

# Incidence Simplicial Matrices Formalized in Coq/SSReflect\*

Jónathan Heras, María Poza, Maxime Dénès, and Laurence Rideau

*Universidad de La Rioja, Spain - INRIA Sophia Antipolis (Méditerranée)*

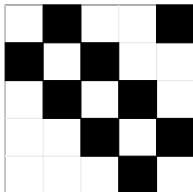
CICM 2011, Calculemus track,  
July 22, 2011

---

\* Partially supported by Ministerio de Educación y Ciencia, project MTM2009-13842-C02-01, and by European Commission FP7, STREP project ForMath, n. 243847

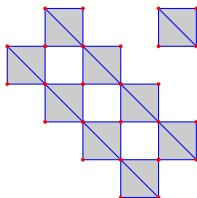
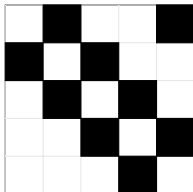
# Algebraic Topology and Digital Images

## Digital Image



# Algebraic Topology and Digital Images

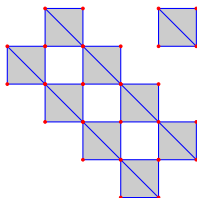
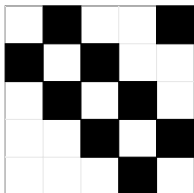
Digital Image



Simplicial complex

# Algebraic Topology and Digital Images

Digital Image



Simplicial complex

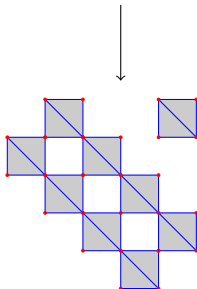
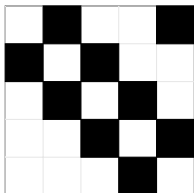


$$\begin{aligned} C_0 &= \mathbb{Z}[\text{vertices}] \\ C_1 &= \mathbb{Z}[\text{edges}] \\ C_2 &= \mathbb{Z}[\text{triangles}] \end{aligned}$$

Chain complex

# Algebraic Topology and Digital Images

Digital Image



Simplicial complex

Homology groups

$$H_0 = \mathbb{Z} \oplus \mathbb{Z}$$

$$H_1 = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$



$$C_0 = \mathbb{Z}[\text{vertices}]$$

$$C_1 = \mathbb{Z}[\text{edges}]$$

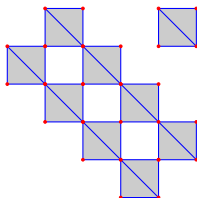
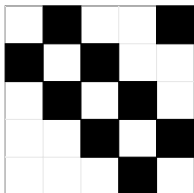
$$C_2 = \mathbb{Z}[\text{triangles}]$$



Chain complex

# Algebraic Topology and Digital Images

Digital Image



Simplicial complex

Homology groups

$$H_0 = \mathbb{Z} \oplus \mathbb{Z}$$

$$H_1 = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$

$$C_0 = \mathbb{Z}[\text{vertices}]$$

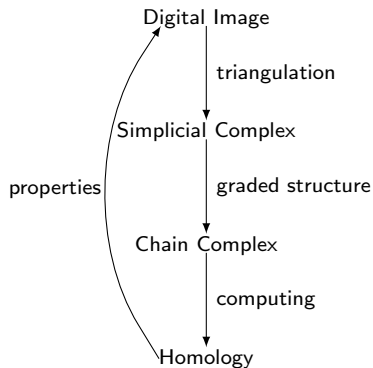
$$C_1 = \mathbb{Z}[\text{edges}]$$

$$C_2 = \mathbb{Z}[\text{triangles}]$$

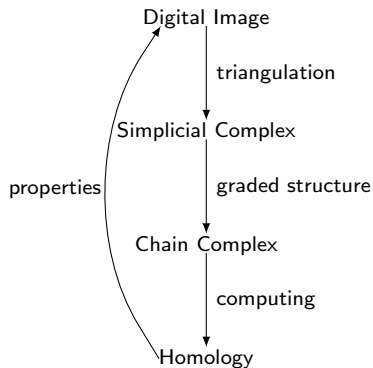
Chain complex



# Goal



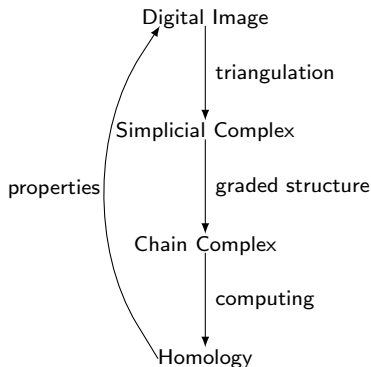
# Goal



- Implemented in the Kenzo system



# Goal

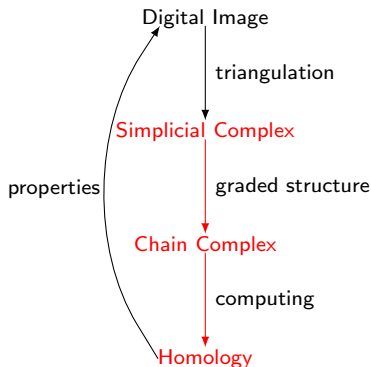


- Implemented in the Kenzo system

## General Goal

*Formalizing the computation of homology groups of digital images*

# Goal



- Implemented in the Kenzo system

## General Goal

*Formalizing the computation of homology groups of digital images*

# Table of Contents

- 1 Mathematical concepts
- 2 The Theorem Formalized and its Context
- 3 Formal development
- 4 Conclusions and Further work

# Table of Contents

- 1 Mathematical concepts
- 2 The Theorem Formalized and its Context
- 3 Formal development
- 4 Conclusions and Further work

# Digital Images

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

- 2D digital images:
  - elements are pixels



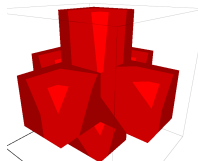
# Digital Images

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

- 2D digital images:
  - elements are pixels



- 3D digital images:
  - elements are voxels



# Simplicial Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

*Let  $V$  be an ordered set, called the vertex set.  
A simplex over  $V$  is any finite subset of  $V$ .*

# Simplicial Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

*Let  $V$  be an ordered set, called the vertex set.  
A simplex over  $V$  is any finite subset of  $V$ .*

## Definition

*Let  $\alpha$  and  $\beta$  be simplices over  $V$ , we say  $\alpha$  is a face of  $\beta$  if  $\alpha$  is a subset of  $\beta$ .*



# Simplicial Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

Let  $V$  be an ordered set, called the vertex set.  
A simplex over  $V$  is any finite subset of  $V$ .

## Definition

Let  $\alpha$  and  $\beta$  be simplices over  $V$ , we say  $\alpha$  is a face of  $\beta$  if  $\alpha$  is a subset of  $\beta$ .

## Definition

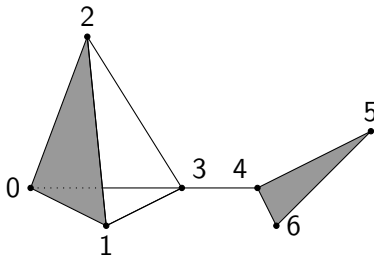
An ordered (abstract) simplicial complex over  $V$  is a set of simplices  $\mathcal{K}$  over  $V$  satisfying the property:

$$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$$

Let  $\mathcal{K}$  be a simplicial complex. Then the set  $S_n(\mathcal{K})$  of  $n$ -simplices of  $\mathcal{K}$  is the set made of the simplices of cardinality  $n + 1$ .

# Simplicial Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology



$$V = (0, 1, 2, 3, 4, 5, 6)$$

$$\mathcal{K} = \{\emptyset, (0), (1), (2), (3), (4), (5), (6),$$

$$(0, 1), (0, 2), (0, 3), (1, 2), (1, 3), (2, 3), (3, 4), (4, 5), (4, 6), (5, 6),$$

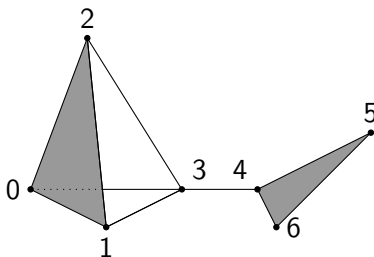
$$(0, 1, 2), (4, 5, 6)\}$$

# Simplicial Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

*The facets of a simplicial complex  $\mathcal{K}$  are the maximal simplices of the simplicial complex.*



The facets are:  $\{(0, 3), (1, 3), (2, 3), (3, 4), (0, 1, 2), (4, 5, 6)\}$

# Chain Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

A chain complex  $C_*$  is a pair of sequences  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$  where:

- For every  $q \in \mathbb{Z}$ , the component  $C_q$  is an  $R$ -module, the chain group of degree  $q$
- For every  $q \in \mathbb{Z}$ , the component  $d_q$  is a module morphism  $d_q : C_q \rightarrow C_{q-1}$ , the differential map
- For every  $q \in \mathbb{Z}$ , the composition  $d_q d_{q+1}$  is null:  $d_q d_{q+1} = 0$

# Homology

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

If  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$  is a chain complex:

- The image  $B_q = \text{im } d_{q+1} \subseteq C_q$  is the (sub)module of  $q$ -boundaries
- The kernel  $Z_q = \ker d_q \subseteq C_q$  is the (sub)module of  $q$ -cycles

Given a chain complex  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$ :

- $d_{q-1} \circ d_q = 0 \Rightarrow B_q \subseteq Z_q$
- Every boundary is a cycle
- The converse is not generally true

# Homology

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

If  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$  is a chain complex:

- The image  $B_q = \text{im } d_{q+1} \subseteq C_q$  is the (sub)module of  $q$ -boundaries
- The kernel  $Z_q = \ker d_q \subseteq C_q$  is the (sub)module of  $q$ -cycles

Given a chain complex  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$ :

- $d_{q-1} \circ d_q = 0 \Rightarrow B_q \subseteq Z_q$
- Every boundary is a cycle
- The converse is not generally true

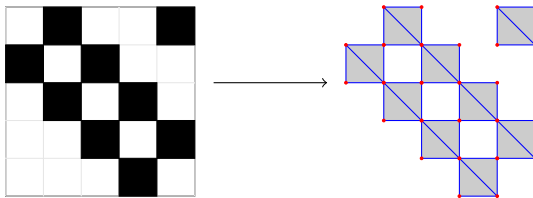
## Definition

Let  $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$  be a chain complex. For each degree  $n \in \mathbb{Z}$ , the  $n$ -homology module of  $C_*$  is defined as the quotient module

$$H_n(C_*) = \frac{Z_n}{B_n}$$

# From a digital image to a simplicial complex

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology



# From Simplicial Complexes to Chain Complexes

Digital Image  $\longrightarrow$  Simplicial Complex  $\longrightarrow$  Chain Complex  $\longrightarrow$  Homology

## Definition

Let  $\mathcal{K}$  be an (ordered abstract) simplicial complex. Let  $n \geq 1$  and  $0 \leq i \leq n$  be two integers  $n$  and  $i$ . Then the face operator  $\partial_i^n$  is the linear map  $\partial_i^n : S_n(\mathcal{K}) \rightarrow S_{n-1}(\mathcal{K})$  defined by:

$$\partial_i^n((v_0, \dots, v_n)) = (v_0, \dots, v_{i-1}, v_{i+1}, \dots, v_n).$$

The  $i$ -th vertex of the simplex is removed, so that an  $(n-1)$ -simplex is obtained.

## Definition

Let  $\mathcal{K}$  be a simplicial complex. Then the chain complex  $C_*(\mathcal{K})$  canonically associated with  $\mathcal{K}$  is defined as follows. The chain group  $C_n(\mathcal{K})$  is the free  $\mathbb{Z}$  module generated by the  $n$ -simplices of  $\mathcal{K}$ . In addition, let  $(v_0, \dots, v_{n-1})$  be a  $n$ -simplex of  $\mathcal{K}$ , the differential of this simplex is defined as:

$$d_n := \sum_{i=0}^n (-1)^i \partial_i^n$$



# Computing



- Computing Homology groups:
  - From a Chain Complex  $(C_n, d_n)_{n \in \mathbb{Z}}$ :
    - $d_n$  can be expressed as matrices
    - Homology groups are obtained from a diagonalization process

# Computing



- Computing Homology groups:
  - From a Chain Complex  $(C_n, d_n)_{n \in \mathbb{Z}}$ :
    - $d_n$  can be expressed as matrices
    - Homology groups are obtained from a diagonalization process
  - Directly from the Simplicial Complex:
    - Incidence simplicial matrices
    - Homology groups are obtained from a diagonalization process

# Computing

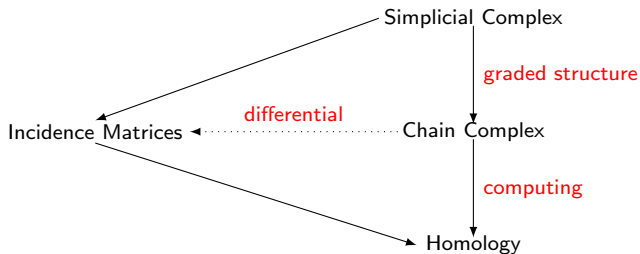


- Computing Homology groups:
  - From a Chain Complex  $(C_n, d_n)_{n \in \mathbb{Z}}$ :
    - $d_n$  can be expressed as matrices
    - Homology groups are obtained from a diagonalization process
  - Directly from the Simplicial Complex:
    - Incidence simplicial matrices
    - Homology groups are obtained from a diagonalization process

# Table of Contents

- 1 Mathematical concepts
- 2 The Theorem Formalized and its Context
- 3 Formal development
- 4 Conclusions and Further work

# From Simplicial Complexes to Homology



# Incidence Matrices

## Definition

Let  $X$  and  $Y$  be two ordered finite sets of simplices, we call incidence matrix to a matrix  $m \times n$  where

$$m = \#|X| \wedge n = \#|Y|$$

$$M = \begin{matrix} & Y[1] & \cdots & Y[n] \\ \begin{matrix} X[1] \\ \vdots \\ X[m] \end{matrix} & \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} & \cdots & a_{m,n} \end{pmatrix} \end{matrix}$$

$$a_{i,j} = \begin{cases} 1 & \text{if } X[i] \text{ is a face of } Y[j] \\ 0 & \text{if } X[i] \text{ is not a face of } Y[j] \end{cases}$$

# Incidence Matrices

## Definition

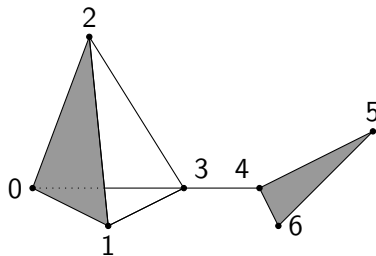
Let  $C$  be a finite set of simplices,  $A$  be the set of  $n$ -simplices of  $C$  with an order between its elements and  $B$  the set of  $(n-1)$ -simplices of  $C$  with an order between its elements.

We call incidence matrix of dimension  $n$  ( $n \geq 1$ ), to a matrix  $p \times q$  where

$$p = \#|B| \wedge q = \#|A|$$

$$M_{i,j} = \begin{cases} 1 & \text{if } B[i] \text{ is a face of } A[j] \\ 0 & \text{if } B[i] \text{ is not a face of } A[j] \end{cases}$$

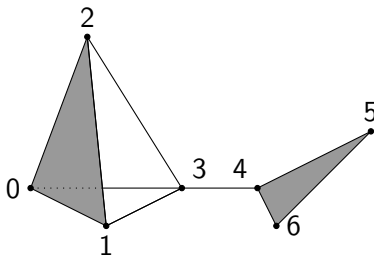
# Incidence Matrices of Simplicial Complexes



|     | (0, 1) | (0, 2) | (0, 3) | (1, 2) | (1, 3) | (2, 3) | (3, 4) | (4, 5) | (4, 6) | (5, 6) |
|-----|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| (0) | 1      | 1      | 1      | 0      | 0      | 0      | 0      | 0      | 0      | 0      |
| (1) | 1      | 0      | 0      | 1      | 1      | 0      | 0      | 0      | 0      | 0      |
| (2) | 0      | 1      | 0      | 1      | 0      | 1      | 0      | 0      | 0      | 0      |
| (3) | 0      | 0      | 1      | 0      | 1      | 1      | 1      | 0      | 0      | 0      |
| (4) | 0      | 0      | 0      | 0      | 0      | 0      | 1      | 1      | 1      | 0      |
| (5) | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 1      | 0      | 1      |
| (6) | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 1      | 1      |



# Incidence Matrices of Simplicial Complexes



$$\begin{array}{l}
 (0, 1) \\
 (0, 2) \\
 (0, 3) \\
 (1, 2) \\
 (1, 3) \\
 (2, 3) \\
 (3, 4) \\
 (4, 5) \\
 (4, 6) \\
 (5, 6)
 \end{array}
 \begin{pmatrix}
 (0, 1, 2) & (4, 5, 6) \\
 1 & 0 \\
 1 & 0 \\
 0 & 0 \\
 1 & 0 \\
 0 & 0 \\
 0 & 0 \\
 0 & 0 \\
 0 & 1 \\
 0 & 1 \\
 0 & 1
 \end{pmatrix}$$

# Product of two consecutive incidence matrices

## Theorem (Product of two consecutive incidence matrices)

*Let  $\mathcal{K}$  be a finite simplicial complex over  $V$  with an order between the simplices of the same dimension and let  $n \geq 1$  be a natural number  $n$ , then the product of the  $n$ -th incidence matrix of  $\mathcal{K}$  and the  $(n + 1)$ -incidence matrix of  $\mathcal{K}$  over the ring  $\mathbb{Z}/2\mathbb{Z}$  is equal to the null matrix.*

# Sketch of the proof

- Let  $S_{n+1}$  be the set of  $(n + 1)$ -simplices of  $\mathcal{K}$  with an order between its elements
- Let  $S_n$  be the set of  $n$ -simplices of  $\mathcal{K}$  with an order between its elements
- Let  $S_{n-1}$  be the set of  $(n - 1)$ -simplices of  $\mathcal{K}$  with an order between its elements

# Sketch of the proof

- Let  $S_{n+1}$  be the set of  $(n+1)$ -simplices of  $\mathcal{K}$  with an order between its elements
- Let  $S_n$  be the set of  $n$ -simplices of  $\mathcal{K}$  with an order between its elements
- Let  $S_{n-1}$  be the set of  $(n-1)$ -simplices of  $\mathcal{K}$  with an order between its elements

$$M_n(\mathcal{K}) = \begin{matrix} & S_n[1] & \cdots & S_n[r1] \\ S_{n-1}[1] & \begin{pmatrix} a_{1,1} & \cdots & a_{1,r1} \\ \vdots & \ddots & \vdots \\ a_{r2,1} & \cdots & a_{r2,r1} \end{pmatrix} \\ \vdots & & & \\ S_{n-1}[r2] & \end{matrix}, M_{n+1}(\mathcal{K}) = \begin{matrix} & S_{n+1}[1] & \cdots & S_{n+1}[r3] \\ S_n[1] & \begin{pmatrix} b_{1,1} & \cdots & b_{1,r1} \\ \vdots & \ddots & \vdots \\ b_{r1,1} & \cdots & b_{r1,r3} \end{pmatrix} \\ \vdots & & & \\ S_n[r1] & \end{matrix}$$

where  $r1 = \#|S_n|$ ,  $r2 = \#|S_{n-1}|$  and  $r3 = \#|S_{n+1}|$

# Sketch of the proof

$$M_n(\mathcal{K}) \times M_{n+1}(\mathcal{K}) = \begin{pmatrix} c_{1,1} & \cdots & c_{1,r3} \\ \vdots & \ddots & \vdots \\ c_{r2,1} & \cdots & c_{r2,r3} \end{pmatrix}$$

where

$$c_{i,j} = \sum_{1 \leq k \leq r1} a_{i,k} \times b_{k,j}$$

# Sketch of the proof

$$M_n(\mathcal{K}) \times M_{n+1}(\mathcal{K}) = \begin{pmatrix} c_{1,1} & \cdots & c_{1,r3} \\ \vdots & \ddots & \vdots \\ c_{r2,1} & \cdots & c_{r2,r3} \end{pmatrix}$$

where

$$c_{i,j} = \sum_{1 \leq k \leq r1} a_{i,k} \times b_{k,j}$$

we need to prove that

$$\forall i, j, c_{i,j} = 0$$

in order to prove that  $M_n \times M_{n+1} = 0$

# Sketch of the proof

$$M_n(\mathcal{K}) \times M_{n+1}(\mathcal{K}) = \begin{pmatrix} c_{1,1} & \cdots & c_{1,r3} \\ \vdots & \ddots & \vdots \\ c_{r2,1} & \cdots & c_{r2,r3} \end{pmatrix}$$

where

$$c_{i,j} = \sum_{1 \leq k \leq r1} a_{i,k} \times b_{k,j}$$

we need to prove that

$$\forall i, j, c_{i,j} = 0$$

in order to prove that  $M_n \times M_{n+1} = 0$

Since  $k$  enumerates the indices of elements of  $S_n$ :

$$c_{i,j} = \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j]) \quad \text{with } F(Y, Z) = \begin{cases} 1 & \text{if } Y \in dZ \\ 0 & \text{otherwise} \end{cases}$$

where

$$dZ = \{Z \setminus \{x\} \mid x \in Z\}$$

# Sketch of the proof

$$c_{i,j} = \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j])$$



# Sketch of the proof

$$\begin{aligned}
 c_{i,j} &= \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j]) \\
 &= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 1 \\
 &\quad + \sum_{X \in S_n | X \notin \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 0 \\
 &= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X)
 \end{aligned}$$

# Sketch of the proof

$$\begin{aligned}
 c_{i,j} &= \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j]) \\
 &= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 1 \\
 &\quad + \sum_{X \in S_n | X \notin \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 0 \\
 &= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \\
 &= \sum_{x \in S_{n+1}[j]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\})
 \end{aligned}$$

# Sketch of the proof

$$\begin{aligned}
c_{i,j} &= \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j]) \\
&= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 1 \\
&\quad + \sum_{X \in S_n | X \notin \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 0 \\
&= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \\
&= \sum_{x \in S_{n+1}[j]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) \\
&= \sum_{x \in S_{n+1}[j] | x \in S_{n-1}[i]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) + \\
&\quad \sum_{x \in S_{n+1}[j] | x \notin S_{n-1}[i]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\})
\end{aligned}$$

# Sketch of the proof

$$\begin{aligned}
c_{i,j} &= \sum_{X \in S_n} F(S_{n-1}[i], X) \times F(X, S_{n+1}[j]) \\
&= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 1 \\
&\quad + \sum_{X \in S_n | X \notin \partial S_{n+1}[j]} F(S_{n-1}[i], X) \times 0 \\
&= \sum_{X \in S_n | X \in \partial S_{n+1}[j]} F(S_{n-1}[i], X) \\
&= \sum_{x \in S_{n+1}[j]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) \\
&= \sum_{x \in S_{n+1}[j] | x \in S_{n-1}[i]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) + \\
&\quad \sum_{x \in S_{n+1}[j] | x \notin S_{n-1}[i]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) \\
&= \sum_{x \in S_{n+1}[j] | x \notin S_{n-1}[i]} F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\})
\end{aligned}$$

# Sketch of the proof

- $S_{n-1}[i] \not\subset S_{n+1}[j]$
- $S_{n-1}[i] \subset S_{n+1}[j]$

# Sketch of the proof

- $S_{n-1}[i] \not\subset S_{n+1}[j]$   
 $\forall x \in S_{n-1}[i], F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) = 0$
- $S_{n-1}[i] \subset S_{n+1}[j]$

# Sketch of the proof

- $S_{n-1}[i] \not\subset S_{n+1}[j]$   
 $\forall x \in S_{n-1}[i], F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) = 0$
- $S_{n-1}[i] \subset S_{n+1}[j]$   
 $F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) = 1$

$$\begin{aligned}
 c_{i,j} &= \sum_{x \in S_{n+1}[j] \mid x \notin S_{n-1}[i]} 1 \\
 &= \# |S_{n+1}[j] \setminus S_{n-1}[i]| \\
 &= n + 2 - n = 2 = 0 \bmod 2
 \end{aligned}$$

# Sketch of the proof

- $S_{n-1}[i] \not\subset S_{n+1}[j]$   
 $\forall x \in S_{n-1}[i], F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) = 0$
- $S_{n-1}[i] \subset S_{n+1}[j]$   
 $F(S_{n-1}[i], S_{n+1}[j] \setminus \{x\}) = 1$

$$\begin{aligned}
 c_{i,j} &= \sum_{x \in S_{n+1}[j] \mid x \notin S_{n-1}[i]} 1 \\
 &= \# |S_{n+1}[j] \setminus S_{n-1}[i]| \\
 &= n + 2 - n = 2 = 0 \bmod 2
 \end{aligned}$$

□



# Table of Contents

- 1 Mathematical concepts
- 2 The Theorem Formalized and its Context
- 3 Formal development**
- 4 Conclusions and Further work

# SSREFLECT

- SSReflect:
  - Extension of Coq
  - Developed while formalizing the Four Color Theorem
  - Provides new libraries:

# SSREFLECT

- SSReflect:
  - Extension of Coq
  - Developed while formalizing the Four Color Theorem
  - Provides new libraries:
    - matrix.v: matrix theory
    - finset.v and fintype.v: finite set theory and finite types
    - bigops.v: indexed “big” operations, like  $\sum_{i=0}^n f(i)$  or  $\bigcup_{i \in I} f(i)$
    - zmodp.v: additive group and ring  $\mathbb{Z}_p$

# Representation of Simplicial Complexes in SSREFLECT

## Definition

*Let  $V$  be a finite ordered set, called the vertex set, a simplex over  $V$  is any finite subset of  $V$ .*

**Variable**  $V$  : finType.

**Definition** simplex := {set  $V$ }.

# Representation of Simplicial Complexes in SSREFLECT

## Definition

*Let  $V$  be a finite ordered set, called the vertex set, a simplex over  $V$  is any finite subset of  $V$ .*

## Definition

*A finite ordered (abstract) simplicial complex over  $V$  is a finite set of simplices  $\mathcal{K}$  over  $V$  satisfying the property:*

$$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$$

**Variable**  $V : \text{finType}.$

**Definition**  $\text{simplex} := \{\text{set } V\}.$

**Definition**  $\text{simplicial\_complex } (c : \{\text{set simplex}\}) :=$   
 $\text{forall } x, x \setminus \text{in } c \rightarrow \text{forall } y : \text{simplex}, y \setminus \text{subset } x \rightarrow y \setminus \text{in } c.$

# Incidence Matrices

## Definition

Let  $X$  and  $Y$  be two ordered finite sets of simplices, we call incidence matrix to a matrix  $m \times n$  where

$$m = \#|X| \wedge n = \#|Y|$$

$$M = \begin{matrix} & Y[1] & \cdots & Y[n] \\ \begin{matrix} X[1] \\ \vdots \\ X[m] \end{matrix} & \begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} & \cdots & a_{m,n} \end{pmatrix} \end{matrix}$$

$$a_{i,j} = \begin{cases} 1 & \text{if } X[i] \text{ is a face of } Y[j] \\ 0 & \text{if } X[i] \text{ is not a face of } Y[j] \end{cases}$$

**Definition** `face_op` ( $S : \text{simplex}$ ) ( $x : V$ ) :=  $S \setminus x$ .

**Definition** `boundary` ( $S : \text{simplex}$ ) := (`face_op`  $S$ ) @:  $S$ .

**Variables** `Left Top` : {`set simplex`}.

**Definition** `incidenceMatrix` :=

```
\matrix_(i < \#|Left|, j < \#|Top|)
  if enum_val i \in boundary (enum_val j) then 1 else 0:'F_2.
```

# Incidence Matrices

## Definition

Let  $C$  be a finite set of simplices,  $A$  be the set of  $n$ -simplices of  $C$  with an order between its elements and  $B$  the set of  $(n-1)$ -simplices of  $C$  with an order between its elements.

We call incidence matrix of dimension  $n$  ( $n \geq 1$ ), to a matrix  $p \times q$  where

$$p = \#|B| \wedge q = \#|A|$$

$$M_{i,j} = \begin{cases} 1 & \text{if } B[i] \text{ is a face of } A[j] \\ 0 & \text{if } B[i] \text{ is not a face of } A[j] \end{cases}$$

**Section** `nth_incidence_matrix`.

**Variable** `c`: {`set simplex`}.

**Variable** `n`:`nat`.

**Definition** `n_1_simplices` := [`set x \in c | \#|x| == n`].

**Definition** `n_simplices` := [`set x \in c | \#|x| == n+1`].

**Definition** `incidence_matrix_n` :=

`incidenceMatrix n_1_simplices n_simplices`.

**End** `nth_incidence_matrix`.

# Product of two consecutive incidence matrices in $\mathbb{Z}_2$

## Theorem (Product of two consecutive incidence matrices in $\mathbb{Z}_2$ )

*Let  $\mathcal{K}$  be a finite simplicial complex over  $V$  with an order between the simplices of the same dimension and let  $n \geq 1$  be a natural number  $n$ , then the product of the  $n$ -th incidence matrix of  $\mathcal{K}$  and the  $(n+1)$ -incidence matrix of  $\mathcal{K}$  over the ring  $\mathbb{Z}/2\mathbb{Z}$  is equal to the null matrix.*

```
Theorem incidence_matrices_sc_product:
  forall (V:finType) (n:nat) (sc: {set (simplex V)}),
    simplicial_complex sc ->
      (incidence_mx_n sc n) *m (incidence_mx_n sc (n.+1)) = 0.
```



# Formalization in SSREFLECT of the theorem

- Summation part:

# Formalization in SSREFLECT of the theorem

- Summation part:

- Lemmas from “bigop” library

- bigID:  $\sum_{i \in r|P_i} F_i = \sum_{i \in r|P_i \wedge a_i} F_i + \sum_{i \in r|P_i \wedge \sim a_i} F_i$

- big1:  $\sum_{i \in r|P_i} 0 = 0$

# Formalization in SSREFLECT of the theorem

- Summation part:
  - Lemmas from “bigop” library
  - bigID:  $\sum_{i \in r | P_i} F_i = \sum_{i \in r | P_i \wedge a_i} F_i + \sum_{i \in r | P_i \wedge \sim a_i} F_i$
  - big1:  $\sum_{i \in r | P_i} 0 = 0$
- Cardinality part:
  - Auxiliary lemmas
  - Lemmas from “finset” and “fintype” libraries

# Table of Contents

- 1 Mathematical concepts
- 2 The Theorem Formalized and its Context
- 3 Formal development
- 4 Conclusions and Further work**

# Conclusions and Further work

- Conclusions:
  - Formalization in Coq/SSReflect:
    - Simplicial complexes
    - Incidence matrices
  - Application of formal methods in software systems

# Conclusions and Further work

- Conclusions:
  - Formalization in Coq/SSReflect:
    - Simplicial complexes
    - Incidence matrices
  - Application of formal methods in software systems
- Further work:
  - Formalization:
    - From digital images to simplicial complexes
    - Computation Smith Normal Form
  - $\mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}$
  - Executability of the proofs:
    - Code extraction
    - Internal computations

# Incidence Simplicial Matrices Formalized in Coq/SSReflect\*

Jónathan Heras, María Poza, Maxime Dénès, and Laurence Rideau

*Universidad de La Rioja, Spain - INRIA Sophia Antipolis (Méditerranée)*

CICM 2011, Calculemus track,  
July 22, 2011

---

\*Partially supported by Ministerio de Educación y Ciencia, project MTM2009-13842-C02-01, and by European