

## Lecture 35

### OK left off discussing atmospheric $\nu$ s

Saw that there are two robust predictions that you can measure:

- muon vs electron ratio and
- top vs down ratio.

Expect the same amount from above and from below.

So that's what people know about atmospheric  $\nu$ s.

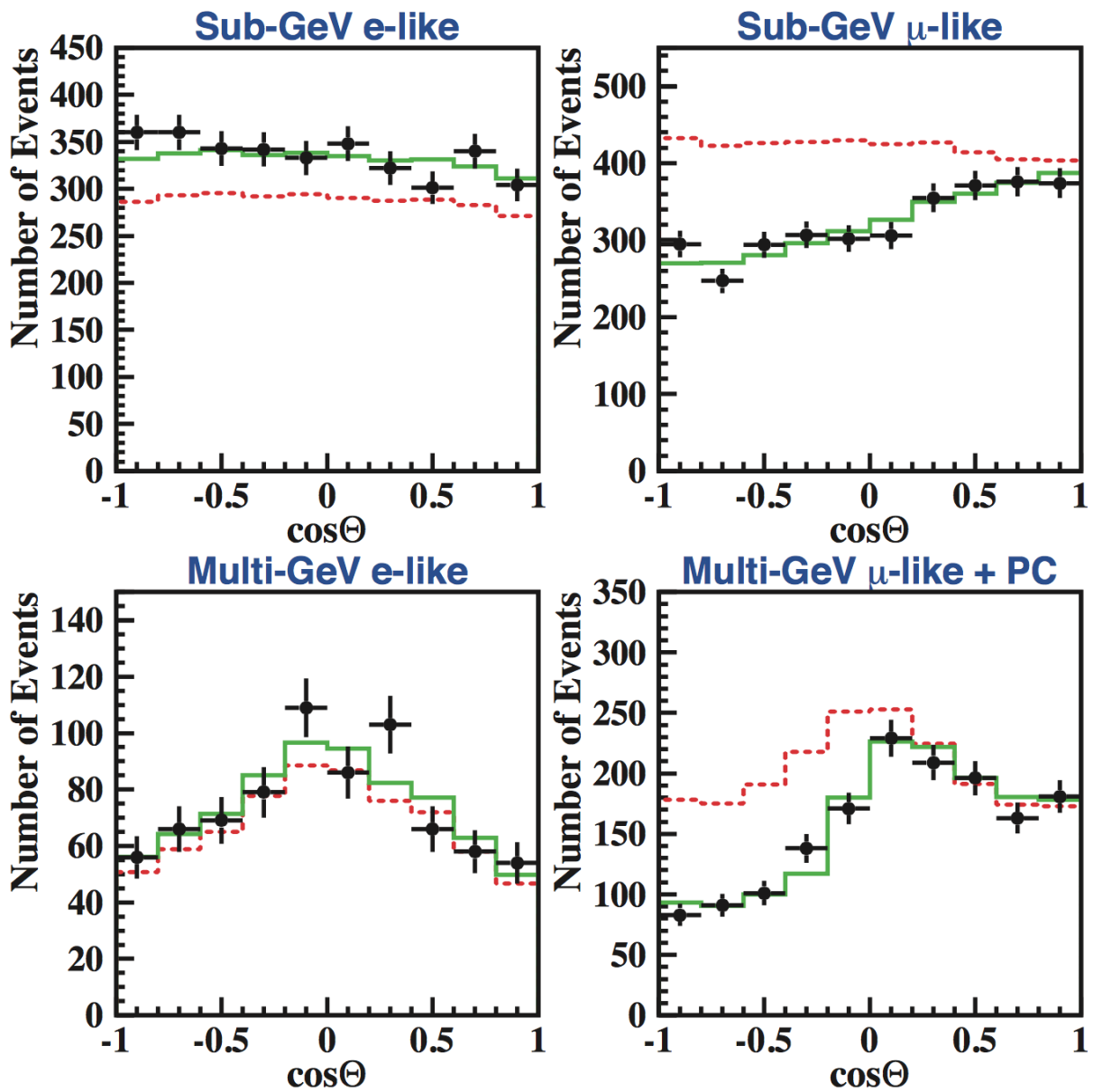
The experiments that measured atmospheric  $\nu$ s were giant water tanks.

Kamiokande - Name Kamioka place / nde - "nucleon decay experiment. "

Built to look for proton decay, but atmospheric  $\nu$ s were a background one you can't get rid of.

When you measure the flux from above and below turns out the answer is not one:  $1/2$ .

They could actually do better. Tell muons from electrons. These plots show log/high energy electrons and muons separately.



Very interesting results. Points are the observations, solid line is the prediction. Notice a few things:

- For the electrons everything works more or less OK,
- For the muons it doesn't work well at all.
- $\nu$ s coming from above work OK,
- $\nu$ s coming from below don't work well. (Missing about half of them.)

You have an effect that says you don't understand what the  $\nu_\mu$ s are doing and that effect depends on the energy and how far they are propagating.

Very exciting result. Because you're sure the measurements are correct. Observable is robust. Implies that the  $\nu$ s are doing something. And whatever they are doing depends on the energy and the baseline (how much they have traveled)

Why is this important ? need a hypothesis for what is going on. Maybe the  $\nu$ s are being absorbed ?  $\nu$ s that go through the earth are getting absorbed by the earth. Know Can't be true, cross section would have to be too high.

What else could be going on?  $\nu$ s decaying or changing flavour. Only looking for muon and electron  $\nu$ s, so if they were converting into  $\nu_\tau$ s, this could explain all this data.

Side-note:

Only massive particles know about time.

What ever they are doing If  $\nu$ s can tell time  $\implies \nu$ s have mass.

OR Lorentz invariance is wrong.

So that's where we were. Bottom line  $\nu$ s change flavour as a function of E and distance.

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### Mass-induced flavour oscillations.

What happens if the  $\nu$ s have mass ?

$\nu_1$  with  $m_1$

$\nu_2$  with  $m_2$

$\nu_3$  with  $m_3$

If you raise the hypothesis that  $\nu$ s have mass, then there are  $\nu$ s states that you can label with different masses.

We also have  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  these are the interaction eigenstates the  $\nu$ s you produce when you have a weak interaction.

The question is then which one of these is the  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ ?

The answer is it doesn't have to be any of them. What we know for sure is that the  $\nu_e$  is a linear combination of  $\nu$ s with a well-defined basis

$$\nu_e = U_{ei}\nu_i$$

same for  $\mu$ s and  $\tau$ s.

$$\nu_\mu = U_{\mu i}\nu_i$$

$$\nu_\tau = U_{\tau i}\nu_i$$

also know that the  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  are orthogonal to one another (ie: they are different states)

These can then be organised into a unitary matrix.

$$\nu_\alpha = U_{\alpha i}\nu_i$$

where,  $\alpha = e, \mu, \tau$  and  $i = 1, 2, 3$ .

$U_{\alpha i}$  is Unitary mixing matrix We will see, just by raising this hypothesis you can explain all the data.

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## Parallel in Quark Sector

Actually similar thing happens in the quark sector, we haven't talked about this yet, but it's true.

Have to identify who are the "real particles". Meaning what are the eigenstates of the free Hamiltonian.

In the quark sector that's the

u c t  
d s b

In the lepton sector we choose that to be

$$\begin{matrix} e & \mu & \tau \\ \nu_1 & \nu_2 & \nu_3 \end{matrix}$$

There is no such thing as an  $\nu_e$ , doesn't exist.

but the weak interactions couple to linear combinations of these real particles.

$W \rightarrow t + b, s, b$  w/coupling  $V_{CKM} U_t, \alpha$

the same thing happens in the lepton sector:

$W \rightarrow e + \nu_1, \nu_2, \nu_3$  w/coupling  $U_e, i$

physics is the same, the consequences turn out to be very different. Main reason is that the  $\nu$  masses are very small. Bc the masses are small (as well talk about in a second) you have a phenomena of  $\nu$  oscillations. Doesn't happen for quarks.

## $\nu$ Oscillations

OK lets set up this physics behind this idea..

What does it mean to be a particle with a well defined mass? QM point-of-view, eigenstates of free particle Hamiltonian.

$$|\nu_1\rangle = e^{-iE_1 t} |\nu_1\rangle$$

this is what it means to be a  $\nu$  with a well-defined mass.

Now, what happens if you don't have one of these, but a linear superposition ?

Lets say you have the  $\nu_e$  and, to make life easy, lets pretend that we only have 2  $\nu$ s.

Now  $\nu_e$  will be a linear combination of  $\nu_1$  and  $\nu_2$ .

$$|\nu_e\rangle = \cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle$$

For completeness, can also write  $\nu_\mu$ , which is also a linear combination of  $\nu_1$  and  $\nu_2$ , but it is orthogonal to  $\nu_e$ .

$$|\nu_\mu\rangle = -\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle$$

Some obvious things, we know  $\cos \theta$  and  $\sin \theta$  are some coefficients that we don't know, but we know the sum of squares is 1. That's why we write it like an angle.

(Can work this out from  $\langle \nu_e | \nu_e \rangle = 1$  and  $\langle \nu_e | \nu_\mu \rangle = 0$ ) That's why are allowed to parameterize things this way

How do these states evolve as a function of time?

$$|\nu_e(t)\rangle = \cos \theta e^{-iE_1 t} |\nu_1\rangle + \sin \theta e^{-iE_2 t} |\nu_2\rangle$$

This is the heart of what  $\nu$  oscillations are all about. Because these phases are different, what you get is no longer proportional to the  $\nu_e$  state. Or in fancier words,  $\nu_e$  is not an eigenstate of the free Hamiltonian.

Now this is very very simple physics. Literally a 2 level system that you learned in undergraduate QM.

Remember everything is going to be relativistic.  $\nu$ s are going to be propagating plane waves.

Relativistic version

$$|\nu_e(\vec{x}, t)\rangle = \cos \theta e^{-ip_1^\mu x_\mu} |\nu_1\rangle + \sin \theta e^{-ip_2^\mu x_\mu} |\nu_2\rangle$$

where  $x$  is the  $(t, \vec{x})$  four vector.

### Ultra relativistic approx.

$$t \sim L$$

Phase factors very close to being zero, depend on difference between energy and momentum ( $E_1 - p_1$ )

Now let me calculate this difference in the following way,

$$(E_1 - p_1)(E_1 + p_1) = m_1^2 \Rightarrow (E_1 - p_1) = \frac{m_1^2}{2E}$$

in the ultra relativistic approx  $E \sim P$

$$|\nu_e(L)\rangle = \cos \theta e^{-i\frac{m_1^2}{2E}L} |\nu_1\rangle + \sin \theta e^{-i\frac{m_2^2}{2E}L} |\nu_2\rangle$$

OK, now lets calculate something...

Lets calculate the probability that this object here, when it hits something produces an electron.

Which is just given by

$$\langle \nu_e | \nu_e(L) \rangle = \cos^2 \theta e^{-i \frac{m_1^2}{2E} L} + \sin^2 \theta e^{-i \frac{m_2^2}{2E} L}$$

is the amplitude for having an  $\nu_e$  be born somewhere, propagate some distance L and then be detected as an  $\nu_e$ . So the probability is this thing squared:

$$\begin{aligned} |\langle \nu_e | \nu_e(L) \rangle|^2 &= \left| \cos^2 \theta e^{-i \frac{m_1^2}{2E} L} + \sin^2 \theta e^{-i \frac{m_2^2}{2E} L} \right|^2 \\ &= \left| \cos^2 \theta + \sin^2 \theta e^{-i \frac{m_2^2 - m_1^2}{2E} L} \right|^2 \\ &= \cos^4 \theta + \sin^4 \theta + \cos^2 \theta \sin^2 \theta 2 \cos \left( \frac{\Delta m^2 L}{2E} \right) \end{aligned}$$

where  $\Delta m^2 = m_2^2 - m_1^2$ . Can simply this...

$$\begin{aligned} &= (\cos^2 \theta + \sin^2 \theta)^2 - 2 \cos^2 \theta \sin^2 \theta + 2 \cos^2 \theta \sin^2 \theta \cos \left( \frac{\Delta m^2 L}{2E} \right) \\ &= 1 - 2 \cos^2 \theta \sin^2 \theta \left( 1 - \cos \left( \frac{\Delta m^2 L}{2E} \right) \right) \end{aligned}$$

Now use some trig IDs...

$$\begin{aligned} &= 1 - 4 \sin^2 \theta \cos^2 \theta \sin^2 \left( \frac{\Delta m^2 L}{2E} \right) \\ &= 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{2E} \right) \end{aligned}$$

So what we learn at the end of the day is, if your born as  $\nu_e$  and you propagate a certain distance L, and you're detected as an  $\nu_e$ , the probability is given by,

$$P_{ee}(L) = 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{2E} \right)$$

Possible to be born as  $\nu_e$  and detected as  $\nu_e$  with less than 100% probability!

So we have derived this very simple two flavour oscillation formula. And we can actually get a lot of mileage out of this.

Turns out that is what we need to explain almost all the  $\nu$  data we have seen so far. Trivial expression, but very important one.

Oscillates as a function of  $L/E$ . (We knew from that beginning that whatever we got had to depend on  $L/E$  (Lorentz invariance).)

As a function of  $L$  for fixed  $E$  looks like a sine wave. Two important behaviours of this function

- 1)  $L$  is very small
- 2) Oscillations are very fast.

In these two limits life is very simple:

$L$  small  $\Rightarrow 1 - \sin^2 2\theta \left( \Delta m^2 L / 4E \right)^2$  (behaviour that turns on slowly as  $L^2$ )

$L$  large  $\Rightarrow 1 - \frac{1}{2} \sin^2 2\theta$

Two physics parameters that you can fit:  $\theta$  and  $\Delta m^2$ . This tell what sorts of experiments you need to do.  $\theta$  some number between 0 and 1.  $\Delta m^2$  tells you what values of  $L$  and  $E$  you need to pick in order to get a significant result.

For scale, with a 1 GeV  $\nu$  and  $\Delta m^2 \sim eV^2$  oscillation length order km.

“Explains” why we didn’t see this in the first types of  $\nu$  experiments that we built.

Turns out that  $\Delta m^2 \sim 10^{-3} - 10^{-4} eV^2$  So for a 1 GeV  $\nu$  to see large effect, need baselines  $L$  100-10,000 km. This leads to ridiculous experiments, Have to be very far away from your  $\nu$  source. On the other hand, nice that the earth has roughly the right size that you can see this with atmospheric  $\nu$ s.

Talk about this now.

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Explain how this fits some of the data that we looked at before.

Lets look back at the Super K data.

Look at the electrons first. Solid line is predicted flux. Data agrees, means that the electrons  $\nu$ s aren’t doing anything. Don’t know how to predict the overall normalization accurately.



The muons  $\nu_s$  don't work out well. So we will use oscillations to explain that. Lets look at the high energy  $\nu_\mu$ 's The  $\nu_s$  coming from above look OK. The  $\nu_s$  from the other side of the earth don't look good. The way to make this work is pretty simple. Pick  $\Delta m^2$  such that for  $\nu_s$  coming from above,  $\sim 50$  km, the oscillation events are small.

Now go to the other side of the earth, and we need a large effect: missing 50% of the  $\nu_s$ . Pick  $\Delta m^2$  in such a way that the oscillations are very fast, compared to with the resolutions of your detector. Pick a  $\Delta m^2$  such that the oscillations average out. To get factor of 2, need  $\sin^2 2\theta \sim 1$  which is as big as it can be. Turns out you need  $\Delta m^2 \sim 10^{-3} eV^2$

Whats exciting is once you've don't that you've exhausted all your physics parameters. All the other panels have to be fit by the same choices you made. That's what the dashed lines shows. It works really well.

Very high-energy  $\nu_s$  there is not a big effect from the oscillation. An incredible successful story that measures these parameters really well.

To convince you, we have repeated this experiment in beam experiments (Japan and the US) Once you know the  $\Delta m^2$  you know the kind of distances you need.

We say earlier that the  $\nu_e$ 's weren't doing anything. That means that whatever oscillation was going on it is interpreted to be between  $\nu_\mu$ s and  $\nu_\tau$ s.

(If that's whats happening, how do we convince our self of that: Also doing experiments where you try to see the  $\nu_\tau$  interactions. Seeing  $\nu_\tau$ s is very hard. Can use neutral currents: in SuperK. Expect an excess of NC events b/c of tau decays. Hard but  $\sim 3\sigma$ , probably wont claim  $\nu_\tau$  appearance based on this alone.

So there is OPERA detector CERN. Whole point it is to see the tau track. Have convincing evidence that this is what is indeed going on. )