

# ACL2 verification of Simplicial Complexes programs for the Kenzo system<sup>1</sup>

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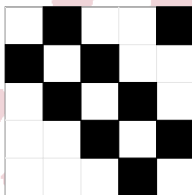
February 10, 2011

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<sup>1</sup>Partially supported by Ministerio de Educación y Ciencia, project MTM2009-13842-C02-01, and by European

# Algebraic Topology and Digital Images

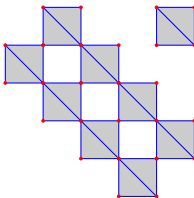
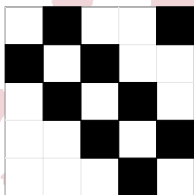
Digital Image



Simplicial set

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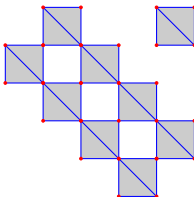
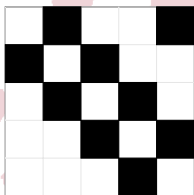


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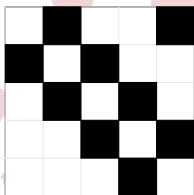


$K_0$  = vertices  
 $K_1$  = edges  
 $K_2$  = triangles

Simplicial set

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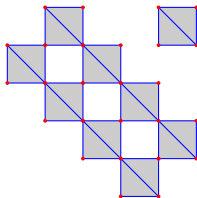
Digital Image



Homology groups

$$H_0 = \mathbb{Z} \oplus \mathbb{Z}$$

$$H_1 = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$



Simplicial complex

$$K_0 = \text{vertices}$$

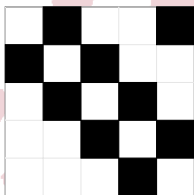
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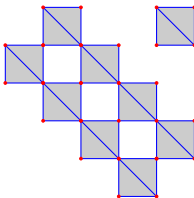
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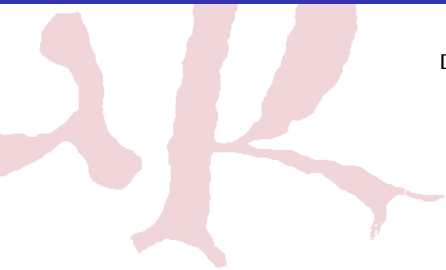
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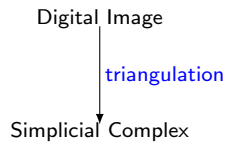
Simplicial set

# Goal

Digital Image

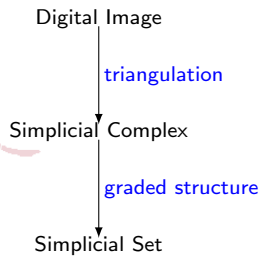


# Goal

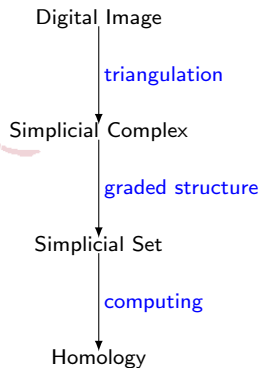




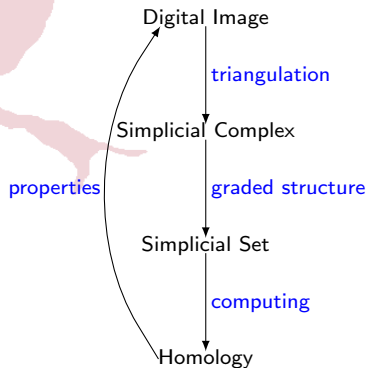
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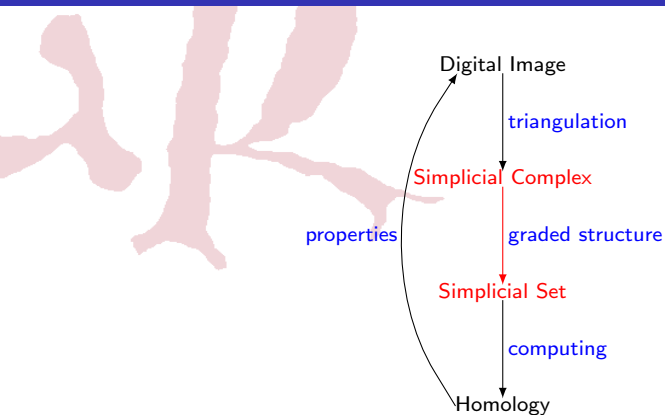
# Goal



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- Goal:
  - A new *certified* program for Simplicial Complexes

# Kenzo

- Kenzo
  - Symbolic Computation System devoted to Algebraic Topology

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# ACL2

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  - Programming Language
  - First-Order Logic
  - Theorem Prover

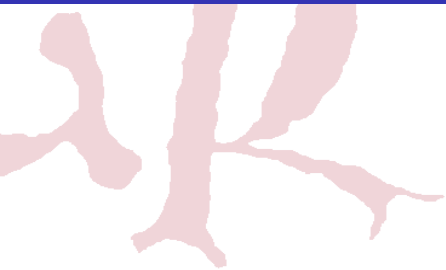
# ACL2

- ACL2 (A Computational Logic for an Applicative Common Lisp)
- ACL2
  - Programming Language
  - First-Order Logic
  - Theorem Prover
- Proof techniques:
  - Simplification
  - Induction
  - *"The Method"*

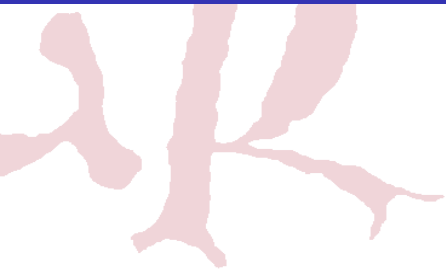
# Goal

- Goal:
  - New Kenzo module for Simplicial Complexes certified in ACL2

# Table of Contents



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# Simplicial Complexes

## Definition

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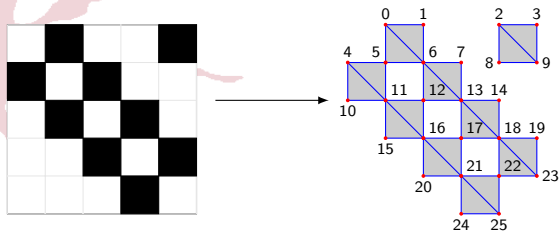
## Definition

*An ordered (abstract) simplicial complex over  $V$  is a set of simplexes  $\mathcal{K}$  over  $V$  satisfying the property:*

$$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$$

*Let  $\mathcal{K}$  be a simplicial complex. Then the set  $S_n(\mathcal{K})$  of  $n$ -simplexes of  $\mathcal{K}$  is the set made of the simplexes of cardinality  $n + 1$ .*

# Simplicial Complexes



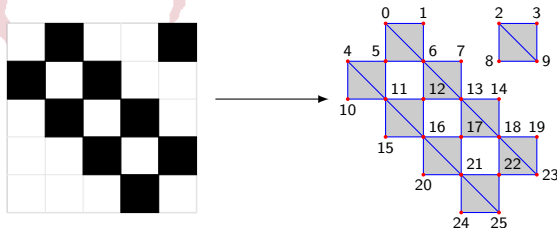
$$V = (0, 1, 2, \dots, 24, 25)$$

$\mathcal{K} = \text{vertices} \cup \text{edges} \cup \text{triangles}$

# Simplicial Complexes

## Definition

*The facets of a simplicial complex  $\mathcal{K}$  are the maximal simplexes of the simplicial complex.*



The facets are the triangles

# Simplicial Sets

## Definition

A *simplicial set*  $K$ , is a union  $K = \bigcup_{q \geq 0} K^q$ , where the  $K^q$  are disjoint sets, together with functions:

$$\begin{aligned} \partial_i^q : K^q &\rightarrow K^{q-1}, & q > 0, & & i = 0, \dots, q, \\ \eta_i^q : K^q &\rightarrow K^{q+1}, & q \geq 0, & & i = 0, \dots, q, \end{aligned}$$

subject to the relations:

$$\begin{aligned} (1) \quad \partial_i^{q-1} \partial_j^q &= \partial_{j-1}^{q-1} \partial_i^q && \text{if } i < j, \\ (2) \quad \eta_i^{q+1} \eta_j^q &= \eta_j^{q+1} \eta_{i-1}^q && \text{if } i > j, \\ (3) \quad \partial_i^{q+1} \eta_j^q &= \eta_{j-1}^{q-1} \partial_i^q && \text{if } i < j, \\ (4) \quad \partial_i^{q+1} \eta_i^q &= \text{identity} &= \partial_{i+1}^{q+1} \eta_i^q, \\ (5) \quad \partial_i^{q+1} \eta_j^q &= \eta_j^{q-1} \partial_{i-1}^q && \text{if } i > j + 1, \end{aligned}$$

# From Simplicial Complexes to Simplicial Sets

Simplicial Complex  $\xrightarrow{\text{graded structure}}$  Simplicial Set

## Definition

Let  $C$  be a simplicial complex. Then the *simplicial set*  $K(C)$  *canonically associated* with  $C$  is defined as follows. The set  $K^n(C)$  of  $n$ -simplexes is the set made of the simplexes of cardinality  $n + 1$  of  $C$ . In addition, let a simplex  $\{v_0, \dots, v_q\}$  the *face* and *degeneracy* operators are defined as follows:

$$\begin{aligned}\partial_i(\{v_0, \dots, v_i, \dots, v_q\}) &= \{v_0, \dots, v_{i-1}, v_{i+1}, \dots, v_q\} \\ \eta_i(\{v_0, \dots, v_i, \dots, v_q\}) &= \{v_0, \dots, v_i, v_i, \dots, v_q\}\end{aligned}$$

# Goals

- New Kenzo module:



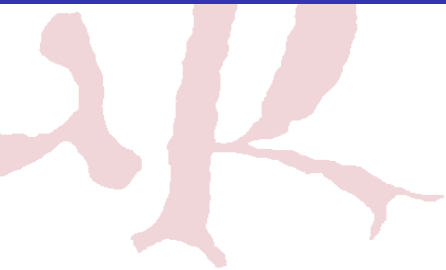
# Goals

- New Kenzo module:
  - program1: facets  $\rightarrow$  simplicial complex
  - program2: simplicial complex  $\rightarrow$  simplicial set

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- New Kenzo module:
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  - program2: simplicial complex  $\rightarrow$  simplicial set
- Certification of the correctness of the programs in ACL2

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# Program1: Simplicial Complex from facets

- `simplicial-complex-generator`:

*Input:* a list of simplexes

*Output:* a simplicial complex

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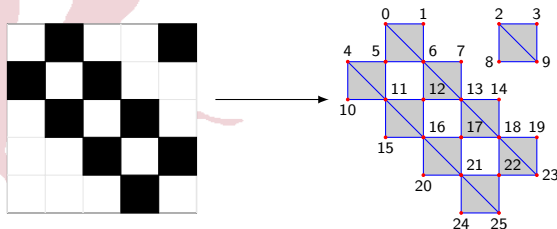
*Output:* a list of simplexes with the properties of simplicial complexes but with duplicates

- `simplicial-complex-generator-from-simplex`:

*Input:* a simplex

*Output:* a simplicial complex

# Example



```

.....
> (setf image-sc (simplicial-complex-generator
'((0 1 6) (0 5 6) (2 3 9) (2 8 9) (4 5 11) (4 10 11)
  (6 7 13) (6 12 13) (11 12 16) (11 15 16) (13 14 18) (13 17 18)
  (16 17 21) (16 20 21) (18 19 23) (18 22 23) (21 22 25) (21 24 25))) ✕
((0 1 6) (0 1) (0 6) (1 6) (0) (1) (6) (0 5 6) (0 5) (5 6) ...))
.....

```

# Program2: Simplicial Set from Simplicial Complex

• ss-from-sc:

*Input:* a simplicial complex

*Output:* a simplicial set



# Program2: Simplicial Set from Simplicial Complex

- ss-from-sc:

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- Kenzo function build-smst:

```
.....  
(build-smst  
  :basis basis  
  :face face  
  ...)  
.....
```

- basis: a function returning the list of simplexes in a dimension
- face: a function for face operation
- degeneracy: not included

# Example

Simplicial set canonically associated to image-sc:

```
> (setf image-ss (ss-from-sc image-sc)) ✕  
[K1 Simplicial-Set]
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```
> (basis image-ss 0) ✚
```

```
((0) (1) (2) (3) (4) (5) (6) (7) (8) (9) ...)
```

# Example

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```
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```

```
((0) (1) (2) (3) (4) (5) (6) (7) (8) (9) ...)
```

```
> (homology image-ss 0 2) ✚
```

Homology in dimension 0:

Component Z

Component Z

Homology in dimension 1:

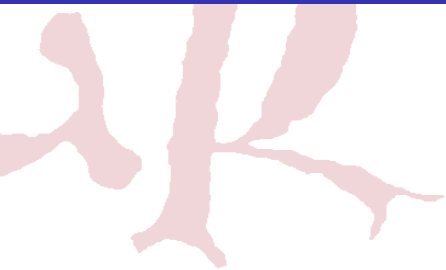
Component Z

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Component Z

$$H_0(\text{image}) = \mathbb{Z} \oplus \mathbb{Z} \text{ and } H_1(\text{image}) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$

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Error: Stack overflow (signal 1000)

[condition type: SYNCHRONOUS-OPERATING-SYSTEM-SIGNAL]  
.....



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Input of a list of 11613 simplexes:

```
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```

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optimized-simplicial-complex-generator:

- Equivalent efficient program
- Memoization technique

# Two equivalent algorithms for program1

Situation:

- simplicial-complex-generator program is
- optimized-simplicial-complex-generator program is

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  - not efficient;
  - tested;
  - proved in ACL2
- optimized-simplicial-complex-generator program is
  - designed to be efficient;
  - written in Common Lisp;
  - efficient;
  - tested;
  - unproved

# Two equivalent algorithms for program1

- `optimized-simplicial-complex-generator` “equivalent to”  
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# Two equivalent algorithms for program1

- optimized-simplicial-complex-generator “equivalent to” simplicial-complex-generator
- Not a proof of the equivalence
- Automated testing

```
.....  
(defun automated-testing ()  
  (let ((cases (generate-test-cases 100000)))  
    (dolist (case cases)  
      (if (not (equal-as-sc (simplicial-complex-generator case)  
                           (optimized-simplicial-complex-generator case)))  
          (report-on-failure case))))  
  )  
.....
```

A Common Lisp (but not ACL2) program

# ACL2 definitions for Simplicial Complexes

## Definition

*An ordered (abstract) simplicial complex over  $V$  is a set of simplexes  $\mathcal{K}$  over  $V$  satisfying the property:*

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- simplex: simplex-p

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- without duplicates: without-duplicates-p

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- simplex: `simplex-p`
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- without duplicates: `without-duplicates-p`
- face: `subsetp-equal` (ACL2)



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- simplex: simplex-p
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- without duplicates: without-duplicates-p
- face: subsetp-equal (ACL2)
- member: member-equal (ACL2)

# ACL2 theorems for Simplicial Complexes

## Definition

An ordered (abstract) simplicial complex over  $V$  is a set of simplexes  $\mathcal{K}$  over  $V$  satisfying the property:

$$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$$

## ACL2 Lemma

Let  $ls$  be a list of simplexes, then (simplicial-complex-generator  $ls$ ) builds a set of simplexes.

```
.....
(defun set-of-simplexes-p (ls)
  (and (list-of-simplexes-p ls) (without-duplicates-p ls)))
.....

(defthm simplicial-complex-generator-constructs-simplicial-complex-1
  (implies (list-of-simplexes-p ls)
    (set-of-simplexes-p (simplicial-complex-generator ls))))
.....
```

# ACL2 theorems for Simplicial Complexes

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## ACL2 Lemma

Let  $x$  be a simplex and  $ls$  be a list of simplexes, if  $x$  is in (simplicial-complex-generator  $ls$ ) and  $y$  is a face of  $x$ , then  $y$  is in (simplicial-complex-generator  $ls$ ).

```

.....
(defthm simplicial-complex-generator-constructs-simplicial-complex-2
  (implies (and (simplex-p s1)
                 (simplex-p s2)
                 (list-of-simplexes-p ls)
                 (member-equal s1 (simplicial-complex-generator ls))
                 (subsetp-equal s2 s1))
            (member-equal s2 (simplicial-complex-generator ls))))
.....

```

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## ACL2 Lemma

*Let  $ls$  be a list of simplexes and let  $s$  be an element of the simplicial complex constructed with the **simplicial-complex-generator** function taking as argument  $ls$ ; then,  $s$  is a face of some of the simplexes of  $ls$ .*

```
.....
(defthm simplicial-complex-generator-correctness
  (implies (and (list-of-simplexes-p ls)
                (member-equal s (simplicial-complex-generator ls))
                (face-of-some-p s ls)))
  )
.....
```

# ACL2 theorems for Simplicial Complexes

## ACL2 Theorem

*Let  $ls$  be a list of simplexes, then  $(\text{simplicial-complex-generator } ls)$  constructs the simplicial complex associated with  $ls$ .*

## Proof

*Apply the three previous lemmas*

# Theorem for Simplicial Sets from Simplicial Complexes

Proving truthfulness of Kenzo statements like:

```
> (setf image-ss (ss-from-sc image-sc)) ✕  
[K1 Simplicial-Set]
```

where `image-sc` is a simplicial complex

# Theorem for Simplicial Sets from Simplicial Complexes

Proving truthfulness of Kenzo statements like:

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where `image-sc` is a simplicial complex

## ACL2 Theorem

*Let  $sc$  be a simplicial complex, then  $(ss-from-sc\ sc)$  constructs a simplicial set.*

# Main Tools

## ACL2 Theorem

Let  $\mathcal{K}$  be a Kenzo object implementing a simplicial set. If for every natural number  $q \geq 2$  and for every geometric simplex  $gmsm$  in dimension  $q$  the following properties hold:

- 1  $\forall i, j \in \mathbb{N} : i < j \leq q \rightarrow \partial_i^{q-1} \circ (\partial_j^q gmsm) = \partial_{j-1}^{q-1} \circ (\partial_i^q gmsm),$
- 2  $\forall i \in \mathbb{N}, i \leq q : \partial_i^q gmsm$  is a simplex of  $\mathcal{K}$  in dimension  $q - 1,$

then:

$\mathcal{K}$  is a simplicial set.



J. Heras, V. Pascual and J. Rubio, *Proving with ACL2 the correctness of simplicial sets in the Kenzo system*. In LOPSTR 2010, Lecture Notes in Computer Science. Springer-Verlag.



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- Generic instantiation tool:
  - Development of a generic theory
  - Instantiation of definitions and theorems for different implementations



F. J. Martín-Mateos, J. A. Alonso, M. J. Hidalgo, and J. L. Ruiz-Reina. A Generic Instantiation Tool and a Case Study: A Generic Multiset Theory. Proceedings of the Third ACL2 workshop. Grenoble, Francia, pp. 188–203, 2002.

# Generic simplicial set theory

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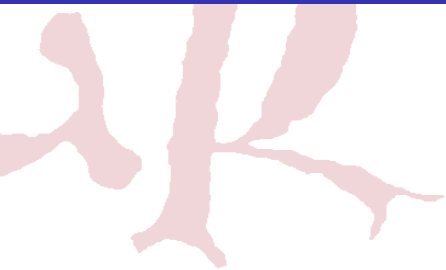
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## ACL2 Theorem

*Let  $sc$  be a simplicial complex, then  $(ss-from-sc\ sc)$  constructs a simplicial set.*

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# Conclusions and Further Work



Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Simplicial Sets  $\longrightarrow$  Homology

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```
graph LR; A[Digital Image] --> B[Simplicial Complex]; B --> C[Simplicial Sets]; C --> D[Homology];
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# ACL2 verification of Simplicial Complexes programs for the Kenzo system

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