# Lecture 3

### **Special Relativity**

Talking about relativity means talking about Lorentz invariance.

If there is a point in space time:

$$(t, x) \xrightarrow{\text{another moving}} (t', x')$$

Invariant notion of distance:

$$t^2 - x^2 = t'^2 - x'^2$$

(This should all be familiar to you.)

We will re-cap this in an adult way...

# **Start with Rotations**

Have an invariant notion of length of  $\vec{p}$ 

$$x^{2} + y^{2} = x'^{2} + y'^{2}$$

$$x' = x \cos(\theta) - y \sin(\theta)$$

$$x' = y \cos(\theta) + x \sin(\theta)$$

Look at this another way ...

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

We are after the set of all of matrices such that  $x^2 + y^2 = x'^2 + y'^2$ 

Start with the infinitesimal case

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \epsilon \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

We require:  $\begin{pmatrix} x' & y' \end{pmatrix} \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ 

Multiplying through:

$$x' = x + \epsilon ax + \epsilon by$$
  
$$y' = y + \epsilon cx + \epsilon dy$$

Keeping terms linear in  $\epsilon$ .

$$x'^{2} + y'^{2} = x^{2} + y^{2} + 2\epsilon \underbrace{(ax^{2} + bxy + cxy + dy^{2})}_{=0 \quad \forall x \& y}$$

SO

$$ax^2 + bxy + cxy + dy^2 = 0$$

$$\Rightarrow a = d = 0 \quad \& \qquad \underbrace{b = -c}_{\text{Can re-scale } \epsilon \text{ such that b=1}}$$

So

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{bmatrix} 1 + \epsilon \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

## **More Sophisticated Way:**

 $x_i$  where i = 1, 2  $x_1 = x$  and  $x_2 = y$ 

The rotation can now be written as:

$$x_i' = R_{i1}x_1 + R_{i2}x_2 = \sum_{j=1}^{2} R_{ij}x_j \equiv R_{ij}x_j$$

In the last expression, the sum is implied by the repeated indices (known as Einstein notation).

$$x_i' = R_{ij}x_j$$

 $x'_i x'_i = x_i x_i$  is what it takes for R to be a rotation. Need to find the special Rs such that this is satisfied.

The identity matrix is written as  $\delta_{ij}$ , where  $\delta_{ij} = 1$  if i = j, 0 otherwise.

If no rotation at all:  $R_{ij} = \delta_{ij}$ , of an infinitesimal rotation:

$$R_{ij} = \delta_{ij} + \epsilon w_{ij}$$

$$x_i' = x_i + \epsilon w_{ij} x_j$$

$$x_i'x_i' = x_ix_i + 2\epsilon \underbrace{w_{ij}x_jx_i}_{=0 \ \forall x} + O(\epsilon^2)$$

 $\Rightarrow$   $w_{ij}$  has to be anti-symmetric  $w_{ij} = -w_{ji}$ .

Back to previous example, find <u>finite</u> rotations (without any mention of geometry etc.)

Take the infinitesimal rotation and do it n-times.

Define  $\theta = N\epsilon$ 

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{bmatrix} \mathbb{1} + \epsilon \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \equiv \begin{bmatrix} \mathbb{1} + \epsilon I \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

So the finite rotation  $(R(\theta))$  given by,

$$R(\theta) = (1 + \epsilon I)(1 + \epsilon I)\dots(1 + \epsilon I)\dots = (1 + \epsilon I)^N = \left(1 + \frac{\theta}{N}I\right)^N$$

Now let  $N \to \infty$ ,  $R(\theta) = e^{I\theta}$ .

Built up finite rotation from the infinitesimal rotations.

$$x'(\theta) = R(\theta)x = e^{I\theta}$$

The meaning of  $e^X$  when X is a matrix is simply the expansion.

$$e^X = 1 + X + \frac{X^2}{2!} + \frac{X^3}{3!} + \dots$$

$$I^2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -1!$$
 We have just discovered i following our nose.

$$R(\theta) = cos(\theta) + Isin(\theta) = \begin{pmatrix} cos(\theta) & sin(\theta) \\ -sin(\theta) & cos(\theta) \end{pmatrix}$$
 (You will show in homework...)

**Strategy:** First understand the action of the symmetry infinitesimally, then the big symmetry action is obtained by iterating the infinitesimal. Always  $e^X$  where X is the generator. This is a great strategy for any kind of symmetry.

Will now do 3D rotations... Something new happens.

#### **3D Rotations**

3-parameters associated with a 3D rotation.

Already saw, any rotation is of the form

$$x_i' = x_i + \epsilon w_{ij} x_j$$
 with  $w_{ij} = -w_{ji}$ 

Most general 3 anti-symmetric matrix:  $\begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix}$ , Now have 3 generators corresponding to the rotations in 3D.

$$\epsilon w_{ij} = \epsilon_{12} \underbrace{\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{\text{generator we just saw}} + \epsilon_{13} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix} + \epsilon_{23} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{pmatrix}$$

How to get the finite version? Easy, just exponentiate.

Something new happens in 3D:

- 2D rotations commute
- 3D rotations do not

Define 
$$J_3 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$
,  $J_2 = \begin{bmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{bmatrix}$ , and  $J_1 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{bmatrix}$ 

Rotations form a group. Any three rotations give something that is also a rotation:

$$e^{i\theta_3 J_3} e^{i\theta_2 J_2} e^{i\theta_1 J_1} = e^{\phi_3 J_3 + \phi_2 J_2 + \phi_1 J_1}$$

This can only be possible if

$$[J_1, J_2] = iJ_3 + \text{cyclic}$$

Can step back and think about this more abstractly. The matrices we found form a group, but this group exists abstractly independent of these  $3 \times 3$  matrices. Fully determined by the commutation relations. (Just like vectors and components)

In general, many matrices that satisfy the algebra (the commutation relations). These give different representations.

Deep: rotations can act on more than just 3D vectors.

$$\begin{bmatrix} (J_i) & 0 \\ 0 & (J_j) \end{bmatrix}$$

this is officially a representation. Its called a "Reducible" Representation.

#### More Generally

$$[J_a, J_b] = i f_{abc} J_c$$
 where  $J_a, a = 1, 2, ...$  dim. of the group

Lie found all the possible symmetries when J is hermitian (there are not many).

#### One final example with rotations

Lets look at traceless  $2 \times 2$  hermitian matrices. Any  $2 \times 2$ , traceless, hermitian matrix can be written as:

$$M = \begin{pmatrix} z & x + iy \\ x - iy & -z \end{pmatrix} \equiv \vec{\sigma} \cdot \vec{x}$$

where  $\vec{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$  and  $\sigma_i$  are the Pauli matrices.

Note that  $det(M) = -\vec{x} \cdot \vec{x} = -|\vec{x}|$ .

Consider  $M' = U^{\dagger}MU$ , where U is unitary. Any unitary matrix can be written as a phase  $e^{i\theta}$  times a  $2 \times 2$  hermitian matrix with det = 1. ("Special Unitary Matrix") Because the phase cancels in M' we will only consider U as unitary and det = 1.  $U \in SU(2)$ 

If M is hermitian and traceless, then M' is still hermitian and traceless.

$$M' = \sigma \cdot \vec{x'}_u$$

 $\vec{x'}_u$  depends on U.  $\det(M') = \det(M) \Rightarrow \vec{x'}_u^2 = \vec{x}^2$  direct correspondence between  $2 \times 2$  hermitian matrices & rotations.

This is a 2D action of rotations.

Not easy to generalize all of this to the Lorentz group...