

## Assignment 1

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1. .

- a) Since the output must be a permutation of the input, we also need to prove that  $A'$  contains the same element as  $A$ . (We already know that it is in ascending order)

- b) Loop invariant:

For each iteration, the smallest element in  $A[i..n]$  cannot be at a position greater than  $j$ .

Initialization:

Before entering the inner for loop,  $j = A.length$ , where  $A.length$  is the last position in the array. Therefore, the loop invariant holds prior to the first iteration of the loop.

Maintenance:

The only change occurring in the loop is that the smallest element is being moved towards the position  $A[i]$ , meaning it is either decrementing or staying the same position. The position of the smallest element can then never be greater than  $j$ . Decrementing  $j$  preserves the loop invariant, as the lowest element should at least be in  $j - 1$  prior to decrementing.

Termination:

We see that the condition for the for loop is that  $j < i + 1$ , so we must have  $j = i$  after the loop terminates. Substituting that into the loop invariant we get:

The smallest element in subarray  $A[i..n]$  cannot be in a position greater than  $i$ . Since  $i$  is the first position, we can conclude that the first element of the array is now the smallest as well.

- c) Loop invariant:

The subarray  $A[1..i - 1]$  should be sorted and contain the smallest elements of  $A[1..n]$ .

Initialization:

When  $i = 1$  before entering the subarray  $A[1..i - 1]$  is empty and is trivially sorted. Therefore, the loop invariant holds prior to the first iteration of the loop.

Maintenance:

The loop ensures the smallest element that isn't already an element of  $A[1..i - 1]$  is moved to  $A[i]$ , where  $A[i]$  is the next value that should be added to  $A[1..i - 1]$ . Therefore when  $i$  is incremented,  $A[1..i - 1]$  will be trivially sorted and contain the smallest elements of  $A[1..n]$ , thus the loop invariant holds.

Termination:

We see the condition for the for loop to end is that  $i > A.length - 1$ , so we must have  $i = A.length$  after the loop terminates. Substituting that into the loop invariant we get:

The subarray  $A[1..A.length - 1]$  should be sorted and contain the smallest elements of  $A[1..n]$ . The last element  $A[A.length]$  should then be the largest element. We can then conclude that the entire array is sorted.

- d) The outer loop is run at  $n - 1$  times, while the inner loop is run  $n - i$  times, meaning the worst case of this implementation of bubble sort is  $O(n^2)$ . This is the same as insertion sort.

2.

a) *Highest Growth Rate*

$$2^{2^{n+1}}$$

$$2^{2^n}$$

$$(n+1)!$$

$$n!$$

$$n2^n$$

$$e^n$$

$$2^n$$

$$\left(\frac{3}{2}\right)^n$$

$$(\lg(n))!$$

$$n^{\lg(\lg(n))}$$

$$n^3$$

$$n^2$$

$$n \lg(n)$$

$$\lg(n!)$$

$$2^{\lg(n)}$$

$$(\sqrt{2})^{\lg(n)}$$

$$2^{\sqrt{2 \lg(n)}}$$

$$\lg^2(n)$$

$$\lg(n)$$

$$\sqrt{\lg(n)}$$

$$\ln(\ln(n))$$

$$2^{\lg^*(n)}$$

$$\lg^*(n)$$

$$\lg(\lg^*(n))$$

$$1$$

$f(n+1) > f(n)$  for this function

Same reasoning as above

In this case  $e > 2$

In this case  $2 > \frac{3}{2}$

$\lg(n)^{\lg(n)}$  These functions are equal

$4^{\lg(n)}$  These functions are equal

Because  $n^n > n!$

$n$  These functions are equal

In this case  $2 > \sqrt{2}$

$f(n)^2 > f(n)$  for this function

$\lg^*(\lg(n))$  These functions are equal

$n^{1/\lg(n)}$  These functions are equal, constant growth rate is the lowest

*Lowest Growth Rate*

- b) A function  $f(n)$  that is neither  $O(g_i(n))$  or  $\Omega(g_i(n))$  for all functions  $g_i(n)$  in part a) would be  $(g_i(n)!)^{\sin(n)}$ , where it would be 0 when  $\sin(n) = 0$  and  $g_i(n)!$  when  $\sin(n) = 1$ . This prevents the function  $g_i(n)$  from being either greater or less than for all  $n > n_0$ , and is thus  $f(n)$  neither  $O(g_i(n))$  nor  $\Omega(g_i(n))$

3. We're given:  $T(n) = 2T\left(\frac{n}{4}\right) + \sqrt{n}$

a) We see that:

$$a = 2$$

$$b = 4$$

$$f(n) = \sqrt{n}$$

The polynomial is:

$$n^{\log_b a} = n^{\log_4 2} = n^{1/2} = \sqrt{n}$$

Observe that  $f(n)$  and the polynomial have the same order of growth, so we get that:

$$T(n) = \theta(n^{\log_4 2} \lg(n)) = \theta(\sqrt{n} \lg(n))$$

b) Conjecture:

Guess the solution will be in the form:

$$T(n) = O(\sqrt{n} \lg(n))$$

Meaning there exists  $n_0 > 0$  and  $c > 0$  such that for all  $n \geq n_0$  we have:

$$T(n) \leq c \cdot \sqrt{n} \lg(n)$$

Basis:

We know  $T(1) = C$  for some constant  $C$ . We can consider  $n_0 = 4$

$$T(4) = 2T\left(\frac{4}{4}\right) + \sqrt{4} = 2C + 4 \leq c \cdot 2 \lg(4) = c \cdot 4$$

So long as we choose  $C \geq 0$  and  $c \geq 1$

Induction Step:

Supposed for every  $m < n$ , we have:

$$T(m) \leq c \cdot \sqrt{m} \lg(m)$$

We then see that:

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{4}\right) + \sqrt{n} \\ &\leq 2 \cdot c \cdot \sqrt{\frac{n}{4}} \lg\left(\frac{n}{4}\right) + \sqrt{n} \\ &= 2 \cdot c \cdot \frac{\sqrt{n}}{2} (\lg(n) - \lg(4)) + \sqrt{n} \\ &= c\sqrt{n} \lg(n) - 2c\sqrt{n} + \sqrt{n} \\ &\leq c\sqrt{n} \lg(n) \end{aligned}$$

This holds for all  $c > 0$

From the basis, we chose  $n_0 = 4$  and  $c \geq 1$ . The induction step works for all  $c > 0$ , so we choose  $n_0 = 4$  and  $c = 1$ . This gives us

$$T(n) \leq c \cdot g(n_0)$$

For all  $n \geq 4$  and  $c = 1$ . We then get

$$T(n) = O(g(n))$$

4. Code is included with the submission.

5. *RIGHT*(*i*) and *LEFT*(*i*) are algorithms given in class to get position of right and left child. The singly list is a dynamic set and *Insert* adds element to the head of the linked list while *Extract* receives a specified value then removes that value from the list. *Insert* and *Extract* is assumed to take constant time.

*Largest – Elements*(*H*, *k*):

Input: Where  $k \leq n$  and *H* is a max heap

Output: An Array *A*[1..*k*] containing the *k* largest elements of *H*

//This will hold the positions of nodes being compared which can vary in number  
Create singly linked list *searching*

//If *k* is 0 or negative, then it should return the empty array as there is no value to add  
If  $k < 1$  then return *A*;

*A*[1] = *H*[1]

//Adds nodes to be searched to *searching* list  
*searching.Insert*(*RIGHT*(1));  
*searching.Insert*(*LEFT*(1));

*i* = 2

While  $i \leq k$  do

//The following line removes the next maximum from max-heap because it is searching for the maximum among all the children nodes in *searching*. This takes linear time, as the list must be traversed, so get  $T(n) = O(k)$  for the next step.

*Extract* position from *searching* with largest key and set to *largest*

*searching.Insert*(*RIGHT*(*largest*));  
*searching.Insert*(*LEFT*(*largest*));  
*A*[*i*] = *H*[*largest*];  
*i* = *i* + 1;

Return *A*;

Loop Invariant: *searching* contains the children of the keys that are an element of *A*[1..*i* - 1] and *H*[1..*n*], so that *A* contains the *i* - 1 largest elements of array *H*.

Initialization: *searching* is inserted with the children of *A*[1..1] which is *H*[1]. Thus, they are elements of both arrays that would satisfy the loop invariant, as the *H*[1] is the maximum of array *H*.

Maintenance: The next largest element that should follow *A*[*i* - 1] should be at a position that is part of the list *searching*, which will be found and set to *largest*. *A*[*i*] will be set to that next largest element, so when *i* is incremented, the loop invariant holds.

Termination: We see the condition for the loop is  $i \leq k$  so  $i = k + 1$  when the loop ends. Substituting this back into the loop invariant we get:

*searching* contains the children of the keys that are an element of  $A[1..k]$  and  $H[1..n]$ , so that  $A$  contains the  $k$  largest elements of array  $H$ . Thus the array  $A[1..k]$  contains the  $k$  largest elements of  $H[1..n]$ .

Worst case scenario:

The most elements that *searching* will contain is  $2 \times k$  when  $i$  nodes have been searched and both of the children are added to the list. Since this search must be done  $k$  times, we can conclude that  $T(n) = O(k^2)$ .