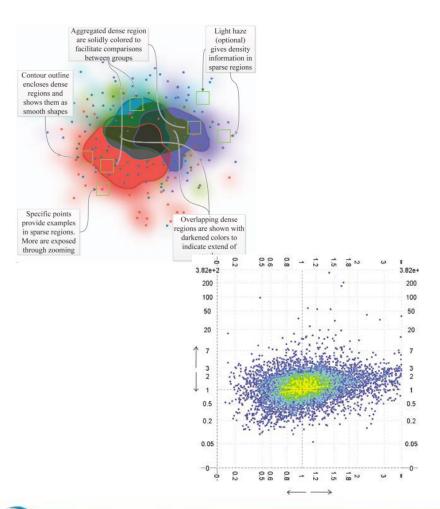
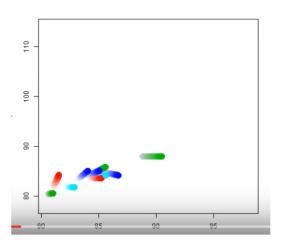
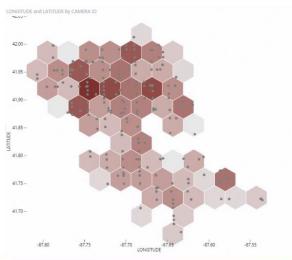
Scatterplot-Based Visual Representations







Outline

- Introduction
- 2D and 3D Scatterplots
- Transformation and Distortion
- Multiclass Scatterplots
- Scatterplot Matrices and GPLOMs
- Advanced Scatterplot-Based Representations
 - Binning and Density Plots
 - A scaleable technique: Splatter Plots
 - Scagnostics-Based Exploration
 - Animated Scatterplots
 - Scatterplots on Small Screens

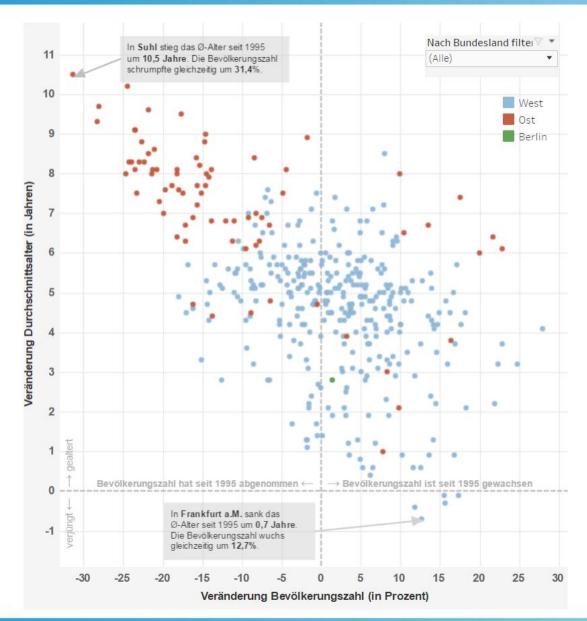
Germany gets older. Only in 5 of 432 districts the average age decreased.

Often, the population decreased and got older.

Interaction:

- Tooltips with precise numbers
- Filtering (Bundesland)

(Screenshot from zeit.de, created with Tableau)



Scatterplots

- represent distributions of 2 continuous variables x and y based on a sample (x_i, y_i)
- Serve to study how y is influenced by x; the type and amount of association
- are based on small glyphs (circles, rectangles) representing the value of objects in 2D
 - Design decisions: glyph shape, size, fill color, border color, transformation, labeling of axes
- represent sparse regions and high-density areas
- are intuitively assessed based on Gestalt laws (perceptual grouping)
- are familiar since the 19th century as a clear overview of data, based on "Small multiples" (Tufte, 1983)

Scatterplots serve a number of purposes (Tufte, 1983):

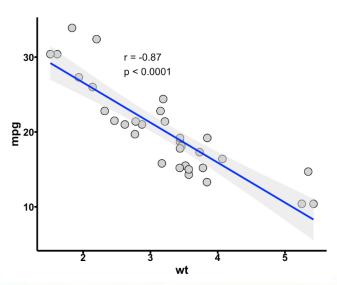
- Analysis of clusters
- Analysis of correlations
- Identification of outliers
- Comparison of different datasets plotted on the same axes (changes over time, comparison of numbers in different countries, differences man/woman, ...)

Scaleability:

- Traditional scatterplots: limited to two dimensions and to a few thousand elements
- Beyond the limit: visual clutter and overplotting hamper the perception of groups, correlations, ...
- Modern techniques aim at the overplotting problem including
 - adaptations of the basic technique (color mapping, transparency),
 - abstraction from individual points,
 - transformation of axis,
 - distortion

Human perception of correlation is not perfect (Rensink, 2010).

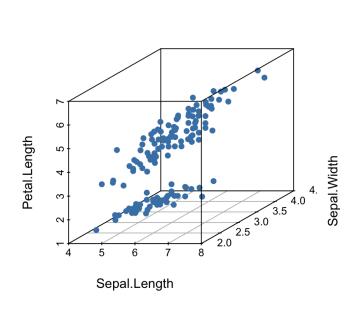
- Correlations (r) between 0.2 and 0.6 are underestimated.
- With r < 0.2 no correlation is perceived.
- The display of a regression line and a correlation coefficient support a quantitative analysis.
- To consider uncertainty, a confidence interval around the regression line is helpful.

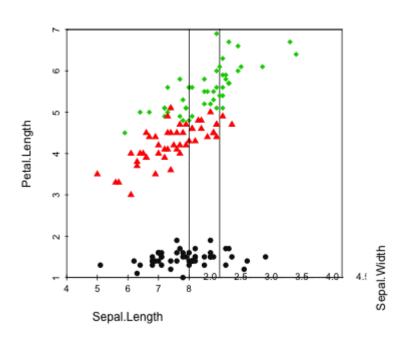


Variables (miles per galon and weight of cars) correlate negatively with a strong coefficient (From: Link)

Distributions of 3 variables may be depicted with 3D scatterplots

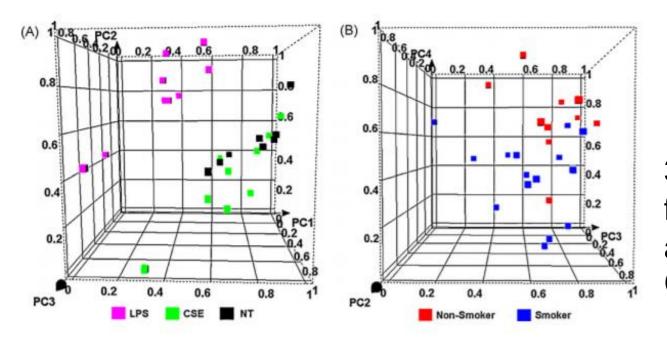
A 3D scatterplot requires a view angle and facilities to change the viewpoint





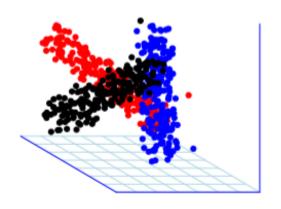
Screenshot of the R System (From: Link)

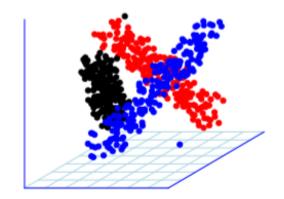




3D Scatterplots from gene analysis paper (From: Dolye, 2010)

- "Switching to 3D rarely helps and often hurts, it has higher time costs and prvides less class separability"
- "the time of interaction is high because the user must spend significant time rotating the view to see the structure from different angles" (SedImaier, 2013)





Three classes shown in 3D scatterplots from different viewing angles. Only by considering a number of viewpoints the full picture becomes obvious (From: Sedlmaier, 2013). Most real-world data are much more complex and the

3D Scatterplots

- aim to exploit the human capabilities of understanding spatial relations
- suffer from occlusion problems and require mentally demanding interaction

Studies indicate: 3D scatterplots are not favorable. The natural human experience relates to 3D shapes, not to 3D point clouds representing abstract data.

Depth cues, such as shadow and shading, do not make sense for point clouds.

- In case of three dimensions (x,y,z), a layout with a scatterplot matrix (x,y), (y,z), (x,z) and a 3D scatterplot may be favorable.
- The only advantage of a 3D scatterplot is a more integrated view of three dimensions (SedImaier, 2013).
- This does not hold when the data is a result of dimension reduction.

2D Scatterplots

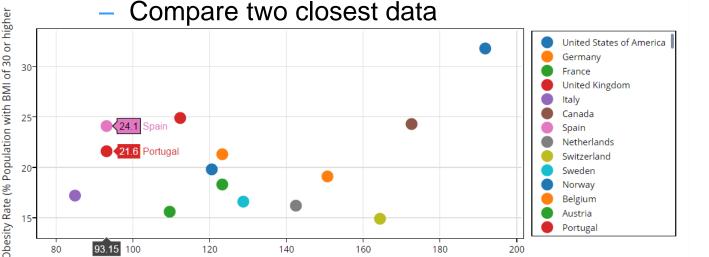
Interaction:

- Zoom In/Zoom Out
- Autoscale
- Select (rectangle/lasso) for a detail view
- Hover
 - Show closest data

120

140

Sugar Consumption (grams per person per day



160

Visualization Research Group University of Magdeburg

93.15 100

On hover closest data are labeled for

comparison (Screenshot from Plotly, Link)

180

Major goal of most advanced techniques is **scaleabilty** w.r.t. element number and/or dimensions and classes.

- Metrics of visual clutter serve as benchmarks:
 - Metrics consider screen-space statistics (number of used pixels, number of free pixels, "collisions" where 2 elements are mapped to the same pixel, collision ratio) (Bertini, 2006)
 - Ideally, SP-based representation has the same complexity metrics over a wide range of data including very large data.

Clutter reduction in information visualization is a general issue, related to parallel coordinates, tables, ... (see Ellis and Dix, 2007 for a taxonomy)

Clutter reduction (Ellis and Dix, 2007):

Appearance: Change colors, transparency, add

blur, ...

Geometric: Filter, adjust sampling, distortion

Re-ordering: relevant for tables, parallel

coordinates; not for scatterplots

For scatterplots, ideally a technique:

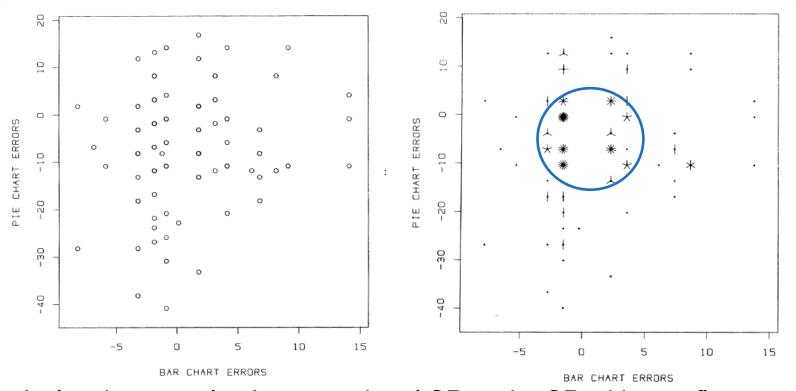
- Avoids overlap, or
- Indicates the amount of overlap
- Is scaleable, and
- Is adjustable (control clutter interactively)

Overplotting distorts the perception of correlations and groupings.

Solutions for the Overplotting Problem (Few, 2008):

- Appearance: Adjust Transparency to the number of elements
- Appearance: Adjust Darkness to the number of elements
- Geometric: Reduce the glyph size
- Geometric: Change glyph shape, e.g. from circle to + shape
- Geometric: Jittering (slightly displace points by adding noise, often considered the best method)
 - Requires an automatic solution
 - Often justified by rounded data (70 kg; actually [69.5, 70.5]

All methods are widely available in vis. analytics products



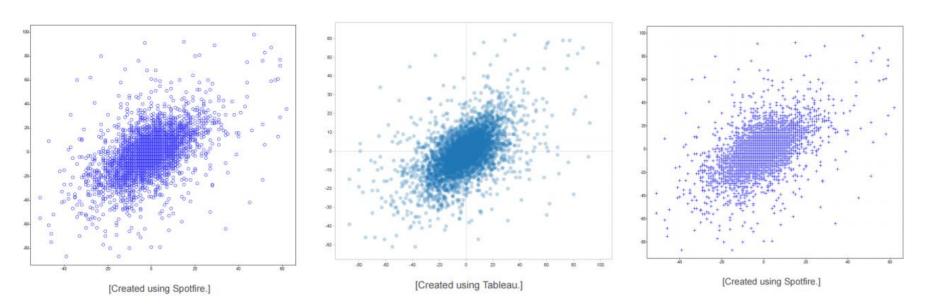
A classic example: A conventional SP and a SP with "sunflower glyphs" to indicate overplotting.

Each bar in the glyph represents one instance indicating up to 15 overlaps (From: Cleveland, 1984).

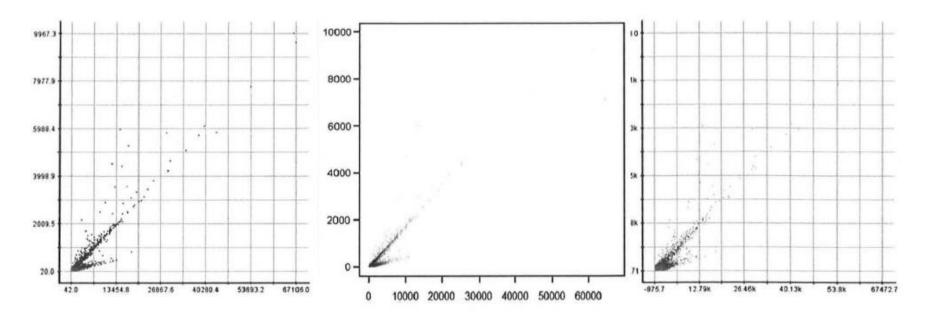
- + The cluster around (0,0) becomes obvious.
- Each glyph consumes at least 49 pixels.



Typical solutions to the overplotting problem



Left: no adjustment, **middle**:Transparency adapted to point number; **right**: glyph shape adjusted (From: Few, 2008) Could be further enhanced by supporting lens-based interaction: Show more details in a lens region controlled by the user.



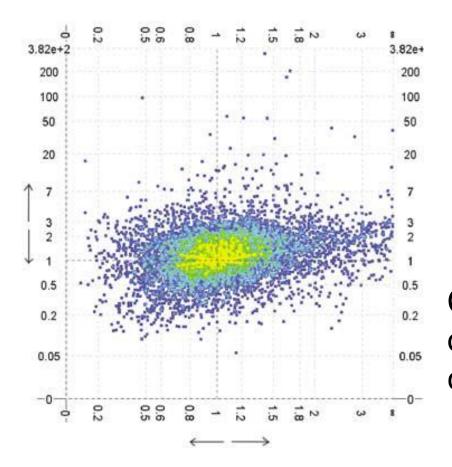
Left: Classic scatterplot.

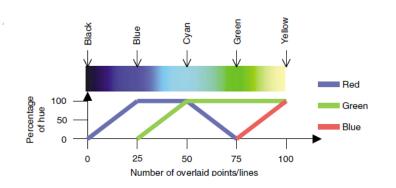
Middle: Opacity modulation indicates how few points

are outside the two diagonal lines.

Right: Jittering cannot fully solve the overlap

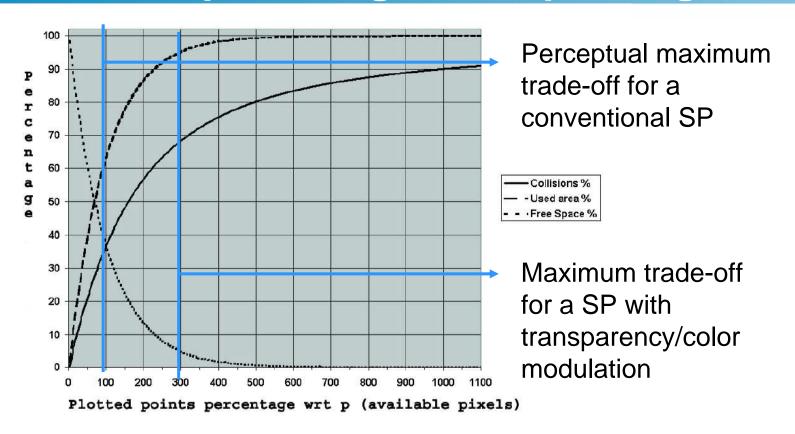
problem (From: Keim, 2010).





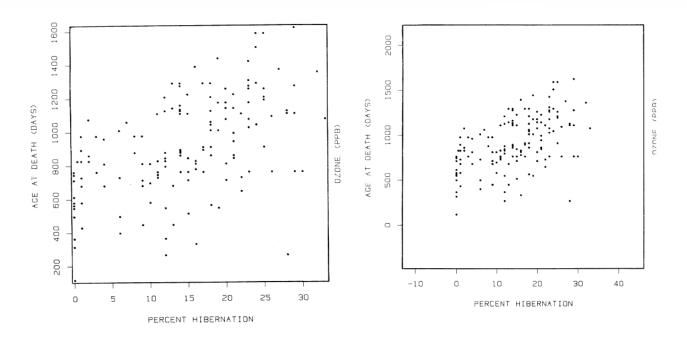
Color indicates the amount of overplotting and thus the central cluster (From: Craig, 2005).

The specific color scale matters: when mapped to a blue-yellow scale, higher numbers are perceived compared to a "red-green" or gray value scale (Bertini, 2006).



For data that follows a normal distribution, the % screen space and the # collisions increase and the % free space decreases when more data are shown.

With random sampling, a tradeoff between accuracy and overlapping points can be adjusted (From: Bertini, 2006).



Scale matters: **Left**: SP is scaled to exactly the extent of the data (x_{min}, y_{min}) (x_{max}, y_{max}) . **Right**: The SP is scaled twiced that range (From Cleveland, 1984).

The perception of the amount of correlation is increased by 40% on average from left to right.

What is the right scale?

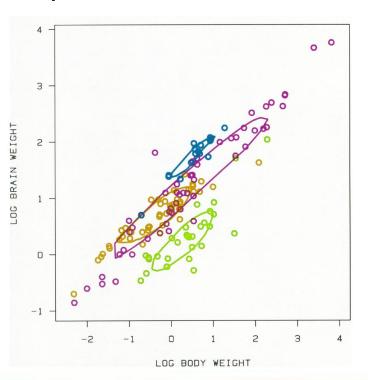
The frame should be

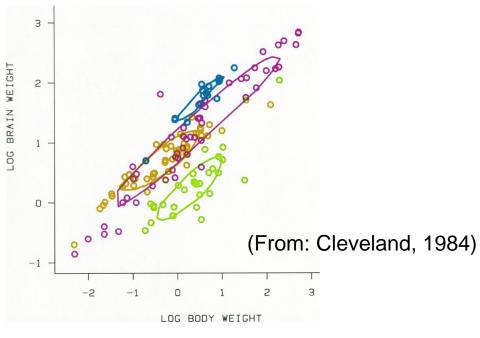
- not too close to the data,
- not too far away from the data

When the frame has the coordinates (0,0) (1,1); the minimum value (x_{min}, y_{min}) should be mapped to a point between 0.05 and 0.10 (in x and y) and the maximum value to 0.90-0.95 (in x and y) (Cleveland, 1984).

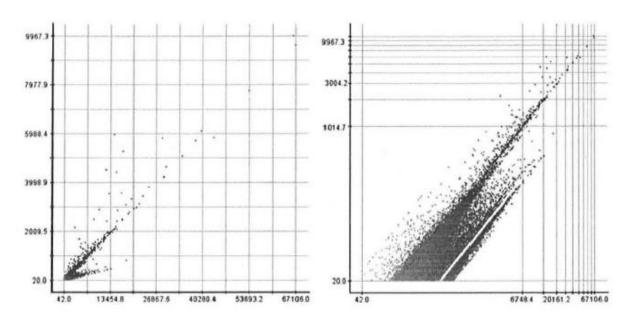
Outliers matter:

- Lead to reductions in point cloud size since the majority of the points are forced in a small region.
- Making 2 SPs with and without outliers is a sensible practice (Cleveland, 1984).





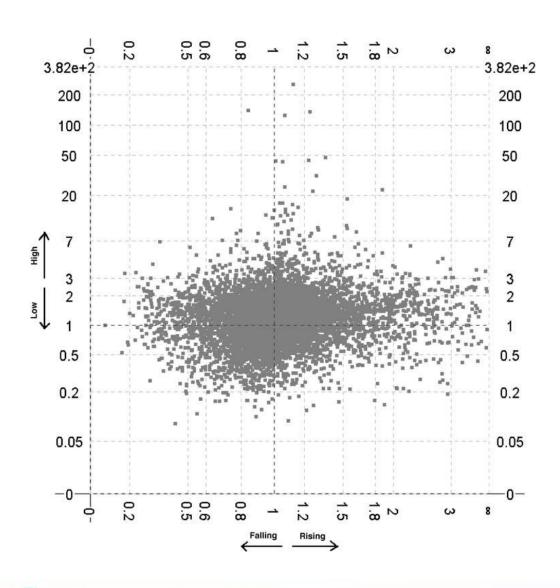
Often, a linear scale leads to large sparse regions. Logarithmic or square-root scaling for one or both axes may improve the display.



Linear and logarithmic scale of data presented in scatterplots. The grid indicates the stretched and compressed regions (From: Keim, 2010).

Distortion-based techniques

- increase the space for high-density areas and
- compress the space for low-density (sparse) regions
- → they reduce overlaps and overplotting at the expense of a more difficult-to-interpret visualization
- Users should be
 - aware of the distortion, e.g. by showing grid lines
 - able to control the amount of distortion, e.g. with a slider or just with mouse movement



Gene expression data.

- Changes of gene expression are relevant → relative values indicate falling/rising values.
- Logarithmic scale.
- A displacement algorithm reduces overlaps (From: Craig, 2005)

Multiclass Scatterplots

- Often, the entries in a scatterplot are discriminated by different classes, e.g. the relation between two variables is assessed to understand differences healthy and ill persons, woman and men, ...
- Different classes are represented by
 - different colors, using the hue component for discrimination, or
 - different glyph shapes

```
pch = 0,square
pch = 1,circle

    pch = 2,triangle point up

    pch = 3,plus

                                        pch = 4,cross

 pch = 5,diamond

10 11 12 13 14
⊕ XX ⊞ ⊗ ⊠

    pch = 6,triangle point down

 pch = 7,square cross

    pch = 8,star

15 16 17 18 19

• • • • •

    pch = 9,diamond plus

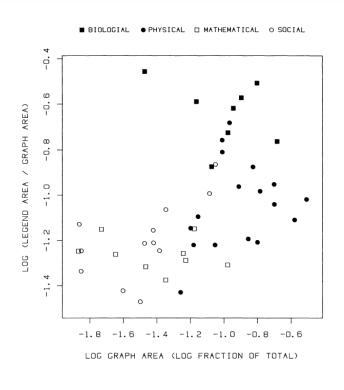
    pch = 10,circle plus

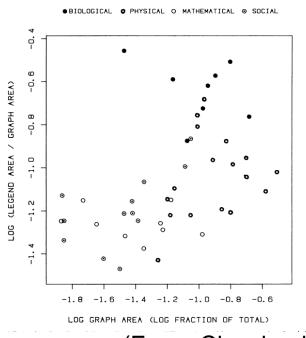
    pch = 11,triangles up and down

 pch = 12,square plus
```

Glyph types of the R System (From: Link)

Multiclass Scatterplots





(From: Cleveland, 1984).

Four classes are shown: The left set of glyphs enables easy discrimination between the two filled and the two enclosed glyphs, but to distinguish between the two different filled/enclosed glyphs is difficult.

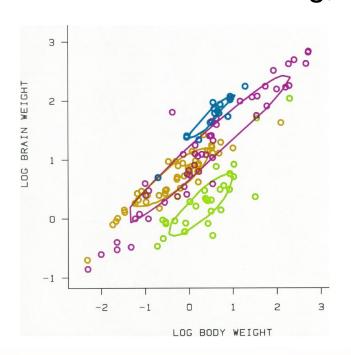
The right set of glyphs better support visual discrimination



Multiclass Scatterplots

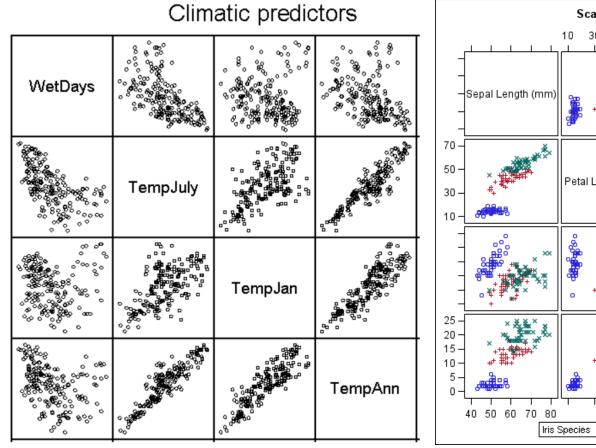
Color supports visual discrimination (for healthy persons) better than shape.

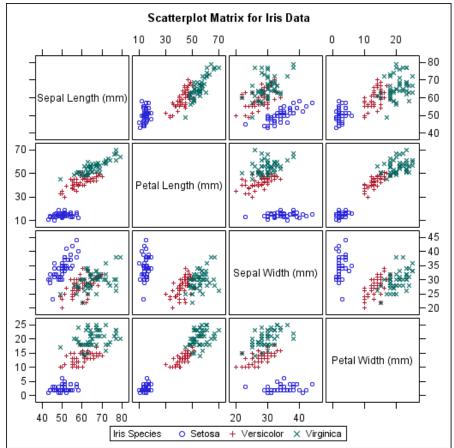
However, saturated colors with maximum differences are perceived as unesthetic and clashing (Las Vegas method of color coding, Cleveland, 1984).



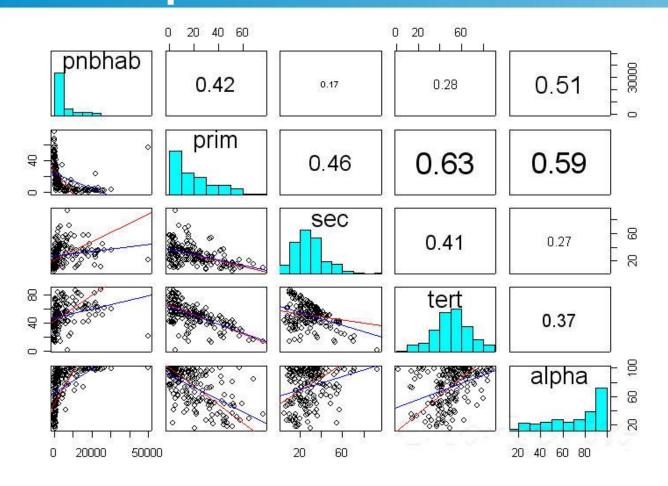
Essential goal: users perceive the distribution of each class separetely (as if other classes are not there) and in relation to the overall distribution. How does a subpopulation differs from the global mean?

- To visualize higher dimensional data, create a matrix where each non-diagonal element is a scatterplot showing the relation between a pair of variables.
- The number of scatterplots grows quadratically.
- Thus, SPLOMS are appropriate for rather lowdimensional data (following examples, n=4, n=5)





Single class and multiclass scatterplots matrices (also called *Trellis display*). High redundancy. Diagonal elements only used for a label (From: Link1 and Link2)

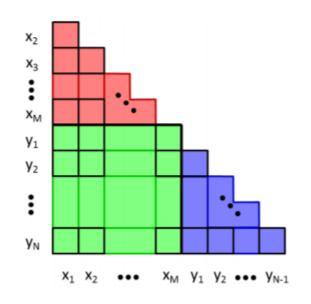


Better use of the space (but not optimal). Diagonal elements contains a histogramm and elements above the diagonal correlation coefficients (From: Link)

- Scaleing is a crucial issue. If possible, all elements of a SPLOM should have the same scale.
 Disadvantage: In some scatterplots, elements may be concentrated in a small area.
- Completely different scales and transformations within one SPLOM, e.g. linear, log-linear, log-log, ... are very hard to interpret

Generalized Pair Plot Matrix enables also the display of categorical variables. Pairs may represent

- two scalar values,
- a scalar and a categorical value and
- two categorical values (Im, 2013).



Categorical variables x_1 , x_m and scalar variables y_1 , y_m (From: Im, 2013).

Blue area \rightarrow scatter plots are used.

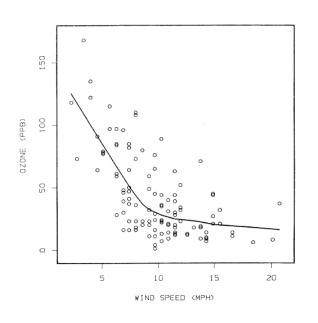
Green area \rightarrow bar charts (each bar represents one value of the categorical variable).

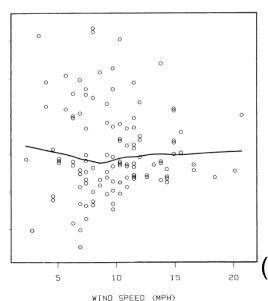
Red area \rightarrow heatmaps are used.



A generalized pair plot matrix supporting comparisons of scalar, categorical and mixed scalar/categorical distributions (From: Im, 2013).

- Since SPs serve to assess the influence of x on y, frequently regression lines are computed.
- These lines reflect a linear global correlation.
- Correlations are often only locally linear, strongly affected by outliers or non-linear.
- Thus, local regression may be computed.
- Robust local regression restricts the influence of outliers.
- If no strong local correlation exists, a quadratic correlation may be analyzed.

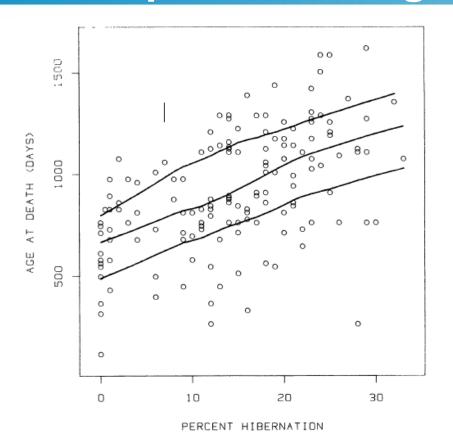




(From: Cleveland, 1984).

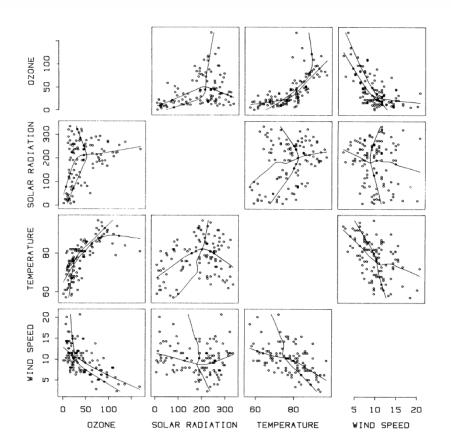
Robust local regression lines ("smoothings") are computed. Right: To indicate the deviation, the display is adapted (deviations to the line are shown).

Local regression: for each x-value, compute a weighted average of *n* points with the most similar x-values (least square fitting) (From: Cleveland, 1984).



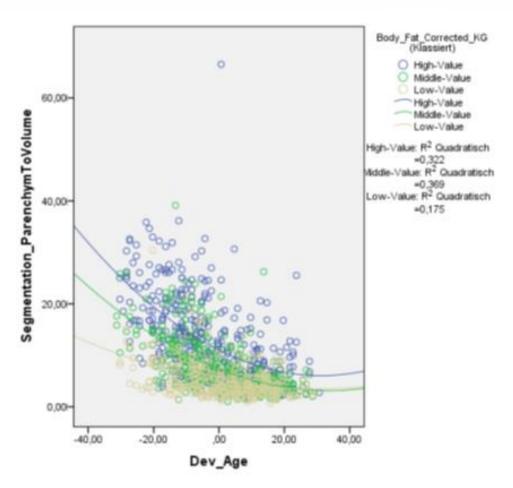
(From: Cleveland, 1984).

Low, middle and high "smoothings" are determined. For each x-value, the same values are considered and split into 1/3 with the highest, middle and lowest y-values. A linear correlation in all regions results.



(From: Cleveland, 1984).

For multiclass data, a comparison of "smoothings" supports interpretation. Technique may be extended to SPLOMs.

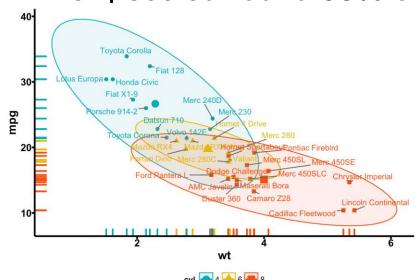


Relation between two variables and a discrete moderator variable (three categories) is displayed along with best fitting quadaratic regression (Courtesy of Mehdi Alborzi)

Advanced 2D Scatterplots

Concentration ellipses: A more abstract depiction of (multiclass) distributions.

- Filled (transparent) ellipse with solid outline surrounding data points (of a class).
- Midpoint may be explitly shown.
- Outliers (mild or severe) may be excluded. Often ellipses surround 95% of the data.



Scatter plot with concentration ellipses and midpoint. Classes represent cars with 4, 6 and 8 cylinders. Low element number.

Advanced 2D Scatterplots

Discussion: Concentration ellipses:

- Good perceptual motivation: Contours are easily perceived if they are "locally linear and globally circular or elliptical" (Kuai, 2006).
- Smooth contours with solid fill are beneficial.
- Abstracted visualization. For larger number of datasets, individual elements are not visible.
- Interaction required to explore data locally.

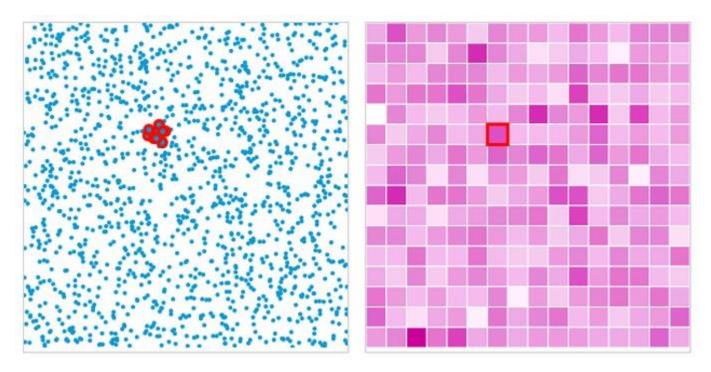
Advanced 2D Scatterplots

Binning

- technique of data aggregation used for grouping a dataset (abstraction from individual elements)
- Used, e.g. in histogram generation

Density plots:

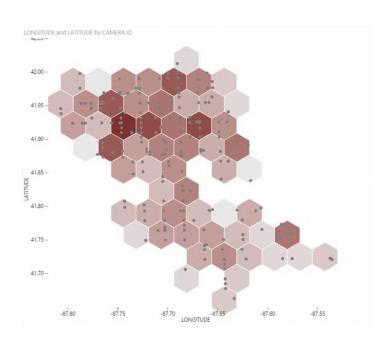
- Binning is based on a grid (quadrilateral, hexagonal, ...) and indicates frequency/density.
- Bin size is the major parameter.
- Density plots
 - + Avoid clutter and overplotting in dense regions
 - do not convey distribution in sparsely populated regions well.



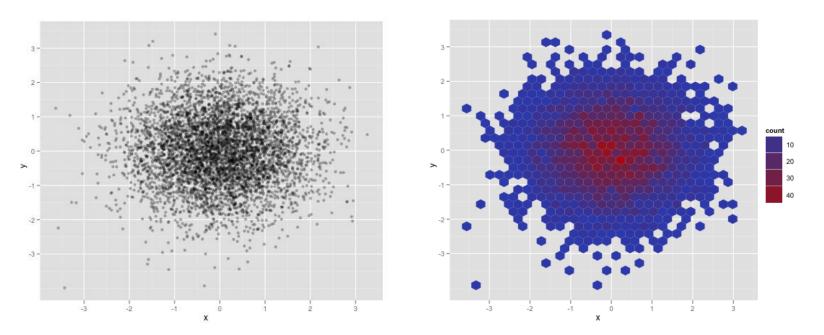
A scatterplot and its rectangular binned approximation (**discrete** density plot). The highlighted points (left) correspond to the selected cell right. Darkness indicates frequency (From: Link).

Hexbin scatterplot:

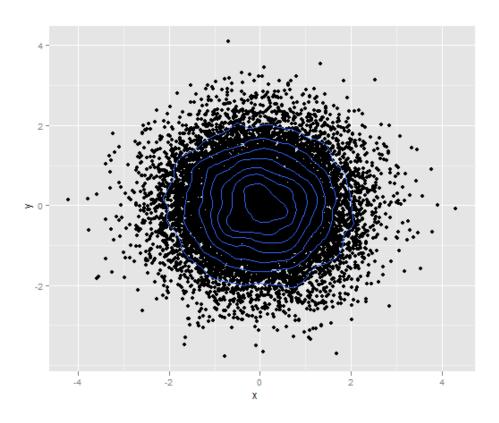
- clustering points onto a uniform grid of hexagons.
- uses variation in bin color similar to a heat map.
- higher saturation or darker color indicates higher density



HexBin Scatterplot (From: Link, see Also the video)



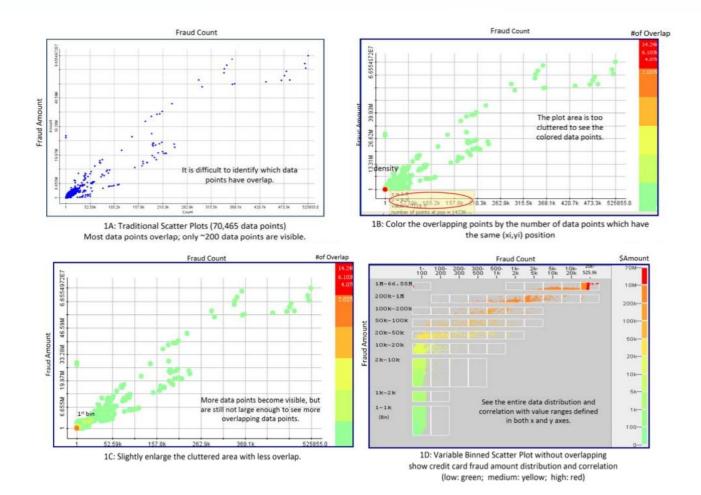
Comparison of a transparency-adjusted scatterplot and a hexbin plot. Hexbin plots enable faster interpretation but do not indicate all details (From: Link)



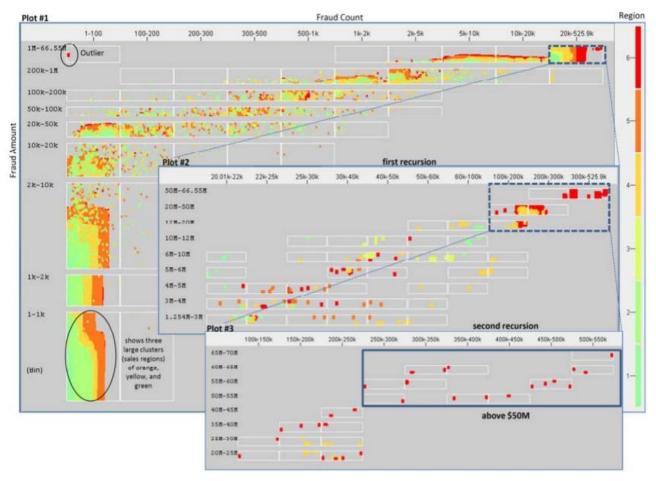
Density contour plot: Each contour encloses a certain percentage of the data, e.g. 10% (From: Link)

Variable Binned Scatterplots (Hao, 2010):

- Data is binned in adaptive ranges
- Density in these bins is determined and color-coded
- Interaction: zoom in and out to adjust level of detail
- Extension: binned scatterplot matrices



Construction of variable binned scatterplots from classic scatterplots (From: Hao, 2010).

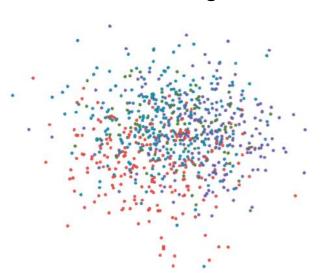


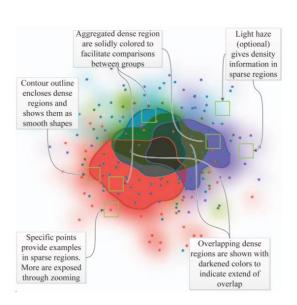
Variable Binned Scatterplot. Fraud count and fraud amount in six different regions (mapped to color). (From: Hao, 2010).

Splatter plot: Improving class separability with smoothly overlapping shapes (Mayorga, 2013)

Creation of splatter plots involves:

- Kernel density estimation (KDE)
- Color blending





Left: poor class separability with a conventional SP.

Right: based on KDE and a density threshold overlapping shapes are generated (From: Mayorga, 2013).

Visualization of densely populated regions:

- General concept (Fekete, 2002): Connect densely packed points to shapes
- Density: computed as a smooth function over the whole domain
- In contrast to binned density plots, no (adaptive) grid is involved.
- Regions where the density per class is above a threshold are shown as transparent and colored polygons with contours (continuous density)
- Polygons may be smoothed to enhance perception of clustered dense regions.
- Color modulation in overlapping regions



Visualization of Sparse regions:

- Individual points are shown on top of strongly transparent background
- Points are selected based on a filtering and sampling strategy to avoid too many distracting outliers
- Selection of points is guided by the goals to show representative points and to consider perceptual issues (avoid overload and clutter)

Kernel density estimation at point *q* considering *n* nearest neighbors

$$\hat{f}(\mathbf{q}) = \frac{1}{n} \sum_{i=1}^{n} K_B(r_i),$$

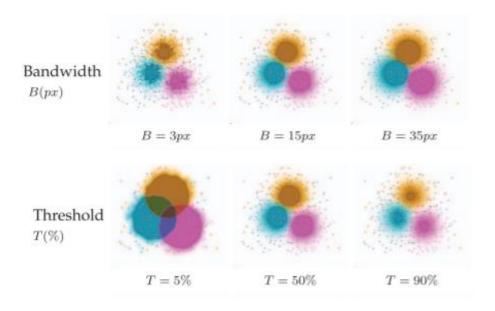
K – kernel function, B - bandwidth parameter, r_i – distance to q

Typical choice for *K*: Gaussian, for *B*: variance of the Gaussian

$$K(r) = \frac{1}{\sqrt{2\pi}} e^{\frac{-r^2}{2\sigma^2}},$$

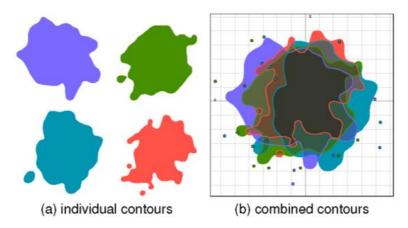
Major parameters of splatterplots:

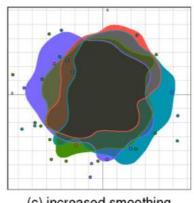
 Density Threshold, bandwidth, point filtering in sparse regions, contour width, smoothing



Influence of different splatter plot parameters for an artificial dataset (From: Mayorga, 2013).

Splatterplots: Smoothing



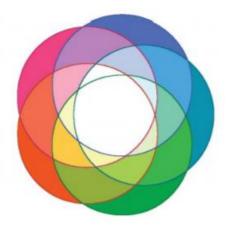


(c) increased smoothing

Smoothing supports interpretation of (overlapping) shapes (recall perceptual discussion of concentration ellipses) (From: Mayorga, 2013).

Colors:

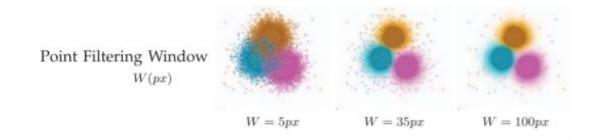
- Colors for each class are chosen in LAB space to be as different as possible
- Colors for overlapping regions are weighted interpolations in LAB space
- Overlapping colors are modified to indicate the amount of overlapping (increased lightness and decreased saturation)
- Color scheme is useful for any kind of set-based vis.



Automatic selection of five colors and up to three overlaping shapes (From: Mayorga, 2013).

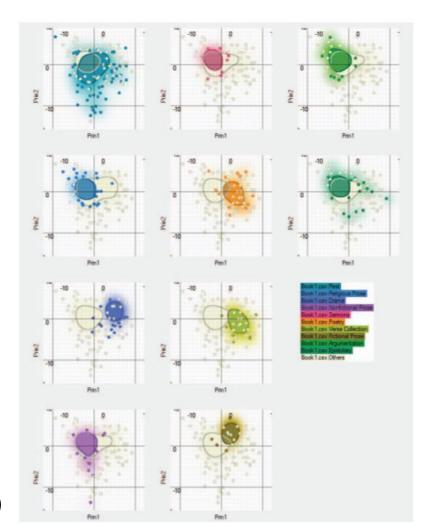
Representation of Sparse Regions:

- Control of information density in screen space
- A filter is applied to avoid that
 - points are rendered too closely to each other
 - Points are rendered close to contours



Different amount of point filtering (From: Mayorga, 2013).

- Splatter plots may also be used to compare one class/cluster against all remaining elements.
- Often, one class/cluster that differs most from the global mean is particularly interesting.



(From: Mayargo, 2013)

Advanced 2D Scatterplots:

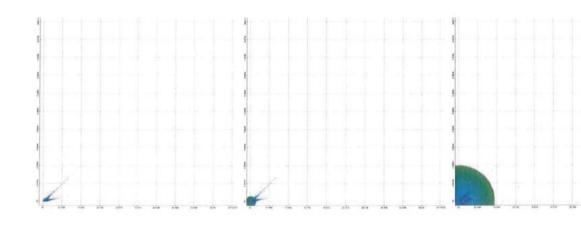
Generalized scatterplots (Keim, 2010):

- Provides an adjustable trade-off between an overlapfree but distorted view and an undistorted view with considerable overlap.
- Users indicate with a slider the amount of acceptable overlap.
- Interaction is essential to understand the data.

Advanced 2D Scatterplots:

Algorithmic realization:

- For any point in the output view it is checked how often it is used so far.
- If this counter is below the adjusted limit, the point is (over)drawn.
- Otherwise, the algorithm searches in the surrounding for a point with a counter below the threshold.
- The 2D representation is updated.

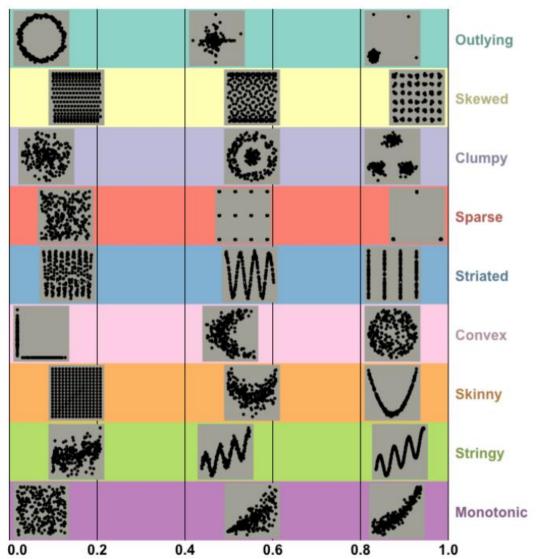


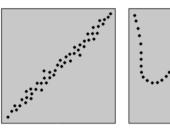
From left to right: Overlapping is reduced; distortion increased (From: Keim, 2010).

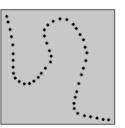
- Scagnostics scatterplot and diagnostics (Wilkinson, 2005)
- Derives 9 attributes from a scatterplot

Scagnostic features may be used for (Dang, 2014)

- Exploration of large sets of scatterplots
- filtering, e.g. with monotonicity >0.5 and outlierness <0.5
- Similarity-based search, e.g. show clusters of scatterplots with similar features







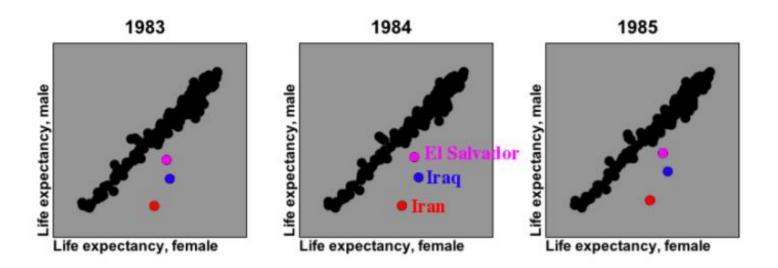


Scatterplots with high values for monotonicity, stringyness and striated distribution (From: Dang, 2014).

The nine measures with examples for low, moderate and high values (From: Dang, 2014).

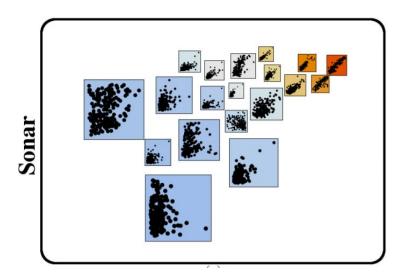


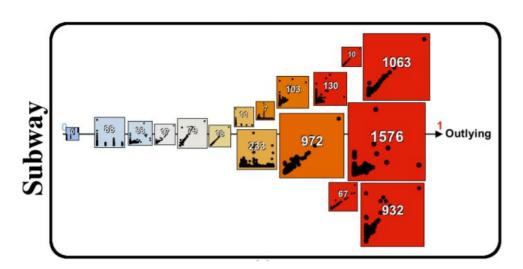
Scagnostics features are determined based on a geometrical analysis of the point distribution including Minimum Spanning Tree (From: Dang, 2014).

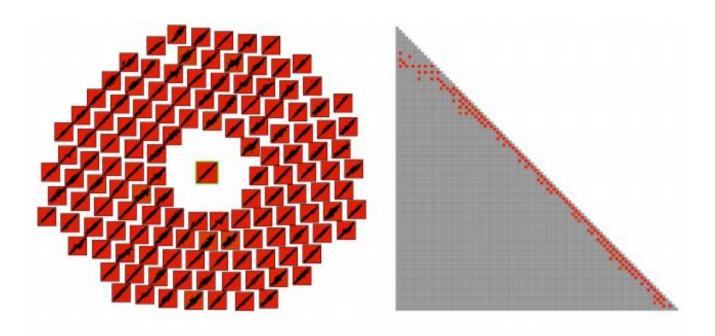


Filtering for high outlierness and high monotonicity. Life expecantancy of woman and men in ~150 countries are highly correlated. Due to war, in 3 countries, the male life expectancy is strongly lower (From: Dang, 2014).

For large number of scatterplots: clustering and selection of relevant clusters. Rectangle size encodes cluster size.







The current cluster contains a few dozens from several thousand scatterplots (From: Dang, 2014).

Discussion:

- Scagnostics-based exploration is scaleable w.r.t. number of dimensions
- Not an end-user tool; requires collaboration between data scientist and domain expert.

Advanced 2D Scatterplots: Small Screens

Small Screens (Bühring, 2006):

- Relevant for mobile experts, e.g. sales persons and real-estate experts (Immobilienmakler)
- Scatterplots with details-on demand presented in a separate window are not feasible for small screens
 → overview and detail need to be integrated in one
 - screen

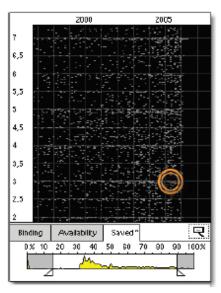
 → smooth transition between visualization with and
 - without detail, use of fisheye zoom combining geometric distortions and semantic zoom (change representations)

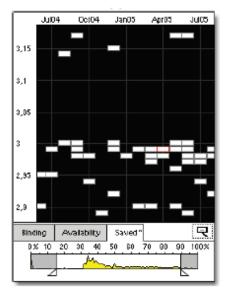
Advanced 2D Scatterplots: Small Screens

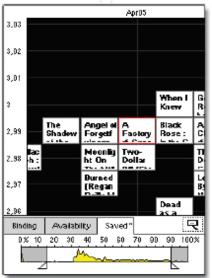
Highlighting (Bühring, 2006):

- Zooming always targets at an item → Avoid that the user gets lost between items (desert fog)
- Continous zoom starts after a delay, e.g. 150 ms

Advanced 2D Scatterplots: Small Screens









A small screen with a scatterplot.

Zooming - the representation of the elements changes towards rectangles, labeled rectangles and more detailed representations. Elements of a book database are explored (From: Bühring, 2006).

Animated Scatterplots

- Humans are familiar with analyzing animated movements and observe changes.
- Human motion perception is restricted by the amount of moving objects and the complexity of movements.
- Fast movements are perceived as urgent; slow movements in the periphery may be overlooked (change blindness)
- Few items, moving linearly or on smooth trajectories, following similar directions are easy to interpret.

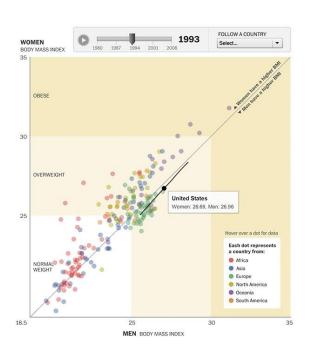
Animated Scatterplots

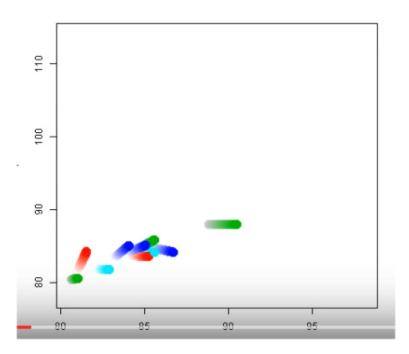
Animation may be incorporated

- to support interaction with a static dataset (animate the transition to a new adjustment, e.g. w.r.t. filtering, sampling, distortion)
- to support the exploration of dynamic datasets, e.g. changes of sugar consumption and BMI over time

Although the latter is more natural, both are useful. For dynamic data: Users need "tight control over animation speed" to inspect relevant intervals in detail and skip over others (Craig, 2005)

Animated Scatterplots

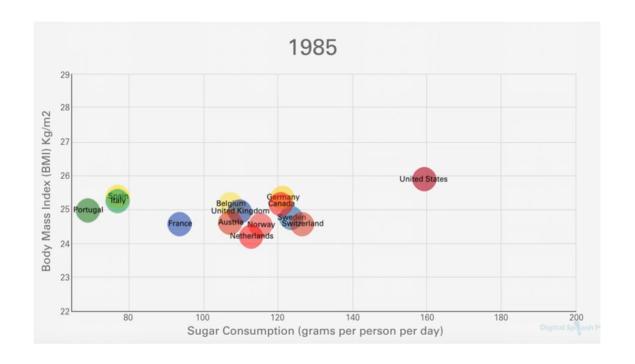




Left: User may select a timepoint or use the "play" button to start an animation (From: Link)

Trails support perception of movement. Technique for few datasets (From: Link, see also Link)

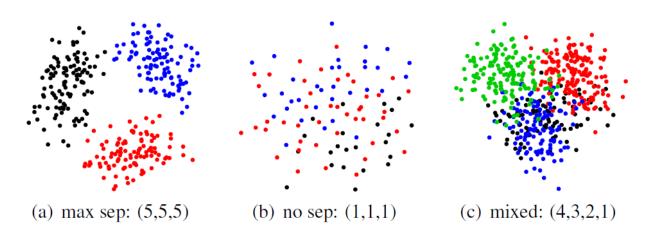
Animated Bubble Charts



Bubble Chart: Instead of a point, a circle represents a scalar value (Sreenshot from Link showing the correlation between 1980 and 2004).

Evaluation

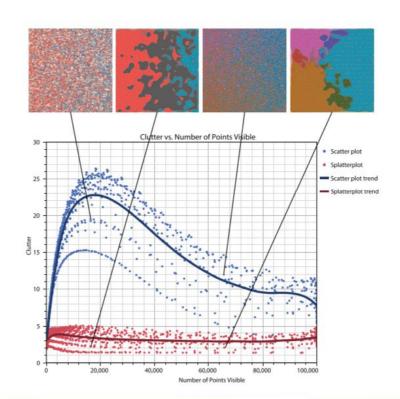
- Comparison of Scatterplot-Based Displays
- Selection of a representative set of test data Menge (with different types and strength of correlations, clusters, outliers)
- Criteria (SedImaier, 2013):
 - Visual Separability of Clusters
 - Correct assessment of correlations
 - Trust (certainty) of interpretation



Evaluation w.r.t. separability based on three datasets (From: Sedlmaier, 2013)

Evaluation

A conventional scatterplot and splatterplots are compared w.r.t. a visual clutter metric (Rosenholtz, 2007) for a wide range of data. Splatterplots have a lower and almost constant trendline.



Red indicates splatterplots; right scatterplots. Solid lines represent the trend (From: Mayorga, 2013)

Evaluation

Some details on metrics of visual clutter (Rosenholtz, 2007):

- Such metrics try to predict,
 - how complex a number of human observers assess a visualization
 - how long the user needs to find specific items (relation to Visual search theories)
- Metrics include item number, redundancy and grouping of items, contrast and saliency.
- Most metrics are quite general (also applicable to photos)

A major application is the comparative assessment of information visualization techniques and user interface designs (where different layouts with buttons, sliders, etc. are compared).

Summary

- Scatterplot-based displays are a frequent technique for information visualization
- Scatterplots are often enhanced with cluster analysis and regression analysis (visual analytics)
- Overplotting is the essential scaleability problem increased by a large number of datasets and/or a large number of dimensions (SPLOMs)
- Density plots, jittered placement, variable binning are scaleable variants based on aggregation of values or distortion
- Interaction is essential for advanced variants, e.g. to control the trade-off between distortion and overlap

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