Contents

Chapter 1

Introduction

1.1   Significance of Quaternions

Ever since their discovery by William Rowan Hamilton in 1843, Quaternions have found extensive use in solving problems both in theoretical and applied mathematics - notably on the problem of 3D rotation.

Definition 1.1.1 (Quaternion)**.** The four-dimensional algebra of *Quaternions* is generated by the basis elements $\{1, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$ such that $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1$. $\mathbb{H} := \{a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k} | a, b, c, d \in \mathbb{R}\}$.

Computations regarding 3D rotations use 4x4 matrices with real entries like the ones shown in Figure 1.1. We call any set of three angles that represent a rotation applied in some order around the principal axes as *Euler Angles* (in this case α, β, and γ) [1]. Computations with these matrices, however, are a bit tedious and require more elementary arithmetic operations [1]. It's also more difficult to determine the axis and angle of rotation using Euler angles [1]. Furthermore, this method is susceptible to a problem in mechanics known as the *Gimbal Lock* [2].

$$R_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\alpha & -\sin\alpha & 0 \\ 0 & \sin\alpha & \cos\alpha & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \qquad R_y(\beta) = \begin{pmatrix} \cos\beta & 0 & \sin\beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\beta & 0 & \cos\beta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

(a)Rotation by α in the x-axis $\qquad\qquad$ (b)Rotation by β in the y-axis

$$R_z(\gamma) = \begin{pmatrix} \cos\gamma & -\sin\gamma & 0 & 0 \\ \sin\gamma & \cos\gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

(c)Rotation by γ in the z-axis

Figure 1.1: 4x4 Rotation Matrices about the Principal Axes

The gimbal lock is a phenomenon that occurs when two of the moving axes x, y, and z (more commonly known as "pitch", "yaw", and "roll" respectively) coincide - resulting in a loss of one degree of freedom for the object being rotated [2].

Quaternions do not suffer from the gimbal lock. They are also found to be more compact - requiring less elementary arithmetic operations to perform rotation composition than rotation matrices [1]. The axis and angle of rotation can also be easily deduced. Let $\vec{q}$ be the purely imaginary parts of the quaternion $q = a + b\mathbf{i} +$

$c\mathbf{j} + d\mathbf{k}$,i.e., $\vec{q} = b\mathbf{i} + c\mathbf{j} + d\mathbf{k}$. It can be shown that

$$\frac{\vec{q}}{\sqrt{b^2 + c^2 + d^2}} \text{ is the axis of rotation and}$$

θ satisfying $\sin\theta/2 = \sqrt{b^2 + c^2 + d^2}$ and $\cos\theta/2 = a$ is the angle of rotation. [1]

Quaternions are used today in robotics, three-dimensional computer graphics, computer vision, crystallographic texture analysis, navigation, and molecular dynamics.

1.2   Determinants of Quaternionic Matrices

Mathematicians have made advancements in developing the theory of Quaternions. Notably, as one of the central points of this topic, we look into the concept of a *Quaternionic Matrix* and the implications it has on certain definitions that were already established in Linear Algebra.

One such implication is the concept of a determinant in the context of quaternionic matrices. In linear algebra, we saw that we can extend the definition of the determinant to matrices with complex entries [3]. This is possible because the complex numbers are commutative under complex multiplication [4].

Certain problems arise if we attempt to extend the classical definition to the quaternions because quaternions are not commutative under quaternion multiplication [4]. [4] revisits the properties we associate with determinants and gives

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three conditions called *axioms* that should be satisfied in order for a definition of a determinant to be valid and useful:

1. $det(A) = 0$ if and only if A is singular.

2. $det(AB) = det(A)det(B)$ for all quaternionic matrices A and B.

3. If A' is obtained by adding a left-multiple of a row to another row or a right-multiple of a column to another column, then $det(A') = det(A)$.

Over the years, several mathematicians have come up with different ways to define a determinant for quaternionic matrices - the Cayley determinant (by Arthur Cayley in 1845), the Study determinant (by Eduard Study in 1920), the Dieudonne determinant, and Moore's determinant. [4] showed whether or not these different definitions satisfy the above conditions.

1.3   Skew-Coninvolutory Quaternionic Matrices

[3] provided a simple proof to the fact that the set of all $n \times n$ Skew-Coninvolutory Matrices with complex entries (denoted by $\mathcal{D}_n(\mathbb{C})$) is empty when n is odd. The method of proof involved using the determinant defined for complex matrices (which is not different from the classical determinant for matrices with real entries).

In this paper, we attempt to extend this result for quaternionic matrices, i.e., we will investigate whether or not the set of all $n \times n$ Skew-Coninvolutory Matrices with quaternion entries (denoted by $\mathcal{D}_n(\mathbb{H})$) is, again, empty when n is odd. Furthermore, we will draw the same method of proof - using the concept of a determinant for quaternionic matrices to obtain the same results.

1.4   Symbols

- $M_n(\mathbb{R})$ - set of all $n \times n$ matrices with real entries.

- $M_n(\mathbb{C})$ - set of all $n \times n$ matrices with complex entries.

- $M_n(\mathbb{H})$ - set of all $n \times n$ matrices with quaternion entries.

- $\mathcal{D}_n(\mathbb{C})$ - set of all $n \times n$ skew-coninvolutory matrices with complex entries.

- $\mathcal{D}_n(\mathbb{H})$ - set of all $n \times n$ skew-coninvolutory matrices with quaternion entries.

Chapter 2

Preliminaries

2.1 Complex Matrices

Definition 2.1.1 (Conjugate Matrix)**.** A *conjugate matrix* is a matrix $\bar{E}$ obtained from E by taking the complex conjugate of every entry of E.

Definition 2.1.2 (Coninvolutory Matrix)**.** A matrix is said to be *coninvolutory* if $E\bar{E} = I_n$ for $E \in \mathrm{M}_n(\mathbb{C})$.

Remark. By manipulation, we obtain $E^{-1} = \bar{E}$. Hence, we may also say that a matrix whose inverse is its own conjugate matrix is a coninvolutory matrix. Furthermore, we see that coninvolutory matrices are the extension of complex numbers with modulus 1 [3].

Definition 2.1.3 (Skew-Coninvolutory Matrix)**.** A matrix is said to be *skew-coninvolutory* if $E\bar{E} = -I_n$ for $E \in \mathrm{M}_n(\mathbb{C})$.

Remark. Again, we may say that a matrix whose inverse is the negative of its own conjugate matrix is a skew-coninvolutory matrix. This is analogous to the skew-

symmetric matrices we've encountered in linear algebra.

Theorem 2.1.1. *For a matrix $E \in M_n(\mathbb{C})$, $det(\bar{E}) = \overline{det(E)}$.*

Proof. We prove by mathematical induction.

Base Case: For $E = \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix}$, $det(\bar{E}) = \begin{vmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{vmatrix} = \overline{a}\overline{d} - \overline{b}\overline{c} = \overline{ad - bc} = \overline{det(E)}$

Induction Hypothesis: Suppose $det(\bar{E}) = \overline{det(E)}$ holds for $E \in \mathrm{M}_n(\mathbb{C})$.

Let $X \in \mathrm{M}_{n+1}(\mathbb{C})$. Then,

$$det(\overline{X}) = \overline{\sum_{j=1}^{n+1} a_{ij}c_{ij}} = \sum_{j=1}^{n+1} \overline{a_{ij}}\ \overline{c_{ij}}$$

is the ith row expansion of an $(n+1) \times (n+1)$ matrix where $\overline{c_{ij}}$ is the cofactor of $\overline{a_{ij}}$.

Note that $\overline{c_{ij}} = (-1)^{i+j}\overline{M_{ij}}$ where $\overline{M_{ij}}$ is the determinant of the $n \times n$ matrix obtained

by deleting the ith row and the jth column of the original matrix.

By I.H., $\overline{M_{ij}}$ is the determinant of an $n \times n$ conjugate matrix. Thus, we see that we

are computing for the determinant of an $(n+1) \times (n+1)$ conjugate matrix. ∎

2.1.1 Skew-Coninvolutory Complex Matrices

We now show and prove a result concerning whether or not $\mathcal{D}_n(\mathbb{C})$ is empty when n

is odd as seen in [3].

Theorem 2.1.2. $\mathcal{D}_n(\mathbb{C})$ *is empty when n is odd.*

Proof. If $E \in \mathcal{D}_n(\mathbb{C})$ then $E\bar{E} = -I_n$.

Taking the determinant of both sides,

$$det(E\bar{E}) = det(-I_n)$$

$$det(E)det(\bar{E}) = (-1)^n$$

$$det(E)\overline{det(E)} = (-1)^n, \text{ by Theorem 2.1.1}$$

$$|det(E)|^2 = (-1)^n$$

Since $|det(E)|^2 > 0$, $(-1)^n > 0$. Hence, n must be even. ■

2.2   Quaternion Basics

2.2.1   Multiplication and Addition

Recall in Chapter 1 - the four-dimensional algebra of quaternions is generated by the basis elements $\{1, \mathbf{i}, \mathbf{j}, \mathbf{k}\}$ such that

$$\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1 \tag{2.1}$$

From the above equation, we can easily derive the following:

$$\mathbf{jk} = \mathbf{i} \qquad\qquad \mathbf{kj} = -\mathbf{i}$$

$$\mathbf{ki} = \mathbf{j} \qquad\qquad \mathbf{ik} = -\mathbf{j}$$

$$\mathbf{ij} = \mathbf{k} \qquad\qquad \mathbf{ji} = -\mathbf{k}$$

Notice that the quaternions are not commutative under *multiplication*. In general, for quaternions $q_1 = a_1 + b_1\mathbf{i} + c_1\mathbf{j} + d_1\mathbf{k}$ and $q_2 = a_2 + b_2\mathbf{i} + c_2\mathbf{j} + d_2\mathbf{k}$,

$$q_1 q_2 = (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2) + (a_1 b_2 + b_1 a_2 + c_1 d_2 - d_1 c_2)\mathbf{i}$$

$$+ (a_1 c_2 - b_1 d_2 + c_1 a_2 + d_1 b_2)\mathbf{j} + (a_1 d_2 + b_1 c_2 - c_1 b_2 + d_1 a_2)\mathbf{k}$$

Quaternions are, however, commutative under *addition* where $q_1 + q_2 = (a_1 + a_2) + (b_1 + b_2)\mathbf{i} + (c_1 + c_2)\mathbf{j} + (d_1 + d_2)\mathbf{k}$.

2.2.2 Other Operations and Properties

Definition 2.2.1 ($\mathbb{H}$-Conjugate). The $\mathbb{H}$-Conjugate of a quaternion $q = a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k}$ is $\bar{q} = a - b\mathbf{i} - c\mathbf{j} - d\mathbf{k}$.

Remark. Notice that $q\bar{q} = (a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k})(a - b\mathbf{i} - c\mathbf{j} - d\mathbf{k}) = a^2 + b^2 + c^2 + d^2$.

Definition 2.2.2 ($\mathbb{H}$-Norm). The $\mathbb{H}$-Norm of a quaternion $q = a + b\mathbf{i} + c\mathbf{j} + d\mathbf{k}$ is

$$|q| = \sqrt{q\bar{q}} = \sqrt{a^2 + b^2 + c^2 + d^2}$$

Definition 2.2.3 (Inverse). The inverse of a quaternion q is q^{-1} such that $q^{-1}q = qq^{-1} = 1$.

Theorem 2.2.1. *For $q, p, r \in \mathbb{H}$,*

1. *$|q|^2 = q\bar{q}$.*

2. *If $q \neq 0$, then $q^{-1} = \bar{q}/|q|^2$.*

3. $\overline{qp} = \bar{p}\bar{q}$.

4. $(qp)^{-1} = p^{-1}q^{-1}$ *provided that the inverses of p and q exist.*

5. $(qp)r = q(pr)$ *that is, quaternion multiplication is associative.*

Remark. Notice that most of the properties we see in quaternions are merely extensions of the properties we see in complex numbers.

2.2.3   Quaternionic Matrices

Most of the definitions we've already mentioned for complex matrices can also be extended in the context of quaternionic matrices.

Definition 2.2.4 (Conjugate Quaternionic Matrix)**.** A *conjugate quaternionic matrix* is a matrix $\bar{E}$ obtained from E by taking the $\mathbb{H}$-conjugate of every entry of E.

Definition 2.2.5 (Skew-Coninvolutory Quaternionic Matrix)**.** A quaternionic matrix E is said to be *Skew-Coninvolutory* if $E\bar{E} = -I_n$.

2.3   Matrix Homomorphisms

We look into functions that make it possible for us to represent complex numbers and quaternions as matrices. These functions are of extreme importance as they are used to define some of the quaternionic determinants we will encounter.

2.3.1   Homomorphisms from $\mathbf{M}_n(\mathbb{C})$ to $\mathbf{M}_{2n}(\mathbb{R})$

In order to represent complex matrices as real matrices, notice that every complex matrix can be represented as the sum of a real matrix and a purely imaginary matrix, i.e., for an $n \times n$ matrix Z, $Z = A + B\mathbf{i}$ where $A, B \in \mathrm{M}_n(\mathbb{R})$ [4]. We define a mapping

$$\phi(A + B\mathbf{i}) = \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \text{ [4]}$$

Before we show that this mapping is an injective homomorphism, we first show that the left distributive laws hold for matrices in $\mathrm{M}_n(\mathbb{C})$.

Theorem 2.3.1. *For matrices* $A, B, C \in M_n(\mathbb{C})$, $A(B + C) = AB + AC$.

Proof. Let $A = [a_{ij}]$, $B = [b_{ij}]$, $C = [c_{ij}] \in \mathrm{M}_n(\mathbb{C})$. Then $B + C = [b_{ij} + c_{ij}]$ and

$$
\begin{aligned}
A(B + C) &= \left[\sum_{k=1}^{n} a_{ik}(b_{kj} + c_{kj})\right] = \left[\sum_{k=1}^{n}(a_{ik}b_{kj} + a_{ik}c_{kj})\right] \\
&= \left[\sum_{k=1}^{n} a_{ik}b_{kj} + \sum_{k=1}^{n} a_{ik}c_{kj}\right] = \left[\sum_{k=1}^{n} a_{ik}b_{kj}\right] + \left[\sum_{k=1}^{n} a_{ik}c_{kj}\right] = AB + AC
\end{aligned}
\tag{2.2}
$$

$\blacksquare$

Remark. The same method of proof can be used for the right distributive law. Furthermore, this also holds for matrices in $\mathrm{M}_n(\mathbb{R})$ and $\mathrm{M}_n(\mathbb{H})$.

Theorem 2.3.2. *Let* $\phi : M_n(\mathbb{C}) \to M_{2n}(\mathbb{R})$ *such that* $C + D\boldsymbol{i} \mapsto \begin{pmatrix} C & -D \\ D & C \end{pmatrix}$ *where* $C + D\boldsymbol{i} \in M_n(\mathbb{C})$. *Then* ϕ *is an injective homomorphism.*

Proof.

1-1:

$$\phi(A + B\mathbf{i}) = \phi(C + D\mathbf{i}) \implies \begin{pmatrix} A & -B \\ B & A \end{pmatrix} = \begin{pmatrix} C & -D \\ D & C \end{pmatrix}$$

$\implies A = C$ and $B = D$ by Matrix Equality $\implies A + B\mathbf{i} = C + D\mathbf{i} \implies \phi$ is injective.

Homomorphism:

Let $A + B\mathbf{i}$, $C + D\mathbf{i} \in M_n(\mathbb{C})$. Then

$$\phi[(A + B\mathbf{i})(C + D\mathbf{i})] = \phi[(A + B\mathbf{i})C + (A + B\mathbf{i})D\mathbf{i}] \text{ by Theorem 2.3.1}$$

$$= \phi[AC + BC\mathbf{i} + AD\mathbf{i} - BD] = \phi[(AC - BD) + (BC + AD)\mathbf{i}]$$

$$= \begin{pmatrix} (AC - BD) & -(BC + AD) \\ (BC + AD) & (AC - BD) \end{pmatrix}$$

$$\phi[(A + B\mathbf{i})]\phi[(C + D\mathbf{i})] = \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \begin{pmatrix} C & -D \\ D & C \end{pmatrix}$$

$$= \begin{pmatrix}
a_{11} & \dots & a_{1n} & -b_{11} & \dots & -b_{1n} \\
\vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\
a_{n1} & \dots & a_{nn} & -b_{n1} & \dots & -b_{nn} \\
b_{11} & \dots & b_{1n} & a_{11} & \dots & a_{1n} \\
\vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\
b_{n1} & \dots & b_{nn} & a_{n1} & \dots & a_{nn}
\end{pmatrix}
\begin{pmatrix}
c_{11} & \dots & c_{1n} & -d_{11} & \dots & -d_{1n} \\
\vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\
c_{n1} & \dots & c_{nn} & -d_{n1} & \dots & -d_{nn} \\
d_{11} & \dots & d_{1n} & c_{11} & \dots & c_{1n} \\
\vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\
d_{n1} & \dots & d_{nn} & c_{n1} & \dots & c_{nn}
\end{pmatrix}$$

$$= \begin{pmatrix}
\sum_{k=1}^{n} a_{1k}c_{k1} - \sum_{k=1}^{n} b_{1k}d_{k1} & \dots & -\sum_{k=1}^{n} a_{1k}d_{kn} - \sum_{k=1}^{n} b_{1k}c_{kn} \\
\vdots & \ddots & \vdots \\
\sum_{k=1}^{n} b_{nk}c_{k1} + \sum_{k=1}^{n} a_{nk}d_{k1} & \dots & -\sum_{k=1}^{n} b_{nk}d_{kn} + \sum_{k=1}^{n} a_{nk}c_{kn}
\end{pmatrix}$$

$$= \begin{pmatrix} (AC - BD) & -(BC + AD) \\ (BC + AD) & (AC - BD) \end{pmatrix}$$
■

Definition 2.3.1 (Complex Structure). A *complex structure* of a vector space V is defined by the linear map (linear transformation) $J : V \to V$ such that $J^2 = -I$, where I is the identity map. [5]

Complex structures are, in general, linear maps that exhibit the property of the imaginary number i, that is, $i^2 = -1$. It is important to note that a linear map *must* commute with scalar multiplication, and thus, representing complex linear maps as real linear maps requires the latter to commute with a complex structure of its vector space (this applies to any associated linear maps between different vector spaces) [4] [6].

We define a matrix

$$J = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$$

. Notice that the matrix J is the image of $iI \in M_n(\mathbb{C})$ under ϕ [4]. It can be easily shown that $J^2 = -I$. It is obvious that J gives a *complex structure* in $\mathbb{R}^{2n}$. Hence, $\phi(M_n(\mathbb{C})) = \{P \in M_{2n}(\mathbb{R}) | JP = PJ\}$, i.e., the real matrix representations of complex matrices are the linear maps in $M_{2n}(\mathbb{R})$ that commute with the complex structure [4].

2.3.2 Homomorphisms from $M_n(\mathbb{H})$ to $M_{2n}(\mathbb{C})$

To represent quaternionic matrices as complex matrices, notice that every quaternionic matrix can be represented as the sum $Y = C + \mathbf{j}D$ where $C, D \in M_n(\mathbb{C})$ [4]. We define a mapping

$$\psi(C + \mathbf{j}D) = \begin{pmatrix} C & -\overline{D} \\ D & \overline{C} \end{pmatrix} [4]$$

We can show that ψ is an injective homomorphism using the same proof outline in the previous subsection [4].

The non-commutativity of quaternions presents some problems in representing *quaternionic linear maps* as complex linear maps. If we consider a quaternionic linear map say $L(v) = Av$ for $A \in M_n(\mathbb{H})$ where we take in quaternions as scalars, then, $cAv = cL(v) = L(cv) = Acv$ which is false (considering the base case for 1×1 matrices) [6]. However, $Avc = L(v)c = L(vc) = Avc$. Hence, we now see that any quaternionic linear map commutes with right scalar multiplication by a quaternion which itself is not a linear map in $\mathbb{H}$ (in order for it to be a linear map, it in turn, has to commute with other quaternions - which it, of course, doesn't)[6] [4]. This poses a problem because it implies that there is no matrix representation for right scalar multiplication [4]. However, in [4], we see that we can consider a linear map $\widetilde{R_j}$ in $\mathbb{C}^{2n}$ as the image of right scalar multiplication by $\mathbf{j}$ under the homomorphism. $\widetilde{R_j}$ corresponds to multiplying $v \in \mathbb{C}^{2n}$ by the matrix J and then conjugating [4]. This gives a quaternionic structure in $\mathbb{C}^{2n}$ and thus, a quaternionic linear map corresponds

to a complex linear map Q that commutes with $\widetilde{R_j}$, i.e., $Q\overline{Jv} = \overline{JQv}$ for $v \in \mathbb{C}^{2n}$. It

can be easily shown that the latter holds if and only if $\overline{Q}J = JQ$ using the fact that

$Q\overline{Jv} = \overline{QJv}$. Thus, $\psi(\mathrm{M}_n(\mathbb{H})) = \{Q \in \mathrm{M}_{2n}(\mathbb{C}) | \overline{Q}J = JQ\}$.

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