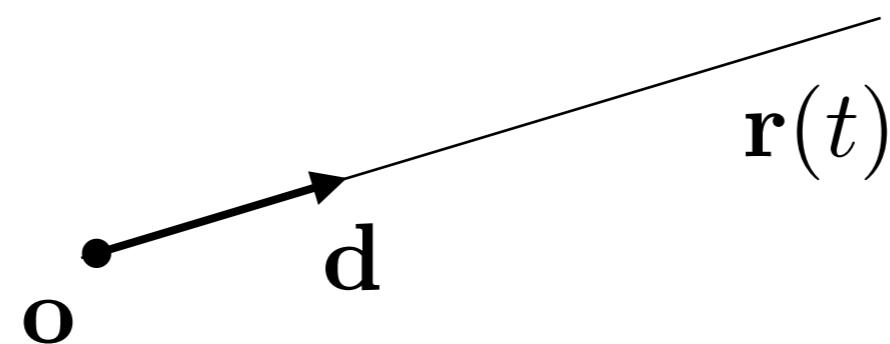


# Rays

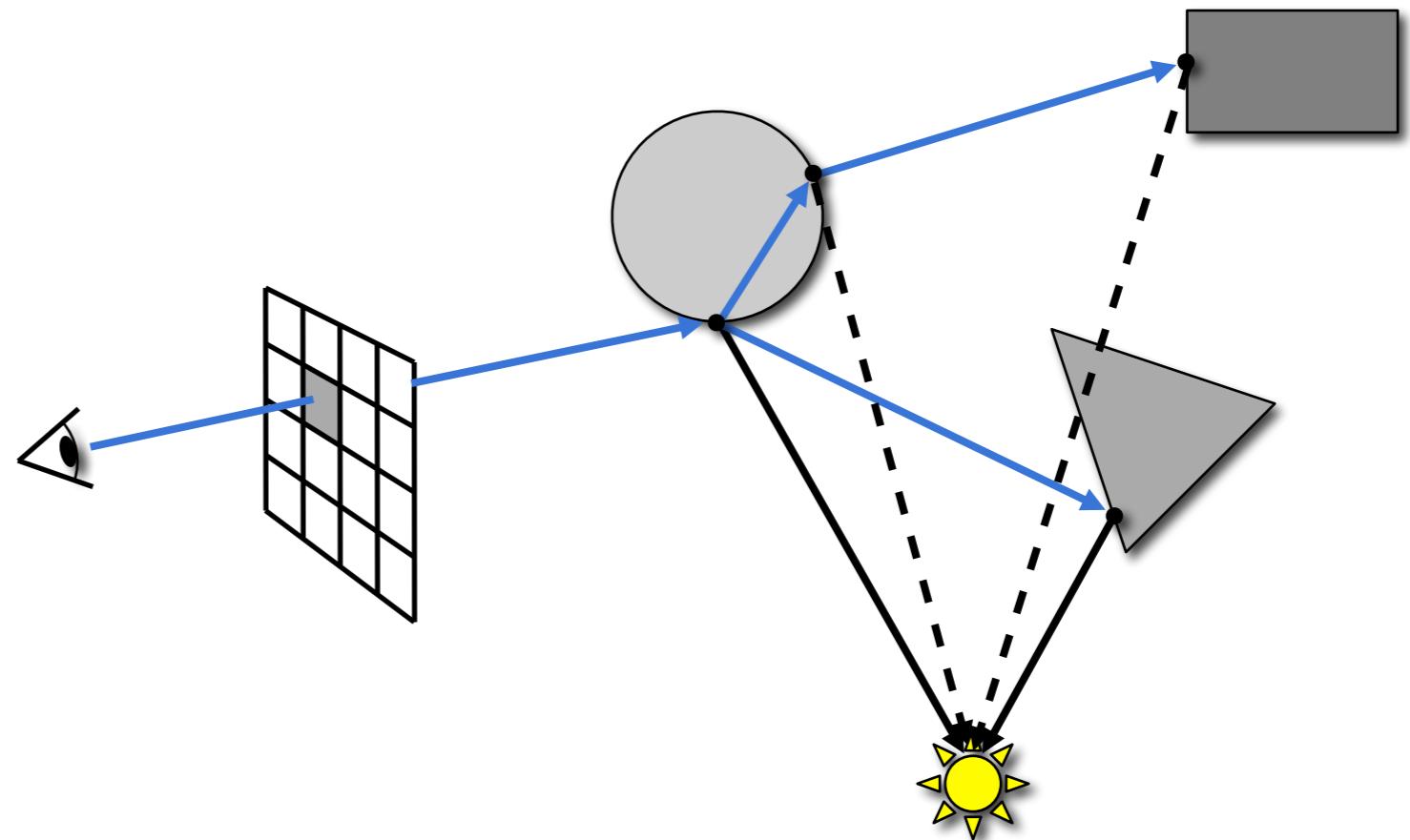
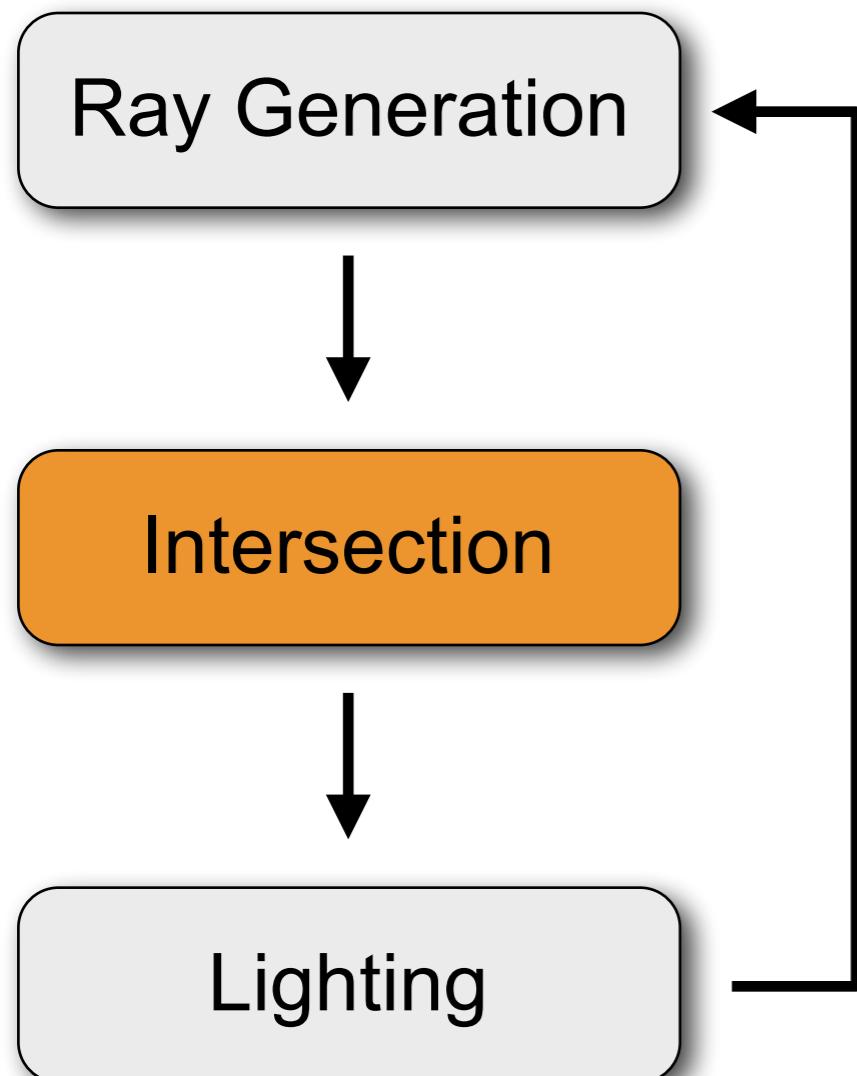
- Ray equation (explicit form)

$$\mathbf{r}(t) = \mathbf{o} + t\mathbf{d}$$

↑              ↑              ↗  
ray          origin          direction (normalized)



# Ray-Surface Intersections



# Ray-Sphere Intersection

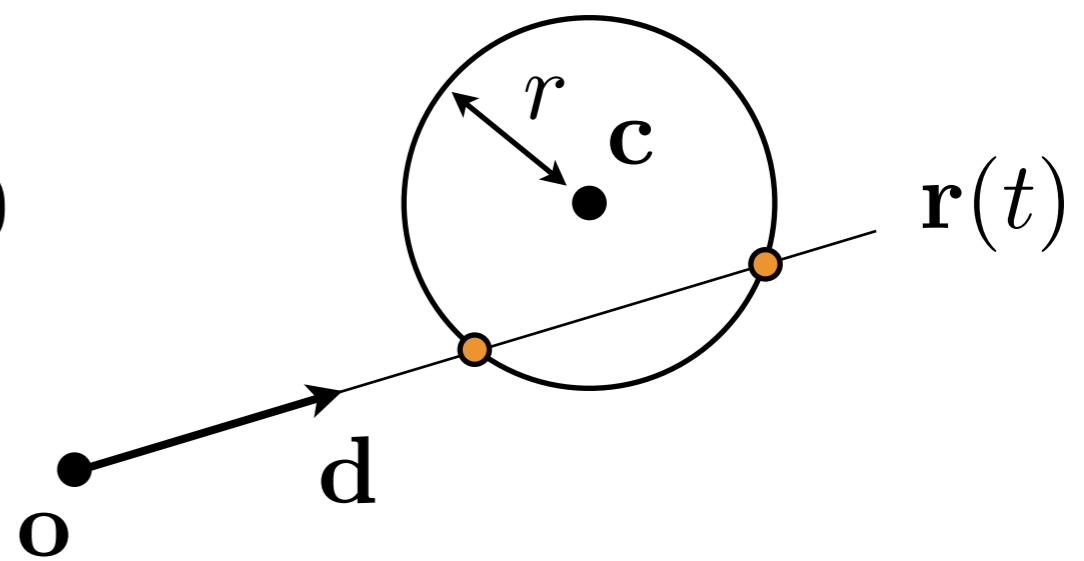
- Sphere equation (implicit form)

$$\|\mathbf{x} - \mathbf{c}\|^2 - r^2 = 0$$

↗      ↑      ↑  
point on    center    radius  
sphere

- Insert ray equation and solve for  $t$

$$\|\mathbf{o} + t\mathbf{d} - \mathbf{c}\|^2 - r^2 = 0$$



# Ray-Plane Intersection

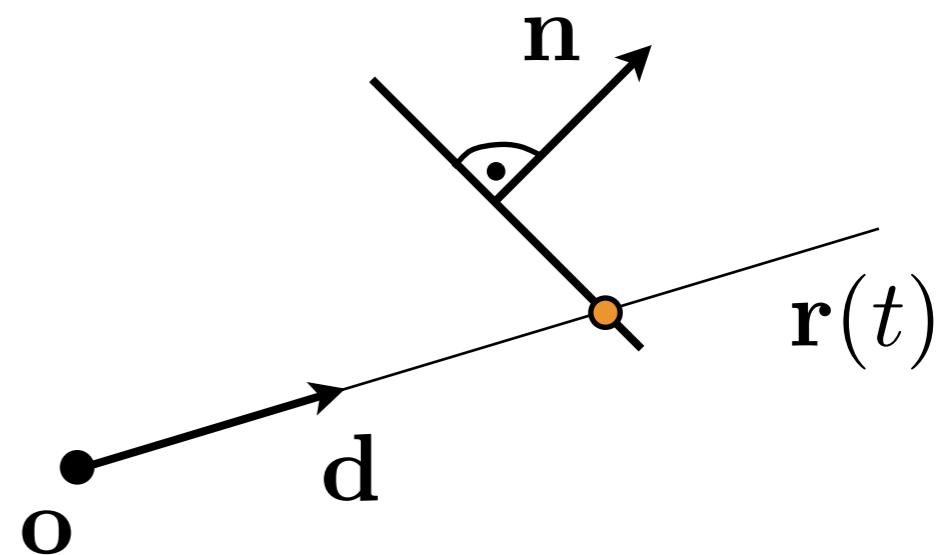
- Plane equation (implicit form)

$$\mathbf{x}^T \mathbf{n} - d = 0$$

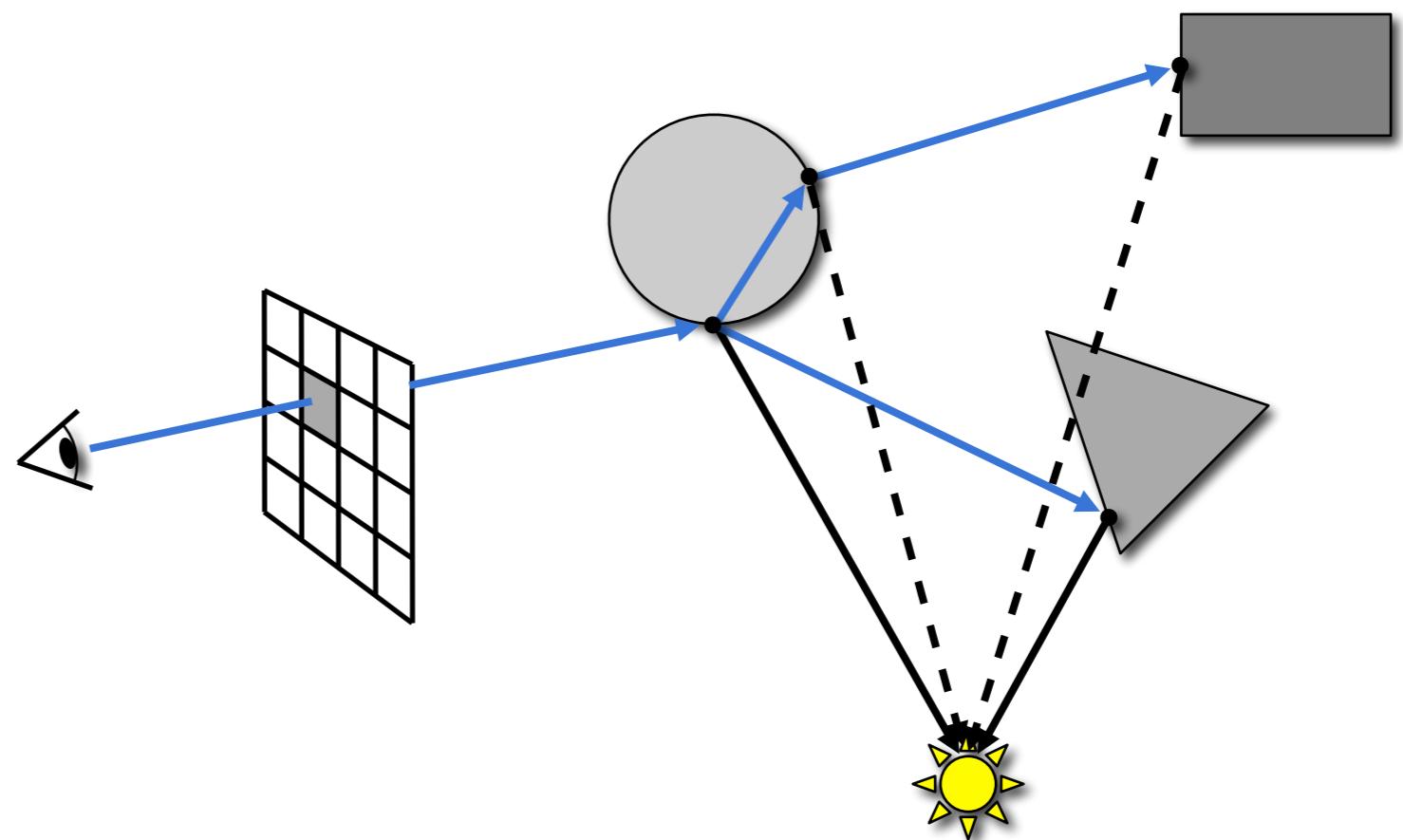
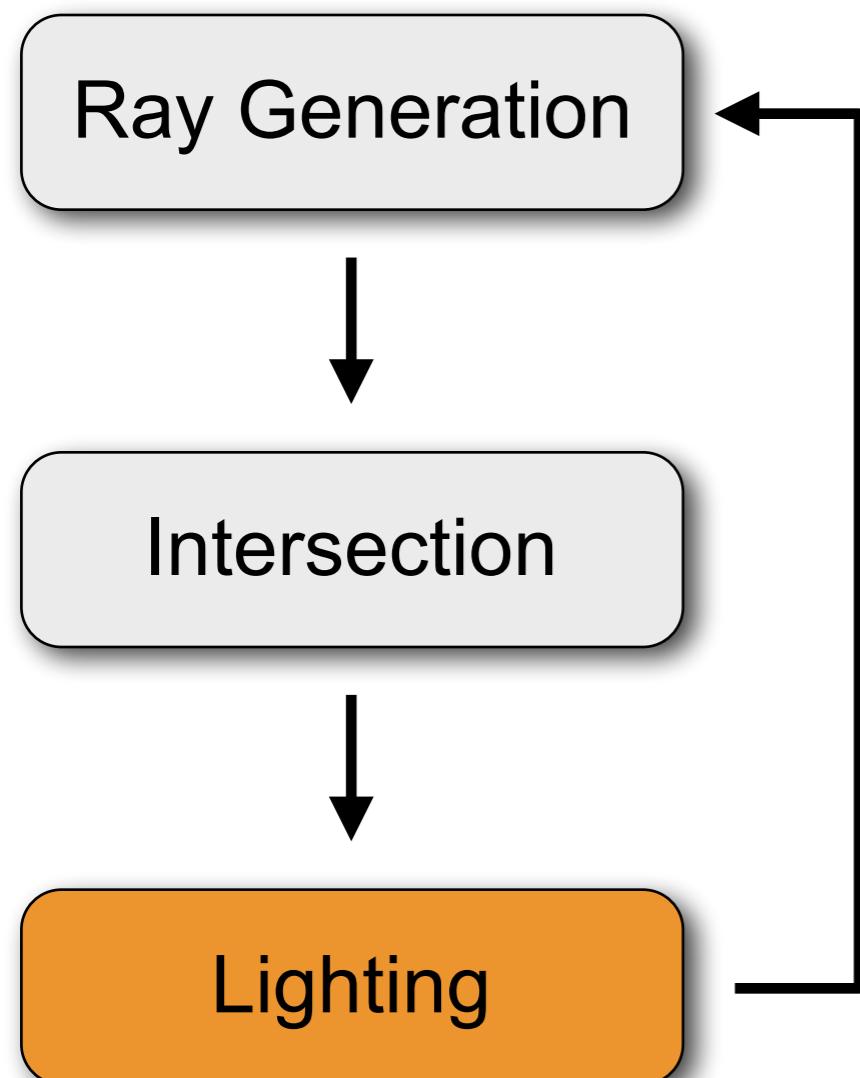
point on plane      plane normal      distance to origin

- Insert ray equation and solve for  $t$

$$(\mathbf{o} + t\mathbf{d})^T \mathbf{n} - d = 0$$

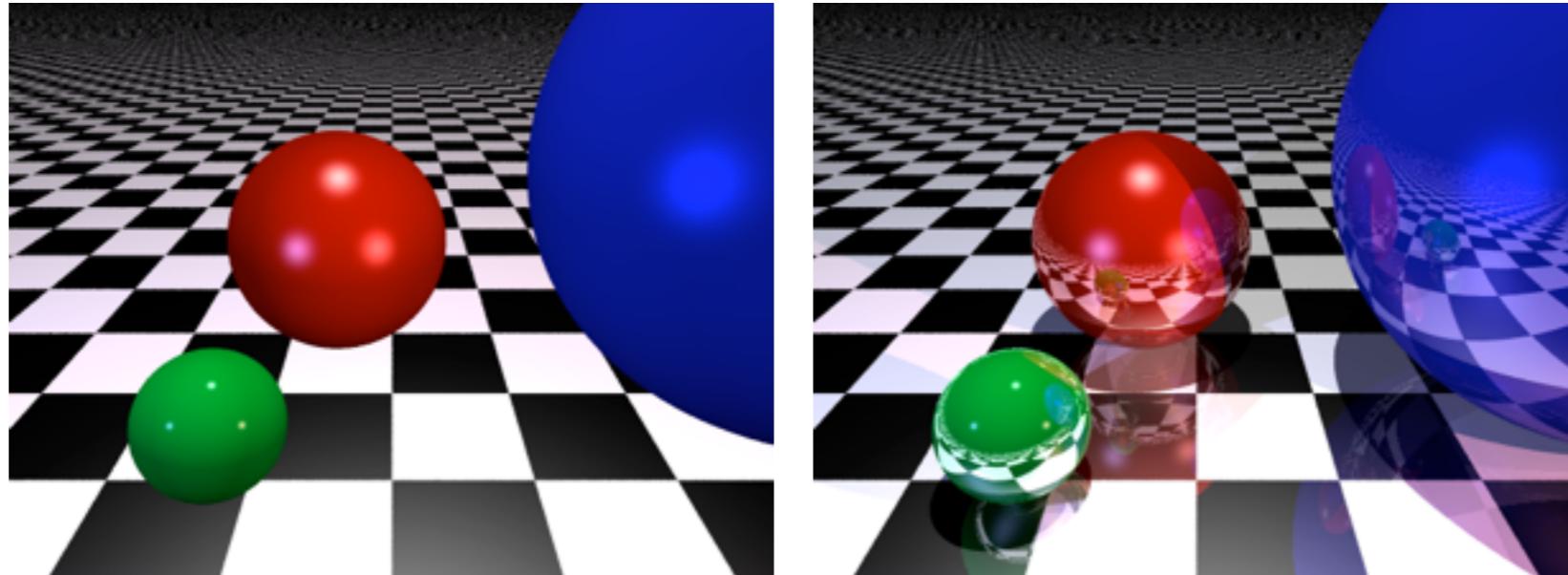


# Today: Color & Lighting



# Introduction to Computer Graphics

## *Colors*



Prof. Dr. Mario Botsch  
Computer Graphics & Geometry Processing

# How to describe colors?



# How to describe colors?



# Subjective?



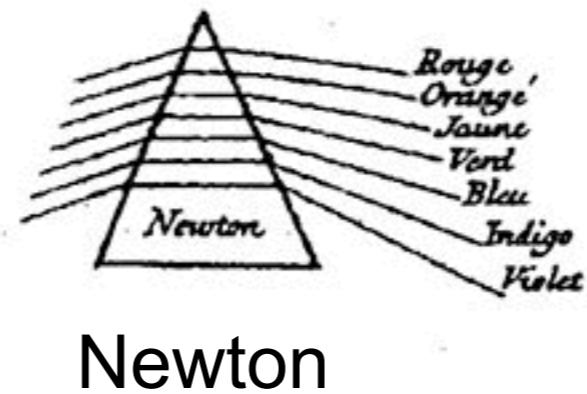
blue & black or white & gold?

# Colors

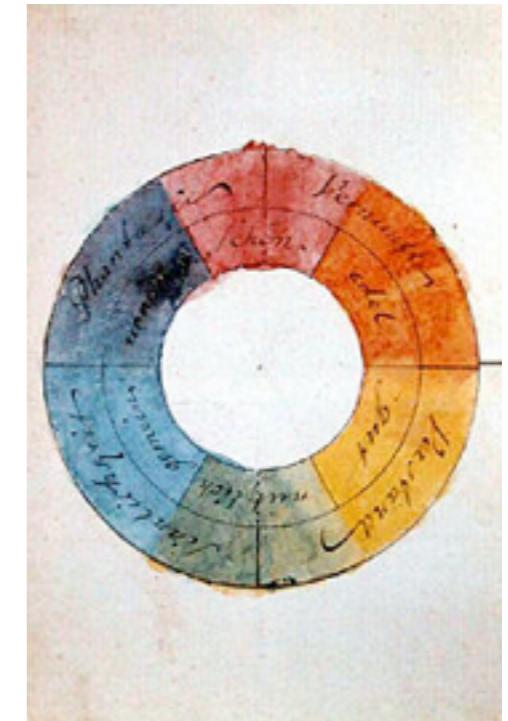
- **Artist's view**
- Physics
- Biology
- Computer Graphics

# A Subjective Phenomenon?

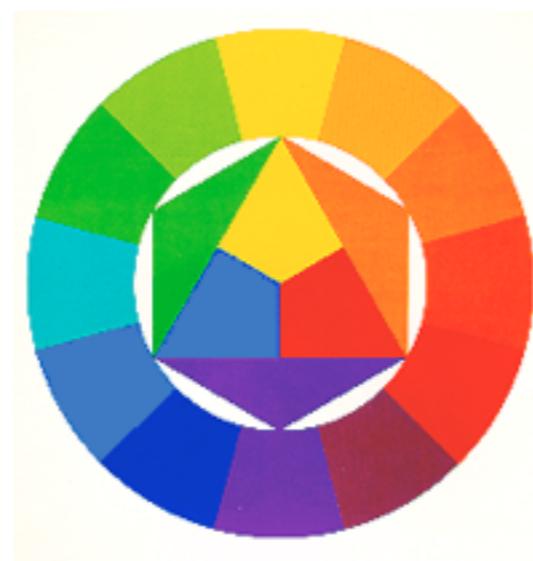
- Newton
- Goethe
- Kandinski
- Itten
- Küppers



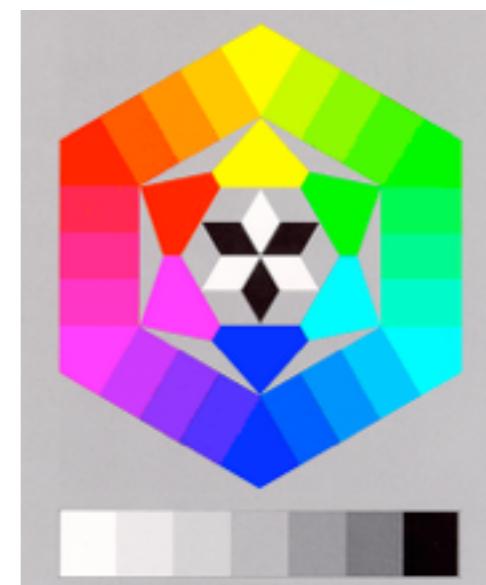
Newton



Goethe



Itten

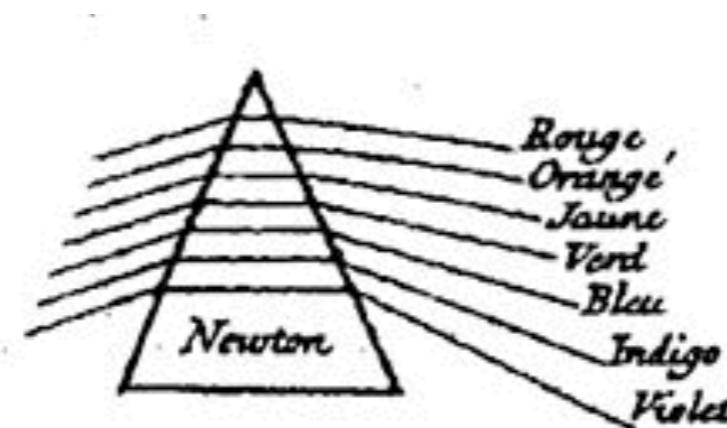
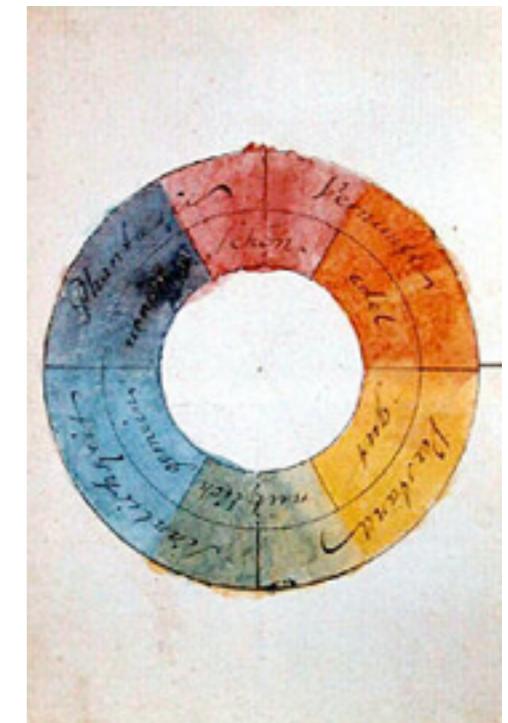


Küppers

# A Subjective Phenomenon?

Goethe:

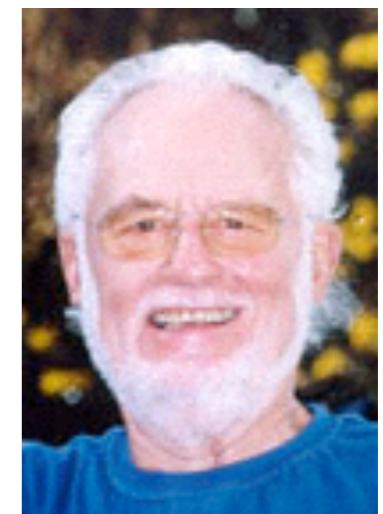
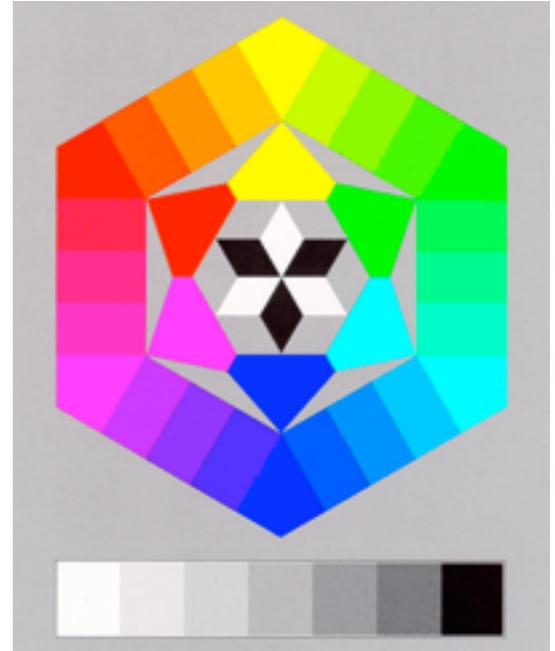
“Das Licht ist das einfache, unzerlegteste, homogenste Wesen, das wir kennen. Es ist nicht zusammengesetzt. Am allerwenigsten aus farbigen Lichtern. Jedes Licht, das eine Farbe angenommen hat, ist dunkler als das farblose Licht. Das Helle kann nicht aus Dunkelheit zusammengesetzt sein.”



Newton

# Küppers' Color Model

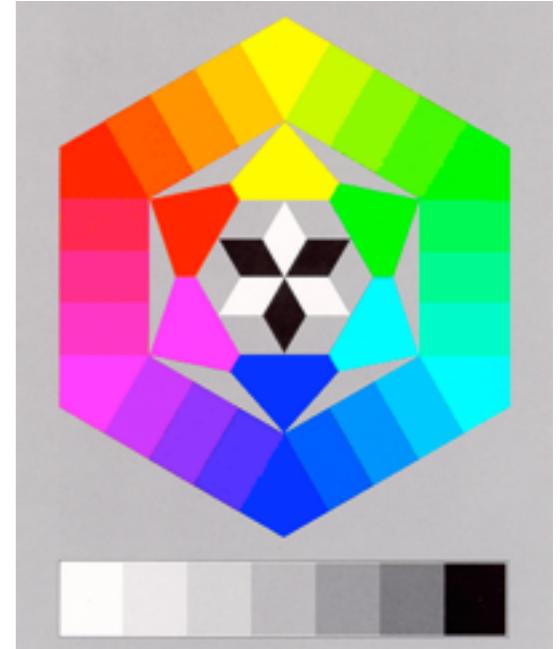
- Primary Colors:  
**Red Green Blue**
- Secondary Colors:  
**Cyan Magenta Yellow**
- Achromatic:  
**Black White**



Harald Küppers

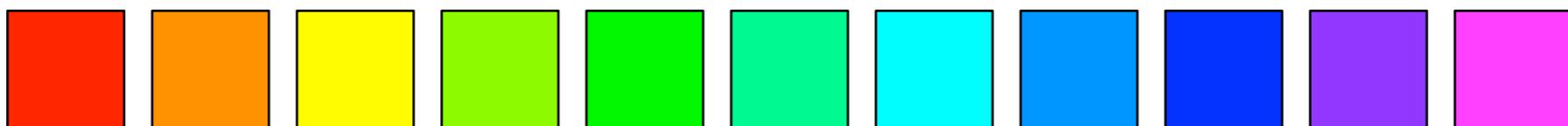
# Küppers' Color Model

- Characterize  $\text{RGB}(240, 128, 80)$ 
  - White 80: 80, 80, 80
  - Yellow 48: 48, 48, 0
  - Red 112: 112, 0, 0
  - Black 15
  - *Unbuntart*:  $W80 / K15 = 5.33$
  - *Buntart*:  $Y48 / R112 = 0.43$
  - *Buntgrad*:  $(Y48+R112) / (W80+K15) = 1.68$

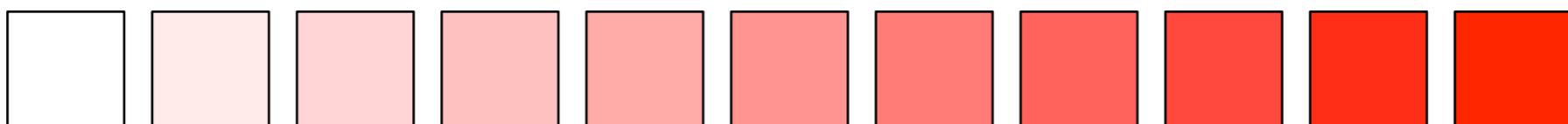


# Terminology

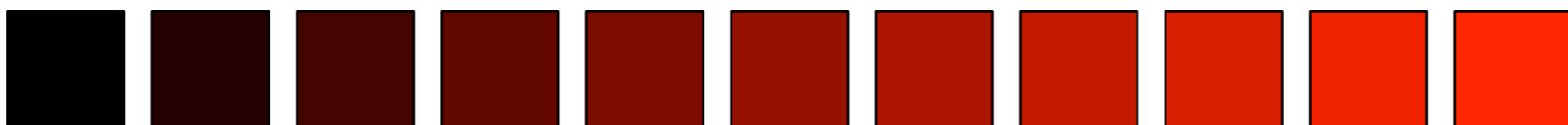
- *Hue* (Farbton)



- *Saturation* (Farbsättigung)



- *Brightness* (Helligkeit)

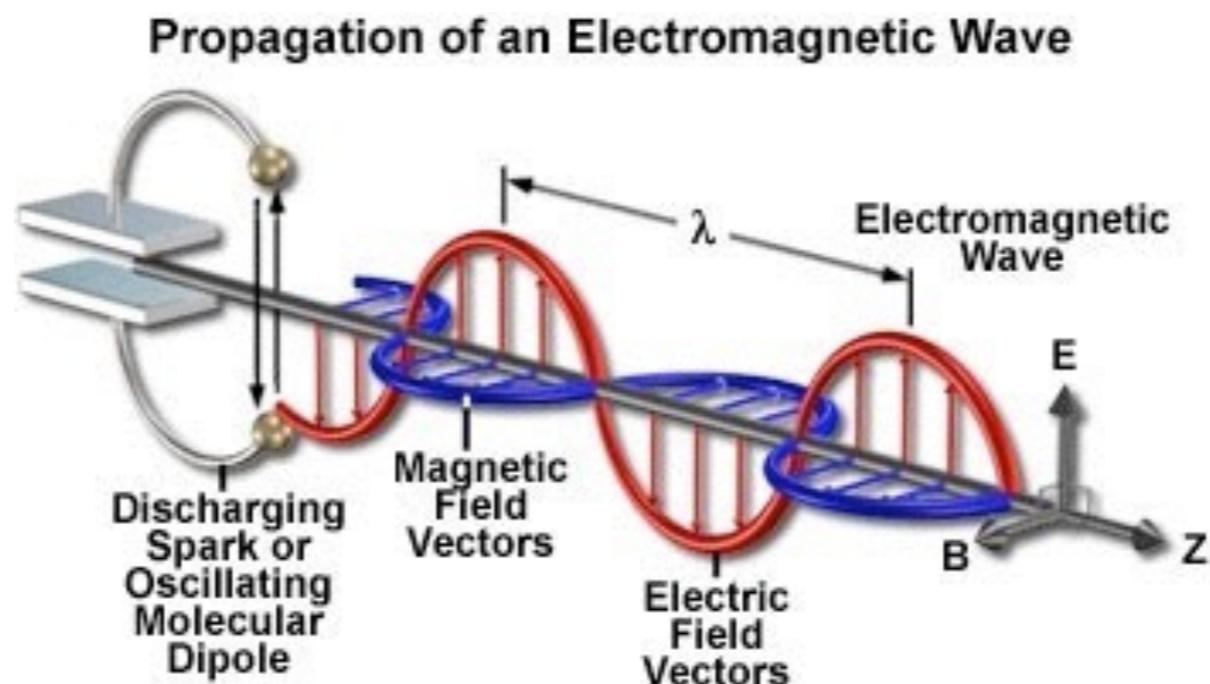


# Colors

- Artist's view
- Physics
- Biology
- Computer Graphics

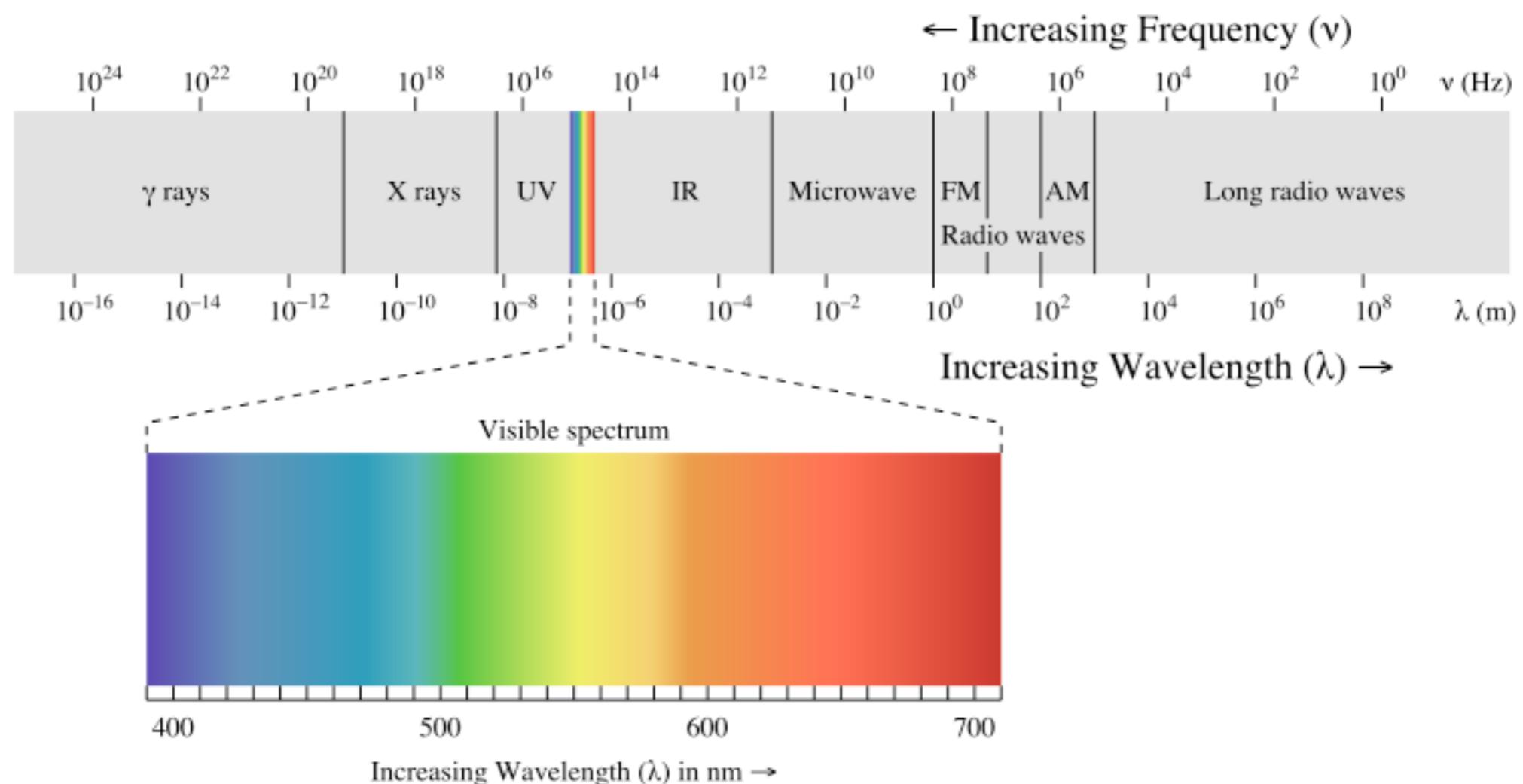
# Light in Physics

- A light ray is an electromagnetic wave



- Light spectrum = sum of harmonic waves
  - Combination of different wavelengths  $\lambda$

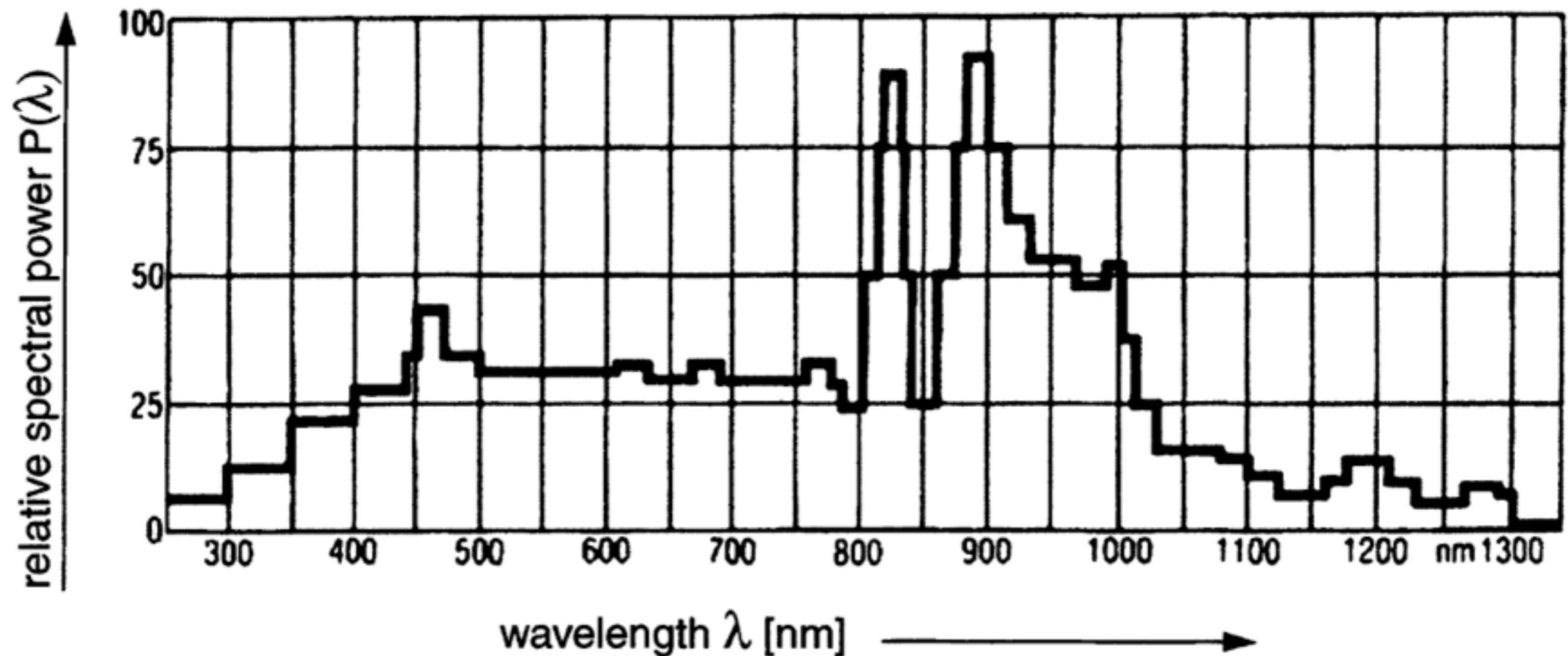
# The Visible Spectrum



Visible light: 380nm - 780nm

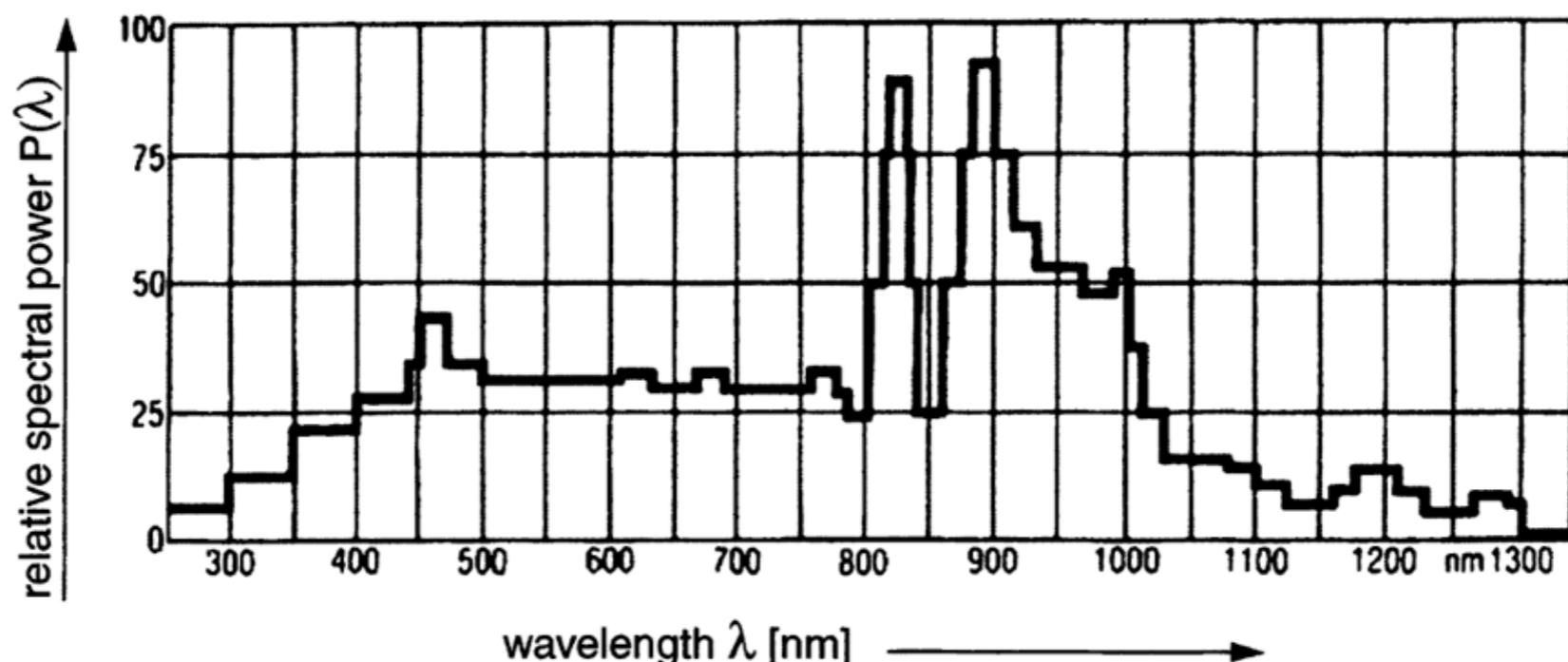
# Power Spectrum of Light Sources

- Relative Spectral Power Density  $P(\lambda)$



# Terminology

- Hue  $\approx$  dominant wavelength
- Saturation  $\approx$  excitation purity
- Brightness  $\approx$  luminance



# Colors

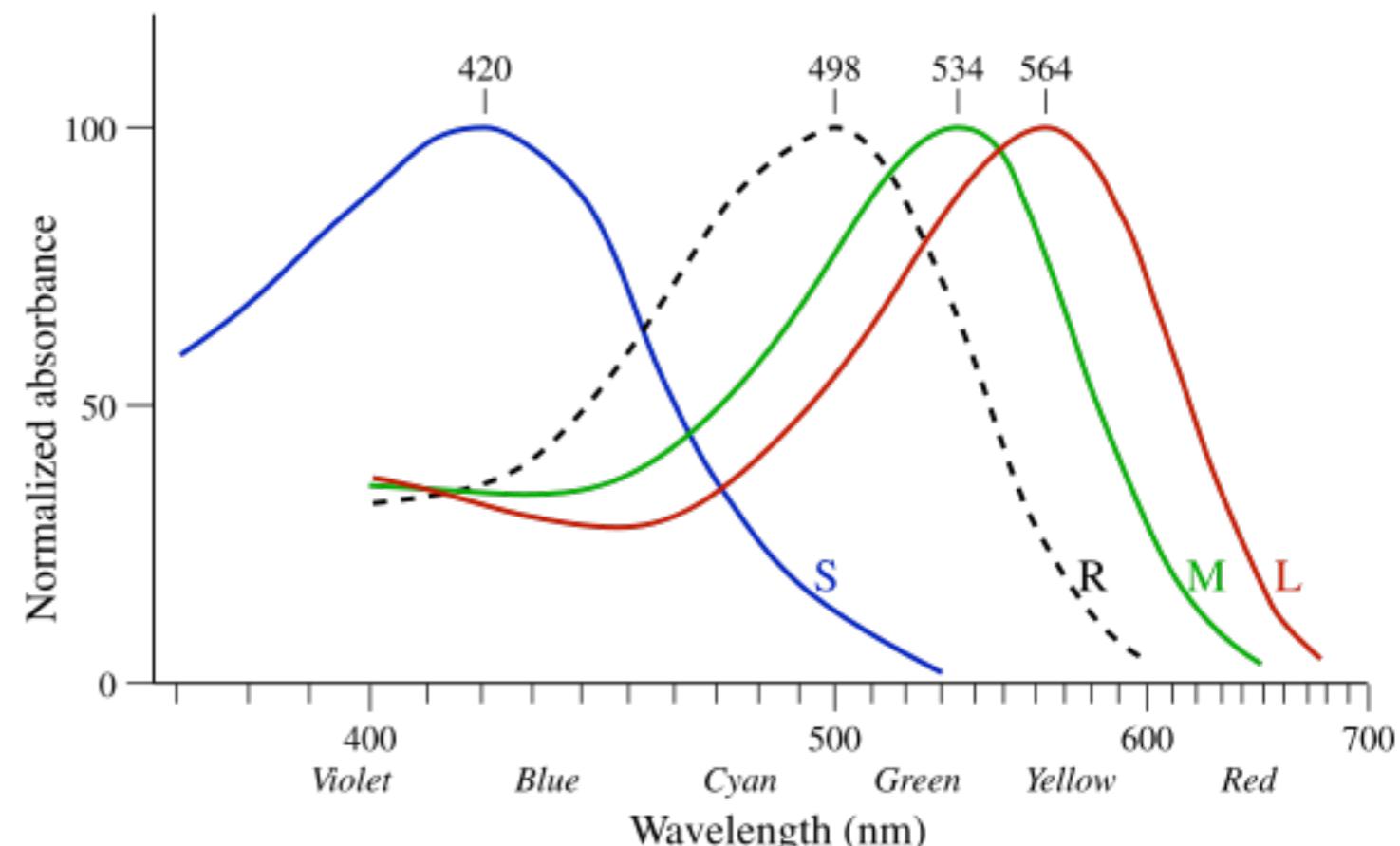
- Artist's view
- Physics
- Biology
- Computer Graphics

# Perceiving Color

- Each ray carries a spectrum  $P(\lambda)$
- $P(\lambda)$  contains more information than humans can and need to process
- How do humans perceive color?

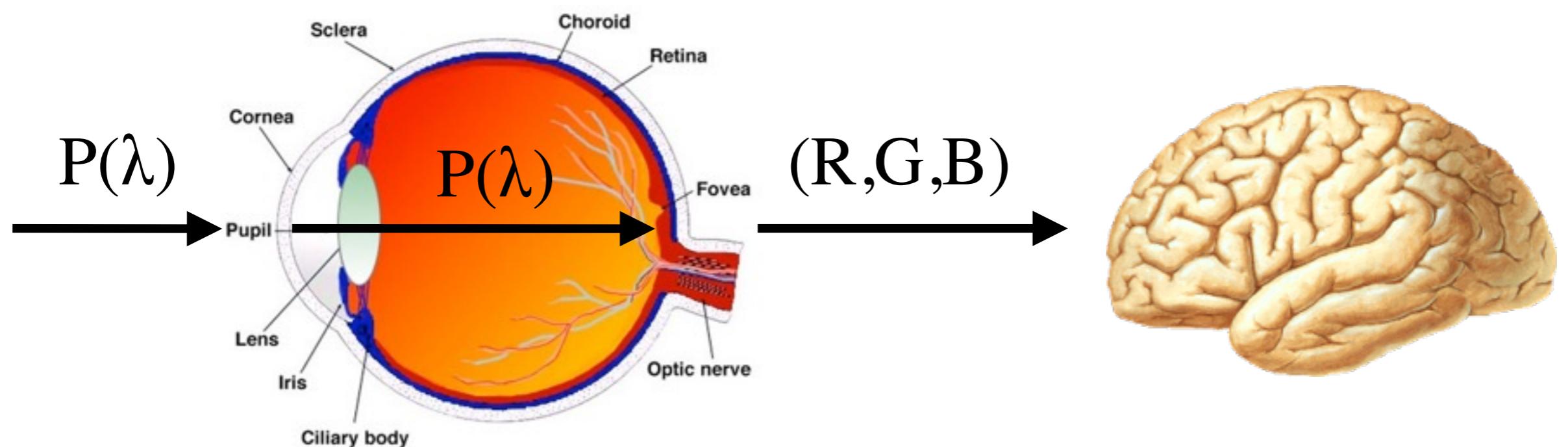
# Human Eye

- Rods (*Stäbchen*)
  - very light sensitive
  - achromatic vision
- Cones (*Zapfen*)
  - less light sensitive
  - chromatic vision
  - three types for RGB



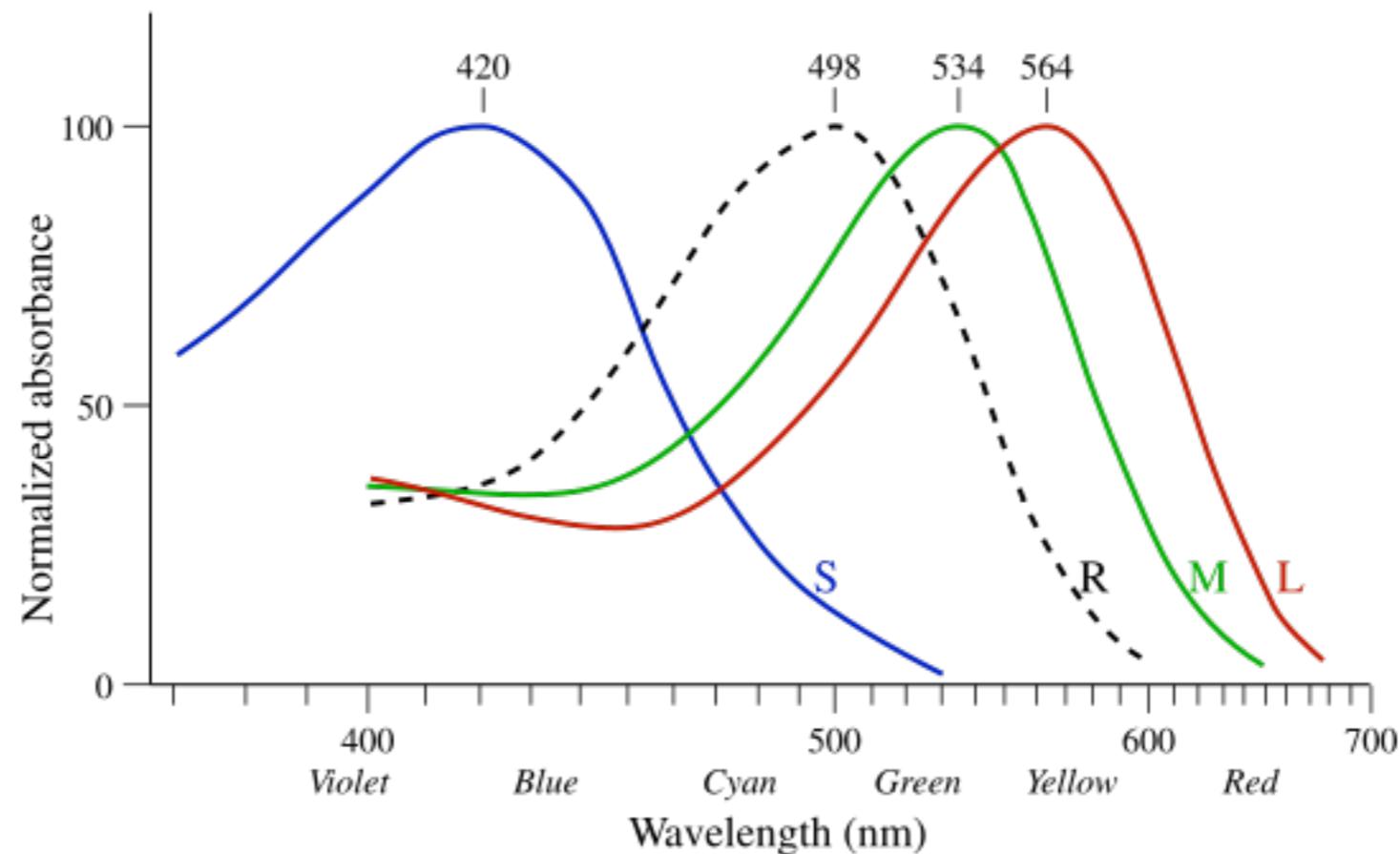
# Perceiving Color

- Humans project  $P(\lambda)$  into 3D color space



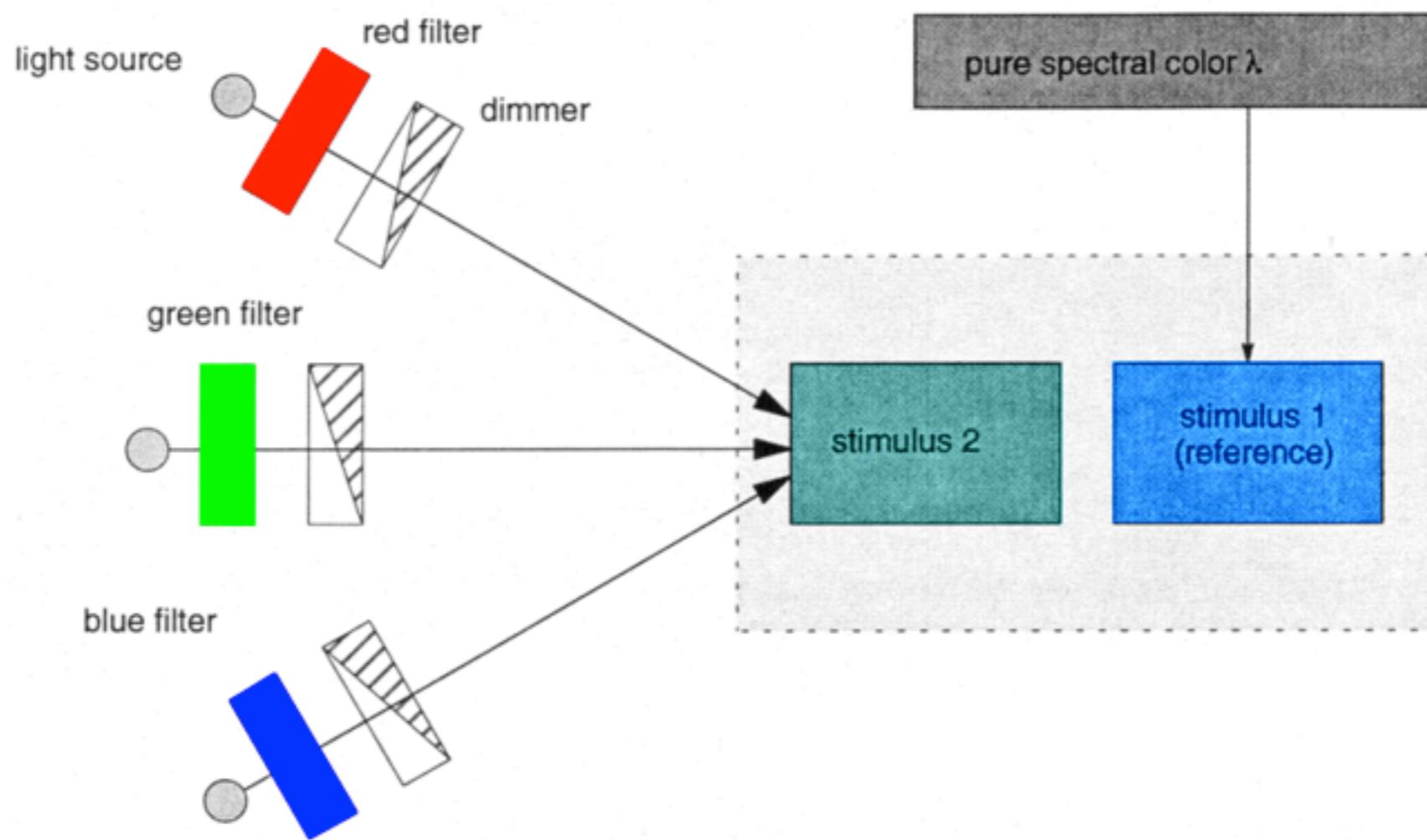
# Perceiving Color

- Humans project  $P(\lambda)$  into 3D color space
  - Sensitivity of the three types of cones are three basis functions  $r(\lambda)$ ,  $g(\lambda)$ ,  $b(\lambda)$



# The CIE Primary System (1931)

- Commission Internationale de l'Eclairage
- Setup for measuring human color sensitivity  
(436 nm, 546 nm, 700 nm)

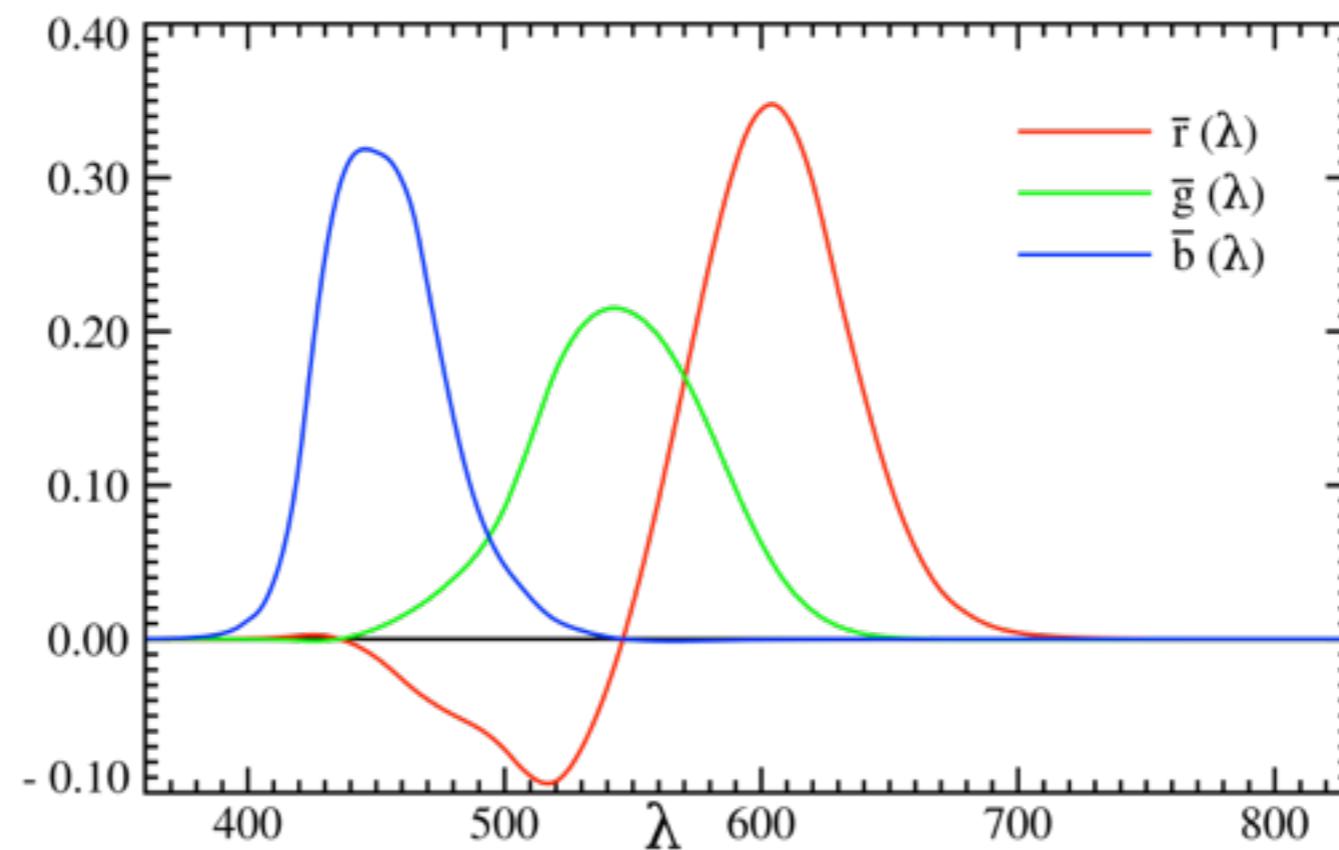


# Spectral Sensitivity Functions

- Color matching function with primary colors  
 $B=436\text{nm}$ ,  $G=546\text{nm}$ ,  $R=700\text{nm}$

$$\lambda \approx \bar{r}(\lambda) \cdot R + \bar{g}(\lambda) \cdot G + \bar{b}(\lambda) \cdot B$$

- Bad result: Need negative weights



# CIE Spectral Response Functions

- We want positive coordinates!
- Define new CIE primary colors **X**, **Y**, **Z**
- Gives new color-matching function  $x(\lambda), y(\lambda), z(\lambda)$

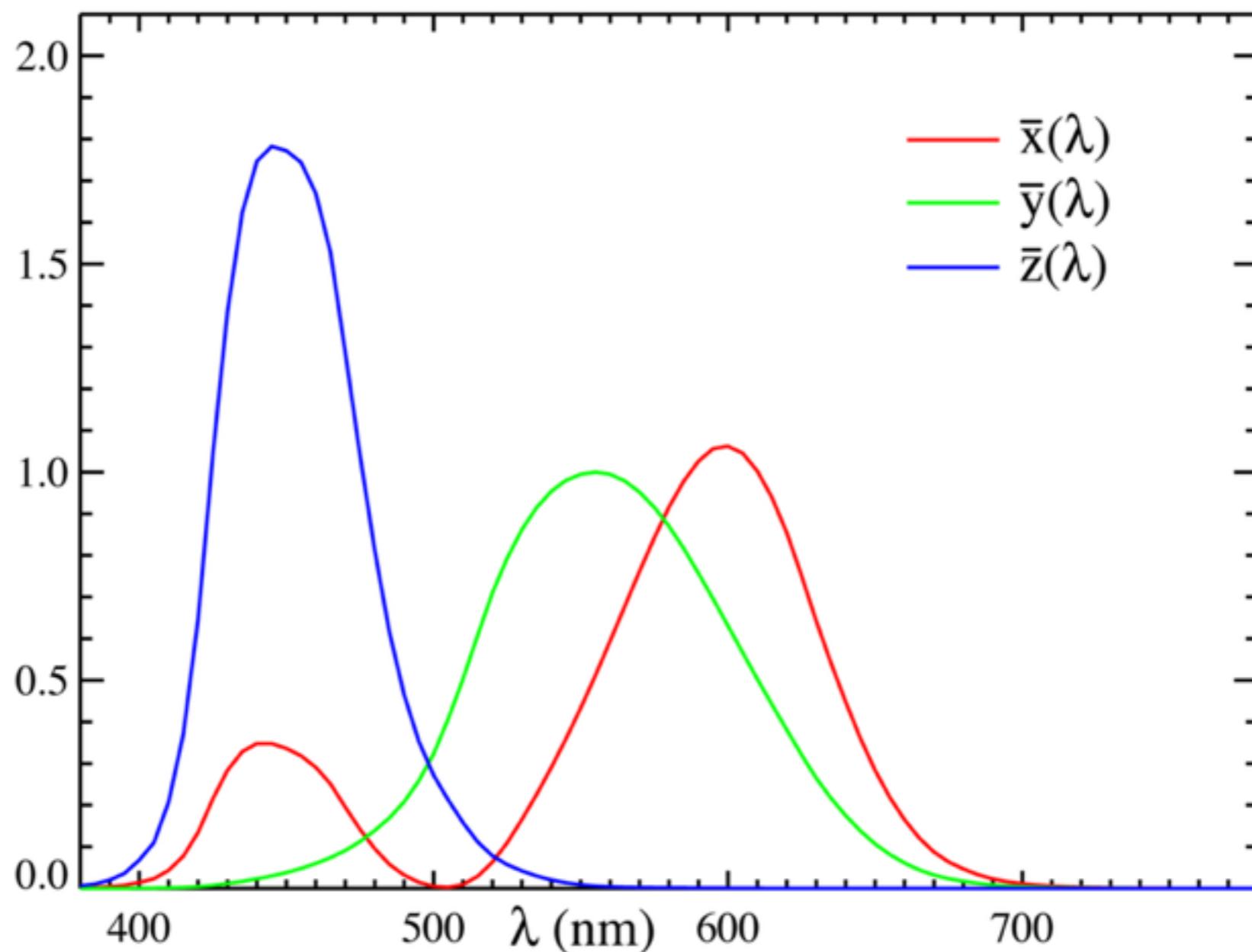
$$\bar{x}(\lambda) = +2.36 \bar{r}(\lambda) - 0.515 \bar{g}(\lambda) + 0.005 \bar{b}(\lambda)$$

$$\bar{y}(\lambda) = -0.89 \bar{r}(\lambda) + 1.426 \bar{g}(\lambda) + 0.014 \bar{b}(\lambda)$$

$$\bar{z}(\lambda) = -0.46 \bar{r}(\lambda) + 0.088 \bar{g}(\lambda) + 1.009 \bar{b}(\lambda)$$

# CIE Spectral Response Functions

- Now the weights/coordinates are positive!



# CIE Primaries of a Color Stimulus

- *Tristimulus vector*  $(X, Y, Z)$  provides a quantification of any spectral color stimulus  $P(\lambda)$
- Compute by inner products of  $x, y, z$  and  $P$

$$X = \int P(\lambda) \bar{x}(\lambda) d\lambda$$

$$Y = \int P(\lambda) \bar{y}(\lambda) d\lambda$$

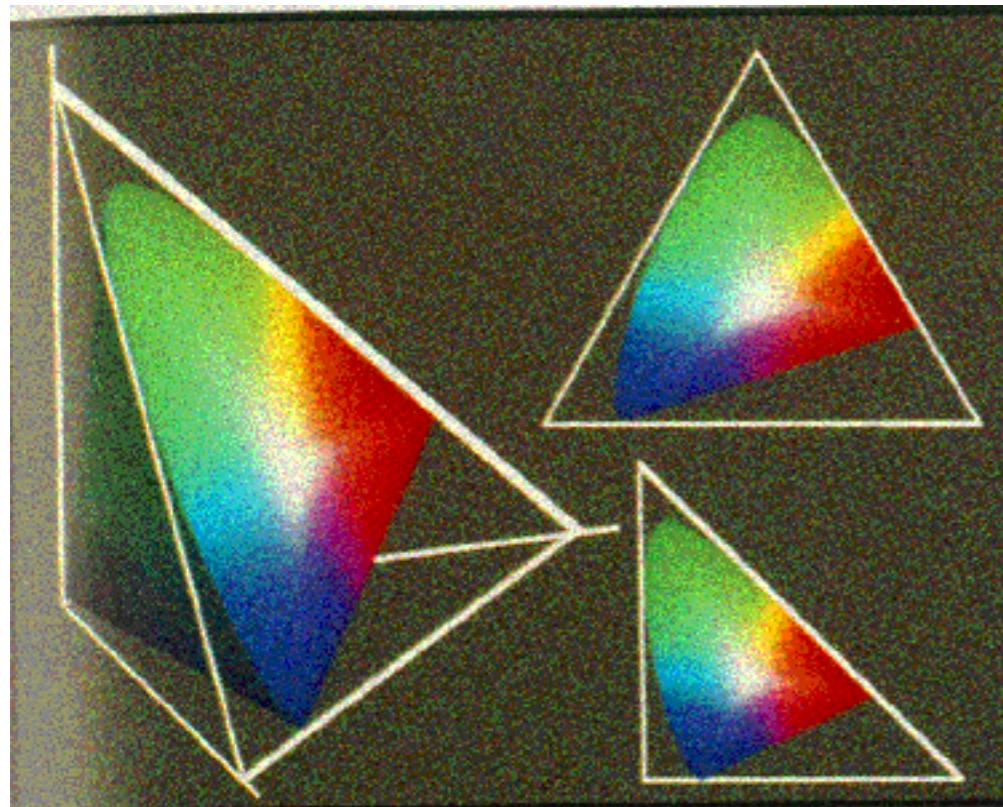
$$Z = \int P(\lambda) \bar{z}(\lambda) d\lambda$$

# The CIE Chart

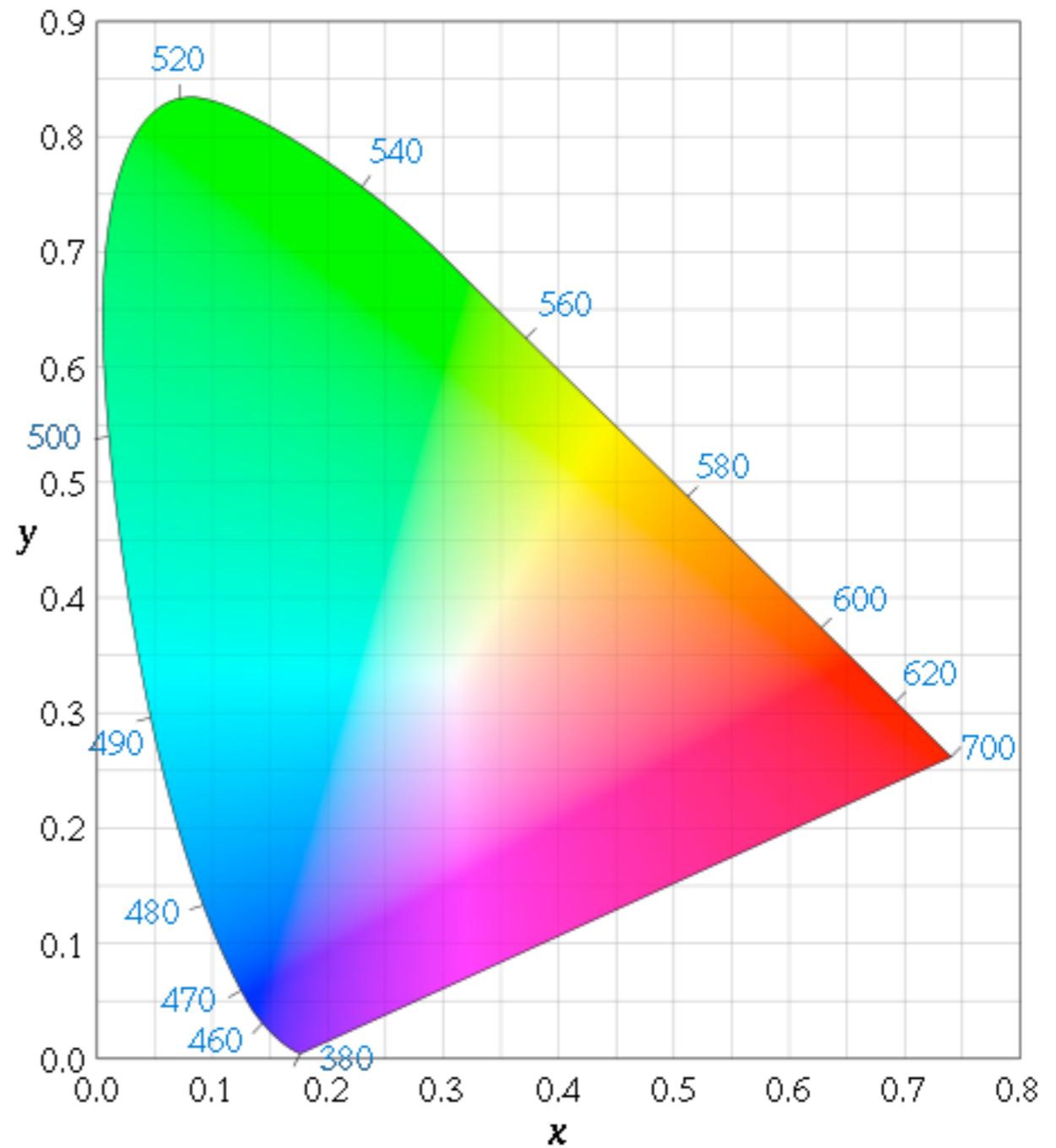
- Get 2D chart by projection onto the plane perpendicular to spatial diagonal
  - Plane equation:  $\{X + Y + Z = 1\}$
  - Plane normal:  $(1,1,1)^T$
- Resulting  $(x, y)$  pair characterizes color

$$x = \frac{X}{X + Y + Z}$$
$$y = \frac{Y}{X + Y + Z}$$
$$z = \frac{Z}{X + Y + Z} = 1 - x - y$$

# The CIE Chart

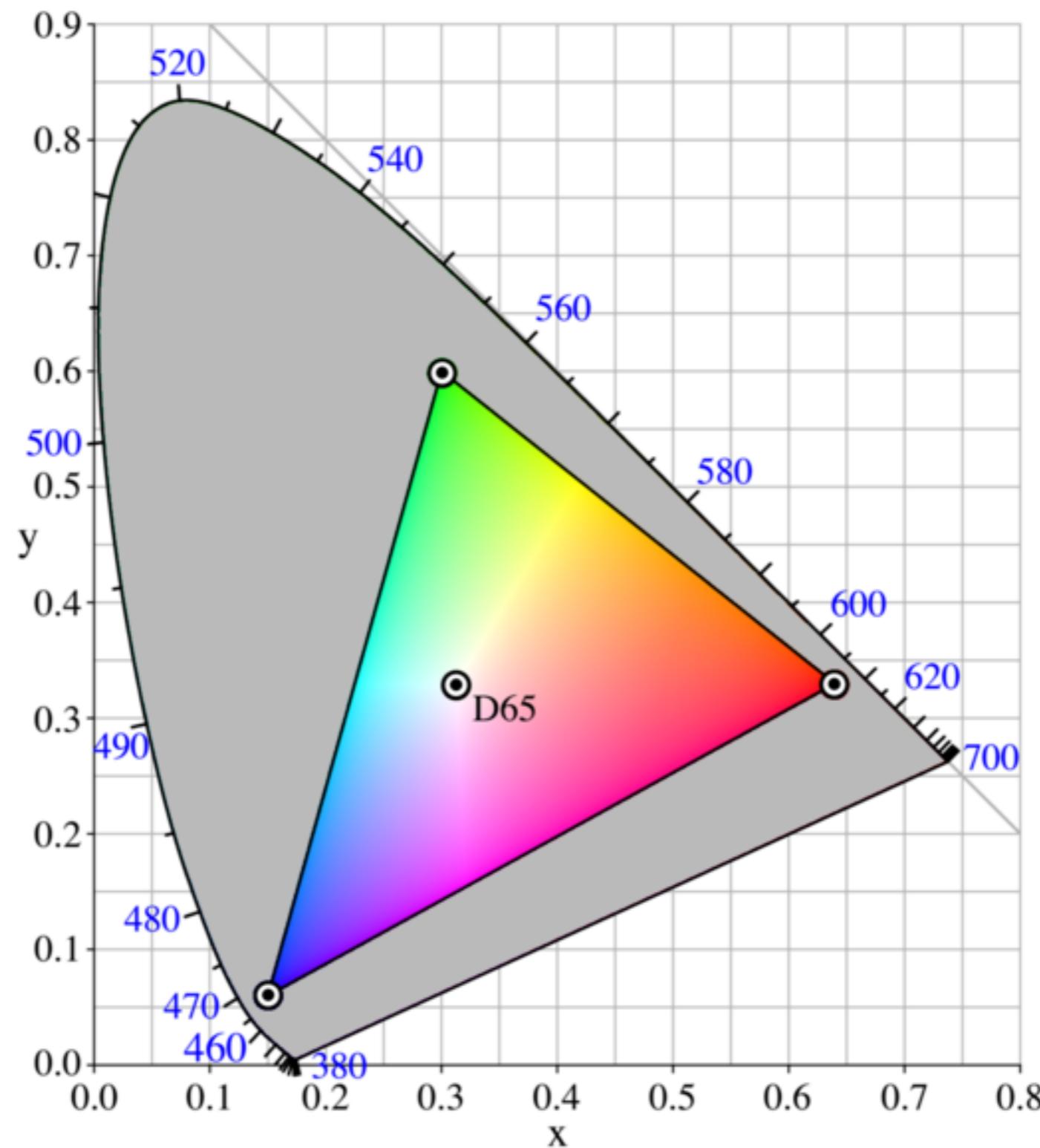


3D (X,Y,Z)



2D (x,y)

# sRGB Color Gamut



# Colors

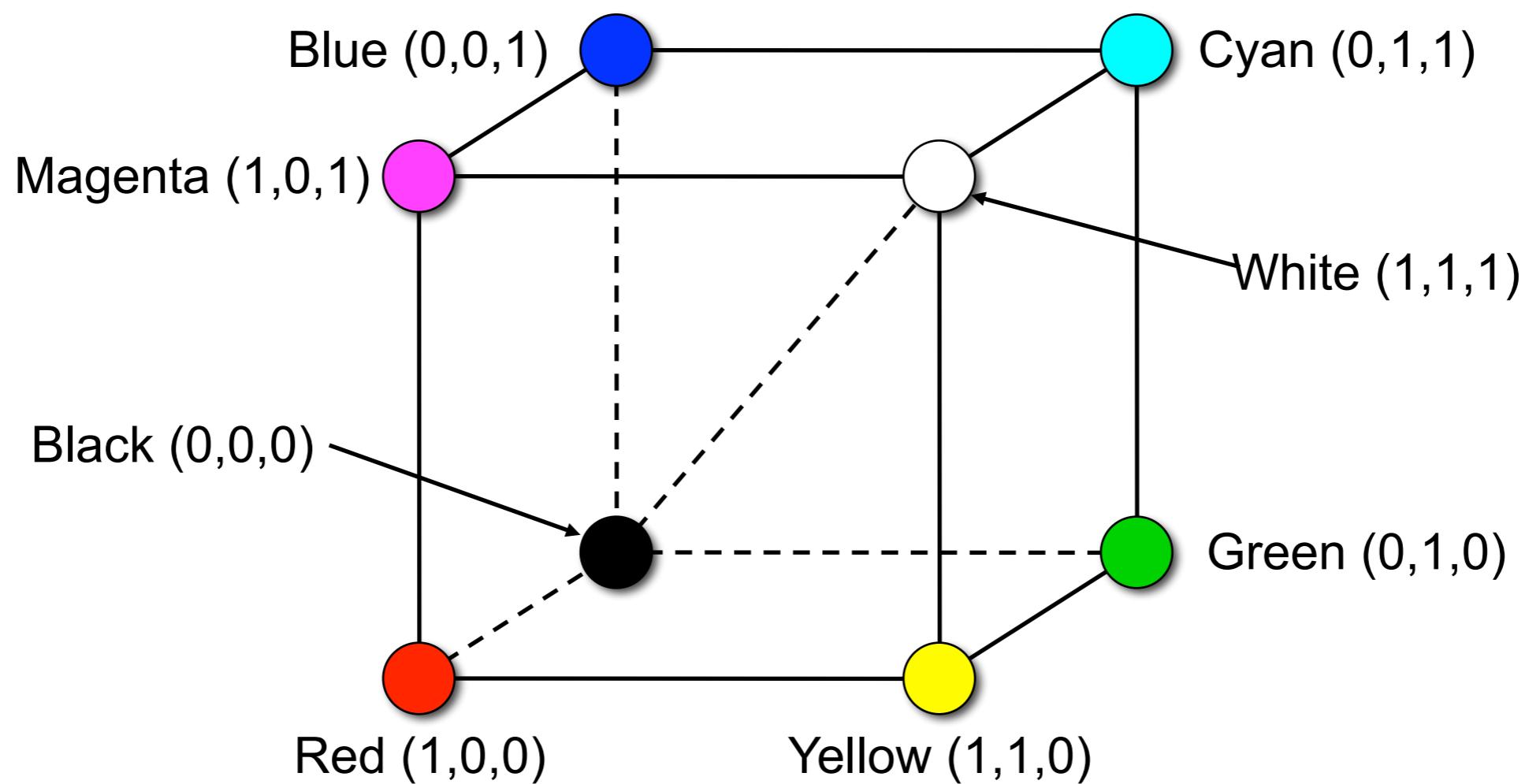
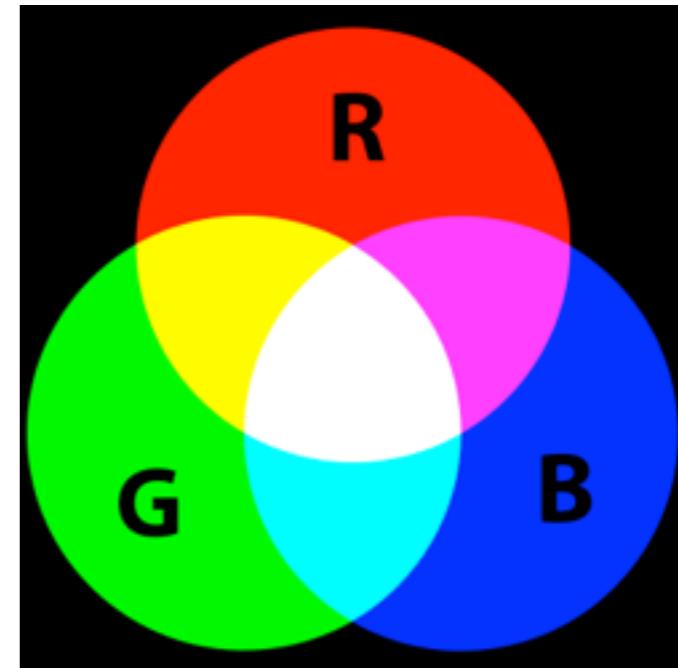
- Artist's view
- Physics
- Biology
- **Computer Graphics**

# Color Models

- Biology oriented
  - CIE
- Hardware oriented
  - RGB
  - CMY, CMYK
- User oriented
  - HSV

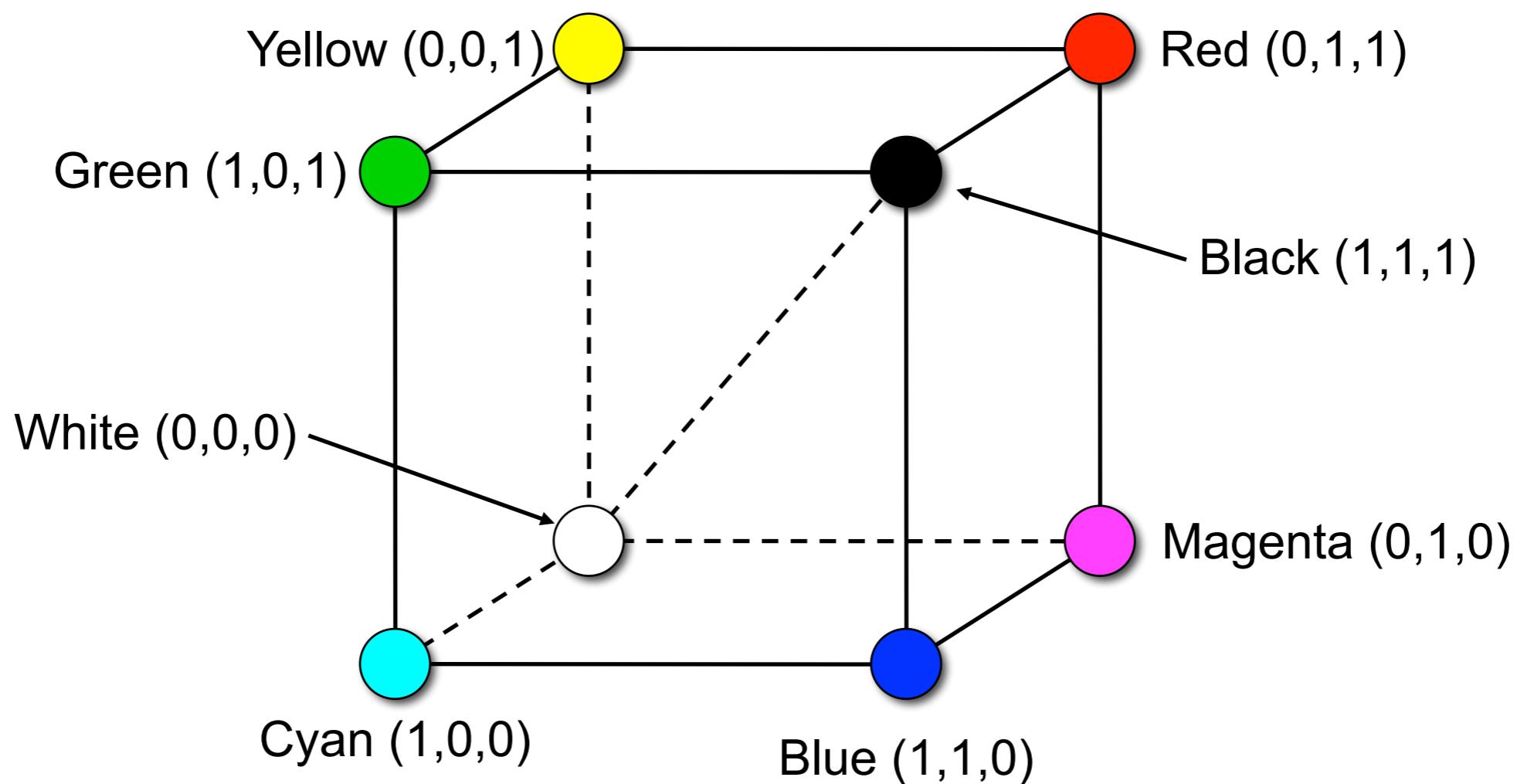
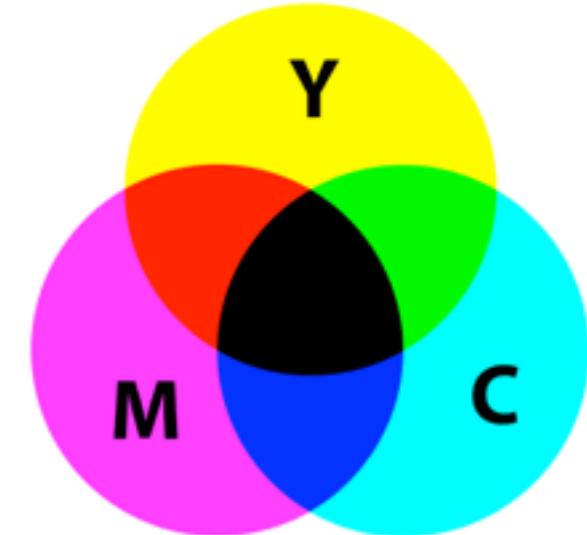
# RGB Color Model

- Additive system: black + (r,g,b)
- Used for color CRTs, LCDs



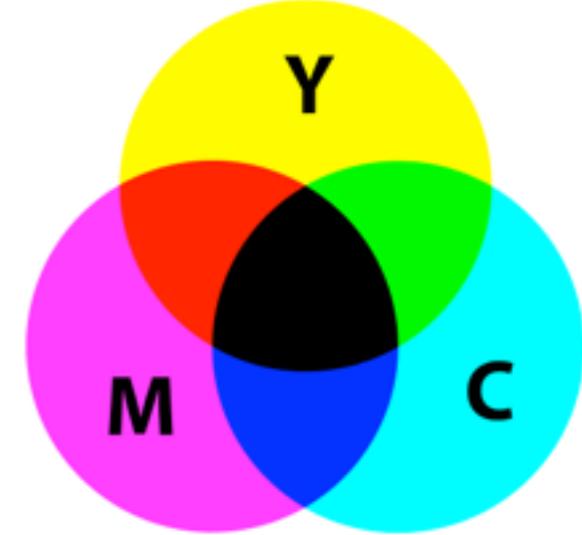
# CMY(K) Color Model

- Complementary colors to RGB
  - Cyan, magenta, yellow
- Subtractive system for color printers

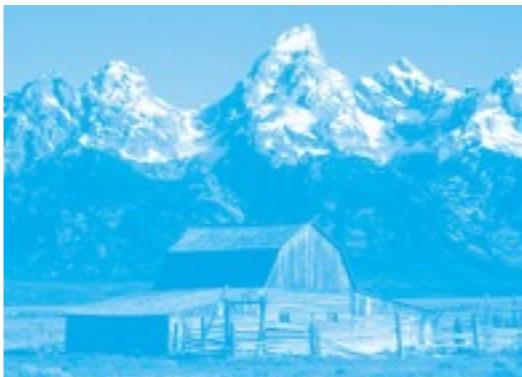


# CMY(K) Color Model

- Complementary colors to RGB
  - Cyan, magenta, yellow
- Subtractive system for color printers
- Conversion
  - $(R, G, B) = (1, 1, 1) - (C, M, Y)$
- Extension: CMYK (K=black)
  - $K = \min\{C, M, Y\}$
  - $C = C - K, M = M - K, Y = Y - K$

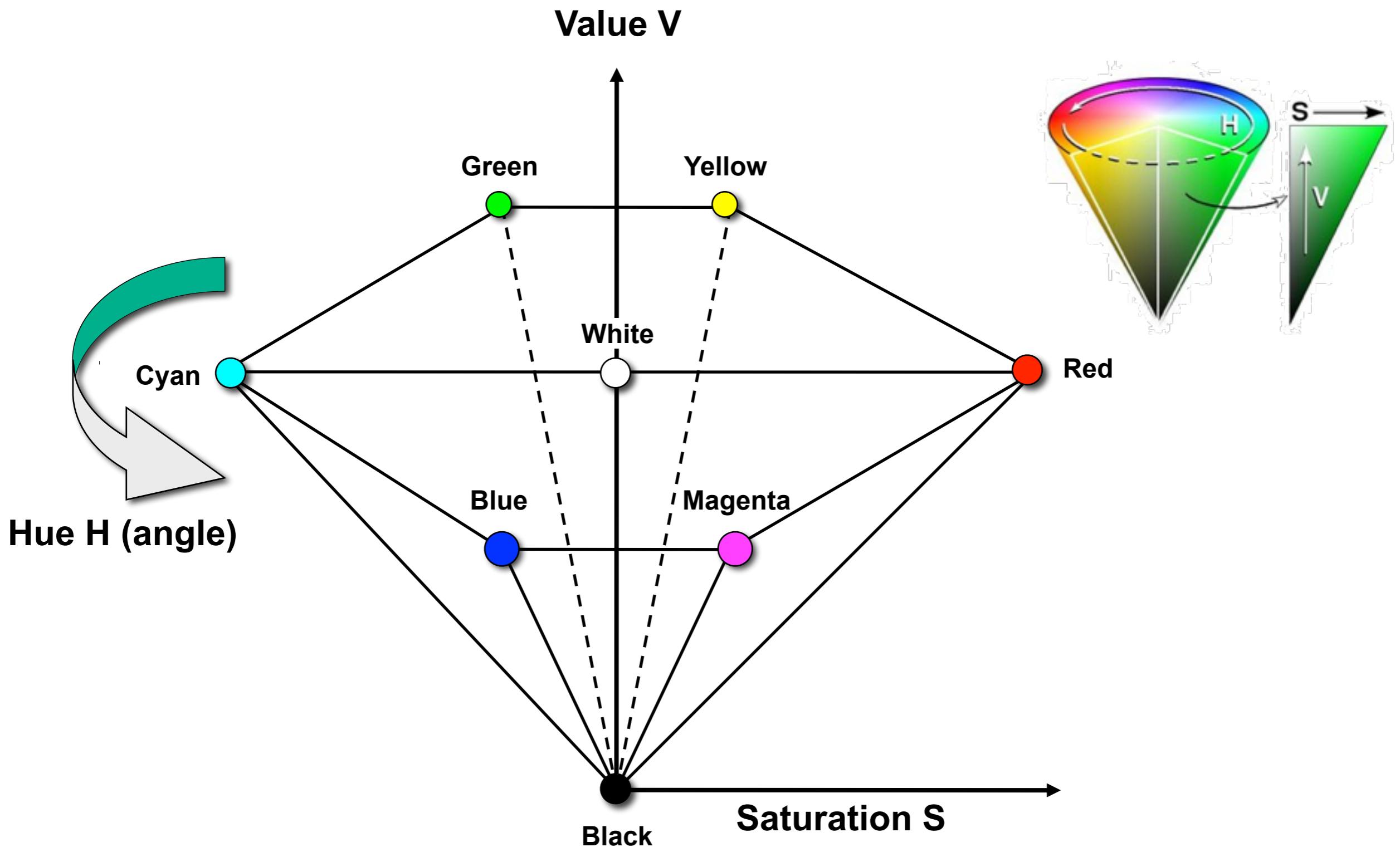


# CMY(K) Color Model



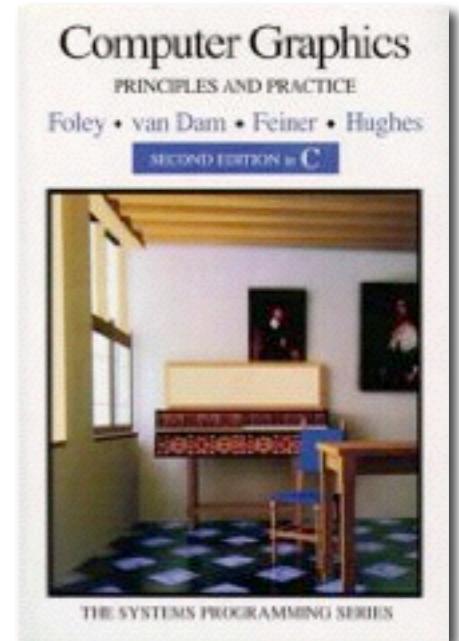
[wikipedia]

# HSV Color Model



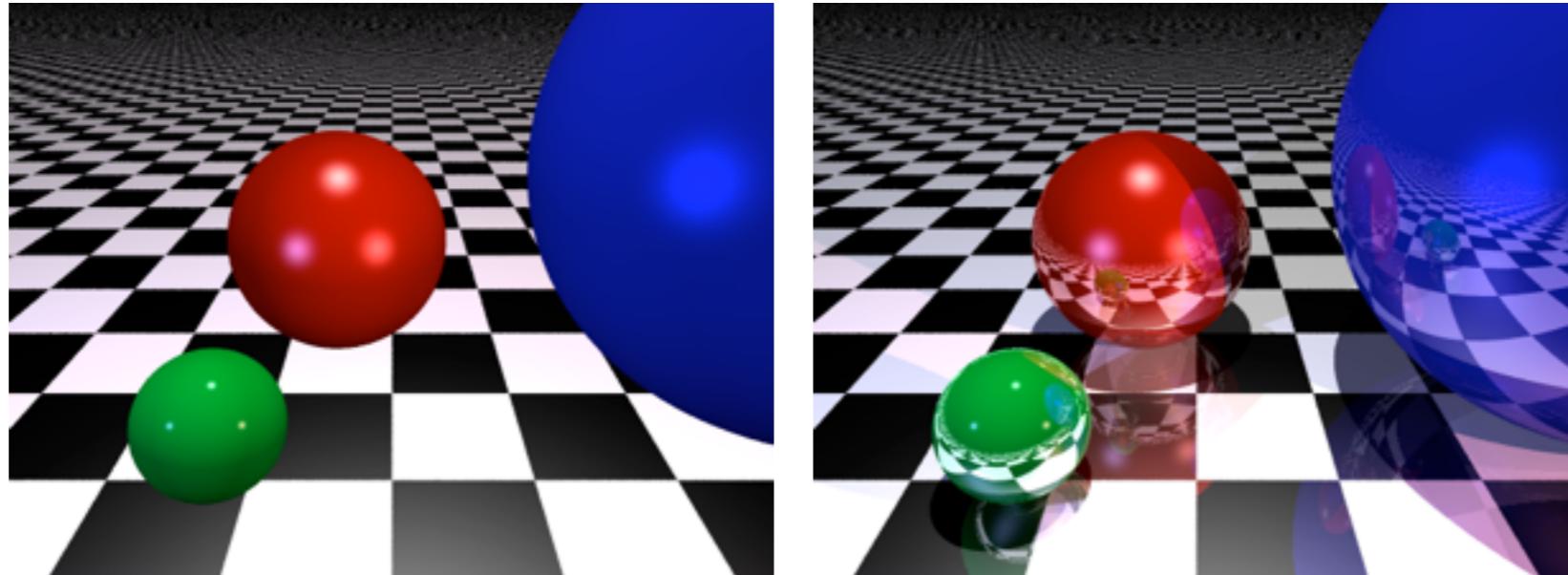
# Literature

- Foley, van Dam, Feiner, Hughes: ***Computer Graphics: Principles and Practice.***
  - Chapter 13



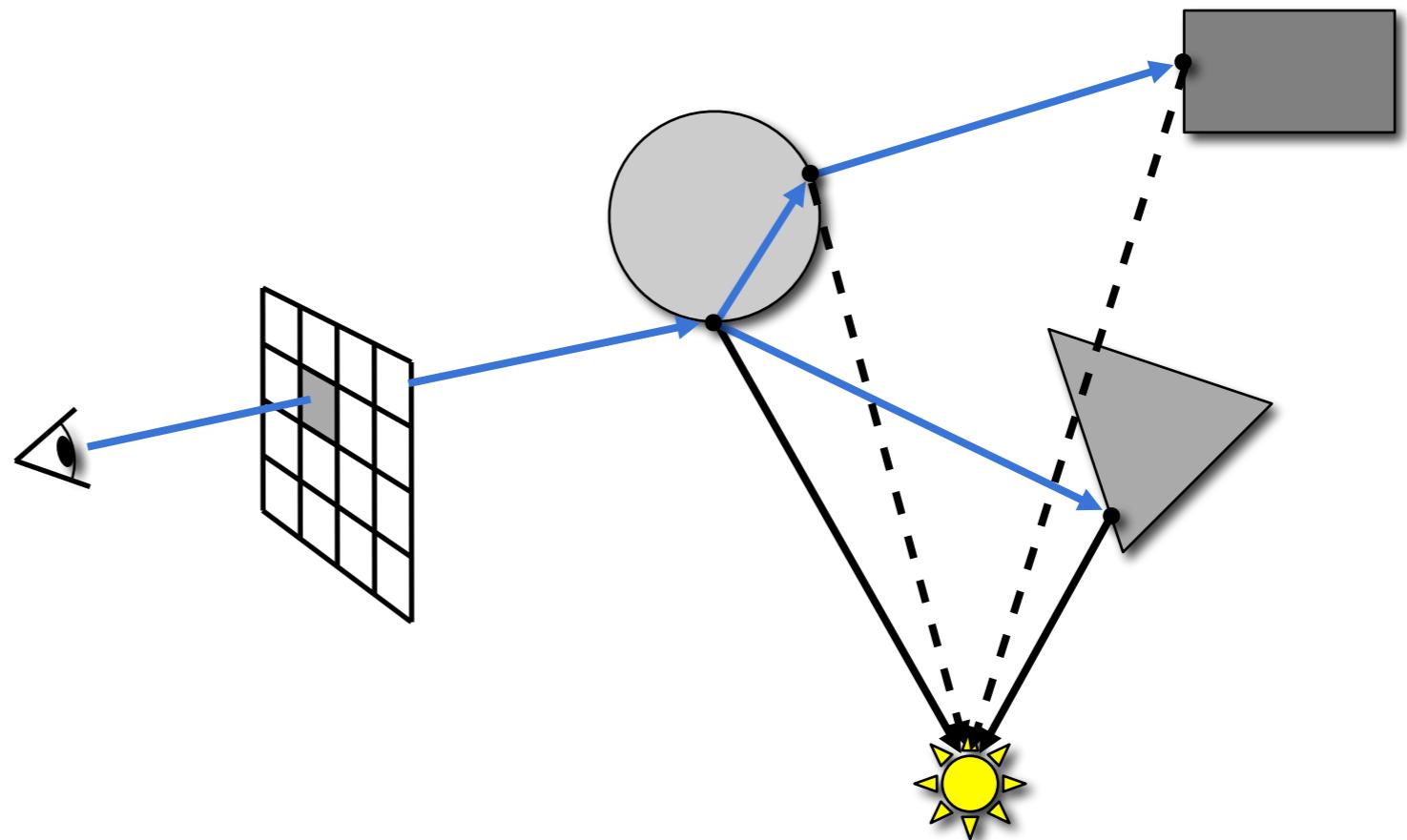
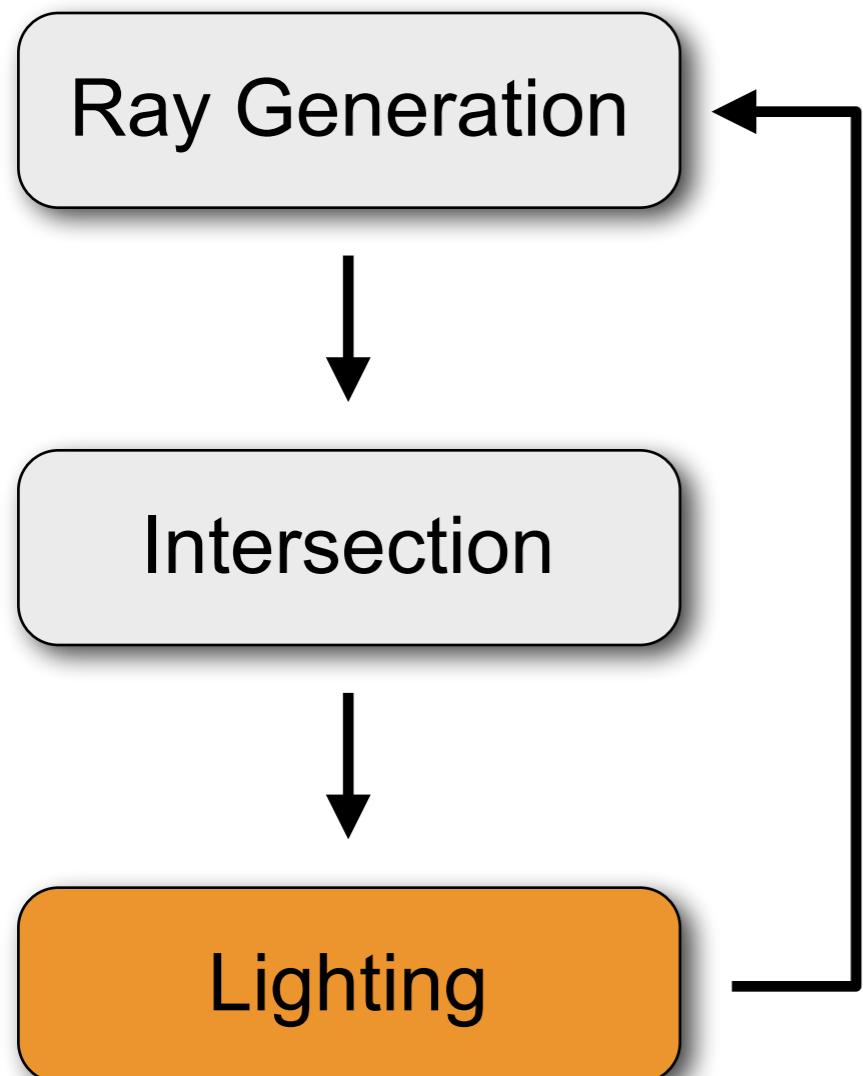
# Introduction to Computer Graphics

## *Lighting*



Prof. Dr. Mario Botsch  
Computer Graphics & Geometry Processing

# Lighting

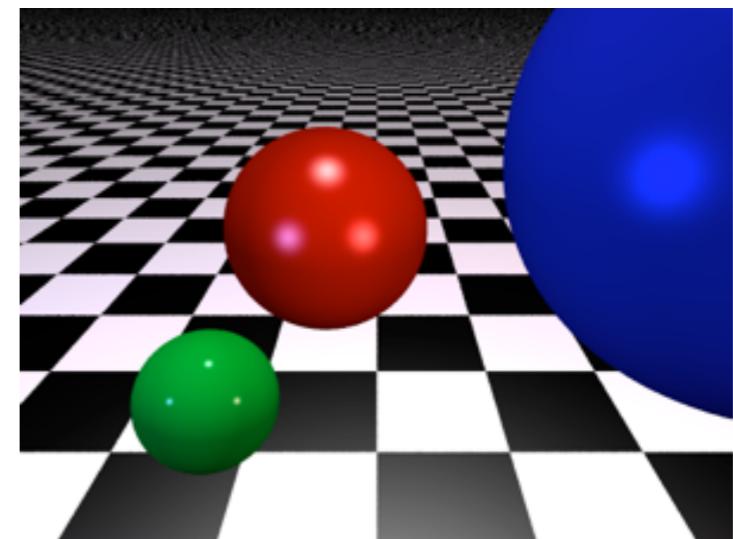
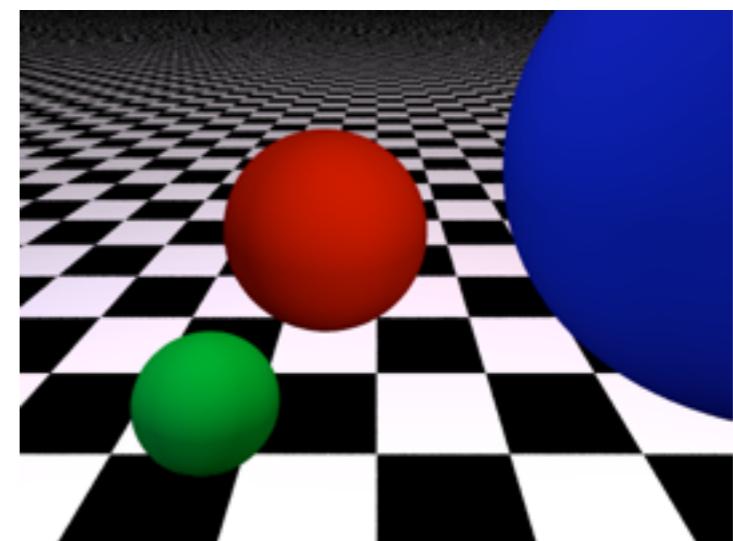
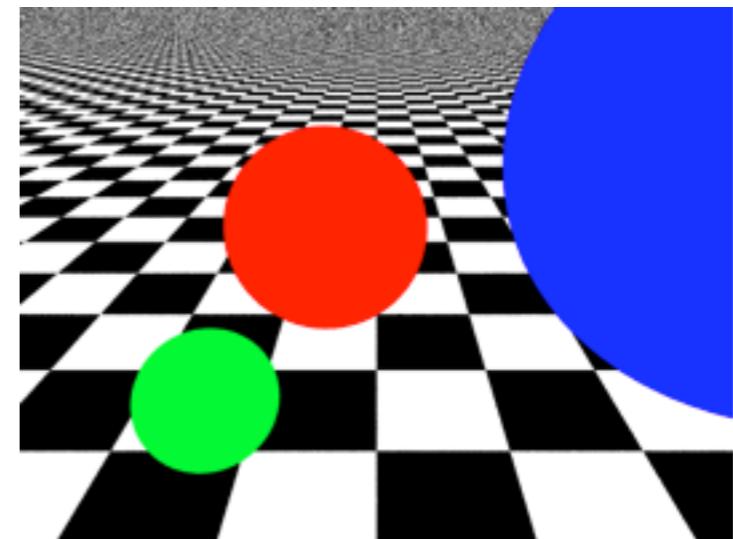


# Lighting

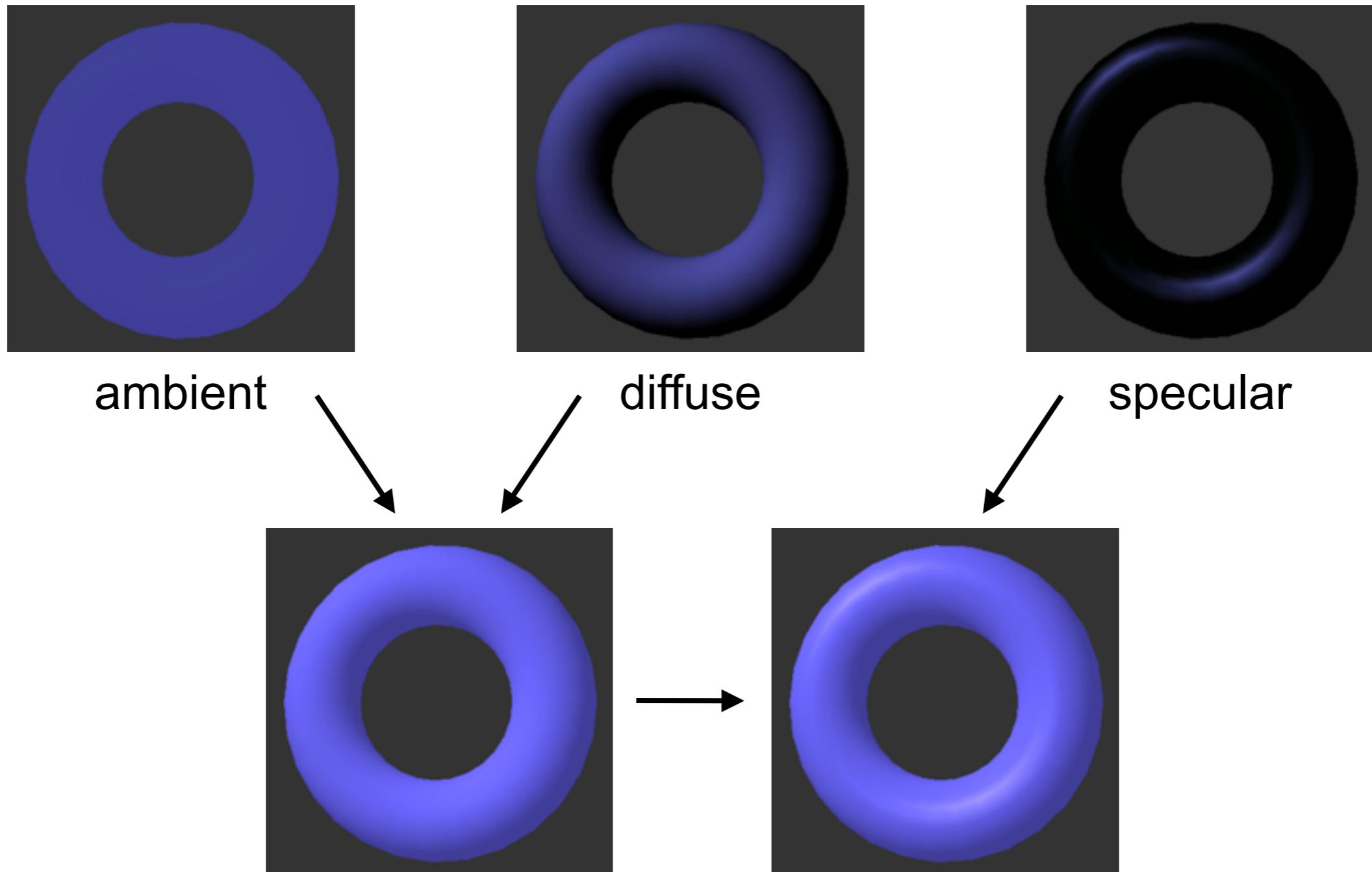
- Determines color/brightness of primary ray
  - Gives the color of the corresponding pixel
- Different models for surface reflectance
  - Phong model: ambient, diffuse, specular (today)
  - General BRDF models (later)
- Shadows
  - Shadow rays test whether a point is illuminated

# Phong Lighting Model

- **Ambient lighting**
  - approximate global light transport / exchange
- **Diffuse lighting**
  - dull / matt surfaces
- **Specular lighting**
  - shiny surfaces



# Phong Lighting Model



# Ambient Light

- Uniform incoming light, uniform reflection

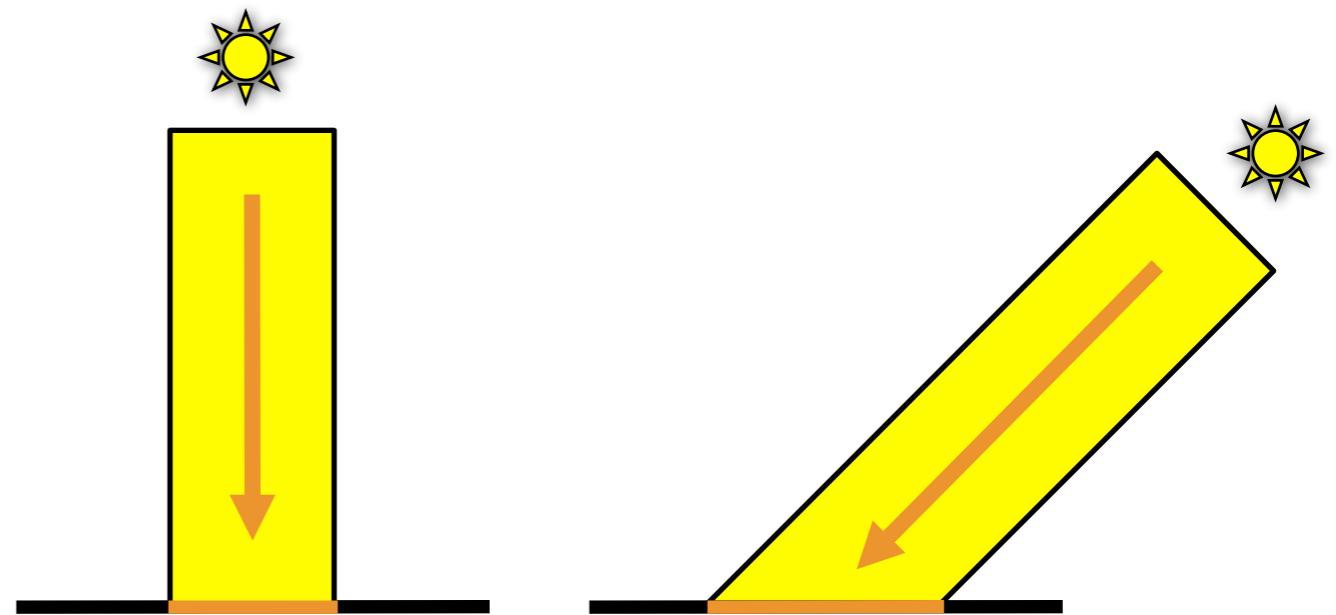
$$I = I_a k_a$$

ambient light in the scene      ambient material parameter



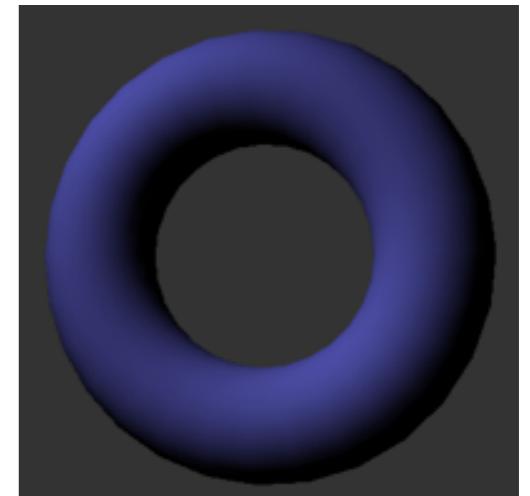
# Diffuse Reflection

- Directed incoming light, uniform reflection
- Brightness ~ received energy
  - area  $\sim 1/\cos\theta$
  - brightness  $\sim \cos\theta$
  - *Lambertian reflection*



# Diffuse Reflection

- Directed incoming light, uniform reflection
- Brightness ~ received energy
  - area ~  $1/\cos\theta$
  - brightness ~  $\cos\theta$
  - *Lambertian reflection*

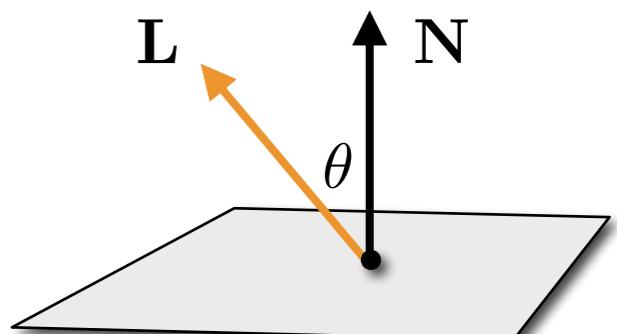


$$I_d = I_l k_d \cos \theta = I_l k_d (\mathbf{N} \cdot \mathbf{L})$$

light  
source

material  
parameter

vectors are  
normalized!



attn: no diffuse reflection if  $(\mathbf{N} \cdot \mathbf{L}) < 0$

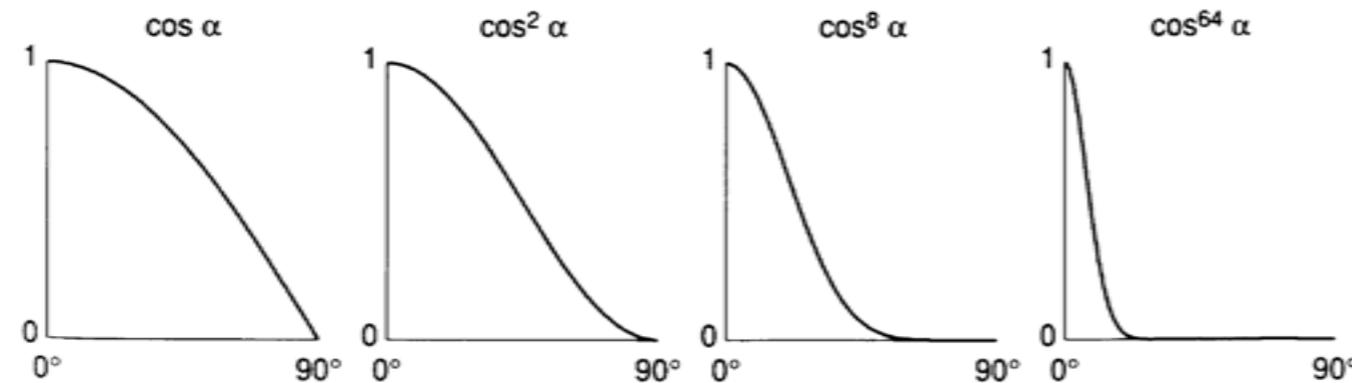
# Specular Reflection

- Directed incoming light, directed reflection
- Reflected light direction  $\mathbf{R}$

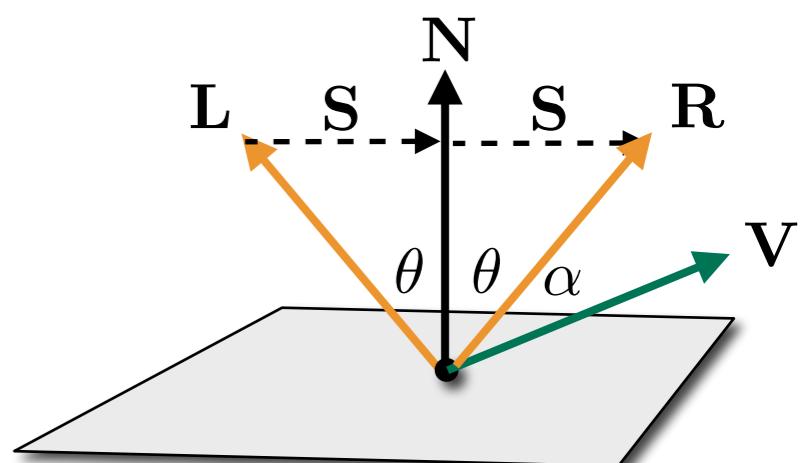
$$\mathbf{R} = \mathbf{N} \cos \theta + \mathbf{S} = 2\mathbf{N} \cos \theta - \mathbf{L} = 2\mathbf{N}(\mathbf{N} \cdot \mathbf{L}) - \mathbf{L}$$

- Model specular reflection by cosine powers

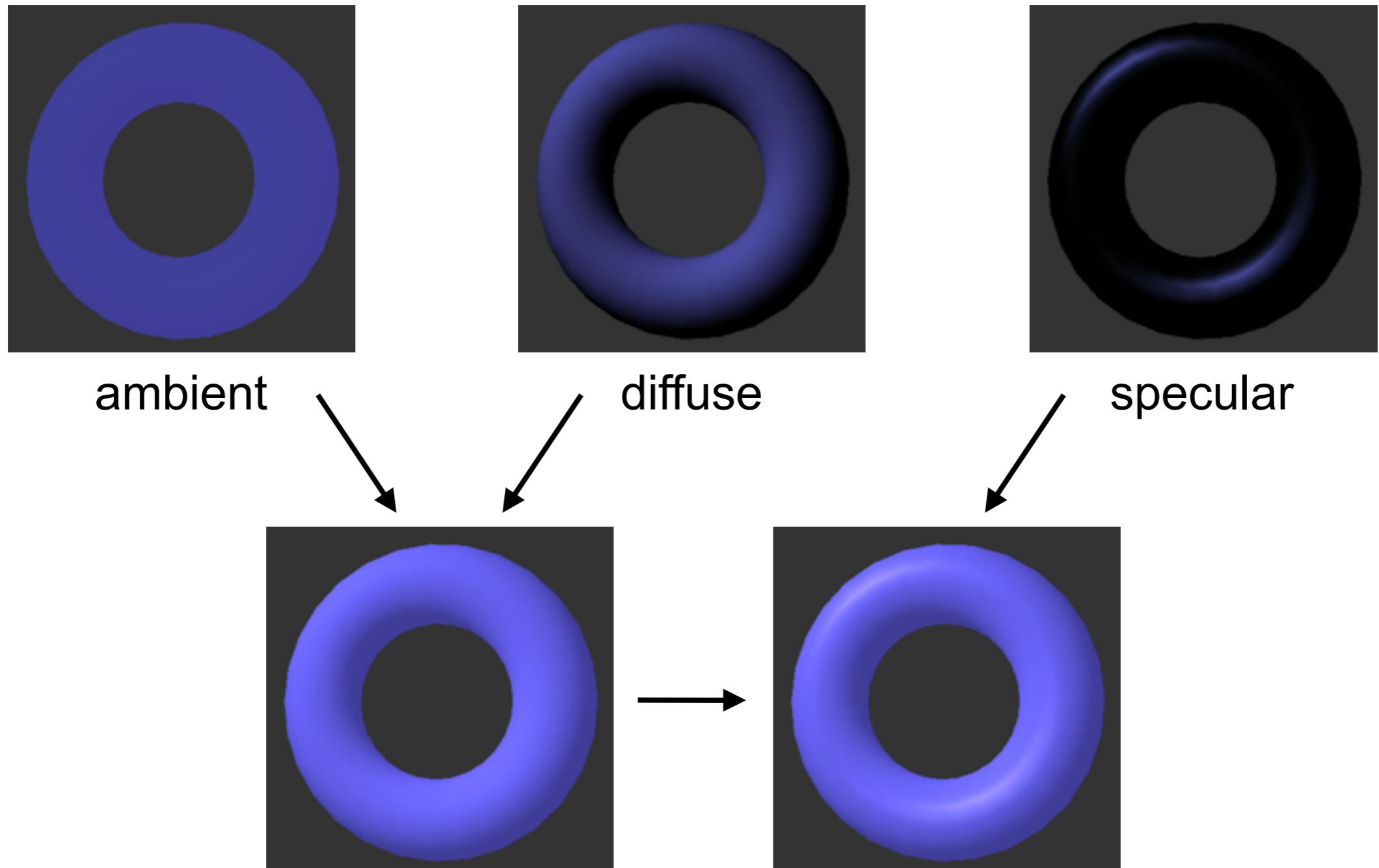
$$I_s = I_l k_s \cos^n \alpha = I_l k_s (\mathbf{R} \cdot \mathbf{V})^n$$



attn: no specular reflection if  $(\mathbf{N} \cdot \mathbf{L}) < 0$  or  $(\mathbf{R} \cdot \mathbf{V}) < 0$



# Phong Lighting Model

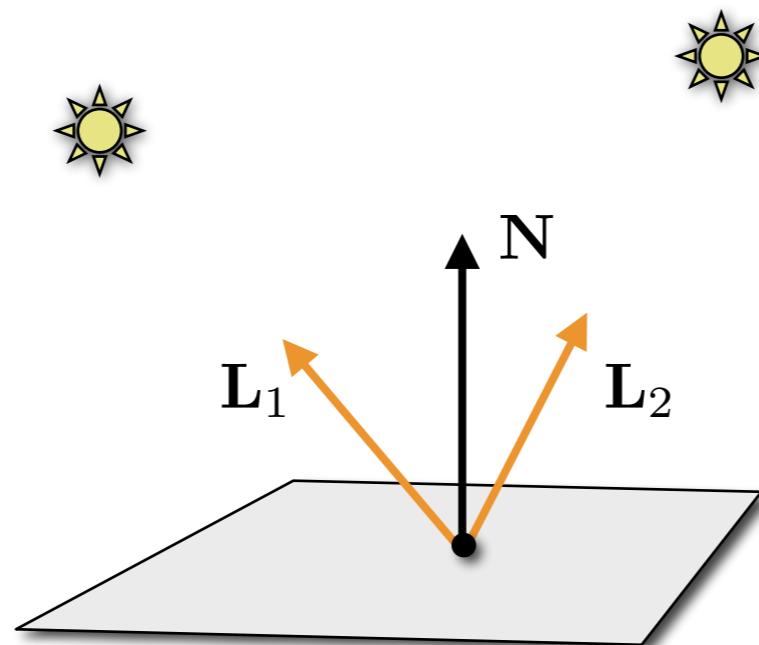


$$I = I_a k_a + I_l (k_d (\mathbf{N} \cdot \mathbf{L}) + k_s (\mathbf{R} \cdot \mathbf{V})^n)$$

# Multiple Light Sources

- Sum up contributions of all light sources

$$I = I_a k_a + \sum_l (I_l k_d (\mathbf{N} \cdot \mathbf{L}) + I_l k_s (\mathbf{R} \cdot \mathbf{V})^n)$$



# Lighting & Color

- Light and reflection are functions of wavelength

$$I_{\lambda} = I_{a,\lambda} k_{a,\lambda} + I_{l,\lambda} (k_{d,\lambda} (\mathbf{N} \cdot \mathbf{L}) + k_{s,\lambda} (\mathbf{R} \cdot \mathbf{V})^n)$$

- We simply restrict to RGB components

$$I_r = I_{a,r} k_{a,r} + I_{l,r} (k_{d,r} (\mathbf{N} \cdot \mathbf{L}) + k_{s,r} (\mathbf{R} \cdot \mathbf{V})^n)$$

$$I_g = I_{a,g} k_{a,g} + I_{l,g} (k_{d,g} (\mathbf{N} \cdot \mathbf{L}) + k_{s,g} (\mathbf{R} \cdot \mathbf{V})^n)$$

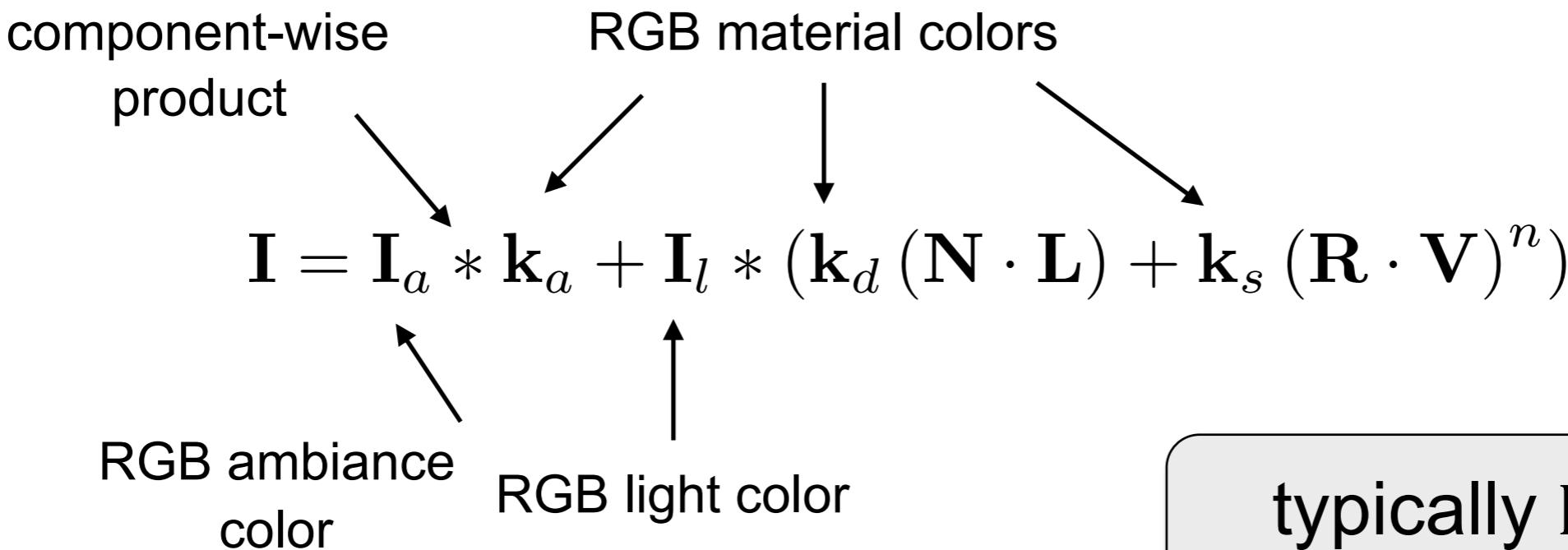
$$I_b = I_{a,b} k_{a,b} + I_{l,b} (k_{d,b} (\mathbf{N} \cdot \mathbf{L}) + k_{s,b} (\mathbf{R} \cdot \mathbf{V})^n)$$

# Lighting & Color

- Light and reflection are functions of wavelength

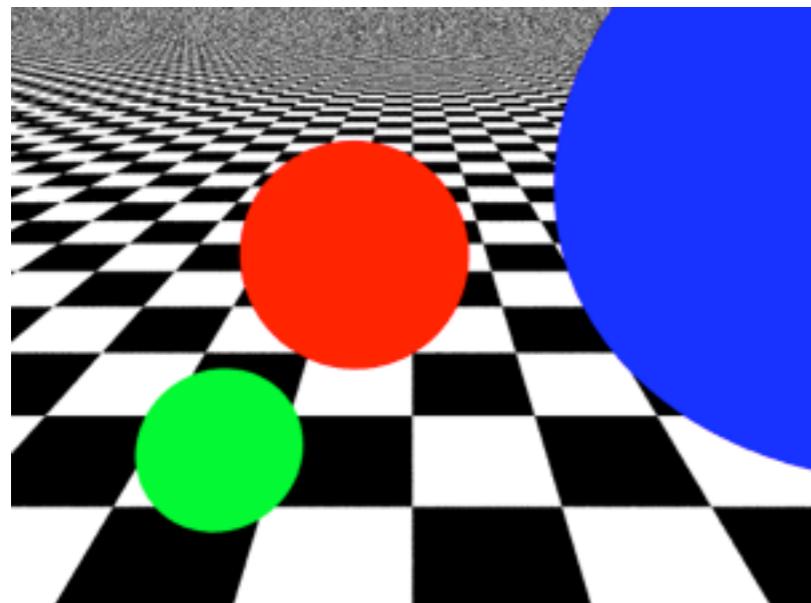
$$I_\lambda = I_{a,\lambda} k_{a,\lambda} + I_{l,\lambda} (k_{d,\lambda} (\mathbf{N} \cdot \mathbf{L}) + k_{s,\lambda} (\mathbf{R} \cdot \mathbf{V})^n)$$

- We simply restrict to RGB components

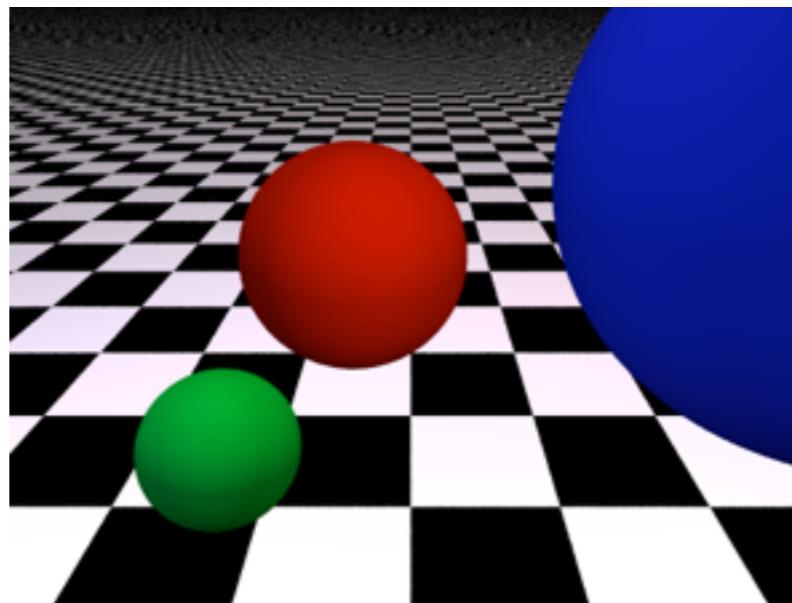


typically  $\mathbf{k}_a = \mathbf{k}_d$   
and  $\mathbf{k}_s = (1,1,1)$

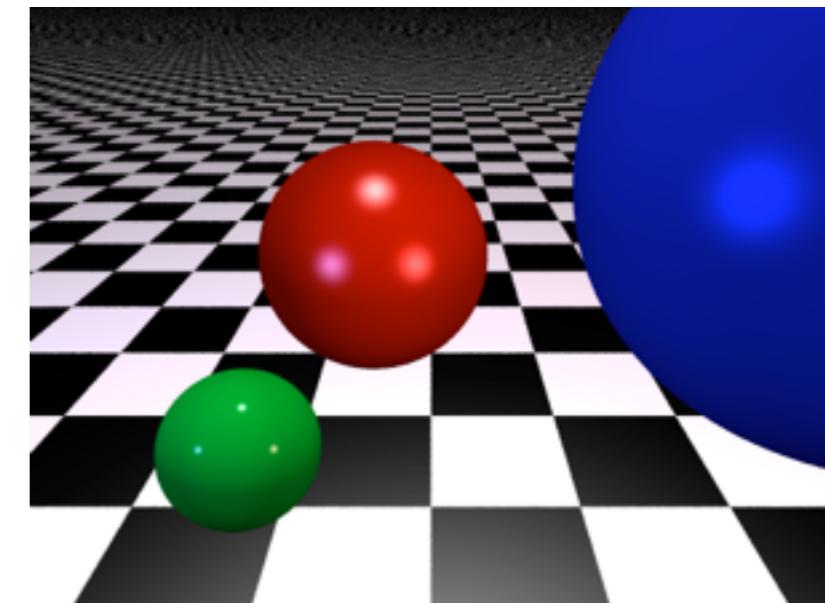
# Lighting



Ambient



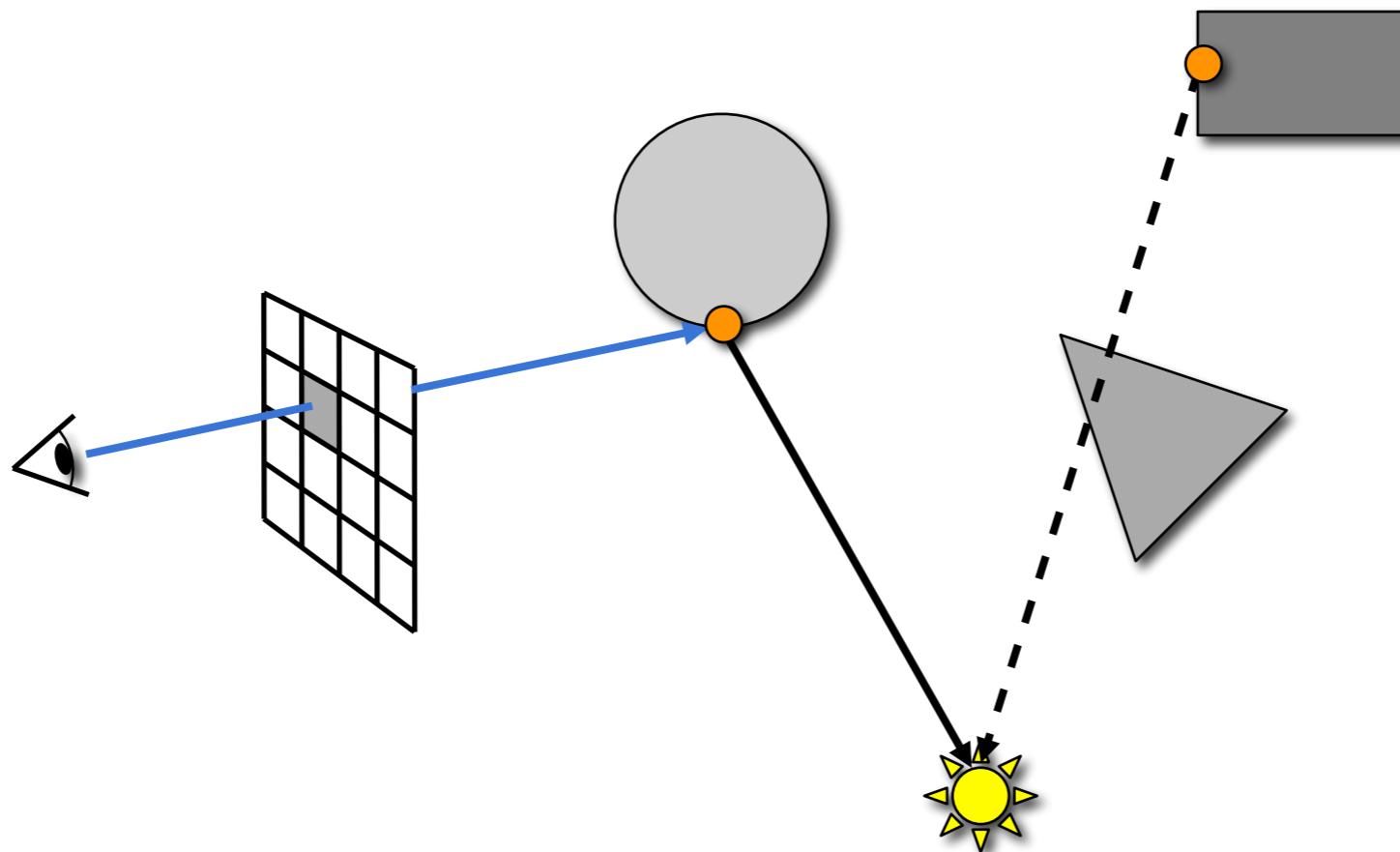
+ Diffuse



+ Specular

# Shadows

- Send shadow ray from intersection point to light source

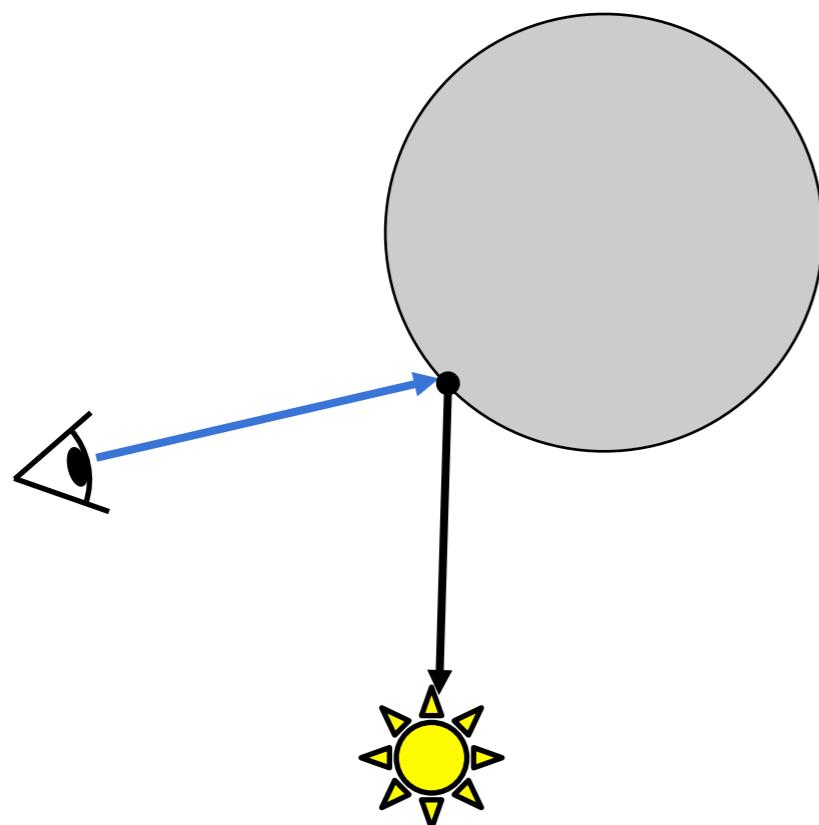


$$I = I_a k_a + \cancel{I_p (k_d (\mathbf{N} \cdot \mathbf{E}) + k_s (\mathbf{R} \cdot \mathbf{V})^n)}$$

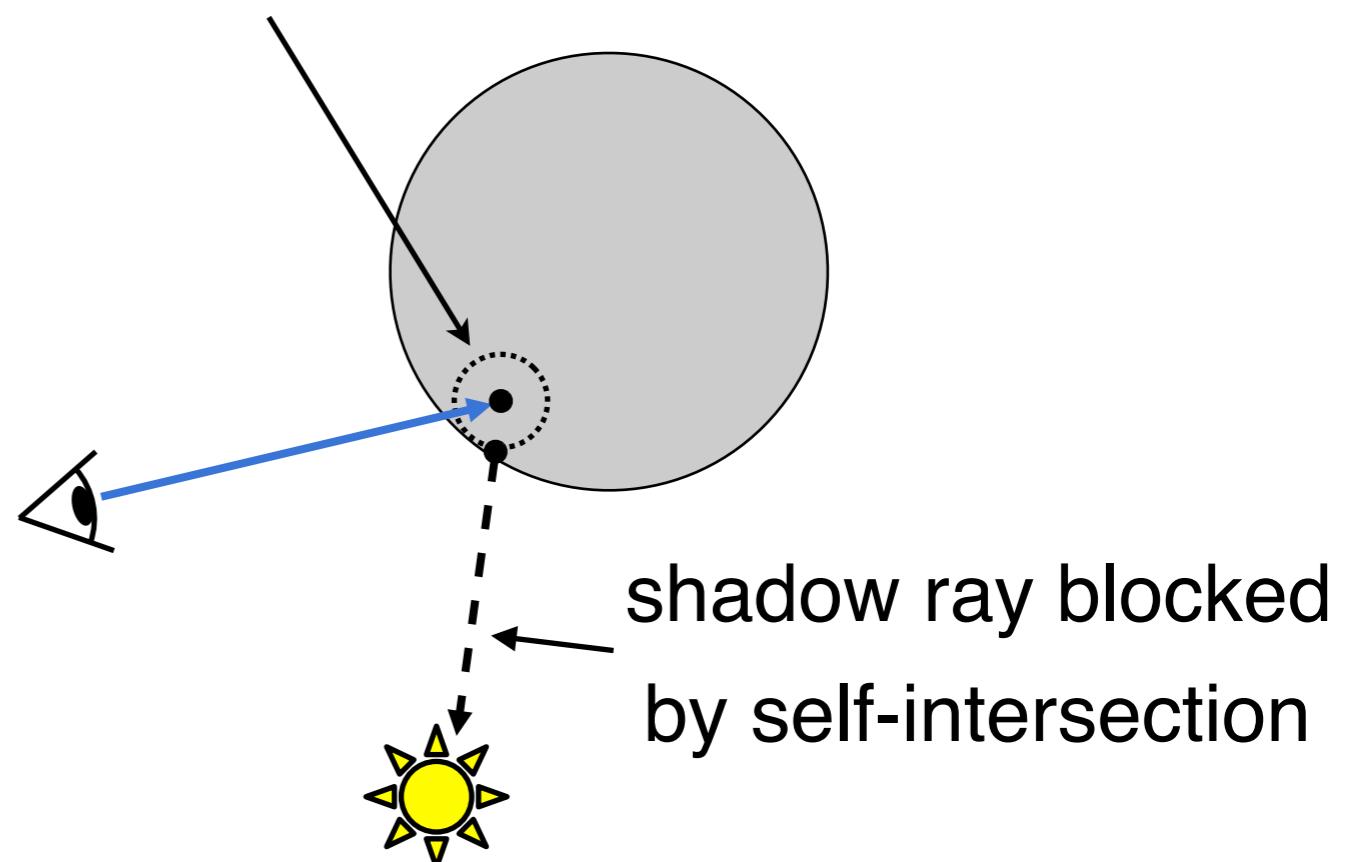
# Implementation Note

- Numerical precision issues

intersection point is inside  
due to floating point errors



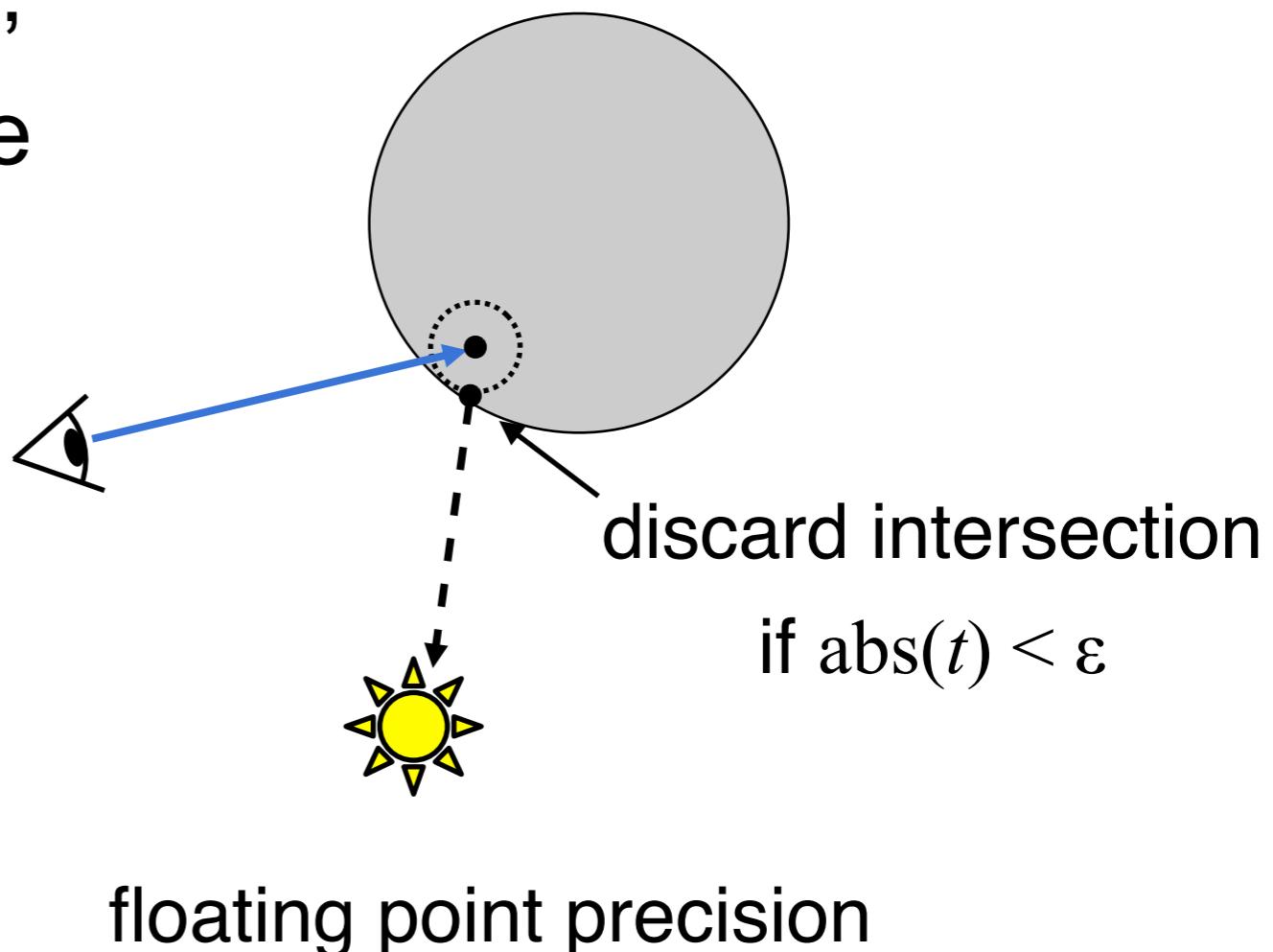
exact computation



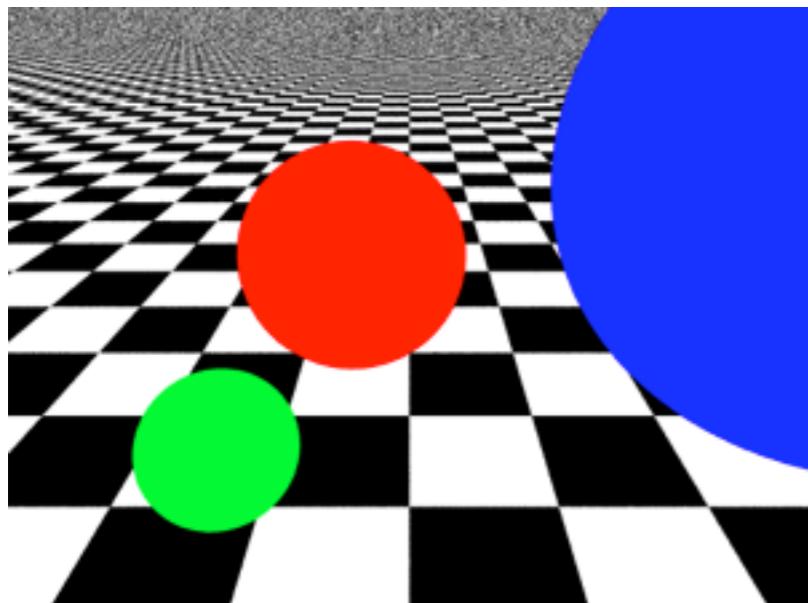
floating point precision

# Implementation Note

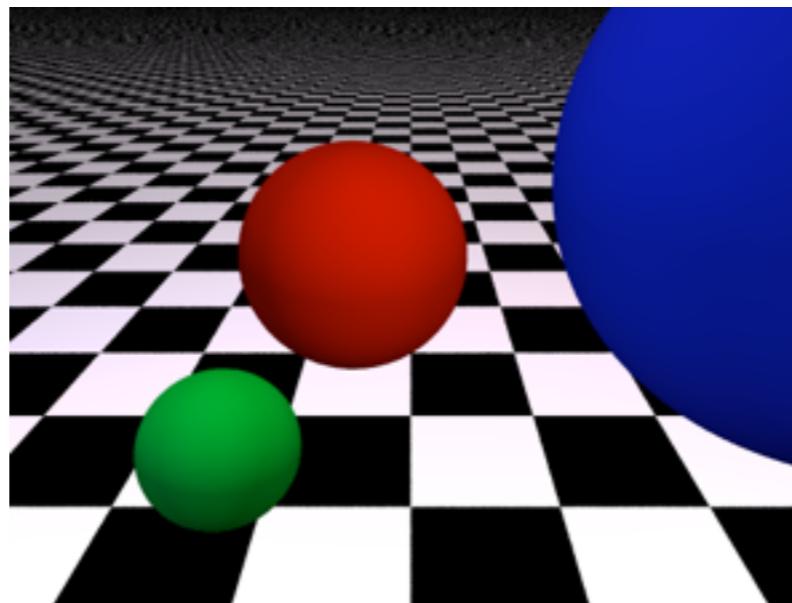
- Numerical precision issues
- Solutions
  - Intersection tolerance  $\varepsilon$ ,  
e.g., 0.1% of scene size
  - Exact arithmetic  
(typically too costly)



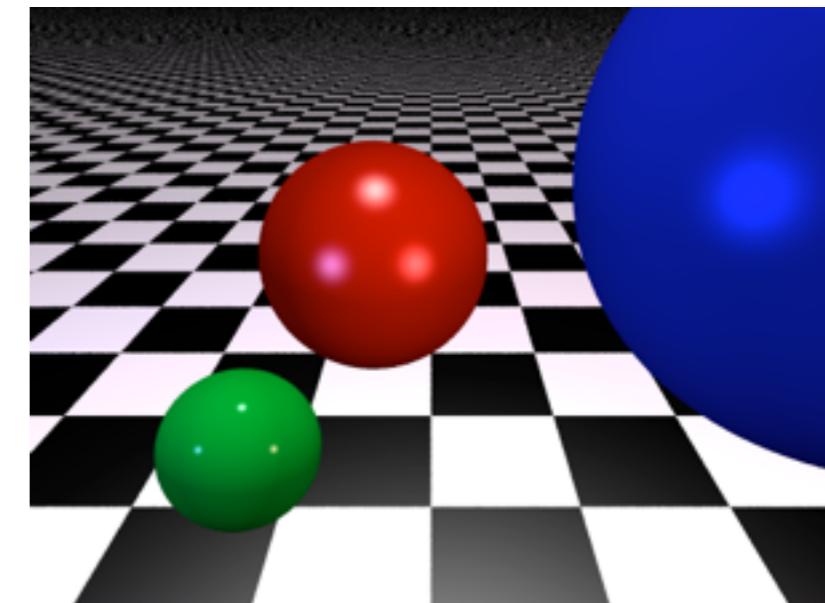
# Lighting



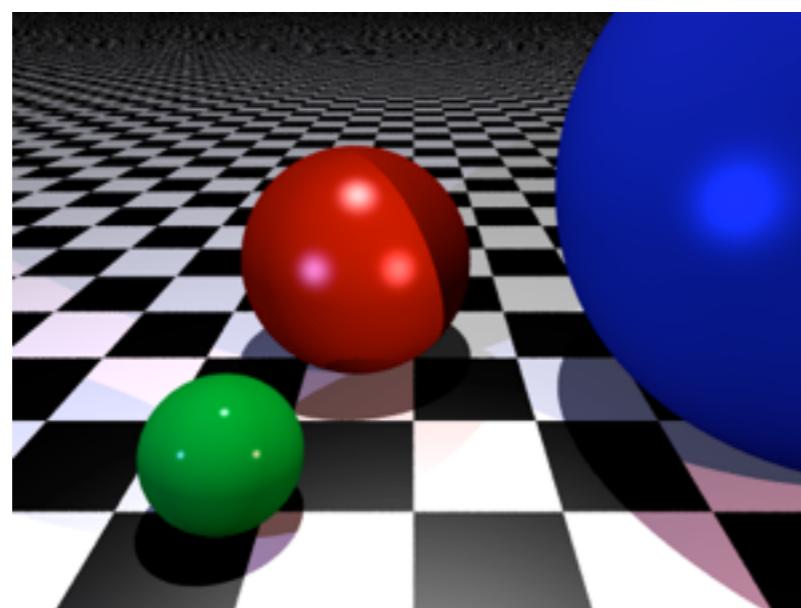
Ambient



+ Diffuse

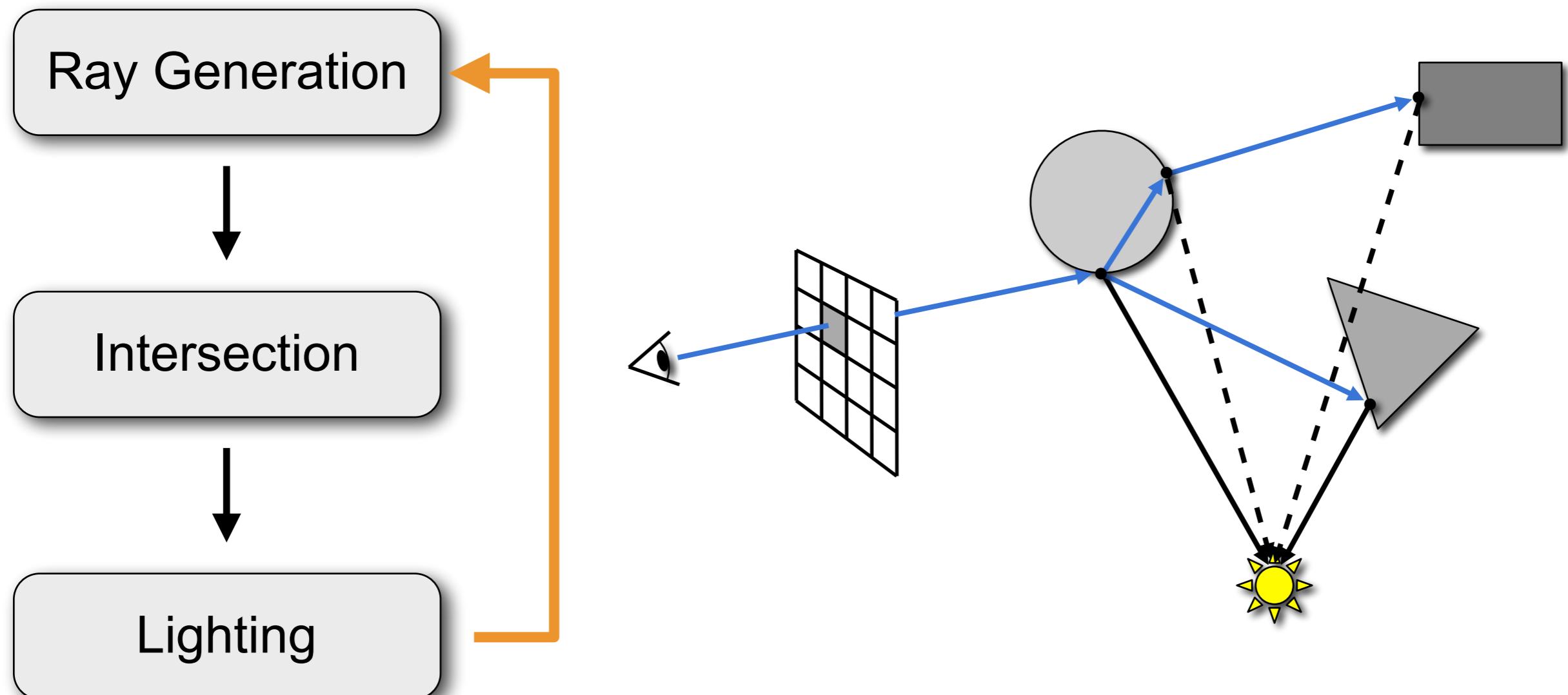


+ Specular



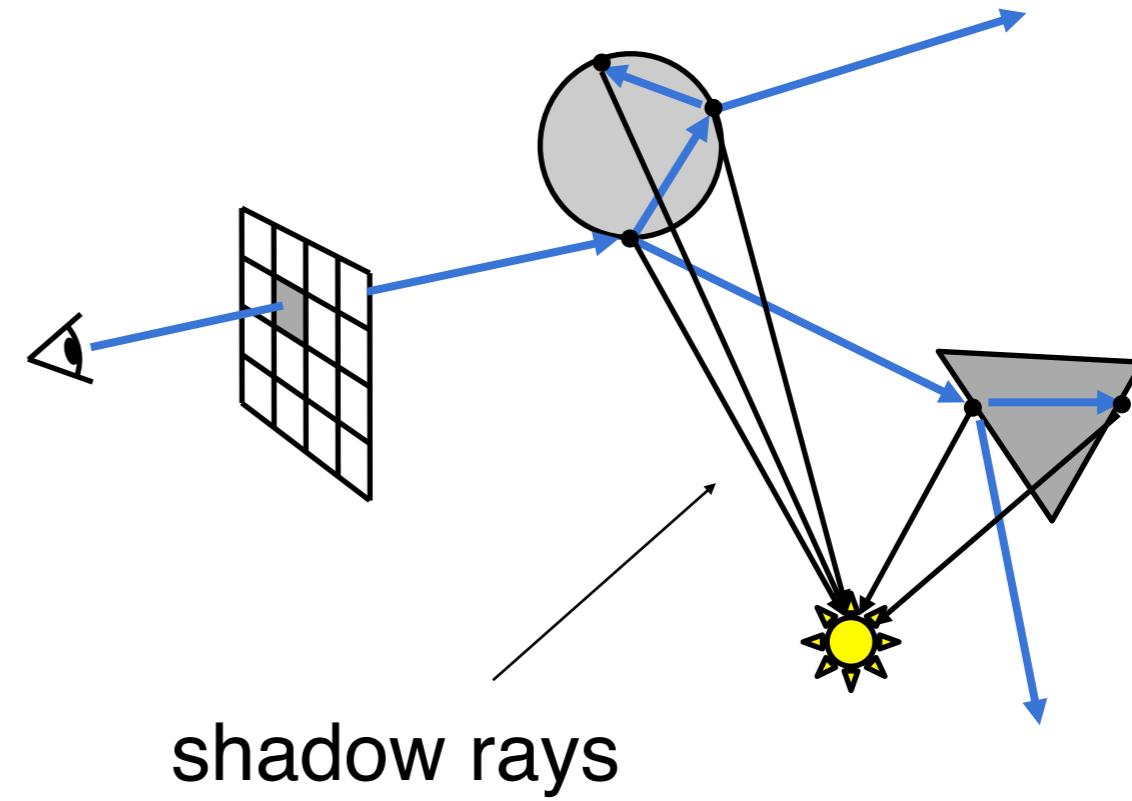
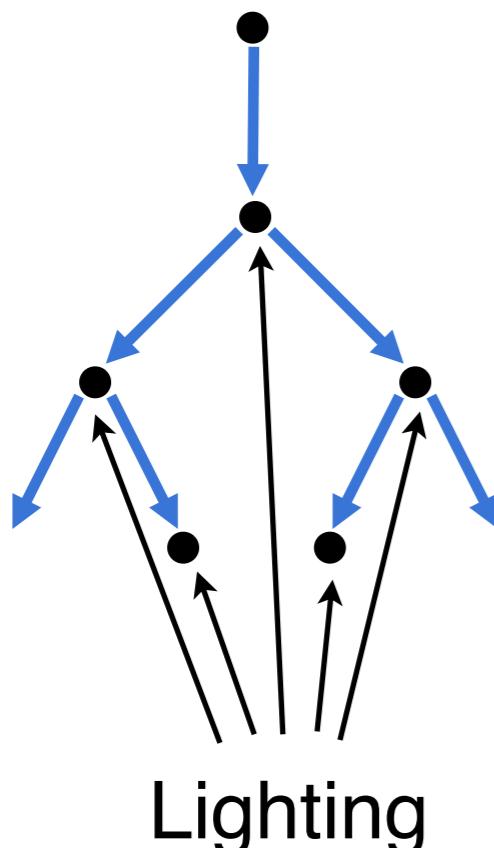
+ Shadows

# Recursive Ray Tracing

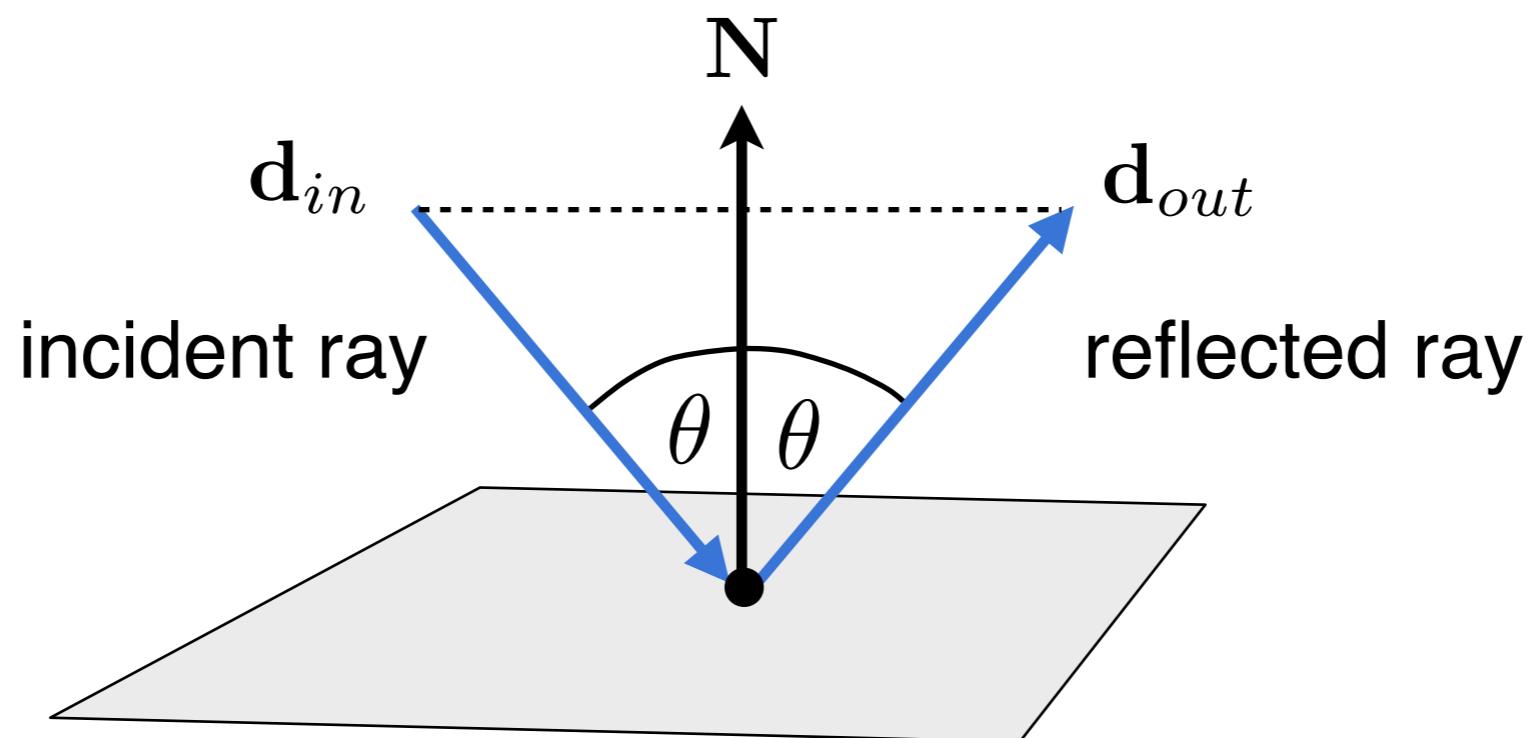


# Recursive Ray Tracing

- Recursion tree



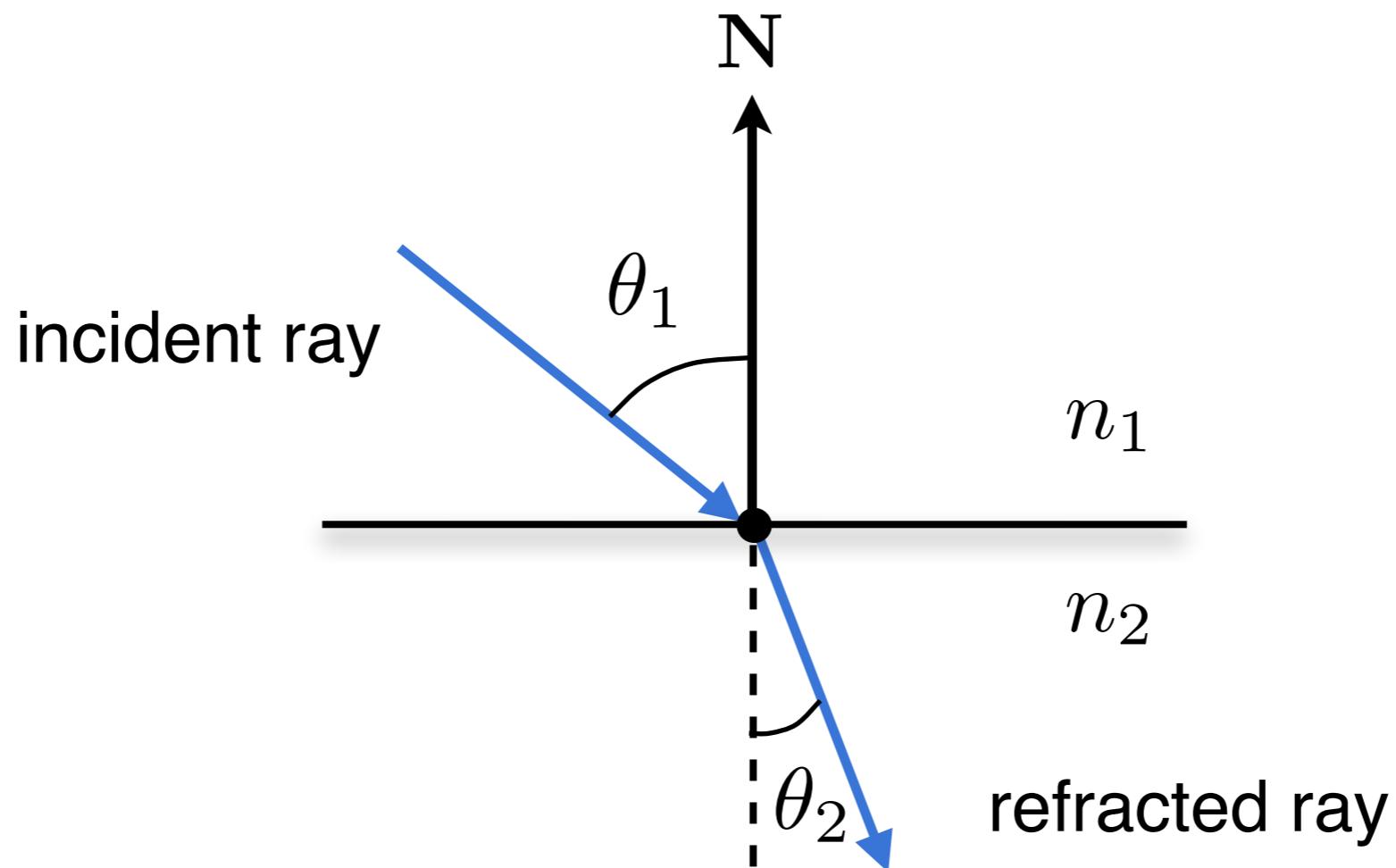
# Reflection



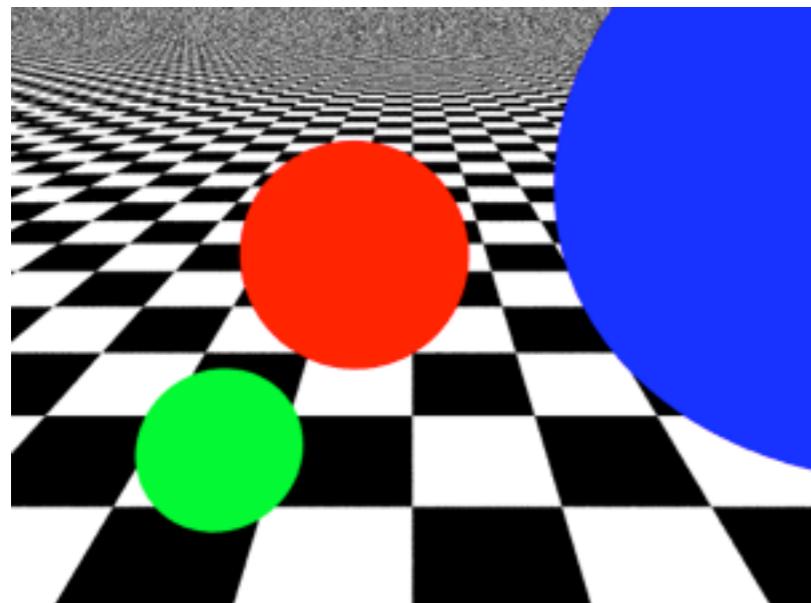
$$\mathbf{d}_{out} = (\mathbf{I} - 2\mathbf{NN}^T) \mathbf{d}_{in}$$

# Refraction

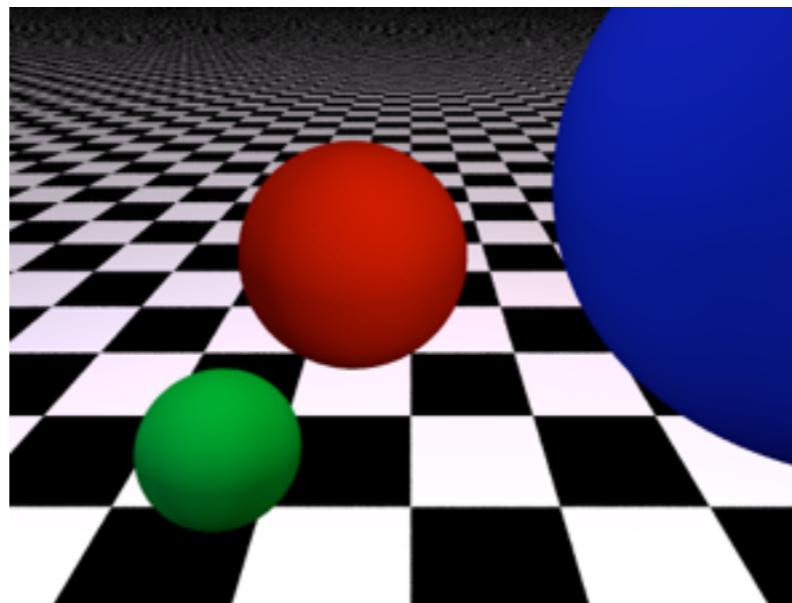
- Snell's law:  $n_1 \sin \theta_1 = n_2 \sin \theta_2$



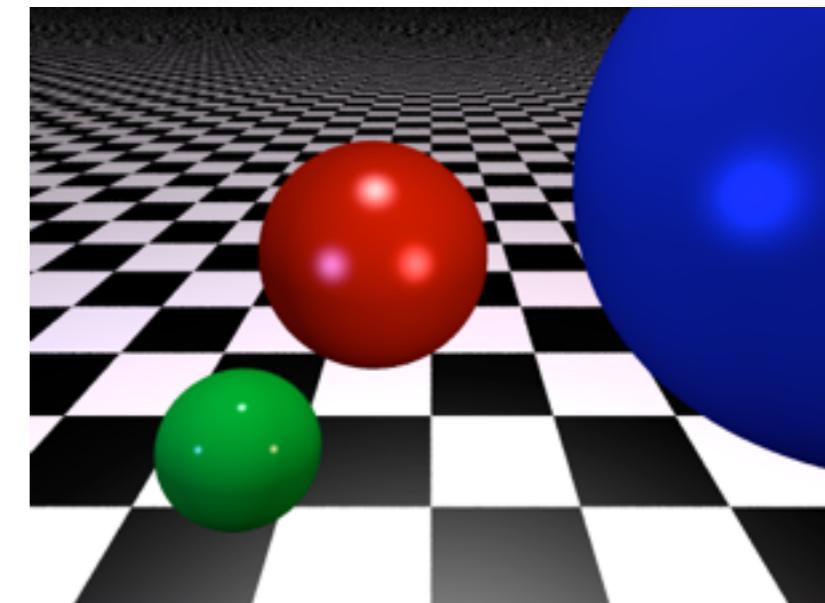
# Lighting



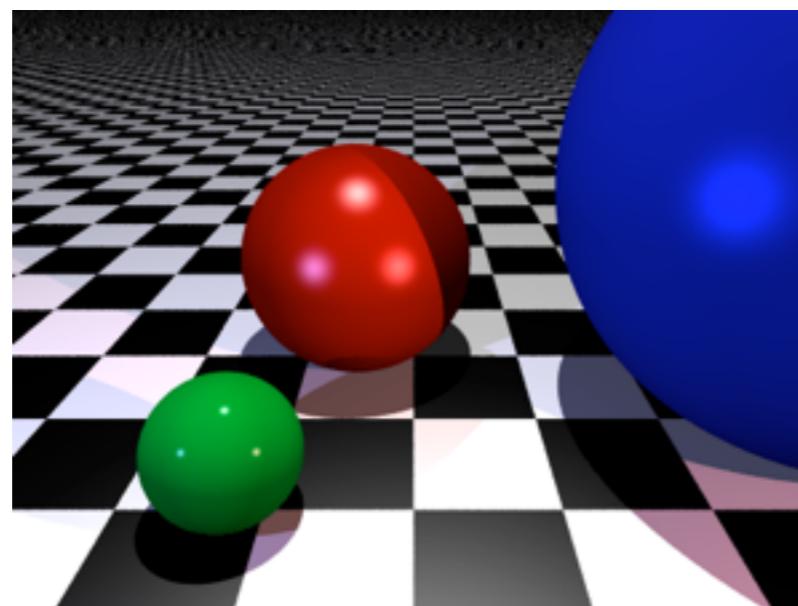
Ambient



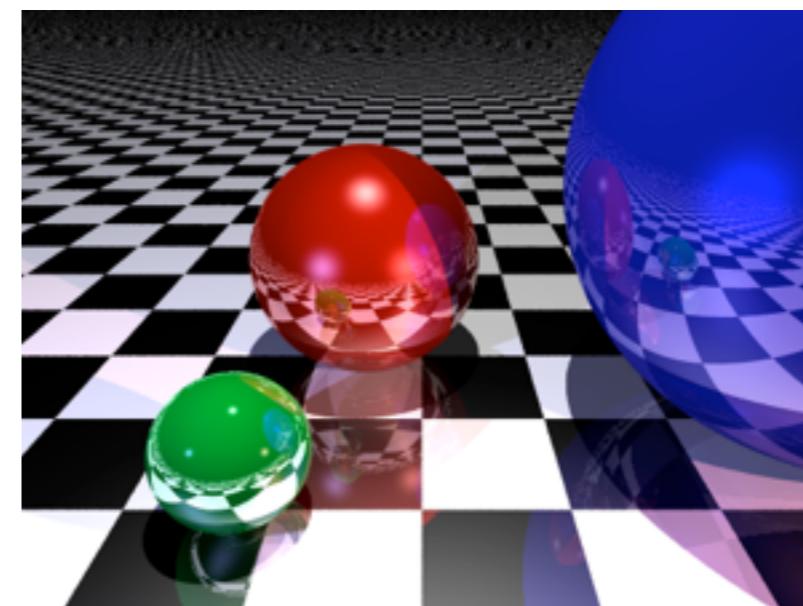
+ Diffuse



+ Specular

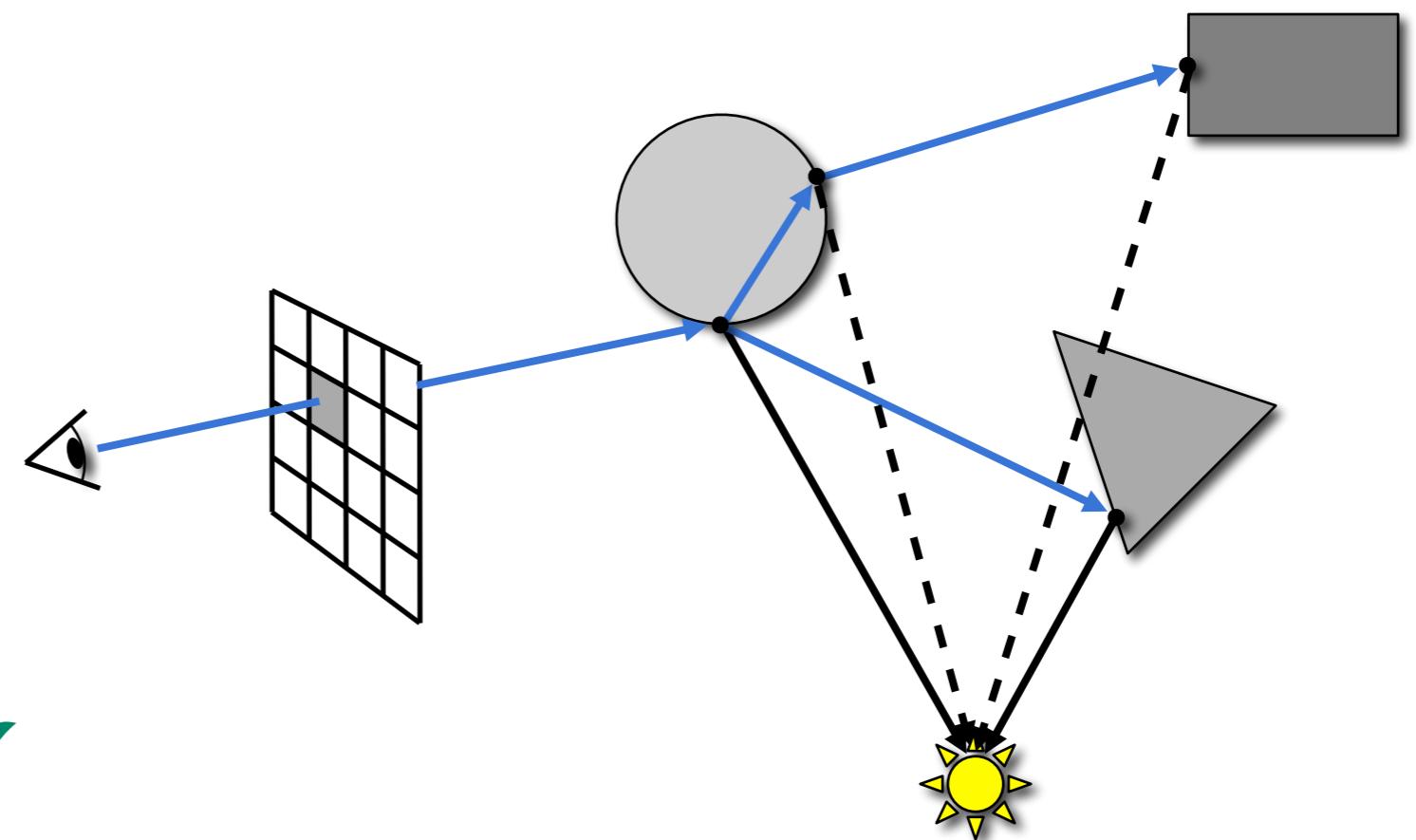
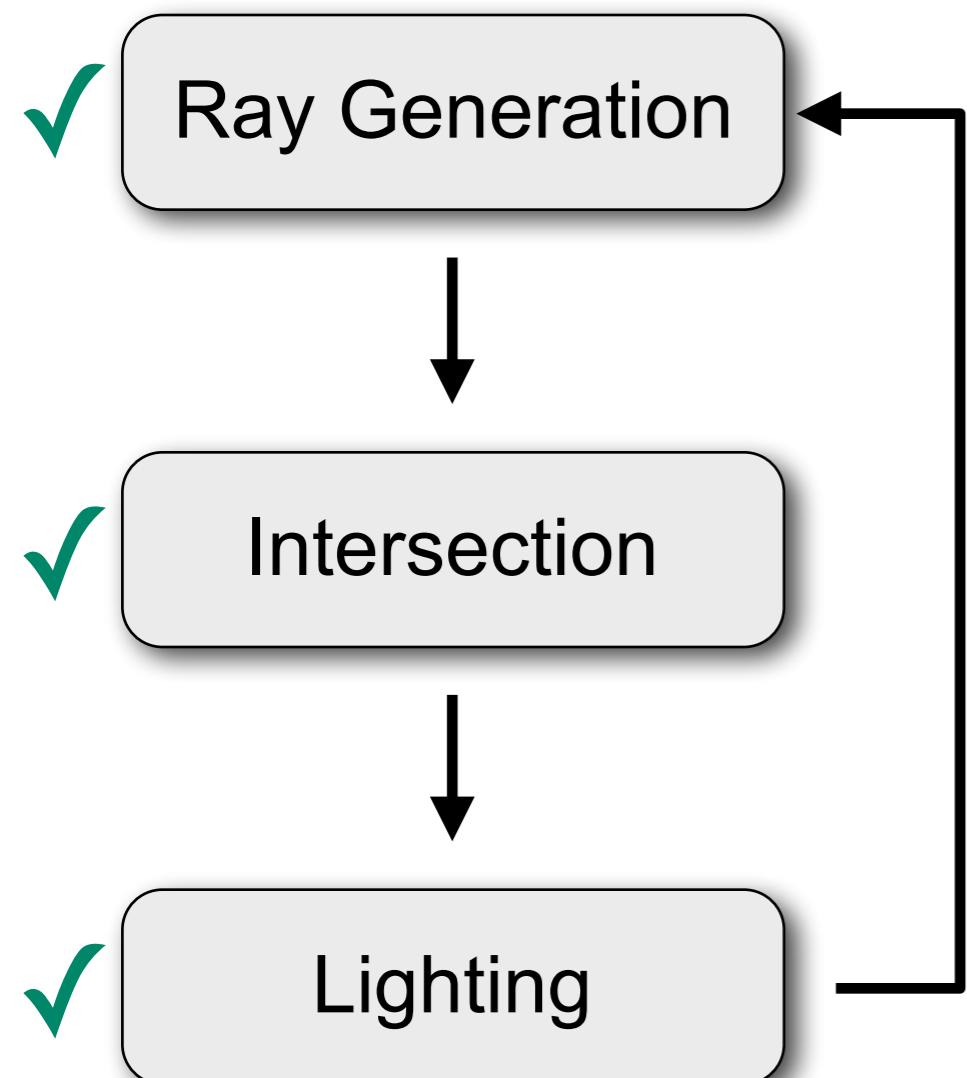


+ Shadows



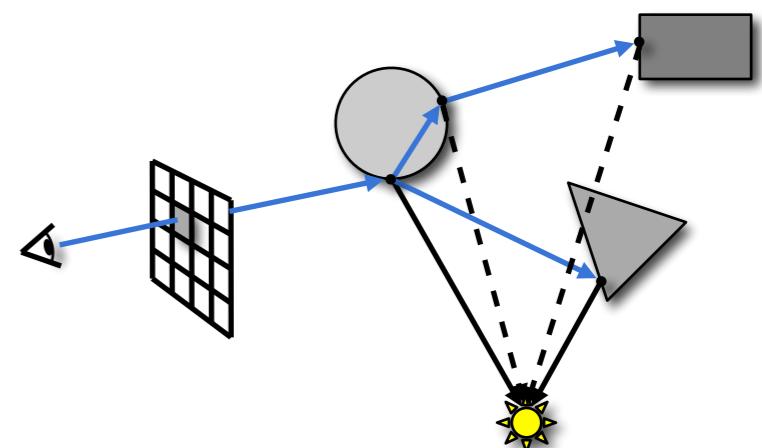
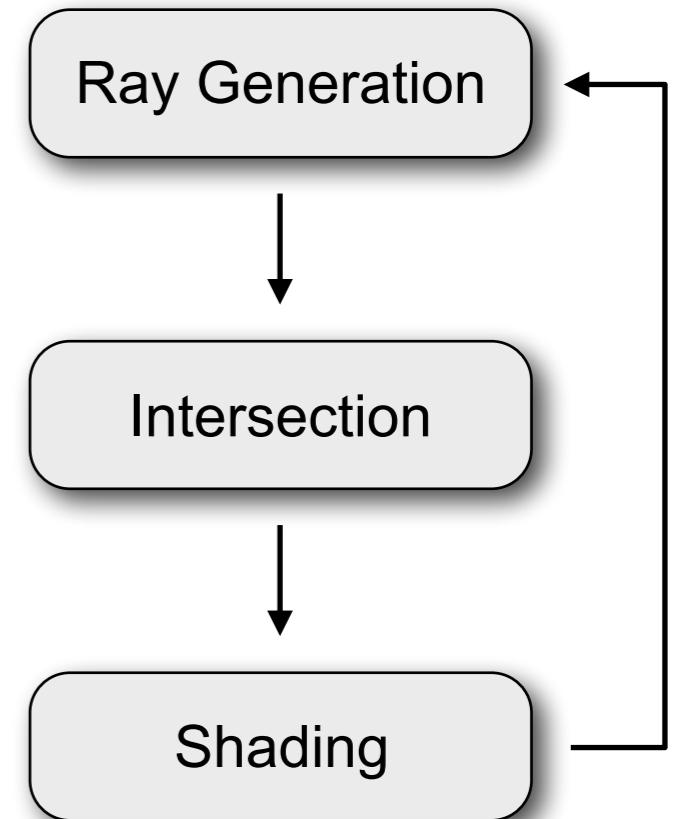
+ Reflections

# Recursive Ray Tracing



# Basic Ray Tracing Pipeline

- You should now be able to build a basic ray tracer!
- More issues to be discussed
  - intersection acceleration, advanced shading, area light sources, soft shadows, etc.



# Difficult to code?

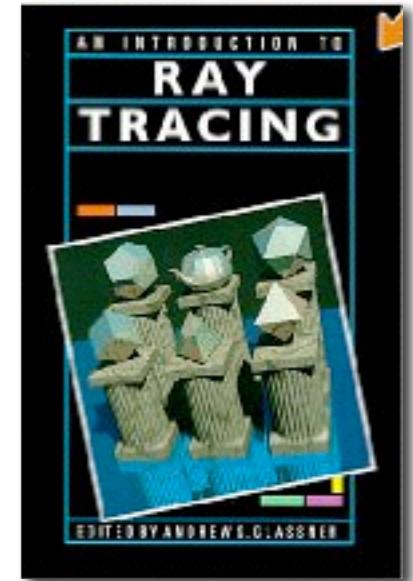
## Paul Heckbert's minimal ray tracer



```
typedef struct{double x,y,z;}vec;vec U,black,amb={.1,.1,.1};struct sphere{
vec cen,color;double rad,kd,ks,kt,kl,ir;}*s,*best,sph[]={0.,6.,.5,1.,1.,1.,.9,
.05,.2,.85,0.,1.7,-1.,8.,-.5,1.,.5,.2,1.,.7,.3,0.,.05,1.2,1.,8.,-.5,.1,.8,.8,
1.,.3,.7,0.,0.,1.2,3.,-6.,15.,1.,.8,1.,.7,.0.,0.,0.,.6,1.5,-3.,-3.,12.,.8,1.,
1.,5.,.0.,0.,.5,1.5,};yx;double u,b,tmin,sqrt(),tan();double vdot(A,B)vec A
,B;{return A.x*B.x+A.y*B.y+A.z*B.z;}vec vcomb(a,A,B)double a;vec A,B;{B.x+=a*
A.x;B.y+=a*A.y;B.z+=a*A.z;return B;}vec vunit(A)vec A;{return vcomb(1./sqrt(
vdot(A,A)),A,black);}struct sphere*intersect(P,D)vec P,D;{best=0;tmin=1e30;s=
sph+5;while(s-->sph)b=vdot(D,U=vcomb(-1.,P,s->cen)),u=b*b-vdot(U,U)+s->rad*s
->rad,u=u>0?sqrt(u):1e31,u=b-u>1e-7?b-u:b+u,tmin=u>=1e-7&&u<tmin?best=s,u:
tmin;return best;}vec trace(level,P,D)vec P,D;{double d,eta,e;vec N,color;
struct sphere*s,*l;if(!level--)return black;if(s=intersect(P,D));else return
amb;color=amb;eta=s->ir;d= -vdot(D,N=vunit(vcomb(-1.,P=vcomb(tmin,D,P),s->cen
)));if(d<0)N=vcomb(-1.,N,black),eta=1/eta,d= -d;l=sph+5;while(l-->sph)if((e=l
->kl*vdot(N,U=vunit(vcomb(-1.,P,l->cen))))>0&&intersect(P,U)==l)color=vcomb(e
,l->color,color);U=s->color;color.x*=U.x;color.y*=U.y;color.z*=U.z;e=1-eta*
eta*(1-d*d);return vcomb(s->kt,e>0?trace(level,P,vcomb(eta,D,vcomb(eta*d-sqrt
(e),N,black))):black,vcomb(s->ks,trace(level,P,vcomb(2*d,N,D)),vcomb(s->kd,
color,vcomb(s->kl,U,black))));}main(){printf("P3\n99 99\n255\n");while(yx<99*99)
U.x=yx%99-99/2,U.z=99/2-yx++/99,U.y=99/2/tan(25/114.5915590261),U=vcomb(255.,
trace(3,black,vunit(U)),black),printf("%.0f %.0f %.0f\n",U.x,U.y,U.z);}/*minray!*/
```

# Literature

- Glassner: *An Introduction to Ray Tracing*, Academic Press, 1989.
  - Chapters 2 & 4



- Pharr, Humphreys: *Physically Based Rendering*, Morgan Kaufmann, 2004.
  - Chapters 1-3

